

FERC ES10-11	SPP to file a Report of Securities (January 15, 2010 Order)	1/28/2011
State of Arkansas 09-128-U	SPP to file copy of lending agreement with APSC (Order No. 1 issued February 1, 2010; Order No. 2 issued June 7, 2010)	1/28/2011
FERC RM11-2	Technical Conference begins at 1:00 PM Eastern (12:00 PM Central). The purpose of the technical conference is to obtain further information to aid the Commission's determination of whether there is "sufficient consensus" that the five families of standards posted by the National Institute of Standards and Technology and included in this proceeding are ready for Commission consideration in a rulemaking proceeding, as directed by section 1305(d) of the Energy Independence and Security Act of 2007 (December 21, 2010 Notice of Technical Conference)	1/31/2011
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	2/1/2011
State of Arkansas 10-011-U	SPP to file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Operating Company becoming a member of SPP (Order No. 11 issued April 21, 2010)	2/1/2011
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	2/1/2011
State of Missouri EO-2011-0134	Comments due concerning the issue of how SPP selects projects to be built, including the issue of cost estimates and cost-benefit analysis (November 23, 2010 Order Opening an Investigation into Southwest Power Pool Cost Allocations and Cost Overruns)	2/4/2011
FERC RM10-8	Comments due in response to Notice of Proposed Rulemaking regarding NERC's proposed interpretation of certain specific requirements of the Commission-approved Reliability Standards, TOP-005-1, Operational Reliability Information, and IRO-005-1, Reliability Coordination - Current-Day Operations (December 16, 2010 NOPR)	2/7/2011
State of Arkansas 10-074-U	Public Hearing begins at 9:30 AM in APSC Hearing Room 1 (Order No. 3 issued September 20, 2010)	2/8/2011 9:30:00
FERC AD11-6	Technical Conference to be held from 10 AM to 5 PM Eastern (9 AM to 4 PM Central) to discuss policy issues related to reliability of the Bulk-Power System, including priorities for addressing risks to	2/8/2011 10:00:00

	reliability (December 16, 2010 Notice of Technical Conference)	
State of Arkansas 10-011-U	Staff and Intervenors to file Initial Testimony covering all EAI filings to date, all Commission-ordered hearings and technical conferences, the CRA economic studies, and any other matters (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	2/11/2011
FERC RR10-11	NERC's compliance filing due in order to modify the Pro Forma Delegation Agreement, revised Delegation Agreements, amendments to the NERC ROP, and certain amendments to the FRCC and MRO Bylaws (October 21, 2010 Order Conditionally Approving Revised Pro Forma Delegation Agreement, Revised Delegation Agreements with Regional Entities, Amendments to Rules of Procedure and Certain Regional Entity Bylaws)	2/18/2011
State of Missouri EO-2011-0134	Reply Comments due concerning the issue of how SPP selects projects to be built, including the issue of cost estimates and cost-benefit analysis (November 23, 2010 Order Opening an Investigation into Southwest Power Pool Cost Allocations and Cost Overruns)	2/18/2011
FERC RM10-5	Comments due regarding NOPR on Reliability Standard PRC-005-1 - Transmission and Generation Protection System Maintenance and Testing (December 16, 2010 Notice of Proposed Rulemaking)	2/25/2011
FERC ER06-451	SPP Demand Response Status Report Due	3/1/2011
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	3/1/2011
State of Arkansas 10-011-U	SPP to file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Operating Company becoming a member of SPP (Order No. 11 issued April 21, 2010)	3/1/2011
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	3/1/2011
FERC RM10-11	Comments due in response to NOPR concerning Integration of Variable Energy Resources (November 18, 2010 Notice of Proposed Rulemaking)	3/2/2011

FERC AD11-8	Comments due on report entitled "Use of Frequency Response Metrics to Assess the Planning and Operating Requirements for Reliable Integration of Variable Renewable Generation" by the Lawrence Berkeley National Laboratory (January 20, 2011 Notice Inviting Comments on Report)	3/7/2011
State of Arkansas 10-011-U	EAI to file Rebuttal Testimony in response to the February 11, 2011 Initial Testimony of Staff and Intervenors (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	3/18/2011
State of Arkansas 10-011-U	Technical Conference begins at 9:30 AM in APSC Hearing Room No. 1 to discuss the CRA studies (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	3/22/2011
State of Arkansas 08-135-R	Sur-Reply comments due concerning proposed Rules of Practice and Procedure and Minimum Filing Requirements (Order No. 7 issued April 1, 2010; Order No. 12 issued January 14, 2011)	3/22/2011
FERC RM06-16	NERC's compliance filing due to modify the Transmission Planning Reliability Standard, TPL-002-0, to comply with the directives set forth in Order No. 693 (March 18, 2010 Order; June 11, 2010 Order Denying Rehearing and Granting Partial Clarification, Denying Request for Stay, and Granting an Extension of Time)	3/31/2011
FERC RM08-19	NERC Reliability Standards (Order No. 729) and NAESB business practices (Order No. 676-E) shall be implemented (Order No. 729-B issued July 15, 2010)	4/1/2011
FERC RM05-5	NERC Reliability Standards (Order No. 729) and NAESB business practices (Order No. 676-E) shall be implemented (Order No. 729-B issued July 15, 2010)	4/1/2011
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	4/1/2011
State of Arkansas 10-011-U	SPP to file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Operating Company becoming a member of SPP (Order No. 11 issued April 21, 2010)	4/1/2011
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	4/1/2011



## Regulatory Outlook

FERC 10-1178	FERC Form 582 due	4/30/2011
State of Arkansas 08-135-R	Evidentiary hearing begins at 9:30 AM for consideration of the proposed revisions to the Rules of Practice and Procedure, the Secretary's Guidelines, and Minimum Filing Requirements (Order No. 7 issued April 1, 2010; Order No. 12 issued January 14, 2011)	5/3/2011
FERC ES09-25	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
State of Arkansas 09-037-U	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
State of Arkansas 10-011-U	EAI to file by Noon its initial assessment and recommendations regarding each of the viable strategic reorganization options studied by EAI (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	5/12/2011
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2011
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2011
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2011
FERC RM10-13	Order No. 741 Compliance Filing Due (Final Rule in Credit Reforms in Organized Wholesale Electric Markets issued October 21, 2010)	6/30/2011
State of Arkansas 10-011-U	Staff and Intervenors to file by Noon Supplemental Initial Testimony in response to the various documents filed by EAI on May 12, 2011, and offer recommendations on the five strategic reorganization options (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	7/12/2011
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2011
State of Arkansas 10-011-U	EAI to file by Noon Supplemental Rebuttal Testimony in response to the July 12, 2011 Staff and Intervenor Supplemental Initial Testimony (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	8/4/2011
State of Arkansas 10-011-U	Staff and Intervenors to file by Noon Surrebuttal Testimony in response to EAI's August 4, 2011 Supplemental Rebuttal Testimony (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010;	8/18/2011

	Order No. 20 issued August 31, 2010)	
State of Arkansas 10-011-U	EAI to file Sur-surrebuttal Testimony in response to the Staff and Intervenor Surrebuttal Testimony due on August 18, 2011 (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	8/25/2011
FERC ER06-451	SPP Demand Response Status Report Due	9/1/2011
State of Arkansas 10-011-U	Evidentiary Hearing begins at 9:30 AM in APSC Hearing Room No. 1 to consider all issues raised by the parties (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	9/7/2011
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company to file a pleading accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP during a recent twelve-month test period (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2011
State of Missouri EO-2006-0142	Kansas City Power & Light Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2011
State of Arkansas 10-011-U	APSC to issue its Final Order (Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	10/7/2011
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2011
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2011
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2011
FERC ES10-11	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (January 15, 2010 Order)	1/15/2012
State of Arkansas 09-128-U	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (February 1, 2010 Order)	1/15/2012
State of Missouri EO-2006-0141	Empire District Electric Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2012



## Regulatory Outlook

FERC ER06-451	SPP Demand Response Status Report Due	3/1/2012
FERC 10-1178	FERC Form 582 due	4/30/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2012
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2012
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2012
FERC ER06-451	SPP Demand Response Status Report Due	9/1/2012
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2012
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2012
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file Interim Report regarding SPS' continued participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2013
FERC 10-1178	FERC Form 582 due	4/30/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2013
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2013





## Regulatory Outlook

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State of Missouri EO-2006-0142	Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2013
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2013
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2013
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file Interim Report regarding LCEC's continued participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2013

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