
Southwest Power Pool, Inc. Regulatory Outlook

FERC AD10-5	Reply Comments due in response to Notice Requesting Comments on RTO/ISO Performance Metrics (February 3, 2010 Notice)	3/19/2010
State of Arkansas 10-010-U	Comments, testimony and exhibits are due on or before Noon regarding the following items: Full Fuel Cycle Efficiency, Independent Administration, Commercial and Industrial "Opt Out", and Benefits of Energy Efficiency Resources (Order No. 1 issued February 3, 2010; Order No. 2 issued February 26, 2010; Order No. 3 issued March 2, 2010)	3/23/2010
State of Arkansas 08-137-U	Comments, testimony and legal pleadings, as appropriate, due by Noon on the subject of Lost Contributions to Fixed Costs, Avoided Costs of Energy Efficiency Resources, and Shareholder Incentives for Program Performance (Order No. 7 issued February 3, 2010)	3/26/2010
State of Arkansas 09-074-U	Sur-Reply Comments due by Noon (Order No. 2 issued February 11, 2010)	3/26/2010
FERC RM10-9	Comments due in response to the Notice of Inquiry concerning Transmission Loading Relief Reliability Standard and Curtailment Priorities (January 21, 2010 Notice of Inquiry)	3/29/2010
FERC RM10-13	Comments due in response to the Notice of Proposed Rulemaking concerning Credit Reforms in Organized Wholesale Electric Markets (January 21, 2010 NOPR)	3/29/2010
FERC RM09-16	Comments due in response to FERC's Notice of Proposed Rulemaking concerning blanket authorization to acquire securities under section 203 and to amend certain sub parts of the Commission's regulations (January 21, 2010 NOPR)	3/29/2010
FERC RM10-12	Comments due in response to FERC's Notice of Inquiry regarding Electricity Market Transparency Provisions of Section 220 of the Federal Power Act (January 21, 2010 Notice of Inquiry)	3/30/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	4/1/2010
State of Arkansas 04-137-U	Empire District Electric Company, Oklahoma Gas & Electric Company, and Southwestern Electric Power Company to file an Application with the APSC seeking continued participation in the SPP RTO (August 10, 2006 Order issued in 04-137-U, 04-111-U, 04-143-U, and 05-132-U)	4/2/2010
FERC ER10-678	SPP's compliance filing due in Tariff Cleanup Filing docket to correct two items in the Table of Contents and the designation of Sheet No. 13 (March 18, 2010 Order)	4/2/2010

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State of Missouri EX-2010-0169	Comments due concerning proposed rule 4 CSR 240-3.156, Electric Utility Renewable Energy Standard Filing Requirements (February 16, 2010 Missouri Register)	4/5/2010
State of Missouri EX-2010-0169	Rulemaking Hearing begins at 9 AM concerning a proposed rulemaking regarding electric utility renewable energy standard requirements (January 11, 2010 Notice of Rulemaking Hearing)	4/6/2010
State of Arkansas 10-010-U	Reply comments are due on or before Noon regarding the following items: Full Fuel Cycle Efficiency, Independent Administration, Commercial and Industrial "Opt Out", and Benefits of Energy Efficiency Resources (Order No. 1 issued February 3, 2010, Order No. 2 issued February 26, 2010)	4/6/2010
FERC AD09-10	Comments due regarding the National Action Plan on Demand Response (March 11, 2010 Notice)	4/8/2010
FERC RM10-11	Comments due in response to FERC's Notice of Inquiry concerning Integration of Variable Energy Resources (January 21, 2010 Notice of Inquiry; March 3, 2010 Notice Extending Comment Period)	4/12/2010
State of Arkansas SC09-718	Oral Arguments regarding the Turk Power Plant Appeal to be held at the Arkansas Supreme Court	4/15/2010
State of Arkansas 08-137-U	Reply comments, testimony and legal pleadings, as appropriate, due by Noon on the subject of Lost Contributions to Fixed Costs, Avoided Costs of Energy Efficiency Resources, and Shareholder Incentives for Program Performance (Order No. 7 issued February 3, 2010)	4/16/2010
State of Arkansas 10-010-U	Each of the Energy Efficiency ("EE") utilities were directed to file, by Noon, comments in detail to Audubon's recommendations regarding the Utility EE Resources and Staffing and Training within the Contractor Market and within the Utility issue - as they apply to its own EE plans and programs. Comments are to include a plan for implementing some of the proposals beginning on or after July 1, 2010 (Order No. 1 issued February 3, 2010)	4/23/2010
State of Arkansas 09-074-U	Evidentiary hearing begins at 9:30 AM (Order No. 2 issued February 11, 2010; Order No. 3 issued February 16, 2010)	4/28/2010
FERC 10-1178	FERC Form 582 due	4/30/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	5/1/2010

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FERC RM06-22	NERC's compliance filing due to modify 57 sets of Violation Severity Level assignments (March 18, 2010 Order)	5/17/2010
FERC ER09-1050	SPP compliance filing due to indicate how it is complying with the requirement that it develop, through the stakeholder process, a mechanism through which affected load-serving entities would be notified when load served by that entity is enrolled to participate either individually or through an Aggregator of Retail Customers ("ARC") as demand response resource in an RTO market and the expected level of participation for each enrolled demand response resource (Order 719-A issued July 16, 2009 in RM07-19; Notice Addressing Motion for Extension of Time issued December 23, 2009)	5/19/2010
FERC ER09-1050	SPP's Compliance Filing due regarding the demand response requirements in section III.B.1 of FERC's Order regarding SPP's Order 719 Compliance (November 20, 2009 Order on Compliance Filing; Notice Addressing Motion for Extension of Time issued December 23, 2009)	5/19/2010
FERC ER09-1050	SPP and its marketing monitoring unit to submit a compliance filing reporting barriers to comparable treatment of demand response resources (November 20, 2009 Order on Compliance Filing)	5/20/2010
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2010
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	6/1/2010
FERC ER09-1039	SPP to submit results of study identifying: 1) the operational challenges it identifies due to the integration of wind generating resources into its system, and 2) whether the 20 percent limit places transmission customers with smaller loads at a competitive disadvantage with customers serving larger loads with dedicated wind resources. (June 18, 2009 Order in SPP's Wind Cost Allocation Filing)	6/18/2010
State of Arkansas 10-011-U	Rebuttal Testimony by APSC General Staff and Intervenors are due by Noon (Order No. 1 issued February 11, 2010; Order No. 7 issued March 10, 2010)	6/23/2010

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FERC RM06-16	NERC's compliance filing due to modify the Transmission Planning Reliability Standard, TPL-002-0, to comply with the directives set forth in Order No. 693 (March 18, 2010 Order)	6/30/2010
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/1/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	7/1/2010
State of Arkansas 10-011-U	Surrebuttal Testimony by EAI is due by Noon (Order No. 1 issued February 11, 2010; Order No. 7 issued March 10, 2010)	7/8/2010
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2010
State of Arkansas 10-011-U	Second Show Cause Evidentiary Hearing begins at 9:30 AM (Order No. 1 issued February 11, 2010; Order No. 3 issued February 16, 2010; Order No. 7 issued March 10, 2010)	8/2/2010
FERC RM06-16	NERC's compliance filing due to modify the Resource and Demand Balancing Reliability Standard, BAL-003-0, to comply with the directives set forth in Order No. 693 (March 18, 2010 Order)	9/18/2010
State of Arkansas 10-010-U	Report and recommendations due from the Working Group on Energy Efficiency Reporting Needs concerning Administrative Costs and Energy Efficiency Reporting Needs (Order No. 1 issued February 3, 2010)	9/30/2010
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2010
State of Arkansas 10-010-U	Report and recommendations due from the Working Group on Energy Efficiency Reporting Needs concerning "Best Practices" - National Action Plan for Energy Efficiency (Order No. 1 issued February 3, 2010)	10/29/2010
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2010
FERC ER06-451	SPP Demand Response Status Report Due	2/1/2011
FERC 10-1178	FERC Form 582 due	4/30/2011

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FERC ES09-25	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
State of Arkansas 09-037-U	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2011
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2011
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/1/2011
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2011
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company to file a pleading accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP during a recent twelve-month test period (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2011
State of Missouri EO-2006-0142	Kansas City Power & Light Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2011
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2011
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2011
FERC ES10-11	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (January 15, 2010 Order)	1/15/2012
State of Arkansas 09-128-U	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (February 1, 2010 Order)	1/15/2012
FERC ER06-451	SPP Demand Response Status Report Due	2/1/2012

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State of Missouri EO-2006-0141	Empire District Electric Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2012
FERC 10-1178	FERC Form 582 due	4/30/2012
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2012
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/1/2012
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2012
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2012
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2012
