

United States Court of Appeals 12-1232	Intervenors intending to participate in support of petitioners must notify the court. Such notification must include a statement of the issues (Order issued by U.S. Court of Appeals on September 6, 2012)	9/20/2012
FERC RM10-17	Study results due pursuant to Final Rule, Demand Response Compensation in Organized Wholesale Energy Markets. Study must examine the requirements for, costs of, and impacts of implementing a dynamic net benefits approach to the dispatch of demand resources that takes into account the billing unit effect in the economic dispatch in both the day-ahead and real-time energy markets. (Order No. 745 issued March 15, 2011)	9/21/2012
State of Mississippi 2011-UA-376	Hearing begins at 9 AM (Order Setting Hearing issued September 10, 2012; Second Revised Scheduling Order issued August 20, 2012)	9/21/2012
FERC AD11-11	Comments due in response to proposed Policy Statement regarding Allocation of Capacity on New Merchant Transmission Projects and New Cost-Based, Participant-Funded Transmission Projects; Priority Rights to New Participant-Funded Transmission (Proposed Policy Statement issued on July 19, 2012)	9/24/2012
FERC AD12-9	Comments due in response to proposed Policy Statement regarding Allocation of Capacity on New Merchant Transmission Projects and New Cost-Based, Participant-Funded Transmission Projects; Priority Rights to New Participant-Funded Transmission (Proposed Policy Statement issued on July 19, 2012)	9/24/2012
FERC RM12-9	Comments due in response to NOPR regarding Regional Reliability Standard PRC-006-SERC-01 - Automatic Underfrequency Load Shedding Requirements (Notice of Proposed Rulemaking issued July 19, 2012)	9/24/2012
State of Arkansas 12-060-R	Reply legal briefs and comments due by Noon (Order No. 1 issued August 8, 2012)	9/24/2012
FERC AD11-9	Technical Conference to be held to discuss actions taken in response to the August 16, 2011 Report on Outages and Curtailments During the Southwest Cold Weather Event of February 1-5, 2011 that was prepared by the staffs of the Commission and North American Electric Reliability Corporation (Notice of Technical Conference issued August 27, 2012)	9/25/2012
FERC AD11-9	Technical Conference to be held to discuss actions taken in response to the August 16, 2011 Report on Outages and Curtailments During the Southwest Cold Weather Event of February 1-5, 2011 that was prepared by the staffs of the Commission and North American Electric Reliability Corporation (Notice of Technical Conference issued August 27, 2012)	9/27/2012
State of Mississippi 2011-UA-376	Hearing begins at 9 AM (Second Revised Scheduling Order issued August 20, 2012)	9/28/2012

State of Missouri EA-2013-0098	Intervention deadline (Order Directing Notice, Setting Intervention Deadline, Directing Filing and Scheduling a Conference issued September 5, 2012)	9/28/2012
State of Missouri EO-2012-0367	Intervention deadline (Order Directing Notice, Setting Intervention Deadline, Directing Filing and Scheduling a Conference issued September 5, 2012)	9/28/2012
United States Court of Appeals 12-1158	SPP's Brief due (U.S. Court of Appeals Order issued August 20, 2012)	10/2/2012
FERC RM11-17	Phase 2 datasets to be delivered to FERC pursuant to Order No. 760 (to include virtual offers and bids, and demand bids for energy) (Final Rule issued April 19, 2012)	10/4/2012
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2012
FERC ER12-959	SPP Answering Testimony is due (Order Establishing Procedural Schedule issued July 13, 2012)	10/15/2012
State of Missouri EA-2013-0098	Joint Procedural Conference begins at 9 AM (Order Directing Notice, Setting Intervention Deadline, Directing Filing and Scheduling a Conference issued September 5, 2012)	10/15/2012
State of Missouri EO-2012-0367	Joint Procedural Conference begins at 9 AM (Order Directing Notice, Setting Intervention Deadline, Directing Filing and Scheduling a Conference issued September 5, 2012)	10/15/2012
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2012
United States Court of Appeals 12-1158	Intervenors for Petitioner's Brief due (U.S. Court of Appeals Order issued August 20, 2012)	10/17/2012
State of Mississippi 2011-UA-376	Proposed orders and briefing due (Second Revised Scheduling Order issued August 20, 2012)	10/19/2012
State of LA - New Orleans UD-11-01	Hearing (Resolution R-12-333 adopted September 6, 2012; Resolution R-12-55 adopted February 16, 2012)	10/23/2012
State of Arkansas 12-060-R	Public hearing begins at 9:30 AM (Order No. 1 issued August 8, 2012)	10/31/2012
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2012

FERC RM11-17	Phase 3 dataset documentation due to FERC pursuant to Order No. 760 (must define each field in dataset) (Final Rule issued April 19, 2012)	11/2/2012
State of Missouri EA-2013-0098	Staff Report and Recommendation to be filed (Order Directing Notice, Setting Intervention Deadline, Directing Filing and Scheduling a Conference issued September 5, 2012)	11/5/2012
State of Missouri EO-2012-0367	Staff Report and Recommendation to be filed (Order Directing Notice, Setting Intervention Deadline, Directing Filing and Scheduling a Conference issued September 5, 2012)	11/5/2012
FERC RM10-23	SPP's Compliance filing due to submit revised Attachment K of the pro forma OATT and other Commission jurisdictional documents to include a cost allocation method or methods for regional cost allocation consistent with principles of Final Rule (Notice of Extension of Time issued July 13, 2012; Section III.C. of Order No. 1000 issued July 21, 2011)	11/12/2012
FERC RM10-23	SPP's Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include local and regional transmission planning processes that are consistent with the requirements of Final Rule (Notice of Extension of Time issued July 13, 2012; Section III.A. of Order No. 1000 issued July 21, 2011)	11/12/2012
FERC RM11-17	Phase 3 datasets to be delivered to FERC pursuant to Order No. 760 (to include marginal cost estimates; energy and ancillary service awards; resource output; internal bilateral contracts; and uplift data) (Final Rule issued April 19, 2012)	12/3/2012
FERC ER12-959	Hearing begins at 10 AM EST (Order Establishing Procedural Schedule issued July 13, 2012)	12/4/2012
United States Court of Appeals 12-1158	Respondent's Brief due (U.S. Court of Appeals Order issued August 20, 2012)	12/17/2012
FERC RM10-13	SPP to submit compliance filing to comply with the requirement that RTOs and ISOs enhance their ability to offset market obligations in bankruptcy, pursuant to the Final Rule (Notice of Extension of Time issued May 14, 2012; Notice of Extension of Time issued January 24, 2012; Notice of Extension of Time issued September 13, 2011; Order No. 741-A issued February 17, 2011; Order No. 741 issued October 21, 2010)	12/31/2012
United States Court of Appeals 12-1158	Intervenors for Respondent's Brief due (U.S. Court of Appeals Order issued August 20, 2012)	12/31/2012
FERC RM11-17	Phase 4 dataset documentation due to FERC pursuant to Order No. 760 (must define each field in dataset) (Final Rule issued April 19, 2012)	1/2/2013

FERC ER12-959	Initial Briefs due (Order Establishing Procedural Schedule issued July 13, 2012)	1/7/2013
United States Court of Appeals 12-1158	Intervenors for Petitioner's Reply Brief due (U.S. Court of Appeals Order issued August 20, 2012)	1/14/2013
United States Court of Appeals 12-1158	SPP's Reply Brief due (U.S. Court of Appeals Order issued August 20, 2012)	1/14/2013
United States Court of Appeals 12-1158	Deferred Appendix (U.S. Court of Appeals Order issued August 20, 2012)	1/22/2013
FERC ER12-959	Reply Briefs due (Order Establishing Procedural Schedule issued July 13, 2012)	1/28/2013
FERC RM11-17	Phase 4 datasets to be delivered to FERC pursuant to Order No. 760 (to include day-ahead shift factors; supply offer and demand bids for ancillary services; capacity market offers, designation and prices; pricing data for interchange transactions; and FTR data) (Final Rule issued April 19, 2012)	2/1/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file Interim Report regarding SPS' continued participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2013
United States Court of Appeals 12-1158	Final Briefs due (U.S. Court of Appeals Order issued August 20, 2012)	2/5/2013
FERC ER06-451	SPP Demand Response Status Report Due	3/1/2013
FERC ES11-14	Authorization to issue \$20 million in promissory notes expires (March 18, 2011 Letter Order)	3/18/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include an interregional transmission coordination procedure or procedures consistent with the requirements of Final Rule (Section IV.C. of Order No. 1000 issued July 21, 2011)	4/11/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include a cost allocation method or methods for interregional cost allocation consistent with the principles of Final Rule (Section IV.D. of Order No. 1000 issued July 21, 2011)	4/11/2013

FERC 10-1178	FERC Form 582 due	4/30/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2013
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2013
FERC ER06-451	SPP Demand Response Status Report Due	9/1/2013
FERC RM10-11	Order No. 764 Compliance Filing due to 1) offer intra-hourly transmission scheduling; 2) incorporate provisions into the pro forma Large Generator Interconnection Agreement requiring interconnection customers whose generating facilities are Variable Energy Resources (VERs) to provide meteorological and forced outage data to the public utility transmission provider for the purpose of power production forecasting (Final Rule issued June 21, 2012)	9/11/2013
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2013
State of Missouri EO-2006-0142	Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2013
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2013
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order).	10/15/2013
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file Interim Report regarding LCEC's continued participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2013



## Regulatory Outlook

State of Missouri EO-2012-0269	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
State of Missouri EO-2006-0141	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
FERC ES12-20	Authorization to issue \$125 million in promissory notes expires (April 2, 2012 Letter Order)	4/2/2014
State of Arkansas 12-009-U	Authorization to issue \$125 million in promissory notes expires (Order No. 2 issued April 12, 2012)	4/2/2014
FERC 10-1178	FERC Form 582 due	4/30/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2014
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2014
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2014
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2014
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company's Interim Period ends (participation in SPP RTO) (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2015
FERC 10-1178	FERC Form 582 due	4/30/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2015

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FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2015
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative Inc.'s Interim Period ends (participation in SPP RTO) (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2016

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