
Southwest Power Pool, Inc. Regulatory Outlook

FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2010
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	8/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	8/1/2010
State of Arkansas 10-011-U	Second Show Cause Evidentiary Hearing begins at 9:30 AM (Order No. 1 issued February 11, 2010; Order No. 3 issued February 16, 2010; Order No. 7 issued March 10, 2010)	8/2/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	8/2/2010
State of New Mexico 10-00143-UT	Status Hearing begins at 2 PM Mountain Time, 3 PM Central Time (June 14, 2010 Procedural Order)	8/4/2010
FERC AD10-13	Comments due Regarding Rates, Accounting and Financial Reporting for New Electric Storage Technologies (June 11, 2010 Request for Comments)	8/9/2010
FERC ER10-1269	SPP's compliance filing due in the Integrated Transmission Plan docket (July 15, 2010 Order Conditionally Accepting Tariff Revisions)	8/16/2010
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. Supplemental Testimony due (June 14, 2010 Procedural Order)	8/23/2010
FERC RM10-23	Comments due in response to the Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities Notice of Proposed Rulemaking (June 17, 2010 NOPR)	8/30/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	9/1/2010

Southwest Power Pool, Inc. Regulatory Outlook

State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	9/1/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	9/1/2010
FERC AD10-12	Post-technical conference comments due (July 27, 2010 Notice Establishing Date for Comments)	9/10/2010
State of New Mexico 10-00143-UT	Staff and Intervenor Direct Testimony due (June 14, 2010 Procedural Order)	9/17/2010
State of Arkansas 10-010-U	Report and recommendations due from the Working Group on Energy Efficiency Reporting Needs concerning Administrative Costs and Energy Efficiency Reporting Needs (Order No. 1 issued February 3, 2010)	9/30/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	10/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	10/1/2010
State of Arkansas 08-135-R	APSC Staff to file proposed Minimum Filing Requirements (Order No. 7 issued April 1, 2010, in Amendments to Arkansas Rules of Practice and Procedure docket)	10/1/2010
State of New Mexico 10-00143-UT	Rebuttal Testimony due (June 14, 2010 Procedural Order)	10/1/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	10/1/2010

Southwest Power Pool, Inc. Regulatory Outlook

FERC RR10-11	NERC's compliance filing due: 1) the CMEP Agreements must be modified such that SERC will receive any monies from penalties against SPP and FRCC, and that there will be no offset to SPP's or FRCC's assessments from the ERO for such penalty monies; and 2) the SERC-SPP and SERC-FRCC Regional Entity Delegation Agreements to be modified such that SERC will receive any monies from penalties against SPP and FRCC (July 12, 2010 Order Conditionally Accepting Compliance Monitoring and Enforcement Program Agreements and Revised Delegation Agreements, and Ordering Compliance Filing)	10/12/2010
State of New Mexico 10-00143-UT	Public Hearing begins at 10 AM Mountain Time, 11 AM Central Time (June 14, 2010 Procedural Order)	10/13/2010
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2010
State of Arkansas 08-135-R	Initial comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	10/22/2010
State of Arkansas 10-010-U	Report and recommendations due from the Working Group on Energy Efficiency Reporting Needs concerning "Best Practices" - National Action Plan for Energy Efficiency (Order No. 1 issued February 3, 2010)	10/29/2010
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	11/1/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	12/1/2010
State of Arkansas 08-135-R	Reply comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	12/10/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	1/1/2011

Southwest Power Pool, Inc. Regulatory Outlook

State of Arkansas 08-135-R	Sur-Reply comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	1/18/2011
FERC ER06-451	SPP Demand Response Status Report Due	2/1/2011
State of Arkansas 08-135-R	Evidentiary hearing begins at 9:30 AM for consideration of the proposed revisions to the Rules and Practice and Procedure, the Secretary's Guidelines, and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	2/1/2011
FERC RM06-16	NERC's compliance filing due to modify the Transmission Planning Reliability Standard, TPL-002-0, to comply with the directives set forth in Order No. 693 (March 18, 2010 Order; June 11, 2010 Order Denying Rehearing and Granting Partial Clarification, Denying Request for Stay, and Granting an Extension of Time)	3/31/2011
FERC RM08-19	NERC Reliability Standards (Order No. 729) and NAESB business practices (Order No. 676-E) shall be implemented (Order No. 729-B issued July 15, 2010)	4/1/2011
FERC RM05-5	NERC Reliability Standards (Order No. 729) and NAESB business practices (Order No. 676-E) shall be implemented (Order No. 729-B issued July 15, 2010)	4/1/2011
FERC 10-1178	FERC Form 582 due	4/30/2011
FERC ES09-25	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
State of Arkansas 09-037-U	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2011
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2011
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2011
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2011

Southwest Power Pool, Inc. Regulatory Outlook

FERC ER06-451	SPP Demand Response Status Report Due	8/1/2011
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company to file a pleading accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP during a recent twelve-month test period (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2011
State of Missouri EO-2006-0142	Kansas City Power & Light Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2011
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2011
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2011
FERC ES10-11	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (January 15, 2010 Order)	1/15/2012
State of Arkansas 09-128-U	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (February 1, 2010 Order)	1/15/2012
FERC ER06-451	SPP Demand Response Status Report Due	2/1/2012
State of Missouri EO-2006-0141	Empire District Electric Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2012
FERC 10-1178	FERC Form 582 due	4/30/2012
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2012

Southwest Power Pool, Inc. Regulatory Outlook

FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2012
FERC ER06-451	SPP Demand Response Status Report Due	8/1/2012
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2012
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2012
