

FERC or State Jurisdiction: FERC

AD10-11 Frequency Regulation Compensation in the Organized Wholesale Power Markets

On February 16, 2012, FERC issued Order No. 755-A, an Order Denying Rehearing.

FERC denied Southern California Edison Company's November 21, 2011 Request for Clarification, or In the Alternative, Rehearing of Order No. 755.

AD11-9 Inquiry into Recent Outages in Texas and the Southwest

On February 9, 2011, the SPP Regional Entity filed its response to the FERC Office of Electric Reliability's letter dated November 9, 2011, requesting follow up information regarding the August 16, 2011 Joint Report of FERC and NERC on the February 2011 Southwest Cold Weather Event. The SPP RE is supportive of the recommendations made in the Joint Report.

The SPP RE discussed in its response the steps taken by the SPP RE Registered Entities that were involved in the 2011 Southwest Cold Weather Event to implement the Joint Report's recommendations and/or lessons learned from the event.

On February 9, 2011, the Western Electricity Coordinating Council filed its response to the FERC Office of Electric Reliability's letter dated November 9, 2011, requesting follow up information regarding the August 16, 2011 Joint Report of FERC and NERC on the February 2011 Southwest Cold Weather Event.

On February 9, 2011, the Texas Reliability Entity filed its response to the FERC Office of Electric Reliability's letter dated November 9, 2011, requesting follow up information regarding the August 16, 2011 Joint Report of FERC and NERC on the February 2011 Southwest Cold Weather Event.

AD11-11 Priority Rights to New Participant-Funded Transmission

On January 31, 2012, FERC issued a Notice of Workshop to obtain input on potential reforms to the Commission's policies governing the allocation of capacity on new merchant transmission projects and new cost-based, participant-funded electric transmission projects. The workshop will be held from 9 AM to 1:15 PM EST on February 28, 2012.

AD12-1 Reliability Technical Conference

On January 30, 2012, FERC issued a Notice of White Paper. Commission staff is publishing a White Paper setting forth staff's position on how the Commission should advise the Environmental Protection Agency ("EPA") on requests for extension of time to comply with EPA's Mercury and Air Toxics Standards.

Comments on the White Paper are due on February 29, 2012.

AD12-9 Allocation of Capacity on New Merchant Transmission Projects and New Cost-Based, Participant-Funded Transmission Projects

On January 31, 2012, FERC issued a Notice of Workshop to obtain input on potential reforms to the Commission's policies governing the allocation of capacity on new merchant transmission projects and new cost-based, participant-funded electric transmission projects. The workshop will be held from 9 AM to 1:15 PM EST on February 28, 2012.

- AD12-12** **Coordination between Natural Gas and Electricity Markets**
- On February 15, 2012, FERC issued a Notice Assigning Docket No. and Requesting Comments.
- On February 3, 2012, Commissioner Moeller requested comments on a set of questions and other text concerning gas-electric interdependence. Comments are due March 30, 2012.
- CE11-19.001** **Douglas Welsh, et al.'s Request for Critical Energy Infrastructure Information - Nexant (Additional Requesters)**
- FERC approved access for the eight additional requesters on January 27, 2012.
- CE11-127** **Himanshu Jain, et al.'s Request for Critical Energy Infrastructure Information - ICF International**
- On March 16, 2011, Himanshu Jain, Associate, Wholesale Power, Transmission, for ICF International, filed a FERC CEII request on behalf of himself and twelve additional requesters to obtain a copy of all FERC Form No. 715 data. On February 13, 2012, FERC advised SPP that it had been inadvertently omitted from the group of submitters receiving notice for this request.
- FERC issued a Notice of Intent to Release on June 29, 2011, followed by a Release Letter on July 18, 2011.
- CE11-211** **Ronald Paryl's Request for Critical Energy Infrastructure Information - Advanced Power North America**
- FERC issued a Notice of Intent to Release on January 20, 2012.
- CE12-2** **Robert Fagan's Request for Critical Energy Infrastructure Information - Synapse Energy Economics**
- FERC issued a Notice of Intent to Release on February 10, 2012.
- CE12-12** **Thomas Whitaker, et al.'s Request for Critical Energy Infrastructure Information - Infinity Wind Power**
- FERC issued a Letter of Release on February 10, 2012.
- CE12-21** **Sandeep Nimmagadda, et al.'s Request for Critical Energy Infrastructure Information - Apex Wind Energy Holdings, LLC**
- FERC issued a Notice of Intent to Release on February 2, 2012.
- CE12-27** **Keith A. DeClerck's Request for Critical Energy Infrastructure Information - Stantec Consulting Services, Inc.**
- On December 8, 2011, Keith A. DeClerck, Senior Project Manager for Stantec Consulting Services, Inc., filed a FERC CEII request to obtain a copy of SPP FERC Form No. 715 data, as noticed February 9, 2012.
- On February 16, 2012, SPP submitted comments on this request.
- CE12-35** **Anthony W. Galli and Deral Danis's Request for Critical Energy Infrastructure Information - Clean Line Energy Partners**

- On January 18, 2012, SPP submitted comments on this request.
- CE12-37 Clifford Franklin, et al.'s Request for Critical Energy Infrastructure Information - Westar Energy, Inc.**
- On January 11, 2012, Clifford Franklin, Senior Regulatory Specialist for Westar Energy, Inc, filed a FERC CEII request on behalf of himself and Bernard E. St. Louis, Lisa Stites, Phillip M. Cupps, and Paul A. Dietz to obtain a copy of all FERC Form No. 715 data, as noticed January 31, 2012.
- On February 6, 2012, SPP submitted comments on this request.
- CE12-40 Mark Younger's Request for Critical Energy Infrastructure Information - Slater Consulting**
- On January 24, 2012, Mark Younger, Vice President of Slater Consulting, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed January 25, 2012.
- On February 1, 2012, SPP submitted comments on this request.
- CE12-43 Gary Michael Charles' Request for Critical Energy Infrastructure Information - Entergy Corporation**
- On January 27, 2012, Mike Charles, Senior Staff Engineer for Entergy Corporation, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed February 10, 2012.
- CE12-44 Norman Richardson, et al.'s Request for Critical Energy Infrastructure Information - Ventyx, an ABB Company**
- On January 25, 2012, Norman Richardson, General Manager for Ventyx, an ABB Company, filed a FERC CEII request on behalf of himself and 38 additional Ventyx consultants to obtain a copy of all FERC Form No. 715 data, as noticed February 9, 2012.
- On February 16, 2012, SPP submitted comments on this request.
- CE12-47 Natalya Rudkevich and Evgeniy Goldis's Request for Critical Energy Infrastructure Information - Newton Energy Group LLC**
- On February 2, 2012, Natalya Rudkevich and Evgeniy Goldis of Newton Energy Group LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed February 9, 2012.
- On February 16, 2012, SPP submitted comments on this request.
- EL11-34 Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP**
- On January 26, 2012, FERC issued an Order on Rehearing. FERC, noting that the process and scope of any potential renegotiation of the Joint Operating Agreement is behind the scope of the Petition and the July 1, 2011 Order, denied the requests for rehearing and/or clarification.

EL11-63

Notice of Complaint, Louisiana Public Service Commission ("LPSC") v. Entergy Corporation, Entergy Services, Inc., Entergy Louisiana, LLC, Entergy Arkansas, Inc., Entergy Mississippi, Inc., Entergy New Orleans, Inc., Entergy Gulf States Louisiana, LLC, and Entergy Texas, Inc.

On January 19, 2012, FERC issued an Order on Complaint, dismissing in part and denying in part.

FERC found that the issues raised by LPSC related to the allocation of the Ouachita Plant transmission upgrade costs following Entergy Arkansas, Inc.'s proposed withdrawal from the System Agreement in 2013 are premature and dismissed the Complaint in this respect.

FERC denied the Complaint concerning the current allocation of the upgrade costs.

FERC found that Entergy Corporation's current allocation of transmission upgrade costs does not violate the general provisions of the System Agreement.

FERC rejected LPSC's contention that the allocation of the Ouachita Plant transmission upgrade costs violates the participant funding rules in Attachment T of Entergy's OATT.

FERC rejected LPSC's contention that the assignment of the transmission upgrade costs to Entergy Louisiana constitutes affiliate abuse and unjust and unreasonable cross-subsidization by allowing Entergy Arkansas load-following capacity to facilitate off-system sales of energy.

FERC found that the issues raised by LPSC related to the allocation of Union Pacific Settlement benefits are premature and dismiss the Complaint in this respect.

ER05-1065

Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term

On February 1, 2012, Entergy Services, Inc. filed an answer in response to Union Power Partners, L.P.'s January 17, 2012 Request for Clarification and/or Rehearing.

On February 7, 2012, SPP submitted the ICT's Annual Performance Report for November 17, 2010 through November 17, 2011.

On February 13, 2012, FERC issued an Order Granting Rehearing for Further Consideration of the December 15, 2011 Order on Compliance Filing.

On February 15, 2012, Union Power Partners, L.P. filed an answer in response to Entergy Services, Inc.'s February 1, 2012 Answer.

ER08-1419

SPP Balanced Economic Portfolio Filing

On January 24, 2012, SPP filed a Motion for Extension of Time to request an extension to and including May 14, 2012, to submit the compliance filing required by the Commission's December 16, 2011 Order.

On January 31, 2012, FERC issued a Notice of Extension of Time to and including May 14, 2012 for SPP to submit its Compliance Filing pursuant to the December 16, 2011 Order.

ER09-36

Prairie Wind Transmission, LLC ("Prairie Wind") Formula and Incentive Rate Case

On January 30, 2012, Prairie Wind Transmission, LLC submitted an amendment to calculation

of the carrying charges for pre-commercial costs included in its May 2, 2011 Filing.

ER10-1069

SPP Submission of Tariff Revisions to Adopt a "Highway/Byway" Regional Transmission Upgrade Cost Allocation Methodology

On January 24, 2012, the U.S. Court of Appeals issued an order granting interventions in Case No. 11-3715.

Interventions were granted for: Southwest Power Pool, Inc., ITC Great Plains, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC, Arkansas Electric Cooperative Corporation and Golden Spread Electric Cooperative, Tri-County Electric Cooperative, The American Wind Energy and Wind Coalition, and American Electric Power Service Corporation.

ER11-3627

SPP Submission of Tariff Revisions to Implement Make-Whole Process for Out of Merit Energy Dispatch ("OOME")

On February 8, 2012, FERC issued an order accepting SPP's November 21, 2011 Compliance Filing, effective July 24, 2011 as requested.

This order constitutes final agency action.

ER11-4105

SPP Order No. 745 Compliance Filing Pursuant to the Final Rule Issued Concerning Demand Response Compensation in Organized Wholesale Energy Markets in Docket No. RM10-17

On January 19, 2012, FERC issued an Order on Compliance Filing. FERC found that SPP has not satisfied the demand response compensation requirements of Order No. 745.

FERC rejected two aspects of SPP's Compliance Filing and directed SPP to:

- 1) perform a net benefits test;
- 2) articulate a cost allocation proposal that is consistent with Order No. 745; and
- 3) provide an explanation of how the measurement and verification proposal in its ongoing Order No. 719 compliance proceeding will continue to ensure that appropriate baselines are set and that demand response will continue to be adequately measured and verified as necessary to ensure demand response resource performance consistent with Order No. 745.

SPP's compliance filing is due April 18, 2012.

ER12-455

SPP Submission of Tariff Revisions for Rate Discounts and Grandfathering of Service Over the Lamar Tie Between Southwestern Public Service Company ("SPS") and Public Service Company of Colorado ("PSCo")

On January 26, 2012, SPP submitted its Compliance Filing pursuant to the January 17, 2012 Order.

ER12-480

Midwest Independent Transmission System Operator, Inc. ("MISO") and the MISO Transmission Owners Filing to Amend Open Access Transmission, Energy and Operating Reserve Markets Tariff Provisions on Allocation of Network Upgrade Costs in Connection with Transition and Integration of Entergy Corporation and its Operating Companies

On January 25, 2012, FERC issued a deficiency letter requesting additional information in order to process the filing. MISO's response is due February 8, 2012.

On January 25, 2012, Arkansas Electric Cooperative Corporation filed an answer in response to Entergy's January 3, 2012 Limited Answer and MISO's and MISO Transmission Owners' January 10, 2012 Answer.

On February 3, 2012, MISO submitted its responses to the January 25, 2012 Deficiency Letter.

On February 16, 2012, Calpine Corporation filed a Motion to Intervene.

ER12-526

SPP Submission of Ministerial Filing to Incorporate Tariff Language Accepted in Docket Nos. ER09-1050, ER09-748 and ER09-1192 into Electronic Tariff

On January 24, 2012, FERC issued an order accepting the changes in the ministerial filing, effective July 26, 2010 and April 4, 2011.

This order constitutes final agency action.

ER12-557

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On January 30, 2012, FERC issued a letter order accepting the agreement, effective October 1, 2011 as requested.

This order constitutes final agency action.

ER12-608

SPP Submission of Tariff Revisions to Revise the Minimum Participation Criteria Set Forth in its Credit Policy in Attachment X to Permit Market Participants to Use Certain Parental Guaranties to Meet the Minimum Criteria for Market Participation

On January 30, 2012, FERC issued an order accepting the tariff revisions, effective January 1, 2012 as requested.

This order constitutes final agency action.

ER12-612

Notice of Cancellation of Large Generator Interconnection Agreement ("LGIA") between EC&R Sherman, LLC ("EC&R") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner

On January 30, 2012, FERC issued an order accepting the Notice of Cancellation, effective December 2, 2011.

This order constitutes final agency action.

ER12-663

SPP Request for Waiver of Tariff Provision and Expedited Treatment in Order to Permit SPP to Grant AES Shady Point, LLC ("AES") a One-Year Extension of Commencement of its Transmission Service

On January 26, 2012, FERC issued an order granting SPP's request for a waiver of Section 17.7 of its Tariff to allow AES Shady Point, LLC a one-year extension of the commencement of its transmission service.

- ER12-838** **Generator Interconnection Agreement ("GIA") between Cimarron Windpower II, LLC ("Cimarron") as Interconnection Customer and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner**
- On January 19, 2012, SPP submitted an executed GIA between SPP as Transmission Provider, Cimarron Windpower II, LLC as Interconnection Customer, and Sunflower Electric Power Corporation as Transmission Owner. SPP Service Agreement No. First Revised 2213.
- An effective date of December 20, 2011 was requested.
- On February 9, 2012, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Joint Motion to Intervene.
- ER12-841** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On January 19, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 2015.
- An effective date of December 1, 2011 was requested.
- ER12-867** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On January 23, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1885.
- An effective date of December 1, 2011 was requested.
- ER12-868** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On January 23, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1891.
- An effective date of December 1, 2011 was requested.
- ER12-870** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On January 23, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1892.
- An effective date of December 1, 2011 was requested.

- ER12-871** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On January 23, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1893.
- An effective date of December 1, 2011 was requested.
- ER12-872** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On January 23, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1895.
- An effective date of December 1, 2011 was requested.
- ER12-873** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On January 23, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1978.
- An effective date of December 1, 2011 was requested.
- ER12-874** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On January 23, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1894.
- An effective date of December 1, 2011 was requested.
- ER12-877** **SPP Submission of Tariff Revisions to Revise Attachment P to List Transmission Service Increments Offered and Other Minor Clarifications**
- On January 24, 2012, SPP submitted revisions to Attachment P of its OATT in order to list the transmission service increments SPP offers under its Tariff and to make other minor clarifications.
- An effective date of March 25, 2012 was requested.
- ER12-878** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On January 24, 2012, SPP submitted an executed NITSA and NOA between SPP as

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Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1896.

An effective date of December 1, 2011 was requested.

ER12-879

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On January 24, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1975.

An effective date of December 1, 2011 was requested.

ER12-880

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On January 24, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 2045.

An effective date of December 1, 2011 was requested.

ER12-881

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On January 24, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 2066.

An effective date of December 1, 2011 was requested.

ER12-891

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On January 25, 2012, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1886.

An effective date of December 1, 2011 was requested.

On February 15, 2012, Doniphan Electric Cooperative, Kaw Valley Electric Cooperative, and Nemaha-Marshall Electric Cooperative filed a Motion for Leave to Intervene and Protest.

The parties stated:

- 1) the changes in Section 8.6 of the NITSA are contrary to the currently-applicable losses provision in the Westar-Doniphan Generation Formula Rate Agreement ("GFRA") as approved by the Commission in Docket No. ER08-1062 on January 5, 2010;
- 2) they are not customers under Westar's individual Tariff;

- 3) Westar has not provided any information to them regarding the changes in the costs underlying the proposed rate change in Appendix 4 of the NITSA; Westar has not provided enough information for the Commission to determine the reasonableness of the revised charges; and
- 4) Westar's filing in Docket No. ER12-909 is attempting to increase the amount of losses the Cooperatives are responsible for under the GFRAs.

ER12-892

Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Kansas City Board of Public Utilities ("KCBPU") as Transmission Customer

On January 25, 2012, SPP submitted an executed service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Kansas City Board of Public Utilities as Transmission Customer. SPP Service Agreement No. First Revised 2041.

An effective date of December 1, 2011 was requested.

ER12-894

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Lindsborg, Kansas ("Lindsborg") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On January 25, 2012, SPP submitted an executed NITSA between SPP as Transmission Provider, City of Lindsborg, Kansas as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 2014.

An effective date of December 1, 2011 was requested.

ER12-898

Small Generator Interconnection Agreement ("SGIA") between DeWind Frisco, LLC ("DeWind") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner

On January 26, 2012, SPP submitted an executed SGIA between SPP as Transmission Provider, DeWind Frisco, LLC as Interconnection Customer, and Southwestern Public Service Company as Transmission Owner. SPP Service Agreement No. Fifth Revised 1517.

An effective date of December 27, 2011 was requested.

ER12-904

Generator Interconnection Agreement ("GIA") between Post Rock Wind Power Project, LLC ("Post Rock") as Interconnection Customer and Midwest Energy, Inc. ("Midwest") as Transmission Owner

On January 26, 2012, SPP submitted an executed GIA between SPP as Transmission Provider, Post Rock Wind Power Project, LLC as Interconnection Customer, and Midwest Energy, Inc. as Transmission Owner. SPP Service Agreement No. 2304.

An effective date of December 27, 2011 was requested.

ER12-927

Executed Service Agreement for Loss Compensation Service between SPP as Transmission Provider and Southwestern Power Administration ("SPA") as Transmission Customer

On January 30, 2012, SPP submitted an executed Service Agreement for Loss Compensation Service between SPP as Transmission Provider and Southwestern Power Administration as Transmission Customer. SPP Service Agreement No. 2289.

An effective date of January 1, 2012 was requested.

On February 6, 2012, Southwestern Power Administration filed a Motion to Intervene.

ER12-932

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On January 30, 2012, SPP submitted 1) an executed NITSA between SPP as Transmission Provider and KCP&L Greater Missouri Operations Company as Network Customer; 2) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner; and 3) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Fifth Revised 1765.

An effective date of January 1, 2012 was requested.

ER12-936

SPP Submission of Revisions to OATT to Update Rates for Transmission Service for the Southwestern Power Administration ("SPA") Pricing Zone

On January 30, 2012, SPP submitted revised pages to its OATT to update the rates for transmission service for the Southwestern Power Administration pricing zone under the Tariff.

An effective date of January 1, 2012 was requested.

On February 6, 2012, Southwestern Power Administration filed a Motion to Intervene.

ER12-942

Notice of Cancellation of Service Agreement for Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Southwestern Public Service Company ("SPS") as Transmission Customer and Service Agreement for the Resale, Reassignment or Transfer of Point-To-Point Transmission Service between SPP as Transmission Provider and Kansas City Power and Light Company ("KCPL") as Transmission Customer

On January 30, 2012, SPP submitted a Notice of Cancellation of the service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Southwestern Public Service Company as Transmission Customer. SPP Service Agreement No. 1870.

SPP also submitted a Notice of Cancellation of the service agreement for the Resale, Reassignment or Transfer of Point-To-Point Transmission Service between SPP as Transmission Provider and Kansas City Power and Light Company ("KCPL") as Transmission Customer. SPP Service Agreement No. 1909.

An effective date of January 1, 2012 was requested.

ER12-959

SPP Submission of Revisions to OATT to Implement a Formula Rate for Transmission Service for Tri-County Electric Cooperative, Inc. ("Tri-County"), a Transmission Owner in the Southwestern Public Service Company ("SPS") Zone

On February 1, 2012, SPP submitted revised pages to its OATT to implement a formula rate for transmission service for Tri-County Electric Cooperative, Inc., a Transmission Owner in the

Southwestern Public Service Company zone within SPP.

An effective date of April 1, 2012 was requested.

On February 2, 2012, SPP filed an errata to included corrected versions of Exhibit Nos. TCE-1, TCE-2, and TCE-4.

ER12-981

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Western Farmers Electric Cooperative ("WFEC") as Network Customer and Host Transmission Owner, and American Electric Power Service Corporation ("AEP") and Oklahoma Gas and Electric Company as Host Transmission Owners

On February 2, 2012, SPP submitted an executed 1) NITSA between SPP as Transmission Provider and Western Farmers Electric Cooperative as Network Customer; 2) a NOA with American Electric Power Service Corporation as Host Transmission Owner; and 3) a NOA with Western Farmers Electric Cooperative and Oklahoma Gas and Electric Company as Host Transmission Owners. SPP Service Agreement No. Third Revised 1628.

An effective date of April 1, 2012 was requested.

ER12-985

Notice of Cancellation of a Supplemental Network Integration Transmission Service Agreement ("NITSA") between SPP as Transmission Provider and Western Farmers Electric Cooperative ("WFEC") as Network Customer

On February 2, 2012, SPP submitted a Notice of Cancellation for the Supplemental NITSA between SPP as Transmission Provider and Western Farmers Electric Cooperative as Network Customer. SPP Service Agreement No. 1288 was originally filed in Docket No. ER07-44 in order to accommodate the designation of the Hugo 2 facility as a Network Resource.

Since the Hugh 2 facility was cancelled as a Network Resource in SPP Service Agreement No. Third Revised 1628 filed in Docket No. ER12-981, the Supplemental NITSA is no longer necessary.

An effective date of April 1, 2012 was requested.

ER12-1017

Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kaw Valley Electric Cooperative ("Kaw Valley") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On February 7, 2012, SPP submitted an unexecuted NITSA between SPP as Transmission Provider, Kaw Valley Electric Cooperative, as Network Customer, as well as a partially executed NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. First Revised 1976.

An effective date of December 1, 2011 was requested.

ER12-1018

Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Nemaha-Marshall Electric Cooperative ("Nemaha-Marshall") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On February 7, 2012, SPP submitted an unexecuted NITSA between SPP as Transmission Provider, Nemaha-Marshall Electric Cooperative as Network Customer, as well as a partially executed NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. First Revised 1977.

An effective date of December 1, 2011 was requested.

ER12-1073

Notice of Cancellation of Service Agreement for Loss Compensation Service between SPP as Transmission Provider and Southwestern Power Administration ("SPA") as Transmission Customer

On February 13, 2012, SPP filed a Notice of Cancellation for the Service Agreement for Loss Compensation Service between SPP as Transmission Provider and Southwestern Power Administration ("SPA") as Transmission Customer. SPP Service Agreement No. 2058.

An effective date of January 1, 2012 was requested.

ES12-20

SPP Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities (\$125 Million)

On February 2, 2012, SPP filed an Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities in the amount of up to \$125 million in secured or unsecured promissory notes.

The Notes will be used to fund capital expenditures and for general corporate purposes of SPP, including, particularly without limitation: 1) fund all or a portion of the acquisition and installation of equipment and furnishings for facilities constructed to house SPP's personnel and operations center; 2) fund the continued development and implementation of SPP's Integrated Marketplace; and 3) complete various other tasks associated with the normal business of the Company and for any other lawful and proper purpose of SPP.

SPP requested the Commission approve this application by April 2, 2012, so that SPP may conclude the issuance of securities.

RD11-3

North American Electric Reliability Corporation ("NERC") Petition for Approval of Facilities Design, Connections, and Maintenance Reliability Standard FAC-013-2 - Assessment of Transfer Capability for the Near-Term Transmission Planning Horizon

On February 2, 2012, FERC issued a request for comments. FERC submitted the information collection FERC-725A, Mandatory Reliability Standards for the Bulk-Power System as contained in the Commission Order in Docket No. RD11-3-000, to the Office of Management and Budget ("OMB") for review of the information collection requirements. Any interested person may file comments directly with OMB.

Comments are due 30 days after publication in the Federal Register.

RM05-5

Standards for Business Practices and Communication Protocols for Public Utilities

On January 25, 2012, NAESB submitted a report regarding its standards development in support of coordination of requests for transmission service across multiple transmission systems pursuant to Order No. 676-E.

RM08-13 Transmission Relay Loadability Reliability Standard

On February 15, 2012, FERC issued a Notice of Extension of Time granting a one-year extension in order for NERC to address the directive to develop a new generator relay loadability standard, in accordance with NERC's Reliability Standards Development Plan 2012-2014 pursuant to Order No. 733.

RM09-25 System Personnel Training Reliability Standards

On February 15, 2012, FERC issued a Notice of Extension of Time granting a two-year extension of time to and including September 30, 2014 in order for NERC to address the directive to consider whether personnel that supports EMS applications should be included in mandatory training as described in Project 2010-01 - Support Personnel Training pursuant to Order No. 742.

RM10-5 Interpretation of Protection System Reliability Standard

On February 3, 2012, FERC issued Order No. 758, a Final Rule adopting the proposal in the December 16, 2010 Notice of Proposed Rulemaking to accept NERC's proposed interpretation of Reliability Standard PRC-005-1.

This rule becomes effective March 14, 2012.

RM10-13 Notice of Proposed Rulemaking: Credit Reforms in Organized Wholesale Electric Markets

On January 24, 2012, FERC issued a Notice of Extension of Time granting all RTOs and ISOs an extension to April 30, 2012 to comply with requirement of Order No. 741 that RTOs and ISOs enhance their ability to offset market obligations in bankruptcy.

RM10-17 Demand Response Compensation in Organized Wholesale Energy Markets

On February 13, 2012, FERC issued an Order Granting Rehearing for Further Consideration.

On February 14, 2012, Edison Electric Institute filed a Petition for Review of Order Nos. 745 and 745-A before the United States Court of Appeals for the District of Columbia Circuit.

On February 14, 2012, the Public Utilities Commission of the State of California filed a Petition for Review of Order Nos. 745 and 745-A before the United States Court of Appeals for the District of Columbia Circuit.

RM11-7 Frequency Regulation Compensation in the Organized Wholesale Power Markets (Order No. 755)

On February 16, 2012, FERC issued Order No. 755-A, an Order Denying Rehearing.

FERC denied Southern California Edison Company's November 21, 2011 Request for Clarification, or In the Alternative, Rehearing of Order No. 755.

RM11-9 Locational Exchanges of Wholesale Electric Power

On February 16, 2012, FERC issued a Notice Terminating Proceeding. Based on comments received, the Commission determined that there is no basis for continuing this proceeding through the initiation of a rulemaking process and, instead, addressed related issues in an order issued contemporaneously with this Notice (in Docket No. EL10-71).

- RM11-14** **Analysis of Horizontal Market Power under the Federal Power Act**
- On February 16, 2012, FERC issued an Order Reaffirming Commission Policy and Terminating Proceeding.
- After reviewing comments received in this proceeding, the Commission decided to retain its existing policies regarding the analysis of horizontal market power when reviewing transactions under section 203 of the Federal Power Act and in its electric market-based rate program. Accordingly, FERC terminated this proceeding.
- RM11-17** **Enhancement of Electricity Market Surveillance and Analysis through Ongoing Electronic Delivery of Data from Regional Transmission Organizations and Independent System Operators**
- On January 25, 2012, the ISO/RTO Council submitted comments in response to the Notice of Proposed Rulemaking issued on October 20, 2011.
- Several entities submitted comments in response to the Notice of Proposed Rulemaking issued on October 20, 2011.
- RM11-30** **Technical Corrections to Commission Regulations**
- On January 19, 2012, FERC issued Order No. 756, Final Rule to make minor changes to its regulations. This Final Rule revises a number of references that have become outdated for various reasons or contain typographical errors. Generally, these changes add or delete language in the current regulations by eliminating obsolete information, incorporating reference to updated electronic filing options, modernizing language, and correcting incorrect citations and clerical mistakes. The revisions are intended to be ministerial and/or informational in nature.
- This rule becomes effective on February 1, 2012.
- RM12-6** **Petition of the North American Electric Reliability Corporation ("NERC") for Approval of a Revised Definition of "Bulk Electric System" in the NERC Glossary of Terms Used in Reliability Standards**
- On January 25, 2012, NERC submitted a Petition for Approval of a Revised Definition of "Bulk Electric System" in the NERC Glossary of Terms Used in Reliability Standards.
- RM12-7** **Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Revisions to its Rules of Procedure to Adopt a Bulk Electric System Exception Procedure**
- On January 25, 2012, NERC submitted a Petition for Approval of Revisions to its Rules of Procedure to Adopt a Bulk Electric System Exception Procedure.
- RR06-1** **North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization ("ERO")**
- On January 31, 2012, NERC submitted its Analysis of NERC Standards Process Results for the Fourth Quarter 2011.

RR09-7

NERC Three-Year Electric Reliability Organization Performance Assessment Report

On January 31, 2012, NERC submitted its Analysis of NERC Standards Process Results for the Fourth Quarter 2011.

FERC or State Jurisdiction: State of Arkansas

08-136-U **In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas**

On February 7, 2012, SPP filed the ICT's Annual Performance Report for November 17, 2010 through November 17, 2011.

08-137-U **In the Matter of the Consideration of Innovative Approaches to Ratebase, Rate of Return Ratemaking Including, but not limited to, Annual Earnings Reviews, Formula Rates, and Incentive Rates for Jurisdictional Electric and Natural Gas Public Utilities**

On January 30, 2012, the Arkansas Court of Appeals issued notice that it will hear oral argument in Case CA 11-496 on March 28, 2012.

10-011-U **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**

On February 1, 2012, Entergy Arkansas, Inc. filed its Responses to Order No. 54.

On February 1, 2012, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI pursuant to Order No. 54.

10-074-U **In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Environmental Compatibility and Public Need for the Construction, Ownership, Operation and Maintenance of the Proposed Flint Creek to Shipe Road Project and Associated Facilities to be Located in Benton County, Arkansas**

On January 31, 2012, Southwestern Electric Power Company filed a Motion to Dismiss Without Prejudice the Petition for Variance Along the Approved Route for Authorized New 161 KV Transmission Line Pursuant to Agreement with Traversed Landowners.

On February 2, 2012, the APSC issued Order No. 9 dismissing the Petition for Variance without prejudice.

10-100-R **In the Matter of a Rulemaking to Adopt an Evaluation, Measurement, and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for Conservation and Energy Efficiency Programs ("C&EE Rules")**

On January 20, 2012, the APSC issued Order No. 14 rejecting the Joint Petition for Rehearing. However, clarification was provided on the following points: annual Fall EM&V updates will be incorporated into current-year and future-year evaluations, and TRM updates will include appropriate measure-specific effective dates.

12-009-U **In the Matter of the Application of Southwest Power Pool, Inc. for Authority to Issue up to \$125,000,000 in Secured or Unsecured Promissory Notes**

On February 3, 2012, SPP filed an Application for Authority to Issue Indebtedness and Request for an Expedited Order.

FERC or State Jurisdiction: State of Louisiana

U-32148

Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, For an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment

On January 23, 2012, John Hurstell , William Mohl, and Michael Schnitzer and filed Supplemental Direct Testimony on behalf of ELL and EGSL.

On January 23, 2012, Entergy filed a Notice of Objection and Reservation of Rights in order to memorialize within the record in this proceeding Entergy's continuing objection to the submission of testimony, as well as the conduct of discovery or proceedings, relating to the proposed divestiture and merger of transmission assets.

On January 31, 2012, Marathon Petroleum LP filed a Petition for Late Intervention.

FERC or State Jurisdiction: State of Louisiana - City of New Orleans

UD-11-01

Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements

On February 1, 2012, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

FERC or State Jurisdiction: State of Missouri

EO-2006-0141 **In the Matter of the Application of The Empire District Electric Company for Authority to Transfer Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.**

On January 30, 2012, The Empire District Electric Company filed a Motion for Extension requesting to file its Interim Report on February 3, 2012.

EO-2011-0128 **In the Matter of the Application of Union Electric Company for Authority to Continue the Transfer of Functional Control of Its Transmission System to Midwest Independent Transmission System Operator, Inc.**

On January 25, 2012, the Parties filed the Second Revised List of Issues and Order of Cross-Examination and First Revised Witness List and Order of Opening Statements.

On January 27, 2012, SPP filed its Second Statement of Position.

On January 27, 2012, the Parties filed Statements of Position.

On February 6, 2012, Ameren Missouri filed a Request for Leave to File Supplemental Surrebuttal Testimony of Maureen A. Borkowski and Ajay K. Arora in Response to Supplemental Testimony of Ryan Kind.

On February 6, 2012, Maureen Borkowski filed Supplemental Surrebuttal Testimony on behalf of Ameren Missouri.

On February 6, 2012, Ajay Arora filed Supplemental Surrebuttal Testimony on behalf of Ameren Missouri.

On February 6, 2012, MoPSC Staff filed a Request for Leave to File Surrebuttal Testimony of Adam C. McKinnie in Response to Supplemental Rebuttal Testimony of Ryan Kind.

On February 6, 2012, Adam McKinnie filed Surrebuttal Testimony on behalf of MoPSC.

A hearing was held February 9-10, 2012.

EO-2012-0269 **In the Matter of The Empire District Electric Company's Submission of Its Interim Report Regarding Participation in the Southwest Power Pool, Inc.**

On February 3, 2012, The Empire District Electric Company filed its Interim Report regarding participation in SPP, pursuant to the Stipulation and Agreement in Case No. EO-2006-0141.

Empire estimated that its total company net savings or trade benefits was approximately \$21.6 million between 2007 and 2010. The Interim Report shows a projected net annual benefit for continued participation in SPP of approximately \$12.2 million over the four year study period of 2014 through 2017 for Empire and its customers.

Empire requested that the Commission accept the Interim Report and issue an order approving the continued participation in SPP beyond January 31, 2014.

EO-2012-0271 **In the Matter of an Investigation into the Siting and Safety of a Proposed Transmission Line in Platte County, Missouri**

Regulatory Status Report

On February 6, 2012, the Office of Public Counsel filed a Motion to Open an Investigation into the siting and safety of Kansas City Power & Light Company's new 345 kV electric transmission line connecting a substation at the Iatan Generating Station located near Weston, Missouri to the Nashua Substation in Kansas City, Missouri.

On February 7, 2012, the MoPSC issued an Order Directing Filing. Kansas City Power & Light Company must file a response to the Motion to Open Investigation no later than February 16, 2012. No later than February 24, 2012, Commission Staff shall file a recommendation as to the motion.



Regulatory Status Report

FERC or State Jurisdiction: State of New Mexico

07-00390-UT

An Investigation into the Prudence of Southwestern Public Service Company's ("SPS") Participation in the SPP RTO

On February 13, 2012, SPS filed a Notice of Change in the Southwest Power Pool Administration Service Charge.

FERC or State Jurisdiction: State of Texas

38877

Application of Southwestern Public Service Company ("SPS") to Amend a Certificate of Convenience and Necessity for a Proposed Tuco to Texas/Oklahoma Interconnection 345-KV Transmission Line Within Hale, Floyd, Motley, Cottle, Briscoe, Hall, Childress, Donley, Collingsworth, and Wheeler Counties

On January 23, 2012, the PUCT issued SOAH Order No. 20 Granting Motion for Extension of Time until February 24, 2012 for SPS to file the settlement agreement, proposed order, motion to admit evidence, and motion to remind; or file a motion requesting additional time to finalize the settlement documents.

FERC or State Jurisdiction: United States Court of Appeals

11-3715

The Empire District Electric Company, Lincoln Electric System, Nebraska Public Power District, Omaha Public Power District, and City Utilities of Springfield, Missouri v. Federal Energy Regulatory Commission (Petition for Review of Highway/Byway Orders Issued in Docket No. ER10-1069)

On January 24, 2012, the U.S. Court of Appeals issued an order granting interventions in Case No. 11-3715.

Interventions were granted for: Southwest Power Pool, Inc., ITC Great Plains, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC, Arkansas Electric Cooperative Corporation and Golden Spread Electric Cooperative, Tri-County Electric Cooperative, The American Wind Energy and Wind Coalition, and American Electric Power Service Corporation.