

FERC RM11-7	Effective date of Order No. 755, Final Rule revising FERC's regulations to remedy undue discrimination in the procurement of frequency regulation in the organized wholesale electric markets and ensure that providers of frequency regulation receive just and reasonable and not unduly discriminatory or preferential rates (Final Rule issued October 20, 2011)	12/30/2011
FERC AD10-11	Effective date of Order No. 755, Final Rule revising FERC's regulations to remedy undue discrimination in the procurement of frequency regulation in the organized wholesale electric markets and ensure that providers of frequency regulation receive just and reasonable and not unduly discriminatory or preferential rates (Final Rule issued October 20, 2011)	12/30/2011
State of Texas 38877	Hearing on the Merits (June 13, 2011 SOAH Order No. 2, Scheduling Hearing on the Merits and Informing Parties of Procedural Matters)	1/3/2012
State of Louisiana U-32148	Midwest ISO Direct Testimony and work papers are due (December 21, 2011 Report of December 20, 2011 Status Conference)	1/6/2012
State of Arkansas 10-011-U	Entergy Arkansas, Inc. to file its complete, EAI-specific, post-ESA reorganization plans (Order No. 54 issued October 28, 2011)	1/11/2012
FERC ES10-11	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (January 15, 2010 Order)	1/15/2012
State of Arkansas 09-128-U	SPP's authorization to issue up to \$150 million in Secured and Unsecured Notes expires (February 1, 2010 Order)	1/15/2012
FERC RD11-3	Effective date of Order Approving Reliability Standard FAC-013-2 - Assessment of Transfer Capability for the Near-term Transmission Planning Horizon (Order Approving Reliability Standard issued on November 17, 2011)	1/23/2012
State of Louisiana U-32148	Entergy Supplemental Direct Testimony regarding impact of transmission divestiture proposal on change of control filing (December 21, 2011 Report of December 20, 2011 Status Conference)	1/23/2012
State of Missouri EO-2011-0128	List of Issues, Order of Witnesses, Order of Cross-Examination, and Order of Opening are due (November 30, 2011 Order Rescheduling Hearing)	1/25/2012
FERC RM11-17	Comments due in response to NOPR regarding Enhancement of Electricity Market Surveillance and Analysis through Ongoing Electronic Delivery of Data from Regional Transmission Organizations and Independent System Operators (Notice of Extension of Time issued December 13, 2011; Notice of Proposed Rulemaking issued October 20, 2011)	1/26/2012
State of Missouri EO-2011-0128	Statements of Position are due (November 30, 2011 Order Rescheduling Hearing)	1/27/2012

FERC RM10-13	RTOs and ISOs to submit compliance filings to comply with the requirement that RTOs and ISOs enhance their ability to offset market obligations in bankruptcy, pursuant to the Final Rule, with the relevant tariff revisions to take effect on May 1, 2012 (Notice of Extension of Time issued September 13, 2011; Order 741-A issued February 17, 2011)	1/31/2012
State of Missouri EO-2006-0141	Empire District Electric Company to file a pleading regarding continued participation in the SPP RTO, accompanied by a study ("Interim Report") comparing the costs and estimated benefits of participation in the SPP RTO during a recent twelve-month test period (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2012
State of Missouri EO-2011-0128	Hearing begins at 8:30 AM (November 30, 2011 Order Rescheduling Hearing)	2/9/2012
FERC ER08-1419	SPP's Compliance Filing due (December 16, 2011 Order on Rehearing and Compliance Filing)	2/14/2012
FERC RM12-2	Comments due in response to NOPR regarding Filing of Privileged Materials and Answers to Motions (Notice of Proposed Rulemaking issued December 16, 2011)	2/27/2012
FERC ER06-451	SPP Demand Response Status Report Due	3/1/2012
State of Louisiana U-32148	Staff and Intervenor Direct Testimony and work papers due (December 21, 2011 Report of December 20, 2011 Status Conference)	3/13/2012
State of Arkansas 10-011-U	Staff and Intervenor Direct Testimony due by Noon regarding Entergy Arkansas, Inc.'s November 28, 2011 Application and supporting testimonies (Order No. 57 issued December 16, 2011)	3/16/2012
State of Louisiana U-32148	SPP and SWEPCO Direct Testimony regarding studies is due (December 21, 2011 Report of December 20, 2011 Status Conference)	3/23/2012
State of Louisiana U-32148	Staff and Intervenors Cross-Answering Testimony and work papers is due (December 21, 2011 Report of December 20, 2011 Status Conference)	4/9/2012
State of Arkansas 10-011-U	Entergy Arkansas, Inc's Rebuttal Testimony due by Noon (Order No. 57 issued December 16, 2011)	4/13/2012
State of Louisiana U-32148	Entergy Rebuttal Testimony and work papers due (December 21, 2011 Report of December 20, 2011 Status Conference)	4/18/2012
State of Louisiana U-32148	Pre-hearing statements are due (December 21, 2011 Report of December 20, 2011 Status Conference)	4/25/2012
State of Arkansas 10-011-U	Staff and Intervenor Surrebuttal Testimony due by Noon (Order No. 57 issued December 16, 2011)	4/27/2012

FERC 10-1178	FERC Form 582 due	4/30/2012
FERC RM11-7	RTO/ISO Order No. 755 Compliance Filings due to modify their tariffs to provide for a two-part payment to frequency regulation resources. The first part of this payment will be a capacity, or option, payment for keeping a resource's capacity in reserve in the event that it is needed to provide real-time frequency regulation service. The second part of the payment shall be a performance payment that reflects the amount of work each resource performs in real-time. (Final Rule issued October 20, 2011)	4/30/2012
FERC AD10-11	RTO/ISO Order No. 755 Compliance Filings due to modify their tariffs to provide for a two-part payment to frequency regulation resources. The first part of this payment will be a capacity, or option, payment for keeping a resource's capacity in reserve in the event that it is needed to provide real-time frequency regulation service. The second part of the payment shall be a performance payment that reflects the amount of work each resource performs in real-time. (Final Rule issued October 20, 2011)	4/30/2012
State of Louisiana U-32148	Hearing begins at 9:30 AM (December 21, 2011 Report of December 20, 2011 Status Conference)	5/2/2012
State of Arkansas 10-011-U	Entergy Arkansas, Inc.'s Sur-Surrebuttal Testimony due by Noon (Order No. 57 issued December 16, 2011)	5/11/2012
State of Arkansas 10-011-U	Evidentiary Hearing begins at 9:30 AM (Order No. 57 issued December 16, 2011)	5/30/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2012
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2012
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2012
State of Arkansas 04-137-U	Empire District Electric Company, Oklahoma Gas and Electric Company, and Southwestern Electric Power Company Annual Reports due showing net benefits or costs to Arkansas ratepayers of continued participation in the SPP EIS market (Order No. 9 issued June 2, 2010)	6/1/2012
FERC ER09-1254	Informational report due regarding interconnection queue (July 31, 2009 Order)	7/31/2012

FERC ER06-451	SPP Demand Response Status Report Due	9/1/2012
FERC RM10-17	Study results due pursuant to Final Rule, Demand Response Compensation in Organized Wholesale Energy Markets. Study must examine the requirements for, costs of, and impacts of implementing a dynamic net benefits approach to the dispatch of demand resources that takes into account the billing unit effect in the economic dispatch in both the day-ahead and real-time energy markets. (Order No. 745 issued March 15, 2011)	9/21/2012
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include local and regional transmission planning processes that are consistent with the requirements of Final Rule (Section III.A. of Order No. 1000 issued July 21, 2011)	10/11/2012
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and other Commission jurisdictional documents to include a cost allocation method or methods for regional cost allocation consistent with principles of Final Rule (Section III.C. of Order No. 1000 issued July 21, 2011)	10/11/2012
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2012
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2012
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2012
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file Interim Report regarding SPS' continued participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2013
FERC ES11-14	Authorization to issue \$20 million in promissory notes expires (March 18, 2011 Letter Order)	3/18/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include an interregional transmission coordination procedure or procedures consistent with the requirements of Final Rule (Section IV.C. of Order No. 1000 issued July 21, 2011)	4/11/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include a cost allocation method or methods for interregional cost allocation consistent with the principles of Final Rule (Section IV.D. of Order No. 1000 issued July 21, 2011)	4/11/2013

FERC 10-1178	FERC Form 582 due	4/30/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2013
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2013
State of Missouri EO-2006-0142	Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2013
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2013
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2013
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file Interim Report regarding LCEC's continued participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2013
State of Missouri EO-2006-0141	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
FERC 10-1178	FERC Form 582 due	4/30/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2014
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2014



## Regulatory Outlook

FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2014
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2014
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company's Interim Period ends (participation in SPP RTO) (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2015
FERC 10-1178	FERC Form 582 due	4/30/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2015
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2015
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative Inc.'s Interim Period ends (participation in SPP RTO) (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2016