



## Regulatory Outlook

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State of LA - New Orleans UD-11-01	Entergy Rebuttal Testimony due (Resolution R-12-55 adopted February 16, 2012)	8/22/2012
FERC AD12-12	Technical Conference on Coordination between Natural Gas and Electricity Markets to be held in Washington, DC for the Southeast region (Notice of Technical Conferences issued July 5, 2012)	8/23/2012
State of Texas 40346	Hearing begins at 9 AM regarding the Nonunanimous Stipulation; Rebuttal will be presented live (SOAH Order No. 5 Setting Procedural Schedule and Notice of Evidentiary Hearing on Nonunanimous Stipulation issued on August 3, 2012)	8/24/2012
FERC ER12-891	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued July 24, 2012)	8/27/2012
FERC ER12-1018	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued July 24, 2012)	8/27/2012
FERC ER12-909	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued July 24, 2012)	8/27/2012
FERC EL12-47	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued July 24, 2012)	8/27/2012
FERC EL12-51	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued July 24, 2012)	8/27/2012
FERC ER12-1017	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued July 24, 2012)	8/27/2012
FERC AD12-12	Technical Conference on Coordination between Natural Gas and Electricity Markets to be held in Portland, OR for the West region (Notice of Technical Conferences issued July 5, 2012)	8/28/2012
FERC AD12-12	Technical Conference on Coordination between Natural Gas and Electricity Markets to be held in Washington, DC for the Mid-Atlantic region (Notice of Technical Conferences issued July 5, 2012)	8/30/2012
State of Texas 40346	Initial Briefs due, with proposed findings of fact, conclusions of law, ordering provisions, a background statement, description of Nonunanimous Stipulation, and list of issues (SOAH Order No. 5 Setting Procedural Schedule and Notice of Evidentiary Hearing on Nonunanimous Stipulation issued on August 3, 2012)	8/31/2012
FERC ER06-451	SPP Demand Response Status Report Due	9/1/2012

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FERC RM12-3	Comments due in response to NOPR regarding revisions to the Electric Quarterly Report process (Notice of Proposed Rulemaking issued June 21, 2012)	9/4/2012
FERC RM12-7	Comments due in response to NOPR concerning Revisions to Electric Reliability Organization Definition of Bulk Electric System and Rules of Procedure (Notice of Proposed Rulemaking issued June 22, 2012)	9/4/2012
FERC RM12-6	Comments due in response to NOPR concerning Revisions to Electric Reliability Organization Definition of Bulk Electric System and Rules of Procedure (Notice of Proposed Rulemaking issued June 22, 2012)	9/4/2012
FERC RM11-17	Phase 2 dataset documentation due to FERC pursuant to Order No. 760 (must define each field in dataset) (Final Rule issued April 19, 2012)	9/4/2012
FERC AD10-13	Comments due in response to NOPR regarding Third-Party Provision of Ancillary Services; Accounting and Financial Reporting for New Electric Storage Technologies (Notice of Proposed Rulemaking issued June 22, 2012)	9/7/2012
FERC RM11-24	Comments due in response to NOPR regarding Third-Party Provision of Ancillary Services; Accounting and Financial Reporting for New Electric Storage Technologies (Notice of Proposed Rulemaking issued June 22, 2012)	9/7/2012
State of Texas 40346	Reply Briefs due (SOAH Order No. 5 Setting Procedural Schedule and Notice of Evidentiary Hearing on Nonunanimous Stipulation issued on August 3, 2012)	9/7/2012
State of Arkansas 12-060-R	Legal briefs due by Noon addressing the Commission's legal authority to adopt some form of meter aggregation or combined billing to promote net metering (Order No. 1 issued August 8, 2012)	9/10/2012
State of Arkansas 12-060-R	Comments due by Noon regarding the degree to which the current level of net metering impacts electricity rates; the degree to which expected levels of net metering under meter aggregation might affect electricity rates; whether adoption of meter aggregation to promote net metering would be in the public interest; and any new rules or amendments of existing rules to accomplish meter aggregation if the Commission were to find that such aggregation is in the public interest (Order No. 1 issued August 8, 2012)	9/10/2012
FERC RM10-11	Effective Date of Order No. 764 regarding Integration of Variable Energy Resources (Final Rule issued on June 21, 2012)	9/11/2012
State of LA - New Orleans UD-11-01	Hearing (Resolution R-12-55 adopted February 16, 2012)	9/18/2012
State of Texas 40125	Intervenor Cross-Rebuttal Testimony due (SOAH Order No. 2, Setting Deadlines; Scheduling Hearing on the Merits; and Informing Parties of Procedural Matters issued May 9, 2012)	9/18/2012

FERC RM10-17	Study results due pursuant to Final Rule, Demand Response Compensation in Organized Wholesale Energy Markets. Study must examine the requirements for, costs of, and impacts of implementing a dynamic net benefits approach to the dispatch of demand resources that takes into account the billing unit effect in the economic dispatch in both the day-ahead and real-time energy markets. (Order No. 745 issued March 15, 2011)	9/21/2012
State of Mississippi 2011-UA-376	Hearing begins at 9 AM (Second Revised Scheduling Order issued August 20, 2012)	9/21/2012
State of Texas 40125	Staff Testimony due (SOAH Order No. 2, Setting Deadlines; Scheduling Hearing on the Merits; and Informing Parties of Procedural Matters issued May 9, 2012)	9/24/2012
FERC AD11-11	Comments due in response to proposed Policy Statement regarding Allocation of Capacity on New Merchant Transmission Projects and New Cost-Based, Participant-Funded Transmission Projects; Priority Rights to New Participant-Funded Transmission (Proposed Policy Statement issued on July 19, 2012)	9/24/2012
FERC AD12-9	Comments due in response to proposed Policy Statement regarding Allocation of Capacity on New Merchant Transmission Projects and New Cost-Based, Participant-Funded Transmission Projects; Priority Rights to New Participant-Funded Transmission (Proposed Policy Statement issued on July 19, 2012)	9/24/2012
FERC RM12-9	Comments due in response to NOPR regarding Regional Reliability Standard PRC-006-SERC-01 - Automatic Underfrequency Load Shedding Requirements (Notice of Proposed Rulemaking issued July 19, 2012)	9/24/2012
State of Arkansas 12-060-R	Reply legal briefs and comments due by Noon (Order No. 1 issued August 8, 2012)	9/24/2012
State of Mississippi 2011-UA-376	Hearing begins at 9 AM (Second Revised Scheduling Order issued August 20, 2012)	9/28/2012
United States Court of Appeals 12-1158	SPP's Brief due (U.S. Court of Appeals Order issued August 20, 2012)	10/2/2012
FERC RM11-17	Phase 2 datasets to be delivered to FERC pursuant to Order No. 760 (to include virtual offers and bids, and demand bids for energy) (Final Rule issued April 19, 2012)	10/4/2012
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include local and regional transmission planning processes that are consistent with the requirements of Final Rule (Section III.A. of Order No. 1000 issued July 21, 2011)	10/11/2012

FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and other Commission jurisdictional documents to include a cost allocation method or methods for regional cost allocation consistent with principles of Final Rule (Section III.C. of Order No. 1000 issued July 21, 2011)	10/11/2012
State of Texas 40125	SPS Rebuttal Testimony due (SOAH Order No. 2, Setting Deadlines; Scheduling Hearing on the Merits; and Informing Parties of Procedural Matters issued May 9, 2012)	10/11/2012
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2012
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2012
FERC ER12-959	SPP Answering Testimony is due (Order Establishing Procedural Schedule issued July 13, 2012)	10/15/2012
United States Court of Appeals 12-1158	Intervenors for Petitioner's Brief due (U.S. Court of Appeals Order issued August 20, 2012)	10/17/2012
State of Mississippi 2011-UA-376	Proposed orders and briefing due (Second Revised Scheduling Order issued August 20, 2012)	10/19/2012
State of Texas 40125	Prehearing Conference (SOAH Order No. 2, Setting Deadlines; Scheduling Hearing on the Merits; and Informing Parties of Procedural Matters issued May 9, 2012)	10/22/2012
State of Texas 40125	Hearing on the Merits to be held October 23-24, 2012 (SOAH Order No. 2, Setting Deadlines; Scheduling Hearing on the Merits; and Informing Parties of Procedural Matters issued May 9, 2012)	10/23/2012
State of Arkansas 12-060-R	Public hearing begins at 9:30 AM (Order No. 1 issued August 8, 2012)	10/31/2012
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2012
FERC RM11-17	Phase 3 dataset documentation due to FERC pursuant to Order No. 760 (must define each field in dataset) (Final Rule issued April 19, 2012)	11/2/2012
FERC RM11-17	Phase 3 datasets to be delivered to FERC pursuant to Order No. 760 (to include marginal cost estimates; energy and ancillary service awards; resource output; internal bilateral contracts; and uplift data) (Final Rule issued April 19, 2012)	12/3/2012

FERC ER12-959	Hearing begins at 10 AM EST (Order Establishing Procedural Schedule issued July 13, 2012)	12/4/2012
United States Court of Appeals 12-1158	Respondent's Brief due (U.S. Court of Appeals Order issued August 20, 2012)	12/17/2012
FERC RM10-13	SPP to submit compliance filing to comply with the requirement that RTOs and ISOs enhance their ability to offset market obligations in bankruptcy, pursuant to the Final Rule (Notice of Extension of Time issued May 14, 2012; Notice of Extension of Time issued January 24, 2012; Notice of Extension of Time issued September 13, 2011; Order No. 741-A issued February 17, 2011; Order No. 741 issued October 21, 2010)	12/31/2012
United States Court of Appeals 12-1158	Intervenors for Respondent's Brief due (U.S. Court of Appeals Order issued August 20, 2012)	12/31/2012
FERC RM11-17	Phase 4 dataset documentation due to FERC pursuant to Order No. 760 (must define each field in dataset) (Final Rule issued April 19, 2012)	1/2/2013
FERC ER12-959	Initial Briefs due (Order Establishing Procedural Schedule issued July 13, 2012)	1/7/2013
United States Court of Appeals 12-1158	Intervenors for Petitioner's Reply Brief due (U.S. Court of Appeals Order issued August 20, 2012)	1/14/2013
United States Court of Appeals 12-1158	SPP's Reply Brief due (U.S. Court of Appeals Order issued August 20, 2012)	1/14/2013
United States Court of Appeals 12-1158	Deferred Appendix (U.S. Court of Appeals Order issued August 20, 2012)	1/22/2013
FERC ER12-959	Reply Briefs due (Order Establishing Procedural Schedule issued July 13, 2012)	1/28/2013
FERC RM11-17	Phase 4 datasets to be delivered to FERC pursuant to Order No. 760 (to include day-ahead shift factors; supply offer and demand bids for ancillary services; capacity market offers, designation and prices; pricing data for interchange transactions; and FTR data) (Final Rule issued April 19, 2012)	2/1/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file Interim Report regarding SPS' continued participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2013

United States Court of Appeals 12-1158	Final Briefs due (U.S. Court of Appeals Order issued August 20, 2012)	2/5/2013
FERC ER06-451	SPP Demand Response Status Report Due	3/1/2013
FERC ES11-14	Authorization to issue \$20 million in promissory notes expires (March 18, 2011 Letter Order)	3/18/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include an interregional transmission coordination procedure or procedures consistent with the requirements of Final Rule (Section IV.C. of Order No. 1000 issued July 21, 2011)	4/11/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include a cost allocation method or methods for interregional cost allocation consistent with the principles of Final Rule (Section IV.D. of Order No. 1000 issued July 21, 2011)	4/11/2013
FERC 10-1178	FERC Form 582 due	4/30/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2013
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2013
FERC RM10-11	Order No. 764 Compliance Filing due to 1) offer intra-hourly transmission scheduling; 2) incorporate provisions into the pro forma Large Generator Interconnection Agreement requiring interconnection customers whose generating facilities are Variable Energy Resources (VERs) to provide meteorological and forced outage data to the public utility transmission provider for the purpose of power production forecasting (Final Rule issued June 21, 2012)	9/11/2013
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2013



State of Missouri EO-2006-0142	Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2013
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2013
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2013
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file Interim Report regarding LCEC's continued participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2013
State of Missouri EO-2012-0269	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
State of Missouri EO-2006-0141	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
FERC ES12-20	Authorization to issue \$125 million in promissory notes expires (April 2, 2012 Letter Order)	4/2/2014
State of Arkansas 12-009-U	Authorization to issue \$125 million in promissory notes expires (Order No. 2 issued April 12, 2012)	4/2/2014
FERC 10-1178	FERC Form 582 due	4/30/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2014
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2014
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2014



## Regulatory Outlook

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FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2014
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company's Interim Period ends (participation in SPP RTO) (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2015
FERC 10-1178	FERC Form 582 due	4/30/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2015
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2015
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative Inc.'s Interim Period ends (participation in SPP RTO) (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2016

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