

| | | |
|---|---|------------|
| FERC ER12-1813 | Settlement Conference begins at 10 AM Eastern Time (Order of Settlement Judge Scheduling Settlement Conference issued November 5, 2012) | 11/20/2012 |
| FERC AD12-8 | Comments due regarding Commission Information Collection Activities (Commission Information Collection Activities Comment Request concerning Non-RTO/ISO Performance Metrics issued on October 15, 2012) | 11/21/2012 |
| FERC RM10-23 | Effective date of Order No. 1000-B (Order on Rehearing and Clarification issued on October 18, 2012) | 11/23/2012 |
| FERC RM12-12 | Comments due in response to NOPR proposing to approve regional reliability standard PRC-006-NPCC-1 (Automatic Underfrequency Load Shedding) (Notice of Proposed Rulemaking issued September 20, 2012) | 11/26/2012 |
| FERC ER05-652 | File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order) | 11/30/2012 |
| FERC ER12-2525 | SPP's Deficiency Letter Response is due (Deficiency Letter issued October 31, 2012) | 11/30/2012 |
| FERC RM11-17 | Phase 3 datasets to be delivered to FERC pursuant to Order No. 760 (to include marginal cost estimates; energy and ancillary service awards; resource output; internal bilateral contracts; and uplift data) (Final Rule issued April 19, 2012) | 12/3/2012 |
| FERC ER12-959 | Hearing begins at 10 AM EST (Order Establishing Procedural Schedule issued July 13, 2012) | 12/4/2012 |
| FERC RM10-12 | Effective Date of Order No. 768, Electricity Market Transparency Provisions of Section 220 of the Federal Power Act revising existing Electric Quarterly Report filing requirements (Final Rule issued September 21, 2012) | 12/10/2012 |
| FERC RM10-12 | Technical Conference to begin at 9 AM EST to discuss Electric Quarterly Report filing requirements pursuant to Order No. 768 (Notice of Technical Conference issued November 9, 2012) | 12/12/2012 |
| United States Court of Appeals 12-1158 | Respondent's Brief due (U.S. Court of Appeals Order issued August 20, 2012) | 12/17/2012 |
| FERC ER12-550 | SPP's Compliance Filing is due (Order on Compliance Filing issued October 18, 2012) | 12/17/2012 |
| FERC ER12-2292 | SPP's Compliance Filing due (Order Conditionally Accepting Tariff Revisions issued September 20, 2012) | 12/19/2012 |

| | | |
|---|---|------------|
| FERC RM12-4 | Comments due in response to NOPR regarding Revisions to Reliability Standard for Transmission Vegetation Management (FAC-003-2) (Notice of Proposed Rulemaking issued October 18, 2012) | 12/24/2012 |
| FERC RM12-22 | Comments due in response to NOPR regarding Reliability Standards for Geomagnetic Disturbances (Notice of Proposed Rulemaking issued October 18, 2012) | 12/24/2012 |
| FERC RM12-2 | Effective date of Order No. 769, Final Rule regarding Filing of Privileged Materials and Answers to Motions (Order No. 769 issued October 18, 2012) | 12/28/2012 |
| FERC RM10-13 | SPP to submit compliance filing to comply with the requirement that RTOs and ISOs enhance their ability to offset market obligations in bankruptcy, pursuant to the Final Rule (Notice of Extension of Time issued May 14, 2012; Notice of Extension of Time issued January 24, 2012; Notice of Extension of Time issued September 13, 2011; Order No. 741-A issued February 17, 2011; Order No. 741 issued October 21, 2010) | 12/31/2012 |
| United States Court of Appeals 12-1158 | Intervenors for Respondent's Brief due (U.S. Court of Appeals Order issued August 20, 2012) | 12/31/2012 |
| FERC RM11-17 | Phase 4 dataset documentation due to FERC pursuant to Order No. 760 (must define each field in dataset) (Final Rule issued April 19, 2012) | 1/2/2013 |
| FERC ER12-959 | Initial Briefs due (Order Establishing Procedural Schedule issued July 13, 2012) | 1/7/2013 |
| United States Court of Appeals 12-1158 | Intervenors for Petitioner's Reply Brief due (U.S. Court of Appeals Order issued August 20, 2012) | 1/14/2013 |
| United States Court of Appeals 12-1158 | SPP's Reply Brief due (U.S. Court of Appeals Order issued August 20, 2012) | 1/14/2013 |
| FERC ER12-1179 | SPP's Integrated Marketplace Compliance Filing is due to address issues as specified in the October 18 Order, including making revisions to Tariff language and providing additional support for elements of SPP's proposal (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012) | 1/16/2013 |
| FERC ER12-1179 | Informational Filing reporting on settlement discussions regarding grandfathered agreements is due (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012) | 1/16/2013 |
| United States Court of Appeals 12-1158 | Deferred Appendix (U.S. Court of Appeals Order issued August 20, 2012) | 1/22/2013 |

| | | |
|---|--|-----------|
| State of LA - New Orleans UD-12-01 | Intervenor Direct Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012) | 1/25/2013 |
| FERC ER12-959 | Reply Briefs due (Order Establishing Procedural Schedule issued July 13, 2012) | 1/28/2013 |
| FERC RM11-17 | Phase 4 datasets to be delivered to FERC pursuant to Order No. 760 (to include day-ahead shift factors; supply offer and demand bids for ancillary services; capacity market offers, designation and prices; pricing data for interchange transactions; and FTR data) (Final Rule issued April 19, 2012) | 2/1/2013 |
| State of New Mexico 07-00390-UT | Southwestern Public Service Company to file Interim Report regarding SPS' continued participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation) | 2/2/2013 |
| FERC ER12-2602 | SPP to either 1) amend its Tariff under section 205 of the Federal Power Act to assess qualifying facilities-associated EIS Market charges and credits to the host utility; or 2) withdraw its request for waiver and resettle the EIS Market (Order Granting Request for Limited Tariff Waiver issued November 6, 2012) | 2/4/2013 |
| United States Court of Appeals 12-1158 | Final Briefs due (U.S. Court of Appeals Order issued August 20, 2012) | 2/5/2013 |
| FERC ER06-451 | SPP Demand Response Informational Status Report Due | 3/1/2013 |
| FERC ES11-14 | Authorization to issue \$20 million in promissory notes expires (March 18, 2011 Letter Order) | 3/18/2013 |
| State of LA - New Orleans UD-12-01 | Advisor Direct Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012) | 4/3/2013 |
| FERC RM10-23 | Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include an interregional transmission coordination procedure or procedures consistent with the requirements of Final Rule (Section IV.C. of Order No. 1000 issued July 21, 2011) | 4/11/2013 |
| FERC RM10-23 | Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include a cost allocation method or methods for interregional cost allocation consistent with the principles of Final Rule (Section IV.D. of Order No. 1000 issued July 21, 2011) | 4/11/2013 |
| State of LA - New Orleans UD-12-01 | Advisor and Intervenor Cross-Answering Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012) | 4/19/2013 |

| | | |
|---------------------------------------|--|-----------|
| FERC 10-1178 | FERC Form 582 due | 4/30/2013 |
| State of LA - New Orleans UD-12-01 | Applicant Rebuttal Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012) | 5/13/2013 |
| FERC AD12-12 | Each Regional Transmission Organization and Independent System Operator to appear before the Commission in order to share its experiences from the winter and spring. Each RTO and ISO should describe the progress it has made in refining existing practices to provide better coordination between the natural gas and electric industries and ensure adequate fuel supplies. This discussion also should address any natural gas transportation concerns that arise during the winter heating season and should identify any generator outages during the winter and spring that are fuel related (Order Directing Further Conferences and Reports issued November 15, 2012) | 5/16/2013 |
| State of LA - New Orleans UD-12-01 | Advisor and Intervenor Surrebuttal Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012) | 5/30/2013 |
| State of New Mexico 07-00390-UT | Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation) | 6/1/2013 |
| State of New Mexico 10-00143-UT | Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation) | 6/1/2013 |
| FERC 10-1272 | SPP's Annual State of the Market Report due at FERC | 6/1/2013 |
| State of LA - New Orleans UD-12-01 | Applicant Rejoinder Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012) | 6/14/2013 |
| FERC ER12-1179 | SPP to file Consolidated Balancing Authority Area Agreement, a Phase 2 market-to-market mechanism for managing congestion, as well as a filing in compliance with Order No. 755 on operating reserves (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012) | 6/30/2013 |
| State of LA - New Orleans UD-12-01 | Evidentiary Hearing begins (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012) | 7/23/2013 |
| FERC ER06-451 | SPP Demand Response Status Report Due | 9/1/2013 |

| | | |
|------------------------------------|--|------------|
| FERC RM10-11 | Order No. 764 Compliance Filing due to 1) offer intra-hourly transmission scheduling; 2) incorporate provisions into the pro forma Large Generator Interconnection Agreement requiring interconnection customers whose generating facilities are Variable Energy Resources (VERs) to provide meteorological and forced outage data to the public utility transmission provider for the purpose of power production forecasting (Final Rule issued June 21, 2012) | 9/11/2013 |
| State of Missouri EO-2009-0179 | KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009) | 9/30/2013 |
| State of Missouri EO-2006-0142 | Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006) | 10/1/2013 |
| FERC 09-1029 | Offer Cap Filing due (annual filing) (Docket number TBD) | 10/15/2013 |
| FERC ER05-652 | File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order) | 10/15/2013 |
| FERC AD12-12 | Each Regional Transmission Organization and Independent System Operator to appear before the Commission in order to share its experiences from the summer and fall. Each RTO and ISO should describe the progress it has made in refining existing practices to provide better coordination between the natural gas and electric industries and ensure adequate fuel supplies. This discussion also should address any natural gas transportation concerns that arise during the winter heating season and should identify any generator outages during the winter and spring that are fuel related (Order Directing Further Conferences and Reports issued November 15, 2012) | 10/17/2013 |
| FERC ER08-1338 | SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1 | 11/1/2013 |
| State of New Mexico 10-00143-UT | Lea County Electric Cooperative, Inc. to file Interim Report regarding LCEC's continued participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation) | 12/16/2013 |
| State of Missouri EO-2012-0269 | Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006) | 2/1/2014 |
| State of Missouri EO-2006-0141 | Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006) | 2/1/2014 |
| FERC ES12-20 | Authorization to issue \$125 million in promissory notes expires (April 2, 2012 Letter Order) | 4/2/2014 |



Regulatory Outlook

| | | |
|------------------------------------|---|------------|
| State of Arkansas 12-009-U | Authorization to issue \$125 million in promissory notes expires (Order No. 2 issued April 12, 2012) | 4/2/2014 |
| FERC 10-1178 | FERC Form 582 due | 4/30/2014 |
| State of New Mexico 07-00390-UT | Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation) | 6/1/2014 |
| State of New Mexico 10-00143-UT | Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation) | 6/1/2014 |
| FERC ER12-1179 | SPP's Compliance Filing due 180 days after the commencement of the Integrated Marketplace to establish long-term firm transmission rights pursuant to Order No. 681. Provided the Integrated Marketplace is implemented on March 1, 2014, SPP's compliance filing will be due by August 28, 2014 (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2014) | 8/28/2014 |
| FERC 09-1029 | Offer Cap Filing due (annual filing) (Docket number TBD) | 10/15/2014 |
| FERC ER05-652 | File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order) | 10/15/2014 |
| FERC ER08-1338 | SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1 | 11/1/2014 |
| State of New Mexico 07-00390-UT | Southwestern Public Service Company's Interim Period ends (participation in SPP RTO) (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation) | 2/2/2015 |
| FERC 10-1178 | FERC Form 582 due | 4/30/2015 |
| State of New Mexico 10-00143-UT | Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation) | 6/1/2015 |
| ER12-1179 | Within 15 months after the commencement of the Integrated Marketplace, FERC directed SPP to file a compliance filing to either revise the bid limit in its Tariff to a reasonable level or provide justification for retaining the current level based upon its experience. Provided the Integrated Marketplace is implemented on March 1, 2014, | 6/1/2015 |

| | | |
|------------------------------------|---|------------|
| FERC | SPP's compliance filing will be due by June 1, 2015 (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012) | |
| FERC ER12-1179 | Within 15 months after the commencement of the Integrated Marketplace, FERC directed SPP to make an informational filing evaluating certain elements, such as virtual transactions and the make whole payment proposal (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012) | 6/1/2015 |
| FERC 09-1029 | Offer Cap Filing due (annual filing) (Docket number TBD) | 10/15/2015 |
| FERC ER05-652 | File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order) | 10/15/2015 |
| FERC ER08-1338 | SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1 | 11/1/2015 |
| State of New Mexico 10-00143-UT | Lea County Electric Cooperative Inc.'s Interim Period ends (participation in SPP RTO) (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation) | 12/16/2015 |
| FERC ER08-1338 | SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1 | 11/1/2016 |