SPP Southwest Power Pool Regional Entity

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today & in the future

SPP Southwest Power Pool Regional Entity

Enforcement Update
July 26, 2010

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Southwest Power Pool Regional Entity

Compliance Enforcement Activities June 30, 2010	2007	2008	2009	1st Quarter	April	May	June	2010
PNAV								
Issued	6	56	132	59	15	25	23	122
Average Time to notify NERC (Days)				11.2	7.3	7.5	9.9	9.8
NAVAPS								
NAVAPS Issued	6	45	10	3	0	0	0	3
NOCV								
NOCV Sent to Entity/NERC	0	8	25	1	0	14	0	15
NOCV BOTCC Approved	0	7	11	14	0	15	0	29
Settlements								
Final @NERC for Approval	0	0	0	6	4	5	6	
BOTCC Approved	0	0	0	3	0	4	2	9
Notice of Penalty								
Approved by FERC	0	5	13	1	0	2	0	3
Running Total Outstanding Violations				207	218	230	247	
Mitigation Plan Status								
Accepted by SPP RE	7	52	39	33	12	10	10	65
Verified Complete by SPP RE	0	12	32	46	4	22	10	82
Average MP Completion (Days)								28.6

VIOLATION AGING TABLE					
as of					
May 31, 2010	< 50 days	50-100 days	101-200 days	201-300 days	>300 days
Violations (No Navaps/Settlement)	15	47	12	11	6
NAVAPS Issued/ No NOCV	0	0	0	0	0
In Settlement but Settlement Agreement not approved	56	7	27	34	9

VIOLATION AGING TABLE					
as of					
June 30, 2010	< 50 days	50-100 days	101-200 days	201-300 days	>300 days
Violations (No Navaps/Settlement)	34	26	30	10	7
NAVAPS Issued/ No NOCV	0	0	0	0	0
In Settlement but Settlement Agreement not approved	30	37	27	34	9

Compliance Enforcement Metric Performance June 30, 2010	1st Quarter	April	May	June	2010 Metric	Metric Goal
PNAV						
Issued	59	15	25	23		
Average Time to notify NERC (Days)	11.4	7.3	7.5	9.9	9.8	5
NOCV/Settlement/Dismissals to NERC						
NOCV Sent to Entity/NERC	1	0	14	0		
Settlements @ NERC for Approval	6	2	5	6		
Dismissals	2	4	1	0		
Total	9	6	20	6	41	75
NOCV/Setttlement/Dis. Approved by BOTCC						
NOCV BOTCC Approved	14	0	15	0		
Settlements BOTCC Approved	3	0	4	2		
Dismissals	2	3	1	1		
Total	19	3	20	3	45	40
Mitigation Plan Metric						
Verified Complete by SPP RE (30 day metric)	18	2	18	10		
Average MP Completion (30 Day Metric)	22.9	27	17	9	17.9	< 30
Verfied Complete by SPP RE (60 Day Metric)	14	2	4	0		
Average MP Completion (60 Day Metric)	33.6	55	33	0	35.6	< 60
Violations in Time Matters					81	200

Questions?