Culture of Compliance: NPCC Related Activities

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Overview

- NPCC Quality Enhancement Program (QEP)
- Culture of Compliance
- Current Activities
- Future Activities

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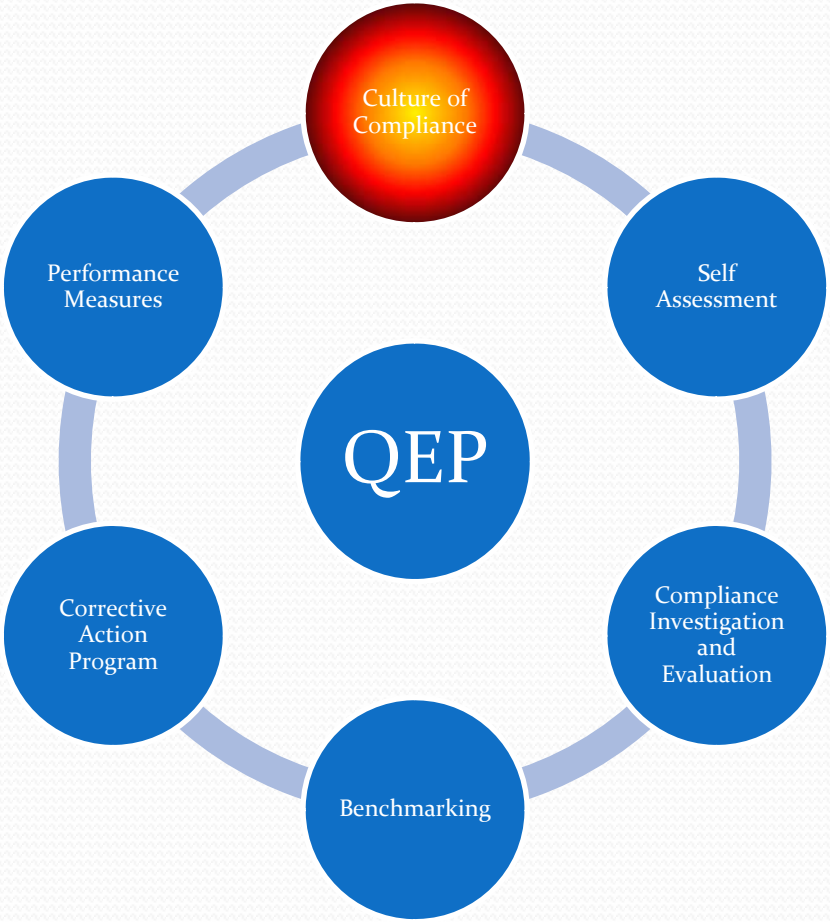
QEP Elements



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Culture Of Compliance – Attributes

- Leadership and Senior Management Involvement
- Written standards, policies and procedures
- Education, training and communication
- Monitoring/audits
- Mechanism to report

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Culture Of Compliance – Examples

- Self Assessment
- Gap Analysis
- Corrective Action Programs
- Benchmarking

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Culture Of Compliance – Survey

- Tool for Improvement
- All Registered Entities
- Evaluate Effectiveness
- Identify and Share "Best Practices"
- Improve Registered Entities Culture of Compliance
- NOT AN ENFORCEMENT MECHANISM

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Culture Of Compliance – Survey Development

- Existing NERC Practices
- Latest FERC Orders on Penalty Guidelines
- NPCC Compliance Committee
- Registered Entity Feedback

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Culture Of Compliance – Survey Components

- Active leadership Involvement
- Continuous Self Improvement
- Compliance oriented Culture

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Culture Of Compliance Survey– Current Activities

- Webinars
- Trial Test
- Survey Responses are Being Evaluated
- Best Practices Identified

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Culture Of Compliance Survey– Future Activities

- Review Comments/ Revise Survey As Needed
- Evaluate Survey Responses
- Present to NPCC Compliance Committee
- Distribute Survey to all Registered Entities

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Culture Of Compliance Survey– Future Activities

- Evaluate All Survey Responses
- Release Results - Confidential
- Identify Best Practices
- Share Best Practices

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