

FERC or State Jurisdiction: FERC

- AD10-12** **Increasing Market and Planning Efficiency through Improved Software**
- On June 28, 2011, a Technical Conference was held to discuss opportunities for increasing real-time and day-ahead market efficiency through improved software.
- On July 8, 2011, FERC issued a Notice Establishing Date for Comments regarding matters discussed at the June 28-30, 2011 Technical Conference. Comments are due July 22, 2011.
- On July 22, 2011, parties submitted post-technical conference comments.
- CE11-27** **Request of Denise Benoit, et al. for Critical Energy Infrastructure Information - Energy Consulting on behalf of General Electric International, Inc.**
- FERC issued a Notice of Intent to Release on June 7, 2011.
- CE11-80** **Kaustubh Deshmukh, et al.'s Request for Critical Energy Infrastructure Information - ITC Holdings**
- FERC issued a Notice of Intent to Release on July 18, 2011.
- CE11-98** **Cesar Rojas's Request to FERC for Critical Energy Infrastructure Information - EcoEnergy**
- FERC issued a Notice of Intent to Release on June 22, 2011.
- CE11-110** **Mohammad Shahidehpour, et al.'s Request for Critical Energy Infrastructure Information - Illinois Institute of Technology**
- FERC issued a Notice of Intent to Release on June 29, 2011.
- CE11-131** **Kiran Thammineni's Request for Critical Energy Infrastructure Information - Ameren Corp.**
- FERC issued a Notice of Intent to Release on June 29, 2011.
- CE11-134** **Stephen S. Miller, et al.'s Request for Critical Energy Infrastructure Information - Commonwealth Associates, Inc.**
- On May 4, 2011, Stephen S. Miller, Section Manager - Transmission 2000 for Commonwealth Associates, Inc., filed a FERC CEII request on behalf of himself and nineteen additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed July 18, 2011.
- On July 25, 2011, SPP submitted comments on this request.
- CE11-143** **Susan E. Powers' Request for Critical Energy Infrastructure Information - Clarkson University**
- On May 10, 2011, Susan E. Powers, Associate Director for Sustainability and Professor of Civil and Environmental Engineering at Clarkson University, filed a FERC CEII request to obtain a copy of 2008, 2009, and 2010 FERC Form No. 715 data, as noticed June 23, 2011.
- On June 30, 2011, SPP submitted comments on this request.

- CE11-150** **Yohan Sutjandra's Request for Critical Energy Infrastructure Information -The Energy Authority**
- FERC issued a Notice of Intent to Release on June 28, 2011.
- CE11-151** **Robert Hurtig's Request for Critical Energy Infrastructure Information - Electrical Consultants, Inc.**
- FERC issued a Notice of Intent to Release on June 28, 2011.
- CE11-160** **Anthony Wayne Galli's Request for Critical Energy Infrastructure Information - Clean Line Energy Partners**
- On June 22, 2011, SPP submitted comments on this request.
- CE11-170** **Israel Melendez, et al.'s Request for Critical Energy Infrastructure Information - Constellation Energy Commodities Group**
- On June 28, 2011, Israel Melendez, Vice President - Grid Optimization for Constellation Energy Commodities Group, filed a FERC CEII request on behalf of himself and eight additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed July 8, 2011.
- On July 13, 2011, SPP submitted comments on this request.
- CE11-178** **Dr. Seraphin Chally Abou and Rahul Verma's Request for Critical Energy Infrastructure Information - University of Minnesota Duluth**
- On July 9, 2011, Dr. Dr. Seraphin Chally Abou, Professor at the University of Minnesota Duluth, Department of Mechanical and Industrial Engineering, Environmental Health and Safety Program, filed a FERC CEII request on behalf of herself and graduate student Rahul Verma to obtain a copy of the FERC Form No. 715 data filed by SPP in 2011, as noticed July 19, 2011.
- On July 25, 2011, SPP submitted comments on this request.
- CE11-180** **Vincent Yang's Request for Critical Energy Infrastructure Information - Calpine Corporation**
- On July 12, 2011, Vincent Yang, Director of Transmission for Calpine Corporation, filed a FERC CEII request to obtain a copy of SPP FERC Form No. 715 data, as noticed July 13, 2011.
- On June 20, 2011, SPP submitted comments on this request.
- CE11-180.001** **Wiktor Charytoniuk's Request for Critical Energy Infrastructure Information - Calpine Corporation (Additional Requester)**
- On July 19, 2011, Vincent Yang, Director of Transmission for Calpine Corporation, requested that Wiktor Charytoniuk, Transmission Analyst III, be added to the original FERC CEII request in this docket, as noticed July 20, 2011.
- CE11-180.002** **Edward Wen and Hannah Wang's Request for Critical Energy Infrastructure Information - Calpine Corporation (Additional Requesters)**
- On July 20 and July 21, 2011, Vincent Yang, Director of Transmission for Calpine Corporation,

requested that Edward Wen and Hannah Wang be added to the original FERC CEII request in this docket, as noticed July 26, 2011.

CE11-182

Brett Rollow's Request for Critical Energy Infrastructure Information - Pattern Energy Group LP

On July 18, 2011, Brett Rollow, Transmission Manager for Pattern Energy Group LP, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed July 25, 2011.

EL11-34

Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP

On July 1, 2011, FERC issued an order granting MISO's Petition seeking Commission confirmation that the terms of the MISO-SPP JOA, regarding the sharing of transmission capacity on a common path, as set forth in section 5.2 of the JOA, will remain in effective and applicable to Entergy Arkansas, Inc. in the event Entergy becomes a transmission-owning member of MISO.

FERC found that Section 5.2 of the JOA would allow for the sharing of available transmission capacity between MISO and Entergy Arkansas and SPP and Entergy Arkansas in the event that Entergy Arkansas becomes a transmission-owning member of MISO.

While FERC found that Section 5.2 permits SPP's and MISO's shared use of available transmission capacity with Entergy Arkansas, FERC also recognized SPP's statement that the MISO-SPP JOA should be renegotiated. FERC stated that MISO and SPP have an obligation to negotiate in good faith in response to revisions either MISO or SPP may propose.

ER02-2001

Revised Public Utility Filing Requirements for Electric Quarterly Reports

On June 24, 2011, FERC issued a Notice of Electric Quarterly Reports Users Group Meeting to be held from 9 AM to 12 PM Eastern Time on July 13, 2011. Commission Staff and EQR users will discuss plans for EQR redesign with software implementation now adopting an XML technical approach.

ER05-1065

Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term

On June 30, 2011, SPP submitted the ICT's Second Quarterly Performance Report for 2011.

ER10-1269

SPP Submission of Tariff Revisions to Incorporate a Modified Transmission Planning Process, the Integrated Transmission Plan ("ITP")

On July 21, 2011, FERC issued an Order Denying Rehearing and Granting Clarification.

FERC denied the requests for rehearing filed by Nebraska Public Power District, East Texas Cooperatives and the Joint Protestors.

FERC granted the East Texas Cooperatives clarification request. FERC clarified that its determinations in this proceeding do not limit a party's ability to file a complaint under section 206 of the Federal Power Act relating to practices in the ITP Manual if it believes that the implementation process detailed in the ITP Manual, when put into practice, have an unjust, unreasonable or unduly discriminatory effect on SPP's rates or services.

- ER10-3357** **Entergy Services, Inc. Order 729 and 676-E Compliance Filing**
- On July 12, 2011, Entergy submitted its response to the deficiency letter issued on May 13, 2011.
- ER11-2528** **SPP Filing of an Unexecuted Generator Interconnection Agreement ("GIA") between North Buffalo Wind, LLC ("North Buffalo") as Interconnection Customer and Oklahoma Gas and Electric Company ("OG&E") as Transmission Owner**
- On June 27, 2011, SPP submitted its compliance filing pursuant to the May 27, 2011 Order.
- ER11-3243** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Midwest Energy, Inc. ("Midwest") as Host Transmission Owner**
- On June 21, 2011, SPP re-submitted its Transmittal Letter and Exhibit 1 to its June 16, 2011 Filing. Due to a software issue, these documents were not rendering on the Commission's eLibrary website.
- ER11-3281** **Midwest Independent Transmission System Operator, Inc. ("Midwest ISO") Submission of Proposed Revisions to the Reliability Coordination Service and Congestion Management Coordination Service Sections of its Energy and Operating Reserve Markets Tariff**
- On June 24, 2011, Midwest ISO submitted its compliance filing pursuant to the May 31, 2011 Order Conditionally Accepting Proposed Tariff Revisions.
- On June 30, 2011, Basin Electric Power Cooperative, Western Area Power Administration and Heartland Consumers Power District (the "IS Parties") filed a Request for Rehearing and Clarification of the May 31, 2011 Order.
- The IS Parties stated that the Commission should grant rehearing and either reject MISO's proposed Section 82.5a or set it for hearing because MISO has not demonstrated that it is just and reasonable; or alternatively, the Commission should clarify the scope of the section and require MISO to modify the section to reflect such clarification. The IS Parties also stated the Commission should grant rehearing and direct MISO to negotiate a bilateral seams service agreement with the IS Parties if they terminate Seams Service under MISO's Tariff.
- On July 15, 2011, Midwest ISO submitted a response to the Request for Rehearing and Clarification filed by Basin Electric Power Cooperative, Western Area Power Administration and Heartland Consumers Power District on June 30, 2011.
- ER11-3450** **SPP Filing of a Designee Qualification and Novation Agreement between SPP, ITC Great Plains, LLC ("ITC"), and Sunflower Electric Power Corporation ("Sunflower")**
- On June 24, 2011, FERC issued a letter order accepting the agreement, effective April 1, 2011 as requested.
- This order constitutes final agency action.

- ER11-3451** **SPP Filing of a Designee Qualification and Novation Agreement between SPP, ITC Great Plains, LLC ("ITC"), and Mid-Kansas Electric Company, LLC ("MKEC")**
- On June 24, 2011, FERC issued a letter order accepting the agreement, effective April 1, 2011 as requested.
- This order constitutes final agency action.
- ER11-3452** **SPP Filing of a Designee Qualification and Novation Agreement between SPP, Prairie Wind Transmission, LLC ("Prairie Wind"), and Mid-Kansas Electric Company, LLC ("MKEC")**
- On June 24, 2011, FERC issued a letter order accepting the agreement, effective April 1, 2011 as requested.
- This order constitutes final agency action.
- ER11-3453** **SPP Filing of a Designee Qualification and Novation Agreement between SPP, Prairie Wind Transmission, LLC ("Prairie Wind"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar")**
- On June 24, 2011, FERC issued a letter order accepting the agreement, effective April 1, 2011 as requested.
- This order constitutes final agency action.
- ER11-3455** **SPP Submission of Revisions to OATT to Implement Rate Changes for Prairie Wind Transmission, LLC ("Prairie Wind")**
- On June 30, 2011, FERC issued an order accepting the rate changes, effective April 1, 2011 as requested.
- This order constitutes final agency action.
- ER11-3487** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner**
- On June 28, 2011, FERC issued a letter order accepting the agreement, effective April 1, 2011 as requested.
- This order constitutes final agency action.
- ER11-3488** **Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Grand River Dam Authority ("GRDA") as Transmission Customer**
- On June 27, 2011, FERC issued a letter order accepting the agreement, effective April 1, 2011 as requested.
- This order constitutes final agency action.
- ER11-3490** **Comprehensive Seams Agreement between Entergy Services, Inc. and Southwest Power Pool, Inc. (SPP Rate Schedule No. 12)**

On July 26, 2011, FERC issued an order accepting the revisions to the seams agreement, effective May 2, 2011 as requested.

This order constitutes final agency action.

ER11-3494

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC") and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On July 1, 2011, FERC issued an Order conditionally accepting the agreement, effective April 1, 2011.

Attachment D of the NITSA was accepted for informational purposes only.

KPP's request to suspend the wholesale distribution charges was rejected.

FERC accepted the Notice of Cancellation for SPP Service Agreement Nos. Second Revised 1822 and Substitute Second Revised 1617.

FERC rejected Mid-Kansas's assertion that SPP improperly utilized a single network operating agreement to cover multiple host transmission owners.

FERC found that language added to Sections 8.1-Transmission Charge, 8.3-Direct Assignment Facility Charge, and the redispatch language added to 8.8-Redispatch Charge are fill-in-the-blank type revisions and do not need to be filed with the Commission.

Regarding the language added to Section 8.12, FERC finds it unnecessary since KPP will take Regulation and Frequency Response service under a separate agreement in accordance with Schedule 3A of Westar Energy's OATT. Therefore, SPP was directed to submit a compliance filing to remove this language by August 1, 2011.

FERC rejected the loss provision language in Sections 8.5 and 8.6 and Appendix 3. SPP must submit a compliance filing to remove this language by August 1, 2011.

ER11-3498

Unexecuted Generator Interconnection Agreement ("GIA") between WindFarm 66 LLC ("WindFarm 66") as Interconnection Customer and Western Farmers Electric Cooperative ("WFEC") as Transmission Owner

On June 24, 2011, WindFarm 66 filed an Answer in response to SPP's June 9, 2011 Answer. WindFarm 66 requested that the Commission reject SPP's Answer because SPP did not seek permission to file such an Answer, nor did SPP specify any good cause or justification for filing the Answer to the Protest.

On July 1, 2011, FERC issued a letter order accepting the agreement, effective April 18, 2011 as requested.

FERC rejected WindFarm 66's arguments regarding the power factor requirements and SPP's interpretation of the Definitive Interconnection System Impact Study.

ER11-3504

SPP Submission of Revision to Attachment AD of its OATT to Amend Tariff Administration Agreement between SPP and Southwestern Power Administration

("SPA")

On July 1, 2011, FERC issued an order accepting the revisions to Attachment AD to amend the Tariff Administration Agreement between SPP and Southwestern Power Administration.

The Commission stated it found American Electric Power Service Corporation's concerns outlined in its May 24, 2011 Protest to be either misplaced or premature.

An effective date of April 1, 2011 was requested.

ER11-3511

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and The Board of Public Utilities, Springfield, Missouri ("City Utilities") as Network Customer and Host Transmission Owner

On June 24, 2011, FERC issued a letter order accepting the agreement, effective April 4, 2011 as requested.

This order constitutes final agency action.

ER11-3599

SPP Submission of Notice of Cancellation for the Meter Agent Services Agreement between Entergy Power Ventures, LP ("EPV") as Market Participant and American Electric Power Service Corporation ("AEP") as Meter Agent

On July 13, 2011, FERC issued an order accepting the Notice of Cancellation, effective April 1, 2011 as requested.

This order constitutes final agency action.

ER11-3601

SPP Submission of Revisions to OATT to Implement Rate Changes for AEP Southwestern Transmission Company, Inc. and AEP Oklahoma Transmission Company, Inc.

On July 13, 2011, FERC issued an order accepting the rate changes, effective April 21, 2011 as requested.

This order constitutes final agency action.

ER11-3622

Generator Interconnection Agreement ("GIA") between Ensign Wind, LLC ("Ensign") as Interconnection Customer and Mid-Kansas Electric Company, LLC ("MKEC") as Transmission Owner

On July 18, 2011, FERC issued a letter order accepting the agreement, effective April 22, 2011 as requested.

This order constitutes final agency action.

ER11-3627

SPP Submission of Tariff Revisions to Implement Make-Whole Process for Out of Merit Energy Dispatch

On July 22, 2011, FERC issued a deficiency letter requiring additional information in order to process the filing.

- ER11-3650** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners**
- On July 13, 2011, SPP filed a Motion to Defer Action in order to allow FERC additional time to issue an order.
- ER11-3666** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Gas and Electric Company ("OG&E") as Network Customer, and OG&E, American Electric Power Service Corporation ("AEP"), and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners**
- On July 18, 2011, FERC issued a letter order accepting the agreement, effective May 1, 2011 as requested.
- This order constitutes final agency action.
- ER11-3672** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Valley Electric Cooperative Corporation ("AVEC") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner**
- On June 21, 2011, Arkansas Electric Cooperative Corporation filed a Motion to Intervene.
- On July 18, 2011, FERC issued a letter order accepting the agreement, effective May 1, 2011 as requested.
- This order constitutes final agency action.
- ER11-3710** **SPP Submission of Tariff Revisions to Attachment AE to Facilitate the Stakeholder-Approved Protocol to Curtail Non-Dispatchable Resources in the SPP Energy Imbalance Market ("EIS Market") During Periods of Congestion**
- On June 24, 2011, Xcel Energy Services Inc. filed a Motion to Intervene.
- On June 24, 2011, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene and comments in support of SPP's June 3, 2011 Filing.
- On June 24, 2011, the American Wind Energy Association and the Wind Coalition filed a Motion to Intervene and Protest.
- The parties stated:
- 1) an exemption and/or a longer transition period is necessary for some non-dispatchable resources ("NDRs");
 - 2) NDRs should have a greater ability to adjust their schedules closer to real time; and
 - 3) the dispatch of resources in the Energy Imbalance Market should be based on economics.
- On June 24, 2011, Acciona Wind Energy USA LLC filed a Motion to Intervene and Protest.
- Acciona stated:
- 1) SPP's filing unduly discriminates against intermittent resources and Qualifying Facilities ("QFs");

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- 2) a Technical Conference is required to examine the potential negative consequences of the filing, and the meetings described in the filing provided an insufficient record regarding the proposed revisions;
- 3) SPP's filing is inconsistent with the approach taken in the proceeding involving the Solomon Forks Interconnection Agreement decision;
- 4) SPP's alleged congestion concerns would not be assuaged by its proposed revisions, instead the Commission should require that SPP make wind a dispatchable resource and implement other market changes;
- 5) the exemption provided for QFs from uninstructed deviation charges is insufficient; and
- 6) exemption for previously completed projects and projects under development.

On June 28, 2011, Western Farmers Electric Cooperative filed a Motion for Leave to Intervene Out of Time and Comments in support of SPP's June 3, 2011 Filing.

On July 1, 2011, Exelon Corporation filed a Protest.

Exelon stated:

- 1) the Commission should require SPP to show that its proposal is necessary and reasonable and should require SPP to provide for an exemption for certain older wind facilities and a longer transition period for others; and
- 2) SPP's specific provision for the curtailment of a Qualifying Facility exercising its PURPA rights should be changed from NERC TLR Level 3 to TLR Level 5.

On July 11, 2011, Xcel Energy Services Inc. filed an answer in response to the Protests filed in this proceeding.

Xcel stated:

- 1) SPP's proposal does not discriminate against non-dispatchable resources;
- 2) SPP dispatches economically within current Reliability Standards and curtailment at Transmission Loading Relief Level 3 is appropriate for a Qualifying Facility exercising its PURPA rights;
- 3) A change to SPP's scheduling methodology is not required;
- 4) A technical conference is not required; and
- 5) FERC should not direct SPP to accelerate market development.

On July 12, 2011, SPP filed an answer in response to the Protests filed in this proceeding.

SPP stated:

- 1) The proposed revisions to SPP's curtailment protocols will enhance the reliability of the transmission system and are not discriminatory;
- 2) The new curtailment protocols will apply to all non-dispatchable resources, the four-month transition period is necessary to achieve the goals of the proposal, and the transition is sufficient to enable compliance by resources;
- 3) The new curtailment protocols are designed to solve a problem in the existing EIS market; Acciona's request to accelerate SPP's plans for a day-ahead market is beyond the scope of this proceeding; and
- 4) The request for a technical conference is unsupported.

On July 19, 2011, Exelon Corporation filed an answer in response to Xcel Energy Services Inc.'s July 11, 2011 answer and SPP's July 12, 2011 answer.

Exelon stated:

- 1) SPP failed to address the time and costs required for older wind units to comply with the proposed new requirements; SPP should allow a longer transition period and exemptions for Exelon Wind 1, 2 and 3 because of the unduly burdensome cost of retrofitting these older, small wind facilities;
- 2) Xcel's attempt to avoid the PURPA purchase obligation by taking inferior - or no - transmission service contradicts PURPA; under the Commission's PURPA Regulations, a host utility must purchase QF power except during a "system emergency"; and
- 3) Xcel's assertion that every TLR level is a "system emergency" is simply incorrect.

ER11-3711

SPP Submission of Revisions to OATT to Incorporate a Revenue Requirement Formula Rate and Protocols for Tri-County Electric Cooperative, Inc. ("Tri-County")

On June 24, 2011, West Texas Municipal Power Agency filed a Motion to Intervene.

On June 24, 2011, Occidental Permian, LTD and Occidental Power Marketing, L.P. filed a Motion to Intervene and Protest.

Occidental requested that the Commission dismiss the application and require refiling of the proposal to include the information necessary to support the rate application. Alternatively, Occidental requested that the Commission suspend the formula rate filing for the maximum five-month period, then place it into effect subject to a refund and establish settlement judge procedures and a hearing.

On June 24, 2011, Xcel Energy Services Inc. filed a Motion to Intervene and Protest.

Xcel Energy stated:

- 1) the Commission has jurisdiction to determine whether the Tri-County rates are just and reasonable;
- 2) Tri-County has not shown that it complied with SPP Attachment AI - Transmission Definition;
- 3) the Tri-County formula rate template lacks transparency, documentation and precision;
- 4) the proposed tariff sheets would produce excessive charges to SPP customers; and
- 5) the proposed protocols are inadequate.

On June 24, 2011, Farmers' Electric Cooperative, Inc., Lea County Electric Cooperative, Inc., Central Valley Electric Cooperative, Inc., and Roosevelt County Electric Cooperative, Inc. ("New Mexico Cooperatives") filed a Motion to Intervene and Comments.

The New Mexico Cooperatives believe SPP's filing provides an incomplete description of the Tri-County facilities for which Tri-County has transferred control to SPP and which are to be included in Tri-County's Zonal Annual Transmission Revenue Requirement under the SPP Tariff.

On July 14, 2011, SPP filed a Motion to Withdraw its June 3, 2011 Filing.

ER11-3728

Midwest Independent Transmission System Operator, Inc. ("MISO") Section 205 Filing to Seek Transitional Waiver of Provisions of Open Access Transmission, Entergy and Operating Reserve Markets Tariff Regarding Allocation of Transmission Network Upgrade Costs, For Purposed of Integration of Entergy Corporation and its Operating Companies

On June 22, 2011, the Illinois Commerce Commission filed a Notice of Intervention and Comments.

The Illinois Commerce Commission stated:

- 1) Midwest ISO's request for an expedited Commission decision should be denied;
- 2) the Commission should reject Midwest ISO's waiver request as improper because it is premature, overly broad, lacks specificity, and violates the Federal Power Act;
- 3) the concern about unfair network transmission cost shifting between the Southern and Northern regions would largely disappear if the cost socialization elements in Midwest ISO's Tariff were eliminated and replaced with a meaningful benefits test and allocation of costs reasonably commensurate with expected benefits;
- 4) the fact that Midwest ISO has not historically planning system upgrades in coordination with Entergy is irrelevant;
- 5) Midwest ISO's suggestion that "Regional Cost Allocation Rules" can be applied without subsidization concerns once "comparability" is attained is unsupported and unfounded;
- 6) Midwest ISO proposed to retain complete discretion over how "comparability" will be achieved and how and when comparability will be determined to have been achieved;
- 7) Midwest ISO's proposal regarding network upgrades partly terminating in both the Northern and Southern Planning Regions is not clear; and
- 8) Midwest ISO's description of proposed cost allocation rules both during and after the transition period are not clear.

On June 23, 2011, the Missouri Public Service Commission ("MoPSC") filed a Notice of Intervention and Protest.

The MoPSC stated:

- 1) there is a lack of basis for the waiver;
- 2) not all projects terminating in "MISO North" should be paid for exclusively by "MISO North";
- 3) there is no stakeholder input; and
- 4) there is lack of detail about the "MISO South" Region.

On June 23, 2011, the Public Service Commission of Wisconsin ("PSCW") filed a Notice of Intervention and Comments.

The PSCW stated:

- 1) four conditions on any waiver are necessary to protect the consuming public during the lengthy transition;
- 2) there must be an objective measurement of comparability;
- 3) there should be required consultation with MISO States;
- 4) cost sharing of non-MVP projects need additional study; and
- 5) the integration of Entergy needs to be conditioned for flexibility as the national policies and markets can and do change.

On June 24, 2011, Indiana Utility Regulatory Commission filed a Notice of Intervention and Comments.

The Indiana Commission stated while it generally supports MISO's filing and the integration of Entergy, the MISO filing does not provide sufficient information to grant the requested waiver, particularly in an expedited basis.

On June 24, 2011, the Coalition of Midwest Transmission Customers filed a Protest.

The Coalition stated that MISO's request is premature because it will likely be affected by the Commission's decision in FERC Docket No. EL11-34, MISO's Petition for Declaratory Order

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seeking Commission confirmation that the terms of the Joint Operating Agreement in effect between SPP and MISO.

On June 24, 2011, Cleco Power, LLC filed a Motion to Intervene and Protest.

Cleco stated that within the proposed Southern Planning Region, Entergy and its load should be solely responsible for transmission upgrades on its system, and Cleco and its load should be solely responsible for upgrades on its system, throughout the transition period. Cleco believes such costs of achieving comparability with the rest of MISO "should not be shared" within the region during the transition period any more than they should be shared between regions. They go on to state that there should be no "subsidization or wealth transfers" between transmission owners within the Southern Planning Region as each takes whatever steps, if any, that are necessary to "build up" its transmission infrastructure to a level comparable to the rest of MISO.

Cleco requested FERC grant MISO's waiver of its existing cost allocation methodology, but require MISO to file tariff sheets proposing a cost allocation methodology for MISO's Southern Planning Region for the transition period.

On June 24, 2011, the East Texas Cooperatives filed a Motion to Intervene and Protest.

The Cooperatives stated:

- 1) the requested Waiver would provide Entergy the means to further delay transmission improvements while benefiting from the congestion of the MISO Day-2 Market;
- 2) MISO fails to meet the basic requirements for obtaining a Tariff Waiver;
- 3) the requested Waiver is fundamentally at odds with explicit Commission findings; and
- 4) the requested waiver cannot be squared with Commission precedent concerning how and when eligibility for cost sharing for new Transmission Owners is to be determined.

On June 24, 2011, the MISO Transmission Owners filed a Motion to Intervene and Comments.

The MISO TOs stated:

- 1) the Commission should require MISO to provide greater specificity regarding the studies and assumptions applied in the comparability analysis;
- 2) MISO has not justified the length of the requested transition period; and
- 3) MISO should be required to file its proposed rules for network upgrade cost allocation during the transition period as part of its tariff.

On June 24, 2011, Alliant Energy Corporate Services, Inc. filed a Motion to Intervene and Comments.

Alliant Energy stated that it is imperative that both MISO and Entergy work diligently to ensure that comparability between the Northern and Southern Planning Regions occurs sooner rather than later. Alliant Energy also seeks clarification and additional information regarding certain aspects of MISO's proposal to establish a transition period to allow for integration of Entergy into MISO's footprint.

On June 24, 2011, Union Power Partners, L.P. filed a Motion to Intervene and Comments.

Union Power stated the Commission should require MISO:

- 1) to provide greater specificity regarding the tariff provisions for which it is seeking waiver; and
- 2) to explain what planning process, if any, it will apply to the Entergy Region during the initial

five-year transition period.

On June 24, 2011, Arkansas Electric Cooperative Corporation filed a Motion to Intervene and Protest.

AECC stated:

- 1) MISO's request for waiver does not meet the Commission's standards for granting waivers; and
- 2) MISO's argument in support of its waiver request contradict arguments made by MISO, and findings made by the Commission in FERC Docket No. ER10-1791 where MISO filed its tariff changes to establish a new category of transmission projects designated Multi Value Projects ("MVPs").

On June 24, 2011, FirstEnergy Service Company filed a Motion to Intervene and Limited Protest.

FirstEnergy objects to MISO's proposal to forbear from allocating Multi Value Projects costs to the Entergy zone until such time as MVP benefits to that zone have been demonstrated, while denying the same treatment to similarly situated Transmission Owner zones.

On June 24, 2011, MISO Northeast Transmission Customers filed Comments in support of MISO's June 3, 2011 Filing.

On June 24, 2011, Entergy Services, Inc. filed a Motion to Intervene and Comments in support of MISO's June 3, 2011 Filing.

Entergy stated it supports MISO's request for Transitional Waiver because it is consistent with Commission policy respecting transmission planning and cost allocation and will facilitate the Entergy Operating Companies' voluntary choice of Regional Transmission Organization participation.

On June 27, 2011, Great River Energy and the NSP Companies filed comments. The parties generally support MISO's filing, but want to ensure that the Multi Value Projects tariff language does not inadvertently result in cost sharing with the Northern Planning region in a manner inconsistent with the statements in MISO's June 3, 2011 Filing.

On July 5, 2011, MISO filed an Answer in response to comments and protests filed in response to MISO's June 3, 2011 Filing.

MISO stated:

- 1) It is submitting a matrix clarifying the transitional cost allocation rules resulting from the requested waiver;
- 2) Tariff revisions are unnecessary because the waiver request is of limited scope, remedies a real problem, and has no undesirable consequences;
- 3) Tariff provisions to be waived need not be itemized in great detail, but MISO herein provides highlighted copies of key provisions covered by waiver;
- 4) If comparability is not achieved after the transition period, MISO will seek to continue limiting cost allocation across both planning regions, while exploring other approaches that meet needs of combined footprint;
- 5) No need for stating a broad option to revisit waiver in case of material change in circumstances during the transition period; and
- 6) The proposed waiver needs to be resolved and made effective expeditiously.

On July 11, 2011, Entergy Services, Inc. submitted limited supplemental comments regarding Midwest ISO's June 3, 2011 Filing.

Entergy requested Commission action by August 26, 2011 for the purposes of providing timely information on this important matter to the retail regulators of the Entergy Operating Companies.

Entergy also stated:

- 1) With respect to the transition period applicable to Multi Value Projects ("MVP"), it is important that the Commission affirm the principle that, before the transition period can end and the Entergy region can be allocated a share of the cost of existing MVPs in the MISO footprint (Northern Planning Region), additional MVP projects must be identified such that the total projected benefits to the Entergy region from all MVP projects are roughly commensurate with its allocated share of all MVP costs; and
- 2) With respect to the transition period applicable to congestion studies, Entergy agrees that the Northern Planning Region should not be allocated the costs of new transmission facilities that may be needed in the Entergy footprint (Southern Planning Region) to conform to MISO's planning criteria applicable to congestion.

On July 13, 2011, Nebraska Public Power District filed a Motion to Intervene Out-of-Time and a Request for Clarification.

NPPD sought clarification that the June 3, 2011 Filing is not a proceeding where the Commission anticipated that issues concerning the potential impacts of Entergy Arkansas joining MISO, including the impact on loop flows and compensation therefore, could be raised and addressed. If this is the case, NPPD requested that the Commission establish procedures to address and resolve external loop flow issues.

On July 15, 2011, the Louisiana Public Service Commission submitted comments requesting that FERC issue a decision timely.

On July 19, 2011, Great River Energy and the NSP Companies filed an answer requesting that the Commission clarify that Entergy must bear all of the costs associated with all projects, including Multi Value Projects, required to achieve comparability with the Northern Planning Region.

On July 19, 2011, the East Texas Cooperatives filed an answer in response to MISO's July 5, 2011 answer.

The Cooperatives stated:

- 1) MISO provides no answer to the argument that, as Entergy will stand to benefit from joining MISO's Day 2 Market, there can be no grounds for the waiver; and
- 2) MISO failed to adequately answer the protests.

On July 20, 2011, Arkansas Electric Cooperative Corporation filed an answer in response to MISO's July 5, 2011 answer.

AECC stated:

- 1) MISO's July 5, 2011 answer revises its June 3, 2011 filing in a number of aspects and should be treated as an amendment to its application;
- 2) MISO's answer changes its description of the Tariff sections for which it seeks waiver;
- 3) MISO provided a four-page matrix describing - for the first time - the cost allocation rules

that would apply to various types of projects during the duration of the requested waiver;
4) the Commission should notice the MISO answer as an amended application and invite comments and protests from interested parties.

ER11-3776

SPP Submission of Revisions to OATT to Implement Rate Changes for Kansas City Power & Light Company ("KCPL") and KCP&L Greater Missouri Operations Company ("KCPL-GMO")

On July 6, 2011, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

ER11-3805

Entergy Services, Inc. Filing of Proposed Revisions to Attachment V of its OATT (Weekly Procurement Process)

On July 20, 2011, FERC issued an order accepting the tariff revisions, effective July 1, 2011 as requested.

This order constitutes final agency action.

ER11-3833

Generator Interconnection Agreement ("GIA") between BP Wind Energy North America, Inc. ("BP Wind") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On July 11, 2011, BP Wind Energy North America, Inc. filed a Motion to Intervene.

ER11-3877

SPP Submission of Tariff Revisions to Update the Annual Transmission Revenue Requirement ("ATRR") for Oklahoma Gas & Electric Company ("OG&E")

On June 24, 2011, SPP submitted revisions to its OATT to implement updates to the Annual Transmission Revenue Requirement for Oklahoma Gas & Electric Company, which is a transmission owner and pricing zone within SPP. The changes track the OG&E incentive rate that OG&E submitted and the Commission accepted in FERC Docket No. ER11-2926.

This filing updates the Zonal ATRR, the Base Plan Region-wide ATRR, the Balanced Portfolio Region-wide ATRR, and the Base Plan Zonal ATRR in Attachment H of the Tariff, as well as the Base Plan Zonal rate for Point-To-Point Transmission Service and the Region-wide rate for Point-To-Point Transmission Service in Schedule 11 of the Tariff.

An effective date of March 1, 2011 was requested.

ER11-3948

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Midwest Energy, Inc. ("Midwest Energy") as Network Customer, and Midwest Energy and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners

On June 30, 2011, SPP submitted an executed NITSA between SPP as Transmission Provider, Midwest Energy, Inc. as Network Customer, as well as a NOA with Midwest Energy, Inc. and Sunflower Electric Power Corporation as Host Transmission Owners. SPP Service Agreement No. First Revised 2023.

An effective date of June 1, 2011 was requested.

ER11-3952

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower"), and Mid-Kansas Electric Company, LLC ("MKEC"), ITC Great Plains, LLC ("ITC"), and Midwest Energy, Inc. ("Midwest Energy") and Sunflower as Host Transmission Owners

June 30, 2011, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer and Mid-Kansas Electric Company, LLC, ITC Great Plains, LLC, Midwest Energy, Inc., and Sunflower Electric Power Corporation as Host Transmission Owners. SPP Service Agreement No. First Revised 2028.

An effective date of June 1, 2011 was requested.

ER11-3958

SPP Submission of Tariff Revisions to Enhance Billing and Credit Policies to Protect SPP and Market Participants from Potential Harm from a Market Participant's Default

On June 30, 2011, SPP submitted revisions to its OATT in order to enhance its billing and credit policies to protect SPP and market participants from potential harm from a market participant's default.

An effective date of October 1, 2011 was requested.

On July 18, 2011, Electric Power Supply Association filed a Motion to Intervene.

On July 19, 2011, Calpine Corporation filed a Motion to Intervene.

On July 21, 2011, Constellation Energy Commodities Group, Inc., Shell Energy North America (US), L.P., and Western Farmers Electric Cooperative filed Motions to Intervene.

On July 21, 2011, DC Energy, LLC filed a Motion to Intervene and Comments in support of SPP's June 30, 2011 Filing.

On July 21, 2011, Morgan Stanley Capital Group Inc., Macquarie Energy LLC and DB Energy Trading LLC filed a Motion to Intervene and Comments.

The parties stated they have strong concerns that the RTO/ISO proposals, if implemented as proposed, will ultimately do more harm than good to the overall stability and liquidity of the markets for which they were designed to strengthen. To some degree the RTO/ISO Credit Policy Proposals will tilt market rules to favor certain entities (including the Indicated Participants), that already have strong capitalization and operate under extensive and stringent risk-management parameters.

On July 25, 2011, the Electric Power Supply Association ("EPSA") filed a Limited Protest and Request for Clarification in the ISO/RTOs Order No. 741 compliance filing dockets. EPSA believes that certain aspects of the proposed tariff revisions are unjust and unreasonable. EPSA urged the Commission to schedule an industry-wide compliance workshop or series of workshops to address many of these issues.

ER11-3967

SPP Order No. 741 Compliance Filing Pursuant to the Final Rule Issued Concerning Credit Reforms in Organized Wholesale Electric Markets in Docket No. RM10-13

On June 30, 2011, SPP submitted its Order No. 741 Compliance Filing.

An effective date of October 1, 2011 was requested.

Regulatory Status Report

On July 18, 2011, Electric Power Supply Association filed a Motion to Intervene.

On July 19, 2011, Calpine Corporation filed a Motion to Intervene.

On July 21, 2011, Morgan Stanley Capital Group Inc., Macquarie Energy LLC and DB Energy Trading LLC filed a Motion to Intervene and Comments.

The parties stated they have strong concerns that the RTO/ISO proposals, if implemented as proposed, will ultimately do more harm than good to the overall stability and liquidity of the markets for which they were designed to strengthen. To some degree the RTO/ISO Credit Policy Proposals will tilt market rules to favor certain entities (including the Indicated Participants), that already have strong capitalization and operate under extensive and stringent risk-management parameters.

On July 21, 2011, Constellation Energy Commodities Group, Inc., Exelon Corporation, Lincoln Electric System, and Shell Energy North America (US), L.P. filed Motions to Intervene.

On July 21, 2011, Missouri Joint Municipal Electric Utility Commission filed a Motion to Intervene and Limited Protest.

MJMEUC stated that Section 3.1.1.8 of SPP's proposed tariff revisions needs to be modified to ensure that it is not "unduly burdensome compared to the need to protect the stability of the organized markets." MJMEUC believes this could be done by giving Market Participants that necessarily depend on the EIS market for their operations (if not all MPs) a meaningful cure period in which they can continue to participate in the market while attempting to address specific shortfalls SPP has identified with respect to their risk-management programs (or attestations).

On July 21, 2011, the East Texas Cooperatives filed a Motion to Intervene and Comments.

The Cooperatives believe that the reservation of SPP's authority to "deem insufficient" the risk management capabilities of a Market Participant or the attestation itself in the last paragraph of Section 3.1.1.6 is problematic because SPP does not describe the standards and/or criteria that it will use in determining whether the Market Participant's risk management capabilities or attestation are sufficient.

The Cooperatives also believe that SPP's proposal to deny participation in all SPP markets, if a Market Participant's risk management capabilities are deemed insufficient for one type of service, seems overbroad and could have unfair consequences.

On July 21, 2011, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments.

WFEC believes SPP should identify the criteria it will use to determine whether a Market Participant's attestation sufficiently addresses the risk management requirements proposed in Section 3.1.1.6.

On July 21, 2011, DC Energy, LLC filed a Motion to Intervene, Comments, and Request for Clarification.

DC Energy stated:

- 1) SPP should clarify the level of granularity required for financial statements; and
- 2) the Commission should clarify that SPP should use the stakeholder process to develop a

transparent material adverse change determination process.

On July 25, 2011, the Electric Power Supply Association ("EPSA") filed a Limited Protest and Request for Clarification in the ISO/RTOs Order No. 741 compliance filing docket. EPSA believes that certain aspects of the proposed tariff revisions are unjust and unreasonable. EPSA urged the Commission to schedule an industry-wide compliance workshop or series of workshops to address many of these issues.

ER11-3986

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On July 1, 2011, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, KCP&L Greater Missouri Operations Company as Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1765.

An effective date of June 1, 2011 was requested.

ER11-4053

SPP Submission of Revisions to OATT to Implement Rate Changes for Southwestern Public Service Company ("SPS")

On July 14, 2011, SPP submitted revised pages to its OATT to implement rate changes for Southwestern Public Service Company.

An effective date of May 15, 2011 was requested for the revisions to Addendum 5 of Attachment H pertaining to Post-Employment Benefits Other than Pensions. An effective date of July 5, 2011 was required for all other revisions to Addendum 5.

ER11-4071

Generator Interconnection Agreement ("GIA") between TPW Petersburg, LLC ("TPW") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On July 18, 2011, SPP submitted an executed GIA between SPP as Transmission Provider, TPW Petersburg, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. First Revised 2151.

An effective date of June 16, 2011 was requested.

ER11-4079

SPP Submission of Baseline Electronic Tariff Filing of the Executed Joint Operating Agreement ("JOA") Between SPP and Associated Electric Cooperative, Inc. ("AECI") (FERC Rate Schedule No. 10)

On July 20, 2011, SPP submitted its baseline electronic tariff filing of the executed Joint Operating Agreement between SPP and Associated Electric Cooperative, Inc. The JOA was originally filed and accepted in FERC Docket No. ER08-1516. SPP submitted this filing to incorporate the existing JOA into the SPP Rate Schedules and Seams Agreements Tariff. The agreement is designated as FERC Rate Schedule No. 10.

An effective date of July 21, 2011 was requested.

- ER11-4105** **SPP Order No. 745 Compliance Filing**
- On July 22, 2011, SPP submitted its Order No. 745 Compliance Filing. Because SPP's existing Tariff and Market Protocols are consistent with or superior to the requirements of Order No. 745, SPP did not propose any tariff revisions.
- ES11-14** **SPP Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities (\$20 Million Revolving Credit Facility)**
- On July 19, 2011, SPP submitted a Report of Securities Issued for notes issued on June 17, 2011.
- RM05-5** **Standards for Business Practices and Communication Protocols for Public Utilities**
- On July 7, 2011, NAESB submitted a report regarding its smart grid standards developed.
- RM10-12** **Notice of Proposed Rulemaking ("NOPR") Regarding Electricity Market Transparency Provisions of Section 220 of the Federal Power Act (FERC Seeks Comments on Whether the Commission's Electric Quarterly Report Filing Requirements Should Be Applied to Market Participants that are Excluded from the Commission's Jurisdiction Under Section 205)**
- Numerous entities submitted comments in response to the Notice of Proposed Rulemaking issued on April 21, 2011.
- RM10-13** **Notice of Proposed Rulemaking: Credit Reforms in Organized Wholesale Electric Markets**
- On July 20, 2011, CCES LLC filed a Motion to Intervene and Protest of PJM Interconnection LLC's June 30, 2011 tariff filing.
- CCES believes certain aspects of the proposal put forth by PJM Interconnection in response to Order No. 741 is detrimental to the market participation of smaller players and creates unnecessary barriers to market entry.
- RM10-15** **Mandatory Reliability Standards for Interconnection Reliability Operating Limits**
- On July 13, 2011, FERC issued Order Nos. 748-A and 749-A, granting NERC's Request for Clarification.
- FERC granted NERC's request for clarification of certain aspects of Order No. 748 including: (1) the proper effective date language for Reliability Standard IRO-004-2; (2) the correct version identification for the approval of EOP-001 intended by the Commission; and (3) the proper effective date for Reliability Standard EOP-001-2. The Commission also granted NERC's request for clarification of Order No. 749 with respect to the version EOP-001 the Commission intended to approve and its effective date.
- The order becomes effective upon publication in the Federal Register.
- RM10-16** **System Restoration Reliability Standards**
- On July 13, 2011, FERC issued Order Nos. 748-A and 749-A, granting NERC's Request for Clarification.

FERC granted NERC's request for clarification of certain aspects of Order No. 748 including: (1) the proper effective date language for Reliability Standard IRO-004-2; (2) the correct version identification for the approval of EOP-001 intended by the Commission; and (3) the proper effective date for Reliability Standard EOP-001-2. The Commission also granted NERC's request for clarification of Order No. 749 with respect to the version EOP-001 the Commission intended to approve and its effective date.

The order becomes effective upon publication in the Federal Register.

RM10-17 Demand Response Compensation in Organized Wholesale Energy Markets

On June 22, 2011, California Independent System Operator Corporation filed an Errata to Motion to Lodge to correct some missing text.

RM10-23 Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities

On July 21, 2011, FERC issued Order No. 1000, Final Rule concerning Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities.

With respect to transmission planning, the Final Rule:

- 1) requires that each public utility transmission provider participate in a regional transmission planning process that produces a regional transmission plan;
- 2) requires that each public utility transmission provider amend its OATT to describe procedures that provide for the consideration of transmission needs driven by public policy requirements in the local and regional transmission planning processes;
- 3) removes from Commission-approved tariffs and agreements a federal right of first refusal for certain new transmission facilities;
- 4) improves coordination between neighboring transmission planning regions for new interregional transmission facilities.

The Final Rule also requires that each public utility transmission provider must participate in a regional transmission planning process that has:

- 1) a regional cost allocation method for the cost of new transmission facilities selected in a regional transmission plan for purposes of cost allocation; and
- 2) an interregional cost allocation method for the cost of certain new transmission facilities that are located in two or more neighboring transmission planning regions and are jointly evaluated by the regions in the interregional transmission coordination procedures required by this Final Rule. Each cost allocation must satisfy six cost allocation principles.

This rule becomes effective 60 days after publication in the Federal Register.

RM10-29 Electric Reliability Organization Interpretation of Transmission Operations Reliability Standard

On June 24, 2011, NERC submitted comments in response to the Notice of Proposed Rulemaking issued on April 21, 2011.

RM11-2 Smart Grid Interoperability Standards

On July 19, 2011, FERC issued an order terminating this rulemaking proceeding. The Commission found that there is insufficient consensus for the five families of standards under consideration; and therefore, FERC will not institute a rulemaking at this time.

RM11-12

Notice of Proposed Rulemaking Regarding Availability of E-Tag Information to Commission Staff

Numerous entities submitted comments in response to the Notice of Proposed Rulemaking issued on April 21, 2011.

On June 27, 2011, SPP and PJM Interconnection, L.L.C. submitted joint comments in response to the Notice of Proposed Rulemaking issued on April 21, 2011.

PJM and SPP stated:

- 1) Providing e-Tag data directly to the Commission enhances transparency and reliability;
- 2) the Final Rule should allow e-Tag data to be provided directly to market monitoring units;
- 3) provision of e-Tag data to RTOs, ISOs and Reliability Coordinators is consistent with Congress' and the Commission's past directives; and
- 4) provision of complete e-Tag data to RTOs and ISOs will facilitate compliance with their Order 2000 obligations.

RR11-1

Petition of the Nebraska Public Power District ("NPPD") for Review of NERC's Board of Trustees Denial to Transfer NERC Compliance Registrations from Midwest Reliability Organization ("MRO") to the SPP Regional Entity ("SPP RE")

On July 21, 2011, FERC issued an Order Denying Requests to Transfer Compliance Registration. NPPD's and the SPP RE's requests to permit transfer of NPPD's compliance registrations from MRO to the SPP RE were denied.

FERC or State Jurisdiction: State of Arkansas

- 08-135-R** **In the Matter of Amendments to the Rules of Practice and Procedure ("RPPs") of the Arkansas Public Service Commission**
- On June 28, 2011, an evidentiary hearing was held for consideration of the proposed revisions to the Rules of Practice and Procedure, the Secretary's Guidelines, and Minimum Filing Requirements.
- 08-136-U** **In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas**
- On July 1, 2011, SPP filed the ICT's Second Quarterly Performance Report for 2011. This report covers the time period March 1, 2011 through May 31, 2011.
- On July 15, 2011, SPP filed the June 2011 State of the Market Report pursuant to Order No. 2.
- 08-137-U** **In the Matter of the Consideration of Innovative Approaches to Ratebase, Rate of Return Ratemaking Including, but not limited to, Annual Earnings Reviews, Formula Rates, and Incentive Rates for Jurisdictional Electric and Natural Gas Public Utilities**
- On June 24, 2011, Kim Davis submitted Supplemental Testimony on behalf of APSC General Staff.
- On June 27, 2011, a replacement page 2 was submitted for the Supplemental Testimony filed by Kim Davis on June 24, 2011.
- On July 14, 2011, Phillip Watkins submitted Reply Testimony on behalf of Southwestern Electric Power Company.
- On July 14, 2011, Richard Smith submitted Direct Testimony on behalf of Entergy Arkansas, Inc.
- 08-144-U** **In the Matter of a Notice of Inquiry regarding the Expanded Development of Sustainable Energy Resources in Arkansas**
- On June 24, 2011, Kim Davis submitted Supplemental Testimony on behalf of APSC General Staff.
- On June 27, 2011, a replacement page 2 was submitted for the Supplemental Testimony filed by Kim Davis on June 24, 2011.
- On July 14, 2011, Phillip Watkins submitted Reply Testimony on behalf of Southwestern Electric Power Company.
- On July 14, 2011, Richard Smith submitted Direct Testimony on behalf of Entergy Arkansas, Inc.
- On July 14, 2011, Rose Adams and Theo MacGregor submitted Reply Testimony on behalf of Arkansas Community Action Agencies Association.

10-010-U

In the Matter of a Notice of Inquiry into Energy Efficiency ("EE NOI Docket")

On June 24, 2011, Kim Davis submitted Supplemental Testimony on behalf of APSC General Staff.

On June 27, 2011, a replacement page 2 was submitted for the Supplemental Testimony filed by Kim Davis on June 24, 2011.

On July 14, 2011, Phillip Watkins submitted Reply Testimony on behalf of Southwestern Electric Power Company.

On July 14, 2011, Richard Smith submitted Direct Testimony on behalf of Entergy Arkansas, Inc.

On July 14, 2011, Rose Adams and Theo MacGregor submitted Reply Testimony on behalf of Arkansas Community Action Agencies Association.

10-011-U

In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets

On June 22, 2011, Kurtis Castleberry and Steven Strickland submitted Supplemental Testimony on behalf of Entergy. This testimony was filed pursuant to Order No. 36 requiring that Entergy supplement its May 12, 2011 Evaluation Report Filing.

On July 1, 2011, the APSC issued Order No. 37, requiring that Entergy file additional Supplemental Direct Testimony by Noon on July 11, 2011. MISO must provide comprehensive and detailed responses to the questions in the order.

On July 1, 2011, the APSC issued Order No. 38, requiring that MISO file additional Supplemental Direct Testimony by Noon on July 11, 2011. MISO must provide comprehensive and detailed responses to the questions in the order.

On July 1, 2011, SPP submitted its monthly status report as required by Order No. 11. In light of the fact that the Entergy Arkansas, Inc. Cost-Benefit Analysis and all related studies have been completed, and Entergy's announcement of its intent to join MISO, SPP continues to request a suspension of the requirement to file the monthly status reports required under Order No. 11.

On July 1, 2011, Entergy Arkansas and SPP submitted a Joint Motion to Suspend Monthly Reporting Requirement Established by Order No. 2.

On July 1, 2011, Hugh T. McDonald submitted Supplemental Direct Testimony on behalf of Entergy Arkansas, Inc.

Pursuant to Order No. 1, Mr. McDonald is required to file Supplemental Testimony on the first business day of each month detailing (a) Entergy's and EAI's progress toward the development of a new Entergy System Agreement and (b) the progress of EAI's evaluation of operating as a stand-alone utility.

On July 1, 2011, Entergy Arkansas and SPP submitted their joint response to Order No. 2. Entergy Transmission and SPP are now in the process of implementing the Seams Agreement.

Regulatory Status Report

On July 6, 2011, Entergy filed a Motion for Extension of Time to comply with Order No. 37.

On July 6, 2011, The Empire District Electric Company filed a Petition to Intervene.

On July 7, 2011, Oklahoma Gas and Electric Company filed a Petition to Intervene.

On July 7, 2011, APSC General Staff filed a response stating it does not oppose Empire District Electric Company's Petition to Intervene.

On July 8, 2011, the APSC issued Order No. 39, granting Entergy an extension of time until July 15, 2011 to respond all questions except Question No. 16 in Order No. 37. An extension was granted until July 18, 2011 to respond to Question No. 16.

On July 11, 2011, Midwest ISO submitted a Motion for Brief Extension of Time to comply with Order No. 38.

Marcus.pdf

On July 12, 2011, William Marcus and Kevin Woodruff submitted Testimony on behalf of the Arkansas Attorney General.

On July 12, 2011, Ricky Bittle and Dr. Laurence Kirsch submitted Testimony on behalf of Arkansas Electric Cooperative Corporation.

On July 12, 2011, Mark Drazen submitted Direct Testimony on behalf of Arkansas Electric Energy Consumers, Inc.

On July 12, 2011, Daniel Peaco and Jeff Hilton submitted Testimony on behalf of the Arkansas Public Service Commission.

On July 12, 2011, Kip Fox submitted Supplemental Initial Testimony on behalf of Southwestern Electric Power Company.

On July 12, 2011, Carl Monroe submitted Supplemental Initial Testimony on behalf of SPP.

On July 12, 2011, Craig Roach, Ph.D. of Boston Pacific Company submitted Supplemental Initial Testimony on behalf of SPP.

On July 12, 2011, Calpine Corporation and GDF Suez Energy Marketing NA, Inc. filed Recommendations and Comments.

On July 12, 2011, Clair Moeller and Richard Doying submitted Testimony on behalf of Midwest ISO.

On July 12, 2011, the APSC issued Order No. 40 granting Midwest ISO an extension until July 12, 2011 to comply with Order No. 38.

On July 12, 2011, Lafayette Utilities System filed a Petition to Intervene.

On July 12, 2011, Richard Doying submitted Supplemental Direct Testimony on behalf of Midwest ISO in response to Order No. 38.

On July 13, 2011, the APSC issued Order No. 41, granting the motion to suspend the monthly seams reporting requirement. SPP and Entergy still have an obligation to file compliance reports in this proceeding if any further changes to the Seams Agreement are adopted through the Entergy Regional State Committee or if any changes are otherwise required to fulfill

regulatory requirements imposed by FERC or other governmental or regulatory authority.

On July 15, 2011, Entergy submitted its Responses to Order No. 37.

On July 15, 2011, Kurtis Castleberry, Phillip Gillam, John Hurstell, Hugh McDonald, and Michael Schnitzer submitted Supplemental Direct Testimony on behalf of Entergy in support of certain responses included in Exhibit A of Entergy's Responses to Order No. 37.

On July 15, 2011, SPP submitted corrected pages 64, 65 and 67 to Carl Monroe's Supplemental Initial Testimony which was filed on July 12, 2011.

On July 18, 2011, Entergy submitted its Response to Question 16 from Order No. 37.

On July 20, 2011, APSC General Staff filed its Response to Petition to Intervene of the Lafayette Utilities System. Staff does not believe Lafayette has standing to participate as a party in this proceeding, but Staff would welcome public comments or a limited appearance.

On July 21, 2011, Entergy filed its Response in Opposition to Petition to Intervene by the Lafayette Utilities System.

On July 21, 2011, SPP filed its Second Set of Data Requests to Entergy Arkansas, Inc.

On July 22, 2011, the APSC issued Order No. 42, scheduling an on-the-record Technical Conference in order to discuss the MISO option proposed in Entergy's May 12, 2011 Evaluation Report. The Technical Conference will begin at 9 AM on August 19, 2011.

On July 25, 2011, the APSC issued Order No. 43, granting Empire District Electric Company's Petition to Intervene.

On July 25, 2011, the APSC issued Order No. 44, granting Oklahoma Gas and Electric Company's Petition to Intervene.

10-067-U

In the Matter of the Application of Oklahoma Gas and Electric Company ("OG&E") for Approval of a General Change in Rates and Tariffs

On June 30, 2011, Oklahoma Gas and Electric Company submitted its informational filing of 2011/2012 ECR factors pursuant to Order No. 6.

10-100-R

In the Matter of a Rulemaking to Adopt an Evaluation, Measurement, and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for Conservation and Energy Efficiency Programs ("C&EE Rules")

On June 24, 2011, Kim Davis submitted Supplemental Testimony on behalf of APSC General Staff.

On June 27, 2011, a replacement page 2 was submitted for the Supplemental Testimony filed by Kim Davis on June 24, 2011.

On July 1, 2011, the APSC issued Order No. 7, establishing the following procedural schedule:

July 14, 2011 (by noon) - Reply Testimony by all parties except Staff

July 28, 2011 (by noon) - Surreply Testimony by all parties

August 17, 2011 - Public Hearing begins at 9:30 AM

On July 14, 2011, Phillip Watkins submitted Reply Testimony on behalf of Southwestern

Electric Power Company.

On July 14, 2011, Richard Smith submitted Direct Testimony on behalf of Entergy Arkansas, Inc.

On July 14, 2011, Rose Adams and Theo MacGregor submitted Reply Testimony on behalf of Arkansas Community Action Agencies Association.

Several parties filed Reply Testimony.

On July 20, 2011, the APSC General Staff filed a Motion to Request Alternative Hearing Date or Reconvene at a Later Date.

10-101-R

In the Matter of the Institution of a Rulemaking to Adopt Amendments to the Commission's Rules on Conservation & Energy Efficiency to Allow Self-Directed Programs for Large Consumers

On June 24, 2011, Kim Davis submitted Supplemental Testimony on behalf of APSC General Staff.

On June 27, 2011, a replacement page 2 was submitted for the Supplemental Testimony filed by Kim Davis on June 24, 2011.

On July 1, 2011, the APSC issued Order No. 8, establishing the following procedural schedule:

July 14, 2011 (by noon) - Reply Testimony by all parties except Staff

July 28, 2011 (by noon) - Surreply Testimony by all parties

August 16, 2011 - Public Hearing begins at 9:30 AM

On July 14, 2011, Phillip Watkins submitted Reply Testimony on behalf of Southwestern Electric Power Company.

On July 14, 2011, Richard Smith submitted Direct Testimony on behalf of Entergy Arkansas, Inc.

Several parties filed Reply Testimony.

FERC or State Jurisdiction: State of Kansas

11-ITCE-644-MIS

In the Matter of the Application of ITC Great Plains, LLC for a Siting Permit for the Construction of a Double-Circuit 345-kV Transmission Line in Ford, Clark, Kiowa and Barber Counties, Kansas

On June 24, 2011, ITC Great Plains, LLC filed its Reply Brief.

On June 30, 2011, ITC Great Plains, LLC filed its Response to Public Comments on Reroute Alternatives.

On June 30, 2011, KCC Staff filed its Response to Public Hearing and Written Comments.

On July 12, 2011, the KCC issued an Order Granting Siting Permit.

The Commission approved ITC's Application for a siting permit to construct an electric transmission line as proposed in its preferred route, conditioned on the inclusion of certain landowner-proposed alternative routes approved in the Order.

The Commission conditioned its approval on the costs of this project being recovered through SPP's Highway/Byway cost allocation method. If SPP or FERC reconsider or change the previously approved cost allocation method, the KCC reserves the right to reconsider the Order in this proceeding.

The Commission approved the agreement for ITC to provide quarterly reports detailing the progress and costs of the project, and notes that the information will remain confidential as agreed. ITC was directed to submit a final report after line construction is complete, which indicates the specific route with any modifications from the proposed route, the original cost provided to the Commission, and the final cost of the project.

11-PWTE-600-MIS

In the Matter of the Application of Prairie Wind Transmission, LLC for a Siting Permit for the Construction of a Double Circuit 345 kV Transmission Line in Sedgwick, Sumner, Harper, and Barber Counties, Kansas

On June 28, 2011, the KCC issued an Order Granting Siting Permit.

The Commission conditionally approved the Newberry/Petitioners Group Alternative Route provided that the Petitioners Group obtain written consent of fee owners of record with authority by September 20, 2011. The Commission orders the Petitioners Group to file documentation jointly with Prairie Wind and Staff by September 23, 2011 confirming that the appropriate consents have been obtained. If the Petitioners Group fails to obtain consent, the Petitioners Group Alternative Route will be deemed not to be reasonable and the preferred route originally proposed by Prairie Wind shall be deemed approved.

The Commission approved Staff's recommendation that Prairie Wind provide quarterly reports detailing the status and costs of the project. This information shall be maintained as confidential as requested by Prairie Wind.

FERC or State Jurisdiction: State of Louisiana

U-28155

Investigation of the Continued Participation of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, LLC in the Independent Coordinator of Transmission ("ICT") Arrangement, Potential Enhancements to the ICT, Potential Alternatives to the ICT, the Impact of Alternatives on LPSC Jurisdictional Ratepayers

On July 1, 2011, Entergy submitted a Status Report on Matters Relating to Expiration of Independent Coordinator of Transmission Arrangement.

On July 19, 2011, the LPSC issued a Notice of Technical Conference. A Technical Conference will be held on August 10, 2011 beginning at 9 AM. Midwest ISO, SPP and Entergy will make presentations and answer questions.

FERC or State Jurisdiction: State of Louisiana - City of New Orleans

UD-11-01

Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements

On June 30, 2011, SPP filed a Petition of Intervention.

On July 1, 2011, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On July 7, 2011, the Council of the City of New Orleans adopted Resolution No. R-11-303, which rescinded Resolution R-11-236.

FERC or State Jurisdiction: State of Missouri

EO-2011-0128

In the Matter of the Application of Union Electric Company for Authority to Continue the Transfer of Functional Control of Its Transmission System to Midwest Independent Transmission System Operator, Inc.

On June 30, 2011, the Parties filed a Unanimous Proposed Procedural Schedule.

On July 1, 2011, the MoPSC issued an Order Establishing Procedural Schedule.

The procedural schedule is as follows:

July 29, 2011 - Ameren Missouri Direct Testimony

September 14, 2011 - Rebuttal Testimony

November 1, 2011 - Surrebuttal Testimony

November 14, 2011 - List of Issues, Order of Witnesses, Order of Cross-Examination, Order of Opening

November 17, 2011 - Statements of Position

November 21-22, 2011 - Hearing

December 13, 2011 - Initial Briefs

December 23, 2011 - Reply Briefs

FERC or State Jurisdiction: State of Oklahoma

201000075

In the Matter of the Application of Plains and Eastern Clean Line LLC ("Clean Line") to Conduct Business as an Electric Utility in the State of Oklahoma

On June 30, 2011, the OCC issued the Report and Recommendations of the Administrative Law Judge - Summary of ALJ Recommendations. The ALJ recommended that the Revised Joint Stipulation and Settlement Agreement be approved and that Plains and Eastern Clean Line Oklahoma LLC be recognized as a Public Utility.

On July 11, 2011, Oklahoma Gas and Electric Company filed a Motion for Oral Argument of Exceptions.

On July 11, 2011, Oklahoma Gas and Electric Company filed Exceptions to the Report of the Administrative Law Judge.

On July 18, 2011, Plains and Eastern Clean Line Oklahoma LLC filed its Response to the Exceptions to the Report of the Administrative Law Judge filed by Oklahoma Gas and Electric Company on July 11, 2011.

On July 21, 2011, the OCC issued an Amended Notice of Hearing. Oklahoma Gas and Electric Company filed Exceptions to the Report of the Administrative Law Judge on July 11, 2011. Exceptions will be heard before the Commissioners on August 24, 2011 beginning at 9:30 AM.

On July 21, 2011, the OCC issued an Amended Notice of Hearing. The Motion for Oral Arguments of Exceptions filed by Oklahoma Gas and Electric Company on July 11, 2011, will be heard before the Commissioners on August 24, 2011.

FERC or State Jurisdiction: State of Texas

38708 Project to Investigate the Entergy Successor Arrangement ("ESA")

On July 21, 2011, Cities in Entergy's Service Area, East Texas Electric Cooperative, Inc., Office of Public Utility Counsel, and Texas Industrial Energy Consumers' filed questions for Entergy to address at the July 28, 2011 Workshop.

38877 Application Of Southwestern Public Service Company ("SPS") to Amend CCN For a Proposed Transmission Line Within Hale, Floyd, Motley, Cottle, Briscoe, Hall, Childress, Donley, Collingsworth, And Wheeler Counties

On July 8, 2011, Sean Frederiksen, John Fulton, Howard Higgins, Anastacia Santos, and Jeffrey Stebbins submitted Direct Testimony on behalf of SPS.

39070 Application of Sharyland Utilities, L.P. ("Sharyland") to Approve Study and Plan Pursuant to the Commission's Order In Docket No. 37990 Concerning the Movement of Sharyland's Stanton and Colorado City Divisions from the Southwest Power Pool to ERCOT

On June 30, 2011, Sharyland Utilities, L.P. filed notice it is not filing any corrections or exceptions to the Proposed Order issued on June 17, 2011.

The settlement was approved at the open meeting held on July 8, 2011.

On July 11, 2011, the PUCT issued an Order approving the settlement agreement. Sharyland's Application and the Agreed Transfer Option, whereby the loads and assets, except as set forth in Exhibit B of the Stipulation, of Sharyland's Stanton and Colorado City divisions will be transferred from SPP to ERCOT, were approved.

39385 Project to Consider Entergy Texas, Inc.'s ("ETI") Integration into the Midwest ISO

On July 21, 2011, Cities in Entergy's Service Area, East Texas Electric Cooperative, Inc., Office of Public Utility Counsel, and Texas Industrial Energy Consumers' filed questions for Entergy to address at the July 28, 2011 Workshop.