Southwest Power Pool Regional Entity

2011 Performance Goals

1. Post Non-Public Audit Report for 2011 Audits to NERC

Number of Days to Post Audit Report	115	80	70
Achievement %	50%	100%	120%

Weight: 25%

2. Issue Spot Check Reports

Number of Days to Issue Spot Check	100	90	75
Achievement %	50%	100%	120%

Weight: 20%

3. NOCV/Settlement/Omnibus/Dismissals

Send 130 of the oldest violations to NERC.

Violations[1] to NERC	∑ i * W (i =1to 80)	∑ i * W (i =1 to 130)	∑ i * W (i=1 to 160)
Achievement %	50%	100%	150%

Weight: 25%

W is a weighted value assigned the individual violations on the basis of their age at the end of 2010. By assigning the older violations a higher value, greater emphasis can be given to the older violations, in effect providing incentive to complete the older violations first.

Violation Weighting		
	>300 Days	5
	201 - 300 Days	4
	101 – 200 Days	3
	50 – 100 Days	2
	<50 Days & All 2011 Violations	1

[1] Violations are weighted, giving the oldest violations on 12/31/10 the greatest weight. The goals on this metric are set assuming the new NERC administrative citation process is implemented successfully.

4. Violation Completion[2]

Complete 130 uncontested violations in 2011. A violation is complete when either:

(a) the NOCV is approved by the BOTCC, or
(b) the Settlement agreement is approved by the BOTCC, or
(c) the Deficiency filing is approved by the BOTCC, or
(d) the dismissal is posted in the NERC workbook or if applicable has been approved by NERC.

BOTCC Approved Violations	80	130	160
Achievement %	50%	100%	150%

Objective weight: 12%

5. Mitigation Plan Completion Review

The Enforcement staff goal is to complete the review of a Registered Entity's completion of a mitigation plan in less than 30 days.[3]

Average time to Completion - Days	40	30	20
Achievement %	50%	100%	150%

Objective weight: 12%

6. Preliminary Notice

Notify NERC of violation within 5 business days of discovery of violation by SPP RE.

Average time to notify NERC	15	5	1
Achievement %	50%	100%	150%

Objective weight: 6%

[2] The goals on this metric are set assuming the new NERC administrative citation process is implemented successfully.
[3] Measurement of the goal is based on the average time to complete a mitigation plan completion review for all mitigation plan certifications received in 2011.