

---

## Southwest Power Pool, Inc. Regulatory Outlook

---

FERC ER09-1039	SPP to submit results of study identifying: 1) the operational challenges it identifies due to the integration of wind generating resources into its system, and 2) whether the 20 percent limit places transmission customers with smaller loads at a competitive disadvantage with customers serving larger loads with dedicated wind resources. (June 18, 2009 Order in SPP's Wind Cost Allocation Filing)	6/18/2010
State of Arkansas 10-011-U	Rebuttal Testimony by APSC General Staff and Intervenors are due by Noon (Order No. 1 issued February 11, 2010; Order No. 7 issued March 10, 2010)	6/23/2010
FERC AD10-12	Technical Conference to discuss enhanced real-time optimal power flow market models (May 7, 2010 Notice of Technical Conference to Discuss Increasing Market and Planning Efficiency through Improved Software)	6/23/2010
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/1/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	7/1/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	7/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	7/1/2010
State of Oklahoma 201000043	Post Technical Conference comments due (April 23, 2010 Notice of Inquiry)	7/2/2010
State of Arkansas 04-137-U	Empire and OG&E to file net benefits of the EIS market for their Arkansas customers (Order No. 9 issued June 2, 2010)	7/2/2010
FERC RM10-22	Comments due in response to the Notice of Proposed Rulemaking proposing to lift the price cap for all transmission customers reassigning transmission capacity beyond October 1, 2010 (April 29, 2010 NOPR)	7/6/2010

---

## Southwest Power Pool, Inc. Regulatory Outlook

---

FERC AD10-14	Technical Conference begins at 10 AM Eastern, 9 AM Central to discuss the Electric Reliability Organization ("ERO") standards development process; communication and interactions between the Commission, the ERO and Regional Entities; and ERO and Regional Entity monitoring and enforcement (June 15, 2010 Notice of Technical Conference)	7/6/2010
State of Arkansas 10-011-U	Surrebuttal Testimony by EAI is due by Noon (Order No. 1 issued February 11, 2010; Order No. 7 issued March 10, 2010)	7/8/2010
State of Oklahoma 201000043	Second Technical Conference to be held from 9:30 AM to 12:30 PM (April 23, 2010 Notice of Inquiry)	7/8/2010
FERC ER10-941	SPP's compliance filing due concerning the clarifications required regarding the Coordination and AFC/TFC Protocols in the SPP-Entergy Seams Agreement (June 15, 2010 Order Conditionally Accepting an Executed Agreement Subject to Compliance Filing)	7/15/2010
FERC RM07-1	Effective date of Order No. 717-C, Order on Rehearing and Clarification concerning Standards of Conduct for Transmission Providers (April 16, 2010 Order on Rehearing and Clarification)	7/21/2010
State of Oklahoma 201000043	Deliberations begin at 9:30 AM (April 23, 2010 Notice of Inquiry)	7/22/2010
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	8/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	8/1/2010
State of Arkansas 10-011-U	Second Show Cause Evidentiary Hearing begins at 9:30 AM (Order No. 1 issued February 11, 2010; Order No. 3 issued February 16, 2010; Order No. 7 issued March 10, 2010)	8/2/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	9/1/2010

---

## Southwest Power Pool, Inc. Regulatory Outlook

---

State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	9/1/2010
State of Arkansas 10-010-U	Report and recommendations due from the Working Group on Energy Efficiency Reporting Needs concerning Administrative Costs and Energy Efficiency Reporting Needs (Order No. 1 issued February 3, 2010)	9/30/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	10/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	10/1/2010
State of Arkansas 08-135-R	APSC Staff to file proposed Minimum Filing Requirements (Order No. 7 issued April 1, 2010, in Amendments to Arkansas Rules of Practice and Procedure docket)	10/1/2010
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2010
State of Arkansas 08-135-R	Initial comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	10/22/2010
State of Arkansas 10-010-U	Report and recommendations due from the Working Group on Energy Efficiency Reporting Needs concerning "Best Practices" - National Action Plan for Energy Efficiency (Order No. 1 issued February 3, 2010)	10/29/2010
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2010
State of Arkansas 08-135-R	Reply comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	12/10/2010
State of Arkansas 08-135-R	Sur-Reply comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	1/18/2011

---

## Southwest Power Pool, Inc. Regulatory Outlook

---

1, 2010)

FERC ER06-451	SPP Demand Response Status Report Due	2/1/2011
State of Arkansas 08-135-R	Evidentiary hearing begins at 9:30 AM for consideration of the proposed revisions to the Rules and Practice and Procedure, the Secretary's Guidelines, and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	2/1/2011
FERC RM06-16	NERC's compliance filing due to modify the Transmission Planning Reliability Standard, TPL-002-0, to comply with the directives set forth in Order No. 693 (March 18, 2010 Order; June 11, 2010 Order Denying Rehearing and Granting Partial Clarification, Denying Request for Stay, and Granting an Extension of Time)	3/31/2011
FERC 10-1178	FERC Form 582 due	4/30/2011
FERC ES09-25	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
State of Arkansas 09-037-U	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2011
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2011
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2011
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/1/2011
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2011
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company to file a pleading accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP during a recent twelve-month test period (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2011

---

## Southwest Power Pool, Inc. Regulatory Outlook

---

State of Missouri EO-2006-0142	Kansas City Power & Light Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2011
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2011
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2011

---