

Southwest Power Pool, Inc.

Regulatory Status Report

FERC or State Jurisdiction: FERC

AD09-8 Transmission Planning Processes Under Order No. 890

On December 18, 2009, numerous parties filed reply comments.

On December 18, 2009, SPP submitted Reply Comments in response to initial comments addressing issues affecting regional and inter-regional transmission planning processes.

SPP states:

- 1) Commission Planning Reforms should initially focus on inter-regional Issues and Impediments;
- 2) Existing regional processes would benefit from commission clarification of other specific planning issues.

CE09-62.001 Gary Thompson, et al. - EPIS, Inc. (Additional Requesters)

FERC granted this request December 10, 2009.

CE09-62.002 Peter Swartz - EPIS, Inc. (Additional Requester)

FERC granted this request on December 10, 2009.

CE09-119.001 Elizabeth LaRose et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc. (Additional Requesters)

On November 30, 2009, Elizabeth LaRose provided notice by email that General Electric International, Inc. is seeking to add Mark Walling, et al. to the group request in this docket.

SPP submitted comments on this request December 2, 2009.

CE10-4 Chethana Kuloor's Request for Critical Energy Infrastructure Information - Optimal Technologies

FERC issued a Notice of Intent to Release on December 1, 2009.

CE10-8 Joel Eggemeyer, et al.'s Request for Critical Energy Infrastructure Information - Ameren Energy Marketing

FERC issued a Notice of Intent to Release on December 7, 2009.

CE10-11 Ethan Spafford's Request for Critical Energy Infrastructure Information - Wisconsin Electric Power Company

FERC issued a Notice of Intent to Release on December 1, 2009.

CE10-12 Jinxiang Zhu's Request for Critical Energy Infrastructure Information - ABB, Inc.

FERC issued a Notice of Intent to Release on December 1, 2009.

CE10-13 Xiaoguang Yang's Request for Critical Energy Infrastructure Information - Enexus Energy, a subsidiary of Entergy

FERC issued a Notice of Intent to Release on December 1, 2009.

Southwest Power Pool, Inc.

Regulatory Status Report

CE10-15 Anna Wood's Request for Critical Energy Infrastructure Information - BJ Energy, LLC

FERC issued a Notice of Intent to Release on November 25, 2009.

CE10-18 Michael Behnke's Request for Critical Energy Infrastructure Information - BEW Engineering, Inc.

FERC issued a Notice of Intent to Release on December 9, 2009.

CE10-19 William Williams' Request for Critical Energy Infrastructure Information - Altresco Integrated, LLC

SPP submitted comments on this request November 24, 2009.

FERC issued a Notice of Intent to Release on December 9, 2009.

CE10-20 Kiran Thammineni's Request for Critical Energy Infrastructure Information - Ameren Services Company

SPP submitted comments on this request November 24, 2009.

FERC issued a Notice of Intent to Release on December 9, 2009.

CE10-21 Kevan Eddy's Request for Critical Energy Infrastructure Information - Petroleum Landman School, LLC

SPP submitted comments on this request November 25, 2009.

FERC issued a Notice of Intent to Release on December 18, 2009.

CE10-22 Jennifer Timmer's Request for Critical Energy Infrastructure Information - Texas Tech University

SPP submitted comments on this request November 24, 2009.

CE10-23 Jeffrey Palermo's Request for Critical Energy Infrastructure Information - KEMA, Inc.

SPP submitted comments on this request November 25, 2009.

CE10-29 Alexander Takahashi's Request for Critical Energy Infrastructure Information - WestPower, Inc.

On November 30, 2009, Alexander Takahashi, Principal Engineer of WestPower, Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 1, 2009.

SPP submitted comments on this request December 2, 2009.

CE10-30 Jackson Lord's Request for Critical Energy Infrastructure Information - MAP Royalty, Inc.

On December 1, 2009, Jackson Lord of MAP Royalty, Inc., filed a FERC CEII request to obtain a copy of the SPP Transmission Map and Atlas, as noticed December 2, 2009.

SPP submitted comments on this request December 2, 2009.

Southwest Power Pool, Inc.

Regulatory Status Report

CE10-32 Joshua Lazarus' Request for Critical Energy Infrastructure Information - enXco Development

On December 3, 2009, Joshua Lazarus, GIS Analyst for enXco Development, filed a FERC CEII request to obtain "GIS data used in most recent Transmitting Utility Maps and Diagrams submitted for Part 3 of FERC Form 715 by Pacific Gas & Electric Company and Southern California Edison Company", as noticed December 8, 2009. This request is not applicable to SPP.

SPP submitted comments on this request December 14, 2009.

CE10-34 Michael Chechelnitsky's Request for Critical Energy Infrastructure Information - Virtual Electricity, Inc.

On December 4, 2009, Michael Chechelnitsky, Treasurer of Virtual Electricity, Inc., filed a FERC CEII request to obtain "[m]ost recent filings under FERC Form 715 for Eastern Interconnection (including NPCC, Reliability First, MRO, SERC, SPP, FRCC), for Western Electricity Coordinating Council (WECC) and Electric Reliability Coordinating Council (ERCOT)", as noticed December 8, 2009.

SPP submitted comments on this request December 14, 2009.

EL08-59 Complaint Requesting Fast Track Processing on ConocoPhillips ("Conoco") Company against Entergy Services, Inc.

On December 17, 2009, FERC issued an Order on Rehearing, denying Entergy's request for clarification or rehearing of the Commission's July 24 Order, and the rehearing request of SPP acting as ICT.

ER04-48 RTO Approval Docket

On December 9, 2009, SPP submitted its annual operating budget and true-up. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.

ER06-20 Louisville Gas and Electric Company ("LG&E") and Kentucky Utility Company's ("KU") Request for Commission Approval to Change their Method of Complying with Order Nos. 888 and 889 and Certain Conditions Imposed by the Commission in the Context of their Prior Mergers, and Achieving the Goals of Order No. 2000

On November 24, 2009, FERC issued a Certification of Uncontested Settlement.

On November 30, 2009, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

ER08-313 SPS Formula Rate Case

On December 2, 2009, Xcel Energy submitted an informational filing including the 2010 Transmission Formula Rate Annual Update previously provided to SPS transmission service customers on October 1, 2009.

On December 2, 2009, FERC issued an Order Approving Uncontested Settlement.

On December 18, 2009, Golden Spread Electric Cooperative, Inc. filed an Unopposed Motion Seeking Heightened Protection for Certain Data Responses and Request for Shortened

Southwest Power Pool, Inc.

Regulatory Status Report

Response Period, asking for heightened protection of Golden Spread's response to selected information in response to Cap Rock-GS/AECC 1-5 and issue an order so stating and restricting access to the lawyers and consultants for SPS, SPP, Intervenors, and Commission Trial Counsel and Staff..

ER08-1206

SPP Filing of an Unexecuted Network Integration Transmission Service Agreement ("NITSA") between SPP and the Oklahoma Municipal Power Authority ("OMPA"), as well as a Network Operating Agreement ("NOA")

On November 25, 2009, FERC issued a Certification of Uncontested Settlement.

On November 30, 2009, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

ER08-1338

SPP Submission of Revised Tariff Sheets Amending Schedule 1-A of OATT in Order to Increase the Rate Cap for its Tariff Administration Service Charge

On December 9, 2009, SPP submitted its annual operating budget and true-up. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.

ER08-1419

SPP Balanced Economic Portfolio Filing

On December 8, 2009, SPP filed an Answer in response to protests filed in regarding SPP's November 2, 2009 compliance filing.

SPP states:

- 1) Restricting access to commercially sensitive resource-specific data to non-competitive duty personnel is proper and consistent with Order Nos. 890, 890-A, and 890-B; and
- 2) The model protective order cited by protestors does not sufficiently address SPP's need to protect its members' commercially sensitive resource-specific data.

On December 23, 2009, Golden Spread Electric Cooperative, Inc., Arkansas Electric Cooperative Corporation, East Texas Cooperatives, Kansas Electric Power Cooperative, Inc., Sunflower Electric Power Corporation, Mid-Kansas Electric Company, LLC and Western Farmers Electric Cooperative filed joint Motion for Leave to Answer and Answer.

The parties state that the cases cited by SPP do not support SPP's claim that Competitive Duty Personnel, defined as broadly as SPP proposes, may be completely barred from access to protected material, even if the effect would be to deny parties any meaningful access to the information in question. The parties believe the proposed language would effect a total, unconditional ban on access to material necessary for full participation in regional planning activities by the employees and other representatives of G&T cooperatives, and other smaller stakeholders, who possess the necessary expertise to evaluate and replicate transmission studies.

The parties request that the Commission direct SPP to file applicable confidentiality provisions modeled on the Commission's own Model Protective Order.

ER09-35

Tallgrass Transmission, LLC ("Tallgrass") Formula and Incentive Rate Case

On December 4, 2009, FERC issued an Order Cancelling Settlement Conference previously

Southwest Power Pool, Inc.

Regulatory Status Report

scheduled for December 9, 2009.

ER09-36

Prairie Wind Transmission, LLC ("Prairie Wind") Formula and Incentive Rate Case

On December 4, 2009, FERC issued an Order Cancelling Settlement Conference previously scheduled for December 9, 2009.

ER09-342

SPP Filing of an Executed Network Integration Transmission Service Agreement ("NITSA") between SPP and American Electric Power Service Corporation ("AEP"), as well as a Network Operating Agreement ("NOA")

On November 25, 2009, FERC issued a Certification of Uncontested Settlement.

On November 30, 2009, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

ER09-555

Entergy Services, Inc. Filing of Proposed Revisions to Attachment V of Entergy's Open Access Transmission Tariff ("OATT")

On December 15, 2009, SPP submitted the ICT's WPP Quarterly Report. This covers the time period of September 2009 through November 2009.

On December 23, 2009, Union Power Partners, L.P. filed a Motion for Leave to File Comments and Comments regarding Entergy's November 17, 2009 filing.

Union Power states:

- 1) The Commission should direct SPP to explain whether and on what terms it is willing to continue the ICT Arrangements.
- 2) The Commission should direct Entergy to file a time line for proposing any modifications to the ICT structure.
- 3) The Commission should direct Entergy to vet any proposed modifications to the ICT Arrangements through the stakeholder process.

ER09-748

SPP Filing of Revisions to OATT to Modify Energy Imbalance Service Market (Attachment AE Revisions - Demand Response Proposal)

On December 15, 2009, SPP filed a Motion for Extension of Time. SPP requests an additional 90 days, to May 19, 2010, to submit a compliance filing required by the Commission's November 20, 2009 Order, as well as a 114-day extension to submit its compliance filing required by Order No. 719-A.

Specifically, SPP seeks an extension to file revisions to its OATT to comply with demand response requirements of Order Nos. 719 and 719-A and the November 20 Order.

On December 18, 2009, the TDU Intervenors filed a Request for Clarification or Rehearing of the Commission's November 20, 2009 Order on Compliance Filing. Specifically, TDU Intervenors request clarification that PP 71-73 of the November 20 Order are to be construed in a manner consistent with Orders 719-A and 719-B, as well as PJM Interconnection, LLC, 128 FERC 61,238, P 22 (2009). Alternatively, TDU Intervenors seek rehearing of PP 71-73 and request that they be revised to be consistent with these orders.

On December 23, 2009, FERC issued a Notice Addressing Motion for Extension of Time.

SPP is granted an extension of time up to and including May 19, 2010, to comply with the demand response requirements set forth in section III.B.1 of the November 20, 2009 Order and

Southwest Power Pool, Inc.

Regulatory Status Report

the demand response requirements ordered in Order No. 719-A.

The Commission finds that SPP has not sufficiently supported its request for additional time to submit the demand response reports required by the November 20, 2009 Order, therefore, that request is denied. SPP must submit its demand response reports on May 20, 2009.

ER09-1050

SPP Order 719 Compliance Filing

On November 24, 2009, SPP filed an Answer in response to Occidental's November 10, 2009 Protest filed in response to SPP's October 27, 2009 Order 719-A compliance filing.

SPP states that the Commission should recognize and respect regional differences and the unique position of SPP as an RTO in an entirely retail rate-regulated region, and permit SPP to adopt a mechanism to ensure that it is not placed in the position of interpreting the laws and regulations of the electric retail regulatory authorities in its region. Additionally, SPP's proposed requirement for a declaration from the relevant electric retail regulatory authority in ARC registration does not tread on state regulatory jurisdiction, especially since no state commission has expressed any opposition to SPP's proposed Tariff language.

On December 2, 2009, Occidental filed a Motion for Leave to File Answer and Answer in response to SPP's November 24, 2009 Answer. Occidental asks the Commission to reject SPP's October 27, 2009 Compliance Filing, insofar as that filing retains the previously rejected requirements that ARCs include in their EIS application a declaration by the relevant electric retail regulatory authority that participation in the EIS market by their controllable load resource is not precluded by relevant laws or regulations.

On December 15, 2009, SPP filed a Motion for Extension of Time. SPP requests an additional 90 days, to May 19, 2010, to submit a compliance filing required by the Commission's November 20, 2009 Order, as well as a 114-day extension to submit its compliance filing required by Order No. 719-A.

Specifically, SPP seeks an extension to file revisions to its OATT to comply with demand response requirements of Order Nos. 719 and 719-A and the November 20 Order.

On December 18, 2009, FERC issued a Notice of Date of Technical Conference on RTO/ISO Responsiveness. On November 13, 2009, FERC announced it would hold a technical conference in the near future to address issues raised in the Order No. 719 proceedings of CAISO, ISO New England, Midwest ISO, New York ISO, PJM and SPP.

The conference will be held on February 4, 2010, from 12:30 p.m. to 4:30 p.m. (EST) in the Commission Meeting Room.

On December 18, 2009, the TDU Intervenors filed a Request for Clarification or Rehearing of the Commission's November 20, 2009 Order on Compliance Filing. Specifically, TDU Intervenors request clarification that PP 71-73 of the November 20 Order are to be construed in a manner consistent with Orders 719-A and 719-B, as well as PJM Interconnection, LLC, 128 FERC 61,238, P 22 (2009). Alternatively, TDU Intervenors seek rehearing of PP 71-73 and request that they be revised to be consistent with these orders.

On December 23, 2009, FERC issued a Notice Addressing Motion for Extension of Time.

SPP is granted an extension of time up to and including May 19, 2010, to comply with the demand response requirements set forth in section III.B.1 of the November 20, 2009 Order and

Southwest Power Pool, Inc.

Regulatory Status Report

the demand response requirements ordered in Order No. 719-A.

The Commission finds that SPP has not sufficiently supported its request for additional time to submit the demand response reports required by the November 20, 2009 Order, therefore, that request is denied. SPP must submit its demand response reports on May 20, 2009.

ER09-1192

SPP Submission of Revisions to Bylaws

On December 1, 2009, SPP submitted its compliance filing pursuant to the Commission's September 17, 2009 order.

SPP revises section 9.3 to identify the specific duties of the RE General Manager.

ER09-1254

SPP Submission of Revisions to Open Access Transmission Tariff to Reform Generation Interconnection Procedures ("Queue Reform Filing")

On December 17, 2009, FERC issued an Order on Compliance Filing, which conditionally accepts SPP's August 31, 2009 compliance filing.

The Commission finds that SPP did not fully comply with the directive regarding alternative demonstrations of site control. Therefore, SPP is directed to revise section 3.3.1 to provide criteria it will consider in evaluating alternative demonstrations of site control on a non-discriminatory basis. SPP must also revise this section to state that, if an alternative demonstration of site control meets SPP's objective criteria, SPP will accept the alternative demonstration of site control.

In addition, the Commission finds the information SPP provides regarding where in its business practices site control and site adequacy standards are located is insufficient. SPP is directed to revise section 3.3.1 to include the URL for its interconnection business practices.

Also, SPP must submit a compliance filing either to include a definition of site adequacy in its OATT and revise its interconnection business practices to articulate the difference between site control and site adequacy standards, or revise section 8.2(a) of its interconnection procedures to remove mention of site adequacy.

SPP's compliance filing is due January 19, 2010.

ER09-1397

Unexecuted Network Integration Transmission Service Agreement ("NITSA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest Energy) and Westar Energy, Inc. ("Westar") as Host Transmission Owners, as well as a Network Operating Agreement ("NOA")

On November 24, 2009, FERC issued a Notice of Extension of Time, which grants an extension of the comment date to December 11, 2009. SPP's request to defer Commission action is also granted until February 9, 2010.

On December 10, 2009, SPP filed an 1) unexecuted, revised Network Integration Transmission Service Agreement between SPP as Transmission Provider and Kansas Power Pool as Network Customer; 2) an executed Network Operating Agreement between SPP as Transmission Provider, Kansas Power Pool as Network Customer, and Midwest Energy, Inc. and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Substitute Original 1822.

Southwest Power Pool, Inc.

Regulatory Status Report

The NITSA is being submitted on an unexecuted basis because KPP does not agree with certain terms and conditions required to accommodate its request for service.

An effective date of June 1, 2009 is requested.

On December 30, 2009, Kansas Power Pool filed a protest regarding SPP's December 10, 2009 filing.

KPP states that the currently proposed NITSA still includes service restrictions that KPP believes are unjustified. Specifically, KPP states in Attachments B and C to the NITSA, SPP proposes "import" limits that KPP believes would diminish the overall quality and value of network service provided to KPP and adversely affect KPP's ability to serve a number of its members. In addition, KPP states that Section 8.7 of the NITSA states vaguely that the restrictions will apply "during peak loading conditions as identified by Midwest Energy and Westar Energy."

KPP asks that the Commission reject the proposed service restrictions reflected in Section 8.7 and Attachment B and C of the unexecuted NITSA. If the restrictions are not rejected, KPP asks the Commission to hold hearing procedures and assign a settlement judge to assist in resolving these issues.

ER09-1562

Unexecuted Network Integration Transmission Service Agreement ("NITSA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Mid-Kansas Electric Company ("MKEC") as Host Transmission Owners, as well as a Network Operating Agreement ("NOA")

On December 14, 2009, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Joint Motion to Intervene.

ER09-1736

SPP Submission of Revised Pages to its OATT to Implement a Rate Change for Public Service Company of Oklahoma ("PSO") and Southwestern Electric Power Company ("SWEPCO") (PSO and SWEPCO Formula Rate Case)

On December 2, 2009, FERC issued a letter order accepting the changes, effective February 1, 2008, and March 1, 2009, as requested.

This order constitutes final agency action.

ER10-7

Submission of Midwest Independent Transmission System Operator, Inc. ("Midwest ISO"), PJM Interconnection, LLC ("PJM"), and SPP of Proposed Revisions to the Congestion Management Process ("CMP") and Joint Operating Agreements

On November 30, 2009, FERC issued an Order Accepting for Filing Revisions to Joint Operating Agreement and Revisions to the Midwest ISO and the SPP Tariffs, and Granting Waivers of NAESB Business Practices.

An effective date of November 1, 2009 is granted.

Southwest Power Pool, Inc.

Regulatory Status Report

ER10-13 SPP Submission of Tariff Revisions Regarding NAESB Business Practices (Attachment R-1)

On November 30, 2009, FERC issued an Order Accepting for Filing Revisions to Joint Operating Agreement and Revisions to the Midwest ISO and the SPP Tariffs, and Granting Waivers of NAESB Business Practices.

An effective date of November 1, 2009 is granted.

ER10-21 SPP Submission of Revisions to OATT to Implement Rate Changes for Public Service Company of Oklahoma ("PSO"), Southwestern Electric Power Company ("SWEPCO"), and Southwestern Public Service Company ("SPS")

On December 1, 2009, FERC issued a letter order accepting the rate changes, effective July 1, 2009 as requested.

This order constitutes final agency action.

ER10-29 SPP Submission of Revisions to OATT to Implement Rate Changes for Lincoln Electric System ("LES") and Omaha Public Power District ("OPPD")

On December 1, 2009, FERC issued a letter order accepting the rate changes, effective August 1, 2009 as requested.

This order constitutes final agency action.

ER10-33 SPP Filing of an Executed Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On December 1, 2009, FERC issued a letter order accepting the agreement, effective June 1, 2009 as requested.

This order constitutes final agency action.

ER10-45 SPP Submission of Revisions to OATT to Implement Rate Changes for ITC Great Plains, LLC (ITC Formula Rate Case)

On December 11, 2009, FERC issued an Order Accepting and Suspending Proposed Rate Schedule and Ordering Compliance Filing. The filing is accepted August 18, 2009, as requested, subject to refund and subject to the outcome of Docket No. ER09-548.

SPP is directed to make a compliance filing within 30 days of the Commission acting on the Offer of Settlement filed in ER09-548. The compliance filing should adjust the formula rate and formula rate protocols to be consistent with the outcome of ER09-548. It should also include a refund report detailing the amounts of refunds necessary, if any.

Southwest Power Pool, Inc.

Regulatory Status Report

Since this proceeding is subject to the outcome of ER09-548, the Commission denied the Cooperatives' request to consolidate this proceeding with ER09-548.

ER10-66

Large Generator Interconnection Agreement ("LGIA") between Conestoga Winds, LLC ("Conestoga") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner

On December 8, 2009, FERC issued a letter order accepting the agreement, effective December 19, 2005, and September 14, 2009, as requested.

This order constitutes final agency action.

ER10-126

Revisions to Open Access Transmission Tariff to Reflect an Update of the Annual Values Used in the Calculation of Offer Caps

On December 11, 2009, FERC issued a letter order accepting SPP's EIS Market offer cap update, effective January 1, 2010.

This order constitutes final agency action.

ER10-129

Network Integration Transmission Service Agreement ("NITSA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Empire District Electric Company ("Empire") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On December 23, 2009, FERC issued a letter order accepting the agreement, effective October 1, 2009 as requested.

This order constitutes final agency action.

ER10-144

Network Integration Transmission Service Agreement ("NITSA") between SPP, Board of Public Utilities, Springfield, Missouri ("Springfield") as Network Customer and Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On December 8, 2009, FERC issued a letter order accepting the agreement, effective October 1, 2009 as requested.

This order constitutes final agency action.

ER10-145

SPP Submission of Revisions to OATT to Implement Rate Changes for Southwestern Public Service Company ("SPS") (SPS Formula Rate Case)

Southwest Power Pool, Inc.

Regulatory Status Report

On December 3, 2009, FERC issued a letter order accepting the changes, effective July 6, 2008 and October 1, 2009 as requested.

This order constitutes final agency action.

ER10-146

Network Integration Transmission Service Agreement ("NITSA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On December 23, 2009, FERC issued a letter order accepting the agreement, effective October 1, 2009 as requested.

This order constitutes final agency action.

ER10-181

SPP Submission of Revisions to OATT to Implement Rate Changes for Westar Energy, Inc. (Westar Formula Rate Case)

On December 23, 2009, FERC issued a letter order accepting the rate changes, effective January 1, 2010 as requested.

This order constitutes final agency action.

ER10-184

Revised Appendices to Large Generator Interconnection Agreement ("LGIA") between Flat Ridge Wind Energy, LLC ("Flat Ridge Wind") as Interconnection Customer and Mid-Kansas Electric Company, LLC ("MKEC") as Transmission Owner

On December 23, 2009, FERC issued a letter order accepting the agreement, effective October 26, 2009 as requested.

This order constitutes final agency action.

ER10-191

E.ON U.S. LLC (LG&E & KU Systems) Filing to Change Method of Complying with Order Nos. 888, 889, 890

On November 5, 2009, E.ON submitted a filing containing additional Tariff sheets missing from the October 30, 2009 filing.

On November 30, 2009, Port River, LLC filed a Motion to Intervene and Protest. Port River states that the Applicants' proposal to allow the ITO Agreement to expire, without proposing any comparable replacement arrangement with an independent entity, does not satisfy the conditions imposed in the Merger Orders, nor can it satisfy the Commission's standard of review for replacement arrangements in the Midwest ISO Withdrawal Order.

On November 30, 2009, Big Rivers Electric Corporation filed a Motion to Intervene.

On December 4, 2009, E.ON U.S. LLC filed a Motion to Summary Disposition, Request for

Southwest Power Pool, Inc.

Regulatory Status Report

Leave to File Answer and Answer in response to the answers filed by Kentucky Municipals, Port River, LLC and American Municipal Power, Inc. E.ON states the Commission should 1) summarily dismiss the motion to reject Applicants' filing by the Kentucky Municipals; 2) accept this Answer and find that Applicants' proposal satisfies the Merger Conditions and the MISO Exit Order regarding vertical market power issues and independence; and 3) approve Applicants' October 30 Filing as proposed.

On December 7, 2009, Virginia Electric and Power Company filed a Motion to Intervene Out-of-Time, Protest, and Comments. Dominion Virginia Power is developing the proposed Mill Creek Wind Farm. The interconnection request is still being processed and Dominion is concerned that E.ON's modification of their OATT may affect this already delayed request.

On December 16, 2009, E.ON filed an Leave to File Answer and Answer in response to Virginia Electric Power Company's protest.

On December 22, 2009, Kentucky Municipals filed an Answer to Applicants' Motion for Summary Disposition. The Kentucky Municipals state that E.ON's December 4, 2009 Motion for Summary Disposition, Request for Leave to File Answer, and Answer should be denied.

ER10-195

Network Integration Transmission Service Agreement ("NITSA") between SPP, American Electric Power Service Corporation ("AEP") as Network Customer, and Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On December 3, 2009, SPP submitted a supplemental filing containing the fully executed Network Operating Agreement.

ER10-197

Network Integration Transmission Service Agreement ("NITSA") between SPP, Midwest Energy, Inc. ("Midwest") as Network Customer, and Midwest Energy, Inc. ("Midwest") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners, as well as a Network Operating Agreement ("NOA")

On December 23, 2009, FERC issued a letter order accepting the agreements, effective October 1, 2009 as requested.

This order constitutes final agency action.

ER10-215

Transmission Interconnection Agreement between the West Texas Municipal Power Agency ("WTMPA") and Southwestern Public Service Company ("SPS")

On November 24, 2009, Xcel Energy Services Inc. filed a Motion to Intervene.

On December 23, 2009, FERC issued a letter order accepting the agreement, effective January 1, 2010.

This order constitutes final agency action.

ER10-230

Kansas City Power & Light Company ("KCP&L") and KCP&L Greater Missouri Operations Company ("KCPL-GMO") Submission of Proposed Tariff Revisions to Provide for Recovery of an Updated Revenue Requirement

Southwest Power Pool, Inc.

Regulatory Status Report

On November 25, 2009, Kansas Electric Power Cooperative, Inc. filed a Motion to Intervene and Protest. KEPCO states: 1) KCPL-GMO's requested reate of return on common equity is greatly overstated; 2) KCPL and GMO's proposed formula rates contain multiple generic flaws; 3) KPCL's and GMO's 2008 formula rate inputs raise a number of specific issues regarding the application of the proposed formula rates; 4) proposed formula implementation rate protocols are insufficient and require substantial changes. KEPCO asks the Commission to suspend KCPL's filing for the full five months and to set the matter for trial.

On November 30, 2009, Missouri Joint Municipal Electric Utility Commission filed a Motion to Intervene and Protest. MJMEUC states: 1) applicants' proposed rate formula appears to be unjust and unreasonable, or at least unsupported, in numerous respects; 2) applicants' proposed customer-protection processes are inconsistent with Commission precedent and provide insufficient customer protection. MJMEUC asks the Commission to suspend the proposed rate increase for five months, reject the applicants' request for waiver of the 60-day notice period, and set the hearing and order settlement judge proceedings.

On November 30, 2009, the Kansas Corporation Commission filed a Motion to Intervene and Protest. The KCC states that the following items have not been shown to be just and reasonable: 1) the formulaic rates in the filing; 2) incentive rates for KCP&L; 3) the timing for review of formulaic rates; 4) the use of the Mid-Point of the ROE Proxy Group; 5) cost of debt; 6) proposed depreciation rates; 7) proposed true-up procedure; 8) proposed facilities carrying charge; 9) proposed capital structure; 10) that grandfathered agreements should be treated separately; 11) a January 1, 2010 effective date. The KCC requests an evidentiary hearing.

On November 30, 2009, Kansas Municipal Energy Agency filed a Motion to Intervene and Protest. KMEA states: 1) KCPL's proposed formula rate violates the Commission's clear policy of requiring the use of synchronized data for projections and true-ups; 2) KCPL has failed to provide sufficient information to enable customers to determine the overall transmission rate impact; 3) KPCL should be required to submit an informational filing once per year which provides a fully populated formula and true-up calculation.

On November 30, 2009, City of Independence, Missouri filed a Motion to Intervene.

On December 7, 2009, Kansas Electric Power Cooperative, Inc. filed an Errata to its November 25, 2009 filing to include a corrected Exhibit A.

On December 15, 2009, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed an Answer in response to the protests filed in this proceeding.

ER10-329

Network Integration Transmission Service Agreement ("NITSA") between SPP, Kansas Electric Power Cooperative ("KEPCO") as Network Customer, and Kansas City Power & Light Company ("KCPL") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On November 30, 2009, SPP submitted an executed Network Integration Transmission Service Agreement between SPP as Transmission Provider, Kansas Electric Power Cooperative, as Network Customer, as well as a Network Operating Agreement with Kansas City Power & Light Company as Host Transmission Owner. SPP Service Agreement No. 1875.

An effective date of November 1, 2009 is requested.

Southwest Power Pool, Inc.

Regulatory Status Report

ER10-330

SPP Filing of Revisions to OATT in Order to Incorporate Resident Load Reporting Deadline

On December 1, 2009, SPP filed proposed revisions to its OATT in order to incorporate a deadline for entities to report their Resident Load to SPP.

SPP proposes to revise Section 41 of its Tariff to require that Resident Load information be reported to SPP no later than January 25 of each year.

An effective date of January 1, 2010 is requested.

ER10-331

Network Integration Transmission Service Agreement ("NITSA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On November 30, 2009, SPP submitted an executed Network Integration Transmission Service Agreement and Network Operating Agreement between SPP as Transmission Provider and KCP&L Greater Missouri Operations Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1765.

An effective date of November 1, 2009 is requested.

ER10-333

Network Integration Transmission Service Agreement ("NITSA") between SPP, City of Prescott, Arkansas ("Prescott") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On November 30, 2009, SPP submitted an executed Network Integration Transmission Service Agreement between SPP as Transmission Provider, City of Prescott, Arkansas, as Network Customer, as well as a Network Operating Agreement with American Electric Power Service Corporation, as agent for Public Service Company of Oklahoma and Southwestern Electric Power Company as Host Transmission Owner. SPP Service Agreement No. Second Revised 1689.

An effective date of November 1, 2009 is requested.

ER10-334

Network Integration Transmission Service Agreement ("NITSA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Kansas City Power &

Southwest Power Pool, Inc.

Regulatory Status Report

Light Company ("KCPL") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On November 30, 2009, SPP submitted an executed Network Integration Transmission Service Agreement between SPP as Transmission Provider, Kansas Municipal Power Agency, as Network Customer, as well as a Network Operating Agreement with Kansas City Power & Light Company as Host Transmission Owner. SPP Service Agreement No. First Revised 1266.

An effective date of November 1, 2009 is requested.

ER10-335

Network Integration Transmission Service Agreement ("NITSA") between SPP, City of Coffeyville, Kansas ("Coffeyville") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On November 30, 2009, SPP submitted an executed Network Integration Transmission Service Agreement between SPP as Transmission Provider, City of Coffeyville, Kansas, as Network Customer, as well as a Network Operating Agreement with American Electric Power Service Corporation, as agent for Public Service Company of Oklahoma and Southwestern Electric Power Company as Host Transmission Owner. SPP Service Agreement No. First Revised 1624.

An effective date of November 1, 2009 is requested.

ER10-336

Network Integration Transmission Service Agreement ("NITSA") between SPP and the Empire District Electric Company ("Empire") as Network Customer and Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On November 30, 2009, SPP submitted an executed Network Integration Transmission Service Agreement and Network Operating Agreement between SPP as Transmission Provider and the Empire District Electric Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1630.

An effective date of November 1, 2009 is requested.

ER10-337

Network Integration Transmission Service Agreement ("NITSA") between SPP, Kansas City Power & Light Company ("KCP&L") as Network Customer and Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

Southwest Power Pool, Inc.

Regulatory Status Report

On November 30, 2009, SPP submitted an executed Network Integration Transmission Service Agreement between SPP as Transmission Provider, and Kansas City Power & Light Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1276.

An effective date of November 1, 2009 is requested.

ER10-338

Network Integration Transmission Service Agreement ("NITSA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Mid-Kansas Electric Company ("MKEC") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On December 1, 2009, SPP submitted an executed Network Integration Transmission Service Agreement between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc., as Network Customer, as well as a Network Operating Agreement with Mid-Kansas Electric Company as Host Transmission Owner. SPP Service Agreement No. First Revised 1511.

An effective date of November 1, 2009 is requested.

On December 17, 2009, SPP submitted an amendatory filing in order to correct the Service Agreement Number. In the December 1, 2009 filing, SPP indicated that the agreements supersede Service Agreement No. 1511 filed in ER07-1320. However, it has come to SPP's attention that the agreements are actually new agreements and are hereby re-designated as SPP Service Agreement No. 1876.

An effective date of November 1, 2009 is requested.

ER10-339

Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Midwest Energy, Inc. ("Midwest") as Transmission Customer

On December 1, 2009, SPP submitted an executed service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Midwest Energy, Inc. as Transmission Customer. SPP Service Agreement No. 1874.

An effective date of November 1, 2009 is requested.

Southwest Power Pool, Inc.

Regulatory Status Report

ER10-341

Network Integration Transmission Service Agreement ("NITSA") between SPP, Grand River Dam Authority ("GRDA") as Network Customer and Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On December 1, 2009, SPP submitted an executed Network Integration Transmission Service Agreement between SPP as Transmission Provider and Grand River Dam Authority as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1641.

An effective date of November 1, 2009 is requested.

ER10-352

SPP Submission of Tariff Revisions to Incorporate a pro forma Interim Large Generator Interconnection Agreement ("Interim LGIA") and Associated Provisions in Generation Interconnection Procedures

On December 1, 2009, SPP submitted revisions to its OATT in order to incorporate a pro forma Interim Large Generator Interconnection Agreement and the associated provisions in the generation interconnection procedures.

An effective date of December 2, 2009 is requested.

On December 18, 2009, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene.

On December 22, 2009, Western Farmers Electric Cooperative filed a Motion to Intervene, Protest and Comment.

WFEC protests certain aspects of SPP's proposed pro forma Interim LGIA. WFEC states that SPP did not adequately justify the following provisions:

- 1) Section 4.2.2 states that the Transmission Provider (SPP) shall determine "at its sole discretion" whether interim interconnection service can be provided and, if so, in what amount. WFEC recommends that this section be modified to impose some degree of accountability on the determination of who is allowed to interconnect on an interim basis and in what amounts.
- 2) WFEC states that Section 5.6.4 differs from the Commission-approved "permanent" pro forma LGIA. WFEC states that SPP does not provide any explanation as to why it states in the Interim LGIA pro forma that financial security will be paid to the Transmission Provider (SPP) instead of the Transmission Owner.
- 3) WFEC objects to the modification to Appendix D to add a new requirement that the "Interconnection Customer will comply with all applicable NERC Standards."

In addition, WFEC comments that it should be clarified that WFEC (and other entities already party to a signed and Commission-accepted interim LGIA) do not need to undertake any further studies or other procedures to reestablish their eligibility for interim interconnection service once the pro forma Interim LGIA is approved by the Commission.

Southwest Power Pool, Inc.

Regulatory Status Report

ER10-353

Network Integration Transmission Service Agreement ("NITSA") between SPP, Western Farmers Electric Cooperative, Inc. ("WFEC") as Network Customer, and American Electric Power ("AEP"), OG&E Electric Services ("OG&E"), and WFEC as Host Transmission Owners, as well as a Network Operating Agreement ("NOA")

On December 1, 2009, SPP submitted an executed Network Integration Transmission Service Agreement between SPP as Transmission Provider and Western Farmers Electric Cooperative, Inc. as Network Customer. The filing also includes two Network Operating Agreements with 1) American Electric Power as Host Transmission Owner and 2) WFEC and OG&E Electric Services as Host Transmission Owners. SPP Service Agreement No. First Revised 1628.

An effective date of November 1, 2009 is requested.

ER10-355

American Electric Power Service Corporation ("AEP") Submission of a Formula Rate to Recover Costs of Investments in Transmission Facilities Owned by AEP Transmission Company, L.L.C. ("AEPTCo") Subsidiaries in PJM and in SPP RTO Regions

On December 1, 2009, American Electric Power Service Corporation filed a request for acceptance of a formula rate to recover the costs of investments in transmission facilities owned by AEP Transmission Company, L.L.C. subsidiaries in PJM and SPP RTO regions.

An effective date of February 1, 2010 is requested.

On December 16, 2009, SPP filed a Motion to Intervene.

On December 22, 2009, Arkansas Electric Cooperative Corporation and Golden Spread Electric Cooperative, Inc. filed a Motion to Reject, Motion to Intervene, Protest, Request for Investigation and Hearing, and Request for Maximum Suspension.

The parties ask that the Commission reject the proposed formula rate, without prejudice to AEP submitting a filing which violates neither the approved settlement in Docket No. ER07-1069, nor the Commission's regulations. In the alternative, AECC and Golden Spread request that the Commission 1) investigate and set for evidentiary hearing the justness and reasonableness of the proposed formula rate; 2) suspend the proposed rate increase for the maximum period; and 3) order other such relief as the Commission finds appropriate.

On December 22, 2009, the Maryland Office of People's Counsel filed a Motion to Intervene, Protest, and Request for Hearing.

On December 22, 2009, American Municipal Power, Inc. filed a Protest, Motion for Suspension and Hearing, and Request for Initiation of Settlement Procedures on behalf of the indicated intervenors.

On December 22, 2009, AEP Intervenor Group, Buckeye Power, Inc., Indiana and Michigan Municipal Distributors Association, Indiana Utility Regulatory Commission, North Carolina

Southwest Power Pool, Inc.

Regulatory Status Report

Electric Membership Corporation, Old Dominion Committee for Fair Utility Rates, and Old Dominion Electric Cooperative filed separate Motions to Intervene.

On December 22, 2009, the Indiana Office of Utility Consumer Counselor filed a Motion to Intervene.

On December 28, 2009, the Public Service Commission of Maryland filed a Motion to Intervene.

ER10-364

SPP Filing of a Designee Qualification and Novation Agreement between SPP, ITC Great Plains, LLC ("ITC"), and Midwest Energy, Inc. ("Midwest")

On December 1, 2009, SPP submitted an Designee Qualification and Novation Agreement between SPP, ITC Great Plains, LLC, and Midwest Energy, Inc. SPP Service Agreement No. 1873.

In order to comply with a Notification to Construct (#SPP-NTC-20046) issued by SPP on June 19, 2009, Midwest has arranged for ITC to build and own the projects in its place.

An effective date of November 9, 2009 is requested.

On December 3, 2009, ITC Great Plains, LLC submitted a confidential version of their Motion to Intervene and Comments, and Informational Filing.

On December 14, 2009, Midwest Energy, Inc. filed a Motion to Intervene and Comments in Support of SPP's December 1, 2009 filing of the Novation Agreement.

ER10-365

SPP Filing of a Designee Qualification and Novation Agreement between SPP, ITC Great Plains, LLC ("ITC"), and Sunflower Electric Power Corporation ("Sunflower")

On December 1, 2009, SPP submitted an Designee Qualification and Novation Agreement between SPP, ITC Great Plains, LLC, and Sunflower Electric Power Corporation. SPP Service Agreement No. 1872.

In order to comply with a Notification to Construct (#SPP-NTC-20045) issued by SPP on June 19, 2009, Sunflower has arranged for ITC to build and own the projects in its place.

An effective date of November 10, 2009 is requested.

On December 3, 2009, ITC Great Plains, LLC submitted a confidential version of their Motion to Intervene and Comments, and Informational Filing.

On December 22, 2009, Sunflower Electric Power Corporation filed a Motion to Intervene and Comments in Support of SPP's December 1, 2009 filing.

Southwest Power Pool, Inc.

Regulatory Status Report

ER10-367

SPP Filing of Amendments to Bylaws Section 9.7.1 (Functions and Duties of the Regional Entity Trustees)

On December 1, 2009, SPP submitted amendments to Section 9.7.1 of its Bylaws regarding Functions and Duties of Regional Entity Trustees.

NERC's filing of these changes may be found in Docket No. RR10-5.

An effective date of January 31, 2010 is requested.

ER10-368

SPP Filing of an Executed Meter Agent Services Agreement between Westar Energy Generation Services ("WRGS") as Market Participant and Westar Energy Transmission Services ("Westar") as Meter Agent

On December 1, 2009, SPP submitted an executed Meter Agent Services Agreement between Westar Energy Generation Services as the Market Participant and Westar Energy Transmission Services as the Meter Agent. SPP Service Agreement No. First Revised 1382.

An effective date of November 1, 2009 is requested.

On December 3, 2009, SPP submitted an Errata submission to include the corrected signature page for the agreement filed on December 1, 2009.

ER10-370

SPP Filing of a Cancellation of the Meter Agent Services Agreement between Kansas Electric Power Cooperative, Inc. ("KEPCO") and Empire District Electric Company ("EDE")

On December 1, 2009, SPP submitted a cancellation of the Meter Agent Services Agreement between Kansas Electric Power Cooperative, Inc. and Empire District Electric Company. The agreement was designated SPP Service Agreement No. 1368.

The KEPCO load that was the subject of this agreement is now included in the Meter Agent Services Agreement for Westar Energy that was filed in Docket No. ER10-368.

An effective date of November 1, 2009 is requested.

ER10-376

SPP Submission of Revisions to OATT to Implement Rate Changes for ITC Great Plains, LLC ("ITC")

On December 2, 2009, SPP submitted revised pages to its OATT to implement rate changes for ITC Great Plains, LLC, which is a transmission owner within SPP, and whose revenue requirement is recovered by the Mid-Kansas Electric Company pricing zone under the SPP Tariff. SPP submitted this filing to track the rate update calculated by ITC, based on its transmission cost of service formula rate.

Southwest Power Pool, Inc.

Regulatory Status Report

An effective date of January 1, 2010 is requested.

ER10-393

On December 16, 2009, ITC Great Plains, LLC filed a Motion for Leave to Intervene.

SPP Submission of Tariff Revisions to OATT to Modify Operational Constraint Violation Relaxation Limit ("VRL")

On December 7, 2009, SPP submitted revisions to its OATT to reduce the currently effective Violation Relaxation Limit governing operational constraints ("OCs") from \$2,000/MW to \$1,500/MW. The proposed change follows the completion of a VRL sensitivity analysis performed by SPP which concluded that a reduction in the OC VRL to \$1,500/MW could reduce congestion prices within SPP's Energy Imbalance Service ("EIS") Market by up to \$500/MW without significantly increasing the incidence or magnitude of OC violations.

An effective date of March 8, 2010 is requested.

On December 22, 2009, Xcel Energy Services, Inc. filed a Motion to Intervene.

On December 23, 2009, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene.

ER10-411

Large Generator Interconnection Agreement ("LGIA") between Golden Spread Electric Cooperative, Inc. ("Golden Spread") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner

On December 10, 2009, SPP submitted an executed LGIA between SPP as Transmission Provider, Golden Spread Electric Cooperative, Inc. as Interconnection customer, and Southwestern Public Service Company as Transmission Owner. SPP Service Agreement No. First Revised 1647.

Out of an abundance of caution, SPP also submitted the original LGIA executed on July 11, 2008.

An effective date of July 11, 2008 is requested for the original LGIA, and an effective date of November 10, 2009 is requested for the revised LGIA..

ER10-415

On December 23, 2009, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene.

SPP Filing of an Executed Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On December 11, 2009, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Second Revised 1374.

An effective date of October 1, 2009 is requested.

Southwest Power Pool, Inc.

Regulatory Status Report

ER10-448

SPP Filing of an Executed Meter Agent Services Agreement between City of Independence, Missouri ("Independence") as both Market Participant and Meter Agent

On December 17, 2009, SPP submitted an executed Meter Agent Services Agreement between City of Independence, Missouri as both the Market Participant and Meter Agent. SPP Service Agreement No. 1852.

An effective date of December 1, 2009 is requested.

ER10-449

SPP Filing of a Cancellation of the Meter Agent Services Agreement between Tenaska Power Services Company ("Tenaska") and Westar Energy, Inc. ("Westar")

On December 17, 2009, SPP submitted a cancellation of the Meter Agent Services Agreement between Tenaska Power Services Company and Westar Energy, Inc.. The agreement was designated SPP Service Agreement No. 1783.

The load that was the subject of this agreement is now included in the Meter Agent Services Agreement for City of Independence that was filed in Docket No. ER10-448.

An effective date of December 1, 2009 is requested.

ER10-454

Interim Large Generator Interconnection Agreement ("LGIA") between CPV Keenan II Renewable Energy Company, LLC ("CPV Keenan") as Interconnection Customer and Oklahoma Gas & Electric Company ("OG&E") as Transmission Owner

On December 18, 2009, SPP submitted a partially executed LGIA between SPP as Transmission Provider, CPV Keenan II Renewable Energy Company, LLC as Interconnection customer, and Oklahoma Gas & Electric Company as Transmission Owner. SPP Service Agreement No. 1902.

SPP is filing the Interim LGIA partially unexecuted at the request of CPV Keenan. CPV Keenan intends to close on the financing for its project on or around January 19, 2010, and those funds will be needed and used to meet its security obligations under the CPV Keenan Interim LGIA. Because Article 11.5.1 requires that CPV Keenan post security within 15 business days of its execution of the agreement, execution of the agreement at this time would call for security to be posted prior to the financial closing. SPP further understands that CPV Keenan intends to execute the LGIA on or around the date of financial closing.

SPP requests that the Commission establish a shortened comment period of fifteen days, issue an order on or before January 19, 2010, and accept the Interim LGIA with an effective date of the date of the Commission's order accepting the agreement.

On December 24, 2009, CPV Keenan II Renewable Energy Company LLC filed a Motion to

Southwest Power Pool, Inc.

Regulatory Status Report

Intervene and Comments in support of the request for expedited action requested by SPP in the December 18, 2009 filing.

ER10-470

Network Integration Transmission Service Agreement ("NITSA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

This is for Network Customer load of the City of Blue Mound, Kansas.

On December 22, 2009, SPP submitted an executed Network Integration Transmission Service Agreement and Network Operating Agreement between SPP as Transmission Provider, Westar Energy, Inc. as Network Customer and Host Transmission Owner. This is for Network Customer load of the City of Blue Mound, Kansas. SPP Service Agreement No. 1884.

An effective date of December 1, 2009 is requested.

ER10-496

Large Generator Interconnection Agreement ("LGIA") between Grand River Dam Authority ("GRDA") as Interconnection Customer and Transmission Owner

On December 23, 2009, SPP submitted an executed LGIA between SPP as Transmission Provider, and Grand River Dam Authority as both Interconnection Customer and Transmission Owner. SPP Service Agreement No. 1881.

An effective date of November 23, 2009 is requested.

ER10-511

Network Integration Transmission Service Agreement ("NITSA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On December 19, 2009 SPP submitted an executed Network Integration Transmission Service Agreement and Network Operating Agreement between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Sixth Revised 607.

An effective date of December 1, 2009 is requested.

ER10-512

SPP Filing of an Executed Meter Agent Services Agreement between Lincoln Electric System ("LES") as Market Participant and Meter Agent

On December 29, 2009, SPP submitted an executed Meter Agent Services Agreement between Lincoln Electric System as both the Market Participant and Meter Agent. SPP Service Agreement No. First Revised 1779.

An effective date of December 1, 2009 is requested.

Southwest Power Pool, Inc.

Regulatory Status Report

ER10-513 Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Southwestern Public Service Company ("SPS") as Transmission Customer

On December 29, 2009, SPP submitted an executed service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Southwestern Public Service Company as Transmission Customer. SPP Service Agreement No. 1870.

An effective date of February 15, 2010 is requested.

ES10-11 SPP Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities (\$150 million)

On December 4, 2009, SPP filed an Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities in the amount of up to \$150 million in secured and unsecured facilities. The secured facilities will be used for the acquisition of land, architectural design and construction of new buildings that will house SPP administrative staff and a new operations center. The unsecured facilities will be used to fund the development of future SPP markets, a consolidated balancing authority, and a high availability of IT architecture, as well as all other necessary general capital expenditures.

SPP requested the Commission to approve this application by January 18, 2010, so that SPP may conclude the issuance of securities.

OA08-59 Entergy Services, Inc. Order No. 890 Attachment K Compliance Filing

On December 7, 2009, FERC issued an Order Denying Request for Clarification, denying the East Texas Cooperatives' July 20, 2009 Request for Clarification.

On December 11, 2009, FERC issued an Order Denying Rehearing. The Commission denies both the L-M Municipals and Union Power Partners rehearing requests.

OA08-61 SPP Order No. 890 Compliance Filing - Attachment O Revisions for Coordinated and Regional Planning Process

On December 30, 2009, SPP submitted an errata filing to the November 2, 2009 filing. Due to an administrative error, certain Tariff sheets submitted with the November 2, 2009 filing inadvertently omitted a revision that was approved through the SPP stakeholder process and intended to be included in the proposed revisions to Attachment O.

RM04-7 Market-Based Rates for Wholesale Sales of Electric Energy, Capacity and Ancillary Services by Public Utilities

On November 30, 2009, the Transmission Access Policy Study Group filed its Response in Opposition to the Compliance Working Group's Amended Request for Clarification.

On November 30, 2009, the Edison Electric Institute filed Comments in Support of the CWG

Southwest Power Pool, Inc.

Regulatory Status Report

Request for Clarification and Requesting a Response to the Border-Sale Issues Raised in EEI's July 20, 2009 Filing.

On December 10, 2009, the Compliance Working Group filed reply comments in response to the Transmission Access Policy Study Group's November 30, 2009 comments.

On December 10, 2009, FERC issued a Notice of Extension of Time, granting an extension of time to comply with the requirement to report sites for which site control has not been demonstrated during the prior three years set forth in Order No. 697-C until 30 days after the Commission issues an order on the requests for clarification and rehearing of Order No. 697-C.

On December 22, 2009, the Transmission Access Policy Study Group filed reply comments in response to the Compliance Working Group's December 10, 2009 reply comments.

RM05-17

Preventing Undue Discrimination and Preference in Transmission Service

Order Nos. 890, 890-A, 890-B, 890-C, 890-D

On December 2, 2009, NERC submitted its informational filing of 2010 Development Plan, pursuant to Section 310 of the NERC Rules of Procedure.

On December 16, 2009, the North American Energy Standards Board ("NAESB") submitted a report regarding errata to Version 002.1 of the NAESB Wholesale Electric Quadrant standards provided to the Commission on February 19, 2009.

RM05-25

Preventing Undue Discrimination and Preference in Transmission Service

Order Nos. 890, 890-A, 890-B, 890-C, 890-D

On December 2, 2009, NERC submitted its informational filing of 2010 Development Plan, pursuant to Section 310 of the NERC Rules of Procedure.

RM06-16

Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006

In November 24, 2009, NERC submitted a petition seeking approval for interpretations of Requirement R3 in FERC-approved NERC Reliability Standard TOP-005-1.1 - Operational Reliability Information, and Requirement R12 of FERC-approved NERC Reliability Standard IRO-005-2 - Reliability Coordination.

On November 24, 2009, FERC issued Order No. 729, approving six Modeling, Data, and Analysis Reliability Standards submitted by NERC. This rule will become effective February 8, 2010 (60 days after publication in the Federal Register).

On November 30, 2009, pursuant to Paragraph 629 of Order No. 693, NERC submitted its quarterly informational filing regarding the timeframe to restore power to the auxiliary power systems of U.S. nuclear power plants following a blackout as determined during simulations and drills of system restoration plans. This filing contains material for the third quarter 2009.

On December 2, 2009, NERC submitted its informational filing of 2010 Development Plan, pursuant to Section 310 of the NERC Rules of Procedure.

On December 22, 2009, NERC submitted a petition seeking approval of two interpretations of

Southwest Power Pool, Inc.

Regulatory Status Report

Critical Infrastructure Protection Reliability Standard CIP-006-2.

On December 22, 2009, NERC filed a Request for Clarification of Order No. 729, with respect to the effective date.

On December 23, 2009, Duke Energy Carolinas, LLC filed a Request for Clarification, seeking clarification on several issues in Order 729 issued on November 24, 2009.

On December 23, 2009, the American Public Power Association and the Transmission Access Policy Study Group filed a Request for Clarification regarding the provisions of Order No. 729 that establish the effective date for the Reliability Standards approved in the order.

RM06-22

Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006

On December 17, 2009, FERC issued an Order Addressing Compliance Filing and Requiring Further Compliance Filing in response to NERC's September 15, 2009 filing of an implementation plan for the implementation of eight Critical Infrastructure Protection Reliability Standards, CIP-001-1 through CIP-009-1, in compliance with Order No. 706-B. NERC's compliance filing is due January 19, 2010.

On December 18, 2009, FERC submitted a filing seeking approval of Violation Security Level ("VSL") assignments for several approved Reliability Standards.

RM08-19

Notice of Proposed Rulemaking - Mandatory Reliability Standards for the Calculation of Available Transfer Capability, Capacity Benefit Margins, Transmission Reliability Margins, Total Transfer Capability, and Existing Transmission Commitments and Mandatory Reliability Standards for the Bulk-Power System

On November 24, 2009, FERC issued Order No. 729, approving six Modeling, Data, and Analysis Reliability Standards submitted by NERC. This rule will become effective February 8, 2010 (60 days after publication in the Federal Register).

On December 4, 2009, FERC issued an Errata Notice to correct sites in Order No. 729 where the word "proposed" is used instead of applicable final rule language.

On December 22, 2009, NERC filed a Request for Clarification of Order No. 729, with respect to the effective date.

On December 22, 2009, the Edison Electric Institute filed a Request for Clarification of Order No. 729, with respect to the effective date.

On December 23, 2009, the American Public Power Association and the Transmission Access Policy Study Group filed a Request for Clarification regarding the provisions of Order No. 729 that establish the effective date for the Reliability Standards approved in the order.

On December 23, 2009, ISO New England, Inc. filed a Request for Clarification, seeking clarification on the implementation date for the Reliability Standards approved by the Commission in Order No. 729 issued on November 24, 2009.

On December 23, 2009, Duke Energy Carolinas, LLC filed a Request for Clarification, seeking clarification on several issues in Order 729 issued on November 24, 2009.

Southwest Power Pool, Inc.

Regulatory Status Report

RR09-4 NERC Petition for Approval of Proposed Revisions to Bylaws of SPP

On December 1, 2009, NERC and SPP submitted the compliance filing pursuant to the September 17, 2009 Order.

The parties submitted: 1) a further amendment to section 9.3, setting forth the duties of the SPP RE General Manager; and 2) explanation of voting procedure in section 9.7.3(e).

RR10-2 NERC Petition for Approval of Amendment to Delegation Agreements with Regional Entities to Extend Initial Term of Agreements to May 2011

On December 3, 2009, the SPP Regional Entity filed a Motion to Intervene.

RR10-5 NERC Filing of Amendments to SPP Bylaws Section 9.7.1 (Functions and Duties of the Regional Entity Trustees)

On December 1, 2009, NERC submitted amendments to Section 9.7.1 of SPP's Bylaws regarding Functions and Duties of Regional Entity Trustees.

SPP's filing of these changes may be found in Docket No. ER10-367.

An effective date of January 31, 2010 is requested.

RT04-1 RTO Approval Docket

On December 9, 2009, SPP submitted its annual operating budget and true-up. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.

Southwest Power Pool, Inc.

Regulatory Status Report

FERC or State Jurisdiction: State of Arkansas

08-136-U

In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On December 1, 2009, SPP and Entergy filed the monthly progress report for November, detailing the progress made toward the completion of a seams agreement, pursuant to Order No. 15.

On December 1, 2009, the APSC filed a letter in this proceeding reaffirming its decision to join the Entergy Regional State Committee ("E-RSC") and has approved in principle the most recent draft of the Bylaws dated November 4, 2009.

On December 4, 2009, the APSC issued Order No. 19, concerning the Petitions to Intervene of: 1) Lafayette Utilities System, the Municipal Energy Agency of Mississippi, the Louisiana Energy and Power Authority, and the Mississippi Delta Energy Agency and its members, the Public Service Commission of Yazoo City, Mississippi, and the Clarksdale Public Utilities Commission; 2) NRG Power Marketing LLC, Bayou Cover Peaking Power LLC, Big Cajun I Peaking Power LLC, Louisiana Generating LLC, and Sterlington Power LLC; and 3) Cottonwood Energy Company, LP. The Commission requests the Petitioners file in this Docket, within 10 days from receipt of this Order, official notice regarding whether they still wish to participate as full party litigants in this Docket.

On December 11, 2009, Cottonwood Energy Company LP submitted its request to participate as a full party litigant.

On December 11, 2009, NRG Power Marketing LLC and Louisiana Generating LLC filed a Motion to Reurge Intervention by the NRG Companies.

On December 14, 2009, the L-M Municipals filed a Withdrawal of Motion to Intervene and Expression of Intent to Submit a Statement of Limited Appearance.

On December 16, 2009, SPP filed the WPP Quarterly Report for the time period of September through November 2009.

On December 21, 2009, SPP filed the November State of the Market Report pursuant to Order No. 2.

09-090-U

In the Matter of the Impact of Federal Energy Regulatory Commission ("FERC") Orders 719 and 719-A in FERC Docket No. RM07-19-001 on the Regulatory Authority of the Arkansas Public Service Commission

On December 16, 2009, the Electric Cooperatives of Arkansas, Southwestern Electric Power Company, Oklahoma Gas and Electric Company, the Empire District Electric Company, and the General Staff of the Arkansas Public Service Commission filed a Joint Motion for Modification of Procedural Schedule.

The parties ask that the Commission modify the procedural schedule for this docket to extend the date for filing of initial briefs and initial comments until 30 days following the date when FERC has accepted in whole all of SPP's compliance filings in FERC Docket No. ER09-1050.

On December 16, 2009, SPP filed a Motion for Modification of Procedural Schedule. Specifically, SPP requests that the Commission modify the procedural schedule to extend the

Southwest Power Pool, Inc.

Regulatory Status Report

date for filing initial briefs and comments from December 21, 2009 to 30 days following FERC's ruling on SPP's compliance filing in FERC Docket No. ER09-1050.

On December 18, 2009, the APSC issued Order No. 5, granting SPP's December 16, 2009 Motion for Modification of Procedural Schedule. Initial briefs and comments are due 30 days following the date when FERC has accepted in whole all of SPP's compliance filings in FERC Docket No. ER09-1050.

On December 28, 2009, SPP filed a Notice of FERC Notice Addressing Motion for Extension of Time. FERC granted SPP's request for an extension to May 19, 2010, in order to comply with the demand response requirements set forth in section III.B.1 of the November 20, 2009 Order issued in Docket No. ER09-1050 and the demand response requirement ordered in Order No. 719-A.

09-128-U

In the Matter of the Application of Southwest Power Pool, Inc. for Authority to Issue up to \$150,000,000 in Secured and Non-Secured Promissory Notes

On December 9, 2009, SPP filed an Application for Authority to Issue Indebtedness and Request for an Expedited Order with the Arkansas Public Service Commission. SPP applied for authority to issue secured and non-secured Promissory Notes in a principal amount not to exceed \$150,000,000.

SPP will use the secured Promissory Note, not to exceed \$70,000,000, to finance the construction of new buildings that will house SPP's administrative personnel as well as a new operations center. The non-secured Promissory Note, not to exceed \$90,000,000, will be used to finance the costs of developing future SPP markets, as well as fund the creation of a consolidated balancing authority and high availability IT architecture. The aggregate amount of the secured and non-secured notes will not exceed \$150,000,000.

SPP asked that the APSC issue an order approving this Application on or before January 18, 2010.

Southwest Power Pool, Inc.

Regulatory Status Report

FERC or State Jurisdiction: State of Kansas

10-GIME-215-GIE

In the Matter of the Investigation into Laws and Regulations Relating to Demand Response and Scarcity Pricing

On December 17, 2009, SPP filed a Notice of Motion for Extension of Time which was filed in FERC Docket No. ER09-1050.

On December 29, 2009, SPP filed a Notice of FERC Notice Addressing Motion for Extension of Time. FERC granted SPP's request for an extension to May 19, 2010, in order to comply with the demand response requirements set forth in section III.B.1 of the November 20, 2009 Order issued in Docket No. ER09-1050 and the demand response requirement ordered in Order No. 719-A.

Southwest Power Pool, Inc.

Regulatory Status Report

FERC or State Jurisdiction: State of New Mexico

07-00390-UT

An Investigation into the Prudence of Southwestern Public Service Company's ("SPS") Participation in the SPP RTO

On December 4, 2009, Southwestern Public Service Company and Commission Utility Division Staff filed a Proposed Certification of Uncontested Stipulation.

On December 21, 2009, the Hearing Examiner issued a Certification of Stipulation, recommending that the Commission approve the Stipulation.