

State of Oklahoma 201000075	Proposed Findings of Fact and Conclusions of Law due regarding the Revised Joint Stipulation and Settlement Agreement filed on February 28, 2011	3/25/2011
FERC RM10-13	Effective date of Order No. 741-A (Order No. 741-A issued on February 17, 2011)	3/28/2011
FERC ER11-2303	SPP's response to deficiency letter is due (February 25, 2011 Deficiency Letter)	3/28/2011
FERC ER11-2528	SPP's response to deficiency letter is due (February 25, 2011 Deficiency Letter; March 11, 2011 Notice of Extension of Time)	3/28/2011
State of Texas 39070	Deadline to Intervene (SOAH Order No. 3 Adopting Procedural Schedule issued February 22, 2011)	3/29/2011
FERC RM06-16	NERC's compliance filing due to modify the Transmission Planning Reliability Standard, TPL-002-0, to comply with the directives set forth in Order No. 693 (March 18, 2010 Order; June 11, 2010 Order Denying Rehearing and Granting Partial Clarification, Denying Request for Stay, and Granting an Extension of Time)	3/31/2011
FERC RM08-19	NERC Reliability Standards (Order No. 729) and NAESB business practices (Order No. 676-E) shall be implemented (Order No. 729-B issued July 15, 2010)	4/1/2011
FERC RM05-5	NERC Reliability Standards (Order No. 729) and NAESB business practices (Order No. 676-E) shall be implemented (Order No. 729-B issued July 15, 2010)	4/1/2011
State of Arkansas 10-011-U	SPP and Entergy to file monthly progress report (on first business day of each month) detailing the progress made toward the successful negotiation and completion of the desired seams agreement (Order No. 15 issued August 18, 2009 in 08-136-U; Order No. 1 issued on February 11, 2010 in 10-011-U).	4/1/2011
State of Arkansas 10-011-U	SPP to file a monthly report detailing the discussions or meetings between SPP and EAI regarding the option of EAI as a stand-alone Operating Company becoming a member of SPP (Order No. 11 issued April 21, 2010)	4/1/2011
State of Arkansas 10-011-U	SPP to file a detailed monthly status report reflecting the progress of the addendum Arkansas-only study including any outstanding SPP or CRA data requests submitted to Entergy/EAI for which Entergy/EAI have either failed to submit timely responses or have submitted responses that are either incomplete, inaccurate or non-responsive or have refused to provide the requested response or data (Order No. 11 issued April 21, 2010)	4/1/2011
State of Kansas 11-PWTE-600-MIS	Staff and Intervenor Direct Testimony due by 3 PM (March 9, 2011 Order Adopting Procedural Schedule)	4/4/2011

FERC RM11-2	Comments due in response to topics raised at January 31, 2011 Technical Conference on Smart Grid Interoperability Standards (February 16, 2011 Supplemental Notice Requesting Comments; March 2, 2011 Notice of Extension of Time)	4/8/2011
State of Missouri EW-2010-0187	ARC/ISO/RTO Demand Response Aggregation Draft Rule Workshop to be held from 10 AM to 3:30 PM	4/11/2011
FERC ER10-1697	Deficiency Letter response due (March 14, 2011 Deficiency Letter)	4/13/2011
FERC ER11-2677	Deficiency Letter response due (March 14, 2011 Deficiency Letter)	4/13/2011
State of Kansas 11-PWTE-600-MIS	Prairie Wind Rebuttal to Staff and Intervenor Testimony due by 3 PM (March 9, 2011 Order Adopting Procedural Schedule)	4/18/2011
State of Kansas 11-PWTE-600-MIS	Prairie Wind, Staff, and Intervenor Response to Public Hearing Comments due by 3 PM (March 9, 2011 Order Adopting Procedural Schedule)	4/20/2011
FERC AD11-11	Post-Technical Conference comments due regarding issues discussed at the March 15, 2011 Technical Conference (March 18, 2011 Supplemental Notice Requesting Comments)	4/21/2011
FERC RM11-2	Reply Comments due in response to topics raised at January 31, 2011 Technical Conference on Smart Grid Interoperability Standards (February 16, 2011 Supplemental Notice Requesting Comments; March 2, 2011 Notice of Extension of Time)	4/22/2011
FERC RM11-9	Comments due in response to NOI seeking comment that would assist the Commission in providing guidance as to the circumstances under which locational exchanges of electric power should be permitted generically and circumstances under which the Commission should consider locational exchanges on a case-by-case basis (February 17, 2011 Notice of Inquiry)	4/25/2011
FERC ER11-2428	SPP's response to deficiency letter is due (February 25, 2011 Deficiency Letter)	4/26/2011
State of Kansas 11-PWTE-600-MIS	Prairie Wind Rebuttal to Staff and Intervenor Response to Public Hearing Comments; and Prairie Wind Response to Written Comments due by 3 PM (March 9, 2011 Order Adopting Procedural Schedule)	4/27/2011
State of Texas 39070	Intervenor Direct Testimony and/or Statement of Position due (SOAH Order No. 3 Adopting Procedural Schedule issued February 22, 2011)	4/29/2011
FERC 10-1178	FERC Form 582 due	4/30/2011

FERC RM11-7	Comments due in response to Notice of Proposed Rulemaking, in which FERC proposes to revise its regulations to remedy undue discrimination in the procurement of frequency regulation service in the organized wholesale electricity markets (NOPR issued February 17, 2011)	5/2/2011
FERC AD10-11	Comments due in response to Notice of Proposed Rulemaking, in which FERC proposes to revise its regulations to remedy undue discrimination in the procurement of frequency regulation service in the organized wholesale electricity markets (NOPR issued February 17, 2011)	5/2/2011
State of Arkansas 08-135-R	Evidentiary hearing begins at 9:30 AM for consideration of the proposed revisions to the Rules of Practice and Procedure, the Secretary's Guidelines, and Minimum Filing Requirements (Order No. 7 issued April 1, 2010; Order No. 12 issued January 14, 2011)	5/3/2011
State of Kansas 11-PWTE-600-MIS	List of disputed issues due by 3 PM; Prehearing Motions and Discovery Cut-off (March 9, 2011 Order Adopting Procedural Schedule)	5/3/2011
FERC ES09-25	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
State of Arkansas 09-037-U	SPP authorization to issue \$30 million in unsecured promissory notes expires (May 6, 2009 Order)	5/6/2011
State of Kansas 11-PWTE-600-MIS	Pre-hearing Conference begins at 10:30 AM (March 9, 2011 Order Adopting Procedural Schedule)	5/6/2011
FERC AD11-8	Comments due on report entitled "Use of Frequency Response Metrics to Assess the Planning and Operating Requirements for Reliable Integration of Variable Renewable Generation" by the Lawrence Berkeley National Laboratory (February 18, 2011 Notice of Extension of Time; January 20, 2011 Notice Inviting Comments on Report)	5/6/2011
State of Arkansas 10-011-U	EAI to file by Noon its initial assessment and recommendations regarding each of the viable strategic reorganization options studied by EAI (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	5/12/2011
State of Kansas 11-PWTE-600-MIS	Evidentiary Hearing begins at 9 AM (March 9, 2011 Order Adopting Procedural Schedule)	5/16/2011
State of Missouri EO-2011-0128	Parties to submit a status report regarding negotiations (March 21, 2011 Order Directing Parties to Submit a Status Report)	5/16/2011
State of Kansas 11-PWTE-600-MIS	Prairie Wind Initial Post-hearing Brief due by 3 PM (March 9, 2011 Order Adopting Procedural Schedule)	5/31/2011
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order)	6/1/2011

	Approving Certification of Stipulation)	
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2011
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2011
State of Kansas 11-PWTE-600-MIS	Staff and Intervenor Responsive Post-hearing briefs due by 3 PM (March 9, 2011 Order Adopting Procedural Schedule)	6/7/2011
State of Kansas 11-PWTE-600-MIS	Prairie Wind Reply Brief due by 3 PM (March 9, 2011 Order Adopting Procedural Schedule)	6/14/2011
FERC RM10-13	Order No. 741 Compliance Filing Due (Final Rule in Credit Reforms in Organized Wholesale Electric Markets issued October 21, 2010)	6/30/2011
State of Arkansas 10-011-U	Staff and Intervenors to file by Noon Supplemental Initial Testimony in response to the various documents filed by EAI on May 12, 2011, and offer recommendations on the five strategic reorganization options (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	7/12/2011
FERC RM10-17	Tariff revisions required to implement compensation approach are due pursuant to Final Rule, Demand Response Compensation in Organized Wholesale Energy Markets. The filing should include the data, analytical methods and the actual supply curves used to determine the monthly threshold prices for the last 12 months to show how the RTO or ISO would calculate the curves. (Order No. 745 issued March 15, 2011)	7/22/2011
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2011
State of Arkansas 10-011-U	EAI to file by Noon Supplemental Rebuttal Testimony in response to the July 12, 2011 Staff and Intervenor Supplemental Initial Testimony (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	8/4/2011
State of Arkansas 10-011-U	Staff and Intervenors to file by Noon Surrebuttal Testimony in response to EAI's August 4, 2011 Supplemental Rebuttal Testimony (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	8/18/2011
State of Arkansas 10-011-U	EAI to file Sur-surrebuttal Testimony in response to the Staff and Intervenor Surrebuttal Testimony due on August 18, 2011 (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	8/25/2011
FERC ER06-451	SPP Demand Response Status Report Due	9/1/2011

State of Arkansas 10-011-U	Evidentiary Hearing begins at 9:30 AM in APSC Hearing Room No. 1 to consider all issues raised by the parties (Order No. 29 issued January 3, 2011; Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	9/7/2011
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company to file a pleading accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP during a recent twelve-month test period (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2011
FERC RM10-13	Compliance filing due to comply with requirement related to ability to offset market obligations (Order 741-A issued February 17, 2011)	9/30/2011
State of Missouri EO-2006-0142	Kansas City Power & Light Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2011
State of Arkansas 10-011-U	APSC to issue its Final Order (Order No. 27 issued December 3, 2010; Order No. 20 issued August 31, 2010)	10/7/2011
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2011
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2011
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2011
FERC ES10-11	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (January 15, 2010 Order)	1/15/2012
State of Arkansas 09-128-U	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (February 1, 2010 Order)	1/15/2012
State of Missouri EO-2006-0141	Empire District Electric Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2012
FERC ER06-451	SPP Demand Response Status Report Due	3/1/2012
FERC 10-1178	FERC Form 582 due	4/30/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September	6/1/2012

	17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2012
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2012
FERC ER06-451	SPP Demand Response Status Report Due	9/1/2012
FERC RM10-17	Study results due pursuant to Final Rule, Demand Response Compensation in Organized Wholesale Energy Markets. Study must examine the requirements for, costs of, and impacts of implementing a dynamic net benefits approach to the dispatch of demand resources that takes into account the billing unit effect in the economic dispatch in both the day-ahead and real-time energy markets. (Order No. 745 issued March 15, 2011)	9/21/2012
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2012
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2012
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file Interim Report regarding SPS' continued participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2013
FERC ES11-14	Authorization to issue \$20 million in promissory notes expires (March 18, 2011 Letter Order)	3/18/2013
FERC 10-1178	FERC Form 582 due	4/30/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2013



## Regulatory Outlook

State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2013
State of Missouri EO-2006-0142	Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2013
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2013
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2013
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file Interim Report regarding LCEC's continued participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2013
State of Missouri EO-2006-0141	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
FERC 10-1178	FERC Form 582 due	4/30/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2014
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2014
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2014
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2014
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company's Interim Period ends (participation in SPP RTO) (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2015

---

FERC 10-1178	FERC Form 582 due	4/30/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2015
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2015
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative Inc.'s Interim Period ends (participation in SPP RTO) (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2015

---