

State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company to file a pleading accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP during a recent twelve-month test period (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2011
FERC RM10-13	Compliance filing due to comply with requirement related to ability to offset market obligations (Order 741-A issued February 17, 2011)	9/30/2011
State of Texas 38877	Intervenor Direct Testimony or Statements of Position due (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	9/30/2011
State of Missouri EO-2006-0142	Kansas City Power & Light Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2011
State of Missouri EW-2010-0187	ARC/ISO/RTO Demand Response Aggregation Issues Workshop to be held from 10 AM to 3:30 PM	10/4/2011
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2011
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2011
State of Texas 38877	Settlement Conference (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	10/17/2011
State of Texas 38877	Objections to Intervenor Direct Testimony due (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	10/21/2011
FERC RM10-6	Effective date of Order No. 754 - Requirement R1.3.10 of Reliability Standard TPL-002-0 (System Performance Following Loss of a Single Bulk Electric System Element) (Final Rule issued on September 15, 2011)	10/24/2011
State of Texas 38877	Staff Direct Testimony due (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	10/28/2011
State of Texas 38877	Responses to objections to Intervenor Direct Testimony due (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	10/28/2011
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2011

State of Missouri EO-2011-0128	Surrebuttal Testimony is due (July 1, 2011 Order Establishing Procedural Schedule)	11/1/2011
State of Texas 38877	Intervenor Cross-Rebuttal Testimony due (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	11/8/2011
State of Missouri EO-2011-0128	List of Issues, Order of Witnesses, Order of Cross-Examination, and Order of Opening are due (July 1, 2011 Order Establishing Procedural Schedule)	11/14/2011
State of Missouri EO-2011-0128	Statements of Position due (July 1, 2011 Order Establishing Procedural Schedule)	11/17/2011
State of Missouri EO-2011-0128	Hearing begins at 8:30 AM (July 1, 2011 Order Establishing Procedural Schedule)	11/21/2011
FERC RM10-29	Effective date of Order No. 754 - approving NERC's interpretation of Reliability Standard, TOP-001-1, Requirement R8, which pertains to the restoration of real and reactive power during a system emergency (Final Rule issued on September 15, 2011)	11/21/2011
FERC RM11-16	Comments due in response to NOPR proposing to approve Reliability Standard PRC-023-2 - Transmission Relay Loadability (Notice of Proposed Rulemaking issued September 15, 2011)	11/21/2011
FERC RM11-11	Comments due in response to NOPR proposing to approve eight modified Critical Infrastructure Protection Reliability Standards, CIP-002-4 through CIP-009-4. The standards provided a cybersecurity framework for identification and protection of "Critical Cyber Assets" to support the reliable operation of the Bulk-Power System (Notice of Proposed Rulemaking issued on September 15, 2011)	11/21/2011
State of Texas 38877	Objections to Cross-Rebuttal Testimony due (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	11/22/2011
State of Texas 38877	Southwestern Public Service Company's Applicant Rebuttal Testimony due (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	12/2/2011
State of Texas 38877	Responses to objections to Cross-Rebuttal Testimony due (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	12/2/2011
State of Missouri EO-2011-0128	Initial Briefs due (July 1, 2011 Order Establishing Procedural Schedule)	12/13/2011
FERC ER11-3967	SPP's Compliance Filing is due (Order on Compliance Filing issued on September 15, 2011 in response to SPP's June 30, 2011 Order No. 741 Compliance Filing)	12/14/2011



## Regulatory Outlook

State of Missouri EO-2011-0128	Reply Briefs due (July 1, 2011 Order Establishing Procedural Schedule)	12/23/2011
State of Texas 38877	Hearing on the Merits (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	1/3/2012
FERC ES10-11	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (January 15, 2010 Order)	1/15/2012
State of Arkansas 09-128-U	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (February 1, 2010 Order)	1/15/2012
FERC RM10-13	RTOs and ISOs to submit compliance filings to comply with the requirement that RTOs and ISOs enhance their ability to offset market obligations in bankruptcy, pursuant to the Final Rule, with the relevant tariff revisions to take effect on May 1, 2012 (Notice of Extension of Time issued September 13, 2011; Order 741-A issued February 17, 2011)	1/31/2012
State of Missouri EO-2006-0141	Empire District Electric Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2012
FERC ER06-451	SPP Demand Response Status Report Due	3/1/2012
FERC 10-1178	FERC Form 582 due	4/30/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2012
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2012
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2012
State of Arkansas 04-137-U	Empire District Electric Company, Oklahoma Gas and Electric Company, and Southwestern Electric Power Company Annual Reports due showing net benefits or costs to Arkansas ratepayers of continued participation in the SPP EIS market (Order No. 9 issued June 2, 2010)	6/1/2012
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2012

FERC ER06-451	SPP Demand Response Status Report Due	9/1/2012
FERC RM10-17	Study results due pursuant to Final Rule, Demand Response Compensation in Organized Wholesale Energy Markets. Study must examine the requirements for, costs of, and impacts of implementing a dynamic net benefits approach to the dispatch of demand resources that takes into account the billing unit effect in the economic dispatch in both the day-ahead and real-time energy markets. (Order No. 745 issued March 15, 2011)	9/21/2012
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include local and regional transmission planning processes that are consistent with the requirements of Final Rule (Section III.A. of Order No. 1000 issued July 21, 2011)	10/11/2012
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and other Commission jurisdictional documents to include a cost allocation method or methods for regional cost allocation consistent with principles of Final Rule (Section III.C. of Order No. 1000 issued July 21, 2011)	10/11/2012
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2012
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2012
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file Interim Report regarding SPS' continued participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2013
FERC ES11-14	Authorization to issue \$20 million in promissory notes expires (March 18, 2011 Letter Order)	3/18/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include an interregional transmission coordination procedure or procedures consistent with the requirements of Final Rule (Section IV.C. of Order No. 1000 issued July 21, 2011)	4/11/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include a cost allocation method or methods for interregional cost allocation consistent with the principles of Final Rule (Section IV.D. of Order No. 1000 issued July 21, 2011)	4/11/2013

FERC 10-1178	FERC Form 582 due	4/30/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2013
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2013
State of Missouri EO-2006-0142	Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2013
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2013
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2013
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file Interim Report regarding LCEC's continued participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2013
State of Missouri EO-2006-0141	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
FERC 10-1178	FERC Form 582 due	4/30/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2014
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2014



## Regulatory Outlook

---

FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2014
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2014
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company's Interim Period ends (participation in SPP RTO) (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2015
FERC 10-1178	FERC Form 582 due	4/30/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2015
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2015
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative Inc.'s Interim Period ends (participation in SPP RTO) (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2016

---