

FERC or State Jurisdiction: FERC

10-1227 SPP Filing of FERC Form 1

On November 24, 2010, SPP submitted its FERC Form 3-Q, Quarterly Financial Report, for the third quarter of 2010.

AD11-1 Reliability Monitoring, Enforcement and Compliance Issues

On November 2, 2010, FERC issued an agenda for the November 18, 2010 Technical Conference.

On November 18, 2010, a Technical Conference was held to explore issues associated with reliability monitoring, enforcement and compliance, as announced in the Commission's order issued September 16, 2010 that accepted the North American Electric Reliability Corporation's initial assessment in Docket No. RR09-7-000 of its performance as the nation's Electric Reliability Organization ("ERO"), and performance by the Regional Entities, under their delegation agreements with the ERO.

On November 23, 2010, FERC issued a Notice Allowing Post-Technical Conference Comments. Comments are due December 9, 2010.

CE10-178 Edmundo O. Toro's Request for Critical Energy Infrastructure Information - PNE WIND USA, Inc.

On November 23, 2010, FERC issued a Notice of Intent to Release.

CE10-179 Clark Williams's Request for Critical Energy Infrastructure Information - City of Piedmont, Oklahoma

On November 10, 2010, FERC issued a Notice of Intent to Release.

CE10-186 Arthur Patrick's Request for Critical Energy Infrastructure Information - LS Power Development, LLC

On November 16, 2010, FERC issued a Notice of Intent to Release.

CE10-199 Paul McCoy's et. al Request for Critical Energy Infrastructure Information

On November 10, 2010, FERC issued a Notice of Intent to Release.

CE11-4.001 Tanya Bodell's et al. request for Critical Energy Infrastructure Information - FTI Consulting (Additional Requesters)

SPP submitted comments on this request November 10, 2010.

CE11-13 Raymond Czahar's Request for Critical Energy Infrastructure Information - Western Airnergy Partners Inc.

SPP submitted comments on this request November 11, 2010

CE11-14 Deral Danis's Request for Critical Energy Infrastructure Information - Clean Line Energy Partners, LLC

On October 12, 2010, Deral Danis, Manager of Transmission Planning of Clean Line Energy

Partners, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 2, 2010. The FERC notice was dated November 2, 2010, however, the original email from FERC was not received and a second email was sent on Friday, November 5, 2010 attaching the FERC notice

SPP submitted comments on this request November 9, 2010.

CE11-16

Nidhi J. Thankar's Request on behalf of Michael J. Schubiger for Critical Infrastructure Information - SESCO Enterprises, LLC

On October 21, 2010, Michael J. Schubiger, President and CEO of SESCO Enterprises, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 2, 2010.

Mr. Schubiger listed the areas for which he requests FERC Form No. 715 data and did not specifically mention SPP

SPP submitted comments on this request November 9, 2010.

CE11-17

Raissa D'Souza's Request for Critical Energy Infrastructure Information - University of California, Davis

On October 10, 2010, Raissa D'Souza, Associate Professor of University of California, Davis, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 2, 2010.

SPP submitted comments on this request November 9, 2010.

CE11-18

Willard Burns's Request for Critical Energy Infrastructure Information - Burns Law Firm on behalf of Energy Conservation Council of Pennsylvania and Environmental Defense Fund

SPP submitted comments on this request November 19, 2010.

CE11-19

Roger Treinen's Request for Critical Energy Infrastructure Information - Nexant

On October 22, 2010, Roger Treinen, Principal of Nexant, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 2, 2010.

SPP submitted comments on this request November 2, 2010.

CE11-21

Carol J. Miller's Request for Critical Energy Infrastructure Information

On October 28, 2010, Carol J. Miller, Professor at Wayne State University, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 2, 2010.

SPP submitted comments on this request November 9, 2010.

CE11-24

Anna Wood's Request for Critical Energy Infrastructure Information - BJ Energy LLC

On November 1, 2010, Anna Wood, Director of Market Relations of BJ Energy LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 8, 2010.

SPP submitted comments on this request November 15, 2010.

- CE11-25** **Steven Quade's Request for Critical Energy Infrastructure Information**
- On November 1, 2010, Steven Quade, Electrical Engineering Manager, Blattner Energy Inc., filed a FERC CEII request to obtain a copy of SPP's transmission map, specifically "SPP Executive Transmission Maps" as noticed November 8, 2010.
- SPP submitted comments on this request November 15, 2010
- CE11-27** **Request of Denise Benoit, et al. for Critical Energy Infrastructure Information - Energy Consulting on behalf of General Electric International, Inc.**
- SPP submitted comments on this request November 17, 2010.
- EL10-55** **Notice of Complaint, Louisiana Public Service Commission ("LPSC") v. Entergy Corporation, Entergy Services, Inc., Entergy Louisiana, LLC, Entergy Arkansas, Inc., Entergy Mississippi, Inc., Entergy New Orleans, Inc., Entergy Gulf States Louisiana, LLC, and Entergy Texas, Inc.**
- On November 10, 2010, Charles W. King, Lane Kollen, and Randy Futral submitted Rebuttal Testimony on behalf of the LPSC.
- On November 22, 2010, Entergy Services, Inc. filed a Joint Statement of Issues and Witness List.
- ER05-1065** **Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term**
- On November 12, 2010, Occidental filed comments in response to the ICT's Third Quarterly Performance Report for 2010.
- ER09-1050** **SPP Order 719 Compliance Filing**
- On November 10, 2010, FERC issued an Order Granting Rehearing for Further Consideration of the September 16, 2010 Order.
- On October 15, 2010, ISO New England filed a rehearing request in which it stated it seeks clarification or rehearing regarding the following:
- 1) The Commission's statements in paragraph 26 of the September 16 Order do not prohibit a market monitor, at its discretion, from communicating or collaborating with the board members, officers or other personnel of its ISO (or RTO), assuming that those persons are bound by appropriate, Commission-accepted confidentiality requirements, in the investigation of a suspected market violation or the preparation of a referral, or prohibit the market monitor from making the board members or other ISO personnel aware that a referral has been made; and
 - 2) The Commission's statements in paragraph 26 of the September 16 Order should be clarified as allowing a market monitor to collaborate or communicate with its ISO's board of directors and appropriate employees, and only as prohibiting the market monitor's disclosure to state officials or other persons outside an ISO of a referral of a market violation to Commission enforcement staff.
- ER09-1192** **SPP Submission of Revisions to Bylaws**
- On November 10, 2010, FERC issued an Order Granting Rehearing for Further Consideration

of the September 16, 2010 Order.

On October 15, 2010, ISO New England filed a rehearing request in which it stated it seeks clarification or rehearing regarding the following:

- 1) The Commission's statements in paragraph 26 of the September 16 Order do not prohibit a market monitor, at its discretion, from communicating or collaborating with the board members, officers or other personnel of its ISO (or RTO), assuming that those persons are bound by appropriate, Commission-accepted confidentiality requirements, in the investigation of a suspected market violation or the preparation of a referral, or prohibit the market monitor from making the board members or other ISO personnel aware that a referral has been made; and
- 2) The Commission's statements in paragraph 26 of the September 16 Order should be clarified as allowing a market monitor to collaborate or communicate with its ISO's board of directors and appropriate employees, and only as prohibiting the market monitor's disclosure to state officials or other persons outside an ISO of a referral of a market violation to Commission enforcement staff.

ER09-1254

SPP Submission of Revisions to Open Access Transmission Tariff to Reform Generation Interconnection Procedures ("Queue Reform Filing")

On November 18, 2010, FERC issued an order accepting SPP's January 19, 2010 compliance filing effective June 2, 2009, subject to an additional compliance filing.

The Commission directed SPP to revise section 3.3.1 in order to clarify:

- 1) an interconnection customer may make changes to its layout drawings in the preliminary queue stage (i.e. prior to entering the DISIS queue); and
- 2) once an interconnection request enters the DISIS queue, any subsequent change to the design of the generating facility as depicted in the layout drawing will be evaluated to determine whether the change constitutes a material modification under section 4.4.

The Commission further directed SPP to correct the URL for its business practices set forth in section 3.3.1.

SPP's compliance filing is due December 20, 2010.

ER10-355

American Electric Power Service Corporation ("AEP") Submission of a Formula Rate to Recover Costs of Investments in Transmission Facilities Owned by AEP Transmission Company, L.L.C. ("AEPTCo") Subsidiaries in PJM and in SPP RTO Regions

On November 9, 2010, FERC issued a Report of Settlement Judge on Offer of Settlement.

On November 10, 2010, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

ER10-1069

SPP Submission of Tariff Revisions to Adopt a "Highway/Byway" Regional Transmission Upgrade Cost Allocation Methodology

On November 18, 2010, the Joint Protesters filed a Motion for Official Notice. The parties requested that the Commission take official notice of the following documents:

- 1) Minutes of the October 25, 2010 meeting of the SPP Regional State Committee;
- 2) SPP staff presentation entitled "Priority Project Update" presented at the October 25, 2010

SPP Regional State Committee meeting;

3) Chermac Energy Corporation submittal to SPP Regional State Committee entitled "Priority Projects II Notice to Construct - Modifications 942 and 943 Comments";

4) Letter to Regional State Committee dated October 22, 2010 regarding recent developments affecting Priority Projects;

5. Minutes of the October 26, 2010 meeting of the SPP Board of Directors; and

6. SPP Staff recommendation to the SPP Board of Directors concerning Priority Projects, dated October 26, 2010.

ER10-1697

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Mid-Kansas Electric Company ("MKEC") as Host Transmission Owner

On November 19, 2010, Kansas Power Pool filed a Protest of the wholesale distribution charges included as part of the NITSA filed by SPP in this proceeding.

ER10-2608

Generator Interconnection Agreement ("GIA") between Union Wind, LLC ("Union Wind") as Interconnection Customer and KCP&L Greater Missouri Operations Company, Inc. ("KCPL-GMO") as Transmission Owner

On November 12, 2010, FERC issued a letter order rejecting the agreement.

FERC found that SPP has not shown how the non-conforming language in Appendix C is necessitated by operational or other concerns. FERC also found that this language appears to be at odds with section 13.5.2 of the GIA. FERC rejected the agreement and held that if the non-conforming language in Appendix C is removed, then the agreement should be reported in the Electric Quarterly Report only. However, if the parties want to retain the language in Appendix C, SPP must re-file the GIA with the appropriate justification.

ER10-2748

Entergy Services, Inc. ("ESI") Filing to Extend on an Interim Basis the Independent Coordinator of Transmission ("ICT") Arrangement for a Period of Two Years

On November 3, 2010, the Council of the City of New Orleans filed a Motion to Lodge in this proceeding the October 29, 2010 Audit Report assessing Entergy's compliance with its OATT.

On November 5, 2010, Entergy Services, Inc. filed an answer in response to the Council of the City of New Orleans' Motion to Lodge filed on November 3, 2010.

On November 8, 2010, the Council of the City of New Orleans submitted its response to Entergy's November 5, 2010 Answer.

On November 12, 2010, Union Power Partners, L.P. filed an answer in response to Entergy's October 27 and November 5, 2010 answers.

On November 12, 2010, the Louisiana Public Service Commission filed a Motion to Intervene Out-of-Time and comments.

The LPSC asked that FERC not implement at this time the changes requested by the Arkansas Public Service Commission and the City of New Orleans.

On November 16, 2010, FERC issued an Order Accepting Amended and Restated Agreement and Accepting in Part and Rejecting in Part Proposed Tariff Revisions to be effective November

17, 2010.

FERC accepted Entergy's proposal to extend the ICT arrangement. FERC stated "...we encourage and expect that Entergy will propose enhancements to the ICT that can be used either as a bridge to RTO membership or a fully-enhanced ICT."

FERC accepted Entergy's proposed revisions to sections 6.1, 6.1.1 and 6.2 of the ICT Agreement.

FERC accepted in part and rejected in part the two new sections concerning limited liability provisions and the recovery of indemnification costs:

- 1) Section 10.3 regarding limited liability is accepted, with certain modifications (Entergy is to remove the phrase "the Transmission Provider" so that this section applies only to the ICT).
- 2) FERC rejected Section 10.4 which contained the proposed indemnification cost recovery mechanism.

Entergy's compliance filing is due December 16, 2010.

On November 16, 2010, the Electric Power Supply Association filed a Motion for Leave to Comment Out of Time in Support of the Arkansas Public Service Commission.

ER10-2820

E.ON U.S. LLC ("E.ON") Submission of Tariff Revisions to Implement Interim Large and Small Generator Interconnection Service

On November 19, 2010, FERC issued an Order Conditionally Accepting Tariff Revisions, effective September 23, 2010.

The Commission directed E.ON to submit a compliance filing to correct a typographical error. Specifically, the header to Attachment A actually refers to Appendix 3, which is the Interconnection System Impact Study Agreement, rather than Appendix 7, which is the Interim Interconnection System Impact Study Agreement.

E.ON's compliance filing is due December 20, 2010.

ER10-2845

Network Integration Transmission Service Agreement ("NITSA") between SPP, Northeast Texas Electric Cooperative, Inc. ("NTEC") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")

On November 15, 2010, FERC issued a letter order accepting the agreement, effective January 1, 2010 as requested.

This order constitutes final agency action.

ER10-3207

Third Amended Interchange Agreement (also known as the "OAM" agreement) between Associated Electric Cooperative, Inc. ("AECI"), The Empire District Electric Company ("Empire"), Grand River Dam Authority ("GRDA"), Southwestern Electric Power Company ("SWEPCO") and Board of Public Utilities of Springfield, MO ("BPU")

On November 23, 2010, FERC issued a letter order accepting the agreement, effective November 29, 2010 as requested.

This order constitutes final agency action.

ER10-3213

Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Oklahoma Municipal Power Authority ("OMPA") as Transmission Customer

On November 18, 2010, FERC issued a letter order accepting the agreement, effective September 1, 2010 as requested.

This order constitutes final agency action.

ER11-10

Two Executed Firm Point-To-Point Transmission Service Agreements between SPP as Transmission Provider and Kansas City Power and Light Company ("KCPL") as Transmission Customer

On November 15, 2010, FERC issued a letter order accepting the agreements, effective September 1, 2010 as requested.

This order constitutes final agency action.

ER11-11

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Grand River Dam Authority ("GRDA") as Network Customer and Host Transmission Owner

On November 18, 2010, FERC issued a letter order accepting the agreement, effective September 1, 2010 as requested.

This order constitutes final agency action.

ER11-112

Oklahoma Gas & Electric Company Submission of a Proposal to Implement Two Transmission Rate Incentives in Accordance with Federal Power Act Section 219 and Order No. 679

On November 2, 2010, SPP submitted a Motion to Intervene.

On November 4, 2010, American Electric Power Service Corporation filed a Motion to Intervene Out-of-Time.

On November 10, 2010, Arkansas Electric Cooperative Corporation filed a Motion to Intervene Out-of-Time.

ER11-119

SPP Submission of Ministerial Filing to Reflect Bylaws Language, Accepted in Docket No. ER09-1192, in the Electronic Version of SPP's Bylaws

On November 30, 2010, FERC issued an order accepting the ministerial revisions to SPP's Bylaws and Tariff.

This order constitutes final agency action.

ER11-120

SPP Submission of Ministerial Filing to Reflect Order No. 719 Tariff Language, Accepted in Docket Nos. ER09-1050 and ER09-1192, in SPP's Electronic Tariff

On November 30, 2010, FERC issued an order accepting the ministerial revisions to SPP's Bylaws and Tariff.

This order constitutes final agency action.

ER11-126

SPP Submission of Tariff Revisions to Eliminate Market Monitor Data Retention Auditing Requirement

On November 5, 2010, Xcel Energy Services, Inc. filed a Motion to Intervene.

On November 30, 2010, FERC issued an order accepting the tariff revisions to eliminate a requirement that the independent market monitor audit SPP Market Participant compliance with data retention policies.

An effective date of December 14, 2010 was granted.

This order constitutes final agency action.

ER11-1923

Entergy Services, Inc. Submission of Tariff Revisions to Incorporate Authority Granted to the Entergy Regional State Committee ("E-RSC")

On November 17, 2010, the East Texas Cooperatives filed a Motion to Intervene and comments in support of Entergy's October 27, 2010 filing.

Arkansas Electric Cooperative Corporation, Council of the City of New Orleans, NRG Companies, and South Mississippi Electric Power Association filed Motions to Intervene.

On November 17, 2010, Union Power Partners, L.P. filed a Motion to Intervene and comments in response to Entergy's October 27, 2010 filing.

Union Power stated that nothing in the filing or the proposed Attachment X should be construed as eliminating the right of stakeholders to raise issues at FERC with respect to transmission service on the Entergy transmission system, even if those issues are raised in the context of E-RSC action under the proposed Attachment X.

On November 17, 2010, the Public Utility Commission of Texas submitted a Notice of Intervention and comments in support of Entergy's October 27, 2010 filing.

On November 18, 2010, the Louisiana Public Service Commission filed a Motion to Intervene Out-of-Time.

On November 19, 2010, the Arkansas Public Service Commission filed a Motion to Intervene Out-of-Time.

On November 22, 2010, GDF SUEZ Energy Marketing NA, Inc. filed a Motion to Intervene Out-of-Time.

On November 23, 2010, the L-M Municipals filed a Motion to Intervene Out-of-Time.

ER11-2071

SPP Submission of Tariff Revisions to Implement a Rate Change for Oklahoma Municipal Power Authority ("OMPA")

On November 10, 2010, SPP submitted revisions to its OATT to implement a rate change for Oklahoma Municipal Power Authority, which is a transmission owning member of SPP with revenue requirements in the American Electric Power and Oklahoma Gas & Electric pricing

zones under the SPP Tariff. Specifically, this filing is to incorporate the revenue requirements for recently transferred facilities into OMPA's revenue requirement that is collected under the SPP Tariff.

An effective date of June 1, 2010 was requested.

On November 19, 2010, SPP submitted an errata filing to correct the proposed revisions to Attachment H and T and OMPA's cost support exhibits which were submitted in the November 10, 2010 filing.

On November 19, 2010, Oklahoma Municipal Power Authority filed a Motion to Intervene.

ER11-2101

SPP Submission of Revisions to its Membership Agreement to Modify Transmission Owner Definition

On November 12, 2010, SPP submitted revisions to its Membership Agreement to modify the definition of Transmission Owner to facilitate participation in construction and ownership of transmission facilities in SPP by entities that do not qualify under the current definition.

Specifically, SPP modified the definition of Transmission Owner to include:

- 1) an entity assigned by SPP to construct and the entity accepted the obligation to construct new Tariff facilities; or
- 2) an entity that undertakes another Transmission Owner's obligation to construct Tariff Facilities in accordance with Section 3.3(c) of the Membership Agreement and Attachment O of the SPP OATT.

An effective date of January 12, 2011 was requested.

On November 17, 2010, Electric Transmission America, LLC filed a Motion to Intervene.

ER11-2103

SPP Submission of Revisions to its Open Access Transmission Tariff ("OATT") to Modify Transmission Owner Definition

On November 12, 2010, SPP submitted revisions to its OATT to modify the definition of Transmission Owner to facilitate participation in construction and ownership of transmission facilities in SPP by entities that do not qualify under the current definition.

Specifically, SPP modified the definition of Transmission Owner to include entities that have accepted from SPP an assignment (notification to construct pursuant to Attachment O) to build and own transmission facilities but does not yet own transmission facilities under SPP's functional control.

An effective date of January 12, 2011 was requested.

On November 17, 2010, Electric Transmission America, LLC filed a Motion to Intervene.

ER11-2147

Large Generator Interconnection Agreement ("LGIA") between Kansas City Power & Light Company ("KCPL") as Interconnection Customer and Transmission Owner

On November 18, 2010, SPP submitted an executed LGIA between SPP as Transmission Provider and Kansas City Power & Light Company as both Interconnection Customer and Transmission Owner. SPP Service Agreement No. First Revised 1522.

An effective date of October 20, 2010 was requested.

On November 23, 2010, SPP submitted an errata filing to include a copy of the Letter Agreement entered into between SPP and KCPL. The Letter Agreement was inadvertently not included in the November 18, 2010 filing.

ER11-2188

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Southwestern Public Service Company ("SPS") as Network Customer and Host Transmission Owner

On November 24, 2010, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Southwestern Public Service Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1910.

An effective date of October 29, 2010 was requested.

ER11-2190

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Southwestern Public Service Company ("SPS") as Network Customer and Host Transmission Owner

On November 24, 2010, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Southwestern Public Service Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1139.

An effective date of November 1, 2010 was requested.

ER11-2194

SPP Submission of Revisions to OATT to Implement Rate Changes for Public Service Company of Oklahoma ("PSO") and Southwestern Electric Power Company ("SWEPCO")

On November 29, 2010, SPP submitted revised pages to its OATT to implement rate changes for Public Service Company of Oklahoma and Southwestern Electric Power Company, which are transmission owners under the SPP Tariff. Specifically, this filing tracks the rate update calculated by American Electric Power Service Corporation ("AEP") on behalf of PSO and SWEPCO, based on AEP's transmission cost of service formula rate.

An effective date of July 1, 2010 was requested.

ER11-2198

SPP Submission of Revisions to OATT to Implement Rate Changes for AEP Southwestern Transmission Company, Inc. and AEP Oklahoma Transmission Co., Inc. ("AEP West Transmission Companies")

On November 29, 2010, SPP submitted revised pages to its OATT to implement rate changes for AEP Southwestern Transmission Company, Inc. and AEP Oklahoma Transmission Co., Inc. ("AEP West Transmission Companies"), which are affiliates of American Electric Power Service Corporation ("AEP"), a transmission owner under the SPP Tariff. Specifically, this filing implements the transmission formula rate included in the Offer of Settlement filed by AEP on behalf of the AEP West Transmission Companies in Docket No. ER10-355 on September 24, 2010.

An effective date of July 1, 2010 was requested.

ER11-2205

SPP Submission of Revisions to OATT to Implement Rate Changes for Lincoln Electric System ("LES") and Omaha Public Power District ("OPPD")

On November 30, 2010, SPP submitted revised pages to its OATT to implement rate changes for Lincoln Electric System and Omaha Public Power District, which are transmission owners under the SPP Tariff. Specifically, this filing tracks the rate updates calculated by LES and OPPD based on their respective transmission cost of service formula rates.

An effective date of August 1, 2010 was requested.

ER11-2220

SPP Submission of Revisions to OATT to Implement Rate Changes for Grand River Dam Authority ("GRDA")

On November 30, 2010, SPP submitted revised pages to its OATT to implement rate changes for Grand River Dam Authority, which is a transmission owner under the SPP Tariff. This is a ministerial filing to incorporate the transmission formula rate adopted by GRDA's Board of Directors on February 10, 2010.

An effective date of August 1, 2010 was requested.

ES10-11

SPP Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities (\$150 million)

On November 19, 2010, SPP submitted a Report of Securities issued on October 28, 2010.

OA08-59

Entergy Services, Inc. Order No. 890 Attachment K Compliance Filing

On November 10, 2010, FERC issued an order accepting Entergy's June 1, 2010 Compliance Filing.

PA11-2

FERC Audit of SPP's Responsibilities and Performance as a Regional Entity ("RE")

On November 2, 2010, FERC provided notice it is commencing an audit of SPP.

The audit will address SPP's responsibilities and performance as a Regional Entity (RE). The audit will determine whether SPP is operating in compliance with:

- 1) the SPP Bylaws;
- 2) the Delegation Agreement between the North American Electric Reliability Corporation and SPP, Inc., and the conditions included in the Delegation Order;
- 3) the SPP Membership Agreement; and
- 4) other obligations and responsibilities as approved by the Commission.

Additionally, the audit will evaluate the RE's independence from SPP's function as a Regional Transmission Organization. The audit will cover the period from January 1, 2009 through the present.

RM06-16

Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006

On November 30, 2010, NERC submitted its third quarter 2010 compliance filing in response to Paragraph 629 of Order No. 693 and Request to Terminate Compliance Filing Obligation.

RM09-18 **Revision to Electric Reliability Organization ("ERO") Definition of Bulk Electric System**

On November 18, 2010, FERC issued Order No. 743, Final Rule regarding Revision to Electric Reliability Definition of Bulk Electric System.

FERC adopted, with modifications, the proposal outlined in its March 18, 2010 NOPR to require the Electric Reliability Organization (ERO) to revise its definition of the term "bulk electric system." The Commission directed the ERO, through the ERO's Reliability Standards Development Process, to revise the definition to address the Commission's technical concerns, as discussed in the Final Rule, and ensure that the definition encompasses all facilities necessary for operating an interconnected electric transmission network.

This rule is effective January 25, 2011.

RM09-25 **System Personnel Training Reliability Standards**

On November 18, 2010, FERC issued Order No. 742, final rule concerning System Personnel Training Reliability Standards. The Commission adopted the NOPR proposal and approved Reliability Standards PER-004-2 (Reliability Coordination - Staffing) and PER-005-1 (System Personnel Training) .

This rule is effective January 25, 2011.

RM10-11 **Notice of Inquiry Regarding Integration of Variable Energy Resources ("VER")**

On November 18, 2010, FERC issued a Notice of Proposed Rulemaking which proposes to reform the pro forma Open Access Transmission Tariff to remove unduly discriminatory practices and to ensure just and reasonable rates for Commission-jurisdictional services.

Accordingly, the Proposed Rule would:

- 1) require public utility transmission providers to offer intra-hourly transmission scheduling;
- 2) incorporate provisions into the pro forma Large Generator Interconnection Agreement requiring interconnection customers whose generating facilities are variable energy resources to provide meteorological and operational data to public utility transmission providers for the purpose of power production forecasting; and
- 3) add a generic ancillary service rate schedule through which public utility transmission providers will offer regulation service to transmission customers delivering energy from a generator located within the transmission provider's balancing authority area.

FERC believes the proposed reforms will remove barriers to the integration of variable energy resources.

Comments are due 60 days after publication in the Federal Register.

RM10-13 **Notice of Proposed Rulemaking: Credit Reforms in Organized Wholesale Electric Markets**

On November 19, 2010, the Cities of Anaheim, Azusa, Banning, Colton, Pasadena, and Riverside, California submitted a Request for Rehearing and Clarification of Order No. 741 issued on October 21, 2010.

Several parties submitted Requests for Rehearing and Clarification of Order No. 741, the Final Rule issued on October 21, 2010.

On November 22, 2010, New York Independent System Operator, Inc. submitted a Request for Clarification or, in the Alternative, for Rehearing and Request for Expedited Action.

NYISO seeks clarification that the Commission, when using the terms "net exposure" and "gross obligations," is referring to the net exposure or gross obligations across product or service categories and is not referring to a customer's positions within product or service categories.

RM10-15

Mandatory Reliability Standards for Interconnection Reliability Operating Limits

On November 18, 2010, FERC issued a Notice of Proposed Rulemaking in which the Commission proposes to approve three new Interconnection Reliability Operations and Coordination Reliability Standards and seven revised Reliability Standards related to Emergency Preparedness and Operations, Interconnection Reliability Operations and Coordination, and Transmission Operations.

The three new Reliability Standards proposed by NERC are designated as IRO-008-1 (Reliability Coordinator Operational Analyses and Real-time Assessments), IRO-009-1 (Reliability Coordinator Actions to Operate Within IROs), and IRO-010-1a3 (Reliability Coordinator Data Specification and Collection).

Comments are due January 24, 2011.

RM10-16

System Restoration Reliability Standards

On November 18, 2010, FERC issued a Notice of Proposed Rulemaking in which the Commission proposes to approve Reliability Standards EOP-001-1 (Emergency Operations Planning), EOP-005-2 (System Restoration from Blackstart Resources), and EOP-006-2 (System Restoration Coordination) submitted to the Commission by the North American Electric Reliability Corporation, the Electric Reliability Organization (ERO) certified by the Commission.

Comments are due January 24, 2011.

RM10-22

Promoting a Competitive Market for Capacity Reassignments

On November 4, 2010, Bonneville Power Administration filed an answer in response to the Request for Clarification filed by Portland General Electric Company.

Bonneville requested that the Commission deny PGE's request for clarification that its market-based rate authority for capacity reassignments on Bonneville's transmission system applies to the pricing of reassignments after October 1, 2010.

RM10-23

Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities

On November 12, 2010, the ISO/RTO Council submitted Reply Comments.

The IRC stated:

- 1) the Commission should not expand the potentially eligible public policies to those

developed by bodies other than State or Federal legislative and Executive authorities; and
2) the Commission should not require insertion of a new "Independent Evaluator" into the ISO/RTO transmission planning process.

Numerous entities submitted reply comments.

RM11-2

Smart Grid Interoperability Standards

On November 5, 2010, FERC issued a Supplemental Notice of Technical Conference which includes an agenda for the meeting.

A technical conference was held on November 14, 2010, to discuss Smart Grid Interoperability Standards.

RR10-7

NERC Petition for Approval of Compliance Monitoring and Enforcement Agreements Between SERC Reliability Corporation, Florida Reliability Coordinating Council and Southwest Power Pool Regional Entity and Related Amendments to Delegation Agreements

On December 1, 2010, FERC issued an Order Granting Rehearing and Accepting Filing.

FERC granted the August 6, 2010 rehearing request filed by NERC, SERC, FRCC, and the SPP Regional Entity. NERC should submit a compliance filing consistent with this determination by January 10, 2011.

In addition, the Commission accepts the proposed changes to Section 9(j) and Section 5 of Exhibit E of the pro forma Delegation Agreement, which pertain to the treatment of penalty monies.

RR10-11

NERC Petition for Approval of Revised Pro Forma Delegation Agreement, Revised Delegation Agreements with the Eight Regional Entities, Amendments to the NERC Rules of Procedure, and Amendments to the Bylaws of Several of the Regional Entities

On November 22, 2010, Nebraska Public Power District filed an Application for Rehearing of the October 21, 2010 Order.

NPPD listed the following issues:

- 1) The Commission erred by failing to adopt standards for reviewing requests to transfer that are consistent with Section 215 of the F.P.A. *Burlington Truck Lines, Inc. v. United States*, 371 U.S. 156 (1962);
- 2) The Commission erred by failing to provide a reasoned explanation for rejecting NPPD's argument that the proposed standards are unreasonably biased towards consideration of the financial impact of the transfer on the Regional Entity budgets and assessments. *NorAm Gas Transmission Co. v. FERC*, 148 F.3d 1158 (D.C. Cir. 1990); and
- 3) The Commission erred by failing to direct NERC to amend its proposed Rules of Procedure to implement the Commission's conclusion that registered entities have adequate due process to the extent that they have the right to appeal adverse decisions to the Commission. *Motor Vehicle Manufacturer's Ass'n, et al v. State Farm Mutual Automobile Insurance Co., et al.*, 463 U.S. 29 (1983).

On December 1, 2010, FERC issued an Order Granting Rehearing and Accepting Filing.

FERC granted the August 6, 2010 rehearing request filed by NERC, SERC, FRCC, and the

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SPP Regional Entity. NERC should submit a compliance filing consistent with this determination by January 10, 2011.

In addition, the Commission accepts the proposed changes to Section 9(j) and Section 5 of Exhibit E of the pro forma Delegation Agreement, which pertain to the treatment of penalty monies.

FERC or State Jurisdiction: State of Arkansas

08-001-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Capability and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of a 138 kV Transmission Line Originating at the Southeast Texarkana Station Located in Texarkana, Arkansas and Terminating at a Proposed Station to be Constructed at the John W. Turk, Jr. Generation Facility Located in Hempstead County, Arkansas and for the Proposed Turk Station and Related Facilities

On November 5, 2010, the APSC issued Order No. 18 in 08-001-U, Order No. 21 in 08-002-U, and Order No. 12 in 08-098-U. This is an Order on Rehearing/Clarification addressing the Hempstead County Hunting Club's October 6, 2010 Application for Rehearing or Clarification seeking reconsideration of the Commission's joint procedural order issued September 23, 2010.

On November 10, 2010, the APSC issued Order No. 19 in 08-001-U, Order No. 22 in 08-002-U, and Order No. 13 in 08-098-U. The APSC denied the application for rehearing filed October 11, 2010, by Customers Advancing Responsible Rates, Inc. ("CARR"). The APSC had denied CARR's petition to intervene in these proceedings on September 23, 2010.

On November 12, 2010, Willis B Smith, Jr. and Patty F. Smith, Intervenors, submitted their first set of interrogatories and requests for production of documents to SPP.

On November 12, 2010, Willis B Smith, Jr. and Patty F. Smith, Intervenors, submitted their first set of interrogatories and requests for production of documents to SWEPCO.

On November 29, 2010, SPP submitted responses to Intervenor's, Willis B Smith, Jr. and Patty F. Smith, First Set of Interrogatories and Requests for Production of Documents.

08-002-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Capability and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of a 138 kV Transmission Line Originating at the Sugar Hill Station Located Near Texarkana, Arkansas and Terminating at a Proposed Station to be Constructed at the John W. Turk, Jr. Generation Facility Located in Hempstead County, Arkansas and for the Proposed Turk Station and Related Facilities

On November 5, 2010, the APSC issued Order No. 18 in 08-001-U, Order No. 21 in 08-002-U, and Order No. 12 in 08-098-U. This is an Order on Rehearing/Clarification addressing the Hempstead County Hunting Club's October 6, 2010 Application for Rehearing or Clarification seeking reconsideration of the Commission's joint procedural order issued September 23, 2010.

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first set of interrogatories and requests for production of documents to SWEPCO.

On November 29, 2010, SPP submitted responses to Intervenor's, Willis B Smith, Jr. and Patty F. Smith, First Set of Interrogatories and Requests for Production of Documents.

08-098-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Capability and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of a 345 kV Transmission Line Originating at the NW Texarkana Station Located Near Leary, Bowie County, Texas and Terminating at a Proposed Station to be Constructed at the John W. Turk, Jr. Generation Facility Located in Hempstead County, Arkansas

On November 5, 2010, the APSC issued Order No. 18 in 08-001-U, Order No. 21 in 08-002-U, and Order No. 12 in 08-098-U. This is an Order on Rehearing/Clarification addressing the Hempstead County Hunting Club's October 6, 2010 Application for Rehearing or Clarification seeking reconsideration of the Commission's joint procedural order issued September 23, 2010.

On November 10, 2010, the APSC issued Order No. 19 in 08-001-U, Order No. 22 in 08-002-U, and Order No. 13 in 08-098-U. The APSC denied the application for rehearing filed October 11, 2010, by Customers Advancing Responsible Rates, Inc. ("CARR"). The APSC had denied CARR's petition to intervene in these proceedings on September 23, 2010.

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On November 29, 2010, SPP submitted responses to Intervenor's, Willis B Smith, Jr. and Patty F. Smith, First Set of Interrogatories and Requests for Production of Documents.

08-135-R

In the Matter of Amendments to the Rules of Practice and Procedure ("RPPs") of the Arkansas Public Service Commission

On November 15, 2010, the APSC issued Order No. 10 approving the new RPPs and the Electronic Filing User's Agreement related to the electronic filings process to be implemented on January 3, 2011.

08-136-U

In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On November 16, 2010, SPP filed the October 2010 State of the Market Report pursuant to Order No. 2.

08-137-U

In the Matter of the Consideration of Innovative Approaches to Ratebase, Rate of Return Ratemaking Including, but not limited to, Annual Earnings Reviews, Formula Rates, and Incentive Rates for Jurisdictional Electric and Natural Gas Public Utilities

On November 9, 2010, numerous parties filed post-hearing legal briefs.

On November 12, 2010, several parties filed post-hearing legal reply briefs.

- 09-128-U** **In the Matter of the Application of Southwest Power Pool, Inc. for Authority to Issue up to \$150,000,000 in Secured and Non-Secured Promissory Notes**
- On November 2, 2010, the APSC issued Order No. 3 authorizing SPP to issue unsecured promissory notes in an aggregate principal amount not to exceed \$150 million with the requested maturity dates approved by FERC.
- On November 19, 2010, SPP submitted a copy of a loan agreement dated October 28, 2010, pursuant to Order No. 1.
- 10-010-U** **In the Matter of a Notice of Inquiry into Energy Efficiency ("EE NOI Docket")**
- Several parties submitted post-hearing briefs.
- 10-011-U** **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**
- On November 5, 2010, APSC Staff submitted the presentation which was given by MISO during Staff's educational tour of MISO's operations center and related facilities on November 1, 2010.
- On November 10, 2010, Entergy held a technical conference to discuss the draft ESA successor arrangement filed by EAI.
- On November 10, 2010, SPP submitted a Motion for Protective Order of Non-Disclosure.
- On November 10, 2010, the APSC issued Order No. 24, a subpoena to Entergy Arkansas, Inc. to file a copy of the United States Department of Justice Civil Investigate Demand by November 15, 2010.
- On November 10, 2010, the APSC issued Order No. 25, which summarizes the October 29, 2010 FERC Audit Report Findings and Recommendations and invites the parties to address the FERC Audit Report in their Direct Testimonies due to be filed January 28, 2011.
- On November 16, 2010, Entergy Arkansas, Inc. filed its response to Order No. 24 and Supplemental Motion for Protective Order.
- EAI objected to Order No. 24, but is agreeable to producing the Department of Justice Civil Investigate Demand pursuant to appropriate protections as set forth in Exhibit D of their November 16, 2010 filing. EAI requested a stay of Order No. 24 pending the entry of an appropriate Protective Order.
- On November 29, 2010, SPP submitted an Amended Motion for Protective Order of Non-Disclosure.
- 10-036-U** **In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Public Convenience and Necessity for the Construction, Ownership, Operation and Maintenance of Two Transmission Lines at the Proposed Station to be Constructed at the John W. Turk, Jr. Generation Facility Located in Hempstead County, Arkansas and Terminating at the Existing Okay to Hope Transmission Line and Related Facilities**
- On November 23, 2010, the APSC issued Order No. 4 granting SWEPCO's CCN Application

to construct and operate two new transmission lines and related facilities to connect the existing Okay to Hope transmission line to the Turk Station.

10-041-U

In the Matter of the Application of Plains and Eastern Clean Line LLC for a Certificate of Public Convenience and Necessity to Operate as an Electric Transmission Public Utility in the State of Arkansas

On November 9, 2010, Michael Skelly submitted Rebuttal Testimony on behalf of Plains and Eastern Clean Line LLC.

On November 9, 2010, Plains and Eastern Clean Line LLC submitted a Reply Brief.

10-074-U

In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Environmental Compatibility and Public Need for the Construction, Ownership, Operation and Maintenance of the Proposed Flint Creek to Shipe Road Project and Associated Facilities to be Located in Benton County, Arkansas

On November 17, 2010, Darren Horton submitted Direct Testimony on behalf of Darren L. Horton and Karen A. Horton, Intervenors.

FERC or State Jurisdiction: State of Missouri

EO-2011-0128

In the Matter of the Application of Union Electric Company for Authority to Continue the Transfer of Functional Control of Its Transmission System to Midwest Independent Transmission System Operator, Inc.

On November 2, 2010, the MoPSC issued an Order Providing Notice and Establishing Intervention Deadline.

Deadline to intervene is November 24, 2010.

On November 23, 2010, SPP filed an Application to Intervene.

EO-2011-0134

In the Matter of an Investigation Into Southwest Power Pool Cost Allocations and Cost Overruns

On November 8, 2010, the MoPSC issued a Notice Opening File.

On November 23, 2010, the MoPSC issued an Order Opening an Investigation into Southwest Power Pool Cost Allocations and Cost Overruns. This case is established to investigate the Southwest Power Pool's process for selecting projects including cost estimates and cost-benefit analysis, the issue of novations and what to do about construction cost overruns for new transmission projects. The Commission's goal is to finish the investigation by June 1, 2011, and to make any recommendations for improving the process to the SPP Regional State Committee ("RSC") and SPP.

The following procedural dates were established:

December 31, 2010 - Parties to complete a report detailing the costs and benefits of SPP membership for Empire District Electric Company;

December 31, 2010 - Comments due concerning the issue of construction cost overruns as well as problems posed by novations;

January 14, 2011 - Reply Comments due concerning the issue of construction cost overruns as well as problems posed by novations;

February 4, 2011 - Comments due concerning the issue of how SPP selects projects to be built, including the issue of cost estimates and cost-benefit analysis; and

February 18, 2011 - Reply Comments due concerning the issue of how SPP selects projects to be built, including the issue of cost estimates and cost-benefit analysis.



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FERC or State Jurisdiction: State of New Mexico

10-00143-UT

In the Matter of the Petition of Lea County Electric Cooperative, Inc. ("LCEC") For Approval to Transfer Certain Transmission Assets Under the Southwest Power Pool, Inc. Open Access Transmission Tariff

A public hearing was held on November 3, 2010.

FERC or State Jurisdiction: State of Texas

38906

PUC Proceeding on Transmission Projects and Costs in the Southwest Power Pool

On November 9, 2010, Chairman Smitherman of the PUCT issued a memorandum concerning recent developments involving SPP and the selection and funding of interstate electric transmission projects. Chairman Smitherman proposed the Commission open a project to receive comments from interested parties on how the Commission or SPP rules should address his concerns in order to limit the burden on Texas ratepayers.

On November 19, 2010, the PUCT issued a Request for Comments on Transmission Projects and Costs in the Southwest Power Pool.

Initial comments are due December 20, 2010. Reply comments are due December 28, 2010.