SPP Southwest Power Pool Regional Entity

Helping our members work together to keep the lights on...

today & in the future

SPP Southwest Power Pool Regional Entity

Enforcement Update
October 25, 2010

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Southwest Power Pool Regional Entity

Compliance Enforcement Activities September 30, 2010	2007	2008	2009	1st Quarter	2nd Quarter	3rd Quarter	2010
PNAV							
Issued	6	56	132	59	63	101	223
Average Time to notify NERC (Days)				11.4	7.5	11.1	10.1
NAVAPS							
NAVAPS Issued	6	45	10	3	0	4	7
NOCV							
NOCV Sent to Entity/NERC	0	8	25	1	14	0	15
NOCV BOTCC Approved	0	7	11	14	15	0	29
Settlements							
Final @ NERC for Approval	0	0	0	6	12	26	
BOTCC Approved	0	0	0	3	6	10	19
Notice of Penalty							
Approved by FERC	0	5	13	1	2	3	6
Running Total Outstanding Violations				207	247	347	
Mitigation Plan Status							
Accepted by SPP RE	7	52	39	33	32	78	143
Verified Complete by SPP RE	0	12	32	46	46	67	159
Average MP Completion (Days)							29.7

VIOLATION AGING TABLE					
as of					
August 31, 2010	< 50 days	50-100 days	101-200 days	201-300 days	>300 days
Violations (No Navaps/Settlement)	56	24	37	10	17
NAVAPS Issued/ No NOCV or Settlement	0	0	0	0	0
In Settlement but Settlement Agreement not approved	40	20	65	22	36

VIOLATION AGING TABLE					
as of					
September 30, 2010	< 50 days	50-100 days	101-200 days	201-300 days	>300 days
Violations (No Navaps/Settlement)	38	48	28	30	5
NAVAPS Issued/ No NOCV	4	0	0	0	0
In Settlement but Settlement Agreement not approved	8	40	78	20	35

Compliance Enforcement Metric Performance September 30, 2010	1st Quarter	2nd Quarter	3rd Quarter	2010 Metric	Metric Goal
PNAV					
Issued	59	63	101		
Average Time to notify NERC (Days)	11.4	7.5	11.1	10.1	5
NOCV/Settlement/Dismissals to NERC					
NOCV Sent to Entity/NERC	1	14	0		
Settlements @ NERC for Approval	6	12	26		
Dismissals	2	2	5		
Total	9	28	31	68	75
NOCV/Setttlement/Dis. Approved by BOTCC					
NOCV BOTCC Approved	14	15	0		
Settlements BOTCC Approved	3	6	10		
Dismissals	2	2	8		
Total	19	23	18	60	40
Mitigation Plan Metric					
Verified Complete by SPP RE (30 day metric)	16	33	59		
Average MP Completion (30 Day Metric)	24.1	20.1	22.2	21.4	< 30
Verfied Complete by SPP RE (60 Day Metric)	15	9	8		
Average MP Completion (60 Day Metric)	33.4	48.4	112	57.3	< 60
Violations in Time Matters				146	200

Questions?