
Southwest Power Pool, Inc. Regulatory Outlook

FERC ER09-1050	SPP compliance filing due to indicate how it is complying with the requirement that it develop, through the stakeholder process, a mechanism through which affected load-serving entities would be notified when load served by that entity is enrolled to participate either individually or through an Aggregator of Retail Customers ("ARC") as demand response resource in an RTO market and the expected level of participation for each enrolled demand response resource (Order 719-A issued July 16, 2009 in RM07-19; Notice Addressing Motion for Extension of Time issued December 23, 2009)	5/19/2010
FERC ER09-1050	SPP's Compliance Filing due regarding the demand response requirements in section III.B.1 of FERC's Order regarding SPP's Order 719 Compliance (November 20, 2009 Order on Compliance Filing; Notice Addressing Motion for Extension of Time issued December 23, 2009)	5/19/2010
FERC ER09-1050	SPP and its marketing monitoring unit to submit a compliance filing reporting barriers to comparable treatment of demand response resources (November 20, 2009 Order on Compliance Filing)	5/20/2010
State of Arkansas 10-011-U	EAI to file an update on the costs budgeted and/or expended in order to conduct the MISO addendum study (Order No. 15 issued May 10, 2010)	5/20/2010
State of Arkansas 04-137-U	Staff/Intervenor Testimony is due by Noon (Order No. 8 issued May 4, 2010)	5/21/2010
FERC RM05-5	Effective date of Order No. 676-F concerning Standards for Business Practices and Communication Protocols for Public Utilities (Final Rule issued April 15, 2010)	5/24/2010
State of Oklahoma 201000043	Comments due in response to questions outlined in the NOI (April 23, 2010 Notice of Inquiry)	5/24/2010
State of Arkansas 08-136-U	SPP to file summaries and presentations to be discussed at the June 3, 2010 technical conference (Order No. 23 issued April 13, 2010)	5/26/2010
FERC ER09-1397	SPP's compliance filing due to remove certain language from Attachments B and C of the KPP NITSA (April 26, 2010 Order)	5/26/2010
FERC AD10-11	Technical Conference to be held from 9 AM to 1 PM Eastern Time to elicit input on issues pertaining to Frequency Regulation Compensation in the ISO/RTO Markets (April 27, 2010 Notice of Technical Conference)	5/26/2010
State of Arkansas 04-137-U	OG&E/SWEPCO/Empire Sur-Reply Testimony is due by Noon (Order No. 8 issued May 4, 2010)	5/27/2010

Southwest Power Pool, Inc. Regulatory Outlook

FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2010
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	6/1/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	6/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	6/1/2010
FERC ER10-550	SPP's compliance filing due to correctly designate the tariff sheets effective May 1, 2010 (April 30, 2010 Order issued in Delivery Point Addition docket)	6/1/2010
FERC AD10-12	Technical Conference to discuss enhanced day-ahead ISO and RTO unit-commitment market models (May 7, 2010 Notice of Technical Conference to Discuss Increasing Market and Planning Efficiency through Improved Software)	6/2/2010
State of Arkansas 08-136-U	Technical conference from 9 AM to Noon at Little Rock Regional Chamber of Commerce Building (Order No. 23 issued April 13, 2010)	6/3/2010
State of Oklahoma 201000043	First Technical Conference to be held from 9:30 AM to 12:30 PM (April 23, 2010 Notice of Inquiry)	6/3/2010
FERC RM10-13	Deadline for submitting written comments regarding the counterparty proposal discussed at the technical conference held on May 11, 2010 (May 12, 2010 Notice Establishing Date for Comments)	6/8/2010
FERC AD10-12	Technical Conference to discuss enhanced wide-area planning models (May 7, 2010 Notice of Technical Conference to Discuss Increasing Market and Planning Efficiency through Improved Software)	6/9/2010

Southwest Power Pool, Inc. Regulatory Outlook

FERC RM08-19	Order No. 729-A becomes effective (May 5, 2010 Order on Clarification)	6/10/2010
FERC ER09-1039	SPP to submit results of study identifying: 1) the operational challenges it identifies due to the integration of wind generating resources into its system, and 2) whether the 20 percent limit places transmission customers with smaller loads at a competitive disadvantage with customers serving larger loads with dedicated wind resources. (June 18, 2009 Order in SPP's Wind Cost Allocation Filing)	6/18/2010
State of Arkansas 10-011-U	Rebuttal Testimony by APSC General Staff and Intervenors are due by Noon (Order No. 1 issued February 11, 2010; Order No. 7 issued March 10, 2010)	6/23/2010
FERC AD10-12	Technical Conference to discuss enhanced real-time optimal power flow market models (May 7, 2010 Notice of Technical Conference to Discuss Increasing Market and Planning Efficiency through Improved Software)	6/23/2010
FERC RM06-16	NERC's compliance filing due to modify the Transmission Planning Reliability Standard, TPL-002-0, to comply with the directives set forth in Order No. 693 (March 18, 2010 Order)	6/30/2010
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/1/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	7/1/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	7/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	7/1/2010
State of Oklahoma 201000043	Post Technical Conference comments due (April 23, 2010 Notice of Inquiry)	7/2/2010
FERC RM10-22	Comments due in response to the Notice of Proposed Rulemaking proposing to lift the price cap for all transmission customers	7/6/2010

Southwest Power Pool, Inc. Regulatory Outlook

reassigning transmission capacity beyond October 1, 2010 (April 29, 2010 NOPR)

State of Arkansas 10-011-U	Surrebuttal Testimony by EAI is due by Noon (Order No. 1 issued February 11, 2010; Order No. 7 issued March 10, 2010)	7/8/2010
State of Oklahoma 201000043	Second Technical Conference to be held from 9:30 AM to 12:30 PM (April 23, 2010 Notice of Inquiry)	7/8/2010
FERC RM07-1	Effective date of Order No. 717-C, Order on Rehearing and Clarification concerning Standards of Conduct for Transmission Providers (April 16, 2010 Order on Rehearing and Clarification)	7/21/2010
State of Oklahoma 201000043	Deliberations begin at 9:30 AM (April 23, 2010 Notice of Inquiry)	7/22/2010
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	8/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	8/1/2010
State of Arkansas 10-011-U	Second Show Cause Evidentiary Hearing begins at 9:30 AM (Order No. 1 issued February 11, 2010; Order No. 3 issued February 16, 2010; Order No. 7 issued March 10, 2010)	8/2/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	9/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	9/1/2010
State of Arkansas 10-010-U	Report and recommendations due from the Working Group on Energy Efficiency Reporting Needs concerning Administrative Costs and	9/30/2010

Southwest Power Pool, Inc. Regulatory Outlook

Energy Efficiency Reporting Needs (Order No. 1 issued February 3, 2010)

State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	10/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	10/1/2010
State of Arkansas 08-135-R	APSC Staff to file proposed Minimum Filing Requirements (Order No. 7 issued April 1, 2010, in Amendments to Arkansas Rules of Practice and Procedure docket)	10/1/2010
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2010
State of Arkansas 08-135-R	Initial comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	10/22/2010
State of Arkansas 10-010-U	Report and recommendations due from the Working Group on Energy Efficiency Reporting Needs concerning "Best Practices" - National Action Plan for Energy Efficiency (Order No. 1 issued February 3, 2010)	10/29/2010
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2010
State of Arkansas 08-135-R	Reply comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	12/10/2010
State of Arkansas 08-135-R	Sur-Reply comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	1/18/2011
FERC ER06-451	SPP Demand Response Status Report Due	2/1/2011
State of Arkansas 08-135-R	Evidentiary hearing begins at 9:30 AM for consideration of the proposed revisions to the Rules and Practice and Procedure, the Secretary's Guidelines, and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	2/1/2011

Southwest Power Pool, Inc. Regulatory Outlook

FERC 10-1178	FERC Form 582 due	4/30/2011
FERC ES09-25	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
State of Arkansas 09-037-U	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2011
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2011
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/1/2011
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2011
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company to file a pleading accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP during a recent twelve-month test period (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2011
State of Missouri EO-2006-0142	Kansas City Power & Light Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2011
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2011
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2011
