

SPP Tariff/Governing Document Revisions		
Docket Number	Short Description	Summary
ER08-1419	SPP Balanced Economic Portfolio Filing	There was no activity in this proceeding during the fourth quarter of 2010.
ER09-1050 and ER11-121	SPP Order 719 Compliance	<p>On October 15, 2010, in FERC Docket No. ER11-121, SPP submitted its compliance filing pursuant to Order No. 719 and the September 16, 2010 Order issued in Docket No. ER09-1050. An effective date of February 18, 2010 was requested.</p> <p>On October 21, 2010, FERC issued an order accepting SPP's April 28, 2009 Compliance Filing. The Commission found that SPP complied with the stakeholder responsiveness and RTO governance requirements of Order No. 719, including: (1) inclusiveness; (2) fairness in balancing diverse interests; (3) representation of minority interests; and (4) ongoing responsiveness.</p> <p>On November 10, 2010, FERC issued an Order Granting Rehearing for Further Consideration of the September 16, 2010 Order. On October 15, 2010, ISO New England filed a rehearing request in which it stated it seeks clarification or rehearing regarding the following:</p> <ol style="list-style-type: none"> 1) The Commission's statements in paragraph 26 of the September 16 Order do not prohibit a market monitor, at its discretion, from communicating or collaborating with the board members, officers or other personnel of its ISO (or RTO), assuming that those persons are bound by appropriate, Commission-accepted confidentiality requirements, in the investigation of a suspected market violation or the preparation of a referral, or prohibit the market monitor from making the board members or other ISO personnel aware that a referral has been made; and 2) The Commission's statements in paragraph 26 of the September 16 Order should be clarified as allowing a market monitor to collaborate or communicate with its ISO's board of directors and appropriate employees, and only as prohibiting the market monitor's disclosure to state officials or other persons outside an ISO of a referral of a market violation to Commission enforcement staff.
ER09-1254 and ER11-2415	Reform of Generation Interconnection Procedures ("Queue Reform Filing")	<p>On November 18, 2010, FERC issued an order accepting SPP's January 19, 2010 compliance filing effective June 2, 2009, subject to an additional compliance filing.</p> <p>The Commission directed SPP to revise section 3.3.1 in order to clarify:</p> <ol style="list-style-type: none"> 1) an interconnection customer may make changes to its layout drawings in the preliminary queue stage [i.e. prior to entering the Definitive Interconnection System Impact Study ("DISIS") queue]; and 2) once an interconnection request enters the DISIS queue, any subsequent change to the design of the generating facility as depicted in the layout drawing will be evaluated to determine whether the change constitutes a material modification under section 4.4. <p>The Commission further directed SPP to correct the URL for its business practices set forth in section 3.3.1.</p>

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		On December 20, 2010, SPP submitted its compliance filing, in Docket No. ER11-2415, in accordance with the November 18, 2010 Order issued in Docket No. ER09-1254. An effective date of June 2, 2009 was requested.
ER10-1069	SPP Submission of Tariff Revisions to Adopt a "Highway/Byway" Regional Transmission Upgrade Cost Allocation Methodology	<p>On November 18, 2010, the Joint Protestors filed a Motion for Official Notice. The parties requested that the Commission take official notice of the following documents:</p> <ol style="list-style-type: none"> 1) Minutes of the October 25, 2010 meeting of the SPP Regional State Committee; 2) SPP staff presentation entitled "Priority Project Update" presented at the October 25, 2010 SPP Regional State Committee meeting; 3) Chermac Energy Corporation submittal to SPP Regional State Committee entitled "Priority Projects II Notice to Construct - Modifications 942 and 943 Comments"; 4) Letter to Regional State Committee dated October 22, 2010 regarding recent developments affecting Priority Projects; 5. Minutes of the October 26, 2010 meeting of the SPP Board of Directors; and 6. SPP Staff recommendation to the SPP Board of Directors concerning Priority Projects, dated October 26, 2010. <p>On December 3, 2010, SPP filed an answer in response to the Motion for Official Notice submitted by the Joint Protestors on November 18, 2010.</p> <p>SPP stated that the Commission should reject the Joint Protestors' Motion as an improper attempt to introduce additional non-probative evidence into a record that has been closed for several months and to supplement the Joint Protestors' request for rehearing of the Commission's June 17, 2010 order in this proceeding.</p>
ER10-1269 and ER10-2244	SPP Submission of Tariff Revisions to Incorporate a Modified Transmission Planning Process, the Integrated Transmission Plan ("ITP")	There was no activity in this proceeding during the fourth quarter of 2010.
ER10-1960	SPP Submission of Baseline Electronic Open Access Transmission Tariff	<p>On October 28, 2010, FERC issued an order accepting SPP's baseline tariff filing, effective July 26, 2010 as requested.</p> <p>This order constitutes final agency action.</p>
ER11-13	SPP Submission of Tariff Revisions to Incorporate Standards Adopted in Order Nos.	On October 1, 2010, SPP submitted revisions to its OATT in order to incorporate standards adopted in Order Nos. 676-E, 676-F, 729, and 729-B.

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	676-E, 676-F, 729, and 729-B	<p>Consistent with Order Nos. 676-E and 729-B, SPP requests that the tariff amendments incorporating by reference the NAESB standards approved in Order No. 676-E become effective as of April 1, 2011.</p> <p>In accordance with Order No. 676-F, SPP requests that the tariff revisions incorporating by reference the NAESB business standard "Measurement and Verification of Wholesale Electricity Demand Response (WEQ-015, 2008 Annual Plan Item 5(a), March 16, 2009)" be effective as of May 24, 2010.</p> <p>Consistent with Order No. 729-B, SPP requests that the amendments to Attachment C filed become effective on April 1, 2011.</p>
ER11-126	SPP Submission of Tariff Revisions to Eliminate Market Monitor Data Retention Auditing Requirement	<p>On October 15, 2010, SPP submitted revisions to its OATT in order to modify the duties of the independent Market Monitor ("MMU") to eliminate a requirement that the MMU audit SPP Market Participant compliance with data retention policies.</p> <p>On November 30, 2010, FERC issued an order accepting the tariff revisions to eliminate a requirement that the independent market monitor audit SPP Market Participant compliance with data retention policies.</p> <p>An effective date of December 14, 2010 was granted.</p>
ER11-1896	SPP Order 739 Compliance Filing	<p>On October 25, 2010, pursuant to Order No. 739, SPP submitted revisions to its OATT in order to make permanent the lifting of the price cap for reassignments of transmission capacity. An effective date of September 24, 2010 was requested.</p> <p>On January 3, 2011, FERC issued an order accepting SPP's October 25, 2010 Order No. 739 compliance filing, effective September 24, 2010 as requested.</p>
ER11-2101	SPP Submission of Revisions to its Membership Agreement to Modify Transmission Owner Definition	<p>On November 12, 2010, SPP submitted revisions to its Membership Agreement to modify the definition of Transmission Owner to facilitate participation in construction and ownership of transmission facilities in SPP by entities that do not qualify under the current definition.</p> <p>Specifically, SPP modified the definition of Transmission Owner to include:</p> <ol style="list-style-type: none"> 1) an entity assigned by SPP to construct and the entity accepted the obligation to construct new Tariff facilities; or 2) an entity that undertakes another Transmission Owner's obligation to construct Tariff Facilities in accordance with Section 3.3(c) of the Membership Agreement and Attachment O of the SPP OATT. <p>An effective date of January 12, 2011 was requested.</p>
ER11-2103	SPP Submission of Tariff Revisions to Modify Transmission Owner Definition	<p>On November 12, 2010, SPP submitted revisions to its OATT to modify the definition of Transmission Owner to facilitate participation in construction and ownership of transmission facilities in SPP by entities that do not qualify under the current definition.</p>

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		Specifically, SPP modified the definition of Transmission Owner to include entities that have accepted from SPP an assignment (notification to construct pursuant to Attachment O) to build and own transmission facilities but does not yet own transmission facilities under SPP's functional control. An effective date of January 12, 2011 was requested.
ER11-2303	SPP Submission of Tariff Revisions to Implement New Daily Non-Firm On- and Off-Peak Point-To-Point Transmission Service	On December 6, 2010, SPP submitted revisions to its OATT to implement a new Daily Non-Firm On-Peak Point-To-Point and a new Daily Non-Firm Off-Peak Point-To-Point transmission service. An effective date of March 1, 2011 was requested.
ER11-2308	SPP Submission of Tariff Revisions to Permit Owners of Jointly Owned Resources Registered in SPP Energy Imbalance Service Market ("EIS Market") to Have Separate Meter Agents	On December 7, 2010, SPP submitted an amendment to Section 1.2.2(e) of Attachment AE of its OATT to permit owners of jointly owned resources registered in the SPP EIS Market to have separate meter agents. An effective date of February 6, 2011 was requested.
ER11-2315	SPP Submission of Tariff Revisions to Clarify Treatment of Load Under Grandfathered Agreements in the Calculation of Resident Load	On December 8, 2010, SPP submitted revisions to its OATT in order to clarify the treatment of load under Grandfathered Agreements in the calculation of Resident Load. An effective date of December 9, 2010 was requested.
ER11-2425	SPP Submission of Tariff Revisions to Simplify the Election Process for Loss Compensation in Attachments M and N	On December 21, 2010, SPP submitted revisions to its OATT in order to simplify the election process for loss compensation in Attachments M and N. The changes will eliminate the annual election of loss compensation method required from each Transmission Customer under the provisions of Attachment M. An effective date of February 19, 2011 was requested.
ER11-2428	SPP Submission of Tariff Revisions to Clarify How Real Power Losses Caused by Transmission Service Customers are Determined and Recovered	On December 21, 2010, SPP submitted revisions to its OATT to clarify how the Real Power Losses that must be replaced by transmission service customers are determined and recovered. An effective date of March 1, 2011 was requested.
ER11-2525	SPP Submission of Tariff Revisions to Revise the Process for Identifying the Designated Balancing Authority Responsible	On December 27, 2010, SPP submitted revisions to its OATT to modify the process for identifying the Designated Balancing Authority responsible for accounting for self-provided Real Power Losses relating to transactions through the SPP Region.

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	for Accounting for Self-Provided Losses Relating to Transactions Through SPP Region	An effective date of January 1, 2011 was requested.
OA08-61	SPP Order No. 890 Compliance Filing - Attachment O Revisions for Coordinated and Regional Planning Process	Commission action is still pending regarding SPP's November 2, 2009 and December 30, 2009 filings which included revisions to Attachment O of the OATT pursuant to Order Nos. 890 and 890-A.

Other Filings of Interest		
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ER05-1065 and ER09-555	Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term Entergy's Proposed Revisions to Attachment V of its OATT	<p>On December 15, 2010, SPP submitted the ICT's WPP Quarterly Report. This covers the period of September 2010 through November 2010.</p> <p>On December 30, 2010, SPP submitted the ICT's Fourth Quarterly Performance Report for 2010.</p>
ER10-941	SPP Filing of a Letter Agreement Regarding Comprehensive Seams Agreement Between Entergy Services, Inc. and Southwest Power Pool, Inc.	There was no activity in this proceeding during the fourth quarter of 2010.
ER10-2157	E.ON U.S. LLC Filing of the Second Amended and Restated Independent Transmission Organization Agreement ("ITO Agreement")	On October 5, 2010, FERC issued an Order accepting the Second Amended and Restated Independent Transmission Organization Agreement, effective September 1, 2010 as requested.
ER10-2748	Entergy Services, Inc. ("ESI") Filing to Extend on an Interim Basis the Independent Coordinator of Transmission ("ICT") Arrangement for a Period of One Year	<p>On November 16, 2010, FERC issued an Order Accepting Amended and Restated Agreement and Accepting in Part and Rejecting in Part Proposed Tariff Revisions to be effective November 17, 2010.</p> <p>FERC accepted Entergy's proposal to extend the ICT arrangement. FERC stated "...we encourage and expect that Entergy will propose enhancements to the ICT that can be used either as a bridge to RTO membership or a fully-enhanced ICT."</p> <p>FERC accepted Entergy's proposed revisions to sections 6.1, 6.1.1 and 6.2 of the ICT Agreement.</p> <p>FERC accepted in part and rejected in part the two new sections concerning limited liability provisions and the recovery of indemnification costs:</p> <ol style="list-style-type: none"> 1) Section 10.3 regarding limited liability is accepted, with certain modifications (Entergy is to remove the phrase "the Transmission Provider" so that this section applies only to the ICT). 2) FERC rejected Section 10.4 which contained the proposed indemnification cost recovery mechanism. <p>On December 16, 2010, Entergy Services, Inc. submitted a notice that due to an issue with ESI's eTariff software, ESI was unable to submit the amended tariff sheets through the Commission's eTariff system on December 16, 2010. If ESI is unable to submit the compliance filing by December 30, 2010, ESI will file</p>

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ER11-1923	Entergy Services, Inc. Submission of Tariff Revisions to Incorporate Authority Granted to the Entergy Regional State Committee ("E-RSC")	<p>another status report at that time.</p> <p>On October 27, 2010, Entergy submitted proposed revisions to its OATT in order to incorporate the authority granted to the E-RSC.</p> <p>Specifically, Entergy seeks approval to give the E-RSC the authority, upon unanimous vote of all E-RSC members, to direct the Entergy Operating Companies:</p> <ol style="list-style-type: none"> 1) to make a filing pursuant to section 205 of the FPA to change the terms and conditions that apply to cost allocation for transmission projects, including to modify the time horizon of the Base Plan developed by the ICT in accordance with the Tariff and used to allocate transmission costs in accordance with the Entergy OATT; and 2) to add specific projects to the Entergy Construction Plan that is developed under Attachment K of the Entergy OATT ("Construction Plan"). <p>On December 16, 2010, FERC issued an Order accepting Entergy's October 27, 2010 filing containing revisions to its OATT in order to incorporate the authority granted to the E-RSC. An effective date of December 26, 2010 was granted.</p>
ES10-11	SPP Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities (\$150 million)	On November 19, 2010, SPP submitted a Report of Securities issued on October 28, 2010.
ES11-14	SPP Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities (\$20 million Revolving Credit Facility)	<p>On December 30, 2010, SPP submitted an application under Section 204 of the Federal Power Act for authorization of the issuance of up to \$20,000,000 in non-secured Promissory Notes. The Notes will be a revolving credit facility.</p> <p>SPP requested that the Commission approve the application by February 28, 2011.</p>

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Arkansas 08-001-U 08-002-U 08-098-U	SWEPCO's Applications for the Construction, Ownership, Operation and Maintenance of the SE Texarkana-Turk, Sugar Hill-Turk, and NW Texarkana-Turk Transmission Lines	<p>During the fourth quarter, the parties submitted legal briefs and testimony pursuant to the September 23, 2010 Order.</p> <p>An evidentiary hearing is scheduled to begin at 9:00 AM on January 18, 2011.</p>
Arkansas 08-136-U	In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the SPP RTO and the Entergy Corporation as such Issues Affect Electric Service within Arkansas	<p>SPP continues to file the Monthly Market Monitoring Report, ICT Quarterly Report, and WPP Quarterly Report.</p>
Arkansas 08-137-U	In the Matter of the Consideration of Innovative Approaches to Ratebase, Rate of Return Ratemaking Including, but not limited to, Annual Earnings Reviews, Formula Rates, and Incentive Rates for Jurisdictional Electric and Natural Gas Public Utilities	<p>A public hearing was held on November 3, 2010.</p> <p>On December 10, 2010, the APSC issued Order No. 14, Order Approving Joint Utility Motion for Lost Contributions to Fixed Costs, as Modified.</p> <p>On December 10, 2010, the APSC issued Order No. 15, Order Regarding Utility Energy Efficiency Incentives. This Order approved a general policy under which the Commission will approve incentives to reward achievement in the delivery of essential energy conservation services by investor owned public utilities in Arkansas. This Order also established energy savings goals.</p> <p>On December 10, 2010, the APSC issued an Order Establishing a Collaborative to Develop an Evaluation, Measurement and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for Conservation and Energy Efficiency Programs. The Commission directed that an EM&V expert be engaged and to work with Staff to suggest EM&V rule changes requiring the implementation of EM&V in accordance with National Action Plan for Energy Efficiency ("NAPEE") best practices on or before June 1, 2011.</p>
Arkansas 08-144-U	In the Matter of a Notice of Inquiry regarding the Expanded Development of Sustainable Energy Resources in Arkansas	<p>On December 10, 2010, the APSC issued the Sustainable Energy Resources Action Guide.</p> <p>On December 10, 2010, the APSC issued Order No. 17, an Order Defining "Comprehensive" in the Planning, Approval and Implementation of Essential Energy Efficiency Services.</p> <p>On December 10, 2010, the APSC issued an Order Establishing a Collaborative to Develop an Evaluation, Measurement and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for</p>

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		<p>Conservation and Energy Efficiency Programs. The Commission directed that an EM&V expert be engaged and to work with Staff to suggest EM&V rule changes requiring the implementation of EM&V in accordance with NAPEE best practices on or before June 1, 2011.</p> <p>On December 10, 2010, the APSC issued an Order Addressing Smart Grid, Advanced Metering Infrastructure, and Related Demand Response Technologies. In this Order, the Commission established Docket No. 10-102-U, In the Matter of the Consideration of Smart Grid, Advanced Metering Infrastructure, and Related Demand Response Technologies.</p> <p>On December 10, 2010, the APSC issued an Order Opening Docket to Consider Issues Related to Electric and Natural Gas Vehicles. In this Order, the Commission established Docket No. 10-103-U, In the Matter of the Consideration of Electric Vehicles and Natural Gas Vehicles.</p> <p>On December 10, 2010, the APSC issued an Order Opening Docket to Consider Energy Efficiency on the Utility Side of the Meter. In this Order, the Commission established Docket No. 10-104-U, In the Matter of the Consideration of Energy Efficiency on the Utility Side of the Meter.</p>
Arkansas 09-090-U	In the Matter of the Impact of Federal Energy Regulatory Commission ("FERC") Orders 719 and 719-A in FERC Docket No. RM07-19-001 on the Regulatory Authority of the Arkansas Public Service Commission	Further action in this proceeding is pending SPP's compliance filing and FERC's action in FERC Docket No. ER09-1050.
Arkansas 09-128-U	In the Matter of the Application of Southwest Power Pool, Inc. for Authority to Issue up to \$150,000,000 in Secured and Non-Secured Promissory Notes	<p>On October 28, 2010, SPP submitted a Notice of FERC Errata Order. FERC issued an Errata to the May 19, 2010 Order in which it changed the authorization to allow for the Unsecured Notes having maturities of 3 to 40 years.</p> <p>On November 1, 2010, Robert Daniel filed his Second Supplemental Direct Testimony on behalf of APSC General Staff regarding SPP's October 28, 2010 Notice of FERC Errata Order.</p> <p>On November 2, 2010, the APSC issued Order No. 3 authorizing SPP to issue unsecured promissory notes in an aggregate principal amount not to exceed \$150 million with the requested maturity dates approved by FERC.</p> <p>On November 19, 2010, SPP submitted a copy of a loan agreement dated October 28, 2010, pursuant to Order No. 1.</p>

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Arkansas 10-010-U	In the Matter of a Notice of Inquiry into Energy Efficiency ("EE NOI Docket")	<p>Public hearings were held on October 18-19, 2010.</p> <p>On October 29, 2010, the APSC General Staff submitted its report and recommendation on Energy Efficiency reporting needs based on its participation in the Reporting Needs Working Group.</p> <p>On December 10, 2010, the APSC issued an Order Establishing Participation Options for Commercial and Industrial Customers in Utility Energy Efficiency Programs and Portfolios, and Opening a Rulemaking to Develop Amendments to the Rules for Conservation and Energy Efficiency Programs that Create a Self-Directed Option for Large Consumers of Electric and Gas Utilities.</p> <p>On December 10, 2010, the APSC issued an Order Establishing a Collaborative to Develop an Evaluation, Measurement and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for Conservation and Energy Efficiency Programs. The Commission directed that an EM&V expert be engaged and to work with Staff to suggest EM&V rule changes requiring the implementation of EM&V in accordance with NAPEE best practices on or before June 1, 2011.</p> <p>On December 10, 2010, the APSC issued Order No. 11, in which the Commission declined to adopt Full Fuel Cycle Efficiency as an energy efficiency program justification under the Commission's Rules for Conservation and Energy Efficiency.</p> <p>On December 10, 2010, the APSC issued Order No. 12, in which the Commission declined to pursue an Independent Administrator to implement EE programs at this time in order to monitor the success of new policies adopted by the Commission contemporaneous with this Order.</p> <p>SPP is not a party to this proceeding.</p>
Arkansas 10-011-U	In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement, or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets	<p>The Second Show Cause Evidentiary Hearing was held on August 2-3, 2010.</p> <p>On November 1, 2010, SPP filed the Charles River Associates Cost-Benefit Analysis of Entergy Arkansas, Inc. Joining the SPP RTO, and the Cost-Benefit Analysis of Entergy and Cleco Power Joining the SPP RTO.</p> <p>On November 10, 2010, SPP submitted a Motion for Protective Order of Non-Disclosure.</p> <p>On November 10, 2010, Entergy held a technical conference to discuss the draft ESA successor arrangement filed by EAI.</p> <p>On November 10, 2010, the APSC issued Order No. 24, a subpoena to Entergy Arkansas, Inc. to file a</p>

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		<p>copy of the United States Department of Justice Civil Investigate Demand (“CID”) by November 15, 2010.</p> <p>On November 10, 2010, the APSC issued Order No. 25, which summarizes the October 29, 2010 FERC Audit Report Findings and Recommendations and invites the parties to address the FERC Audit Report in their Direct Testimonies due to be filed January 28, 2011.</p> <p>On November 16, 2010, Entergy Arkansas, Inc. filed its response to Order No. 24 and Supplemental Motion for Protective Order. EAI objected to Order No. 24, but is agreeable to producing the Department of Justice CID pursuant to appropriate protections as set forth in Exhibit D of their November 16, 2010 filing. EAI requested a stay of Order No. 24 pending the entry of an appropriate Protective Order.</p> <p>On November 23, 2010, the APSC issued Order No. 26. The Commission issued an Interim Protective Order covering the U.S. Department of Justice CID and restricting access to the CID as requested by EAI.</p> <p>On November 24, 2010, EAI submitted Confidential Material containing the CID documents pursuant to Order No. 26.</p> <p>On November 29, 2010, SPP submitted an Amended Motion for Protective Order of Non-Disclosure.</p> <p>On December 3, 2010, the APSC issued Order No. 27, in which the Commission responds to EAI's Petition for Clarification of Order No. 20 filed on September 29, 2010.</p> <p>On December 7, 2010, Arkansas Electric Cooperative Corporation filed a response to SPP's Amended Motion for Protective Order. AECC does not object to the issuance of such a protective order, provided that the Commission clarifies that such order and all other protective orders issued in this proceeding will be reasonably interpreted to allow access to Highly Sensitive Protected Information to reviewing representatives who do not buy or sell in the competitive market for electric energy and capacity and do not represent other individuals who do.</p> <p>On December 9, 2010, Entergy filed a Motion to Modify Procedural Schedule. McDonald and Hurstell filed supporting testimony.</p> <p>EAI stated that the changes proposed will afford all parties reasonable opportunities to comment on EAI's evaluation of its strategic options, and they will afford the Commission a reasonable opportunity to review the testimony of the parties, conduct hearings, and render a decision on the issues presented.</p>

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		<p>On December 16, 2010, the APSC General Staff filed its Response to Entergy Arkansas, Inc.'s Motion to Modify Procedural Schedule. .</p> <p>On December 16, 2010, the Consumer Utilities Rate Advocacy Division of the Arkansas Attorney General's Office submitted its response to EAI's Motion to Modify Procedural Schedule, objecting to EAI's request to reduce Staff and Intervenor opportunities to present testimony from three rounds to one.</p> <p>On December 17, 2010, EAI submitted its Response to Staff's and Attorney General's Revisions to EAI's Proposed Procedural Schedules. EAI requested that the Commission grant the revisions to the procedural schedule recommended by the Staff and the Attorney General's Office.</p> <p>On December 20, 2010, the APSC issued Order No. 28, which established an Interim Protective Order pursuant to SPP's motions filed on November 10 and November 29, 2010. Arkansas Electric Cooperative Corporation's proposed clarification of the Commission's standing Highly Sensitive Protected Information access restrictions was denied.</p> <p>On January 3, 2011, the APSC issued Order No. 29 modifying the procedural schedule as follows:</p> <ol style="list-style-type: none"> 1) February 11, 2011 (by Noon) - Staff and Intervenor to file Initial Testimony covering all EAI filings to date, all Commission-ordered hearings and technical conferences, the Charles River Associates economic studies, and any other matters; 2) March 18, 2011 (by Noon) - EAI to file Rebuttal Testimony in response to the February 11, 2011 Initial Testimony; 3) March 22, 2011 - an on-the-record technical conference to be held beginning at 9:30 AM to discuss the CRA studies; 4) May 12, 2011 (by Noon) - EAI to file its final assessment and recommendations regarding each of the viable strategic reorganization options studied by EAI; 5) July 12, 2011 (by Noon) - Staff and Intervenor to file Supplemental Initial Testimony in response to the various documents filed by EAI on May 12, 2011, and offer recommendations on the five strategic reorganization options; 6) August 4, 2011 (by Noon) - EAI to file Supplemental Rebuttal Testimony in response to the July 12, 2011 Staff and Intervenor Supplemental Initial Testimony; 7) August 18, 2011 (by Noon) - Staff and Intervenor to file Surrebuttal Testimony in response to EAI's August 4, 2011 Supplemental Rebuttal Testimony; 8) August 25, 2011 (by Noon) - EAI to file Sur-surrebuttal Testimony in response to the August 18, 2011 Surrebuttal Testimony; 9) September 7, 2011 - evidentiary hearing begins at 9:30 AM; and

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		<p>10) October 7, 2011 - the Commission to issue its Final Order.</p> <p>Discovery in this docket is ongoing.</p> <p>Hugh McDonald continues to file Supplemental Direct Testimony on the first day of each month.</p> <p>SPP and Entergy continue to file the Seams Agreement progress report on the first day of each month.</p> <p>Also on the first of each month, SPP continues to file a status report detailing the discussions or meetings between SPP and EAI concerning the option of EAI as a stand-alone operating company becoming a member of SPP, as well as the status of the addendum Arkansas-only study.</p>
Arkansas 10-036-U	In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Public Convenience and Necessity for the Construction, Ownership, Operation and Maintenance of Two Transmission Lines at the Proposed Station to be Constructed at the John W. Turk, JR. Generation Facility Located in Hempstead County, Arkansas and Terminating at the Existing Okay to Hope Transmission Line and Related Facilities	On November 23, 2010, the APSC issued Order No. 4 granting SWEPCO a CCN to construct and operate two new transmission lines and related facilities to connect the existing Okay to Hope transmission line to the Turk Station.
Arkansas 10-074-U	In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Environmental Compatibility and Public Need for the Construction, Ownership, Operation and Maintenance of the Proposed Flint Creek to Shipe Road Project and Associated Facilities to be	<p>On October 4, 2010, Jennifer Jackson submitted Direct Testimony on behalf of SWEPCO in order to develop a customer impact estimate based on SWEPCO's CECPN Application filed in this proceeding.</p> <p>On November 17, 2010, Darren Horton submitted Direct Testimony on behalf of Darren L. Horton and Karen A. Horton, Intervenor.</p> <p>On December 3, 2010, Ashley N. Beasley, Jr. submitted Rebuttal Testimony on behalf of SWEPCO.</p> <p>A public hearing is scheduled to begin at 9:30 AM on February 8, 2011.</p>

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	Located in Benton County, Arkansas	
Kansas 10-GIME-215-GIE	In the Matter of the Investigation into Laws and Regulations Relating to Demand Response and Scarcity Pricing	Further action in this proceeding is pending SPP's compliance filing and FERC's action in FERC Docket No. ER09-1050. SPP has committed to keeping the KCC apprised of developments in Docket No. ER09-1050.
Missouri EW-2010-0187	In the Matter of an Investigation into the Coordination of State and Federal Regulatory Policies for Facilitating the Deployment of all Cost-Effective Demand-Side Savings to Electric Customers of All Classes Consistent with the Public Interest	Further action in this proceeding is pending SPP's compliance filing and FERC's action in FERC Docket No. ER09-1050.
Missouri EO-2011-0134	In the Matter of an Investigation Into Southwest Power Pool Cost Allocations and Cost Overruns	<p>On November 23, 2010, the MoPSC issued an Order Opening an Investigation into Southwest Power Pool Cost Allocations and Cost Overruns. This case is established to investigate the Southwest Power Pool's process for selecting projects including cost estimates and cost-benefit analysis, the issue of novations and what to do about construction cost overruns for new transmission projects. The Commission's goal is to finish the investigation by June 1, 2011, and to make any recommendations for improving the process to the SPP Regional State Committee ("RSC") and SPP.</p> <p>The following procedural dates were established: December 31, 2010 - Parties to complete a report detailing the costs and benefits of SPP membership for Empire District Electric Company; December 31, 2010 - Comments due concerning the issue of construction cost overruns as well as problems posed by novations; January 14, 2011 - Reply Comments due concerning the issue of construction cost overruns as well as problems posed by novations; February 4, 2011 - Comments due concerning the issue of how SPP selects projects to be built, including the issue of cost estimates and cost-benefit analysis; and February 18, 2011 - Reply Comments due concerning the issue of how SPP selects projects to be built, including the issue of cost estimates and cost-benefit analysis.</p> <p>On December 29, 2010, SPP filed comments in response to the November 23, 2010 Order Opening an Investigation into Southwest Power Pool Cost Allocation and Cost Overruns.</p>

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		<p>On December 31, 2010, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company submitted comments in response to the November 23, 2010 Order Opening an Investigation into Southwest Power Pool Cost Allocation and Cost Overruns.</p> <p>On December 31, 2010, The Empire District Electric Company submitted comments in response to the November 23, 2010 Order Opening an Investigation into Southwest Power Pool Cost Allocation and Cost Overruns.</p> <p>On January 4, 2011, the MoPSC Staff submitted its Staff Report and Request for Leave to Late-File. The Staff filed its Staff Report respecting the costs and benefits of SPP membership for The Empire District Electric Company. The Staff filed its Report as Highly Confidential since Staff has not been able to assess which of the information in the Report may be Confidential Information.</p> <p>On January 5, 2011, MoPSC Staff submitted its report with re-designated security designations. Staff has removed the Highly Confidential designations, with the exception of Appendix 1.</p>
New Mexico 07-00390-UT	An Investigation into the Prudence of Southwestern Public Service Company's ("SPS") Participation in the SPP RTO	On December 13, 2010, SPP submitted the Integrated Transmission Plan 20-Year Assessment Report in accordance with Section 15 of the Uncontested Stipulation in NMPRC Case No. 07-00390-UT.
New Mexico 10-00143-UT	In the Matter of the Petition of Lea County Electric Cooperative, Inc. ("LCEC") for Approval to Transfer Certain Transmission Assets under the Southwest Power Pool, Inc. Open Access Transmission Tariff ("OATT")	<p>On October 1, 2010, LCEC, the New Mexico Regulation Commission ("NMPRC"), and SPP filed an Uncontested Stipulation.</p> <p>On October 1, 2010, Pat Bourne submitted Direct Testimony Supporting Uncontested Stipulation on behalf of SPP.</p> <p>On October 1, 2010, Gary Hurse submitted Supplemental Testimony Supporting Uncontested Stipulation on behalf of LCEC.</p> <p>On October 14, 2010, Jack D. Sidler submitted Testimony in Support of the Stipulation on behalf of the NMPRC.</p> <p>On October 15, 2010, Charles W. Gunter submitted Direct Testimony in Support of Uncontested Stipulation on behalf of the NMPRC.</p> <p>A public hearing was held on November 3, 2010, and was continued until November 30, 2010 so that parties could submit supplemental testimony addressing questions of the hearing examiner. Pat Bourne appeared as witness for SPP.</p>

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		<p>On November 22, 2010, Gary L. Hurse submitted his Second Supplemental Testimony on behalf of LCEC. The purpose of this testimony is to clarify the intent of the Uncontested Stipulation as discussed at the November 3, 2010 hearing.</p> <p>A public hearing was held on November 30, 2010. This was a continuation of the November 3, 2010 hearing.</p> <p>On December 9, 2010, the Hearing Examiner submitted a Certification of Stipulation to the NMPRC. The Hearing Examiner recommended that the Commission approve and adopt the Stipulation in its entirety, and approve LCEC's participation in the SPP RTO on an interim basis.</p> <p>On December 16, 2010, the NMPRC issued a Final Order Adopting Certification of Stipulation.</p>
Oklahoma PUD 201000043	Inquiry of the Oklahoma Corporation Commission ("OCC") to Investigate Issues Arising from the Federal Energy Regulatory Commission's Orders No. 719, 719-A and 719-B	Further action in this proceeding is pending SPP's compliance filing and FERC's action in FERC Docket No. ER09-1050.
Texas 38906	PUC Proceeding on Transmission Projects and Costs in the Southwest Power Pool	<p>On November 9, 2010, Chairman Smitherman of the Public Utility Commission of Texas ("PUCT") issued a memorandum concerning recent developments involving SPP and the selection and funding of interstate electric transmission projects. Chairman Smitherman proposed the PUCT open a project to receive comments from interested parties on how the PUCT or SPP rules should address his concerns in order to limit the burden on Texas ratepayers.</p> <p>On November 19, 2010, the PUCT issued a Request for Comments on Transmission Projects and Costs in the Southwest Power Pool.</p> <p>On December 20, 2010, SPP, American Electric Power Service Corporation, East Texas Cooperatives, Southwestern Public Service Company, and Texas Industrial Energy Consumers submitted comments in response to the November 19, 2010 Request for Comments on Transmission Projects and Costs in the Southwest Power Pool.</p> <p>On December 28, 2010, SPP submitted Reply Comments. SPP stated while it believes that its processes for development and adoption of the Priority Projects were robust and based on well-vetted study assumptions, SPP acknowledges that its policies and processes can be improved. And, therefore, SPP and its stakeholders are actively considering policy and process improvements.</p>

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		On December 28, 2010, the East Texas Cooperatives submitted Reply Comments. The Cooperatives stated they believe the SPP Transmission Owners' proposal for a cost estimate review process is flawed. They also stated that reasonable assumptions as to potential cost increases and other key factors must be included in SPP's cost effectiveness and cost/benefit analyses.