

---

## Southwest Power Pool, Inc. Regulatory Outlook

---

State of Arkansas 08-136-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009).	1/4/2010
FERC ER09-1254	SPP's Queue Reform compliance filing due (December 17, 2009 Order on Compliance Filing)	1/19/2010
State of Arkansas 08-136-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009).	2/1/2010
FERC ER06-451	SPP Demand Response Status Report Due	2/1/2010
FERC ER08-340	SPP to submit, twelve months after the effective date of SPP's proposal for external generator participation in the EIS Market (February 1, 2009), the External Market Monitor's evaluation (April 21, 2008 Order)	2/1/2010
FERC ER08-340	SPP to submit, twelve months after the start of external generator participation in the EIS Market, an evaluation of the effectiveness of SPP's dispatch caps (April 21, 2008 Order)	2/1/2010
FERC ER09-1050	Technical Conference on RTO/ISO Responsiveness to address issues raised in Order No. 719 compliance proceedings. Conference to be held in Commission Meeting Room from 12:30 pm to 4:30 pm Eastern. (December 18, 2009 Notice of Date of Technical Conference)	2/4/2010 11:30:00
FERC ER09-1192	SPP compliance filing due to revise section 3.17 of Bylaws to comply with Order No. 719 (November 20, 2009 Order on Compliance Filing issued in ER09-1050 and ER09-1192)	2/18/2010
FERC ER09-1050	SPP to submit a compliance filing to include market monitoring revisions (November 20, 2009 Order on Compliance Filing in SPP's Order 719 Compliance docket)	2/18/2010
State of Arkansas 08-136-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009).	3/1/2010
FERC ER09-1050	SPP compliance filing due to indicate how it is complying with the requirement that it develop, through the stakeholder process, a mechanism through which affected load-serving entities would be notified when load served by that entity is enrolled to participate either	5/19/2010

---

## Southwest Power Pool, Inc. Regulatory Outlook

---

individually or through an Aggregator of Retail Customers ("ARC") as demand response resource in an RTO market and the expected level of participation for each enrolled demand response resource (Order 719-A issued July 16, 2009 in RM07-19; Notice Addressing Motion for Extension of Time issued December 23, 2009)

---

FERC ER09-1050	SPP's Compliance Filing due regarding the demand response requirements in section III.B.1 of FERC's Order regarding SPP's Order 719 Compliance (November 20, 2009 Order on Compliance Filing; Notice Addressing Motion for Extension of Time issued December 23, 2009)	5/19/2010
FERC ER09-1050	SPP and its marketing monitoring unit to submit a compliance filing reporting barriers to comparable treatment of demand response resources (November 20, 2009 Order on Compliance Filing)	5/20/2010
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2010
FERC ER09-1039	SPP to submit results of study identifying: 1) the operational challenges it identifies due to the integration of wind generating resources into its system, and 2) whether the 20 percent limit places transmission customers with smaller loads at a competitive disadvantage with customers serving larger loads with dedicated wind resources. (June 18, 2009 Order in SPP's Wind Cost Allocation Filing)	6/18/2010
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/1/2010
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2010
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2010
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2010
FERC ER06-451	SPP Demand Response Status Report Due	2/1/2011
FERC ES09-25	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
State of Arkansas 09-037-U	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011

---

---

## Southwest Power Pool, Inc. Regulatory Outlook

---

FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2011
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/1/2011
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2011
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company to file a pleading accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP during a recent twelve-month test period (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2011
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2011
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2011

---