MOST VIOLATED STANDARDS TO-DATE IN SPP RE [UNIVERSE OF 96 VIOLATIONS]

Standard	Description	# / %	Ranking in NERC Top 10	Violation Risk Factor
PRC-005 *	Protection System Maintenance & Testing	25 / 26%	1	High
FAC-008 *	Facility Rating Methodology	10 / 10%	5	Medium
PRC-004	Protection System Misoperations Analysis	8 / 8%	NR	High/Lower
FAC-009 *	Establish & Communicate Facility Ratings	8 / 8%	7	Medium
CIP-001 *	Sabotage Reporting	7 / 7%	2	Medium
VAR-002 *	Generator Operations / System Voltage Support	5 / 5%	4	Medium/Lower
CIP-004 *	Personnel & Training	5 / 5%	3	Lower
EOP-001	Emergency Operations Planning	4 / 4%	NR	High/Medium
PRC-001	System Protection Coordination	4 / 4%	NR	High
PRC-008	UFLS Relay Maintenance	3 / 3%	NR	Medium

* In NERC Top 10 Violations for 12 months ending July 1, 2009
As of July 17, 2009

Post-June 18th 2007 Violation Status

Total Enforceable Violations Reported as of July 17, 2009: 96 Violations

Status	July 17, 2009	Change from June 18 2009
Preliminary Review Status	0 Violations	+0
Initial Notification Status	26 Violations	+5
Dismissed Violation	1 Violation	+0
Alleged Violation and Penalty Notice Issued	0 Violations	+0
Violation or Penalty Contested	0 Violations	+0
Violation and Penalty in Settlement	20 Violations	+7
Violation and Penalty Accepted [under RE review]	3 Violations	+0
Confirmed Violation	39Violations	-2
Posted by NERC	2 Violations	+2
Approved by FERC	5 Violations	+0
Total to-date	96 Violations	+12

Respectfully submitted by:

Ronald W. Ciesiel
Executive Director of Compliance
Southwest Power Pool Regional Entity
July 17, 2009

POST-JUNE 18th VIOLATIONS

Discovery Method

	July 17, 2009	Change from June 18, 2009
Self Certification	42	+0
Self Report	33	+5
Compliance Audit	16	+7
Investigation	4	+0
Periodic Data Submittal	1	+0
Total	96	+12

As of July 17, 2009

Respectfully submitted:

Ronald W. Ciesiel
Executive Director of Compliance
Southwest Power Pool Regional Entity
July 17, 2009