



Southwest Power Pool, Inc.

**OPEN ACCESS TRANSMISSION TARIFF
BUSINESS PRACTICES**

MAINTAINED BY
SOUTHWEST POWER POOL STAFF

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Introduction

The statements included in this document reflect administrative practices of Southwest Power Pool (SPP) in coordinating the sale of transmission services. SPP offers transmission service in accordance with the rates, terms, and conditions contained in its Open Access Transmission Tariff (OATT), which is posted on the SPP OASIS Home Page¹, and on the SPP public website home page², under Documents.

Business Practices are administrative elaboration and clarification of the OATT for the purpose of administering the OATT. They establish a basis for consistent application of OATT provisions. Customers are encouraged to call Lanny Nickell, Vice President, Operations at 1-501-614-3232, or Jimmy Womack, Manager, Tariff Administration at 1-501-614-3244, to discuss their particular transmission needs.

SPP offers both Network Integration Transmission Service (NITS), and point-to-point transmission service under the SPP OATT posted on OASIS. The terms and conditions for SPP point-to-point service and NITS are contained in Part II and Part III respectively of the SPP OATT. Unless rights under Grand-fathered Agreements or Transactions exist, transmission service must be purchased under the SPP OATT for use of those transmission facilities committed to the OATT by the Transmission Owners. Note that "Grand-fathered Agreements or Transactions" and "Transmission Owners" are defined in the OATT in sections 1.14a and 1.45a respectively.

The format for each Practice includes some or all of the following sections:

Introduction – statement of need for the practice.

Business Practice – the official statement of SPP practice. Business Practices are Staff interpretations of the cognizant law or policy. All other wording is complimentary to the Practice.

Explanation / Rationale – background and principle(s) that guided the development of the Business Practice.

Examples – situational application of the Practice.

Related policies and practices are referenced by footnote. The footnote will include the Internet address as a hyperlink, or bookmark to the location in this document, whichever is applicable. For example, a reference to the SPP OATT may be made as (SPP OATT³). The Internet address for the SPP OATT is listed at the bottom of the page. Selecting the hyperlink (blue lettering) will take the reader to the Internet site. Selecting the back arrow from the Internet site will return the reader to this document.

¹ SPP OASIS home page - <http://www.oatioasis.com>

² SPP public website home page - <http://www.spp.org/>

³ SPP OATT - http://www.spp.org/publications/spp_tariff.pdf

1 OATT General Requirements

[\(return to TOC\)](#)

1.1 TYPES OF POINT-TO-POINT TRANSMISSION SERVICE

Business Practice

SPP offers the following types of point-to-point transmission service.

Firm Transmission Service - SPP Firm Transmission Service is available for increments ranging from one day to multiple years. Firm service has two distinct classes called short-term firm and long-term firm. These are discussed below.

Long Term Firm Transmission Service - Long Term Firm point-to-point transmission service is available for increments of one year or longer and is available on a first-come, first-served basis, in the chronological sequence in which each Transmission Customer requests service. Long-term Firm service requires a specific Service Agreement application (SPP OATT Attachment A⁴) to be filed with SPP for each request, as well as an SPP OASIS request.

Short Term Firm Transmission Service - Short Term Firm point-to-point transmission service is available for increments of less than one year. Service increments are designated as daily, weekly, or monthly. Eligible customers may [OATT Section 16.1 (e)⁵] complete an umbrella agreement (SPP OATT Attachment A⁶) for short-term firm service, and may make a specific OASIS request for this service only after the umbrella agreement has been executed, except under those conditions specified in Section 15.3 of the OATT. Short-term firm service is conditional based upon service increment, duration and relative impact on Available Transfer Capability (ATC). Short-term firm requests will be processed on a first-come, first-served basis, but subject to displacement by higher priority service increment or longer duration firm requests received later. Right of first refusal will be extended to any short-term firm customer subject to such displacement by another short-term firm request. Right of first refusal will not be extended in cases where a short-term firm request is displaced by a long-term firm request.

Non-Firm Transmission Service - SPP Non-firm Transmission Service is available in increments of hourly, daily, weekly, and monthly. Eligible customers may complete an umbrella agreement for non-firm service (SPP OATT Attachment B⁷), and may make a specific OASIS request for this service only after the Umbrella Agreement has been executed, except under those conditions specified in Section 15.3 of the OATT. Non-firm requests will be processed on a first-come, first-served basis, but subject to displacement by higher priority service increment or longer duration non-firm requests received later. Right of first refusal will be extended to any non-firm customer subject to such displacement by another non-firm request. Right of first refusal will not be extended in cases where a non-firm request is displaced by a firm request.

Service Increments - Service Types are further distinguished by Service Increments, as defined in FERC Order 638, Section 2.1⁸. SPP offers the following Service increments.

Fixed Hourly - The service starts at the beginning of a clock hour and stops at the end of a clock hour.

Fixed Daily - The service starts at 00:00 and stops exactly 24:00 of the same calendar day (same as 00:00 of the next consecutive calendar date).

⁴ SPP OATT Attachment A - http://www.spp.org/publications/spp_tariff.pdf

⁵ OATT Section 16.1 (e) - http://www.spp.org/publications/spp_tariff.pdf

⁶ SPP OATT Attachment A - http://www.spp.org/publications/spp_tariff.pdf

⁷ SPP OATT Attachment B - http://www.spp.org/publications/spp_tariff.pdf

⁸ FERC Order 638 Section 2.1 - <http://elibrary.ferc.gov/idmws/common/opennat.asp?fileID=8087220>

Extended Weekly - The service starts at 00:00 of any date and stops at 00:00 one week or more later, but less than four weeks later.

Extended Monthly - The service starts at 00:00 of any date and stops at 00:00 one month or more later, but less than twelve months later.

Extended Yearly - The service starts at 00:00 of any date and stops at 00:00 more than one year later.

Service types available can be viewed on the SPP OASIS home page⁹ by selecting QUERY Requests.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.1	Types of Point-to-Point Transmission Service	1.2	1/14/2009

Revision History

Ver No:	Rev. Date:	E ff. Date:	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.0	6/11/2008	6/11/2008	jw	Revisions to Business Practice Manual - not affecting BP 1.1
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.2	1/14/2009	1/14/2009	kjq	Clarification of time frames

⁹ SPP OASIS home page - <https://www.oatioasis.com>

1.2 GENERAL REQUIREMENTS FOR POINT-TO-POINT TRANSMISSION SERVICE

[\(return to TOC\)](#)

Point-to-point transmission service is offered by SPP as a transmission provider to valid Points of Receipt (POR) and Points of Delivery (POD) under Part II of the OATT. Scheduling point-to-point transmission service is on a control area to control area basis. SPP offers both firm and non-firm classes of point-to-point transmission service as defined in the OATT¹⁰. The following practices apply to all point-to-point transmission service reserved under the OATT unless qualified by a more specific business practice.

Business Practice

- The customer must provide valid POR/POD¹¹, source and sink¹² information on a request.
- The transmission reservation amount is specified at the POR and applies for the entire time period reserved.
- The request for transmission service must be submitted on the OASIS according to the timing requirements specified in Attachment P of the OATT¹³.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.2	General Requirements for Point-to-Point Transmission Service	1.1	8/25/2008

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¹⁰ SPP OATT Part II – Point-to-Point Transmission Service http://www.spp.org/publications/spp_tariff.pdf

¹¹ SPP Business Practice 2.2 – see [Valid Reservation POR/POD](#)

¹² SPP Business Practice 2.3 – see [Valid Reservation Source/Sink](#)

¹³ Attachment P of the SPP OATT - http://www.spp.org/publications/spp_tariff.pdf

1.3 GENERAL REQUIREMENTS FOR NETWORK INTEGRATION TRANSMISSION SERVICE

[\(return to TOC\)](#)

Network Integration Network Service (NITS) is offered by SPP under Part III of the SPP OATT. NITS is offered on a firm basis for delivery of capacity and energy from designated Network Resources to Network load or on a non-firm basis to deliver energy to Network Load from resources not designated as Network Resources. The following practices apply to all NITS unless otherwise qualified by a more specific practice.

Business Practice

- Customers must submit a valid request on the SPP OASIS with valid POR/POD¹⁴, source and sink¹⁵ information as an initial application for NITS, to add or modify a designated Network Resource, or to identify the utilization of a non-designated Network Resource.
- Requests for NITS from designated Network Resources must be submitted on the OASIS according to the timing requirements for a like term of firm point-to-point transmission service specified in Attachment P of the OATT¹⁶.
- Requests for NITS from non-designated Network Resources must be submitted on the OASIS according to the timing requirements for a like term of non-firm point-to-point transmission service specified in Attachment P of the OATT¹⁷.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.3	General Requirements for Network Integration Transmission Service	1.1	8/25/2008

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¹⁴ SPP Business Practice 2.2 - see [Valid Reservation POR/POD](#)
¹⁵ SPP Business Practice 2.3 - see [Valid Reservation Source/Sink](#)
¹⁶ Attachment P of the SPP OATT - http://www.spp.org/publications/spp_tariff.pdf
¹⁷ Attachment P of the SPP OATT - http://www.spp.org/publications/spp_tariff.pdf

1.4 CREDITWORTHINESS

[\(return to TOC\)](#)

Business Practice

SPP requires that each customer establish their creditworthiness through credit review procedures, in accordance with standard commercial practices¹⁸. Credit is reviewed for each company applying for transmission service. Companies are required to submit credit information and to provide updated information as appropriate. Once credit has been established, it is reviewed whenever company circumstances or service changes. As a matter of course, each company's credit is reviewed yearly.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.4	Creditworthiness	1.1	8/25/2008

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¹⁸ SPP OATT Part I, Section 11 – http://www.spp.org/publications/spp_tariff.pdf

1.5 DEPOSIT REQUIREMENTS FOR RESERVING TRANSMISSION SERVICE

[\(return to TOC\)](#)

Business Practice

When the charge for Reserved Capacity of a request for transmission service is expected to be \$25,000 or more, Transmission Customers must deposit with SPP either:

1. One month of charges for Reserved Capacity, or
2. The full charge for Reserved Capacity for service requests of less than one month.

This deposit may be satisfied by methods established by the SPP Credit Policy¹⁹ including unsecured credit, letters of credit, Parent guarantees, or cash.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.5	Deposit Requirements for Reserving Transmission Service	1.1	8/25/2008

Revision History

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1		11/6/2001	JW	Practice added as BP 1.5
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¹⁹ SPP Credit Policy - http://www.spp.org/Publications/SPP_CreditPolicy_2CS_112902.pdf

1.6 POSTING OF PRICES FOR TRANSMISSION SERVICE

[\(return to TOC\)](#)

Business Practice

SPP estimated rates are posted on the Internet²⁰ for the following transmission and ancillary service charges.

- Transmission Service
- Scheduling
- Losses
- Reactive Supply and Voltage Control
- Administrative

Final charges are based on actual usage, and are therefore available after service has been scheduled and delivered. Access to a price matrix containing this information is provided through a rate query on a public website²¹.

Explanation / Rationale

The rate query allows the viewer to select the source, sink, and season for the transaction. After entering the source, sink, and season of interest, the estimated firm and non-firm (transmission service) rates are displayed, along with Scheduling Fees, Reactive Supply and Voltage Control Rates, Losses Rates, and Administration Fees.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.6	Posting of Prices for Transmission Service	1.1	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
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²⁰ FERC order 889 Part III H, Section 37.6 (C) (1) - Posting Transmission Service Products and Prices <http://www.ferc.gov/legal/maj-ord-reg/land-docs/order889.asp>

²¹ SPP OASIS rate query page - http://sppoasis.spp.org/documents/swpp/tariff/pri_home.cfm

1.7 TRANSMISSION SERVICE REQUEST CHARGE

[\(return to TOC\)](#)

Business Practice

Any firm point-to-point request submitted on or after June 1, 2000 that is accepted by SPP but later withdrawn or retracted will be subject to a request charge²² of \$200 for reservations one month or longer or \$100 for reservations less than one month. If ATC is not available using the most current information, the request will be refused and no request charge will be applied.

Explanation / Rationale

Although this provision has always existed in the SPP OATT, SPP had agreed to waive the charge until the Scenario Analyzer was functioning properly. The Scenario Analyzer is a tool on the SPP OASIS that converts the flowgate ATC values posted by SPP to control area-to-control area ATC values up to sixteen months into the future. However, due to the potential existence of large numbers of unconfirmed requests, suspect input data, and modeling inaccuracies, the ATC values will be verified by SPP with the latest information before acting on each request. Therefore, even if the Scenario Analyzer shows no ATC is available, the customer can still submit a request. If ATC is available when SPP evaluates the request, SPP will accept the request.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.7	Transmission Service Request Charge	1.1	8/25/2008


Revision History

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²² SPP OATT Schedule 1, Section 2, (i), - http://www.spp.org/publications/spp_tariff.pdf

1.8 FORGIVENESS OF TRANSMISSION SERVICE CHARGES

[\(return to TOC\)](#)

The following describes the SPP business practice concerning forgiveness of transmission service charges. Forgiveness is the term commonly used to describe the billing credit for transmission service charges in situations where transmission service reserved by a customer cannot be used.

Business Practice

All charges associated with the provision of confirmed point-to-point transmission service will be rebated by SPP for a period of curtailment if:

1. *The point-to-point transmission service is scheduled on-time per the IDC, and*
2. *The service is curtailed or halted due to TLR issued for a constraint on the SPP transmission system,*

There is no forgiveness of transmission service charges if:

- *SPP transmission service is curtailed or halted due to TLR issued for a constraint external to SPP, or*
- *A schedule is refused for being late per the SPP OATT, or*
- *A schedule is late per the IDC and is put on hold by the IDC, or*
- *The customer otherwise fails to use the transmission service, or any portion thereof²³.*

Clarification of terms:

- *A curtailment occurs on a schedule that is active and running at the time the TLR curtailment is issued.*
- *A halt occurs on a schedule that has been submitted on time per the IDC but has not yet began running or become active; therefore the schedule is not allowed to start. A halt also applies to increases in a schedule that is active and running.*
- *A hold occurs on a schedule or schedule change that has not been submitted on time per the IDC and is not allowed to start.*
- *On time per the IDC is defined as a schedule that has been submitted and approved by all parties involved in the transaction, causing the tag to be Implemented and received in the IDC, more than 35 minutes prior to the start time.*

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.8	Forgiveness of Transmission Service Charges	1.3	8/25/2008


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Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	11/6/2001	11/6/2001	jw	Added no forgiveness for late (per the OATT) schedules
1.2	10/25/2005	10/25/2005	jw	Revised and added clarification of terms
1.2	6/11/2008	6/11/2008	jw	Revisions to Business Practice Manual - not affecting BP 1.8
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²³ SPP OATT Part II, Section 25 - http://www.spp.org/publications/spp_tariff.pdf

1.9 DISCOUNTS

[\(return to TOC\)](#)

Business Practice

Discount information for non-firm point-to-point transmission services is posted²⁴ on the SPP OASIS home page²⁵. Non-firm rates do not reflect any SPP discounts. Discounted prices for non-firm service are determined by applying the current effective SPP discount to the posted non-firm rates. The discount information is updated as necessary to reflect market conditions and other factors. The page is dated to allow users an easy reference for changes to the discount policy.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.9	Discounts	1.1	8/25/2008

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²⁴ FERC Order 889, Section 37.4 (b) (5) (v) - <http://www.ferc.gov/legal/mai-ord-reg/land-docs/order889.asp>

²⁵ SPP OASIS home page - <http://www.oatiaoasis.com>

1.10 REAL TIME DISCOUNTING

[\(return to TOC\)](#)

Real –Time Discounting is a practice of offering transmission service that has a relieving effect on a flowgate that is in TLR. The amount of discount will depend on level of TLR and flowgate history.

Business Practice

During real-time or anticipated near-term conditions of heavy loading on an SPP constraint, SPP may offer discounts on non-firm point-to-point transmission service requested during the period of expected heavy loading. Such discounts will be offered for new service that has an unloading effect on the constraint. The following procedures shall be followed when these discounts are offered and taken.

- *When a TLR level 1 or higher is called on an SPP constraint, SPP will post on the OASIS a notice of the discount, the period for which the discount is effective, and the source/sink combinations for which the discount will apply.*
- *After the notice is posted, the transmission customer must request and be granted non-firm point-to-point transmission service with an applicable source/sink combination and a start and stop time within the effective period of the discount.*
- *The customer must submit the transmission request using a service type that contains the subclass “Discounted”.*

NOTE: These notices will only be posted as requested by the customer

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.10	Real Time Discounting	1.2	10/23/2008

Revision History

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1		6/2/2004	jw	New Business Practice; renumbered pre-existing 1.10 to 1.11, 1.11 to 1.12, 1.12 to 1.13, 1.13 to 1.14
1.0	6/11/2006	6/11/2006	jw	Revisions to Business Practice Manual - not affecting BP 1.10
1.1	8/23/2008	8/23/2008	kjq	Assignment of document identifier
1.2	10/23/2008	10/23/2008	kjq	Modification of posting requirement to be initiated by customer request

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1.11 DC TIES

[\(return to TOC\)](#)

SPP administers transmission service across two sets of DC Ties, two to ERCOT and three to WECC. Services across these ties have different criteria. The basic approach to reserving service is the same as for other SPP service. However, since these are ties connecting to transmission providers outside the Eastern Interconnection, some additional actions are involved.

Business Practice

ERCOT

Both DC ties to ERCOT are owned and operated by AEP, an SPP transmission owner. SPP will limit the amount of transmission service granted across the DC ties in each direction to the capacity of the tie. If counter-flow schedules exist across the DC ties, SPP may grant additional non-firm service in excess of the DC tie capacity but not to exceed the transmission capacity scheduled in the opposite direction and in no case will SPP grant more than twice the capacity of the DC tie in any direction. Transmission service sold across the DC ties as a result of capacity created by counter-flows are curtailed with all other counter-flow purchases, on a pro rata basis. The following requirements must be met to reserve SPP OATT service across the two ERCOT DC Ties at Oklaunion (ERCOTN) and Welsh (ERCOTE)²⁶.

- *The SPP portion of the service must include a valid SPP POR/POD set with an appropriate DC tie (ERCOTE or ERCOTN).*
- *The request must include the ultimate source / sink system within the Eastern Interconnection, and either the ultimate source / sink within ERCOT or the DC tie identified as the POR or POD, unless service is being requested for unidirectional capacity exclusively for the DC tie.*
- *Service may be sold, upon request, to provide unidirectional capacity exclusively on the DC tie. That service will have to be linked with another SPP reservation showing the true Source/Sink or, if the service is firm, it can be redirected. To specify that service is being requested into an ERCOT tie, the reservation shall show the true source as either ERCOTE or ERCOTN and the appropriate DC tie as the POR, POD and sink. Comments showing the direction of flow must also be shown in the Customer Comments area of the request so that all customers may identify the direction of flow.*
- *To specify that service is being requested out of an ERCOT tie, the reservation shall show the true sink as either ERCOTE-SPP or ERCOTN-SPP and the appropriate DC tie as the POR, POD and source.
Example: Service into ERCOT across the ERCOTE tie
Source = ERCOTE-SPP*

POR = ERCOTE

POD = ERCOTE

Sink = ERCOTE

²⁶

Additional facilities usage fees may be incurred for use of transmission service inside the ERCOT region and be billed by companies other than SPP. See ERCOT for transmission service reservation and scheduling practices for use of facilities within ERCOT.

WECC

The DC ties to WECC are separately owned and operated. All of these connect to the Southwestern Public Service Co (SPS – An Xcel Energy Company) transmission system, which is under the SPP OATT. The Blackwater (BLKW) tie is owned and operated by Public Service Company of New Mexico (PNM). The Eddy County tie (EDDY) is owned by El Paso Electric (EPE) and Texas - New Mexico Power (TNP) but operated by SPS. The Lamar tie is owned and operated by Public Service Company of Colorado (PSCO). SPP will limit the amount of Non-Firm transmission service granted across the BLKW and EDDY DC ties in each direction to the capacity of the tie. If the WECC provider allows and counter-flow schedules exist across the DC ties, SPP may grant additional non-firm service in excess of the DC tie capacity but not to exceed the transmission capacity scheduled in the opposite direction and in no case will SPP grant more than twice the capacity of the DC tie in any direction. Transmission services sold across the DC ties as a result of capacity created by counter-flows are curtailed with all other counter-flow purchases, on a pro rata basis. The WECC does not allow additional Firm service to be sold based on counterflow and SPP does not sell additional Firm service based on counterflow; therefore no additional Firm service in excess of the capacity of the tie will be sold against schedules flowing in the opposite direction. The following requirements must be met to reserve SPP OATT service up to the WECC DC Ties LAMAR, BLKW and EDDY.

- The SPP portion of the service must include a valid SPP POR/POD with an appropriate WECC tie (LAMAR, BLKW or EDDY).
- The request must include the ultimate source / sink system within the Eastern Interconnection and the ultimate Source / Sink within the Western Interconnection or the DC tie identified as the POR or POD, unless service is being requested for unidirectional capacity exclusively for the DC tie.
- Service may be sold, upon request, to provide unidirectional capacity exclusively on the DC tie. This service will have to be linked with another SPP reservation showing the true Source/Sink or, if the service is firm, it can be redirected. To specify that service is being requested into a WECC tie, the reservation shall show the true source as either LAMAR_SPP, BLKW-SPP or EDDY-SPP and the appropriate DC tie as the POR, POD and sink. Comments showing the direction of flow must also be shown in the Customer Comments area of the request so that all customers may identify the direction of flow.
- To specify that service is being requested out of a WECC tie, the reservation shall show the true sink as either LAMAR-SPP, BLKW-SPP or EDDY-SPP and the appropriate DC tie as the POR, POD and source.
Example: Service into WECC across the BLKW tie
Source = BLKW-SPP

POR = BLKW

POD = BLKW

Sink = BLKW

- Transmission service must be reserved with both SPP for the SPP portion (SPP OASIS site²⁷) and the appropriate WECC Transmission Providers for the WECC portion of the service.
- SPP emphasizes to customers that they must get service from the owner of LAMAR (PSCO), BLKW (PNM) or Eddy (EPE, and/or TNP) to be able to go out of SPP from SPS across the DC ties.

Additional General Rules

SPP will not offer any service above the continuous operating capability rating of the ties except non-firm service under counter flow situations where schedules in the opposite direction have been submitted. Any counter flow schedules must be profiled to flow for the entire duration of the new reservation request before any new request would be considered that would make the total reserved in one direction above the continuous capability of the tie.

²⁷ SPP OASIS site - <http://www.oatioasis.com>

SPP will not knowingly sell more across the ties than can be accommodated during maintenance or other times when the ties may be de-rated. However, outage and de-rating situations will arise from time-to-time. If there is an outage on the SPP AC system that prevents SPP from allowing schedules to the full amount sold, pro rata curtailments based on NERC TLR procedures will be enforced and rebates for curtailment periods will be made. For the ERCOT DC Ties, these rules will also apply when the curtailment is necessary due to the DC Tie facilities themselves. For curtailments due to AC system problems in WECC or ERCOT or for problems on the WECC DC Ties, there will be no rebate of SPP service. Curtailment procedures will also be the responsibility of the ERCOT ISO or the appropriate WECC entity.

SPP will not immediately offer for resale capacity across a DC tie which is made available by the cancellation or withdrawal of a tag with the priority of 7-F, 7-FN, or 6-NN or the Annulment of a NITS reservation. To ensure fair and open access, the capacity will be made available at the top of the next hour if it can be posted for a minimum of thirty (30) minutes. If there is less than 30 minutes until the top of the hour, the ATC will not be made available until the top of the following hour. The available capacity will be granted using the same process that is used at Noon of each day for Non-Firm service. This capacity may still be bumped by the scheduling of a higher priority service. A notice will be posted on the SPP OASIS specifying how much capacity is available and for how long.

Additional Information

There is a bundled agreement under SPS contracts across the DC ties, 150 East to West across BLKW to Public Service Company of New Mexico (PNM). This agreement remains under SPS administration and is treated as a dynamic schedule by SPS. Schedules against this reservation are tagged, but as long as they are treated as dynamic schedules and under SPS Transmission rules, SPP cannot offer non-firm service for schedules not received by the SPP scheduling deadline.

SPP has agreed to an operating practice that, in effect, releases the service under the dynamic schedules to the market. When the dynamic schedule above is interrupted for reasons other than transmission constraints SPS will notify SPP by telephone at least 10 minutes prior to the opening of the next-hour-only reservation window if that next hour will be available. SPP will post any such availability on the OASIS under the heading "Blackwater DC Tie Availability". The posting will specify the time frame (one hour only) that is available, and the time SPP will start accepting requests for that declared availability. Any reservation submitted prior to that time will be refused. Once the availability has been declared by SPS, it cannot be retracted.

All other reservations sold under the SPS Open Access OATT were converted to SPP service as of June 29, 2000 including the remaining service sold across the DC ties.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.11	DC Ties	1.9	1/14/2009

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation (Initially published as BP 1.10 - renumbered to BP 1.11 on 8/24/2004)
1.1	11/6/2001	11/6/2001	jw	SPP will post any such availability on the OASIS under the heading "Blackwater DC Tie Availability", in place of SPS will post
1.2	10/24/2002	10/24/2002	jw	Removed bullet stating that CSWS is the scheduling agent. Removed statement that energy imbalance must be purchased from AEP. Removed bullet that SPS is the scheduling agent for all transactions across the SPS DC ties
1.3	10/17/2003	11/1/2003	jw	NOTE: This change is effective 11/1/03. Modifications made to address unidirectional capacity transmission service into the DC ties
1.4	1/7/2004	1/7/2004	jw	Corrected web addresses for ERCOT in footnotes; changed should to shall for unidirectional service reservation showing true source as ; corrected SPP OASIS website address in several footnotes.
1.5	4/14/2005	4/14/2005	jw	Inclusion of LAMAR DC ties and other changes.
1.6	7/18/2007	7/18/2007	jw	Cleaned up language regarding ownership/operators of the DC ties. Add language from BP 5.3 so that 5.3 can be removed.
1.7	2/6/2008	2/6/2008	jw	Added language for delayed offering of ATC made available
1.8	3/17/2008	3/17/2008	jw	Clarified counterflow language
1.8	6/11/2008	6/11/2008	kjq	Revisions to Business Practice manual - not affecting BP 1.11
1.8	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.9	1/14/2009	1/14/2009	kjq	Clarified counterflow language, replaced WSCC, clarified LAMAR contacts

Approval

Primary Owner: Jimmy Womack

Date



Digitally signed by Jimmy D. Womack
 DN: cn=Jimmy D. Womack, o=JFF Inc., ou=JFF Tariff Administration, email=jwomack@spp.org, c=US
 Date: 2008.01.14 17:17:19 -0607

Signature date supercedes prior approval dates

1.12 EMERGENCY TRANSMISSION REQUEST

[\(return to TOC\)](#)

Emergency Transmission Service (ETS) is hourly non-firm transmission service provided or offered by SPP during certain circumstances that qualify as emergency conditions²⁸. The following describes SPP's business practice concerning the provision of ETS.

Business Practice

ETS is offered by SPP only under the following circumstances:

- *When a control area that is a member of the SPP Reserve Sharing Group initiates a reserve sharing event that results in energy assistance from other members of the group, ETS will be used for those energy assistance schedules requiring SPP transmission service. SPP will submit an ETS request after the contingency has occurred.*
- *When transmission service is necessary to provide emergency assistance to an entity that is in a level 2 Energy Emergency Alert (SPP will verify the EE2 via the RCIS).*
- *When the SPP Reliability Coordinator directs a transmission customer to implement redispatch associated with Firm Transmission Service.*

ETS is not restricted by the timing requirements in Attachment P of the SPP OATT.


Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.12	Emergency Transmission Request	1.2	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	7/18/2007	7/18/2007	jw	Added ETS will be used for emergency assistance to an entity in level 3 EEA
1.2	5/22/2008	5/11/2008	jw	Changed EEA Level Declaration from EEA3 to EEA 2 as requirement for ETS Service
1.2	8/25/2008	8/25/2008	kjc	Assignment of document identifier

Approval

Primary Owner: Jimmy Womack Date



Jimmy Womack
Initial above

²⁸ SPP Criteria Section 6 - <http://www.spp.org/publications/Criteria07282009-with%20AppendicesCurrent.pdf>

1.13 ATC POSTING AND SCENARIO ANALYZER

[\(return to TOC\)](#)

Business Practice

FERC Order 889, Section 37.2 (b)²⁹ requires Firm and Non-Firm ATC path values to be made available to OASIS users on the appropriate OASIS page. SPP provides the Scenario Analyzer, which is an OASIS Automation module, for Transmission Customers to enter transmission service requests for analysis of available capacity without submitting an actual request on OASIS. This Internet location³⁰ provides access to the Submit Request link for Eligible Customers. The Scenario Analyzer is available by completing the transmission request form³¹, and then selecting the ANALYZE button. User certification is required for access to the Scenario Analyzer.

SPP will update firm and non-firm flowgate capacity values continuously following recalculations, and as transmission service requests are processed. These postings will be available on the SPP OASIS page. User certification is required for access to the site.

Explanation / Rationale

The flow-based approach being used to process requests under the SPP OATT requires computing constrained facility ATC for available service increments. Constrained facility ATC represents remaining capacity available on a constrained facility. Transmission Customers, however, are interested in ATC that is available between a source and sink and not necessarily the capacity that is available on a constrained facility. To meet the needs of customers, the SPP provides a Scenario Analyzer as part of OASIS Automation. The Scenario Analyzer is an OASIS Automation module that allows Transmission Sink Customers to evaluate availability of capacity on certain designated constrained facilities for the Source and Sink pair, but does not decrement ATC since no request has been submitted. Information is entered on the form for:

Source name

Sink name

POR name

POD name

Capacity type

Begin time (for each time segment)

End time (for each time segment)

Capacity value (for each time segment)

After entering information on the request form, ANALYZE is selected to view ATC without actually submitting a request for service. A request for service would be issued to OASIS if the SUBMIT option were chosen after completing the form. The resulting display will provide the user with a profiled path ATC for the duration of the request, and provide the most limiting constraint for the different time periods. The customer can then select the SUBMIT button³², provided on the Scenario Analyzer Results page, to submit the request as a valid request, regardless of the results of the analysis request.

²⁹ FERC Order 889, Section 37.2 (b) - <http://www.ferc.gov/legal/maj-ord-req/land-docs/order889.asp>

³⁰ SPP OASIS home page - <http://www.oatioasis.com>

³¹ Scenario Analyzer via OASIS transmission service request page - <http://www.oatioasis.com>

³² Scenario Analyzer via OASIS transmission service request page - <http://www.oatioasis.com>

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810posBP1.13	ATC Posting and Scenario Analyzer	1.2	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	10/24/2002	10/24/2002	jw	Corrected title (ATC Posting); removed reference to Tradewave.
1.2	1/7/2004	1/7/2004	jw	Removed reference to registration with NERC for access to Scenario Analyzer
1.2	6/11/2008	6/11/2008	jw	Revisions to Business Practice Manual - not affecting BP 1.13
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

Primary Owner: Jimmy Womack

Date



Jimmy D. Womack
 Director, Regulatory Services
 Tariff Administration
 500 North Main Street
 St. Louis, MO 63102

Signature date supercedes prior approval dates

1.14 CURRENT-HOUR TRANSMISSION SERVICE

[\(return to TOC\)](#)

Current-hour transmission service is non-firm hourly or secondary transmission service to be utilized within the current hour or within the next hour but submitted less than 30 minutes prior to the start of the hour. The following describes SPP’s business practice concerning the provision of current-hour transmission service.

Business Practice

Requests for current-hour transmission service:

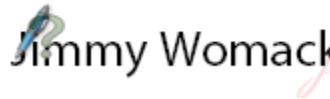
- *Must be made on the OASIS.*
- *Are limited to a single hour.*
- *Must be made for a full hour even if the intent is to schedule the service for a partial hour.*
- *Must be scheduled and tagged and are subject to applicable scheduling timing requirements and approvals.*
- *Are not to be used by the transmission customer to request service for transmission usage after the fact.*
- *Must be Pre-Confirmed.*

Revision History

Ver No:	Rev. Date:	Eff. Date:	By	Summary of Changes
1	2/23/2004	2/23/2004	jw	New Business practice added for new type of service - current hour non-firm
1.0	6/11/2008	6/11/2008	jw	Revisions to Business practice Manual - not affecting BP 1.14
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.2	4/20/2010	4/20/2010	kjq	Added preconfirmation requirement

Approval

Primary Owner: Jimmy Womack



Digitally signed by Jimmy Womack
 DN: cn=Jimmy Womack, o=SPP, ou=SPP
 RTO-TA, email=jwomack@spp.org, c=US
 Date: 2010.04.20 16:56:28 -05'00'

Signature date supercedes prior approval dates

1.15 NOTIFICATION TO CONSTRUCT

[\(return to TOC\)](#)

A SPP Notification to Construct (NTC) letter is a formal SPP document directing the commencement of construction of Network Upgrades intended to meet SPP Transmission Expansion Plan (STEP)³³, SPP Open Access Transmission Tariff (OATT)³⁴, or Regional Transmission Organization (RTO) Determined Needs.

The authority for SPP to issue an NTC is derived from approval by the SPP Board of Directors, a FERC filed Service Agreement under the SPP OATT, an endorsed Economic or Sponsored Upgrade upon the execution of a contract that financially commits a Project Sponsor to fund such upgrade, or when such upgrade is otherwise required pursuant to the tariff.

The issuance of an SPP NTC represents that the Network Upgrade is eligible for cost recovery under the SPP OATT.

Business Practice

An SPP NTC may be issued for Network Upgrades originating from, but not limited to, the following processes: (NOTE: These are descriptions of when a NTC may be issued and are not Tariff definitions.)

Reliability Study Process

- *Regional or zonal reliability study upgrades as directed for construction by the SPP Board of Directors in accordance with Attachment O to the SPP OATT including upgrades identified through the SPP NERC Regional Entity (RE) compliance review process.*

Balanced Portfolio

- *Economic Upgrades identified in an approved Balanced Portfolio which was developed in accordance with Attachment O of the SPP OATT.*

Sponsored Upgrades

- *Project upgrades for which a project sponsor(s) has been selected, directed for inclusion in the STEP, and have been endorsed by the SPP Board of Directors in accordance with Attachment O to the SPP OATT.*

SPP Tariff Studies – Transmission Service Studies

- *Network Upgrades identified in an agreement processed by SPP staff to accommodate new Transmission Service*

Out of Cycle Reviews

- *Local planning or sub-regional studies may reveal additional economic or reliability upgrades beneficial or necessary to the transmission network. These proposed upgrades may be submitted to the RTO for consideration for an out of cycle review by the SPP Board of Directors. Out-of-cycle projects receiving approval from the SPP Board of Directors for inclusion in the STEP may receive an SPP NTC depending on the nature, timing and urgency of the project. In the case(s) of endorsed project(s), the NTC will not be issued until a project sponsor is financially committed to the project.*

SPP recognizes that other factors beyond SPP governing documents may result in system upgrades which are reasonable and appropriate. Such upgrades may not require an SPP issued NTC.

³³ http://www.spp.org/publications/BOD%20Appendix%20B_simplified.xls

³⁴ http://www.spp.org/publications/spp_tariff.pdf

SPP will issue a Notification to Construct under the following conditions and Time Constraints:

- *Transmission Upgrades Needed to Satisfy Reliability Criteria :*
 - Unless previously issued, SPP staff will issue the NTC for all Network Upgrades approved by the SPP BOD which are required to begin construction for which financial commitment is required prior to the approval of the next update of the SPP Transmission Expansion Plan. within 15 business days from the time the SPP Board of Directors endorses or approves the project.*
- *Sponsored Upgrades;*
 - *an NTC will not be issued for an endorsed Sponsored Upgrade until a project sponsor is financially committed to pay for the Sponsored Upgrade..*
- *Network upgrades related to transmission service requests;*
 - *Unless previously issued, SPP staff will issue the NTC for all identified projects associated with a Transmission Service Agreement (TSA) within 15 business days from the time at which SPP receives an executed TSA from the customer or a written request from a customer requesting a TSA be filed unexecuted.*

Withdrawal of a Notification to Construct

- *When a project with a previously issued NTC letter is no longer required to be built as determined by its as determined by its appropriate evaluation and approval process, SPP Staff shall issue a NTC withdrawal letter to the project owner. The SPP Board of Directors will be notified upon issuance of a NTC withdrawal letter.*
- *Within six months after receiving a NTC withdrawal, the designated provider shall notify SPP of any costs that it incurred prior to receiving the withdrawal of the NTC for reimbursement pursuant to Section 8 of Attachment J.*

SPP may withdraw a NTC from a designated Transmission Owner upon SPP's determination that the designated Transmission Owner is not fulfilling its obligation to construct the Network Upgrade pursuant to the tariff. To withdraw an NTC the SPP must determine if there are any issues impeding the completion of the Network Upgrade outside the control of the designated Transmission Owner. If SPP determines that a designated Transmission Owner is not fulfilling its obligations under the tariff, SPP will Notify the designated Transmission Owner by issuing a Notification to Construct Withdrawal (NTCW). SPP will then solicit and evaluate proposals for the project from other entities and select a replacement designated Transmission Owner at which time a new NTC will be issued to the replacement designated Transmission Owner, as provided for under Attachment O section IX paragraph (5)³⁵.

Status of Upgrades Identified in the SPP Transmission Expansion Plan

- *On a quarterly basis the SPP shall post:*
 - *the status of the upgrades on the SPP website with the appropriate NTC identifiers*
 - *Any NTC(s) issued during the quarter*
 - *Any NTCW(s) issued during the quarter*

Format of a Notification to Construct and NTC identifiers

³⁵ http://www.spp.org/publications/spp_tariff.pdf

To ensure proper and consistent documentation of approved Network Upgrades, a SPP NTC letter shall include, at a minimum, the following information:

- **NTC Information**
 - *NTC letter will be assigned a unique NTC Identification Number.*
 - *NTC ID# convention: SPP-NTC-[n]*
 - *Example: SPP-NTC-00001*
- **Project Information**
 - *Projects will be assigned a unique Project Identification Number (PID)*
 - *Project ID# convention: SPP-PID-[n]*
 - *Example: SPP-PID-00001*
 - *Projects consist of one or more Network Upgrades*
 - *The Project Name will generally describe the Network Upgrades associated with the Project*
 - *Projects will include the RTO Determined Need Date and Estimated Cost*
- **Upgrade Information**
 - *Upgrades will be assigned a Unique Upgrade Identification Number (UID)*
 - *Upgrade ID# convention: SPP-UID-[n]*
 - *Example: SPP-UID-00001*
 - *The Upgrade Description will provide a brief scope of the Network Upgrade*
 - *Documentation of Upgrade owner*
 - *SPP Member/facility owner(s) and Contact Information*
 - *Markets and Operations Policy Committee (MOPC) Representative*
 - *TWG Representative, if applicable*
 - *Categorization*
 - *Economic as a part of a Balanced Portfolio*
 - *Regional Reliability*
 - *Sponsored*
 - *Transmission Service*
 - *Zonal Reliability*
 - *Other*
 - *Upgrade Specifications*
 - *Upgrade Type: Reconductor, New Construction, etc.*
 - *Voltage levels*
 - *Estimated Line Length and minimum required summer and winter rating*
 - *Transformer, minimum required summer and winter rating*
 - *Associated terminal equipment, minimum required summer and winter rating*
 - *Any other static equipment*
 - *Upgrade Justification*
 - *NERC Reliability Compliance*
 - *Regional Study reliability*
 - *Zonal criteria*
 - *Transmission service request – Designated Network Resource or Point to Point*
 - *Economic*
 - *RTO Determined Need Date*
 - *Upgrade cost estimate in present day dollars, date of cost estimate, and origination of cost estimate.*
 - *Documentation of Approvals*
 - *Board of Directors approval date or reference to approved motion*
 - *Executed Service Agreement number*
 - *Commitments details of Sponsored/Voluntary Economic Upgrades.*
 - *Documentation of Approval History*
 - *The NTC will list any previously issued NTC ID numbers associated with the approved Network Upgrade or Network Upgrade change.*

- The NTC will include any related past NTC identification numbers to ensure proper documentation of the approval.

When the situation warrants issuing a new NTC, the new NTC will include past NTC numbers and information documenting the upgrade change and party requesting the formally approved upgrade change, rational for the change and approvals for the scope adjustments.

A Sample NTC for a Network Upgrade is provided as Appendix A.

DISPUTE RESOLUTION

Dispute resolutions should be handled as specified in Section 12 of the SPP OATT. If a dispute is filed the Customer that has service contingent upon the upgrade being completed shall be notified by SPP staff.

Appendix A: Sample NTC for a Network Upgrade

SPP Notification To Construct

415 N. McKinley, 140 Plaza West
Little Rock, AR 72205-3020
501-614-3220 • Fax: (501) 666-0376
P. Jay Caspary
Director, Engineering

SPP-NTC-00001

[DATE]

[[COMPANY NAME]]

[ADDRESS]

[CITY, STATE, ZIP]

RE: Notification to Construct for Approved Appendix B upgrades in 2008-2017 STEP

Dear **[[COMPANY NAME]]**,

During the **[DATE]** meeting, the Southwest Power Pool (SPP) Board of Directors approved and directed the network upgrades listed below to be constructed. As a result, based on Section IX.4 of Attachment O to the SPP Open Access Transmission Tariff (OATT), SPP is notifying the **Company** to move forward with the development of the following upgrades/mitigations to alleviate associated reliability concerns:

Project ID: [SPP-PID-2]

Previously issued PID: (if any) [Previous PID#]

Project Name: [Project Name]

RTO Determined Need Date for Project: [Project Need Date Based on Tariff Study, Reliability Study, NERC Standard Compliance]

Estimated Cost for Project: [\$###,###]

Upgrade ID#: [SPP-UID-3]

Previously issued UID: (if any) [Previous UID#]

Upgrade Description: [Upgrade Description]

Upgrade Owner: [Company]

Primary Representative: [Representative Information]

Secondary Representative: [Representative Information]

Categorization: [Regional Reliability / Zonal Reliability / Economic]

Upgrade Specifications: **[Upgrade Specifications]**
 Upgrade Justification: **[Upgrade Specifications]**
 RTO Determined Need Date for Upgrade: **[Upgrade In-Service Date]**
 Estimated Cost for Upgrade: **[\$###,###]**

Mitigation or Alternative Solution

The RTO Determined Need Date represents the timing required for the upgrade to address the identified need. We would appreciate your prompt attention to formulation and approval of any necessary mitigation plans for NERC Reliability Compliance upgrades if this date is not feasible.

The Company shall submit a notification of commercial operation for each listed upgrade to SPP as soon as the upgrade is complete and in service. Please provide SPP with the actual costs of these upgrades as soon as possible after completion of construction. This will facilitate the timely billing by SPP based on actual costs.

The Company is required to report to SPP if it is unable to complete the approved upgrade(s) on schedule. In addition, SPP requests that a construction schedule supporting the required upgrade(s) noted above be provided within 60 days of written confirmation of acceptance of a Notification to Construct. For project tracking, the Company will provide SPP an update on the upgrade(s) schedule status on a quarterly basis in conjunction with the SPP Board of Directors meetings. If it is anticipated that the completion of any approved upgrade will be delayed past the RTO Determined Need Date, SPP requires a mitigation plan be filed within 60 days of the determination of expected delay in the upgrade schedule.

Don't hesitate to contact me if you have questions or comments about these requests.

Sincerely,

Director, Engineering

cc: COO, VP Regulatory Policy, Director Transmission Policy, **MOPC REPRESENTATIVE(S)**, SPPprojecttracking@spp.org.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP1.15	Notification to Construct	1.0	1/14/2009

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1.0	1/14/2009	1/14/2009	spp	New Business Practice

Approval

Primary Owner: Jimmy Womack Date 1/14/2009

Jimmy D. Womack
 Digitally signed by Jimmy D. Womack
 DN: cn=Jimmy D. Womack, ou=SPP
 Inc., ou=OTD Tariff Administration,
 email=jwomack@spp.org, c=US
 Date: 2009.01.14 13:05:42 -0600

Signature date supercedes prior approval dates

1.16 REQUIREMENTS FOR EXTERNAL GENERATORS

[\(return to TOC\)](#)

External generation resources are allowed to participate in the SPP EIS (Energy Imbalance Service) Market, subject to appropriate registration, implementation and scheduling procedures. Mutual agreements and covenants regarding External Generators are governed by Attachment AO, of the SPP OATT³⁶. If all or part of a generating resource has been identified as a Designated Resource and has also been pseudo tied into the Balancing Authority (BA) where the market load resides, such a generating resource is not considered to be an external generator resource but must meet the requirements as a market resource.

Business Practice

Registration:

- *The entity wishing to have the External Generator participate in the EIS Market shall register with the SPP to become a Market Participant in the SPP EIS Market, in accordance with SPP EIS Market Protocols³⁷.*
- *Each External Resource (Generation Facility Name and Location) must be registered separately with SPP and registration information shall be provided to both the Source Balancing Authority and the Sink Balancing Authority.*
- *Market Participant may work directly with the Source Balancing Authority and Sink Balancing Authority to arrange for external generation participation, or may request SPP help in facilitation.*

Implementation:

- *SPP OATT, Attachment AO³⁶, does not provide for the reservation or sale of Transmission Service under the SPP's Open Access Transmission Tariff (OATT)³⁶ or on any other transmission system. Market Participant is responsible for securing and paying for all costs associated with non-SPP provided transmission service across all transmission service providers necessary to deliver power from the External Resource to the SPP Transmission System.*
- *Except as provided below, the Market Participant shall secure Firm Transmission Service from where it is physically located through a defined path to the SPP Transmission System.*
- *Any External Resource that is on the SPP Transmission System, but outside the Market Footprint, satisfies the requirement for obtaining transmission service to the SPP Transmission System. This shall be interpreted to mean that no additional transmission service reservation on the SPP OASIS³⁸ is required.*
- *Market Participant may use non firm service across all transmission service providers necessary to deliver power from the External Resource to the SPP Transmission System, subject to the following conditions:*
 - *SPP Operating Reserves may be utilized to support the transaction, or*

³⁶ SPP OATT, Attachment AO – [SPP OATT](#)

³⁷ SPP EIS Market – Market Protocols – [SPP EIS Market Protocols](#)

³⁸ SPP OASIS – [SWPP OASIS](#)

- *The Source or Sink Balancing Authority and any intermediary transmission service providers agree to request an adjustment to the pseudo-tie values only under emergency conditions requiring action to be taken more quickly than can be achieved through the Market Operating System (MOS).*

External Generator Tagging:

External generation tags into the SPP EIS Market are subject to the following guidelines:

EXCEPTION: An External Resource that is on the SPP Transmission System, but outside the Market Footprint is not required to submit a pseudo tag.

- *Must use the type "Pseudo" NERC E-tag.*
- *Must include "SPP Market External Generator" in the misc/comment field of said tag.*
- *Source of the pseudo tag must be the market registered source/settlement location.*
- *Sink of the pseudo tag must be the sink settlement location as agreed to by the Sink Balancing Authority.*
- *Pseudo tag shall never exceed the maximum resource capacity on the Market Participant's resource plan.*
- *Pseudo tag must be submitted no later than 20 minutes prior to start.*
- *SWPP must be a scheduling entity on the Transmission Provider line.*

Resource Plan:

- *Resource plan changes shall be consistent with SPP EIS Market Protocols.*

SPP Transmission Requirements:

- *No transmission reservation is required for the portion of service within the SPP footprint.*

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsbp1.16	Requirements for External Generators	1.0	1/14/2009

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1.0	1/14/2009	1/14/2009	spp	New Business Practice

Approval

Primary Owner: Jimmy Womack

Date 1/14/2009

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2 Reserving Transmission Service

2.1 TRANSMISSION SERVICE REQUEST RESPONSE (FIRM POINT-TO-POINT)

[\(return to TOC\)](#)

Requests for firm point-to-point transmission service on SPP's OASIS shall be answered within the time frames specified in the OATT unless there are extenuating circumstances. If there is adequate Available Transfer Capability ("ATC"), SPP will promptly accept relevant requests. When ATC is zero, or is inadequate to accommodate a request, the response will be determined by the situation as classified by the following business practice.

Business Practice

Short Term Requests

If there is limited ATC to accommodate all outstanding requests, the remaining ATC will be sequentially offered according to service type and queue priority until all requests have been responded to, or the ATC drops to zero. That sequential process may be protracted if there are numerous requests in the queue.

If there is zero ATC available for the requested path and time period, and inadequate time to modify the transmission system to afford ATC, SPP will refuse the request on a non-discriminatory basis.

If there is limited or no ATC but system modifications or Redispatch can be effected, then a System Impact Study (SIS) will be offered. The customer will be notified by phone and the message "SIS needed" will be put in the Provider Comments field of the OASIS request. Once the customer has been notified, the customer will have one business day to notify SPP by phone and by noting in the Customer Comments field that a SIS agreement is wanted. A SIS Agreement will be tendered to the Customer within 30 days of the receipt of a Completed Application. Depending on the number of pending requests for transmission service, the completion of a SIS may take significantly longer than the stated target of completion within 60 days. SPP will notify the customer of such delays and the reason for the delay (consistent with Sec. 19.3 of the OATT³⁹). If such a delay is anticipated, upon tendering the SIS, the delay will be addressed in the SIS Agreement.

Long Term Requests

If the request is a new long-term transmission service request that is not competing for the same transmission capacity as a reservation that may be renewed, system capacity must be sufficient to accommodate the new request. New requests for Long Term service will be considered upon the Transmission Provider's acceptance of a Completed Application, to include, an executed Aggregate Facility Study Agreement and a study deposit, if required.

If the request is a new long-term transmission service request that is competing for the same transmission capacity on the same transmission path as a reservation that may be renewed, it will be handled in accordance with Business Practice 2.12.⁴⁰

³⁹ SPP OATT Section 19.3 - http://www.spp.org/publications/spp_tariff.pdf

⁴⁰ SPP Business Practice 2.12 – [Handling Renewal Rights](#)

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810posBP2.1	Transmission Service Request Response (Fir	1.3	7/13/2010

Revision History

Ver No:	Rev. Date:	Eff. Date:	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	11/6/2001	11/6/2001	jw	If there is zero ATC available for the requested path and time period, and inadequate time to modify the tr
1.2	8/2/2004	8/2/2004	jw	Added method for notification and response of SIS need
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.3	7/13/2010	7/23/2010	ppwg	procedure clarification, BPR010 MOPC approval 7/13/2010

Approval

Primary Owner: Jimmy Womack



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2.2 VALID RESERVATION POR / POD

[\(return to TOC\)](#)

Business Practice

SPP requires a POR and POD to be identified on each request for transmission service under the SPP OATT. Eligible POR/POD's under the SPP OATT include the following:

- Control areas that have committed their transmission facilities to the SPP OATT
- Control areas physically or contractually connected to those control areas under the OATT
- ERCOT DC ties (ERCOTN and ERCOTE)
- SPS DC ties (Blackwater and Eddy)

Eligible POR / PODs can be viewed on the SPP OASIS site⁴¹.

The POR/POD for transmission service purchased from SPP must match the source/sink⁴², be an ERCOT DC Tie for an ERCOT source/sink, be an SPS DC Tie for a WSCC source/sink, or be a control area that contains the source/sink.

The only exception is when SPP OATT service is being combined with other SPP OATT transmission service, or service that is grand-fathered with respect to the SPP OATT. In those instances, the Transmission Customer must specify a POR and POD for the OATT service that forms a contiguous scheduling path with the other transmission service. Since the POR and POD may not match the source and sink (as the same control area or a system within that control area) in this case, the transmission customer must include comments on the request, indicating that the service is being combined with other service. If this comment is not included, the request status will be set to INVALID or REFUSED.

Explanation / Rationale

Many of the ancillary service charges under the SPP OATT are directly affected by POR / POD information on a transmission reservation. Sink and/or POD information is used to apply transmission service zonal rate charges for Point-to-Point service, and determine Monthly Demand Charges for Network Service. It is therefore imperative that correct POR /POD information be given on reservation requests. Additionally, ATC can be materially affected if POR/POD information is not accurate on a reservation request.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.2	Valid Reservation POR / POD	1.1	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

Primary Owner: Jimmy Womack

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⁴¹ SPP OASIS page for submitting a transmission service query - <http://www.oatioasis.com>

⁴² SPP Business Practice 2.3 – see [Valid Reservation Source/Sink](#)

2.3 VALID RESERVATION SOURCE / SINK

[\(return to TOC\)](#)

SPP uses a flow-based approach to determining Available Transfer Capacity (ATC). It is therefore important to system reliability that sources and sinks represent the intended use of system capacity, reflecting the two ends of the flow path.

Business Practice

Valid sources and sinks for SPP reservation requests must be one of the following:

1. *Control areas and systems within control areas that are included on the SPP Price Matrix⁴³*
2. *True source or sink system or control area using the appropriate NERC-registered entity acronym where available*

Due to the Standards and Communication Protocols for OASIS issued by FERC and NAESB, the SPP OASIS system has limitations on the number of characters that can be used as a source or sink on OASIS. The maximum number of characters that can be used for a source or sink on OASIS is fourteen (14). If a source or sink name exceeds this limit, the OASIS will automatically truncate the extra characters and the source/sink name will be incomplete and therefore considered INVALID.

When multiple SPP reservations are used to create a contiguous path on a tag, at least one reservation must have the true source/sink.

Revision History

Ver No:	Rev. Date:	Eff. Date:	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.2	4/29/2009	4/29/2009	kjq	Added 14 character limit, per FERC and NAESB

Approval

Primary Owner: Jimmy Womack Date

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⁴³ SPP Price Matrix - http://sppoasis.spp.org/documents/swpp/tariff/pri_home.cfm

2.4 Retraction of a Transmission Request

[\(return to TOC\)](#)

Business Practice

Attachment P of the SPP OATT⁴⁴ contains timing requirements that prescribe when a customer must respond to an accepted request for transmission service. If a customer has not confirmed or withdrawn a request by the end of the time specified for the specific type of service requested, SPP will retract acceptance of the request. Occasionally, however, a customer may confirm a request after the customer response time has expired before SPP can retract the request. The disposition of a request that is confirmed after the customer response time has expired depends on the existence of one or more competing requests.

- If a competing request exists or existed at the time confirmation was due or prior to the request being confirmed, the request that was confirmed will be retracted (OASIS currently does not have a means to allow SPP to display a status of "retracted" once the reservation has been confirmed, therefore it will display a status of "annulled" and will include comments indicating the request has actually been retracted).
- If no competing request exists or existed at the time confirmation was due or prior to the request being confirmed, no action will be taken to retract the request.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.4	Retraction of a Transmission Request	1.3	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1.0		2/2/2001	JW	Document Creation
1.1	3/21/2003	3/21/2003	JW	Document creation Change to allow for competing request "exists or existed" at the time "confirmation was due or prior to" a request "being" confirmed.
1.2	3/21/2003	3/21/2003	JW	Changed security terminology to reliability terminology.
1.3	8/25/2008	8/25/2008	KJQ	Assignment of Document Identifier

Approval

Primary Owner: Jimmy Womack

Date



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⁴⁴ SPP OATT Attachment P - http://www.spp.org/publications/spp_tariff.pdf

2.5 ANNULMENT OF A RESERVATION REQUEST

[\(return to TOC\)](#)

Only the Supervisor of Tariff Administration or the Director of Operations can annul or authorize annulment of a reservation. A point-to-point reservation will not be annulled because the customer decides they cannot use the service. If a customer decides they cannot or do not want to use the service, they have the right to resell the service⁴⁵, and for firm point-to-point service, use a secondary POR/POD or request to redirect to another POR/POD⁴⁶. SPP does not consider this an unintentional mistake and will therefore not annul a reservation for this reason.

Business Practice

SPP will annul or recall capacity from a transmission reservation under the following conditions.

1. *SPP will annul a point-to-point reservation if an unintentional mistake is made entering a valid request for transmission service. The determination of whether an unintentional mistake was made will be at the sole discretion of the Supervisor of Tariff Administration or the Director of Operations, and be made under the specific conditions present at the time. In order for the point-to-point reservation to be considered for annulment, the customer must notify the Tariff Administrator within 20 minutes of confirmation of the reservation for any hourly service, within 1 hour of confirmation for any daily service, within 24 hours of confirmation for any weekly service, within 2 days of confirmation for any monthly service and within 7 days of confirmation for any yearly service.*
2. *SPP will annul network service reservations that have a duration of less than one year at the customers' request any time prior to being scheduled.*
3. *SPP will annul a reservation if the Tariff Administrator approves, and the customer confirms, a request that is invalid or violates an existing business practice. When the invalid reservation is discovered, SPP will annul it and the customer may enter a valid request or SPP may enter a valid request on the customers' behalf using the Post Reassignment option.*
4. *SPP will use the following guidelines for recalling capacity on reservations approved by SPP in error, i.e., the request should have been refused due to insufficient ATC:*
 - *If the request should have been refused due to DC Tie or contract path limitations, SPP will recall the capacity as soon as the error is identified.*
 - *If the request should have been refused due to a limitation other than a DC Tie or contract path limitation, SPP will notify the customer and recall the request no later than: 1 hour prior to start for any hourly service, 12 hours prior to start for any daily service, 24 hours prior to start for any weekly service, or 4 days prior to start and within 7 days after confirmation for any monthly service.*

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.5	Annulment of a Reservation Request	1.3	8/25/2008


Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	8/2/2004	8/2/2004	jw	Added customer notification time limits.
1.2	4/14/2005	4/14/2005	jw	rewrite
1.3	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

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⁴⁵ SPP OATT Section 23 - http://www.spp.org/publications/spp_tariff.pdf

⁴⁶ SPP OATT Section 22 - http://www.spp.org/publications/spp_tariff.pdf

2.6 PARTIAL ACCEPTANCE OF A TRANSMISSION REQUEST

[\(return to TOC\)](#)

Business Practice

If a request for transmission service cannot be accepted in its entirety, SPP will offer the portion of the transmission service that it can provide for the term or service increment of the service requested, subject to the following conditions:

1. *If the portion or portions of service that can be provided is of the same service increment as was requested, SPP will counteroffer the customer the portion or portions of service that can be accepted. The customer must decide whether to accept the counteroffer, subject to the timing requirements in Attachment P of the SPP OATT⁴⁷.*
2. *If the portion or portions of service that can be provided is of a lesser service increment than was requested, the customer will be notified that the lesser increment service is available with the appropriate comments on the OASIS⁴⁸. The customer may then submit a new request for the available service increment, subject to Attachment P timing requirements. The reservation priority of the new request will be established pursuant to OATT requirements, based on its submission time and service increment.*

Examples

Increment Available, but not for the Term Requested (falls under # 1 above)

A customer submits a monthly firm request for 3 months at 100 MW. SPP determines that this request can be accepted at its requested amount (100 MW) and increment (monthly), but only for 2 months. No firm ATC is available for the middle month. The request is partially accepted for the first and last months. SPP will counteroffer the customer the two (2) available months by editing the profile of the request.

Increment Available, but not for the Amount Requested (falls under # 1 above)

A customer submits a one-year, long-term firm request for 100 MW. SPP determines that 100 MW is available for only nine months of the year requested. During the remaining three months, only 50 MW is available. SPP will counteroffer the customer the available service by editing the profile of the request. The profile will set the capacity to 50 MW for the one-year request.

Increment Not Available (falls under # 2 above)

A customer submits a firm monthly request for 1 month at 200 MW. No firm ATC is available in a monthly increment for the time period requested. Firm weekly service is available for 3 weeks of the month, and non-firm daily is available for the remainder of the month. The original request will not be partially accepted. The customer will be notified that the lesser increment service is available with the appropriate comments on the OASIS. The customer may then submit a request for the service in available increments and service class (non-firm verses firm), with the queue priority established accordingly. The request must be submitted within the timing requirements of the OATT.

⁴⁷

SPP OATT Attachment P - http://www.spp.org/publications/spp_tariff.pdf

⁴⁸

SPP OATT Section 19.7 - http://www.spp.org/publications/spp_tariff.pdf

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.6	Partial Acceptance of a Transmission Request	1.1	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1		2/2/2001	jw	Document creation

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2.7. COMBINING SPP TRANSMISSION SERVICE REQUESTS

[\(return to TOC\)](#)

SPP performs a flow-based analysis, using the source and sink information, of each transmission service request made. It is expected that each request will indicate the true source and sink of the intended transaction. There are occasions, however, where a customer has a need to purchase additional transmission service from SPP to be combined with other SPP transmission service or service that is grand-fathered with respect to the SPP OATT. In those cases, the following SPP business practice exists.

When requesting transmission service under the SPP OATT that is being combined with another request for transmission service under the SPP OATT, or combined with service that is grand-fathered with respect to the SPP OATT:

- *The transmission customer will specify the POR and POD⁴⁹ on the service being requested that is needed to form a contiguous scheduling path when combined with the other transmission service.*
- *The source and sink identified must represent the true source and sink⁵⁰ of the transaction.*
- *The customer must include comments that the request is being combined with other SPP transmission service or service that is grand-fathered with respect to the SPP OATT.*
 - *When the original request has a source or sink that is to or from a first tier BA or beyond:*
 - *With the exception of AECl, there will be no requirement to procure third party transmission service to combine SPP transmission service. (If AECl is on the path they must be listed as a scheduling entity)*
 - *When scheduling combined SPP service it is not required to list the first tier BA in the scheduling entity portion of the tag.*

For example:

Existing reservation

*POR = AMRN
POD = EES
SOURCE= MISO
SINK = EES*

Combining reservation with new source of OPPD

*POR = OPPD
POD = AMRN
SOURCE = OPPD
SINK = EES*

MISO is NOT required to be listed as a Scheduling Agent on the tag.

Combining SPP service with different priorities will result in an overall SPP priority equal to that of the lowest SPP segment. When combining reservations that result in delivery to an SPP border and receipt from an SPP border, the appropriate transmission service must also be obtained from the bordering transmission provider(s).

Explanation / Rationale

⁴⁹ Business Practice 2.2 – see [Valid Reservation POR/POD](#)

⁵⁰ Business Practice 2.3 - see [Valid Reservation Source/Sink](#)

When a request for service is to be combined with another request under the SPP OATT or transmission service grand-fathered with respect to the SPP OATT, the impact on the transmission system must be evaluated based on their combined effect. If evaluated separately when purchased, the effects on the transmission system could be substantially different from the effects realized when both requests are combined to complete a transaction. Therefore, in order to accurately analyze the impacts of the intended transaction on the system, SPP requires the true source and sink be indicated on requests for service when being combined with another request for transmission service under the SPP OATT or service that is grand-fathered with respect to the SPP OATT.


Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.7	Combining SPP Transmission Service Requests	1.2	8/25/2008


Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	JW	Document creation
1.1	2/6/2008	2/6/2008	JW	Added clarification regarding combining of service between parties that are a first tier to SPP
1.2	8/25/2008	8/25/2008	KJG	Assignment of Document Identifier

Approval

Primary Owner: Jimmy Womack Date





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2.8. BACK-UP PROCEDURE FOR OASIS NODE OR INTERNET FAILURE

[\(return to TOC\)](#)

Business Practice

There may be times when either the Internet or OASIS is down. During these times, the tariff administrator will receive a completed Transmission Reservation request form⁵¹ by fax (501) 803-3756 – Primary Site; (501) 603-0151 – Backup Site. The tariff administrator will respond to a request received by fax in a manner appropriate for the circumstances:

If the unavailability of the OASIS is a localized problem experienced by one or more transmission customers and the tariff administrator still has access, he will enter the faxed request into the OASIS and will notify the transmission customer of changes to its status.

If the unavailability of the OASIS is more widespread and the tariff administrator does not have OASIS access, he will either act on the request if time for responding is less than the time when OASIS is expected to be available, or he will hold the request if the time limit for responding is greater than the time when OASIS is expected to be available.

The tariff administrator will always enter requests into OASIS as soon as he has access to the system..

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.8	Back-up Procedure for OASIS Node or Internet Failure	1.2	9/16/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.2	9/16/2008	9/16/2008	kjq	Updated FAX number for OASIS Node or Internet failure

Approval

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⁵¹ Transmission Request Backup Form - <http://sppoasis.spp.org/documents/SWPP/uploads/ACFB885.xls>

2.9. INITIAL APPLICATION FOR NETWORK INTEGRATION TRANSMISSION SERVICE

[\(return to TOC\)](#)

The following practices apply to the initial application for NITS under the SPP OATT⁵².

Business Practice

- » Only one request for NITS is required to be submitted on the SPP OASIS to obtain a queue time and reservation priority.
- » An initial request for NITS must be at least one year in length and must be submitted no later than 60 days prior to start of service.
- » The POR and POD identified on the OASIS request should be the transmission zone where the majority of the designated Network Load is located. The capacity requested should be the anticipated peak of the designated Network Load.
- » A written application containing the data specified in section 29.2 of the SPP OATT must be sent to SPP at or near the same time the OASIS request is made. The customer may use the NITS application for this purpose.⁵³
- » SPP may require posting of additional OASIS reservations to more properly reflect location of designated Network Resources and Network Loads.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.9	Initial Application for Network Integration Tran	1.3	7/13/2010

Revision History

Ver No:	Rev. Date:	Eff. Date:	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	1/7/2004	1/7/2004	jw	Corrected footnote 50 address to Backup Transmission Request form
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.3	7/13/2010	7/23/2010	ppwg	procedure clarification BPR011, MOPC approval 7/13/2010

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⁵² SPP OATT Section 29.2 - http://www.spp.org/publications/spp_tariff.pdf
⁵³ [SPP NITS Application](#)

2.10. REQUESTING NETWORK INTEGRATION TRANSMISSION SERVICE FROM NEW DESIGNATED NETWORK RESOURCES

[\(return to TOC\)](#)

The SPP OATT allows a network customer to add or modify its Network Resources designated in the initial application for NITS⁵⁴. The following practices apply to the initial application for NITS under the SPP OATT.

Business Practice

- *A new request for NITS from designated Network Resources must be submitted on the SPP OASIS for each new resource. Multiple resources to be added at the same time located within the same transmission zone may be combined in one request.*
- *Additional Network Resources may be designated to serve Network Load for a term of one day or greater.*
- *A written application⁵⁵, signed by the customer, containing confirmation that the resource qualifies as a designated Network Resource must be received by SPP prior to confirmation of the OASIS request. In order to qualify as a designated Network Resource, schedules from the resource cannot be interrupted for economic reasons. Sources of generation referred to as firm LD (or financially firm) products, will be allowed as designated Network Resources provided these products cannot be interrupted for economic reasons. A customer may properly designate resources from system purchases not linked to a specific unit provided the purchases cannot be interrupted for economic reasons.*
- *The POR⁵⁶ identified on the OASIS request should be the transmission zone where SPP receives capacity and energy from the new resource.*
- *The POD⁵⁷ identified on the OASIS request should be the transmission zone where the designated Network Load is located.*
- *A valid source and sink⁵⁸ should be identified.*
- *Firm transmission service, which includes conditional firm, must be used to deliver capacity and energy from a designated Network Resource located outside the network of the SPP Transmission Owners. The reservation number of the firm transmission service being used to facilitate the addition of such a designated Network Resource must be included in the comments of the SPP OASIS request. This firm transmission service must have a term equal to or greater than the term of the Network Resource designation.*
- *The capacity reserved should be the capacity being designated on the new Network Resource to serve the designated Network Load.*
- *The Service Agreement for NITS will be updated as necessary.*

⁵⁴ SPP OATT Section 30.2 - http://www.spp.org/publications/spp_tariff.pdf

⁵⁵ Application to Designate a New Network Resource Under SPP NITS - http://sppoasis.spp.org/documents/SWPP/uploads/New_Network_Resource_Form_ver5.doc

⁵⁶ Business Practice 2.2 - [Valid Reservation POR/POD](#)

⁵⁷ Business Practice 2.2 - [Valid Reservation POR/POD](#)

⁵⁸ Business Practice 2.3 - [Valid Reservation Source/ Sink](#)

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810posBP2.10	Requesting Network Integration Transmission Service from New Designated Network Resources	1.3	1/14/2009

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	5/24/2004	5/24/2004	jw	Network service minimum increment changed from one month to one day; application for such now required to be signed by both parties; conditions of use specified.
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.3	1/14/2009	1/14/2009	kjq	Clarified definition of "Firm transmission service" to include conditional firm

Approval

Primary Owner: **Jimmy Womack** Date



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Signature date supercedes prior approval dates

2.11. REQUESTING NETWORK INTEGRATION TRANSMISSION SERVICE FROM NON-DESIGNATED NETWORK RESOURCES

[\(return to TOC\)](#)

The SPP OATT allows a network customer to deliver energy to Network Load from resources not designated as Network Resources on an as available basis⁵⁹. The following practice applies to the required notification to SPP of such intended use of NITS.

Business Practice

- *A request for NITS from non-designated Network Resources must be submitted on the SPP OASIS for each resource not designated to serve the customer's Network Load. Multiple non-designated resources to be utilized at the same time located from the same electrically equivalent sources and within the same AFC zone may be combined in one request.*
- *NITS from non-designated Network Resources may be used on an hourly, daily, weekly, or monthly basis.*
- *The POR⁶⁰ identified on the OASIS request should be the transmission zone where SPP receives energy from the non-designated Network Resource.*
- *The POD⁶¹ identified on the OASIS request should be the transmission zone where the designated Network Load is located.*
- *A valid source and sink⁶² should be identified.*
- *The Requested Reference Field on the reservation should include the original network service OASIS reference number.*
- *Only the customer with the confirmed network service for the load, or a designated agent acting on their behalf, can request network service from non-designated network resources for that load.*
- *NITS customers may only reserve up to 110% of their designated firm capacity on a non-firm basis at any time. Per the SPP OATT, a network customer's use of the interface capacity with other transmission systems may not exceed the network customer's load.*
- *The capacity reserved should be the maximum energy to be utilized in the specified service increment from the non-designated network resource to serve the designated network load*

⁵⁹ SPP OATT Section 28.4 - http://www.spp.org/publications/spp_tariff.pdf

⁶⁰ Business Practice 2.2 – see [Valid Reservation POR/POD](#)

⁶¹ Business Practice 2.2 - see [Valid Reservation POR/POD](#)

⁶² Business Practice 2.3 – see [Valid Reservation Source/Sink](#)


Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.11	Requesting Network Integration Transmission Service from Non-Designated Network Resources	1.4	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	8/2/2004	8/2/2004	jw	Added requirement to include original network service OASIS reference number in Related Reference Field; added parties that may request network services from non-designated resources for a load.
1.2	10/6/2004	10/6/2004	jw	The Related Reference Field was changed to read The Request Reference Field.
1.3	10/25/2005		jw	Changed transmission zone to AFC zone. Also, added bullet addressing the amount of non-firm reservations a NITS customer may make from non-designated resources
1.4	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

Primary Owner: Jimmy Womack Date

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2.12 HANDLING OF RENEWAL RIGHTS

[\(return to TOC\)](#)

The following practices apply to the exercise of the reservation priority of long-term transmission service pursuant to Section 2.2 of the SPP OATT for the renewal of service.

Business Practice

- *Customers exercising their reservation priority must do so at least one year in advance of the expiration of their service, and must do so by submitting a RENEWAL request on the OASIS.*
- *Requests must be renewed for a minimum period of five (5) years in order to maintain renewal rights.*⁶³
- *Unless otherwise limited in the initial long-term service agreement, the customer has a right to reservation priority if properly exercised. Transmission System Planning is performed with renewal service considered.*
- *Limitations enumerated in the long-term service agreement (e.g., redispatch options) required as a condition for granting original service will also apply to renewal service, unless conditions are no longer warranted.*

The status of a long-term transmission service request that is competing for the same transmission capacity as a reservation that may be renewed will not be changed to "ACCEPTED" prior to the earlier of: the submittal of the RENEWAL request or one year⁶⁴ prior to the expiration of service. Customers renewing service must accept a contract term for the renewal at least equal to a competing request and agree to pay the current rate as approved by the Commission for such service. A competing request is defined as a request for yearly transmission service queued prior to the RENEWAL request, of a greater term than the RENEWAL request, and sharing the same POR and POD, sharing a POR or POD of a DC Tie, or constrained by limited contract path capacity on the same external interface that is CONFIRMED by the customer after being Conditionally Accepted. The renewal customer must match all competing requests, in order of queue priority, that cumulatively equal the capacity for which renewal is being proposed. To match the term of a CONFIRMED competing request, the renewal customer must submit a matching term request within 15 days of the competing request being CONFIRMED. SPP will notify the renewal customer by phone, by e-mail and by posting a message in the provider comments section of the RENEWAL request as soon as possible that a competing request has been CONFIRMED. If the matching RENEWAL request is CONFIRMED, the competing request will be ANNULLED. Otherwise, the renewal customer loses its reservation priority and its RENEWAL request will be treated as an original service request.*

*NOTE: *Conditionally ACCEPTED meaning that if CONFIRMED, the renewal customer has the right to match the term of a competing request. If the renewal customer matches the term of a competing request, then the competing request will be ANNULLED. Conditionally ACCEPTED is not a NERC defined option in OASIS, the requests will be ACCEPTED on a conditional basis.*

- *More detailed steps that will be taken by SPP staff when the determination of competition has been made are:*
 - *Once the RENEWAL request has been submitted or we are within one year or 60 days⁶⁵ of the expiration of the service, a query of OASIS will be done to identify all competing requests, in order of queue priority, that cumulatively equal the capacity for which renewal is being proposed.*

⁶³ for customers with transmission service requests queued on or after October 1, 2007 that did not execute a service agreement before August 11, 2008 must have a minimum term of 5 years in order to have a reservation priority for rollover. Customers with service requests shorter than 5 years made on or after October 1, 2007 that did execute a service agreement before August 11, 2008 will have no rollover rights under the Order No. 890 rollover rules

⁶⁴ 60 days for customers with transmission service requests queued on or after October 1, 2007 that did not execute a service agreement before August 11, 2008 must have a minimum term of 5 years in order to have a reservation priority for rollover. Customers with service requests shorter than 5 years made on or after October 1, 2007 that did execute a service agreement before August 11, 2008 will have no rollover rights under the Order No. 890 rollover rules.

⁶⁵ See footnote 64.

- Once all competing requests have been determined, all other requests for yearly transmission service queued prior to the RENEWAL request that were eligible to become competing requests will be refused and the customer(s) notified .
 - Once all competing requests have been identified, the determination will be made, based on start and stop times, as to what the duration of the matching requests must be. (Duration being start and stop time)
 - The renewal customer will be notified and given the duration required for all matching requests. The 15 day clock will start once the customer has been notified by phone or other means as noted above. The time and date of the official notifications will be noted in the Provider Comments section of the request.
 - If the renewal customer submits a Pre-CONFIRMED matching request within the allotted time, then the competing request will be ANNULLED and the customer notified.
 - If the renewal customer does not submit a Pre-CONFIRMED matching request within the allotted time, then the customer with the competing request for which no Pre-CONFIRMED matching request was submitted will be awarded the service and the renewal customer's request will be treated as a new request in the queue order, not as a renewal.
- Service can be renewed for less than the originally CONFIRMED amount of capacity.
 - Service can be renewed for less than five years at the end of which no renewal rights will remain.
 - Any Original Yearly service with reservation priority that is redirected as Yearly service increment but not to the end of the original term will maintain its reservation priority at the POR/POD of the original service reservation.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810posBP2.12	Handling of Renewal Rights	1.3	7/13/2010

Revision History

Ver No:	Rev. Date:	Eff. Date:	By	Summary of Changes
1	8/2/2004	8/2/2004	jw	New Business practice
1.1	4/17/2006	4/17/2006	jw	Added when a competing request status is changed to "accepted".
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.2	1/14/2009	1/14/2009	kjq	Clarification of advance notice requirements, renewal and competing request rights
1.3	7/13/2010	7/23/2010	spwg	procedure clarification, BPR009, MPOC approval 7/13/2010

Approval

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2.13 UNDESIGNATION OF A DESIGNATED NETWORK RESOURCE WITH SIMULTANEOUS DESIGNATION OF A NEW NETWORK RESOURCE

[\(return to TOC\)](#)

Section 30 of the SPP OATT allows a customer to designate a new network resource and to terminate an existing network resource⁶⁶. OASIS S&CP version 1.4 added the functionality to do redirect Requests on OASIS and identify them with a Request Type of Redirect. A dedicated Related Reference Field was added in OASIS version 1.4 to indicate the OASIS reference number of the original request.

Business Practice

A customer may choose to replace an existing Designated Network Resource (DNR) on a temporary basis subject to the following conditions:

- The customer has an existing reservation that is specific to the current DNR and is not imbedded in a single request that includes multiple DNR's.
- A new request must be submitted on the SPP OASIS with a request type of "Redirect" and have the active request being undesignated in the Related Reference Field.
- The "Application to Undesignate Network Resource" and "[Application to Designate a New Network Resource Under SPP NITS](#)" forms must be filled out and faxed to SPP.
- The new request must be submitted and processed in accordance with the SPP OATT timing requirements for the appropriate class and service increment required.
- The start and stop times of the new reservation must be contained within the start and stop times of the original reservation.
- Partial undesignations of the previous reservation quantity or period are allowed.
- Sufficient ATC must exist to accommodate the new request. For the purposes of ATC evaluation, the impacts of the undesignated reservation will be replaced by the impacts of the new request during the overlapping period.
- Once the new reservation is confirmed, the capacity of the reservation that was undesignated becomes available to the market, subject to any limitations created by flow impacts of the new reservation.
- Any portion of the original request not undesignated shall remain in effect.
- Any original Yearly service that is undesignated on either a shorter duration Service Increment or undesignated on Yearly service but not to the end of the original request will maintain its rollover rights from the original DNR.

⁶⁶ SPP OATT Section 30 - http://www.spp.org/publications/spp_tariff.pdf

Explanation/Rational

A customer having existing network service under the SPP OATT may designate a new Designated Network Resource. An existing network customer may wish to replace an existing DNR with an alternate DNR on either a short term or long term basis. Under the current design of the OASIS system the only way to properly evaluate this change is to require the customer to use the existing redirect process on the OASIS system to make sure that the customer's original request is not being utilized at the same time as the new DNR. The new request must be evaluated for sufficient ATC. The new request must be submitted within the timing requirements in attachment P of the SPP OATT. If an original request is of Yearly service increment and redirected on a term less than 12 months and not extending to the end of the original service reservation, the customer will maintain its renewal rights from the original DNR.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.13	UNDESIGNATION OF A DESIGNATED NETWORK RESOURCE WITH SIMULTANEOUS DESIGNATION OF A NEW NETWORK RESOURCE	1.2	10/23/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1	9/13/2006	9/13/2006	jw	New Business Practice addressing the redirect of a designated network resource.
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.2	10/23/2008	10/23/2008	kjq	Strike of Redirect for Undesignate

Approval

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2.14 IMPLEMENTING CONGESTION MANAGEMENT WITH REDISPATCH PROVISIONS

[\(return to TOC\)](#)

SPP will follow the procedures for implementing congestion management with redispatch provision as stated in the Redispatch for Firm Service white paper following the guidelines in this Business Practice.

Business Practice

When directed by the SPP Reliability Coordinator that redispatch is necessary to mitigate an overload condition, the customer shall:

- 1. Submit or direct scheduling agent to submit an Emergency Transmission Service request in accordance to Business Practice 1.12.*
- 2. Submit or direct scheduling agent to submit an Emergency Service Tag in accordance to Business Practice 4.7.*
- 3. Implement redispatch requirements.*

Furthermore:

- Redispatch requirements must be met and maintained even if a TLR Level 5 is implemented.*
- If required relief as stated in the redispatch agreement is not provided through the redispatch requirements or the redispatch is not implemented in a timely manner the schedule will be curtailed/adjusted.*
- If at any time during an active TLR level 5 the redispatch requirements are not met the schedule will be curtailed/adjusted.*

Explanation / Rationale

When given the option of redispatch, the customer is fully aware that it may be called on to implement the provision when conditions warrant. By confirming a reservation with redispatch provision, the customer assumes the responsibility to take such actions to alleviate a condition that would not exist if the service request had not been granted.

This Business Practice addresses the following white paper:

Implementing Congestion Management with Redispatch Provisions

Assumptions

Reservation_{A-B} = 100 MW from A to B

Transaction_{A-B} = 100 MW from A to B using Reservation_{A-B}

Customer = B

Flowgate Impacts = 10 MW on Flowgate 1

Redispatch Requirement = 50 MW from Unit A1 to A2

If generators associated with Redispatch Requirement are Self-Dispatched:

- 1. Call TLR 3. IDC and the Curtailment Adjustment Tool (CAT) will curtail or adjust appropriate non-firm transactions from resources not offered to Market. Activate Flowgate in Constraint Manager to initiate*

required Market Redispatch to remove non-firm market flow. Continue the TLR until flow is maintained within the operating limit of Flowgate 1 or all non-firm transactions and non-firm market flows have been curtailed. If all non-firm flows have been curtailed and remaining flows still exceed the Flowgate limit, move to next step.

2. Call TLR 4. SPP Reliability desk notifies Customer B of requirement to implement Redispatch Requirement. Customer B must initiate the creation and submittal of an appropriate tagged schedule⁶⁷ to facilitate the Redispatch Requirement through their Scheduling Agent pursuant to the applicable redispatch agreement. Customer B or their Scheduling Agent will initiate the submittal of an Emergency Transmission Service request on the SPP OASIS for tagging purposes. If flows are maintained with the Flowgate limit, no further action is needed. If flows still exceed the limit and no action has been taken to implement the redispatch, move to next step after 30 minutes, unless quicker action is required by system conditions.
3. If the schedule necessary to meet the Redispatch Requirement has not been submitted and implemented, curtail/adjust Transaction_{A-B}. If flows still exceed the Flowgate limit, move to next step. If at any time during TLR 4 the Redispatch Requirement is not met, Transaction_{A-B} will be curtailed/adjusted before proceeding to TLR 5. In a transaction where multiple reservations are used, only the portion of the transaction supported by a reservation with a redispatch requirement will be curtailed/adjusted.
4. Call TLR 5 to curtail necessary firm transactions and market flow on a pro-rata basis. If at any time during TLR 5 the Redispatch Requirement is not met, Transaction_{A-B} will be curtailed/adjusted to the extent of the redispatch requirement before initiating further curtailments of other firm transactions.

If generators associated with Redispatch Requirement are Available for market dispatch:

1. Call TLR 3. IDC and the Curtailment Adjustment Tool (CAT) will curtail appropriate non-firm transactions from resources not offered to Market. Activate Flowgate in Constraint Manager to initiate required Market Redispatch to remove non-firm market flow. Continue the TLR until flow is maintained within the operating limit of Flowgate 1 or all non-firm transactions and non-firm market flows have been curtailed. If all non-firm flows have been curtailed and remaining flows still exceed the Flowgate limit, move to next step.
2. Call TLR 4. If the total Dispatchable Range for generators involved in Redispatch Requirement is less than 50 MW or if offered ramp rates will not allow full affect of Redispatch Requirement within 15 minutes, SPP will notify Generator Owner A to effect appropriate Resource Plan changes. Notify Customer B of requirement to implement Redispatch Requirement. Customer B must initiate the creation and submittal of an appropriate tagged schedule⁶⁷ to facilitate the Redispatch Requirement through their Scheduling Agent. Customer B or their Scheduling Agent will initiate the submittal of an Emergency Transmission Service request on the SPP OASIS for tagging purposes. If flows are maintained with the Flowgate limit, no further action is needed. If flows still exceed the limit and no action has been taken to implement the redispatch, move to next step after 30 minutes, unless quicker action is required by system conditions.
3. If the schedule necessary to meet the Redispatch Requirement has not been submitted and implemented or Generator Owner A cannot achieve the required Dispatchable Range and offered ramp rates, SPP will curtail/adjust Transaction_{A-B}. If flows still exceed the Flowgate limit, move to next step. If at any time during TLR 4 the Redispatch Requirement is not met, the portion of Transaction_{A-B} subject to redispatch will be curtailed/adjusted before proceeding to TLR 5. In a transaction where multiple reservations are used, only the portion of the transaction supported by a reservation with a redispatch requirement will be curtailed/adjusted.
4. Call TLR 5 to curtail necessary firm transactions and market flow on a pro-rata basis. If at any time during TLR 5 the Redispatch Requirement is not met, Transaction_{A-B} will be curtailed/adjusted to the extent of the redispatch requirement before initiating further curtailments of other firm transactions.

⁶⁷

The tagged schedule must be a single schedule from A1 to A2. Emergency Transmission Service will be required to deliver from A1 to A2. Emergency Transmission Service must be requested on the SPP OASIS pursuant to SPP Business Practice 1.12 guidelines.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.14	Implementing Congestion Management with Redispatch Provisions	1.1	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1	2/6/2008	2/6/2008	jw	New Business Practice addressing Firm Redispatch

Approval

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2.15 ALLOCATION OF TRANSMISSION CAPACITY FOR REQUESTS SUBMITTED DURING SIMULTANEOUS SUBMISSION WINDOW

[\(return to TOC\)](#)

FERC Order 890⁶⁸ requires transmission providers who set a “no earlier than” time for request submittal to treat all transmission requests received within a specified period of time as having been received simultaneously as well as propose a method for allocating transmission capacity if sufficient capacity is not available to meet all requests submitted within the specified time period. (The opening windows for SPP are 12:00 noon and 12:00 midnight dependent upon Transmission Service Type as defined in Attachment P of the SPP OATT⁶⁹) This business practice outlines the method for allocating capacity for requests that must adhere to a “no earlier than” submittal time and are deemed to have been submitted within the simultaneous submission window required by FERC Order 890 and Attachment P Timing Requirements.

- *When a request is submitted, it is automatically assigned a unique reference number and the queue time is recorded in the SPP OASIS database. The SPP Tariff Administrator will use this reference number and queue time in the allocation of capacity.*
- *The SPP Tariff Administrator will determine eligibility and priority for capacity by using the following order: NERC priority level, duration, pre-confirmation status, and queue time of the requests. Those requests with the highest NERC priority will have the highest priority, with duration, pre-confirmation status then queue time serving as tie-breakers.*
- *For the purposes of this Business Practice, requests with a varying profile will only be eligible for the capacity that is continual throughout the entire duration of the request with duration being determined based on continuous hours requested. The SPP Tariff Administrator will distribute capacity according to the eligibility determined in the previous bullet until accepting the next eligible request for its full requested capacity would cause the available transfer capacity to be negative. The SPP Tariff Administrator will then propose a counteroffer to this next eligible request for the capacity available to make the ATC 0 MW for each hour. (Duration is determined by what is requested, not what hours are counteroffered for)*
- *Requests accepted in accordance with this business practice remain subject to displacement due to the scheduling of Firm service and/or the submittal of a request of higher priority and/or longer duration.*

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.15	Allocation of Transmission Capacity for requests submitted during Simultaneous Submission Window	1.0	1/14/2009

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1.0	1/14/2009	1/14/2009	spp	New Business Practice

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⁶⁸ FERC Order 890 <http://www.ferc.gov/whats-new/comm-meet/2007/021507/E-1.pdf>

⁶⁹ SPP OATT http://www.spp.org/publications/spp_tariff.pdf

2.16 Interim Short Term Service during Extended Aggregate Study Delays [\(return to TOC\)](#)

In spite of efforts to require that the start date of long term TSRs be far enough in the future so that SPP has sufficient time to study the request, at times the study process itself encroaches upon the start date of a request. In instances where redispach will be necessary in order to avoid the deferral of service anyway, customers should be provided a reasonable mechanism to avoid the deferral caused by the SPP study process itself. This Business Practice outlines how the terms of Attachment AC⁷⁰ will be applied to secure short-term service under the same terms while the study is pending.

Business Practice

A customer may choose to request Short Term Firm service based on the results of the most current Aggregate Study report in order to mitigate a delay in its long term service under the following conditions:

1. The Customer has a long term TSR actively under study in a pending aggregate study. This requires that the Customer's long term TSR continue to remain in a STUDY status; all necessary Letters of Intent and any other information necessary have been returned and indicate a desire to remain in the study.
2. The most recent applicable aggregate study report posted on the SPP OASIS indicates the service can be provided with existing ATC and/or through a redispach of facilities on the system.
3. The customer agrees to provide or pay for the cost of redispach outlined in the aggregate study report and pursuant to Attachment K⁷¹ of the SPP OATT.

When above conditions are met, the customer may submit a request for Short Term Firm service, consistent with the timing requirements of the SPP OATT. In the comment field, the customer should reference the pending aggregate study request, the most recent aggregate study report posting and include the comment "exercise system impact study option" in accordance with Attachment AC. Attachment AC Section 3.2 and 3.3 call upon SPP to conduct a Feasibility Study and Redispach Option Identification. SPP will evaluate and approve the request based on the results of the aggregate study already performed and require redispach consistent with the most current aggregate study report. This procedure of issuing short term firm service may be repeated until the SPP expects to make the next posting of the study or it will be able to APPROVE the associated original long term service request.

Customers utilizing this interim service will be subject to any revised redispach requirements 15 days after the posting of any subsequent reports for the aggregate study.

The issuance of this interim service would have no impact on the start time or duration of the original TSR continuing to be studied within the aggregate study process. SPP would take necessary actions to assure the impact of the short-term interim service and the pending long-term service under study is not duplicated in other short term studies of system capability.

If the long term transmission service request is granted while the short term service is active, SPP will recall the short term service capacity from the deferred start date of the long term service through the end of the short term service.

⁷⁰

SPP OATT http://www.spp.org/publications/spp_tariff.pdf

⁷¹

SPP OATT http://www.spp.org/publications/spp_tariff.pdf

Explanation / Rationale

Attachment Z1 and Attachment Z2 of the SPP OATT outline the procedures under which SPP will conduct Aggregate Transmission Service studies for Long Term Firm Transmission Service. Attachment Z and Attachment P outline the process and timing requirements for submitting transmission requests as well as the timeline under which SPP will conduct such studies. The timelines are set in order to assure that TSRs are submitted with start dates far enough in the future so that SPP has sufficient time to study the request and also provide customers the assurance SPP will complete its study in a timely fashion without introducing unnecessary delays in the start of service. In spite of these requirements, from time to time SPP's studies extend well beyond the originally expected timelines and beyond start date for some TSRs. This business practice is intended to address those situations where the delays would otherwise unnecessarily lead to a delay in the start of service.

When the most current aggregate study reports indicate the SPP Transmission System can accommodate a TSR using existing ATC and redispatch, delaying the start of service to run subsequent restudies represents an unnecessary delay in the start of service for the TSR. Although it is not possible to issue long-term service agreements until the study is completed, SPP should approve the use of Short-Term Firm Transmission Service, utilizing Attachment AC of the tariff, based on the most recent aggregate study reports as an interim measure to relieve the burden on SPP customers caused by these delays.

Example

Situation absent this BP:

A TSR for Long Term Firm Network Transmission Service is submitted in September of 2006 with a service start date requested of November 2007. As a result, the request is included in the aggregate study SPP-2006-AG3. The facility study results indicate the service will require certain upgrades with the 8th posting of the study in October 2007, but the service can be provided without any deferral with certain redispatch requirements. If the study were complete, a service agreement would be issued with those redispatch requirements and the customer's service would not be delayed. However, since the study is not complete SPP will not issue a service agreement and customer's long term service is ultimately delayed until November 1, 2008 or one year after the original requested start date.

Situation with this BP:

In October of 2007, the customer would submit and the SPP would approve short-term firm network service requests from the exact same source and sink until the study is completed. The redispatch requirements would be based on the results of the 8th iteration of the study up until the time that the 9th study is posted on November 14, 2007. The redispatch requirements would then be based on the 9th iteration of study until the 10th iteration is posted on April 18, 2008. The redispatch requirements would then be based on the 10th iteration of study until the 11th iteration is posted on September 16, 2008. The approval of short-term interim service under this Business Practice would stop on November 1, 2008 corresponding to the start date of long-term firm service agreements tendered to the customer. With such a process in place, the customer avoids the needless 1-year delay in the start of service.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP2.16	Interim Short Term Service during Extended A	1.1	3/4/2010

Revision History

Ver No:	Rev. Date:	Eff. Date:	By	Summary of Changes
1.0		4/29/2009	kjq	New Practice
1.1	3/4/2010	3/4/2010	kjq	Updated per BPRR 006 modifications

Approval

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Signature date supercedes prior approval dates

3 Changes in Service Specifications

3.1 REDIRECT ON A NON-FIRM BASIS

[\(return to TOC\)](#)

Section 22.1 of the SPP OATT allows a customer to change to a Secondary POR and/or POD for an existing Firm Point-to-Point reservation on a Non-firm basis without incurring an additional charge for Non-firm Point-to-Point Transmission Service. OASIS S&CP version 1.4 added the functionality to do Redirect Requests on OASIS and identify them with a Request Type of Redirect. A dedicated Related Reference field was also added in OASIS 1.4 to indicate the OASIS reference number of the original request.

Business Practice

The POR/POD of a firm transmission service reservation may be changed (redirected) on a non-firm basis⁷² (secondary service on an as available basis), subject to the following conditions:

- 1. A secondary service request does require a unique OASIS reservation number. This reservation must have an OASIS Request Type of "Redirect" and identify the active firm request in the Related Reference field.*
- 2. A secondary service request does not require modification of the service agreement for long-term firm transmission service, or confirmed application for short-term firm transmission service, that applies to the original firm transmission service request.*
- 3. Secondary service can be displaced by any firm or non-firm reserved or scheduled service except Next-Hour "Buy at Market" Service.*
- 4. Secondary service is only available on an hourly increment.*
- 5. Partial redirects of the previous reservation quantity or period are allowed.*
- 6. Secondary service will be approved only for periods with available transfer capability.*
- 7. The NS-1 transmission customer will be charged the incremental transmission and ancillary service charges for a secondary service path that costs more than the original path at the capped rates for transmission service.*
- 8. Secondary service which has an adverse impact of 5% or greater on a constrained facility under an active TLR event when queued will not be accepted even if the customer has higher priority service on the Provider with the constraint.*

Explanation / Rationale

Non-firm Hourly Secondary PORPOD has been added to the Service Types on OASIS. This Service Type must only be used in conjunction with a Redirect Type request. The SPP Scheduling System requires a unique OASIS number that matches the priority of the NERC E-tags submitted and the POR/POD and Source/Sink on the tag must match the reservation.

⁷² SPP OATT Section 22.1, 22.1a, and 13.2 - http://www.spp.org/publications/spp_tariff.pdf

Example

Customer owns a firm monthly request of 100 MW each hour for the period 5/1 to 6/1, with a POR of KCPL and a POD of CSWS. On 5/10, the customer desires to send 50 MW to CSWS and 50 MW to WFEC. The customer will submit a Redirect Type request with Secondary POR/POD as the Service Type, KCPL as the POR, WFEC as the POD, a Capacity of 50 MW, and the appropriate hourly time frame (assume 10:00 to 14:00). A new reservation number will be created. The first schedule to CSWS would still list the original reservation number on the E-tag and a product code of 7-F. The second schedule to WFEC would list the new reservation number on separate E-tag and a product code of 1-NS. This would be a redirect of firm service on a non-firm basis. The rate will be adjusted because the secondary path costs more than the original path. Additional charges will include transmission service, ancillary services, and losses differential. The non-firm secondary service is on an hourly rate basis, and has reservation priority below other non-firm and firm transmission service. If the Firm Service had already been scheduled to CSWS for 100 MW the entire day, a replacement tag would have to be submitted changing the profile for the hours the redirect is taking place before the redirect schedule would be approved.


Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP3.1	Redirect on a Non-firm Basis	1.2	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	11/6/2001	11/6/2001	jw	Partial redirects of the previous reservation quantity or period are allowed.* Added.
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

Primary Owner: Jimmy Womack Date



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3.2 REDIRECT ON A FIRM BASIS

[\(return to TOC\)](#)

Section 22.2 of the SPP OATT allows a customer to change the POR and POD of an existing firm point-to-point reservation. Any request to redirect transmission service (change POR/POD) on a firm basis is treated as a new request for service. OASIS S&CP version 1.4 added the functionality to do Redirect Requests on OASIS and identify them with a Request Type of Redirect. This eliminated the need for a customer to enter their intentions to redirect a firm request on a firm basis in the comments field. A dedicated Related Reference field was also added in OASIS 1.4 to indicate the OASIS reference number of the original request.

Business Practice

The POR/POD of a firm transmission service reservation may be changed (redirected) on a firm basis, subject to the following conditions:

- 1. A new Firm Point-to-Point request must be submitted on the SPP OASIS with a Request Type of "Redirect" and have the active request being redirected in the Related Reference field.*
- 2. The new request must be submitted and processed in accordance with the SPP OATT timing requirements for the appropriate class and service increment required⁷³.*
- 3. For the period of redirection, the transmission customer will be charged the transmission and ancillary service charges for the redirected path.*
- 4. The start and stop times of the new reservation must be contained within the start and stop times of the original reservation.*
- 5. Partial redirects of the previous reservation quantity or period are allowed.*
- 6. Sufficient ATC must exist to accommodate the new request. For purposes of ATC evaluation, the impacts of the redirected reservation will be replaced by the impacts of the new request during the overlapping period.*
- 7. Once the new request is confirmed, the capacity of the reservation that was redirected becomes available to the Market, subject to any limitations created by flow impacts of the changed POR and/or POD.*
- 8. The portion of a firm reservation not replaced by redirected service will remain in effect.*
- 9. Any Original Yearly service that is redirected on a shorter duration Service Increment will maintain its rollover rights at the POR/POD of the original service reservation under Section 2.2 of the OATT*
- 10. Any Original Yearly service with reservation priority that is redirected as Yearly service increment but not to the end of the original term will maintain its reservation priority at the POR/POD of the original service reservation under Section 22.2 of the OATT. Any Original Yearly service that is redirected as Yearly to the end of the original term will have reservation priority based on the POR/POD of the redirected service⁷⁴.*
- 11. The Renewal of a Yearly service Redirect is prohibited unless the Redirect being renewed extended to the end of the Original Yearly service term⁷⁵.*

Explanation / Rationale

⁷³ Business Practice 1.1 – see [Types of Point-to-Point Transmission Service](#)

⁷⁴ Business Practice 2.12 – see [Handling of Renewal Rights](#)

⁷⁵ Business Practice 2.12 – see [Handling of Renewal Rights](#)

A customer owning firm transmission service may change the POR /POD on a firm basis by submitting a new request for service. The new request for service must be evaluated for sufficient ATC. The new request must be submitted according to the timing requirements in Attachment P of the SPP OATT. Because SPP requires appropriate time to evaluate the new request, multiple requests linked in a back-to-back fashion cannot be submitted to circumvent the timing requirements of the type of service that would otherwise need to be submitted to reflect the remaining duration of the original service. If an Original request is of a Yearly Service Increment and redirected on a term less than 12 months, the original service will retain its renewal rights at the POR/POD of the original service reservation under Section 2.2 of the OATT on the original path.

Example #1

Customer owns a firm monthly reservation with a POR of AMRN and a POD of ERCOTE. The effective dates of the service are 9/1 to 10/1. The customer wishes to change the POR to EES for a period of 9/15 to 10/1. This will have to be requested as firm weekly. Since the SPP OATT requires a multi-week firm weekly request to be submitted no later than 8 days prior to the start date, the request to redirect would have to be submitted by 9/7. If the request to redirect is approved and confirmed, the customer will be able to use the original request from 9/1 to 9/15 and the request with the redirected POR/POD from 9/15 to 10/1.

Example #2

Customer owns a Yearly reservation from 1/1/01 to 1/1/02 with a POR of AMRN and a POD of ERCOTE. In March 2001, the customer desires to redirect this request to a POR of KCPL for the period 5/1/01-10/1/01. The customer will submit a Monthly Firm request from 5/1/01 to 10/1/01, with the new POR/POD and source/sink information. Once the redirect is accepted, the customer will, at that point, have a monthly firm reservation from KCPL to ERCOTE for the period 5/1/01-10/1/01, and the original yearly request from AMRN to ERCOTE for the periods 1/1/01-5/1/01 and 10/1/01-1/1/02. The firm ATC from AMRN to ERCOTE for the period 5/1/01-10/1/01 is then available to the Market, within any limitations created by flow impacts of the new POR/POD. Rollover rights will be maintained on the AMRN to ERCOTE path.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP3.2	Redirect on a Firm Basis	1.5	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	5/22/2001	5/22/2001	jw	OASIS v 1.4 added the ability to do redirect requests and identify them with a request type of Redirect, also and the addition of a Related Reference field to indicate the number of the original request.
1.2	11/6/2001	11/6/2001	jw	Removed requirement for redirect to be for the balance of reservation term per FERC Order ELD1-104-000.
1.3	1/7/2004	1/7/2004	jw	Minor wording change.
1.4	8/2/2004	8/2/2004	jw	Added item 10. addressing reservation priority of yearly service redirected as yearly but not to end of original term; added item 11. limiting renewal of a yearly service redirect.
1.5	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

Primary Owner: Jimmy Womack

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3.3 EXTENSIONS FOR COMMENCEMENT OF SERVICE

[\(return to TOC\)](#)

Postponing commencement of transmission service by a customer is allowed under the “Extensions for Commencement of Service “ provisions specified in the SPP OATT, Section 17.7⁷⁶. The following practice applies.

Business Practice

The following rules apply during this practice:

1. The extension of service does not change the service length originally reserved. For example, a five-year service agreement start date delayed one year, the service length will remain five years.
2. The extension of commencement will be reviewed to ensure the transmission system is adequate to accommodate the request.
3. Each deferral of the commencement, up to five deferrals, will pay a month’s charge even if the deferral is less than one year in length.

Explanation

The SPP OATT provides extension of the commencement of service.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP3.3	Extensions for Commencement of Service	1.1	8/25/2008


Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

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⁷⁶ SPP OATT Section 17.7 - http://www.spp.org/publications/spp_tariff.pdf

3.4 EXTENSIONS FOR COMMENCEMENT OF SERVICE WITH COMPETING REQUEST

[\(return to TOC\)](#)

If, during the period where a customer has postponed commencement of transmission service, another customer submits a completed application for firm service that can only be filled with the ATC from the existing request under extension, the following practice applies.

Business Practice

A reservation date with an extended commencement will be released to satisfy a new firm request that cannot be accommodated otherwise, unless the owner of the extended commencement reservation agrees, within 30 days, to pay the firm point-to-point transmission rate for its reserved capacity concurrent with the commencement date of the competing service. If the customer with the extended commencement of service reservation elects to release the overlapping reserved capacity to the competing service request, the reservation fees or portions thereof previously paid will be forfeited.

Explanation

Extension of the commencement of service is provided by the SPP OATT as long as the transmission capacity is not otherwise sought on a more definitive basis. The SPP OATT does not require SPP to forego the firm sale of the transmission capacity because an extension of commencement of services has been offered to an existing customer. This is consistent with SPP's obligation to administer the OATT in a non-discriminatory manner to remove impediments to competition in the wholesale bulk power marketplace.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810posBP3.4	Extensions for Commencement of Service with Competing Request	1.1	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1.1	8/25/2008	8/25/2008	KJG	Assignment of Document Identifier
1		10/24/2002	JW	Changed SP 3.2 to 2 practices - 3.2 Extension for Commencement of Services and 3.4 Extension for Commencement of Service with Competing Request

Approval

Primary Owner: Jimmy Womack

Date



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3.5 PROFILING TRANSMISSION SERVICE

[\(return to TOC\)](#)

OASIS allows customers to submit a varying profile on a transmission service request for multiple periods of the same service increment. For example, a customer may submit a monthly request for 3 months with a capacity of 1 MW for the first two months and 100 MW for the third month. A request with a varying profile is allowed with the following condition.

Business Practice

A multiple-period hourly non-firm or yearly firm transmission service request may be submitted with a varying profile. All other multi- period requests will be required to have a constant profile. Transmission capacity withheld for rollover rights associated with a multi-year, yearly firm request approved with a varying profile will be the capacity granted for the last year of the request.

Explanation

SPP allows transmission service requests for multiple periods of the same service increment to be submitted as one OASIS reservation. Attachment P of the SPP OATT⁷⁷ contains timing requirements prescribing when a request for each type of service may be submitted. Allowing customers to submit a multiple-period request with a varying profile provides an opportunity to circumvent the intent of the “No Earlier Than” timing requirements in Attachment P, with the exception of hourly non-firm and yearly firm. The above business practice regarding varying profiles was implemented to prevent such potential abuse of the tariff.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP3.5	Profiling Transmission Service	1.2	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	2/23/2004	2/23/2004	jw	Added "Transmission capacity withheld for rollover rights associated with a multi-year, yearly firm request approved with a varying profile will be the capacity granted for the last year of the request".
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

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⁷⁷ SPP OAT Attachment P - http://www.spp.org/publications/spp_tariff.pdf

4 Scheduling & Tagging Processes

4.1 SCHEDULE TIMING REQUIREMENTS

[\(return to TOC\)](#)

SPP requirements for submission and processing of schedules is listed in Attachment P to the SPP OATT⁷⁸.

Business Practice

Schedules for all Firm transmission service have a submission deadline of no later than 1200-day prior. A late Firm Schedule will be allowed even if the unscheduled Firm has been sold on a Non-Firm basis. The Late Firm Schedule must be received at least 1 hour prior to start to displace Non-Firm.⁷⁹

All schedules must be evaluated and approved within the timelines listed for NERC tagging. Those timing requirements are:

- Hourly tags submitted one hour or less prior to the start must be evaluated in ten minutes. Hourly tags submitted more than an hour but less than four hours prior to start must be evaluated in 20 minutes.
- Tags of duration less than 24 hours that are submitted four hours prior or more prior to start must be evaluated in two hours.
- Tags of duration 24 hours or more must be evaluated in two hours.

Schedules that have not been approved within the NERC approval timing requirements will result in a passive denial as per NERC tagging requirements.

Any change in intra-market schedules in RTOSS will be automatically reflected in the implemented NERC tag by SPP software, consistent with the schedule change, without any additional approval by the involved parties.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP4.1	Schedule Timing Requirements	1.5	9/16/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	11/6/2001	11/6/2001	jw	Schedule substituted for Tag in all uses in this practice.
1.2	5/25/2004	5/25/2004	jw	Removed Non-firm transmission service schedule submission deadline.
1.3	6/27/2007	6/27/2007	jw	Changed wording to address timing differences in RTO_SS and NERC.
1.4	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.5	9/16/2008	9/16/2008	lc	Modified passive tag actions, per NERC tagging requirements: replaced "approval" with "denial"

Approval

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Signature date supercedes prior approval dates

⁷⁸ SPP OATT Attachment P - http://www.spp.org/publications/spp_tariff.pdf

⁷⁹ SPP Business Practice 4.5 – see [Late Schedules](#)

4.2 VALID TAG POR/POD

[\(return to TOC\)](#)

Business Practice

Valid tag PORs and PODs are valid reservation PORs and PODs⁸⁰. A Tag will be denied as INVALID if the POR/POD does not match the valid POR/POD on the referenced reservation.


Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP4.2	Valid Tag POR/POD	1.2	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	11/6/2001	11/6/2001	jw	Schedule substituted for Tag in all uses in this practice.
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

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⁸⁰ SPP Business Practice 2.2 - see [Valid Reservation POR / POD](#)

4.3 VALID TAG SOURCE/SINK

[\(return to TOC\)](#)

Business Practice

Valid tag sources and sinks are valid reservation sources and sinks⁸¹. A Tag will be denied as INVALID if the source/sink does not match the valid source/sink on the referenced reservation. Two exceptions to this rule are:

1. Transmission service has been properly combined with other transmission service⁸², and both are present on the tag.
2. The source/sink on the reservation is considered electrically equivalent with the source/sink on the tag.

Explanation / Rationale

The source and sink on a tag must match the source and sink shown on the reservation to ensure that the impacts expected by the ATC calculation for the reservation are the same as those ultimately incurred during scheduling, and to ensure proper pricing.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP4.3	Valid Tag Source/Sink	1.3	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	JW	Document creation
1.1	11/6/2001	11/6/2001	JW	Schedule substituted for Tag In all uses in this practice.
1.2	3/21/2003	3/21/2003	JW	Removed second tier exception to the Source/Sink rule.
1.3	8/25/2008	8/25/2008	KJQ	Assignment of Document Identifier

Approval

Primary Owner: Jimmy Womack

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⁸¹ SPP Business Practice 2.3 – see [Valid Reservation Source / Sink](#)

⁸² SPP Business Practice 2.7 – see [Combining Transmission Service Requests](#)

4.4 TAG DENIAL CRITERIA

[\(return to TOC\)](#)

SPP validates all tags against the referenced reservation/s.

Business Practice

SPP will deny a tag as incorrect for the following reasons:

- Incomplete fields for required information per the latest NERC E-Tag specification
- The referenced SPP OASIS reservation does not exist
- The referenced SPP OASIS reservation is not CONFIRMED
- The appropriate scheduling control areas must exist in the correct order in the CA column on the tag for each SPP reservation referenced
- The Transmission Product Code(s) on the tag does not match the Reservation(s)
- The Tag's Start / Stop times do not fit within the Reservation's date / time
- The PSE on the Tag does not match the Reservation Customer
- Scheduled capacity exceeds reserved capacity, including all tags scheduled against the reservation
- Losses section not completed properly
- Redirected service without a reservation
- SPP POR / POD on the tag does not match SPP POR/POD on the reservation
- The tag is for zero MW.
- The tag failed COS entity validation for any SPP market participant. (SPP sources and/or sinks that are not properly mapped to a market participant settlement location.)

SPP may deny a tag if the source / sink on the tag does not match the source / sink on the reservation⁸³

SPP may deny a tag if it is incomplete (amount not specified).

SPP may deny a tag if it is late⁸⁴.


Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP4.4	Tag Denial Criteria	1.4	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	JW	Document creation - Stacking Reservations
1.1	11/6/2001	11/6/2001	JW	Second example changed from repeating schedule to continuous schedule with varying amount.
1.1	11/6/2001	11/6/2001	JW	Added "SPP validates all tags against the referenced reservation/s." as introductory statement.
1.2	10/24/2002	10/24/2002	JW	Removed Business Practice, Tag Denial Criteria renumbered to BP 4.4
1.3	5/5/2006	5/5/2006	JW	Added wording regarding COS entity validation.
1.4	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

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⁸³ SPP Business Practice 2.3 – see [Valid Reservation Source / Sink](#)

⁸⁴ SPP Business Practice 4.5 – see [Late Schedules](#)

4.5 LATE SCHEDULES

[\(return to TOC\)](#)

Attachment P to the SPP OATT⁸⁵ lists the time requirements for initial submission of energy schedules, and changes to schedules. Any schedule submitted after the OATT Attachment P timing requirements, as modified in Business Practice 4.1, is considered late.

Business Practice

Schedules are due 20 minutes prior to the implementation of the schedule. Tags received less than 20 minutes prior to the implementation of the schedule will be considered late and will generally be denied. Subject to the sole discretion of the SPP Reliability Coordinator, late tags may be accepted for system reliability reasons. These exceptions will be posted the next day with the following information: Tag ID, reason for exception and PSE that created the tag.

SPP will approve a late schedule for Firm service although the unscheduled firm transfer capability has already been sold on a Non-Firm basis if the Late Firm Schedule is received at least 1 hour prior to start.

If displacement of Non-Firm is required, the curtailment will be done according to NERC TLR procedures. If schedules indicate that the OSL of any flowgate will be exceeded, NERC TLR will be called to relieve the overload. The NERC TLR process includes curtailments across the DC Ties. However, SPP may or may not use the NERC IDC to facilitate curtailment of DC Tie schedules

For DC Ties or Contract Limit Paths

ATC for the next day that is made available due to unscheduled Firm reservations will be sold as Non-Firm service beginning at noon of each day. Firm schedules submitted after noon the day prior but more than one hour prior to start, may cause a DC tie or contract path to be oversold and Non-Firm service that has been scheduled to be displaced.

If the displacement of Non-Firm schedules is required, displacement will be done using the same procedures, as a NERC TLR. Schedules will be curtailed on a pro-rata basis, beginning with the schedules using the lowest NERC priority reservations, until the DC tie or contract path limit is no longer exceeded.

Non-Firm schedules using higher NERC priority reservations that displace schedules using lower NERC priority reservations will be processed in the same manner as Firm schedules displacing Non-Firm schedules. If displacement of service is required by the submission of Non-Firm schedules, the schedules must be submitted at least 50 minutes prior to start. Schedules submitted after 50 minutes prior to start will be processed for approval if practicable. If schedules are curtailed due to being displaced by a schedule using a higher NERC priority reservation, only the amount curtailed will be recalled from the reservation. The SPP Tariff Administrator will notify the operator of the DC tie and the PSE of the curtailments.

⁸⁵ SPP OATT Attachment P - http://www.spp.org/publications/spp_tariff.pdf

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP4.5	Late Schedules	1.1	8/25/2008


Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1.1	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1		2/2/2001	jw	Document creation Renumbered from BP 4.6 to BP 4.5 10/24/2002

Approval

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Date



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4.6 BACK-UP PROCEDURES FOR TAG SUBMISSION

[\(return to TOC\)](#)

SPP will follow NERC Appendix 3A3⁸⁶ - [Electronic Tagging Service Failure Procedures](#) - when the E-Tag system is not functioning properly. There are three situations addressed by this Business Practice: Tag Agent Failure, Tag Authority Failure, and Tag approval Failure.

Business Practice

Tag Agent Failure

If a Purchasing Selling Entity (PSE) is experiencing technical problems with their E-Tagging system such that they cannot deliver tags via the electronic process, they should first attempt to find another PSE to submit the tag for them.

If the PSE cannot find another PSE to submit the tag, SPP will accept a faxed tag if received by the submission deadline⁸⁷. The NERC E-Tag backup Form can be found at: <http://reg.tsin.com/Tagging/e-tag/TagBackupForm4.xls>.

The SPP E-Tag backup Form can be found at:

<http://sppoasis.spp.org/documents/SWPP/uploads/SPPBackupFaxTag.xls>. (FAX # on form)

Tag Authority Failure

If SPP experiences a loss of the electronic means to receive tags, they will be accepted by fax (link above)). When SPP is the Tag Authority, the SPP Scheduling Coordinator will respond to the Tag Agent by voice communication, acknowledging receipt of the faxed tag.

SPP will then fax the tag to all involved parties. If the SPP Scheduling Coordinator requires assistance to be able to meet tag submission deadlines, the Coordinator, as the Tag Authority, will request that the issuing Tag Agent (PSE) fax the tag to other parties involved. Receipt of the transaction tag fax by each party will be confirmed by voice communication.

Each entity with Approval Authority is required to report approval status to the SPP Scheduling Coordinator as soon as possible but no later than 20 minutes prior to start of the transaction. By 10 minutes prior to start of the transaction, the SPP Scheduling Coordinator will set the tag status and communicate that status to the Sink Control Area. (Intermediate Transmission Providers and Control Areas will be automatically approved) The Sink Control Area will then coordinate with the Source Control Area and all other necessary entities to act on the tag based on the status given by the SPP Scheduling Coordinator. The SPP Scheduling Coordinator will enter the tags received by fax into the electronic scheduling system as soon as it is returned to service.

Tag Approval Failure

If SPP loses electronic Tag Approval capability, the SPP Reliability Coordinator will notify all other Reliability Coordinators via the RCIS, and all Control Areas by voice communication. SPP will accept and respond to tags by fax (link above). Confirmation of a response will be made by voice communication.

⁸⁶ NERC Appendix 3A 3 - http://www.naesb.org/pdf2/weq_bklet_011505_ci_mc.pdf

⁸⁷ SPP OATT Attachment P - http://www.spp.org/publications/spp_tariff.pdf

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP4.6	Back-up Procedures for Tag Submission	1.2	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	10/24/2002	10/24/2002	jw	Renumbered from BP 4.7 to 4.6
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

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4.7 EMERGENCY SERVICE TAG

[\(return to TOC\)](#)

Business Practice

If the service needed is emergency service for immediate implementation, no tag is required. SPP will complete and submit a reservation request⁸⁸ post contingency for the PSE, as pre-scheduled and pre-approved.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP4.7	Emergency Service Tag	1.2	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	2/23/2004	2/23/2004	jw	Removed emergency service for next hour tagging requirement. The addition of current hour service offering per Business Practice 1.13 eliminated the use of emergency service for next hour.
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

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⁸⁸ SPP Business Practice 1.12 – see [Emergency Transmission Request](#)

4.8 THIS BUSINESS PRACTICE WAS SUSPENDED MAY 25, 2004

[\(return to TOC\)](#)

MAPP Service Combined with SPP Service

Business Practice

All MAPP control areas are valid sources or sinks for SPP transactions with a MAPP border control area shown as the POR or POD.

An SPP reservation involving a MAPP source or sink must show the true MAPP source or sink.

The source/sink on an SPP tag involving MAPP must match the source/sink on the reservation.

The source/sink for SPP transactions involving MAPP cannot be changed except as a redirect⁸⁹.

SPP requires a tag to have non-SPP service across the first tier to SPP, in addition to the SPP portion beginning with an SPP Member.

Explanation / Rationale

Seven MAPP Border control areas are SPP First Tier control areas. Schedules involving these and other control areas first tier to SPP must have transmission service, other than SPP service, to complete the transaction.

June 5, 2000 MAPP modified its Policies and Procedures for Transmission Operations to require MAPP Schedule F service for all Control Areas that are MAPP Regional Tariff Committee, or RTC, members.

Some of these MAPP RTC Members are first tier to SPP (LES, MEC, MPS, NPPD, OPPD, and SECI). SPP looks for non-SPP service across the first tier to SPP, in addition to the SPP portion beginning with an SPP member.

Example

An OPPD to EES includes service to SPP as OPPD / WR, then SPP service as OPPD / EES (POR / POD) scheduled by WR. The OPPD / WR should be MAPP Schedule F, but SPP will only check that there is a service to SPP, not whether or not it is Schedule F. MAPP Security Coordinators administer MAPP Schedule F. If the tag only had service for OPPD / EES, however, SPP would deny the tag for not providing service to SPP (across the first tier control area).

Both OPPD and EES are border control areas that are valid POR / PODs (valid 1st Tier and Border POR/PODs are AEI, AMRN, EES, LES, MEC, NPPD, OPPD, and the DC Ties – ERCOTE, ERCOTN, Blackwater and Eddy). MAPP Schedule F for RTC members is required, but *SPP does not monitor for MAPP Schedule F service. SPP does require that a tag has non-SPP service across the first tier to SPP,* in addition to the SPP portion beginning with an SPP Member.

⁸⁹ SPP Business Practice 3.1 – see [Redirect on a Non-firm Basis](#)


Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP4.8	MAPP Service Combined with SPP Service (Suspended 05/25/2004)	1.2	8/25/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	5/24/2004	5/24/2004	jw	Suspended Business Practice
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier

Approval

Primary Owner: Jimmy Womack Date


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4.9 SCHEDULE DENIAL CRITERIA

[\(return to TOC\)](#)

SPP validates all schedules to ensure that SPP is included as the scheduling entity where appropriate.

Business Practice

SPP will deny a schedule as incorrect for the following reasons:

- The schedule does not meet any of the requirements stated in Business Practice 4.4 - Tag Denial Criteria.
- SPP is not included as a scheduling entity in the Physical path for any schedule using SPP Transmission service.
- SPP is not included as a scheduling entity between SPS and WSCC for transactions across BLKW or EDDY DC ties, or CSWS and ERCOT for transactions across ERCOTE and ERCOTN DC ties.
- SPP is not included as a scheduling entity in the Physical path for any schedule between, into, through or out of CSWS, EDE, GRDA, INDN, KACY, KCPL,MPS, OKGE, SECI, SPS, WFEC, WR, and any other Control Area.
- The schedule does not pass validation based on a member's business rules, if SPP is acting as a proxy for that member.
- The schedule does not pass validation based on a member's business rules, if SPP is acting as a scheduling agent for that member.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP4.9	Schedule Denial Criteria	1.4	9/16/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation First Tier Control Area Transactions
1.1	10/24/2002	10/24/2002	jw	Removed Business practice,
1.2	2/23/2004	2/23/2004	jw	New business practice to distinguish schedule denial from tag denial.
1.3	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.4	9/16/2008	9/16/2008	lc	Added MPS, removed WPEK to bullet #4: Inclusion of SPP as SE for paths between, into, through or out of...

Approval

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Signature date supercedes prior approval dates

4.10 LOSS PROVISION REQUIREMENTS FOR NETWORK INTEGRATION TRANSMISSION SERVICE

[\(return to TOC\)](#)

Network Integration Transmission Service (NITS) customers that choose to self provide losses have two choices on how losses are tagged. The following practice applies to NITS customers only.

Business Practice

If the NITS customer is a self-provider of losses and wants to show losses on the tag, SPP’s scheduling system (RTOSS) will validate that the proper amount of losses are identified on the tag.

If the NITS customer is a self-provider of losses and does not want to show the losses on the tag, the customer must have an agreement in place with the Transmission Owner of the host zone and/or Control Area that describes the customer’s arrangements for provision of losses to that transmission owner. The customer must provide said agreement to SPP. SPP will then evaluate the tag and the tag will be refused if that customer shows losses on the tag.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP4.10	Loss Provision Requirements For Network Integration Transmission Service	1.4	9/16/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation Scheduling Agent
1.1	10/24/2002	10/24/2002	jw	Removed Business practice
1.2	4/14/2005	4/14/2005	jw	New Business Practice regarding Loss provisions for NITS
1.3	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.4	9/16/2008	9/16/2008	lc	Replaced "RTOSS Validation" with "SPP will then evaluate" to define entity evaluating loss provision

Approval

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Signature date supercedes prior approval dates

5 Tag Changes

5.1 TAG CANCELLATION, TERMINATION, WITHDRAWAL OR CORRECTION

[\(return to TOC\)](#)

Business Practice

SPP, acting in the Scheduling entity or Tariff Administration capacities, does not have the capability to cancel, terminate, withdraw or correct a tag. These actions must be done by the PSE⁹⁰. SPP may request such actions be taken when a tag is in error under the following circumstances:

- SPP has incorrectly approved the tag.
- An SPP member with approval rights has incorrectly approved the tag.
- At least one of the reservations used on the tag is in error.
- SPP or an SPP member with approval rights has denied a tag/schedule within scheduling timing rules, but the tag was implemented under tagging rules.

If the PSE does not comply with the request made by SPP, SPP may take further action including curtailment of the tag.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP5.1	Tag Cancellation, Termination, Withdrawal or Correction	1.3	9/16/2008

Revision History

Ver No	Rev Date	Eff Date	By	Summary of Changes
1		2/2/2001	jw	Document creation
1.1	5/25/2004	5/25/2004	jw	Revised
1.2	8/25/2008	8/25/2008	kjq	Assignment of Document Identifier
1.3	9/16/2008	9/16/2008	lc	Modified correctable circumstances, per NERC Tagging Requirements

Approval

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⁹⁰ NERC Appendix 3D - <http://reg.tsin.com/Tagging/e-tag/e-tag-spec-v-18-20071107.doc>

5.2 TRANSACTION CURTAILMENT AND APPROVAL DURING TLR

[\(return to TOC\)](#)

Business Practice

SPP follows NERC policy for curtailing transactions in TLR. The SPP practice for approving new transmission service and tags for any transmission facility in TLR 2 (Level 2) or above is as follows:

For a TLR Level 2 or above called by SPP

1. No new transmission service adversely affecting the constraint will be approved for the period of time during which TLR is expected to continue.
 2. No late schedules will be approved except as stated in Business Practice 4.5
-

Explanation / Rationale

SPP will accept a firm or non-firm schedules received by the scheduling deadline, regardless of whether SPP is in TLR at the time the schedule is submitted.

By accepting an on-time firm schedule, SPP agrees that the schedule met the submission deadline and will be allowed to start unless TLR Level 5 or above is called.

By accepting a non-firm schedule, SPP only agrees that, if a TLR is underway and the transaction does not significantly impact the constrained facility, the transaction will be allowed to start.

Dept	Doc ID	Document Title	Current Version	Current Rev Date
Tariff Administration	0810pcsBP5.2	Transaction Curtailment and Approval During	1.4	9/29/2009

Revision History

Ver No:	Rev. Date:	E ff. Date:	By	Summary of Changes
1.0		2/2/2001	kjq	Document creation as BP 5.3
1.1	11/6/2001	11/6/2001	jw	Renumbered to 5.2, all uses of the word tag replaced with schedule, "with exception of firm schedules re
1.2	7/18/2007	7/18/2007	jw	Language from 5.3 incorporated into BP 1.11. 5.3 removed.
1.3	8/25/2008	8/25/2008	jw	Assignment of Document Identifier
1.4	9/29/2009	9/29/2009	spp	Modified time frame for service adversely affecting a constraint