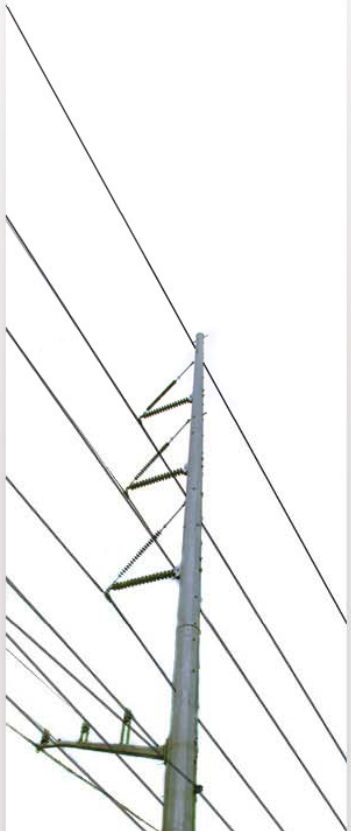
SPP Southwest Power Pool Regional Entity



Helping our members work together

to keep the lights on...

today & in the future

SPP Southwest Power Pool Regional Entity

Enforcement Update
June 21, 2011

By: Joe Gertsch
Southwest Power Pool Regional Entity

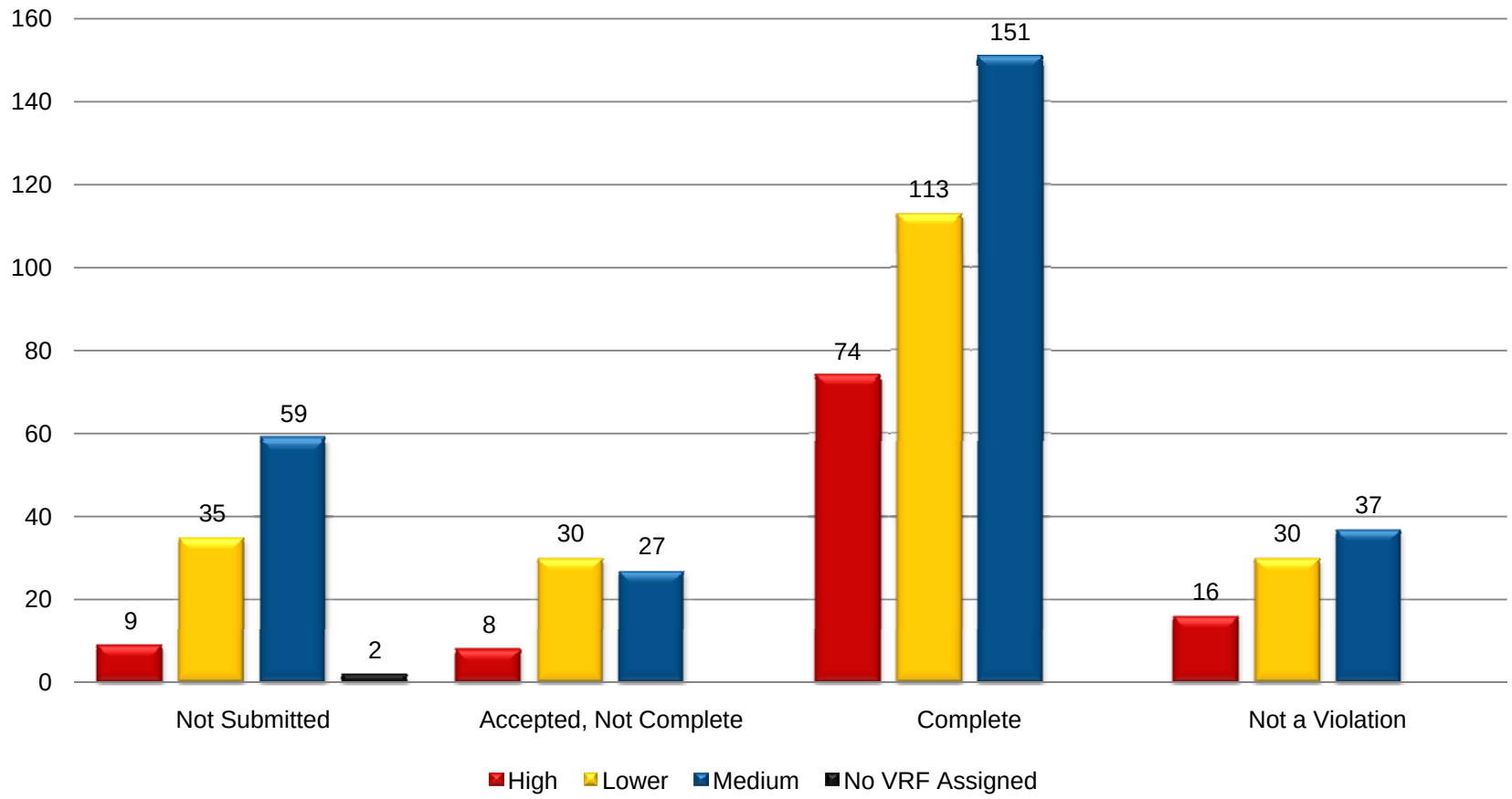
Compliance Enforcement Activities May 31, 2011	2007	2008	2009	2010	First Quarter	April	May
NPV							
Issued	6	56	132	254	37	39	15
Average Time to Notify NERC (Days)				9.39	4.2	3.23	4.93
NAVAPS							
NAVAPS Issued	6	45	10	7	0	0	0
NOCV							
NOCV Sent to Entity/NERC	0	8	25	15	4	0	0
NOCV BOTCC Approved	0	7	11	29	4	0	0
Settlements							
To NERC for Approval	0	0	0	89	0	0	15
BOTCC Approved	0	0	0	50	35	2	0
Administrative Citations							
To NERC for Approval	0	0	0	0	2	7	4
BOTCC Approved	0	0	0	0	18	0	0
Notice of Penalty							
Approved by FERC	0	5	13	57	48	0	0
Running Total Outstanding Violations							340
Mitigation Plan Status							
Accepted by SPP RE	7	52	39	221	48	29	17
Verified Complete by SPP RE	0	12	32	224	49	17	4
Average MP Completion (Days)				23.5	12.9	12.24	1.25

VIOLATION AGING TABLE as of April 30, 2011	< 50 days	50-100 days	101-200 days	201-300 days	>300 days
Violations (No Navaps/Settlement)	46	11	26	62	30
NAVAPS Issued/ No NOCV or Settlement	0	0	0	0	0
In Settlement but Settlement Agreement not Approved	8	14	34	36	59

VIOLATION AGING TABLE as of May 31, 2011	< 50 days	50-100 days	101-200 days	201-300 days	>300 days
Violations (No Navaps/ Settlement)	46	15	30	46	37
NAVAPS Issued/ No NOCV or Settlement	0	0	0	0	0
In Settlement but Settlement Agreement not Approved	6	13	17	59	45

Compliance Enforcement Metric Performance May 31, 2011	2010 Totals	First Quarter	April	May	YTD	2011 Metric Goal
NPV						
Issued	254	37	39	15	91	
Average Time to Notify NERC (Days)	9.39	4.2	3.23	4.93	4.04	5
NOCV/Settlement/Dismissals to NERC						
NOCV Sent to Entity/NERC	15	4	0	0	4	
Settlements @ NERC for Approval	89	0	0	15	15	
Dismissals	15	10	2	6	18	
Administrative Citations @ NERC	0	2	7	4	13	
Total	119	16	9	25	50	150
Metric Value		133	77	229	439	1692
NOCV/Settlement/Dis. Approved by BOTCC						
NOCV BOTCC Approved	29	4	0	0	4	
Settlements BOTCC Approved	50	35	2	0	37	
Dismissals	18	9	3	4	16	
Administrative Citations BOTCC Approved		18	0	0	18	
Total	97	66	5	4	75	150
Mitigation Plan Metric						
Verified Complete by SPP RE		49	17	4	70	
Average MP Completion		12.9	12.24	1.25	11.83	<30

Status of SPP RE Mitigation Plans by Violation Risk Factor
as of May 31, 2011



Questions?