

FERC or State Jurisdiction: FERC

AD10-11

Frequency Regulation Compensation in the Organized Wholesale Power Markets

On October 20, 2011, FERC issued Order No. 755, Final Rule revising FERC's regulations to remedy undue discrimination in the procurement of frequency regulation in the organized wholesale electric markets and ensure that providers of frequency regulation receive just and reasonable and not unduly discriminatory or preferential rates.

The Commission found that current frequency regulation compensation practices of RTOs and ISOs result in rates that are unjust, unreasonable, and unduly discriminatory or preferential. Specifically, current compensation methods for regulation service in RTO and ISO markets fail to acknowledge the inherently greater amount of frequency regulation service being provided by faster-ramping resources. In addition, certain practices of some RTOs and ISOs result in economically inefficient economic dispatch of frequency regulation resources.

By remedying these issues, the Commission is removing unduly discriminatory and preferential practices from RTO and ISO tariffs and requiring the setting of just and reasonable rates. Specifically, this Final Rule requires RTOs and ISOs to compensate frequency regulation resources based on the actual service provided, including a capacity payment that includes the marginal unit's opportunity costs and a payment for performance that reflects the quantity of frequency regulation service provided by a resource when the resource is accurately following the dispatch signal.

The Commission directed all RTOs and ISOs to modify their tariffs to provide for a two-part payment to frequency regulation resources. The first part of this payment will be a capacity, or option, payment for keeping a resource's capacity in reserve in the event that it is needed to provide real-time frequency regulation service. The second part of the payment shall be a performance payment that reflects the amount of work each resource performs in real-time.

The Final Rule is effective 60 days after publication in the Federal Register.

The RTOs/ISOs compliance filings are due 120 days after the effective date of this Final Rule. FERC will allow 180 days from that date for implementation.

AD12-1

Reliability Technical Conference

On October 7, 2011, FERC issued a Notice of Technical Conference to be held on November 29, 2011, from 1:00 p.m. to 5:00 p.m. and November 30, 2011, from 9:00 a.m. to 4:00 p.m.

The purpose of the conference is to discuss policy issues related to the reliability of the Bulk-Power System and will explore the progress made on the priorities for addressing risks to reliability that were identified in earlier Commission technical conferences. The conference will also discuss emerging issues, including processes used by planning authorities and other entities to identify reliability concerns that may arise in the course of compliance with Environmental Protection Agency regulations, and the tools and processes (including tariffs and market rules) available to address any identified reliability concerns.

CE11-4.001

Tanya Bodell's et al. request for Critical Energy Infrastructure Information - FTI Consulting (Additional Requesters)

- CE11-4.002 FERC issued a Notice of Intent to Release on December 21, 2010.
Churchill George Yong, et al.'s Request for Critical Energy Infrastructure Information - FTI Consulting (Additional Requesters)
- CE11-134 On September 27, 2011, SPP submitted comments on this request.
Stephen S. Miller, et al.'s Request for Critical Energy Infrastructure Information - Commonwealth Associates, Inc.
- CE11-149 FERC issued a Notice of Intent to Release on October 6, 2011.
Teresa Ives, et al.'s Request for Critical Energy Infrastructure Information - Electric Power Engineers, Inc.
- On May 26, 2011, Teresa Ives, Office Operations Manager for Electric Power Engineers, Inc., filed a FERC CEII request on behalf of herself and Antonio Mikhael, Carlos Matar, Carlo Mrad, and Stephanie Badar to obtain a copy of all FERC Form No. 715 data. Subsequently, on June 29, 2011, Ms. Ives requested to add Hala Ballou, Hugo Mena, Kristen Rodriguez, Sani Fakrlour, Billy Yancey, and Virat Kapur to this request. SPP received notice of these requests on September 26, 2011.
- On October 3, 2011, SPP submitted comments on this request.
- CE11-190 **Kevin Thundiyl and Christopher Nekolny's Request for Critical Energy Infrastructure Information - Exelon Corporation**
- CE11-198 FERC issued a Notice of Intent to Release on October 19, 2011.
Assef Zobian's Request for Critical Energy Infrastructure Information - Cambridge Energy Solutions
- CE11-204 FERC issued a Notice of Intent to Release on September 22, 2011.
Josiah Johnston's Request for Critical Energy Infrastructure Information - Energy and Resources Group, University of California Berkeley
- On August 24, 2011, Josiah Johnston, a PhD student at the University of California Berkeley, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed September 23, 2011.
- On September 28, 2011, SPP submitted comments on this request.
- CE11-206 **Jarrold O'Connor, et al.'s Request for Critical Energy Infrastructure Information - AWS Truepower, LLC**
- On July 29, 2011, Jarrold O'Connor, Legal Analyst for AWS Truepower, LLC, filed a FERC CEII on behalf of himself and David Stimple, Ryan McCoury, Dan Bernadett, Whitney Wilson, and Frank Kreikebaum, to request a copy of all FERC Form No. 715 data, as noticed September 23, 2011.
- On September 28, 2011, SPP submitted comments on this request.

- CE11-209** **Anupam Gopal's Request for Critical Energy Infrastructure Information - BEW Engineering, Inc.**
- On September 13, 2011, Anupam Gopal, Senior Engineer, BEW Engineering, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed September 27, 2011.
- On October 4, 2011, SPP submitted comments on this request.
- CE11-210** **Stephen B. Deese, et al.'s Request for Critical Energy Infrastructure Information - Genscape, Inc.**
- On September 14, 2011, Stephen Deese, PJM Analyst for Genscape, Inc., filed a FERC CEII request on behalf of himself and twelve additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed September 27, 2011.
- On October 4, 2011, SPP submitted comments on this request.
- CE11-211** **Ronald Paryl's Request for Critical Energy Infrastructure Information - Advanced Power North America**
- On September 20, 2011, Ronald Paryl, Manager, Development for Advanced Power North America, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 6, 2011.
- On October 14, 2011, SPP submitted comments on this request.
- CE12-2** **Robert Fagan's Request for Critical Energy Infrastructure Information - Synapse Energy Economics**
- On October 5, 2011, Robert Fagan, Sr. Associate, Synapse Energy Economics, filed a FERC CEII request to obtain a copy of "FERC Form 715 Part 1, 3, 4, 5 and 6 for PJM, MISO, ISO-NE, NYISO, Mid-Continent Area Power Pool (MAPP), non-MISO area, SPP, Entergy, TVA, and Southern Company", as noticed October 12, 2011.
- On October 17, 2011, SPP submitted comments on this request.
- EL11-63** **Notice of Complaint, Louisiana Public Service Commission ("LPSC") v. Entergy Corporation, Entergy Services, Inc., Entergy Louisiana, LLC, Entergy Arkansas, Inc., Entergy Mississippi, Inc., Entergy New Orleans, Inc., Entergy Gulf States Louisiana, LLC, and Entergy Texas, Inc.**
- On September 23, 2011, SPP filed a Motion to Intervene.
- On October 3, 2011, the Council of the City of New Orleans filed a Motion for Leave to Intervene and Comments.
- The Council stated that it supports the LPSC's position with respect to the allocation of costs for transmission upgrades associated with the Ouachita power plant. The Council is concerned about whether any of the costs at issue have flowed down to Entergy New Orleans, Inc. or will adversely affect New Orleans ratepayers.
- On October 4, 2011, the Arkansas Public Service Commission filed a Notice of Intervention.
- On October 6, 2011, the East Texas Cooperatives filed a Motion to Intervene.

On October 6, 2011, the Mississippi Public Service Commission filed a Notice of Intervention.

On October 6, 2011, Entergy Services, Inc. filed an answer to the complaint submitted by LPSC on September 14, 2011.

Entergy stated:

1) the Ouachita plant transmission upgrades issue should be summarily dismissed since: there is no existing violation of the System Agreement or OATT; the Commission has already determined that the Ouachita plant transmission upgrades issue should be addressed in a separate 205 filing; and the LPSC's claims of affiliate abuse are misplaced; and
2) the Union Pacific Railroad ("UP") settlement complaint should be summarily dismissed.

On October 6, 2011, the Arkansas Public Service Commission filed a Protest.

The APSC stated FERC should summarily dismiss the Complaint as an impermissible collateral attack on earlier Commission orders rejecting similar LPSC arguments for the imposition of "exit" conditions on Entergy Arkansas, Inc. resulting from the System Agreement in December 2013.

The APSC also stated:

1) the LPSC's Ouachita transmission upgrade cost re-allocation and direct assignment claim is unfounded; and
2) retroactive transport of the EAI-UP settlement future savings as argued by the LPSC is unfounded.

ER05-1065

Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term

On September 30, 2011, SPP submitted the ICT's Third Quarterly Performance Report for 2011.

ER06-20

Louisville Gas and Electric Company ("LG&E") and Kentucky Utility Company's ("KU") Request for Commission Approval to Change their Method of Complying with Order Nos. 888 and 889 and Certain Conditions Imposed by the Commission in the Context of their Prior Mergers, and Achieving the Goals of Order No. 2000

On September 30, 2011, SPP submitted the ITO's Semi-Annual Report. This report covers the period from March 2011 through August 2011.

The semi-annual report is required by FERC's orders approving the establishment of the ITO and section 12.1.2 of the ITO Agreement in LG&E/KU's Tariff.

ER09-748

SPP Filing of Revisions to OATT to Modify Energy Imbalance Service Market (Attachment AE Revisions - Demand Response Proposal)

On October 4, 2011, FERC issued an Order on Compliance Filings and Rehearing.

FERC denied the request for rehearing, but granted the TDU Intervenors' request for clarification and found that the Commission did not intend for its determinations in the November 20, 2009 Order to conflict with the directives in Order No. 719-A.

FERC conditionally accepted SPP's October 27, 2009 Compliance Filing, effective December 27, 2009, as discussed in the body of the Order.

FERC conditionally accepted SPP's May 19, 2010 Compliance Filing, effective May 19, 2010 or 60 days after SPP notification to the Commission that it has completed prerequisite system changes, as applicable, as discussed in the body of the Order.

FERC accepted the reports submitted on May 20, 2010 by SPP and the SPP Market Monitor regarding remaining barriers to demand response.

SPP's Compliance Filing to modify tariff language or clarify several aspects of its previous Compliance Filings is due December 5, 2011.

ER09-1050

SPP Order 719 Compliance Filing

On October 4, 2011, FERC issued an Order on Compliance Filings and Rehearing.

FERC denied the request for rehearing, but granted the TDU Intervenors' request for clarification and found that the Commission did not intend for its determinations in the November 20, 2009 Order to conflict with the directives in Order No. 719-A.

FERC conditionally accepted SPP's October 27, 2009 Compliance Filing, effective December 27, 2009, as discussed in the body of the Order.

FERC conditionally accepted SPP's May 19, 2010 Compliance Filing, effective May 19, 2010 or 60 days after SPP notification to the Commission that it has completed prerequisite system changes, as applicable, as discussed in the body of the Order.

FERC accepted the reports submitted on May 20, 2010 by SPP and the SPP Market Monitor regarding remaining barriers to demand response.

SPP's Compliance Filing to modify tariff language or clarify several aspects of its previous Compliance Filings is due December 5, 2011.

On October 20, 2011, FERC issued an Order on Clarification, Rehearing, and Compliance, accepting SPP's October 15, 2011 Compliance Filing which limits SPP's Market Monitoring Unit ("MMU") referral of market violations only to FERC's Office of Enforcement staff.

FERC granted ISO New England, Inc.'s request for clarification. FERC stated the necessity of maintaining referral and investigative information as non-public in no way restricts MMUs from collaborating with RTOs or ISOs to determine whether market violations are occurring. Therefore, FERC clarified that its market monitoring requirements do not prohibit such collaborative efforts. FERC clarified that MMUs, RTOs, and ISOs may communicate referral information with each other across regions. FERC also clarified that the Office of Enforcement may elect to share investigative information with MMUs, RTOs, and ISOs, including referral information and information obtained from third parties, as long as appropriate measures are taken to ensure that such information is not disclosed and remains confidential.

FERC accepted SPP's revisions to Attachment AG, effective February 18, 2010.

ER09-1192

SPP Submission of Revisions to Bylaws

On October 4, 2011, FERC issued an Order on Compliance Filings and Rehearing.

Regulatory Status Report

FERC denied the request for rehearing, but granted the TDU Intervenors' request for clarification and found that the Commission did not intend for its determinations in the November 20, 2009 Order to conflict with the directives in Order No. 719-A.

FERC conditionally accepted SPP's October 27, 2009 Compliance Filing, effective December 27, 2009, as discussed in the body of the Order.

FERC conditionally accepted SPP's May 19, 2010 Compliance Filing, effective May 19, 2010 or 60 days after SPP notification to the Commission that it has completed prerequisite system changes, as applicable, as discussed in the body of the Order.

FERC accepted the reports submitted on May 20, 2010 by SPP and the SPP Market Monitor regarding remaining barriers to demand response.

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FERC accepted SPP's revisions to Attachment AG, effective February 18, 2010.

ER10-1069

SPP Submission of Tariff Revisions to Adopt a "Highway/Byway" Regional Transmission Upgrade Cost Allocation Methodology

On October 20, 2011, FERC issued an Order on Rehearing. FERC denied the requests for rehearing.

The order affirmed all of FERC's findings in the June 17, 2010 Order that the Highway/Byway is a just and reasonable cost allocation method that complies with FERC's cost causation principle and allocates costs on a basis "roughly commensurate" with benefits.

ER11-121

SPP Submission of Tariff Revisions to Comply With Order No. 719 and the September 16, 2010 Order Issued in Docket No. ER09-1050

On October 20, 2011, FERC issued an Order on Clarification, Rehearing, and Compliance, accepting SPP's October 15, 2011 Compliance Filing which limits SPP's Market Monitoring Unit ("MMU") referral of market violations only to FERC's Office of Enforcement staff.

FERC granted ISO New England, Inc.'s request for clarification. FERC stated the necessity of maintaining referral and investigative information as non-public in no way restricts MMUs from

collaborating with RTOs or ISOs to determine whether market violations are occurring. Therefore, FERC clarified that its market monitoring requirements do not prohibit such collaborative efforts. FERC clarified that MMUs, RTOs, and ISOs may communicate referral information with each other across regions. FERC also clarified that the Office of Enforcement may elect to share investigative information with MMUs, RTOs, and ISOs, including referral information and information obtained from third parties, as long as appropriate measures are taken to ensure that such information is not disclosed and remains confidential.

FERC accepted SPP's revisions to Attachment AG, effective February 18, 2010.

ER11-3494

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC") and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On September 29, 2011, FERC issued an Order on Rehearing and Compliance Filings. FERC conditionally accepted the NITSA subject to a compliance filing.

FERC granted Westar Energy, Inc.'s Request for Rehearing asking that SPP be allowed to revise language in section 8.6 to permit SPP to charge the distribution loss rate in section 28.5 of Westar's Tariff. However, this charge must exclude transmission losses.

SPP's compliance filing to revise section 8.6 is due October 14, 2011.

On October 12, 2011, SPP submitted its compliance filing pursuant to the September 29, 2011 Order on Rehearing and Compliance Filings.

ER11-3627

SPP Submission of Tariff Revisions to Implement Make-Whole Process for Out of Merit Energy Dispatch ("OOME")

On October 20, 2011, FERC issued an Order Conditionally Accepting Tariff Revisions and Directing Compliance Filing, effective July 24, 2011.

FERC conditionally accepted SPP's proposed revision to its OATT to reflect a new compensation mechanism for out of merit energy dispatch during emergencies. FERC directed SPP to make a compliance filing providing for a cap on the compensation to generators for responding to OOME directives.

SPP's compliance filing is due November 21, 2011.

ER11-3728

Midwest Independent Transmission System Operator, Inc. ("MISO") Section 205 Filing to Seek Transitional Waiver of Provisions of Open Access Transmission, Entergy and Operating Reserve Markets Tariff Regarding Allocation of Transmission Network Upgrade Costs, For Purposed of Integration of Entergy Corporation and its Operating Companies

On September 27, 2011, FERC issued an Order Denying Request for Tariff Waiver.

FERC found that the requested waiver was an inappropriate vehicle for implementing the transition period that MISO seeks for Entergy.

FERC also found that the proposal would alter the existing cost allocation methodology for the existing MISO footprint and would apply a new cost allocation methodology to Entergy during

the proposed transition period. Therefore, FERC stated that MISO's proposal would significantly affect the rates and charges for jurisdictional service. And that such changes should not be effectuated by a waiver of the Tariff, but instead should be submitted via a properly-supported section 205 filing with Tariff sheets setting forth the cost allocation provisions that would apply to the Northern and Southern Planning Regions during the transition period.

Lastly, FERC found that the requested waiver does not satisfy Commission's requirements for waiver of tariff provisions.

On September 27, 2011, FERC issued an Errata Notice correcting footnote 17 in the Order Denying Request for Tariff Waiver issued on September 27.

ER11-3958

SPP Submission of Tariff Revisions to Enhance Billing and Credit Policies to Protect SPP and Market Participants from Potential Harm from a Market Participant's Default

On October 17, 2011, Electric Power Supply Association filed a Request for Rehearing, Clarification, and Technical Conference.

ESPA requested rehearing and clarification of the Commission's September 15, 2011 Order issued in Docket No. ER11-3972, which conditionally accepted the Order No. 741 Compliance Filing submitted by PJM Interconnection on June 30, 2011.

In addition, ESPA requested that FERC convene a technical conference in all the RTO/ISO Order No. 741 Compliance Proceedings so that the Commission, RTOs/ISOs, market participants, and other interested stakeholders have the opportunity to identify areas in which uniform credit practices should be established across all organized markets, to reach agreement on the appropriate uniform standards, and to address other issues of common concern raised in these proceedings.

ER11-3967

SPP Order No. 741 Compliance Filing Pursuant to the Final Rule Issued Concerning Credit Reforms in Organized Wholesale Electric Markets in Docket No. RM10-13

On October 17, 2011, Electric Power Supply Association filed a Request for Rehearing, Clarification, and Technical Conference.

ESPA requested rehearing and clarification of the Commission's September 15, 2011 Order issued in Docket No. ER11-3972, which conditionally accepted the Order No. 741 Compliance Filing submitted by PJM Interconnection on June 30, 2011.

In addition, ESPA requested that FERC convene a technical conference in all the RTO/ISO Order No. 741 Compliance Proceedings so that the Commission, RTOs/ISOs, market participants, and other interested stakeholders have the opportunity to identify areas in which uniform credit practices should be established across all organized markets, to reach agreement on the appropriate uniform standards, and to address other issues of common concern raised in these proceedings.

ER11-3986

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 12, 2011, FERC issued a letter order accepting the agreement, effective June 1,

2011 as requested.

This order constitutes final agency action.

ER11-4105

SPP Order No. 745 Compliance Filing Pursuant to the Final Rule Issued Concerning Demand Response Compensation in Organized Wholesale Energy Markets in Docket No. RM10-17

On September 22, 2011, EnerNOC, Inc. filed an answer in response to SPP's September 6, 2011 Answer.

EnerNOC stated that SPP confirmed in its September 6 Answer that it intends to employ a "load gross-up" process which is similar to the California Independent System Operator's ("CAISO") process detailed in its July 22, 2011 Compliance Filing. EnerNOC believes CAISO and SPP are effectuating cost allocation in a way that is inconsistent with Order No. 745 in their treatment of the billing unit cost allocation process.

On September 23, 2011, the Electric Power Supply Association ("ESPA") filed Comments and a Motion for Leave to Answer and Answer on Order No. 745 Compliance Filings submitted by the Independent System Operators ("ISOs") and Regional Transmission Organizations ("RTOs").

The ESPA stated:

- 1) opposition to limitations on demand response ("DR") self-scheduling vitiates the comparability upon which locational marginal price-based compensation is predicated;
- 2) measurement and verification tools and baseline calculation enhancements are critical to implementation; and
- 3) behind-the-meter generation poses serious concerns as a DR product or service and should not participate as DR pursuant to Order No. 745.

ESPA requested that FERC hold the ISO/RTO compliance filings in abeyance until after it has acted on the pending requests for rehearing and/or clarification of Order No. 745.

ER11-4148

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 24, 2011, FERC issued a letter order accepting the agreement, effective June 1, 2011 as requested.

This order constitutes final agency action.

ER11-4170

Notice of Cancellation of Two Network Integration Transmission Service Agreements ("NITSA") between SPP, Golden Spread Electric Cooperative ("Golden Spread") as Network Customer, and Southwestern Public Service Company ("SPS") as Host Transmission Owner

On September 26, 2011, FERC issued a letter order accepting the two Notices of Cancellation, effective July 1, 2011 as requested.

This order constitutes final agency action.

- ER11-4172** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On October 24, 2011, FERC issued a letter order accepting the agreement, effective July 1, 2011 as requested.
- This order constitutes final agency action.
- ER11-4327** **Entergy Services, Inc. ("ESI") Submission of Tariff Revisions to Attachment T in Order to Expand the Time-Horizon the Independent Coordinator of Transmission ("ICT") Uses to Identify Base Plan Upgrades from Three to Five Years**
- On September 22, 2011, Entergy Services, Inc. submitted an Answer in response to Union Power Partners, L.P.'s September 7, 2011 Answer.
- Entergy stated that its amendment to extend the planning horizon to five years exclusively addresses cost allocation for Base Plan Upgrades, nothing more. Entergy stated that it believes Union Power's arguments erroneously conflate cost allocation principles and long-term transmission planning principles when the two are distinct.
- On October 14, 2011, FERC issued an Order Accepting Tariff Amendments in order to expand the time-horizon the ICT uses to identify Base Plan Upgrades from three years to five years, effective October 17, 2011.
- ER11-4349** **Affected Systems' Facilities Construction Agreement ("FCA") Between SPP as Transmission Provider, Osage Wind, LLC ("Osage Wind") as Interconnection Customer, and Grand River Dam Authority ("GRDA") as Transmission Owner**
- On October 12, 2011, FERC issued a letter order accepting the agreement, effective July 21, 2011 as requested.
- This order constitutes final agency action.
- ER11-4378** **Transmission Interconnection Agreement Between City of Larned, Kansas ("Larned") and Midwest Energy, Inc. ("Midwest"), with SPP as a Signatory**
- On October 21, 2011, FERC issued a letter order accepting the agreement, effective July 28, 2011 as requested.
- This order constitutes final agency action.
- ER11-4390** **Affected Systems' Facilities Construction Agreement ("FCA") Between SPP as Transmission Provider, Osage Wind, LLC ("Osage Wind") as Interconnection Customer, and Public Service Company of Oklahoma ("PSO") as Transmission Owner**
- On October 13, 2011, FERC issued a letter order accepting the agreement, effective July 28, 2011 as requested.
- This order constitutes final agency action.

ER11-4396 **Louisville Gas and Electric Company ("LG&E") and Kentucky Utilities Company ("KU") Application Seeking Commission Approval to Appoint TransServ International, Inc. ("TransServ") as the New Independent Transmission Organization ("ITO")**

On October 4, 2011, Louisville Gas and Electric Company and Kentucky Utilities Company filed an answer in response to the comments filed by the Kentucky Municipals and East Kentucky Power Cooperative, Inc.

ER11-4405 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") as Host Transmission Owner**

On September 29, 2011, Mid-Kansas Electric Company, LLC filed a Motion to Intervene and Protest.

MKEC stated it protests this filing because it is deficient in failing to reflect, consistent with SPP practice for comparable NITSAs, the arrangements that are necessary for the Wholesale Distribution Service that KEPCO requires to deliver energy from SPP's transmission facilities to the new delivery point.

On October 14, 2011, SPP filed an answer in response to the Motion to Intervene and Protest filed by Mid-Kansas Electric Company, LLC on September 29, 2011.

SPP stated:

- 1) SPP has not treated MKEC disparately by failing to attach the unexecuted local agreements to the KEPCO service agreement; and
- 2) MKEC signed the KEPCO NOA, and MKEC never communicated to SPP that it wished for SPP to file the KEPCO NOA as unexecuted.

On October 18, 2011, Kansas Electric Power Cooperative, Inc. filed a Motion to Intervene Out of Time.

ER11-4407 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 24, 2011, FERC issued a letter order accepting the agreement, effective August 1, 2011 as requested.

This order constitutes final agency action.

ER11-4408 **SPP Submission of Tariff Revisions to Update Addendum 1 to Schedule 11**

On October 12, 2011, FERC issued an order accepting the revisions to Addendum 1 to Schedule 11, effective April 1, 2011 as requested.

This order constitutes final agency action.

ER11-4662 **Notice of Cancellation of the Large Generator Interconnection Agreement ("GIA") between Conestoga Winds, LLC ("Conestoga") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner**

On September 28, 2011, SPP submitted Notice of Cancellation of the LGIA between SPP as Transmission Provider, Conestoga Winds, LLC as Interconnection Customer, and Southwestern Public Service Company as Transmission Owner. SPP Service Agreement No. First Revised 1159.

An effective date of August 22, 2011 was requested.

ER11-4671

SPP Submission of Revisions to OATT to Implement Rate Changes for Public Service Company of Oklahoma ("PSO"), Southwestern Electric Power Company ("SWEPCO"), and AEP Southwestern Transmission Company, Inc. and AEP Oklahoma Transmission Company, Inc. ("AEP West Transmission Companies")

On September 28, 2011, SPP submitted revised pages to its OATT to implement rate changes for Public Service Company of Oklahoma, Southwestern Electric Power Company, AEP Southwestern Transmission Company, Inc. and AEP Oklahoma Transmission Company, Inc.

The revisions track the rate update calculated on behalf of the AEP Companies based on their transmission cost of service formula rates in the Tariff. This filing also alters the Zonal Annual Transmission Revenue Requirement ("ATRR") for the AEP West zone within SPP, the Base Plan Region-wide ATRR, and the Base Plan Zonal ATRR in Attachment H of the Tariff, as well as the Base Plan Zonal Rate for Point-to-Point Transmission Service and the Region-wide Rate of Point-to-Point Transmission Service in Schedule 11 of the Tariff.

An effective date of July 1, 2011 was requested.

ER11-4700

Generator Interconnection Agreement ("GIA") between Cottonwood Wind Project, LLC ("Cottonwood") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On September 29, 2011, SPP submitted an executed GIA between SPP as Transmission Provider, Cottonwood Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. 2252.

An effective date of August 31, 2011 was requested.

ER12-5

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Associated Electric Cooperative, Inc. ("AECI") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner

On October 3, 2011, SPP submitted an executed NITSA between SPP as Transmission Provider, Associated Electric Cooperative, Inc. as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Sixth Revised 1154.

An effective date of September 1, 2011 was requested.

ER12-16

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On October 4, 2011, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Network Customer, as well as a NOA with American Electric Power Service Corporation, Oklahoma Gas and Electric Company, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Fourteenth Revised 1166.

An effective date of September 1, 2011 was requested.

On October 25, 2011, Western Farmers Electric Cooperative filed a Motion to Intervene.

ER12-25

SPP Submission of Revisions to OATT to Implement Rate Changes for Grand River Dam Authority ("GRDA"), Lincoln Electric System ("LES") and Omaha Public Power District ("OPPD")

On October 5, 2011, SPP submitted revised pages to its OATT to implement rate changes for Grand River Dam Authority, Lincoln Electric System, and Omaha Public Power District, which are transmission owners and pricing zones in the SPP region.

This filing also alters the Zonal Annual Transmission Revenue Requirement ("ATRR") for the GRDA, LES, and OPPD zones within SPP, the Base Plan Region-wide ATRR, and the Base Plan Zonal ATRR, and the Region-wide ATRR all in Attachment H of the Tariff, as well as the Base Plan Zonal Rate for Point-to-Point Transmission Service and the Region-wide Rate of Point-to-Point Transmission Service in Schedule 11 of the Tariff.

An effective date of August 1, 2011 was requested.

On October 27, 2011, Lincoln Electric System filed a Motion to Intervene One Day Out-of-Time and Comments in support of SPP's October 5, 2011 Filing.

ER12-28

SPP Submission of Revisions to OATT to Implement Rate Changes for Midwest Energy, Inc. ("Midwest Energy")

On October 5, 2011, SPP submitted revised pages to its OATT to incorporate into the Tariff the annual transmission service rate updates for Midwest Energy, Inc.

An effective date of September 1, 2011 was requested.

ER12-74

SPP Submission of Tariff Revisions to Incorporate Formulaic Process to Update Transmission Owner Formula Rates

On October 13, 2011, SPP submitted revisions to its OATT to incorporate a formulaic process for updating the Annual Transmission Revenue Requirements ("ATTRs") and the Point-to-Point Transmission Service charges for the various SPP Transmission Owners that have adopted transmission formulas and protocols. SPP also included various miscellaneous proposed revisions that provide clarity to SPP's Tariff.

An effective date of December 13, 2011 was requested.

ER12-138

Union Electric Company dba Ameren Missouri ("Ameren") and Entergy Services, Inc. ("ESI") Filing of an Amended and Restated Interchange Agreement between Ameren, Entergy Arkansas, Inc. ("EAI") and Associated Electric Cooperative, Inc. ("AECI")

On October 20, 2011, Union Electric Company dba Ameren Missouri and Entergy Services,

Regulatory Status Report

Inc. filed an Amended and Restated Interchange Agreement between Ameren Missouri, Entergy Arkansas, Inc., and Associated Electric Cooperative, Inc.

An effective date of December 18, 2011 was requested.

Since Ameren and ESI both wish to have a copy of the Agreement in their respective databases, each company separately submitted the Agreement in their e-Tariff systems. Ameren and ESI request that this proceeding be consolidated with FERC Docket No. ER12-112.

ER12-140

SPP Submission of Tariff Revisions to Incorporate Kansas Power Pool ("KPP") as a Transmission Owner

On October 20, 2011, SPP submitted revisions to its OATT in order to implement Kansas Power Pool's formula rate for transmission service. KPP became a Transmission Owner in the Westar Energy, Inc. zone within SPP.

An effective date of December 1, 2011 was requested.

ER12-142

Midwest Independent Transmission System Operator, Inc. Filing of a Certificate of Concurrence for the Amended and Restated Interchange Agreement between Ameren, Entergy Arkansas, Inc. ("EAI") and Associated Electric Cooperative, Inc. ("AECI")

On October 20, 2011, Midwest Independent Transmission System Operator, Inc. filed a Certificate of Concurrence with respect to the Amended and Restated Interchange Agreement between Ameren, EAI, and AECI filed in FERC Docket Nos. ER12-112 and ER12-138.

ER12-149

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner

On October 21, 2011, SPP submitted an executed NITSA between SPP as Transmission Provider, Arkansas Electric Cooperative Corporation as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. First Revised 2158.

An effective date of October 1, 2011 was requested.

ER12-180

Generator Interconnection Agreement ("GIA") between Alexander Wind Farm, LLC ("Alexander Wind") as Interconnection Customer and Midwest Energy, Inc. ("Midwest") as Transmission Owner

On October 24, 2011, SPP submitted an executed GIA between SPP as Transmission Provider, Alexander Wind Farm, LLC as Interconnection Customer, and Midwest Energy, Inc. as Transmission Owner. SPP Service Agreement No. 2261.

An effective date of September 21, 2011 was requested.

On October 27, 2011, SPP filed a Motion to Withdraw the GIA since Midwest Energy, Inc. decided to remove the non-conforming language from Appendix C, Section (k) of the Agreement.

PA11-2 FERC Audit of SPP's Responsibilities and Performance as a Regional Entity ("RE")

On October 5, 2011, FERC issued an order approving the audit report and recommendations.

FERC audit staff identified weaknesses in the policies and procedures used to process mitigation plans, technical feasibility exception requests, and conflicts of interests for contractors. Audit staff also identified a backlog of open violations in the RE caseload and a lack of policies and procedures for RE employees responsible for detecting and reporting issues specific to the performance of Compliance Monitoring and Enforcement Program activities by the RE.

The SPP RE must submit a plan to comply with the corrective actions no later than November 4, 2011.

The SPP RE must also submit quarterly reports to the Division of Audits describing its progress in completing each corrective action recommended in the final audit report. Reports shall be submitted no later than 30 days after the end of each calendar quarter and shall continue until all recommended corrective actions are complete. The SPP RE shall submit copies of any written policies and procedures developed in response to the recommendations in its first quarterly filing after these items are complete.

PL10-4 Policy Statement on Penalty Guidelines re Enforcement of Statutes, Orders, Rules, and Regulations

On September 21, 2011, FERC issued a Notice of Technical Conference on Penalty Guidelines to be held on November 17, 2011. The purpose of the conference is to discuss the impact of Penalty Guidelines on compliance and enforcement matters.

On October 27, 2011, FERC issued a Second Notice of Technical Conference on Penalty Guidelines which details the schedule and topics for the November 17, 2011 Technical Conference.

RC11-6 North American Electric Reliability Corporation's ("NERC") Petition Requesting Approval of New Enforcement Mechanisms and Submittal of Initial Informational Filing Regarding NERC's Efforts to Refocus Implementation of Its Compliance Monitoring and Enforcement Program ("CMEP")

On September 30, 2011, the North American Electric Reliability Corporation submitted a petition requesting approval of new enforcement mechanisms and its initial informational filing regarding its efforts to refocus implementation of its Compliance Monitoring and Enforcement Program.

NERC and the Regional Entities are employing a more comprehensive and integrated risk control strategy that differentiates and addresses compliance issues according to their significance to the reliability of the bulk power system. In addition, NERC and the Regional Entities are increasing the utilization of their inherent enforcement discretion in the implementation of compliance and enforcement activities.

Lesser risk issues that have been corrected will be presented as Remediated Issues in a Find, Fix, Track and Report ("FFT") spreadsheet format that will be submitted to FERC in an informational filing on a monthly basis. More serious risk violations will be submitted in a new Notice of Penalty ("NOP") spreadsheet.

Several parties filed Motions to Intervene.

Several parties filed comments in support of NERC's September 30, 2011 Filing.

On October 21, 2011, the ISO/RTO Council filed a Motion to Intervene and Comments.

The IRC stated:

- 1) it supports the Find, Fix, Track and Report initiative; and
- 2) the Commission should remand the entity risk assessment proposal for additional stakeholder input.

RD10-8

NERC Petition to Approve Interpretation of Two Provisions of Critical Infrastructure Protection Reliability Standard CIP-006-2 (Cyber Security-Physical Security of Critical Cyber Assets)

On September 26, 2011, FERC issued a Notice of Technical Conference. FERC will hold a staff-led Technical Conference on October 25, 2011 from 9:00 a.m. to 1:00 p.m. (EST) in order to discuss issues related to the interpretations of Reliability Standard CIP-006-2.

The Technical Conference will explore the risks of leaving dial-up intelligent electronic devices that are part of the Bulk-Power System and that use non-routable protocols physically unprotected. The Commission is interested in: (1) information on the number of Bulk-Power System assets that are networked using non-routable protocols; (2) the proportion of those assets that are currently physically unprotected; (3) the risks associated with leaving such assets physically unprotected; and (4) methods for mitigating such risks.

On October 25, 2011, FERC held a staff-led Technical Conference to discuss issues related to the interpretations of Reliability Standard CIP-006-2.

RM05-5

Standards for Business Practices and Communication Protocols for Public Utilities

On October 7, 2011, NAESB submitted a report regarding its standards development in support of coordination of requests for transmission service across multiple transmission systems pursuant to Order No. 676-E.

RM09-13

Time Error Correction Reliability Standard

On October 18, 2011, Mark B. Lively filed comments stating instead of allowing NERC to reduce the connectivity between the reliability function it oversees and the mercantile function overseen by NAESB, FERC should require an increase in that connectivity.

RM10-6

Interpretation of Transmission Planning Reliability Standard

On October 7, 2011, FERC issued a Notice of Staff Meeting. FERC staff will conduct a Technical Discussion on the reliability issues relating to "Single Point of Failure on Protection Systems" on October 24, 2011 from 1 p.m. to 5 p.m. and Tuesday October 25, 2011 from 8 a.m. to noon.

The purpose of the meeting is to discuss the reliability implications to the interconnected transmission system associated with a single point of failure on protection systems.

On October 24-25, 2011, FERC staff held a Technical Discussion on the reliability issues relating to "Single Point of Failure on Protection Systems".

RM10-17

Demand Response Compensation in Organized Wholesale Energy Markets

On September 23, 2011, the Electric Power Supply Association ("ESPA") filed Comments and a Motion for Leave to Answer and Answer on Order No. 745 Compliance Filings submitted by the Independent System Operators ("ISOs") and Regional Transmission Organizations ("RTOs").

The ESPA stated:

- 1) opposition to limitations on demand response ("DR") self-scheduling vitiates the comparability upon which locational marginal price-based compensation is predicated;
- 2) measurement and verification tools and baseline calculation enhancements are critical to implementation; and
- 3) behind-the-meter generation poses serious concerns as a DR product or service and should not participate as DR pursuant to Order No. 745.

ESPA requested that FERC hold the ISO/RTO compliance filings in abeyance until after it has acted on the pending requests for rehearing and/or clarification of Order No. 745.

RM11-7

Frequency Regulation Compensation in the Organized Wholesale Power Markets (Order No. 755)

On October 20, 2011, FERC issued Order No. 755, Final Rule revising FERC's regulations to remedy undue discrimination in the procurement of frequency regulation in the organized wholesale electric markets and ensure that providers of frequency regulation receive just and reasonable and not unduly discriminatory or preferential rates.

The Commission found that current frequency regulation compensation practices of RTOs and ISOs result in rates that are unjust, unreasonable, and unduly discriminatory or preferential. Specifically, current compensation methods for regulation service in RTO and ISO markets fail to acknowledge the inherently greater amount of frequency regulation service being provided by faster-ramping resources. In addition, certain practices of some RTOs and ISOs result in economically inefficient economic dispatch of frequency regulation resources.

By remedying these issues, the Commission is removing unduly discriminatory and preferential practices from RTO and ISO tariffs and requiring the setting of just and reasonable rates. Specifically, this Final Rule requires RTOs and ISOs to compensate frequency regulation resources based on the actual service provided, including a capacity payment that includes the marginal unit's opportunity costs and a payment for performance that reflects the quantity of frequency regulation service provided by a resource when the resource is accurately following the dispatch signal.

The Commission directed all RTOs and ISOs to modify their tariffs to provide for a two-part payment to frequency regulation resources. The first part of this payment will be a capacity, or option, payment for keeping a resource's capacity in reserve in the event that it is needed to provide real-time frequency regulation service. The second part of the payment shall be a performance payment that reflects the amount of work each resource performs in real-time.

The Final Rule is effective 60 days after publication in the Federal Register.

The RTOs/ISOs compliance filings are due 120 days after the effective date of this Final Rule. FERC will allow 180 days from that date for implementation.

- RM11-17** **Enhancement of Electricity Market Surveillance and Analysis through Ongoing Electronic Delivery of Data from Regional Transmission Organizations and Independent System Operators**
- On October 20, 2011, FERC issued a Notice of Proposed Rulemaking to revise its regulations to require each RTO and ISO to electronically deliver to the Commission, on an ongoing basis, data related to the markets that it administers. FERC stated that ongoing electronic delivery of data relating to physical and virtual offers and bids, market awards, resource outputs, marginal cost estimates, shift factors, financial transmission rights, internal bilateral contracts, and interchange pricing will facilitate the Commission's development and evaluation of its policies and regulations and will enhance Commission efforts to detect anti-competitive or manipulative behavior, or ineffective market rules, thereby helping to ensure just and reasonable rates.
- Comments are due December 27, 2011.
- RM11-18** **Transmission Planning Reliability Standards**
- On October 20, 2011, FERC issued a Notice of Proposed Rulemaking proposing to remand footnote b referenced in NERC's proposed Reliability Standard TPL-002-0a. FERC believes the proposed Reliability Standard does not meet the statutory criteria for approval.
- Comments are due December 27, 2011.
- RM11-20** **Automatic Underfrequency Load Shedding and Load Shedding Plans Reliability Standards**
- On October 20, 2011, FERC issued a Notice of Proposed Rulemaking proposing to approve Reliability Standards PRC-006-1 (Automatic Underfrequency Load Shedding) and EOP-003-2 (Load Shedding Plans) developed by the North American Electric Reliability Corporation. The proposed Reliability Standards establish design and documentation requirements for automatic underfrequency load shedding programs that arrest declining frequency and assist recovery of frequency following system events leading to frequency degradation. The Commission also proposes to approve the related Violation Risk Factors and Violation Severity Levels, implementation plan, and effective date proposed by NERC.
- Comments are due December 27, 2011.
- RR06-1** **North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization ("ERO")**
- On October 7, 2011, FERC issued an Order on Compliance Filing conditionally accepting NERC's February 18, 2011 compliance filing subject to an additional compliance filing due on November 7, 2011.
- RR10-11** **NERC Petition for Approval of Revised Pro Forma Delegation Agreement, Revised Delegation Agreements with the Eight Regional Entities, Amendments to the NERC Rules of Procedure, and Amendments to the Bylaws of Several of the Regional Entities**
- On October 7, 2011, FERC issued an Order on Compliance Filing conditionally accepting NERC's February 18, 2011 compliance filing subject to an additional compliance filing due on November 7, 2011.

RR11-1

Petition of the Nebraska Public Power District ("NPPD") for Review of NERC's Board of Trustees Denial to Transfer NERC Compliance Registrations from Midwest Reliability Organization ("MRO") to the SPP Regional Entity ("SPP RE")

On September 21, 2011, FERC issued an Order Granting Rehearing for Further Consideration.

FERC or State Jurisdiction: State of Arkansas

08-136-U **In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas**

On October 3, 2011, SPP filed the ICT's Third Quarterly Performance Report for 2011. This report covers the time period June 1, 2011 through August 31, 2011.

On October 18, 2011, SPP filed the September 2011 State of the Market Report pursuant to Order No. 2.

08-137-U **In the Matter of the Consideration of Innovative Approaches to Ratebase, Rate of Return Ratemaking Including, but not limited to, Annual Earnings Reviews, Formula Rates, and Incentive Rates for Jurisdictional Electric and Natural Gas Public Utilities**

On October 3, 2011, the APSC requested oral argument in Court of Appeals Case No. CA 11-496.

Audubon Arkansas, CenterPoint Energy Resources Corp., and Entergy Arkansas, Inc. filed briefs in Court of Appeals Case No. CA 11-496.

10-011-U **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**

On September 27, 2011, Entergy Arkansas, Inc. submitted an errata to its Responses to Order No. 53.

10-100-R **In the Matter of a Rulemaking to Adopt an Evaluation, Measurement, and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for Conservation and Energy Efficiency Programs ("C&EE Rules")**

On September 29, 2011, the APSC issued Order No. 11, approving, with modifications, rule amendments and protocols developed through the collaborative efforts in this proceeding.

APSC directed:

- 1) staff to file technical reference manual ("TRM") version 1.0, based on the collaborative development and input of parties, on September 30, 2011;
- 2) the parties to file an amended version of the TRM on or before August 31, 2012.

The APSC also solicited a jointly-proposed schedule for the approval of TRM 1.0, and for approval of a collaborative decision making process that will enable the incorporation of party and stakeholder input regarding energy efficiency ("EE") program Evaluation, Measurement, and Verification ("EM&V"), and thereby further develop EM&V in Arkansas, and report on the status of any HIM Deemed Savings updates, or other revisions that will aid in the determination of the Energy Efficiency Cost Recovery Rider look back calculations related to the 2011 EE program year.

On September 30, 2011, Angela Moreno filed Direct Testimony on behalf of several parties in this proceeding.

On September 30, 2011, the parties filed a Joint Motion to Approve Technical Reference Manual 1.0 and Waiver of Hearing.

On September 30, 2011, Dr. Katherine Johnson filed Supplemental Testimony on behalf of APSC General Staff.

On September 30, 2011, Kim Davis filed Supplemental Testimony on behalf of APSC General Staff.

On October 3, 2011, the APSC submitted an errata to correct page 7 of the Supplemental Testimony of Kim Davis filed on September 30, 2011.

On October 14, 2011, the APSC issued Order No. 12, granting the Joint Motion to Approve Technical Reference Manual 1.0 and Waiver of Hearing filed on September 30, 2011.

10-101-R

In the Matter of the Institution of a Rulemaking to Adopt Amendments to the Commission's Rules on Conservation & Energy Efficiency ("C&EE") to Allow Self-Directed Programs for Large Consumers

On September 22, 2011, the Attorney General filed a Petition for Rehearing or Clarification of Order Nos. 10, 11, and 12 and Response to AEEC/AGC Motion.

On September 28, 2011, Arkansas Electric Energy Consumers, Inc. and Arkansas Gas Consumers, Inc. filed their response to the Attorney General's September 22, 2011 Petition.

On October 7, 2011, APSC General Staff filed a Motion to Clarify Order No. 11. Staff requested clarification that customer specific information received from the utilities is also protected HSPI pursuant to the Interim Protective Order.

On October 19, 2011, the APSC issued Order No. 23 granting the rehearing and clarification requests filed by Arkansas Electric Energy Consumers, Inc. and Arkansas Gas Consumers, Inc. and the Arkansas Attorney General.

FERC or State Jurisdiction: State of Kansas

11-GIME-597-GIE

In the Matter of the General Investigation Into the Classification as Transmission of Distribution of Certain 34.5 kV Facilities Owned by Certain Members of Mid-Kansas Electric Company, LLC and Into Certain Agreements Relating to the Provision of Wholesale Service by Mid-Kansas Electric Company, LLC to Kansas Power Pool and Kansas Electric Power Cooperative, Inc. on Such Facilities

On October 10, 2011, the Parties filed Direct Testimony.

On October 18, 2011, KCC Staff filed its Brief on the Burden of Proof in the Classification of 34.5 kV Facilities and Unexecuted Agreements.

On October 26, 2011, SPP filed a Petition to Intervene.

FERC or State Jurisdiction: State of Louisiana

U-28155

Investigation of the Continued Participation of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, LLC in the Independent Coordinator of Transmission ("ICT") Arrangement, Potential Enhancements to the ICT, Potential Alternatives to the ICT, the Impact of Alternatives on LPSC Jurisdictional Ratepayers

On October 3, 2011, Entergy submitted a Status Report on Matters Relating to Expiration of Independent Coordinator of Transmission Arrangement.

FERC or State Jurisdiction: State of Louisiana - City of New Orleans

UD-11-01

Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements

On September 22, 2011, the Council of the City of New Orleans issued an Order scheduling a telephone hearing on September 23, 2011 in order to discuss the intervention of the East Texas Cooperatives in this proceeding.

On September 27, 2011, the Council of the City of New Orleans issued an Order scheduling a telephone hearing on October 11, 2011 in order to discuss the intervention of the East Texas Cooperatives in this proceeding.

On September 28, 2011, Entergy New Orleans, Inc. submitted a copy of the errata to page 10 of Entergy Arkansas, Inc.'s Responses to Order No. 53 filed in APSC Docket No. 10-011-U.

On October 3, 2011, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On October 11, 2011, a telephone hearing was held to discuss the intervention of the East Texas Cooperatives in this proceeding.

On October 12, 2011, an Order was issued granting the East Texas Cooperatives' intervention request.

On October 26, 2011, Entergy New Orleans, Inc. submitted a copy of Entergy Arkansas, Inc.'s RTO Implementation Status Report for the Quarter Ending September 30, 2011, which was filed in APSC Docket No. 10-011-U.

FERC or State Jurisdiction: State of Missouri

EO-2006-0142

In the Matter of the Application of Kansas City Power & Light Company for Authority to Transfer Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.

On September 30, 2011, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company (collectively the "Companies") submitted an Interim Report containing a study and analysis comparing the estimated benefits and costs of participation in SPP.

The Companies met with Missouri Public Service Commission Staff and Office of Public Counsel on several occasions in early 2011 to discuss the scope of the Interim Report and agreed to expand the original scope of the Interim Report from a test year analysis of the Energy Imbalance Service market to a comprehensive benefit-cost analysis for a range of SPP Regional Transmission Organization activities over a multi-year period, extending up to 2017.

The study showed a projected net benefit for continued participation in SPP of approximately \$23 million per year for both Companies combined.

The Companies requested that the Commission accept the Interim Report and issue an order approving their continued participation in SPP beyond October 1, 2013.

EO-2009-0179

In the Matter of the Application of KCP&L Greater Missouri Operations Company ("KCP&L-GMO") for Authority to Transfer Functional Control of Certain Assets to Southwest Power Pool, Inc. ("SPP")

On September 30, 2011, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company (collectively the "Companies") submitted an Interim Report containing a study and analysis comparing the estimated benefits and costs of participation in SPP.

The Companies met with Missouri Public Service Commission Staff and Office of Public Counsel on several occasions in early 2011 to discuss the scope of the Interim Report and agreed to expand the original scope of the Interim Report from a test year analysis of the Energy Imbalance Service market to a comprehensive benefit-cost analysis for a range of SPP Regional Transmission Organization activities over a multi-year period, extending up to 2017.

The study showed a projected net benefit for continued participation in SPP of approximately \$23 million per year for both Companies combined.

The Companies requested that the Commission accept the Interim Report and issue an order approving their continued participation in SPP beyond October 1, 2013.

EW-2010-0187

In the Matter of an Investigation into the Coordination of State and Federal Regulatory Policies for Facilitating the Deployment of all Cost-Effective Demand-Side Savings to Electric Customers of All Classes Consistent with the Public Interest

On October 4, 2011, the Missouri Public Service Commission held a workshop to discuss Demand Response aggregation issues related to Aggregators of Retail Customers, Independent System Operators and Regional Transmission Organizations.

FERC or State Jurisdiction: State of Texas

38877

Application of Southwestern Public Service Company ("SPS") to Amend a Certificate of Convenience and Necessity for a Proposed Tuco to Texas/Oklahoma Interconnection 345-KV Transmission Line Within Hale, Floyd, Motley, Cottle, Briscoe, Hall, Childress, Donley, Collingsworth, and Wheeler Counties

On September 30, 2011, Charles Cates filed Direct Testimony on behalf of SPP.

On September 30, 2011, numerous parties filed Direct Testimony and/or Statements of Position.

On October 10, 2011, SPS filed a Notice of Settlement Conference to be held on October 17, 2011 beginning at 11:00 a.m.

A settlement conference was held on October 17, 2011.