

State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	12/1/2010
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	12/1/2010
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	12/1/2010
State of Arkansas 10-074-U	SWEPCO Rebuttal Testimony due by 2 PM (Order No. 3 issued September 20, 2010)	12/3/2010
FERC AD11-1	Post-Technical Comments due concerning the November 18, 2010 Technical Conference to explore issues associated with reliability monitoring, enforcement and compliance, as announced in the Commission's order issued September 16, 2010 that accepted the North American Electric Reliability Corporation's initial assessment in Docket No. RR09-7-000 of its performance as the nation's Electric Reliability Organization (ERO), and performance by the Regional Entities, under their delegation agreements with the ERO (November 23, 2010 Notice Allowing Post-Technical Conference Comments)	12/9/2010
State of Arkansas 08-135-R	Reply comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	12/10/2010
FERC ER10-2748	Entergy's compliance filing due to modify proposed section 10.3 of the Entergy OATT (November 16, 2010 Order)	12/16/2010
State of Arkansas 10-074-U	Surrebuttal Testimony due by 2 PM (Order No. 3 issued September 20, 2010)	12/17/2010
State of Arkansas 08-001-U	SWEPCO Surrebuttal Testimony due by Noon (Order Nos. 16, 19 and 10 issued September 23, 2010)	12/17/2010
State of Arkansas 08-002-U	SWEPCO Surrebuttal Testimony due by Noon (Order Nos. 16, 19 and 10 issued September 23, 2010)	12/17/2010
State of Arkansas 08-098-U	SWEPCO Surrebuttal Testimony due by Noon (Order Nos. 16, 19 and 10 issued September 23, 2010)	12/17/2010
State of Missouri EX-2010-0368	Hearing regarding Electric Utility Demand-Side Programs begins at 10 AM (October 5, 2010 Notice of Rulemaking Hearing)	12/20/2010

FERC ER09-1254	SPP's compliance filing due to revise section 3.3.1 of the Generation Interconnection Procedures (November 18, 2010 Order on Compliance Filing)	12/20/2010
State of Missouri EO-2011-0134	Parties to complete a report detailing the costs and benefits of SPP membership for Empire District Electric Company (November 23, 2010 Order Opening an Investigation into Southwest Power Pool Cost Allocations and Cost Overruns)	12/31/2010
State of Missouri EO-2011-0134	Comments due concerning the issue of construction cost overruns as well as problems posed by novations (November 23, 2010 Order Opening an Investigation into Southwest Power Pool Cost Allocations and Cost Overruns)	12/31/2010
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	1/1/2011
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	1/1/2011
State of Arkansas 10-011-U	SPP file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Op Co becoming a member of SPP (Order No. 11 issued April 21, 2010)	1/1/2011
FERC RR10-11	NERC's compliance filing due: 1) the CMEP Agreements must be modified such that SERC will receive any monies from penalties against SPP and FRCC, and that there will be no offset to SPP's or FRCC's assessments from the ERO for such penalty monies; 2) the SERC-SPP and SERC-FRCC Regional Entity Delegation Agreements to be modified such that SERC will receive any monies from penalties against SPP and FRCC; and 3) NERC to submit a compliance filing consistent with FERC's determination in the December 1, 2010 Order (December 1, 2010 Order Granting Rehearing and Accepting Filing; August 12, 2010 Notice of Extension of Time; July 12, 2010 Order Conditionally Accepting Compliance Monitoring and Enforcement Program Agreements and Revised Delegation Agreements, and Ordering Compliance Filing)	1/10/2011
FERC RR10-7	NERC's compliance filing due: 1) the CMEP Agreements must be modified such that SERC will receive any monies from penalties against SPP and FRCC, and that there will be no offset to SPP's or FRCC's assessments from the ERO for such penalty monies; 2) the SERC-SPP and SERC-FRCC Regional Entity Delegation Agreements	1/10/2011

	to be modified such that SERC will receive any monies from penalties against SPP and FRCC; and 3) NERC to submit a compliance filing consistent with FERC's determination in the December 1, 2010 Order (December 1, 2010 Order Granting Rehearing and Accepting Filing; August 12, 2010 Notice of Extension of Time; July 12, 2010 Order Conditionally Accepting Compliance Monitoring and Enforcement Program Agreements and Revised Delegation Agreements, and Ordering Compliance Filing)	
State of Arkansas 10-011-U	Technical Conference begins at 9:30 AM in APSC Hearing Room No. 1 to discuss the CRA studies (Order No. 20 issued August 31, 2010)	1/11/2011
State of Missouri EO-2011-0134	Reply Comments due concerning the issue of construction cost overruns as well as problems posed by novations (November 23, 2010 Order Opening an Investigation into Southwest Power Pool Cost Allocations and Cost Overruns)	1/14/2011
State of Arkansas 08-135-R	Sur-Reply comments due concerning proposed Rules and Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	1/18/2011
State of Arkansas 08-002-U	Evidentiary Hearing begins at 9 AM in APSC Hearing Room No. 1 (Order Nos. 16, 19 and 10 issued September 23, 2010)	1/18/2011 9:00:00
State of Arkansas 08-098-U	Evidentiary Hearing begins at 9 AM in APSC Hearing Room No. 1 (Order Nos. 16, 19 and 10 issued September 23, 2010)	1/18/2011 9:00:00
State of Arkansas 08-001-U	Evidentiary Hearing begins at 9 AM in APSC Hearing Room No. 1 (Order Nos. 16, 19 and 10 issued September 23, 2010)	1/18/2011 9:00:00
FERC RM10-15	Comments due regarding NOPR issued in Mandatory Reliability Standards for Interconnection Reliability Operating Limits (November 18, 2010 Notice of Proposed Rulemaking)	1/24/2011
FERC RM10-16	Comments due in response to NOPR issued in System Restoration Reliability Standards (November 18, 2010 Notice of Proposed Rulemaking)	1/24/2011
FERC RM09-18	Effective date of Order No. 743, Revision to Electric Reliability Organization Definition of Bulk Electric System (Final Rule issued November 18, 2010)	1/25/2011
FERC RM09-25	Effective date of Order No. 742, System Personnel Training Reliability Standards (Final Rule issued November 18, 2010)	1/25/2011
State of Arkansas 10-011-U	Staff and Intervenors to file Direct Testimony by Noon regarding EAI's Post-ESA options (Order No. 20 issued August 31, 2010)	1/28/2011
State of Arkansas 08-135-R	Evidentiary hearing begins at 9:30 AM for consideration of the proposed revisions to the Rules and Practice and Procedure, the Secretary's Guidelines, and Minimum Filing Requirements (Order No. 7 issued April 1, 2010)	2/1/2011

State of Missouri EO-2011-0134	Comments due concerning the issue of how SPP selects projects to be built, including the issue of cost estimates and cost-benefit analysis (November 23, 2010 Order Opening an Investigation into Southwest Power Pool Cost Allocations and Cost Overruns)	2/4/2011
State of Arkansas 10-074-U	Public Hearing begins at 9:30 AM in APSC Hearing Room 1 (Order No. 3 issued September 20, 2010)	2/8/2011 9:30:00
FERC RR10-11	NERC's compliance filing due in order to modify the Pro Forma Delegation Agreement, revised Delegation Agreements, amendments to the NERC ROP, and certain amendments to the FRCC and MRO Bylaws (October 21, 2010 Order Conditionally Approving Revised Pro Forma Delegation Agreement, Revised Delegation Agreements with Regional Entities, Amendments to Rules of Procedure and Certain Regional Entity Bylaws)	2/18/2011
State of Missouri EO-2011-0134	Reply Comments due concerning the issue of how SPP selects projects to be built, including the issue of cost estimates and cost-benefit analysis (November 23, 2010 Order Opening an Investigation into Southwest Power Pool Cost Allocations and Cost Overruns)	2/18/2011
FERC ER06-451	SPP Demand Response Status Report Due	3/1/2011
State of Arkansas 10-011-U	EAI to file Rebuttal Testimony in response to the January 28, 2011 Direct Testimony concerning EAI's Post-ESA options (Order No. 20 issued August 31, 2010)	3/4/2011
FERC RM06-16	NERC's compliance filing due to modify the Transmission Planning Reliability Standard, TPL-002-0, to comply with the directives set forth in Order No. 693 (March 18, 2010 Order; June 11, 2010 Order Denying Rehearing and Granting Partial Clarification, Denying Request for Stay, and Granting an Extension of Time)	3/31/2011
FERC RM08-19	NERC Reliability Standards (Order No. 729) and NAESB business practices (Order No. 676-E) shall be implemented (Order No. 729-B issued July 15, 2010)	4/1/2011
FERC RM05-5	NERC Reliability Standards (Order No. 729) and NAESB business practices (Order No. 676-E) shall be implemented (Order No. 729-B issued July 15, 2010)	4/1/2011
State of Arkansas 10-011-U	EAI to file by Noon its final assessment and recommendations regarding each of the viable strategic reorganization options studied by EAI (Order No. 20 issued August 31, 2010)	4/22/2011
FERC 10-1178	FERC Form 582 due	4/30/2011
FERC ES09-25	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011

State of Arkansas 09-037-U	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2011
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2011
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2011
State of Arkansas 10-011-U	Staff and Intervenors to file by Noon Direct Testimony in response to EAI's Rebuttal Testimony and Final Assessment, and to offer recommendations on the five strategic reorganization options (Order No. 20 issued August 31, 2010)	6/22/2011
FERC RM10-13	Order No. 741 Compliance Filing Due (Final Rule in Credit Reforms in Organized Wholesale Electric Markets issued October 21, 2010)	6/30/2011
State of Arkansas 10-011-U	EAI to file by Noon Rebuttal Testimony in response to the Staff and Intervenor Direct Testimony concerning EAI Rebuttal Testimony and Final Assessment (Order No. 20 issued August 31, 2010)	7/15/2011
State of Arkansas 10-011-U	Staff and Intervenors to file Surrebuttal Testimony in response to EAI's Rebuttal Testimony (Order No. 20 issued August 31, 2010)	7/29/2011
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2011
State of Arkansas 10-011-U	EAI to file Sur-surrebuttal Testimony in response to the Staff and Intervenor Surrebuttal Testimony (Order No. 20 issued August 31, 2010)	8/5/2011
State of Arkansas 10-011-U	Evidentiary Hearing begins at 9:30 AM in APSC Hearing Room No. 1 (Order No. 20 issued August 31, 2010)	8/16/2011
FERC ER06-451	SPP Demand Response Status Report Due	9/1/2011
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company to file a pleading accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP during a recent twelve-month test period (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2011
State of Missouri EO-2006-0142	Kansas City Power & Light Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2011



Regulatory Outlook

FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2011
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2011
FERC ES10-11	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (January 15, 2010 Order)	1/15/2012
State of Arkansas 09-128-U	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (February 1, 2010 Order)	1/15/2012
State of Missouri EO-2006-0141	Empire District Electric Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2012
FERC ER06-451	SPP Demand Response Status Report Due	3/1/2012
FERC 10-1178	FERC Form 582 due	4/30/2012
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2012
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2012
FERC ER06-451	SPP Demand Response Status Report Due	9/1/2012
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2012
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file Interim Report regarding SPS' continued participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2013
FERC 10-1178	FERC Form 582 due	4/30/2013

FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	6/1/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2013
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2013
State of Missouri EO-2006-0142	Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2013
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2013
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2013
