

FERC or State Jurisdiction: FERC

AD10-11 Frequency Regulation Compensation in the Organized Wholesale Power Markets

On December 6, 2011, Xtreme Power Inc. filed an answer in response of Southern California Edison Company's Request for Clarification.

On December 6, 2011, the New England Power Pool Participants Committee filed comments in support of ISO New England, Inc.'s Motion for Extensions of Time for Compliance Obligations.

On December 6, 2011, the California Independent System Operator Corporation filed an answer in response to ISO New England, Inc.'s Motion for Extensions of Time for Compliance Obligations. CAISO recommended that FERC allow all RTOs/ISOs to submit an alternative proposed effective date as part of their Order No. 755 compliance filings.

On December 6, 2011, the California Independent System Operator Corporation filed an answer in response of Southern California Edison Company's Request for Clarification.

On December 6, 2011, Xtreme Power Inc. filed an answer in response to ISO New England, Inc.'s Motion for Extensions of Time for Compliance Obligations.

On December 6, 2011, Beacon Power Corporation filed an answer in response to ISO New England, Inc.'s Motion for Extensions of Time for Compliance Obligations.

On December 6, 2011, the Energy Storage Association d/b/a Electricity Storage Association filed an answer in response of Southern California Edison Company's Request for Clarification.

On December 6, 2011, the Energy Storage Association d/b/a Electricity Storage Association filed an answer in response to ISO New England, Inc.'s Motion for Extensions of Time for Compliance Obligations.

On December 19, 2011, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 755.

AD10-12 Increasing Market and Planning Efficiency through Improved Software

On December 19, 2011, FERC staff released its report entitled "RTO Unit Commitment Test System."

AD12-1 Reliability Technical Conference

FERC held a Reliability Technical Conference on November 29-30, 2011.

On December 9, 2011, several entities filed post-technical conference comments.

On December 9, 2011, SPP submitted comments in response to the November 14, 2011 Request for Evidence of Commissioner Philip D. Moeller on EPA Issues.

AD12-5 Voltage Coordination on High Voltage Grids

On December 1, 2011, FERC Staff held a Workshop to discuss the interaction between voltage control, reliability, and economic dispatch. The Commission also considered how improvements to dispatch and voltage control software could improve reliability and market efficiency.

- CE11-19.001** **Douglas Welsh, et al.'s Request for Critical Energy Infrastructure Information - Nexant (Additional Requesters)**
- On December 13, 2011, Christina Powell, Sr. Project Administrator for Nexant, Inc., submitted a request to add Douglas Welsh, Laliya Agrawal, Laura Brien, Lawrence Risman, Miljenko Bradaric, Paul Worhach, Sudipto Bhowmik, and Thomas Simpson to the original FERC CEII request for FERC Form No. 715 data in CE11-19.
- On December 20, 2011, SPP submitted comments on this request.
- CE11-149** **Teresa Ives, et al.'s Request for Critical Energy Infrastructure Information - Electric Power Engineers, Inc.**
- FERC issued a Notice of Intent to Release to these additional requesters on December 5, 2011.
- CE11-156** **WM Cary Shanks's Request for Critical Energy Infrastructure Information - WEST Solutions, LLC**
- On December 20, 2011, SPP submitted comments on this request
- CE12-7** **Dan Yang's Request for Critical Energy Infrastructure Information (Self)**
- FERC issued a Notice of Intent to Release on December 15, 2011.
- CE12-11** **Deborah Austin-Smith, et al.'s Request for Critical Energy Infrastructure Information - EPIS, Inc.**
- FERC issued a Notice of Intent to Release on December 8, 2011.
- CE12-14.001** **Patrick de Man and Stephen Benschluch's Request for Critical Energy Infrastructure Information - Aspire Commodities, LP (Additional Requesters)**
- Adam Sinn, President of Aspire Commodities, has requested that Patrick de Man and Stephen Benschluch be considered and included with the initial FERC CEII request in CE12-14, as noticed December 13, 2011.
- On December 20, 2011, SPP submitted comments on this request.
- CE12-21** **Sandeep Nimmagadda, et al.'s Request for Critical Energy Infrastructure Information - Apex Wind Energy Holdings, LLC**
- On November 15, 2011, Sandeep Nimmagadda, Electrical Engineering Intern for Apex Wind Energy, Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data on behalf of himself, Nathan Biediger, and Kevin Davis, as noticed December 13, 2011.
- On December 20, 2011, SPP submitted comments on this request.
- CE12-22** **Adam Mummert, et al.'s Request for Critical Energy Infrastructure Information - Burns & McDonnell, Inc.**
- On November 29, 2011, Adam Mummert, Electrical Engineer for Burns & McDonnell, Inc., filed a FERC CEII request on behalf of himself and 13 additional employees of Burns & McDonnell, Inc. to obtain a copy of all FERC Form No. 715 data, as noticed December 13, 2011.

- On December 20, 2011, SPP submitted comments on this request.
- CE12-25** **Jinxiang Zhu's Request for Critical Energy Infrastructure Information - ABB, Inc.**
- On December 5, 2011, Jinxiang Zhu, Senior Principal Consultant for ABB, Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 8, 2011.
- On December 15, 2011, SPP submitted comments on this request.
- CE12-31** **Wade Schauer's Request for Critical Energy Infrastructure Information - Wood Mackenzie Research**
- On December 16, 2011, Wade Schauer, Principal Analyst for Wood Mackenzie Research, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 21, 2011.
- On December 21, 2011, SPP submitted comments on this request.
- EL11-63** **Notice of Complaint, Louisiana Public Service Commission ("LPSC") v. Entergy Corporation, Entergy Services, Inc., Entergy Louisiana, LLC, Entergy Arkansas, Inc., Entergy Mississippi, Inc., Entergy New Orleans, Inc., Entergy Gulf States Louisiana, LLC, and Entergy Texas, Inc.**
- On December 21, 2011, Arkansas Electric Cooperative Corporation filed a Motion to Intervene Out of Time.
- ER04-48** **RTO Approval Docket**
- On December 15, 2011, SPP submitted its annual operating budget. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.
- ER05-1065** **Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term**
- On December 15, 2011, FERC issued an Order on Compliance Filing.
- FERC accepted, with modifications, the proposed revisions and addressed unresolved issues regarding 1) Entergy's modeling and curtailing practices relating to unscheduled Qualifying Facility ("QF") energy, and 2) which of two options Entergy's Available Flowgate Capacity ("AFC") process's Study Horizon should use to resolve shortfalls in a load-serving entity's resource designation.
- Entergy's compliance filing is due February 13, 2012.
- ER07-1069** **AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)**
- On December 21, 2011, AEP submitted a revised 2011 Annual Update to its annual transmission revenue requirements.
- ER08-313** **SPS Formula Rate Case**
- On December 1, 2011, Xcel Energy submitted an informational filing containing the 2012 Transmission Formula Rate Annual Update.

- ER08-1338** **SPP Submission of Revised Tariff Sheets Amending Schedule 1-A of OATT in Order to Increase the Rate Cap for its Tariff Administration Service Charge**
- On December 15, 2011, SPP submitted its annual operating budget. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.
- ER08-1419** **SPP Balanced Economic Portfolio Filing**
- On December 16, 2011, FERC issued an Order on Rehearing and Compliance Filing.
- SPP's July 17, 2009 Request for Rehearing was denied. FERC found that the confidentiality provisions of SPP's section IX.7(d) in Attachment O unduly impedes review of SPP's Balanced Portfolio analyses by some market participants. FERC found that by imposing a blanket restriction on access to resource-specific data, section IX.7(d) does not strike an appropriate balance between transparency and confidentiality in the Balanced Portfolio review process.
- FERC accepted SPP's November 2, 2009 Compliance Filing, effective October 17, 2008, subject to a further compliance filing. FERC directed SPP to revise subsection 7(d) of the Information Exchange provisions of Attachment O of its Tariff to remove unreasonable restrictions on access to resource-specific data, and provide for access to such data under appropriate confidentiality protections as discussed in the Order.
- SPP's compliance filing is due February 14, 2012.
- ER09-555** **Entergy Services, Inc. Filing of Proposed Revisions to Attachment V of Entergy's Open Access Transmission Tariff ("OATT")**
- On December 15, 2011, SPP submitted the ICT's WPP Quarterly Report for September 2011 through November 2011.
- ER09-748** **SPP Filing of Revisions to OATT to Modify Energy Imbalance Service Market (Attachment AE Revisions - Demand Response Proposal)**
- On November 30, 2011, FERC issued a Notice of Extension of Time for SPP to implement the demand response baseline calculation, measurement, and verification compliance set forth in Order No. 719 and the October 4, 2011 Order.
- On December 2, 2011, SPP submitted a ministerial filing in Docket No. ER12-526 in order to incorporate tariff language accepted in Docket Nos. ER09-1050, ER09-748 and ER09-1192 into its electronic tariff.
- On December 5, 2011, SPP submitted its compliance filing in Docket No. ER12-550 in response to the October 4, 2011 Order on Compliance Filings and Rehearing issued in Docket Nos. ER09-1050, ER09-748 and ER09-1192.
- An effective date of December 5, 2011 was requested.
- ER09-1050** **SPP Order 719 Compliance Filing**
- On November 30, 2011, FERC issued a Notice of Extension of Time for SPP to implement the demand response baseline calculation, measurement, and verification compliance set forth in Order No. 719 and the October 4, 2011 Order.

On December 2, 2011, SPP submitted a ministerial filing in Docket No. ER12-526 in order to incorporate tariff language accepted in Docket Nos. ER09-1050, ER09-748 and ER09-1192 into its electronic tariff.

On December 5, 2011, SPP submitted its compliance filing in Docket No. ER12-550 in response to the October 4, 2011 Order on Compliance Filings and Rehearing issued in Docket Nos. ER09-1050, ER09-748 and ER09-1192.

An effective date of December 5, 2011 was requested.

ER09-1192

SPP Submission of Revisions to Bylaws

On November 30, 2011, FERC issued a Notice of Extension of Time for SPP to implement the demand response baseline calculation, measurement, and verification compliance set forth in Order No. 719 and the October 4, 2011 Order.

On December 2, 2011, SPP submitted a ministerial filing in Docket No. ER12-526 in order to incorporate tariff language accepted in Docket Nos. ER09-1050, ER09-748 and ER09-1192 into its electronic tariff.

On December 5, 2011, SPP submitted its compliance filing in Docket No. ER12-550 in response to the October 4, 2011 Order on Compliance Filings and Rehearing issued in Docket Nos. ER09-1050, ER09-748 and ER09-1192.

An effective date of December 5, 2011 was requested.

ER10-2748

Entergy Services, Inc. ("ESI") Filing to Extend on an Interim Basis the Independent Coordinator of Transmission ("ICT") Arrangement for a Period of Two Years

On December 2, 2011, FERC issued an order accepting Entergy's March 16, 2011 Compliance Filing, effective November 17, 2010.

This order constitutes final agency action.

ER11-3494

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC") and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On December 7, 2011, FERC issued an order accepting SPP's October 12, 2011 Compliance Filing, effective April 1, 2011.

This order constitutes final agency action.

ER11-3967

SPP Order No. 741 Compliance Filing Pursuant to the Final Rule Issued Concerning Credit Reforms in Organized Wholesale Electric Markets in Docket No. RM10-13

On December 14, 2011, SPP submitted its Compliance Filing pursuant to Order Nos. 741 and 741-A and the September 15, 2011 Order issued in this proceeding. SPP submitted revisions to its OATT in Attachment X to establish minimum risk management criteria that Market Participants are required to satisfy to participate in SPP markets.

An effective date of October 1, 2011 was requested.

- ER11-4396** **Louisville Gas and Electric Company ("LG&E") and Kentucky Utilities Company ("KU") Application Seeking Commission Approval to Appoint TranServ International, Inc. ("TranServ") as the New Independent Transmission Organization ("ITO")**
- On December 15, 2011, FERC issued an Order Conditionally Approving Tariff Revisions. Applicants' proposal to appoint TranServ as their ITO and to change certain aspects of the ITO arrangement was conditionally approved, effective September 1, 2012.
- LG&E/KU's compliance filing is due January 17, 2012.
- ER11-4405** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") as Host Transmission Owner**
- On December 7, 2011, FERC issued an order accepting SPP's November 9, 2011 Compliance Filing, effective August 1, 2011.
- This order constitutes final agency action.
- ER12-5** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Associated Electric Cooperative, Inc. ("AECI") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner**
- On November 30, 2011, FERC issued a letter order accepting the agreement, effective September 1, 2011 as requested.
- This order constitutes final agency action.
- ER12-74** **SPP Submission of Tariff Revisions to Incorporate Formulaic Process to Update Transmission Owner Formula Rates**
- On December 5, 2011, FERC issued an order accepting the tariff revisions to incorporate a formulaic process for updating the annual transmission revenue requirements and the point-to-point transmission service charges for the various SPP Transmission Owners that have adopted transmission formulas and protocols.
- An effective date of December 13, 2011 was granted.
- This order constitutes final agency action.
- ER12-112** **Entergy Services, Inc. ("ESI") and Union Electric Company dba Ameren Missouri ("Ameren") Filing of an Amended and Restated Interchange Agreement between Ameren, Entergy Arkansas, Inc. ("EAI") and Associated Electric Cooperative, Inc. ("AECI")**
- On December 8, 2011, FERC issued an order accepting the Amended and Restated Interchange Agreement, effective December 18, 2011.
- This order constitutes final agency action.

- ER12-138** **Union Electric Company dba Ameren Missouri ("Ameren") and Entergy Services, Inc. ("ESI") Filing of an Amended and Restated Interchange Agreement between Ameren, Entergy Arkansas, Inc. ("EAI") and Associated Electric Cooperative, Inc. ("AECI")**
- On December 8, 2011, FERC issued an order accepting the Amended and Restated Interchange Agreement, effective December 18, 2011.
- This order constitutes final agency action.
- ER12-140** **SPP Submission of Tariff Revisions to Incorporate Kansas Power Pool ("KPP") as a Transmission Owner**
- On December 15, 2011, FERC issued an Order Accepting and Suspending Formula Rate, Subject to Refund, and Establishing Hearing and Settlement Procedures.
- FERC accepted the revised Tariff sheets for filing, suspended them for a nominal period, to become effective December 20, 2011, subject to refund.
- On December 22, 2011, FERC issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on January 12, 2012.
- ER12-142** **Midwest Independent Transmission System Operator, Inc. Filing of a Certificate of Concurrence for the Amended and Restated Interchange Agreement between Ameren, Entergy Arkansas, Inc. ("EAI") and Associated Electric Cooperative, Inc. ("AECI")**
- On December 8, 2011, FERC issued an order accepting the Certificate of Concurrence with respect to the Amended and Restated Interchange Agreement, effective December 18, 2011.
- This order constitutes final agency action.
- ER12-149** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner**
- On December 14, 2011, FERC issued a letter order accepting the agreement, effective October 1, 2011 as requested.
- This order constitutes final agency action.
- ER12-180** **Generator Interconnection Agreement ("GIA") between Alexander Wind Farm, LLC ("Alexander Wind") as Interconnection Customer and Midwest Energy, Inc. ("Midwest") as Transmission Owner**
- On December 7, 2011, SPP resubmitted the Motion to Withdraw through the eTariff system.
- ER12-227** **SPP Revisions to Open Access Transmission Tariff to Reflect an Update of the Annual Values Used in the Calculation of Offer Caps**
- On December 21, 2011, FERC issued an order accepting the tariff revisions, effective January 1, 2012.
- This order constitutes final agency action.

- ER12-235** **SPP Submission of Tariff Revisions to Revise Attachment AE to Extend the Deadline to Post Notice for Corrections to Locational Imbalance Prices ("LIP") in SPP's Energy Imbalance Service ("EIS") Market**
- On December 14, 2011, FERC issued an order accepting the tariff revisions, effective December 28, 2011.
- This order constitutes final agency action.
- ER12-277** **SPP Submission of Tariff Revisions to Increase Administration Charge Cap in Schedule 1-A**
- On December 14, 2011, FERC issued an order accepting the tariff revisions to increase the Administrative Fee Cap in Schedule 1-A.
- An effective date of January 1, 2012 was granted.
- ER12-443** **Contingency Connection Agreement ("CCA") Between SPP, Western Farmers Electric Cooperative, Inc. ("WFEC"), Golden Spread Electric Cooperative, Inc. ("GSEC"), Southwestern Public Service Company ("SPS"), and Tri-County Electric Cooperative, Inc. ("Tri-County")**
- On December 9, 2011, Western Farmers Electric Cooperative filed a Motion to Intervene.
- ER12-455** **SPP Submission of Tariff Revisions for Rate Discounts and Grandfathering of Service Over the Lamar Tie Between Southwestern Public Service Company ("SPS") and Public Service Company of Colorado ("PSCo")**
- On December 9, 2011, the Municipal Energy Agency of Nebraska filed a Motion to Intervene, Comments, and Motion to Consolidate with Docket No. ER12-436, in which Xcel Energy Services Inc. filed related tariff revisions on behalf of Public Service Company of Colorado.
- On December 9, 2011, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene and Comments.
- On December 12, 2011, Xcel Energy Services Inc. filed a Motion for Leave to Intervene and Comments in support of SPP's November 21, 2011 filing.
- On December 19, 2011, Golden Spread Electric Cooperative, Inc. submitted a revised Motion to Intervene and Comments.
- On December 22, 2011, SPP filed an answer in response to Intervenor's comments filed in this proceeding. SPP requested that FERC conditionally accept the Tariff revisions, effective January 17, 2012, and order SPP to file a compliance filing to correct the typographical errors contained in the original filing.
- ER12-480** **Midwest Independent Transmission System Operator, Inc. ("MISO") and the MISO Transmission Owners Filing to Amend Open Access Transmission, Energy and Operating Reserve Markets Tariff Provisions on Allocation of Network Upgrade Costs in Connection with Transition and Integration of Entergy Corporation and its Operating Companies**
- On December 5, 2011, Iberdrola Renewables, Inc. filed a Motion to Intervene.
- On December 12, 2011, the Organization of MISO States filed a Motion to Intervene.

On December 15, 2011, the Missouri Public Service Commission filed a Notice of Intervention and Protest.

On December 15, 2011, the Illinois Commerce Commission filed a Notice of Intervention.

On December 16, 2011, the Louisiana Public Service Commission filed a Notice of Intervention.

On December 16, 2011, the Council of the City of New Orleans, Louisiana filed a Notice of Intervention.

On December 16, 2011, the Mississippi Public Service Commission filed a Notice of Intervention.

On December 19, 2011, numerous entities filed Motions to Intervene.

On December 19, 2011, the Louisiana Public Service Commission filed comments requested that FERC issue a decision on MISO's November 28, 2011 Filing as expeditiously as possible.

On December 19, 2011, Entergy Services, Inc. filed a Motion to Intervene and comments in support of MISO's November 28, 2011 Filing.

On December 19, 2011, Westar Energy, Inc. filed a Motion to Intervene and Protest. Westar requested that the Commission reject the proposed tariff changes because the proposed exemption to Entergy from allocation of costs associated with MISO Transmission Expansion Plan projects is discriminatory, unjust, and unreasonable.

On December 19, 2011, the City of North Little Rock, Arkansas filed a Motion to Intervene and Comment. The City requested that the Commission clarify that it is not deciding in this proceeding that costs must be allocated to the Second Planning Area, but rather that MISO must make a Section 205 filing prior to allocating and assessing transmission customers in the Second Planning Area the costs of projects planned for and terminating in the First Planning Area.

On December 19, 2011, the East Texas Cooperatives filed a Motion to Intervene and Protest.

The Cooperatives stated:

- 1) MISO's proposed five year transition and eight year phase-in periods are unnecessarily long; and
- 2) MISO's arguments supporting its tariff proposal directly contradict arguments MISO made in the Multi Value Projects docket.

On December 19, 2011, Cleco Power, LLC filed a Motion to Intervene and Protest.

Cleco stated:

- 1) the first and second planning regions should permanently be distinct planning regions;
- 2) any inter-regional socialization should be equitable;
- 3) zonal cost responsibility for non-Multi Value Projects should be permanently fixed at the time of project approval; and
- 4) MISO's filing should not prejudice Cleco's Order No. 1000 compliance options.

On December 19, 2011, Arkansas Electric Cooperative Corporation filed a Motion to Intervene and Protest.

AECC stated:

- 1) if the Commission accepts MISO's tariff amendments, it should clarify that its action does not constitute a finding that the Entergy Operating Companies would lack or would have mitigated their generation market power if they were to join MISO;
- 2) if the Commission accepts MISO's tariff amendments, it should clarify that its action does not constitute a finding that post-integration MISO would continue to satisfy the minimum characteristics and functions of an RTO as set forth in Order No. 2000;
- 3) MISO's arguments in support of its tariff proposal contradict arguments made by MISO, and findings made by the Commission, in the Multi Value Project docket;
- 4) MISO has not justified the length of its proposed transition and phase-in periods, or the ambiguity concerning what will follow; and
- 5) the Commission should make no determination in this docket regarding Entergy's announced transaction with ITC Holdings Corp.

On December 19, 2011, the Coalition of Midwest Transmission Customers filed a Protest.

The Coalition stated:

- 1) MISO's proposal unduly discriminates among transmission owners and subsidizes some at the expense of others; and
- 2) the Commission should reject MISO's proposal given the uncertainty created by recent reports stating that Entergy is planning to divest its transmission assets.

On December 19, 2011, the NRG Companies filed a Motion to Intervene and Comments. NRG stated the Commission should direct Entergy to describe how its existing transmission planning and development process will be integrated into MISO without delaying the development of needed transmission infrastructure and make any necessary corresponding changes to its own Tariff to facilitate a seamless integration.

On December 19, 2011, the Wisconsin Public Service Corporation and Upper Peninsula Power Company filed a Motion to Intervene, Protest and Comments.

The parties stated:

- 1) because Multi Value Projects are designed to provide system-wide benefits to all users of the MISO system, including Entergy, Entergy should fully share in MVP costs as of the effective date of its MISO membership;
- 2) it is unclear from MISO's filing whether Entergy will pay its fair share of costs for MVPs even after the conclusion of the transition period;
- 3) MISO's proposed five year transition period is appropriate with respect to baseline reliability projects and market efficiency projects; and
- 4) MISO does not provide an explanation showing it has applied a just and reasonable test to justify its proposed cost allocation/sharing of MVP projects.

On December 21, 2011, the Illinois Commerce Commission filed Comments.

The ICC stated:

- 1) the transmission cost socialization features of MISO's existing Tariff constitute the primary barrier to attracting and integrating new transmission-owning utilities into MISO;
- 2) the Commission's case precedent does not support special transmission cost allocation treatment for transmission-owning utilities proposing to join or withdraw from an RTO;
- 3) special treatment for the Second Planning Area (Entergy) is inherent in MISO's November 28, 2011 Filing and can be illustrated with specific examples; and
- 4) bargaining by RTO management over special treatment for prospective RTO members is

not conducive to efficient RTO operations or stable RTO membership.

ER12-526 SPP Submission of Ministerial Filing to Incorporate Tariff Language Accepted in Docket Nos. ER09-1050, ER09-748 and ER09-1192 into Electronic Tariff

On December 2, 2011, SPP submitted a ministerial filing in Docket No. ER12-526 in order to incorporate tariff language accepted in Docket Nos. ER09-1050, ER09-748 and ER09-1192 into its electronic tariff.

ER12-528 SPP Submission of Tariff Revisions to Attachment AE in Order to Revise the Schedule for New Market Participant Registration in the SPP Energy Imbalance Service ("EIS") Market

On December 2, 2011, SPP submitted revisions to Attachment AE its OATT in order to revise the schedule for new Market Participant registration in the SPP EIS Market.

An effective date of January 1, 2012 was requested.

ER12-550 SPP Submission of Compliance Filing Revising Tariff in Response to Order on Compliance Filings and Rehearing Issued in Docket Nos. ER09-1050, ER09-748, and ER09-1192

On December 5, 2011, SPP submitted its compliance filing in Docket No. ER12-550 in response to the October 4, 2011 Order on Compliance Filings and Rehearing issued in Docket Nos. ER09-1050, ER09-748 and ER09-1192.

An effective date of December 5, 2011 was requested.

On December 22, 2011, Constellation Energy Commodities Group, Inc. filed a Motion to Intervene.

ER12-557 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On December 6, 2011, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Sixth Revised 1636.

An effective date of October 1, 2011 was requested.

On December 22, 2011, Kansas Electric Power Cooperative, Inc. filed a Motion to Intervene.

ER12-608 SPP Submission of Tariff Revisions to Revise the Minimum Participation Criteria Set Forth in its Credit Policy in Attachment X to Permit Market Participants to Use Certain Parental Guaranties to Meet the Minimum Criteria for Market Participation

On December 14, 2011, SPP submitted revisions to its OATT in order to revise the minimum participation criteria set forth in SPP's credit policy to permit market participants to use certain parental guaranties to meet the minimum criteria for market participation.

An effective date of January 1, 2012 was requested.

- ER12-612** **Notice of Cancellation of Large Generator Interconnection Agreement ("LGIA") between EC&R Sherman, LLC ("EC&R") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner**
- On December 15, 2011, SPP submitted a Notice of Cancellation of the LGIA between SPP as Transmission Provider, EC&R Sherman, LLC as Interconnection Customer, and Southwestern Public Service Company as Transmission Owner. SPP Service Agreement No. 1688.
- An effective date of December 2, 2011 was requested.
- ER12-663** **SPP Request for Waiver of Tariff Provision and Expedited Treatment in Order to Permit SPP to Grant AES Shady Point, LLC ("AES") a One-Year Extension of Commencement of its Transmission Service**
- On December 22, 2011, SPP filed a Request for Waiver of Tariff Provision and Expedited Treatment.
- SPP requested that the Commission waive the notice provisions of Section 17.7 of its Tariff in order to permit SPP to grant AES Shady Point, LLC a one-year extension of the commencement of its transmission service. Due to an oversight, AES failed to provide timely notice to SPP.
- On December 27, 2011, FERC issued an Errata Notice Shortening Comment Period. Comments are due January 3, 2012.
- PL10-4** **Policy Statement on Penalty Guidelines re Enforcement of Statutes, Orders, Rules, and Regulations**
- On December 19, 2011, the ISO/RTO Council filed post-Technical Conference comments.
- The IRC stated it supports the Commission's efforts and with that goal in mind, the IRC suggested it would be helpful for the Commission to clarify that, in the context of its reliability standards program its civil penalty authority is limited to compliance matters related to the mandatory reliability standards promulgated by NERC and approved by the Commission.
- Numerous entities filed post-Technical Conference comments.
- RC11-6** **North American Electric Reliability Corporation's ("NERC") Petition Requesting Approval of New Enforcement Mechanisms and Submittal of Initial Informational Filing Regarding NERC's Efforts to Refocus Implementation of Its Compliance Monitoring and Enforcement Program ("CMEP")**
- FERC held a Reliability Technical Conference on November 29-30, 2011.
- On December 9, 2011, several entities filed post-technical conference comments.
- RM05-17** **Preventing Undue Discrimination and Preference in Transmission Service**
- Order Nos. 890, 890-A, 890-B, 890-C, 890-D**
- On December 13, 2011, NERC submitted its informational filing of its Standards Development Plan 2012-214, pursuant to Section 310 of the NERC Rules of Procedure.

- RM05-25** **Preventing Undue Discrimination and Preference in Transmission Service**
- Order Nos. 890, 890-A, 890-B, 890-C, 890-D**
- On December 13, 2011, NERC submitted its informational filing of its Standards Development Plan 2012-214, pursuant to Section 310 of the NERC Rules of Procedure.
- RM06-16** **Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006**
- On December 13, 2011, NERC submitted its informational filing of its Standards Development Plan 2012-214, pursuant to Section 310 of the NERC Rules of Procedure.
- RM10-17** **Demand Response Compensation in Organized Wholesale Energy Markets**
- On December 15, 2011, FERC issued Order No. 745-A, Order on Rehearing and Clarification. FERC denied rehearing requests, but granted in part and denied in part clarification regarding certain provisions of Order No. 745.
- FERC clarified that each RTO and ISO must revise its tariff to provide that when the locational marginal price ("LMP") is greater than or equal to the threshold price, all demand resources that qualify for the compensation will receive the LMP payment.
- RM11-7** **Frequency Regulation Compensation in the Organized Wholesale Power Markets (Order No. 755)**
- On December 6, 2011, the Energy Storage Association d/b/a Electricity Storage Association filed an answer in response of Southern California Edison Company's Request for Clarification.
- On December 6, 2011, the Energy Storage Association d/b/a Electricity Storage Association filed an answer in response to ISO New England, Inc.'s Motion for Extensions of Time for Compliance Obligations.
- On December 6, 2011, Xtreme Power Inc. filed an answer in response of Southern California Edison Company's Request for Clarification.
- On December 6, 2011, Xtreme Power Inc. filed an answer in response to ISO New England, Inc.'s Motion for Extensions of Time for Compliance Obligations.
- On December 6, 2011, Beacon Power Corporation filed an answer in response to ISO New England, Inc.'s Motion for Extensions of Time for Compliance Obligations.
- On December 6, 2011, the California Independent System Operator Corporation filed an answer in response of Southern California Edison Company's Request for Clarification.
- On December 6, 2011, the California Independent System Operator Corporation filed an answer in response to ISO New England, Inc.'s Motion for Extensions of Time for Compliance Obligations. CAISO recommended that FERC allow all RTOs/ISOs to submit an alternative proposed effective date as part of their Order No. 755 compliance filings.
- On December 6, 2011, the New England Power Pool Participants Committee filed comments in support of ISO New England, Inc.'s Motion for Extensions of Time for Compliance Obligations.
- On December 13, 2011, FERC issued a Notice Denying Extension of Time in response to ISO

New England, Inc.'s November 21, 2011 Motion for Extensions of Time for Compliance Obligations.

On December 19, 2011, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 755.

RM11-17

Enhancement of Electricity Market Surveillance and Analysis through Ongoing Electronic Delivery of Data from Regional Transmission Organizations and Independent System Operators

On December 9, 2011, the ISO/RTO Council filed a Motion for an Extension of Time to File Comments. The IRC requested an extension to January 26, 2012 to ensure that its ISO/RTO members have sufficient time to evaluate the issues identified in the NOPR.

On December 13, 2011, FERC issued a Notice of Extension of Time. Comments in response to the October 20, 2011 Notice of Proposed Rulemaking are now due January 26, 2012.

RM11-18

Transmission Planning Reliability Standards

Numerous entities filed comments in response to the NOPR issued on October 20, 2011.

RM11-20

Automatic Underfrequency Load Shedding and Load Shedding Plans Reliability Standards

Several entities filed comments in response to the NOPR issued on October 20, 2011.

RM12-2

Filing of Privileged Materials and Answers to Motions

On December 16, 2011, FERC issued a Notice of Proposed Rulemaking proposing changes in its rules and regulations relating to the filing of privileged material and Critical Energy Infrastructure Information. The revisions would expand the ability to file electronically by permitting electronic filing of materials subject to Administrative Law Judge protective orders. The Commission also proposed to revise its regulations to provide a single set of uniform procedures for filing privileged materials.

Also, the Commission proposed to revise Rule 213(d) of its Rules of Practice and Procedure, which establishes the timeline for filing answers to motions, to clarify that the standard fifteen day reply time will not apply to motions requesting an extension of time or a shortened time period for action. Instead, the Commission proposed to set the time for responding to such motions at five days, unless another time period is established by notice based on the circumstances.

Comments are due February 27, 2012.

RT04-1

RTO Approval Docket

On December 15, 2011, SPP submitted its annual operating budget. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.

FERC or State Jurisdiction: State of Arkansas

08-136-U

In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On December 16, 2011, SPP filed the November 2011 State of the Market Report pursuant to Order No. 2.

On December 16, 2011, SPP filed the WPP Quarterly Report for September 2011 through November 2011.

10-011-U

In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets

On December 1, 2011, Entergy Arkansas, Inc. filed Objections to SWEPCO's Third Set of Data Requests.

On December 5, 2011, the Arkansas Attorney General's Office and Arkansas Electric Energy Consumers filed a Joint Response to Entergy Arkansas, Inc.'s Petition for Clarification Or, In the Alternative, Rehearing.

On December 6, 2011, the APSC issued Order No. 55 requiring that the parties file a jointly-proposed procedural schedule no later than Noon on Monday, December 12, 2011. If Parties are not able to agree by that time, individual parties may propose separate schedules. Responses to any separate scheduled are due by Noon on December 15, 2011.

On December 6, 2011, the APSC issued Order No. 56 clarifying that the Commission intended to provide guidance, not a final decision, to Entergy in Order No. 54.

On December 12, 2011, Entergy Arkansas, Inc. filed a Proposed Procedural Schedule.

On December 12, 2011, SPP, Arkansas Electric Cooperative Corporation, Southwestern Electric Power Company, Oklahoma Gas and Electric Company, and The Empire District Electric Company filed a Proposed Procedural Schedule.

On December 15, 2011, the Arkansas Cities filed their response to the proposed procedural schedules.

On December 15, 2011, APSC Staff filed its response to the proposed procedural schedules.

On December 15, 2011, Arkansas Electric Cooperative Corporation and SPP filed a response to the Proposed Procedural Schedule of Entergy Arkansas, Inc.

On December 15, 2011, Midwest ISO filed its response to SPP's Jointly Proposed Procedural Schedule and the Proposed Procedural Schedule of Entergy Arkansas, Inc..

On December 15, 2011, Entergy filed its response to the Jointly Proposed Procedural Schedule filed by SPP, et al.

On December 16, 2011, the APSC issued Order No. 57 setting the following procedural schedule:

Regulatory Status Report

March 16, 2012 (by Noon) - Staff and Intervenor Direct Testimony due;
April 13, 2012 (by Noon) - EAI Rebuttal Testimony due;
April 27, 2012 (by Noon) - Staff and Intervenor Surrebuttal Testimony due;
May 11, 2012 (by Noon) - EAI Sur-Surrebuttal Testimony due;
May 16, 2012 (by Noon) - Any proposed settlement agreements and/or stipulations and supporting testimonies due;
May 30, 2012 - Evidentiary Hearing begins at 9:30 AM.

On December 19, 2011, the City of North Little Rock, Arkansas filed a Petition to Intervene.

On December 20, 2011, the East Texas Cooperatives filed a Petition to Intervene.

10-100-R

In the Matter of a Rulemaking to Adopt an Evaluation, Measurement, and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for Conservation and Energy Efficiency Programs ("C&EE Rules")

On December 2, 2011, the APSC General Staff filed its Response to the Attorney General's Sur-Reply to Joint Petition for Rehearing or Clarification of Order No. 11.

FERC or State Jurisdiction: State of Kansas

11-GIME-597-GIE

In the Matter of the General Investigation Into the Classification as Transmission of Distribution of Certain 34.5 kV Facilities Owned by Certain Members of Mid-Kansas Electric Company, LLC and Into Certain Agreements Relating to the Provision of Wholesale Service by Mid-Kansas Electric Company, LLC to Kansas Power Pool and Kansas Electric Power Cooperative, Inc. on Such Facilities

On November 30, 2011, KCC Staff filed a Motion to Extend Deadline to File Prehearing Motions until December 1, 2011.

On December 1, 2011, the KCC Staff filed a Motion to Establish Date for Staff to Submit a Report and Recommendation. Staff requested that the Commission set January 18, 2012 as a date for Staff to submit a Report and Recommendation regarding generic and policy issues surrounding 34.5 kV facilities as addressed in this proceeding.

On December 1, 2011, the Parties filed a Joint Motion to Modify Procedural Schedule as follows:

- 1) The parties will file testimony in support of the Stipulation and Agreement by December 6, 2011;
- 2) The evidentiary hearing should be cancelled;
- 3) A hearing on Stipulation and Agreement should be held on December 13-14, 2011;
- 4) The post-hearing brief schedule should be cancelled.

The Parties requested that the KCC issue its final order by January 18, 2012.

On December 1, 2011, the Parties filed a Joint Motion to Approve Stipulation and Agreement.

On December 1, 2011, the Parties filed a Joint Motion to Cancel the Evidentiary Hearing and Proceed on the Paper Record.

On December 1, 2011, the Parties filed a Stipulation and Agreement.

On December 1, 2011, the KCC issued the Prehearing Officer's Order Amending Procedural Schedule as follows:

December 1, 2011 - Deadline for prehearing motions

December 13-14, 2011 - Hearing on Stipulation and Agreement begins at 9 a.m.

On December 2, 2011, SPP submitted its response to Information Request Nos. 1-5 submitted by Mid-Kansas Electric Company, LLC.

On December 6, 2011, the Parties filed testimony in support of the Stipulation and Agreement.

On December 8, 2011, Larry Holloway filed Supplemental Testimony in Support of Stipulation and Agreement on behalf of Kansas Power Pool.

On December 13, 2011, a hearing was held to discuss the Stipulation and Agreement.

FERC or State Jurisdiction: State of Louisiana

U-32148

Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. Regional Transmission Organization, For an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment

On November 30, 2011, Cleco Power LLC filed a Petition to Intervene.

On December 1, 2011, Lafayette Utilities System filed a Notice of Intervention.

On December 6, 2011, the Louisiana Energy and Power Authority filed a Notice of Intervention.

On December 6, 2011, The Association of Louisiana Electric Cooperatives, Inc. filed a Petition of Intervention.

On December 6, 2011, SPP filed a Petition of Intervention.

On December 7, 2011, the LPSC issued a Notice of Assignment and Notice of Status Conference to be held on December 20, 2011 at 11 AM.

On December 19, 2011, Entergy sent a letter to all parties in this proceeding in order to provide information regarding several recent developments.

A status conference was held on December 20, 2011.

On December 21, 2011, the LPSC issued a Report of December 20, 2011 Status Conference.

FERC or State Jurisdiction: State of Louisiana - City of New Orleans

UD-11-01 Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements

On December 1, 2011, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On December 6, 2011, Entergy New Orleans, Inc. submitted notice that Entergy Mississippi, Inc. filed an Application in Mississippi Public Service Commission Docket No. 2011-UA-976 requesting permission to transfer functional control to Midwest ISO.

On December 21, 2011, Entergy New Orleans, Inc. submitted a copy of Entergy Arkansas, Inc's response to the Arkansas Attorney General's 16th Set of Data Requests filed in APSC Docket No. 10-011-U.

FERC or State Jurisdiction: State of Mississippi

2011-UA-376

Joint Application of Entergy Mississippi, Inc., and the Midwest Independent Transmission System Operator, Inc., for Transfer of Functional Control of Entergy Mississippi's Transmission Facilities to MISO

On December 2, 2011, Entergy Mississippi, Inc. and the Midwest Independent Transmission System Operator, Inc. filed a Joint Application for Entergy to transfer functional control to MISO.

On December 2, 2011, Entergy and MISO filed testimony in support of the Joint Application.

On December 28, 2011, SPP filed a Petition to Intervene.

FERC or State Jurisdiction: State of Missouri

EO-2011-0128

In the Matter of the Application of Union Electric Company for Authority to Continue the Transfer of Functional Control of Its Transmission System to Midwest Independent Transmission System Operator, Inc.

On November 30, 2011, the MoPSC issued an Order Rescheduling Hearing. The procedural schedule was revised as follows:

January 25, 2012 - List of Issues, Order of Witnesses, Order of Cross-Examination, Order of Opening due

January 27, 2012 - Statements of Position due

February 9-10, 2012 - Hearing begins at 8:30 a.m.

FERC or State Jurisdiction: State of Texas

38877

Application of Southwestern Public Service Company ("SPS") to Amend a Certificate of Convenience and Necessity for a Proposed Tuco to Texas/Oklahoma Interconnection 345-KV Transmission Line Within Hale, Floyd, Motley, Cottle, Briscoe, Hall, Childress, Donley, Collingsworth, and Wheeler Counties

On December 2, 2011, John Fulton, Jeffrey Stebbins, Sean Frederiksen, Howard Higgins, and Anastacia Santos filed Rebuttal Testimony on behalf of SPS.

On December 7, 2011, SPS filed a Notice of Supplemental Data Concerning Archeological Sites and Construction Costs.

On December 7, 2011, SPP filed a Motion for Admission of Testimony and Waiver of Cross-Examination or, Alternatively, Scheduling of Specific Date for Witness' Testimony.

SPP requested that any party wanting to cross-examine its witness file a statement of such intention with the Commission by December 14, 2011.

On December 9, 2011, SPS filed a Motion for Designation of Witnesses Subject to Cross-Examination.

On December 14, 2011, SPP submitted a letter stating it is joining in the requests to determine witness scheduling for all of the parties and agrees to the use of the procedure and timing proposed by SPS and the Southport Intervenors rather than the time limits proposed in SPP's motion.

On December 16, 2011, the PUCT issued SOAH Order No. 15, denying SPP's Motion for Admission of Testimony and Waiver of Cross-Examination.

On December 21, 2011, SPP filed notice that it does not intend to cross-examine any witnesses for the other intervenors in this proceeding.