

FERC or State Jurisdiction: FERC

AD10-5 RTO/ISO Performance Metrics

On October 21, 2010, Commission Staff issued its report on ISO/RTO Performance Metrics.

AD11-1 Reliability Monitoring, Enforcement and Compliance Issues

On October 1, 2010, FERC issued a Notice of Technical Conference to explore issues associated with reliability monitoring, enforcement and compliance, as announced in the Commission's order issued September 16, 2010 that accepted the North American Electric Reliability Corporation's initial assessment in Docket No. RR09-7-000 of its performance as the nation's Electric Reliability Organization (ERO), and performance by the Regional Entities, under their delegation agreements with the ERO.

The Technical Conference will be held on November 18, 2010, from 1 PM to 5 PM EST.

CE10-199 Paul McCoy's et. al Request for Critical Energy Infrastructure Information

On August 31, 2010, Paul McCoy, et al., President of Trans-Elect Development Co., LLC, and on behalf of Atlantic Grid Development Co., LLC filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, "and to the extent a CEII is needed FOrms 714 and 730" as noticed October 28, 2010. Mr. McCoy is requesting the material to conduct reliability and economic studies of a new major transmission project to be interconnected within the PJM Control area of the Eastern Interconnect in support of forthcoming FERC filings and PJM applications by Atlantic Grid Development Company.

Mr. McCoy's application states that he is the President of Trans-Elect Development Co., LLC however the NDA signed by Mr. McCoy states that he is a Principal with Atlantic Grid Development Co.

SPP submitted comments on this request November 1, 2010.

CE10-214 Request of Joseph Slegers for Critical Energy Infrastructure Information - Iowa State University (Student)

On September 23, 2010, Joseph Slegers, Master Student in Power Systems Engineering of the Iowa State University Department of Electrical and Computer Engineering, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data of all regions, and specifically, line voltages, power limits, and susceptances of transmission lines over 345 kV and resistances for all HVDC lines as noticed September 30, 2010.

SPP submitted comments on this request October 1, 2010.

CE10-217 Wenchun Chu's Request for Critical Energy Infrastructure Information - Wind Capital Group

On August 19, 2010, Wenchun Zhu, Vice President, Transmission of Wind Capital Group, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 26, 2010.

SPP submitted comments on this request October 29, 2010

- CE10-218** **Craig MacLeod's Request for Critical Energy Infrastructure Information - Orbit Systems, Ltd.**
- On October 1, 2010, Craig MacLeod, Managing Director of Orbit Systems, Ltd., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 26, 2010.
- SPP submitted comments on this request October 29, 2010
- CE11-4** **Tanya Bodell's request for Critical Energy Infrastructure Information - FTI Consulting**
- On October 1, 2010, Tanya Bodell, Managing Director of FTI Consulting, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data for the years 2008, 2009 and 2010, as noticed October 6, 2010.
- Ms. Bodell explained that she is establishing an industry analytics service offering and needs the requested information for purposes of bringing detailed industry models in house for purposes of analysis and advice to clients either invested in or looking to invest in the North American electric power sector.
- SPP submitted comments on this request October 12, 2010.
- CE11-7** **Eward Pfeiffer's Request for Critical Energy Infrastructure Information - AMEC Earth & Environmental, Inc. (consultant for Natural Resources Defense Council)**
- On October 4, 2010, Edward Pfeiffer, Associate at AMEC Earth & Environmental, Inc. and Consultant for Natural Resources Defense Council, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 14, 2010.
- Specifically, Mr. Pfeiffer requested power flow and power system maps associated with EIPC collaborative planning effort for the purpose of reviewing and performing independent power flow assessments of various plans and scenarios developed in study and on behalf fo the NRDC and other NGO stakeholders participating in the EIPC effort.
- SPP submitted comments on this request October 22, 2010
- CE11-11** **Stan Lee's Request for Critical Energy Infrastructure Information - Navigant Consulting, Inc.**
- On October 11, 2010, Stan Lee, Managing Consultant at Navigant Consulting, Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 25, 2010.
- SPP submitted comments on this request October 29, 2010
- EL10-55** **Notice of Complaint, Louisiana Public Service Commission ("LPSC") v. Entergy Corporation, Entergy Services, Inc., Entergy Louisiana, LLC, Entergy Arkansas, Inc., Entergy Mississippi, Inc., Entergy New Orleans, Inc., Entergy Gulf States Louisiana, LLC, and Entergy Texas, Inc.**
- On September 22, 2010, FERC issued an order adopting a Protective Order in this proceeding.
- On October 8, 2010, FERC issued an Order Granting Motion for Adoption of Protective Order.
- On October 12, 2010, John K. Sammon, Kevin J. Pewterbaugh, and Janice Garrison Nicholas, of the Commission Trial Staff, submitted Prepared Answering Testimony on behalf of FERC.

On October 12, 2010, Dr. S. Keith Berry submitted Direct Testimony on behalf of the Arkansas Public Service Commission.

On October 12, 2010, Phillip R. May submitted Answering Testimony on behalf of Entergy Services, Inc.

On October 25, 2010, the APSC, Entergy Services, and FERC Trial Staff submitted cross answering testimony.

ER05-1065

Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term

On September 30, 2010, SPP submitted the ICT's Third Quarterly Performance Report for 2010.

ER06-20

Louisville Gas and Electric Company ("LG&E") and Kentucky Utility Company's ("KU") Request for Commission Approval to Change their Method of Complying with Order Nos. 888 and 889 and Certain Conditions Imposed by the Commission in the Context of their Prior Mergers, and Achieving the Goals of Order No. 2000

On September 30, 2010, SPP submitted the ITO's Semi-Annual Report. This report covers the period from March 2010 through August 2010.

The semi-annual report is required by FERC's orders approving the establishment of the ITO and section 12.1.2 of the ITO Agreement in LG&E/KU's Tariff.

ER09-1050

SPP Order 719 Compliance Filing

On October 12, 2010, ISO New England, Inc. filed a Motion to Intervene Out-of-Time.

On October 15, 2010, in FERC Docket No. ER11-121, SPP submitted its compliance filing pursuant to Order No. 719 and the September 16, 2010 Order issued in Docket No. ER09-1050.

An effective date of February 18, 2010 was requested.

On October 15, 2010, ISO New England Inc. submitted a Motion for Clarification or, in the Alternative, Request for Rehearing of the September 16, 2010 Order. ISO New England stated it seeks clarification or rehearing regarding the following:

- 1) The Commission's statements in paragraph 26 of the September 16 Order do not prohibit a market monitor, at its discretion, from communicating or collaborating with the board members, officers or other personnel of its ISO (or RTO), assuming that those persons are bound by appropriate, Commission-accepted confidentiality requirements, in the investigation of a suspected market violation or the preparation of a referral, or prohibit the market monitor from making the board members or other ISO personnel aware that a referral has been made; and
- 2) The Commission's statements in paragraph 26 of the September 16 Order should be clarified as allowing a market monitor to collaborate or communicate with its ISO's board of directors and appropriate employees, and only as prohibiting the market monitor's disclosure to state officials or other persons outside an ISO of a referral of a market violation to Commission enforcement staff.

On October 21, 2010, FERC issued an order accepting SPP's April 28, 2009 Compliance

Filing. The Commission found that SPP complied with the stakeholder responsiveness and RTO governance requirements of Order No. 719, including: (1) inclusiveness; (2) fairness in balancing diverse interests; (3) representation of minority interests; and (4) ongoing responsiveness.

ER09-1192

SPP Submission of Revisions to Bylaws

On October 12, 2010, ISO New England, Inc. filed a Motion to Intervene Out-of-Time.

On October 15, 2010, ISO New England Inc. submitted a Motion for Clarification or, in the Alternative, Request for Rehearing of the September 16, 2010 Order. ISO New England stated it seeks clarification or rehearing regarding the following:

- 1) The Commission's statements in paragraph 26 of the September 16 Order do not prohibit a market monitor, at its discretion, from communicating or collaborating with the board members, officers or other personnel of its ISO (or RTO), assuming that those persons are bound by appropriate, Commission-accepted confidentiality requirements, in the investigation of a suspected market violation or the preparation of a referral, or prohibit the market monitor from making the board members or other ISO personnel aware that a referral has been made; and
- 2) The Commission's statements in paragraph 26 of the September 16 Order should be clarified as allowing a market monitor to collaborate or communicate with its ISO's board of directors and appropriate employees, and only as prohibiting the market monitor's disclosure to state officials or other persons outside an ISO of a referral of a market violation to Commission enforcement staff.

ER09-1255

Large Generator Interconnection Agreement ("LGIA") between Wind Farm Bear Creek, LLC ("Bear Creek") as Interconnection Customer and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner

On September 23, 2010, FERC issued an Order Denying Request for Rehearing or Reconsideration.

FERC denied rehearing of its April 21, 2010 Order. Bear Creek sought rehearing of FERC's determinations that: (a) interconnection customers wishing to serve load in another control area must submit a separate request for transmission service to that other area, which would be subject to further studies and the pricing provisions of SPP's Tariff for transmission service; and (b) Bear Creek must submit a new interconnection request in order to obtain Network Resource Interconnection Service. FERC denied Bear Creek's rehearing request as procedurally improper, since it merely repeated arguments already rejected by FERC.

ER10-45

SPP Submission of Revisions to OATT to Implement Rate Changes for ITC Great Plains, LLC (ITC Formula Rate Case)

On October 12, 2010, FERC issued a letter order accepting the rate changes, effective August 18, 2009 as requested.

This order constitutes final agency action.

ER10-230

Kansas City Power & Light Company ("KCP&L") and KCP&L Greater Missouri Operations Company ("KCPL-GMO") Submission of Proposed Tariff Revisions to Provide for Recovery of an Updated Revenue Requirement

On September 29, 2010, Kansas City Power and Light Company and KCP&L Greater Missouri Operations Company submitted an Offer of Settlement and Settlement Agreement.

On September 29, 2010, Kansas City Power and Light Company, KCP&L Greater Missouri Operations Company, and SPP submitted an Unopposed Joint Motion for Interim Rate Relief.

On October 1, 2010, FERC issued an Order of Chief Judge Shortening Time to Answer to October 5, 2010.

On October 5, 2010, the Commission Trial Staff submitted its Initial Comments in support of the Settlement Agreement filed on September 29, 2010.

On October 6, 2010, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief.

On October 7, 2010, FERC issued a Certification of Uncontested Settlement.

On October 12, 2010, FERC issued an Order of Chief Judge Termination Settlement Judge Procedures.

On October 15, 2010, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and SPP submitted an Emergency Joint Motion for Interim Rates and Request for Expedited Action. The parties requested that FERC grant this motion no later than October 22, 2010.

On October 15, 2010, FERC Chief ALJ granted a joint motion filed by KCP&L-GMO and SPP to extend their authority to implement on an interim basis the rates from a settlement currently pending before FERC in Docket No. ER10-230. KCP&L-GMO's and SPP's interim rate authority is extended until the date of FERC's acceptance of KCP&L/GMO's and SPP's compliance filings incorporating the settlement into the GMO and SPP OATTs.

ER10-355

American Electric Power Service Corporation ("AEP") Submission of a Formula Rate to Recover Costs of Investments in Transmission Facilities Owned by AEP Transmission Company, L.L.C. ("AEPTCo") Subsidiaries in PJM and in SPP RTO Regions

On September 24, 2010, AEP submitted an Offer of Settlement.

On October 13, 2010, AEP submitted a Motion for Interim Rates and Request for Expedited Action.

On October 14, 2010, SPP filed a Motion for Interim Rates and Request for Expedited Action. SPP requested that the Chief ALJ grant this motion no later than October 21, 2010.

On October 14, 2010, Commission Trial Staff submitted comment supporting the settlement agreement.

On October 19, 2010, FERC issued an Order of Chief Judge which granted AEP's Motion for Interim Rates.

On October 20, 2010, FERC issued an Order of Chief Judge which granted SPP's Motion for Interim Rates.

On October 25, 2010, Indiana Municipal Power Agency submitted Reply Comments in response to the Offer of Settlement.

On October 25, 2010, AEP submitted Reply Comments in support of the Offer of Settlement.

On October 25, 2010, the Commission Trial Staff submitted Reply Comments Supporting Offer of Settlement.

ER10-696

Network Integration Transmission Service Agreement ("NITSA") between SPP, Northeast Texas Electric Cooperative, Inc. ("NTEC") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On September 22, 2010, SPP submitted a Motion to Withdraw the service agreements filed in this proceeding.

The updated service agreements for Northeast Texas Electric Cooperative, Inc. were executed and filed in Docket No. ER10-2845 on September 22, 2010.

On October 7, 2010, the East Texas Cooperatives submitted comments regarding SPP's September 22, 2010 Motion to Withdraw. The Cooperatives support SPP's motion, but ask the Commission not to grant this motion until it accepts the agreement filed in Docket No. ER10-2845.

ER10-1697

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Mid-Kansas Electric Company ("MKEC") as Host Transmission Owner

On September 30, 2010, FERC issued a Notice of Extension of Time for filing comments up to and including November 19, 2010.

ER10-1960

SPP's Submittal of Baseline Electronic Open Access Transmission Tariff

On October 28, 2010, FERC issued an order accepting SPP's baseline tariff filing, effective July 26, 2010 as requested.

This order constitutes final agency action.

ER10-2145

SPP's Submittal of Baseline Electronic Governing Documents Tariff (Bylaws and Membership Agreement)

On October 13, 2010, FERC issued a letter order accepting the baseline electronic tariff filing of SPP's Bylaws and Membership Agreement, effective August 5, 2010 as requested.

This order constitutes final agency action.

ER10-2157

E.ON U.S. LLC Filing of the Second Amended and Restated Independent Transmission Organization Agreement ("ITO Agreement")

On October 5, 2010, FERC issued an Order accepting the Second Amended and Restated Independent Transmission Organization Agreement, effective September 1, 2010 as requested.

- ER10-2166** **Large Generator Interconnection Agreement ("LGIA") between Taloga Wind, LLC ("Taloga Wind") as Interconnection Customer and Oklahoma Gas & Electric Company ("OG&E") as Transmission Owner**
- On October 5, 2010, FERC issued a letter order accepting the agreement, effective July 8, 2010 as requested.
- This order constitutes final agency action.
- ER10-2225** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, East Texas Electric Cooperative, Inc. ("ETEC") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner**
- On October 5, 2010, FERC issued a letter order accepting the agreement, effective January 1, 2010 as requested.
- This order constitutes final agency action.
- ER10-2227** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Tex-La Electric Cooperative of Texas, Inc. ("Tex-La") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner**
- On October 5, 2010, FERC issued a letter order accepting the agreement, effective January 1, 2010 as requested.
- This order constitutes final agency action.
- ER10-2243** **Large Generator Interconnection Agreement ("LGIA") between Wildorado Wind, LLC ("Wildorado") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner**
- On October 15, 2010, FERC issued and Order Rejecting Large Generator Interconnection Agreements.
- FERC rejected the filing of the Original Wildorado LGIA and the Revised Wildorado LGIA because they contain minor deviations from SPP's pro forma LGIA that was in effect at the time of execution of the Original Wildorado LGIA in 2005. FERC directed SPP to modify the agreements to eliminate the deviations from pro forma and report in the electronic quarterly report ("EQR") only.
- ER10-2416** **Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Kansas City Board of Public Utilities ("KCBPU") as Transmission Customer**

On October 20, 2010, FERC issued a letter order accepting the agreement, effective August 1, 2010 as requested.

This order constitutes final agency action.

ER10-2429

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Kansas City Power and Light Company ("KCPL") as Host Transmission Owner

On October 25, 2010, FERC issued an order rejecting the NITSA and directing SPP to report the agreement in SPP's Electric Quarterly Report.

FERC stated it does not consider the network upgrade language in Section 8.10 to be non-conforming.

ER10-2450

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Mid-Kansas Electric Company ("MKEC") as Host Transmission Owner; Notice of Cancellation of a Point-to-Point Transmission Service Agreement

On October 19, 2010, FERC issued a letter order accepting the agreement, effective August 1, 2010 as requested.

FERC also accepted the Notice of Cancellation for SPP Service Agreement No. 1263, which was originally filed in Docket No. ER06-1349.

This order constitutes final agency action.

ER10-2451

Executed Transmission Interconnection Agreement Between Western Farmers Electric Cooperative ("WFEC") and ITC Great Plains, LLC ("ITC"), with SPP as Signatory

On October 20, 2010, FERC issued a letter order accepting the agreement, effective October 30, 2010 as requested.

This order constitutes final agency action.

ER10-2452

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, The Board of Public Utilities, Springfield, Missouri ("Springfield") as Network Customer and Host Transmission Owner

On October 13, 2010, FERC issued a letter order accepting the agreement, effective August 1, 2010 as requested.

This order constitutes final agency action.

ER10-2482

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 20, 2010, FERC issued a letter order accepting the agreement, effective August 1, 2010 as requested.

This order constitutes final agency action.

ER10-2483

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Lindsborg, Kansas ("Lindsborg") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 13, 2010, FERC issued a letter order accepting the agreement, effective August 1, 2010 as requested.

This order constitutes final agency action.

ER10-2489

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner

On October 13, 2010, FERC issued a letter order accepting the agreement, effective August 1, 2010 as requested.

This order constitutes final agency action.

ER10-2521

Notice of Cancellation of Network Integration Transmission Service Agreement between SPP as Transmission Provider and Nebraska Public Power District ("NPPD") as Network Customer and Host Transmission Owner (Agreement was Superseded by a Conforming Service Agreement to be Reported in SPP's Electric Quarterly Report Only)

On October 14, 2010, FERC issued an order accepting the Notice of Cancellation, effective August 1, 2010 as requested.

This order constitutes final agency action.

ER10-2748

Entergy Services, Inc. ("ESI") Filing to Extend on an Interim Basis the Independent Coordinator of Transmission ("ICT") Arrangement for a Period of Two Years

On October 6, 2010, Occidental Chemical Corporation filed a Motion to Intervene.

On October 7, 2010, NRG Companies filed a Motion to Intervene.

On October 8, 2010, SPP filed a Motion to Intervene and comments in support of Entergy's September 17, 2010 Filing.

On October 8, 2010, Arkansas Cities filed a Motion to Intervene and Limited Protest. Arkansas Cities believe it would be more efficient if Entergy considered a 2-3-year extension of the current ICT arrangement.

On October 8, 2010, GDF SUEZ Energy Marketing NA, Inc. filed a Motion to Intervene.

On October 8, 2010, Union Power Partners, L.P. filed a Motion to Intervene and Comments. Union Power expressed concerns regarding amendments to the ICT Agreement and Part I of

the OATT to limit liability, provide for indemnification, and to provide for Entergy's recovery of costs of indemnification.

On October 8, 2010, the L-M Municipals filed a Motion to Intervene, Comments, and Limited Protest. The parties protested certain aspects of Entergy's proposed changes to tariff provisions governing liability and indemnification.

On October 14, 2010, Entergy submitted amendments to its September 17, 2010 filing. Entergy and SPP have agreed to extend the subsequent term of the ICT arrangement for two years.

On October 18, 2010, Electric Power Supply Association filed a Motion to Intervene.

On October 21, 2010, Entergy Services, Inc. submitted an answer in response to the October 8, 2010 protests submitted by the Lafayette Utilities System, et al. and Union Power Partners, LP.

Entergy stated:

- 1) the proposed new Section 10.3 of the Entergy OATT is just and reasonable and consistent with Commission precedent; and
- 2) the proposed new Section 10.4 of the Entergy OATT and proposed revisions to Section 6.1 of the ICT Agreement are just and reasonable and consistent with Commission policy and precedent.

On October 22, 2010, Arkansas Electric Cooperative Corporation filed a Motion to Intervene.

On October 22, 2010, Union Power Partners, L.P. submitted its second set of comments in response to Entergy's filing to extend the term of the ICT Arrangement for a period of two years.

On October 22, 2010, the Arkansas Cities filed a Motion to Intervene.

On October 26, 2010, the Public Utility Commission of Texas filed a Motion to Intervene Out-of-Time and comments.

The PUCT stated if FERC should approve the amendments authorizing Entergy to indemnify SPP for regulatory fines and penalties, the PUCT submits that Entergy should not be permitted to recover any costs of that indemnification from Entergy's customers.

On October 26, 2010, the Arkansas Public Service Commission filed a Motion to Submit Comments Out-of-Time and comments.

The APSC supports the two-year extension of the ICT Arrangement.

On October 27, 2010, the Council of the City of New Orleans, Louisiana filed a Motion to Intervene Out-of-Time.

On October 27, 2010, Entergy Services, Inc. filed an answer in response to comments of Arkansas Public Service Commission and Union Power Partners, LP.

On November 1, 2010, the Council of the City of New Orleans submitted its response to Entergy's answer filed on October 27, 2010.

On November 1, 2010, the Arkansas Public Service Commission submitted its response to Entergy's answer filed on October 27, 2010.

ER10-2820

E.ON U.S. LLC ("E.ON") Submission of Tariff Revisions to Implement Interim Large and Small Generator Interconnection Service

On September 22, 2010, E.ON U.S. LLC submitted tariff revisions to implement interim large and small generator interconnection service. An effective date of September 23, 2010 was requested.

On October 13, 2010, SPP submitted a Motion to Intervene and comments in support of E.ON's September 22, 2010 Filing.

ER10-2845

Network Integration Transmission Service Agreement ("NITSA") between SPP, Northeast Texas Electric Cooperative, Inc. ("NTEC") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On September 22, 2010, SPP submitted an executed NITSA between SPP as Transmission Provider, Northeast Texas Electric Cooperative, Inc. as Network Customer, as well as a NOA with American Electric Power Company as Host Transmission Owner. SPP Service Agreement No. Substitute Second Revised 1066.

This agreement replaces SPP Service Agreement No. Second Revised 1066 filed in Docket No. ER10-696.

An effective date of January 1, 2010 was requested.

On October 7, 2010, North Texas Electric Cooperative, Inc. submitted a Motion to Intervene.

ER10-3207

Third Amended Interchange Agreement (also known as the "OAM" agreement) between Associated Electric Cooperative, Inc. ("AECI"), The Empire District Electric Company ("Empire"), Grand River Dam Authority ("GRDA"), Southwestern Electric Power Company ("SWEPCO") and Board of Public Utilities of Springfield, MO ("BPU")

On September 29, 2010, SPP submitted an executed Third Amended Interchange Agreement between SPP, Associated Electric Cooperative, Inc., The Empire District Electric Company, Grand River Dam Authority, Southwestern Electric Power Company, and the Board of Public Utilities of Springfield, Missouri. SPP Service Agreement No. First Revised 1670.

An effective date of November 29, 2010 was requested, but is contingent upon approval of the agreement by the Rural Utilities Service ("RUS").

ER10-3213

Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Oklahoma Municipal Power Authority ("OMPA") as Transmission Customer

On September 29, 2010, SPP submitted an executed service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Oklahoma Municipal Power Authority as Transmission Customer. SPP Service Agreement No. 2050.

An effective date of September 1, 2010 was requested.

ER11-10 Two Executed Firm Point-To-Point Transmission Service Agreements between SPP as Transmission Provider and Kansas City Power and Light Company ("KCPL") as Transmission Customer

On October 1, 2010, SPP submitted two executed service agreements for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Kansas City Power and Light Company as Transmission Customer. SPP Service Agreement Nos. First Revised 2021 and First Revised 2022.

An effective date of September 1, 2010 was requested.

ER11-11 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Grand River Dam Authority ("GRDA") as Network Customer and Host Transmission Owner

On October 1, 2010, SPP submitted an executed NITSA between SPP as Transmission Provider and Grand River Dam Authority as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1641.

An effective date of September 1, 2010 was requested.

ER11-13 SPP Submission of Tariff Revisions to Incorporate Standards Adopted in Order Nos. 676-E, 676-F, 729, and 729-B

On October 1, 2010, SPP submitted revisions to its OATT in order to incorporate standards adopted in Order Nos. 676-E, 676-F, 729, and 729-B.

Consistent with Order Nos. 676-E and 729-B, SPP requests that the tariff amendments incorporating by reference the NAESB standards approved in Order No. 676-E become effective as of April 1, 2011.

In accordance with Order No. 676-F, SPP requests that the tariff revisions incorporating by reference the NAESB business standard "Measurement and Verification of Wholesale Electricity Demand Response (WEQ-015, 2008 Annual Plan Item 5(a), March 16, 2009)" be effective as of May 24, 2010.

Consistent with Order No. 729-B, SPP requests that the amendments to Attachment C filed become effective on April 1, 2011.

On October 21, 2010, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene.

On October 22, 2010, Xcel Energy Services, Inc. filed a Motion to Intervene.

ER11-112 Oklahoma Gas & Electric Company Submission of a Proposal to Implement Two Transmission Rate Incentives in Accordance with Federal Power Act Section 219 and Order No. 679

On October 12, 2010, Oklahoma Gas & Electric Company filed a proposal to implement two

transmission rate incentives. Specifically, OG&E seeks to recover:

- 1) 100 percent of all prudently-incurred Construction Work in Progress in rate base for specific transmission projects to be constructed by OG&E within SPP; and
- 2) 100 percent of all prudently incurred development and construction costs if specific transmission projects are abandoned or cancelled, in whole or in part, for reasons beyond OG&E's control.

OG&E requested an effective date of January 1, 2011.

On November 2, 2010, SPP submitted a Motion to Intervene.

ER11-119 SPP Submission of Ministerial Filing to Reflect Bylaws Language, Accepted in Docket No. ER09-1192, in the Electronic Version of SPP's Bylaws

On October 15, 2010, SPP submitted a ministerial filing to reflect Bylaws language, accepted in Docket No. ER09-1192, in the Electronic Version of SPP's Bylaws.

An effective date of August 5, 2010 was requested.

ER11-120 SPP Submission of Ministerial Filing to Reflect Order No. 719 Tariff Language, Accepted in Docket Nos. ER09-1050 and ER09-1192, in SPP's Electronic Tariff

On October 15, 2010, SPP submitted a ministerial filing to reflect Order No. 719 tariff language, accepted in Docket Nos. ER09-1050 and ER09-1192, in SPP's Electronic Tariff.

An effective date of July 26, 2010 was requested.

ER11-121 SPP Submission of Tariff Revisions to Comply With Order No. 719 and the September 16, 2010 Order Issued in Docket No. ER09-1050

On October 15, 2010, in FERC Docket No. ER11-121, SPP submitted its compliance filing pursuant to Order No. 719 and the September 16, 2010 Order issued in Docket No. ER09-1050.

An effective date of February 18, 2010 was requested.

ER11-126 SPP Submission of Tariff Revisions to Eliminate Market Monitor Data Retention Auditing Requirement

On October 15, 2010, SPP submitted revisions to its Open Access Transmission Tariff in order to modify the duties of the independent Market Monitor ("MMU") to eliminate a requirement that the MMU audit SPP Market Participant compliance with data retention policies.

An effective date of December 14, 2010 was requested.

ER11-1856 Generator Interconnection Agreement ("GIA") between Broken Bow Wind, LLC ("Broken Bow") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On October 21, 2010, SPP submitted an executed GIA between SPP as Transmission Provider, Broken Bow Wind, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. 2056.

An effective date of September 27, 2010 was requested.

ER11-1896

SPP Order 739 Compliance Filing

On October 25, 2010, pursuant to Order No. 739, SPP submitted revisions to its Open Access Transmission Tariff in order to make permanent the lifting of the price cap for reassignments of transmission capacity.

An effective date of September 24, 2010 was requested.

ER11-1928

SPP Ministerial Filing to Reflect Tariff Language Accepted in Docket No. ER10-1014 in Electronic Tariff

On October 28, 2010, SPP submitted a ministerial filing to incorporate into its baseline electronic tariff the revisions accepted in Docket No. ER10-1014.

An effective date of August 15, 2010 was requested.

ER11-1930

SPP Revisions to Open Access Transmission Tariff to Reflect an Update of the Annual Values Used in the Calculation of Offer Caps

On October 28, 2010, SPP submitted revisions to its OATT to reflect updates of annual values used in the calculation of offer caps for all pivotal resources in SPP's real-time energy imbalance service market.

An effective date of January 1, 2011 is requested.

ER11-1941

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as both Network Customer and Host Transmission Owner, and Mid-Kansas Electric Company, LLC ("MKEC"), ITC Great Plains, LLC ("ITC"), and Midwest Energy, Inc. ("Midwest Energy") as Host Transmission Owners

On October 29, 2010, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer and Host Transmission Owner, and Mid-Kansas Electric Company, LLC, ITC Great Plains, LLC, and Midwest Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. 2028.

SPP also submitted a Notice of Cancellation for SPP Service Agreement No. 1512, originally filed in Docket No. ER07-1319.

An effective date of November 1, 2010 was requested.

ER11-1958

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 1, 2010, SPP submitted an executed NITSA and NOA between SPP as

Regulatory Status Report

Transmission Provider and Westar Energy, Inc. as Network Customer and Host Transmission Owner. SPP Service Agreement No. Eleventh Revised 607.

An effective date of October 1, 2010 was requested.

ER11-1972

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On November 1, 2010, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, KCP&L Greater Missouri Operations Company as Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Third Revised 1765.

SPP also submitted a Notice of Cancellation of SPP Service Agreement No. 1804, which was originally filed in Docket No. ER09-1140.

An effective date of October 1, 2010 was requested.

ES10-11

SPP Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities (\$150 million)

On October 27, 2010, SPP submitted a request to modify the May 19, 2010 authorization order to specify that the Unsecured Notes will have maturities of 3 to 40 years.

On October 28, 2010, FERC issued an Errata to the May 19, 2010 Order. FERC changed the authorization to allow for the Unsecured Notes having maturities of 3 to 40 years.

RM06-16

Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006

A technical conference on on Frequency Response in the Wholesale Electric Grid was held on September 23, 2010.

On September 24, 2010, FERC issued a Notice Allowing Post-Technical Conference Comments in response to the September 23, 2010 Technical Conference. Comments are due October 14, 2010.

Numerous entities submitted post-Technical Conference comments regarding Frequency Response in the Wholesale Electric Grid.

On October 14, 2010, the ISO/RTO Council submitted post-technical conference comments.

On October 20, 2010, NERC submitted the 2010 Long-Term Reliability Assessment.

On October 25, 2010, NERC submitted its compliance filing in response to the May 13, 2010 Order Granting Rehearing for Further Consideration and Scheduling Technical Conference.

On October 26, 2010, NERC submitted an information filing containing the 2010 Special Reliability Assessment: Resource Adequacy Impacts of Potential U.S. Environmental Regulations.

RM06-22 **Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006**

On October 15, 2010, NERC submitted its status report in response to Order No. 706-B, issued on March 19, 2009.

RM10-13 **Notice of Proposed Rulemaking: Credit Reforms in Organized Wholesale Electric Markets**

On October 21, 2010, FERC issued Order No. 741, Final Rule regarding Credit Reforms in Organized Wholesale Electric Markets. A Compliance Filing is due on June 30, 2011 and must include the following tariff provisions to be effective October 1, 2011:

Each organized wholesale electric market must have tariff provisions that:

(a) Limit the amount of unsecured credit extended by an organized wholesale electric market to no more than:

(i) \$50 million for each market participant; and

(ii) \$100 million for all entities within a corporate family;

(b) Adopt a billing period of no more than seven days and allow a settlement period of no more than seven days;

(c) Eliminate unsecured credit in financial transmission rights markets and equivalent markets;

(d) Establish a single counterparty to all market participant transactions, or require each market participant in an organized wholesale electric market to grant a security interest to the organized wholesale electric market in the receivables of its transactions, or provide another method of supporting netting that provides a similar level of protection to the market and is approved by the Commission. In the alternative, the organized wholesale electric market shall not net market participants' transactions and must establish credit based on market participants' gross obligations;

(e) Limit to no more than two days the time period provided to post additional collateral when additional collateral is requested by the organized wholesale electric market;

(f) Require minimum participation criteria for market participants to be eligible to participate in the organized wholesale electric market; and

(g) Provide a list of examples of circumstances when a market administrator may invoke a "material adverse change" as a justification for requiring additional collateral; this list does not limit a market administrator's right to invoke such a clause in other circumstances.

This rule is effective November 26, 2010.

RM10-17 **Demand Response Compensation in Organized Wholesale Energy Markets**

Several entities submitted post-technical conference comments.

Numerous entities submitted comments in response to the Supplemental NOPR issued on August 2, 2010.

On October 13, 2010, SPP submitted comments in response to the Supplemental NOPR issued on August 2, 2010.

SPP stated that the Commission should not require a net benefits test requirement either as a standard for all RTOs and ISOs or as a requirement tailored to the individual RTO or ISO. Also, SPP stated the Commission's final rule from this proceeding should take into account that each RTO and ISO has very different markets, each with its own characteristics that have been debated and approved by the Commission.

RM10-22

Promoting a Competitive Market for Capacity Reassignments

On September 27, 2010, Powerex Corp. submitted a Request for Clarification or, in the Alternative, Rehearing of Order No. 739 issued on September 20, 2010.

Powerex requests the Commission to clarify:

(1) that individual transmission customers' market-based rate schedules for transmission reassignments remain operative and that reassignments may be made at negotiated rates pursuant to these rate schedules; and

(2) how transmission customers that reassign transmission under a price cap framework retained by a non-jurisdictional transmission provider are expected to calculate their opportunity costs capped at the transmission provider's cost of expansion.

On October 20, 2010, Portland General Electric filed a request for an extension of time, until December 23, 2010, to submit its compliance filing pursuant to Order No. 739 issued on September 20, 2010.

On October 20, 2010, Portland General Electric Company ("PGE") filed a Request for Clarification or, In the Alternative, Rehearing of Order No. 739 issued on September 20, 2010.

Specifically, PGE requests that the Commission clarify that stand-alone rate schedules permitting capacity reassignments at negotiated rates on Bonneville Power Administration's transmission system remain operative.

On October 26, 2010, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 739.

RM10-23

Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities

On September 28, 2010, the ISO/RTO Council submitted comments in response to the June 17, 2010 NOPR.

On September 29, 2010, FERC issued a notice providing for reply comments in response to initial comments concerning the June 17, 2010 NOPR.

Reply comments are due November 12, 2010.

Numerous entities submitted comments in response to the June 17, 2010 NOPR.

On September 29, 2010, SPP submitted comments in response to the June 17, 2010 NOPR.

SPP supports Commission efforts to modify existing transmission planning and cost allocation requirements to provide a greater link between transmission planning and cost allocation, provide for inclusion of public policy considerations in transmission planning and cost allocation, and enhance inter-regional coordination in transmission planning and cost allocation.

RM11-2

Smart Grid Interoperability Standards

On October 7, 2010, FERC issued a Notice of Docket Designation for Smart Grid Interoperability Standards.

On October 22, 2010, FERC issued a Notice of Technical Conference, announcing that a

conference will be held on November 14, 2010, from 10:30 am to 11:30 am Eastern time in conjunction with the NARUC/FERC Collaborative on Smart Response.

RR10-11

NERC Petition for Approval of Revised Pro Forma Delegation Agreement, Revised Delegation Agreements with the Eight Regional Entities, Amendments to the NERC Rules of Procedure, and Amendments to the Bylaws of Several of the Regional Entities

On October 21, 2010, FERC issue an order conditionally approving the revised pro forma Delegation Agreement, the revised Delegation Agreements with the Regional Entities, and the amendments to rules of procedure and certain Regional Entity Bylaws. An effective date of January 1, 2011 was granted.

NERC must submit a compliance filing by February 18, 2011 in order to address the following issues:

- 1) Clarify that the Commission and its staff will have full access to information made non-public under proposed Sections 8(a)(iii), (c)(iv), and (d) of the revised Pro Forma Delegation Agreement (and the revised Delegation Agreements with the Regional Entities that adopt the relevant portions of proposed Section 8);
- 2) Revise Section 8 of Exhibit E of the Pro Forma Delegation Agreement (and the revised agreements with the Regional Entities that adopt the relevant portions of proposed Section 8 of Exhibit E) to provide that NERC may review all financial records of the Regional Entities, including records not used to prepare financial statements;
- 3) Submit a plan for timely auditing of the Regional Entities, explaining i) when it will complete the first round of audits; and ii) when it will perform the second round of audits;
- 4) NERC and FRCC to revise Section 5.4 of Exhibit B to include language specifying FRCC's authority over the CMEP, as recommended in the FRCC audit report and consistent with FRCC's concurrence;
- 5) NERC and MRO to clarify Section 18.1 or replace "Member" with "Registered Entity";
- 6) Provide in its compliance filing a further explanation and justification for eliminating the audit validation requirement, including an explanation of what other tools NERC has that it believes are sufficient to monitor the effectiveness of the Regional Entities' Compliance Enforcement Programs;
- 7) Revise its language to state, instead, the minimum amount of time the audited Regional Entity is given to respond after it receives the preaudit questionnaire and information request(s);
- 8) Clarify the meaning of the proposed language in the section entitled "Reporting". Specifically, it is unclear whether the term "exceptions" relates to problems with the scope of the NERC audit or, instead, to failures by the Regional Entity that are identified in the course of the NERC audit;
- 9) Revise its Spot Checking process so that Regional Entities are required to submit their spot check reports to NERC in the same manner as they do Compliance Audit Reports.

FERC rejected NERC's proposal to allow, at the request of the registered entity, representatives of other registered entities to attend the audit for educational purposes. Moreover, NERC and the Regional Entities have other, more appropriate tools at their disposal to educate registered entities without potentially introducing a disruptive element that may, in fact, hinder the Regional Entities in the performance of their compliance duties.

FERC or State Jurisdiction: State of Arkansas

08-001-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Capability and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of a 138 kV Transmission Line Originating at the Southeast Texarkana Station Located in Texarkana, Arkansas and Terminating at a Proposed Station to be Constructed at the John W. Turk, Jr. Generation Facility Located in Hempstead County, Arkansas and for the Proposed Turk Station and Related Facilities

On September 23, 2010, the APSC issued Order No. 16 in 08-001-U, Order No. 19 in 08-002-U, and Order No. 10 in 08-098-U, which established the following procedural schedule:

- 1) October 8, 2010 (by Noon) - Legal briefs due;
- 2) October 22, 2010 (by Noon) - Reply legal briefs due;
- 3) October 29, 2010 (by Noon) - SWEPCO Supplemental Testimony due;
- 4) November 30, 2010 (by Noon) - Staff and Intervenor Rebuttal Testimony due;
- 5) December 17, 2010 (by Noon) - SWEPCO Surrebuttal Testimony due; and
- 6) January 18, 2011 - Evidentiary Hearing to begin at 9:00 AM in APSC Hearing Room 1.

On September 23, 2010, the APSC issued Order No.17 in 08-001-U, Order No. 20 in 08-002-U, and Order No. 11 in 08-098-U, which denied Customers Advancing Responsible Rates' Petition to Intervene.

On October 8, 2010, SWEPCO submitted its Initial Brief regarding the CECPNs issued in 08-001-U, 08-002-U and 08-098-U.

On October 8, 2010, Staff submitted its Initial Brief regarding the CECPNs issued in 08-001-U, 08-002-U and 08-098-U.

On October 8, 2010, Hempstead County Hunting Club, Inc., Willis and Patty Smith, Charles Turner, Donald and Mary Johnson, and Shultz Family Management Company submitted a filing to incorporate their Memorandum of Law and Reply to SWEPCO's Response to Memorandum of Law as their Opening Brief in this matter.

On October 11, 2010, Customers Advancing Responsible Rates, Inc. submitted an Application for Rehearing requesting the APSC to reconsider Order No. 17 which denied CARR's Petition to Intervene.

On October 21, 2010, the APSC General Staff submitted its response to Customers Advancing Responsible Rates, Inc.'s Application for Rehearing filed on October 8, 2010.

On October 29, 2010, Venita McCellon-Allen submitted Direct Testimony on behalf of SWEPCO.

On October 29, 2010, Bob Tumilty submitted Supplemental Testimony on behalf of SWEPCO.

On October 29, 2010, Paul Hassink submitted Supplemental Testimony on behalf of SWEPCO.

On October 29, 2010, George Carpenter submitted Supplemental Testimony on behalf of SWEPCO.

08-002-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Capability and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of a 138 kV Transmission Line Originating at the Sugar Hill Station Located Near Texarkana, Arkansas and Terminating at a Proposed Station to be Constructed at the John W. Turk, Jr. Generation Facility Located in Hempstead County, Arkansas and for the Proposed Turk Station and Related Facilities

On September 22, 2010, the APSC issued Order No. 18 which designated Connie C. Griffin as Presiding Officer in this proceeding.

On September 23, 2010, the APSC issued Order No. 16 in 08-001-U, Order No. 19 in 08-002-U, and Order No. 10 in 08-098-U, which established the following procedural schedule:

- 1) October 8, 2010 (by Noon) - Legal briefs due;
- 2) October 22, 2010 (by Noon) - Reply legal briefs due;
- 3) October 29, 2010 (by Noon) - SWEPCO Supplemental Testimony due;
- 4) November 30, 2010 (by Noon) - Staff and Intervenor Rebuttal Testimony due;
- 5) December 17, 2010 (by Noon) - SWEPCO Surrebuttal Testimony due; and
- 6) January 18, 2011 - Evidentiary Hearing to begin at 9:00 AM in APSC Hearing Room 1.

On September 23, 2010, the APSC issued Order No.17 in 08-001-U, Order No. 20 in 08-002-U, and Order No. 11 in 08-098-U, which denied Customers Advancing Responsible Rates' Petition to Intervene.

On October 8, 2010, SWEPCO submitted its Initial Brief regarding the CECPNs issued in 08-001-U, 08-002-U and 08-098-U.

On October 8, 2010, Staff submitted its Initial Brief regarding the CECPNs issued in 08-001-U, 08-002-U and 08-098-U.

On October 8, 2010, Hempstead County Hunting Club, Inc., Willis and Patty Smith, Charles Turner, Donald and Mary Johnson, and Shultz Family Management Company submitted a filing to incorporate their Memorandum of Law and Reply to SWEPCO's Response to Memorandum of Law as their Opening Brief in this matter.

On October 11, 2010, Customers Advancing Responsible Rates, Inc. submitted an Application for Rehearing requesting the APSC to reconsider Order No. 17 which denied CARR's Petition to Intervene.

On October 21, 2010, the APSC General Staff submitted its response to Customers Advancing Responsible Rates, Inc.'s Application for Rehearing filed on October 8, 2010.

On October 29, 2010, Venita McCellon-Allen submitted Direct Testimony on behalf of SWEPCO.

On October 29, 2010, Paul Hassink submitted Supplemental Testimony on behalf of SWEPCO.

On October 29, 2010, Bob Tumilty submitted Supplemental Testimony on behalf of SWEPCO.

On October 29, 2010, George Carpenter submitted Supplemental Testimony on behalf of SWEPCO.

08-098-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Capability and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of a 345 kV Transmission Line Originating at the NW Texarkana Station Located Near Leary, Bowie County, Texas and Terminating at a Proposed Station to be Constructed at the John W. Turk, Jr. Generation Facility Located in Hempstead County, Arkansas

On September 23, 2010, the APSC issued Order No. 16 in 08-001-U, Order No. 19 in 08-002-U, and Order No. 10 in 08-098-U, which established the following procedural schedule:

- 1) October 8, 2010 (by Noon) - Legal briefs due;
- 2) October 22, 2010 (by Noon) - Reply legal briefs due;
- 3) October 29, 2010 (by Noon) - SWEPCO Supplemental Testimony due;
- 4) November 30, 2010 (by Noon) - Staff and Intervenor Rebuttal Testimony due;
- 5) December 17, 2010 (by Noon) - SWEPCO Surrebuttal Testimony due; and
- 6) January 18, 2011 - Evidentiary Hearing to begin at 9:00 AM in APSC Hearing Room 1.

On September 23, 2010, the APSC issued Order No.17 in 08-001-U, Order No. 20 in 08-002-U, and Order No. 11 in 08-098-U, which denied Customers Advancing Responsible Rates' Petition to Intervene.

On October 8, 2010, SWEPCO submitted its Initial Brief regarding the CECPNs issued in 08-001-U, 08-002-U and 08-098-U.

On October 8, 2010, Staff submitted its Initial Brief regarding the CECPNs issued in 08-001-U, 08-002-U and 08-098-U.

On October 8, 2010, Hempstead County Hunting Club, Inc., Willis and Patty Smith, Charles Turner, Donald and Mary Johnson, and Shultz Family Management Company submitted a filing to incorporate their Memorandum of Law and Reply to SWEPCO's Response to Memorandum of Law as their Opening Brief in this matter.

On October 11, 2010, Customers Advancing Responsible Rates, Inc. submitted an Application for Rehearing requesting the APSC to reconsider Order No. 17 which denied CARR's Petition to Intervene.

On October 21, 2010, the APSC General Staff submitted its response to Customers Advancing Responsible Rates, Inc.'s Application for Rehearing filed on October 8, 2010.

On October 29, 2010, Paul Hassink submitted Supplemental Testimony on behalf of SWEPCO.

On October 29, 2010, Bob Tumilty submitted Supplemental Testimony on behalf of SWEPCO.

On October 29, 2010, George Carpenter submitted Supplemental Testimony on behalf of SWEPCO.

On October 29, 2010, Venita McCellon-Allen submitted Direct Testimony on behalf of SWEPCO.

08-135-R

In the Matter of Amendments to the Rules of Practice and Procedure of the Arkansas Public Service Commission

Several parties submitted initial comments regarding Section 6 of RPPs.

On October 1, 2010, pursuant to Order No. 7, the APSC General Staff submitted its proposed revisions to the Commission Rules of Practice and Procedure general rate change application Minimum Filing Requirements.

Several parties submitted reply comments regarding Section 6 of RPPs.

On October 21, 2010, the APSC General Staff submitted a motion to amend the procedural schedule for electronic filing comments. Staff requested an extension for filing sur-reply comments from October 26 to October 29, 2010.

Several parties submitted initial comments concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements.

On October 25, 2010, the APSC issued Order No. 9, granting Staff's motion to extend the date for filing sur-reply comments to October 29, 2010.

08-136-U

In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On October 1, 2010, SPP filed the ICT's Third Quarterly Performance Report for 2010. This report covers the time period June 1, 2010 through August 31, 2010.

On October 18, 2010, SPP filed the September 2010 State of the Market Report pursuant to Order No. 2.

08-137-U

In the Matter of the Consideration of Innovative Approaches to Ratebase, Rate of Return Ratemaking Including, but not limited to, Annual Earnings Reviews, Formula Rates, and Incentive Rates for Jurisdictional Electric and Natural Gas Public Utilities

On October 1, 2010, SPP submitted notice that it will not be filing comments in response to Order No. 12.

Several parties submitted comments in response to Order No. 12.

On October 11, 2010, the APSC issued Order No. 13. The Commission directed Arkansas Oklahoma Gas Corporation, Arkansas Western Gas Company, CenterPoint Energy Arkansas Gas, The Empire District Electric Company, Entergy Arkansas, Inc., Oklahoma Gas & Electric Company, and Southwestern Electric Power Company to address in their reply comments their joint request for approval of an Lost Contribution to Fixed Cost ("LCFC") Mechanism, their comments regarding an appropriate mechanism for recovery of LCFC, and their illustrative model of an Energy Efficiency Cost Recovery ("EECR") Rider calculation.

On October 20, 2010, numerous parties submitted Reply Comments in response to Order Nos. 12 and 13.

On November 1, 2010, CenterPoint Energy submitted a letter to APSC requesting that the Schedule 9 originally filed with the Joint Comments be replaced with the attached illustrative Schedules 9a, 9b, and 9c.

08-144-U

In the Matter of a Notice of Inquiry regarding the Expanded Development of Sustainable Energy Resources in Arkansas

On October 14, 2010, the APSC issued a press release stating it will conduct a workshop on Friday, October 22, 2010, from 9:00 AM – 2:00 PM to discuss energy efficiency and

renewable energy resources in Arkansas Agriculture. The event will be held at the Arkansas Farm Bureau in Little Rock, located at 10720 Kanis Road.

09-128-U

In the Matter of the Application of Southwest Power Pool, Inc. for Authority to Issue up to \$150,000,000 in Secured and Non-Secured Promissory Notes

On October 28, 2010, SPP submitted a Notice FERC Errata Order. FERC issued an Errata to the May 19, 2010 Order in which it changed the authorization to allow for the Unsecured Notes having maturities of 3 to 40 years.

On November 1, 2010, Robert Daniel filed his Second Supplemental Direct Testimony on behalf of APSC General Staff regarding SPP's October 28, 2010 Notice of FERC Errata Order.

On November 2, 2010, the APSC issued Order No. 3 authorizing SPP to issue unsecured promissory notes in an aggregate principal amount not to exceed \$150 million with the requested maturity dates approved by FERC.

10-010-U

In the Matter of a Notice of Inquiry into Energy Efficiency ("EE NOI Docket")

On September 24, 2010, the APSC issued Order No. 7, asking parties to email a list of witnesses no later than October 1, 2010. The email should also include legal questions to be considered by the Commission as they relate to issues under consideration that they wish to address at the hearings. Any such issues must be briefed and filed by October 8, 2010.

The order also outlined the format of the hearings.

On September 30, 2010, the APSC General Staff submitted its report on Findings and Recommendations for Strengthening and Standardizing Energy Efficiency Reporting Regarding Issue 7 - Administration Costs and EE Reporting Needs.

On October 1, 2010, the APSC General Staff submitted Appendices A, B and C, which were inadvertently left out of the General Staff's Report and Recommendation filed on September 30, 2010.

Several parties submitted legal briefs pursuant to Order No. 7.

Public hearings were held on October 18-19, 2010.

10-011-U

In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets

On September 29, 2010, Entergy submitted a Petition for Clarification of Order No. 20.

On October 1, 2010, Entergy Arkansas and SPP submitted their joint response to Order No. 2 for the month of September. As of March 31, 2010, the Seams Agreement addressed all areas targeted for coordination through the ESI/SPP seams agreement. Entergy Transmission and SPP are actively pursuing timely implementation of the Seams Agreement.

On October 1, 2010, Hugh T. McDonald submitted Supplemental Direct Testimony on behalf of Entergy Arkansas, Inc.

Pursuant to Order No. 1, Mr. McDonald is required to file Supplemental Testimony on the first business day of each month detailing (a) Entergy's and EAI's progress toward the

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development of a new Entergy System Agreement and (b) the progress of the EAI stand-alone study.

Mr. McDonald discussed EAI's assessment of RTO options.

On October 1, 2010, in response to Order No. 11, SPP submitted its status report detailing:

- 1) the progress of the addendum Arkansas-only study, including any outstanding SPP or Charles River Associates ("CRA") data requests submitted to Entergy Corporation ("Entergy")/Entergy Arkansas, Inc. ("EAI") for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data; and
- 2) those discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Operating Company becoming a member of SPP.

On October 4, 2010, the Attorney General submitted a Second Set of Data Requests to Entergy Arkansas, Inc.

On October 6, 2010, EAI submitted its response to question APSC 1-2 of the First Set of Data Requests of the APSC.

On October 12, 2010, the APSC issued Order No. 23 providing additional information regarding the November 10, 2010, on-the-record technical conference. EAI is to pre-file its presentations in this docket by noon, October 29, 2010.

On October 19, 2010, Entergy submitted a letter indicating that the presentations EAI recently provided to the respective regulatory authorities concerning the initial Commitment, Operations and Dispatch Agreement ("CODA") were substantively identical to those attached to Hurstell's Supplemental Direct Testimony and, therefore, will not be filed separately with the APSC.

On October 29, 2010, Entergy submitted the agenda and materials to be presented at the November 10, 2010 on-the-record technical conference regarding successor arrangements.

On November 1, 2010, Entergy Arkansas and SPP submitted their joint response to Order No. 2 for the month of September. As of March 31, 2010, the Seams Agreement addressed all areas targeted for coordination through the ESI/SPP seams agreement. Entergy Transmission and SPP are actively pursuing timely implementation of the Seams Agreement.

On October 1, 2010, Hugh T. McDonald submitted Supplemental Direct Testimony on behalf of Entergy Arkansas, Inc.

Pursuant to Order No. 1, Mr. McDonald is required to file Supplemental Testimony on the first business day of each month detailing (a) Entergy's and EAI's progress toward the development of a new Entergy System Agreement and (b) the progress of the EAI stand-alone study.

Mr. McDonald discussed EAI's assessment of RTO options.

On November 1, 2010, in response to Order No. 11, SPP submitted its status report detailing:

- 1) the progress of the addendum Arkansas-only study, including any outstanding SPP or Charles River Associates ("CRA") data requests submitted to Entergy Corporation ("Entergy")/Entergy Arkansas, Inc. ("EAI") for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or

non-responsive or have refused to provide the requested response or data; and
2) those discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Operating Company becoming a member of SPP.

On November 1, 2010, SPP filed the Charles River and Associates Cost-Benefit Analysis of Entergy Arkansas, Inc. Joining the SPP RTO.

On November 1, 2010, SPP filed the Charles River and Associates Cost-Benefit Analysis of Entergy and Cleco Power Joining the SPP RTO.

10-041-U

In the Matter of the Application of Plains and Eastern Clean Line LLC for a Certificate of Public Convenience and Necessity to Operate as an Electric Transmission Public Utility in the State of Arkansas

On October 19, 2010, M. Shawn McMurray submitted testimony on behalf of the Arkansas Attorney General.

On October 19, 2010, the APSC General Staff and Arkansas Attorney General submitted their Pre-Hearing Briefs.

10-074-U

In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Environmental Compatibility and Public Need for the Construction, Ownership, Operation and Maintenance of the Proposed Flint Creek to Shipe Road Project and Associated Facilities to be Located in Benton County, Arkansas

On September 22, 2010, the APSC issued Order No. 4 granting Darren and Karen Horton's Petition to Intervene.

On October 4, 2010, Jennifer Jackson submitted Direct Testimony on behalf of SWEPCO in order to develop a customer impact estimate based on SWEPCO's CECPN Application filed in this proceeding.

On October 7, 2010, SWEPCO submitted a Motion for Permission to Publish Notice. It has come to SWEPCO's attention that publication in a newspaper with general circulation of the notice of intention to file the CECPN application was inadvertently omitted.

On October 8, 2010, Staff filed its Response to Motion for Permission to Publish Notice. Staff had no objection.

On October 8, 2010, APSC Staff filed a letter from the Arkansas Natural Resources Commission stating that there appears to be no objections to the Flint Creek to Shipe Road project.

On October 11, 2010, the APSC issued Order No. 5 which granted SWEPCO's Motion for Permission to Publish Notice filed on October 7, 2010.

On October 15, 2010, the APSC filed a letter from the Arkansas Department of Health which requested that the construction specifications for stream crossings be provided to their office for review if the decision is made to use the 161 kV transmission line segments 12, 20, 25, and 26.

On October 26, 2010, SWEPCO submitted Affidavit of Publication as approved by Order No. 5 issued on October 11, 2010.

FERC or State Jurisdiction: State of Louisiana

U-29702 **Application of Southwestern Electric Power Company ("SWEPCO") for Certification of Contracts for the Purchase of Capacity and to Purchase, Operate, Own and Install Peaking, Intermediate and Baseload Generating Facilities**

The Independent Monitor's Report on Arsenal Hill continues to be filed in this proceeding.

U-31509 **Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, LLC for Approval of Certain Proposed Modifications to the Independent Coordinator of Transmission ("ICT") Arrangement**

On September 22, 2010, Entergy filed the proposed Memorandum of Understanding between Entergy and the E-RSC Working Group concerning the proposed modifications to the ICT Arrangement.

On September 28, 2010, the LPSC issued a Report of Status Conference which was held on September 27, 2010. Matthew Brown, on behalf of Entergy, provided a summary of Entergy's application. During the conference, no intervenor voiced opposition to the proposed ICT modifications.

On October 11, 2010, Entergy submitted the following:

- 1) Memorandum of Understanding among Entergy Operating Companies, Entergy Services, Inc. and the Entergy Regional State Committee; and
- 2) Proposed Attachment X to the Entergy Open Access Transmission Tariff.

On October 11, 2010, Entergy submitted a corrected version of the Proposed Attachment X.

On October 12, 2010, Commission Staff filed its Staff's Report and Recommendation. Staff recommended that Entergy's application be approved.

U-28155 Subdocket A **Investigation of the Continued Participation of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, LLC in the Independent Coordinator of Transmission ("ICT") Arrangement, Potential Enhancements to the ICT, Potential Alternatives to the ICT, the Impact of Alternatives on LPSC Jurisdictional Ratepayers**

On September 22, 2010, Entergy submitted a copy of the filing made in FERC Docket No. ER10-2748, which requested an extension of the ICT Arrangement for an additional period of one year.

On October 11, 2010, Entergy submitted notice to the LPSC that it has filed for a two-year extension of the ICT Arrangement in FERC Docket No. ER10-2748.

FERC or State Jurisdiction: State of Missouri

EO-2011-0128 **In the Matter of the Application of Union Electric Company for Authority to Continue the Transfer of Functional Control of Its Transmission System to Midwest Independent Transmission System Operator, Inc.**

On November 1, 2010, Union Electric Company d/b/a Ameren Missouri submitted a Verified Application to Extend Permission and Authority for Participation in Regional Transmission Organization.

EX-2010-0169 **In the Matter of a Proposed Rulemaking Regarding Electric Utility Renewable Energy Standard Requirements**

On September 23, 2010, the MoPSC issued a Notice Closing Case. The rule becomes effective on September 30, 2010.

EX-2010-0368 **In the Matter of the Consideration and Implementation of Section 393.1075, the Missouri Energy Efficiency Investment Act**

On October 5, 2010, the MoPSC issued a Notice of Rulemaking Hearing. A hearing to regarding Electric Utility Demand-Side Programs is scheduled for December 20, 2010.

FERC or State Jurisdiction: State of New Mexico

10-00143-UT

In the Matter of the Petition of Lea County Electric Cooperative, Inc. ("LCEC") For Approval to Transfer Certain Transmission Assets Under the Southwest Power Pool, Inc. Open Access Transmission Tariff

On October 1, 2010, Lea County Electric Cooperative, Inc., the New Mexico Regulation Commission, and SPP filed an Uncontested Stipulation.

On October 1, 2010, Pat Bourne, on behalf of SPP, submitted Direct Testimony Supporting Uncontested Stipulation.

On October 1, 2010, Gary Hurse, on behalf of LCEC, submitted Supplemental Testimony Supporting Uncontested Stipulation.

On October 14, 2010, Jack D. Silder submitted Testimony in Support of the Stipulation on behalf of the NMPRC.

On October 15, 2010, Charles W. Gunter submitted Direct Testimony in Support of Uncontested Stipulation on behalf of the NMPRC.

FERC or State Jurisdiction: State of Texas

37344 Information Related to the Entergy Regional State Committee ("ERSC")

On September 30, 2010, the PUCT filed in this proceeding the cost-benefit analysis of Entergy and Cleco Power joining the SPP RTO.

On September 30, 2010, the PUCT filed in this proceeding the Final Presentation and Summary of Findings concerning the cost-benefit analysis of Entergy and Cleco Power joining the SPP RTO.

On October 14, 2010, Commissioner Anderson provided a memorandum to Chairman Smitherman and Commissioner Nelson in which Anderson discussed several issues to consider in relation to Entergy Texas, Inc ("ETI"). Anderson discussed he believes the Commission should consider if ETI should join an ISO/RTO, and to do that the Commission may want to consider authorizing one or more additional studies.

38708 Project to Investigate the Entergy Successor Arrangement ("ESA")

On September 22, 2010, the Staff of the Public Utility Commission of Texas announced it will hold an open meeting/workshop to discuss the Entergy Successor Arrangement, on October 7, 2010.

On September 30, 2010, Entergy submitted the agenda and presentations to be presented at the October 7, 2010 Open Meeting/Workshop.

Several parties submitted comments concerning whether Entergy Texas, Inc. should withdraw from the Entergy Successor Arrangement.