Helping our members work together to keep the lights on... today and in the future

Joe Gertsch – SPP RE Manager of Enforcement
SPP RE Trustee Meeting
January 24, 2011

SPP Southwest Power Pool

CMEP Violation Processing

- Notice of Possible Violation
- Notice of Alleged Violation and Proposed Penalty of Sanction
- Notice of Confirmed Violation
- Settlement
  - Settlement in Principle
  - Executed Settlement

Administrative Citation Process

Eligible Violations

- The risk must be minimal to the BES (low or medium VRF).
- The registered entity cannot contest the violation or penalty (if applicable).
- The violation must be fully mitigated.

Process

- Settlement – Notice of Penalty Waiver and Settlement Agreement
- Non Settlement – Notice of Administrative Citation

RE Filing Requirements

- Spreadsheet
- Description of violation
- RE analysis of Impact to BES
- Penalty, if any
- Mitigation description

Administrative Citation Process

Benefits

- Processing efficiency
- Lower Costs for the RE and Registered Entity
- Quicker Resolution for Registered Entity

Questions?