



Regulatory Outlook

State of Arkansas 10-074-U	Rogers Group, Inc.'s Supplemental Pleading is due	1/4/2013
FERC ER12-959	Initial Briefs due (Order Establishing Procedural Schedule issued July 13, 2012)	1/7/2013
FERC AD12-12	Comments due in response to questions related to communications and information sharing between the natural gas and electric power industries (Notice of Request for Comments and Technical Conference issued December 7, 2012)	1/7/2013
FERC ER12-891	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued December 14, 2012)	1/8/2013
FERC ER12-1018	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued December 14, 2012)	1/8/2013
FERC ER12-909	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued December 14, 2012)	1/8/2013
FERC EL12-47	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued December 14, 2012)	1/8/2013
FERC EL12-51	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued December 14, 2012)	1/8/2013
FERC ER12-1017	Settlement Conference begins at 10 AM EST (Order Scheduling Telephonic Settlement Conference issued December 14, 2012)	1/8/2013
State of Louisiana U-32300	Reply Comments due in response to Entergy's Motion to Engage Independent Transmission Service Monitor (Deadline for Reply Comments issued on December 20, 2012)	1/8/2013
State of Arkansas 10-074-U	Comments due in response to Rogers Group, Inc.'s Supplemental Pleading	1/9/2013
State of Arkansas 12-008-U	Additional Direct Testimony by Southwestern Electric Power Company and Arkansas Electric Cooperative Corporation is due by Noon (Order No. 10 issued January 2, 2013)	1/11/2013
United States Court of Appeals 12-1158	Intervenors for Petitioner's Reply Brief due (U.S. Court of Appeals Order issued August 20, 2012)	1/14/2013
United States Court of Appeals 12-1158	SPP's Reply Brief due (U.S. Court of Appeals Order issued August 20, 2012)	1/14/2013

State of Arkansas 10-074-U	Staff and Intervenor Testimony due by Noon (Order No. 11 issued December 7, 2012)	1/14/2013
FERC ER11-4105	SPP's Deficiency Letter Response is due (Deficiency Letter issued December 13, 2012)	1/14/2013
FERC ER12-1179	Informational Filing reporting on settlement discussions regarding grandfathered agreements is due (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012)	1/16/2013
United States Court of Appeals 12-1158	Deferred Appendix (U.S. Court of Appeals Order issued August 20, 2012)	1/22/2013
FERC EL13-35	SPP's response to Complaint is due	1/22/2013
State of Arkansas 10-074-U	Rebuttal Testimony, Exhibits, or Documentary Evidence due by Noon (Order No. 11 issued December 7, 2012)	1/24/2013
State of LA - New Orleans UD-12-01	Intervenor Direct Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	1/25/2013
FERC ER12-959	Reply Briefs due (Order Establishing Procedural Schedule issued July 13, 2012)	1/28/2013
FERC ER12-1813	Settlement Conference begins at 10 AM Eastern Time (Settlement Status Report issued November 21, 2012)	1/29/2013
State of Arkansas 10-074-U	Surrebuttal Testimony, Exhibits, or Documentary Evidence due by Noon (Order No. 11 issued December 7, 2012)	1/31/2013
FERC RM11-17	Phase 4 datasets to be delivered to FERC pursuant to Order No. 760 (to include day-ahead shift factors; supply offer and demand bids for ancillary services; capacity market offers, designation and prices; pricing data for interchange transactions; and FTR data) (Final Rule issued April 19, 2012)	2/1/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file Interim Report regarding SPS' continued participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2013
FERC ER12-2602	SPP to either 1) amend its Tariff under section 205 of the Federal Power Act to assess qualifying facilities-associated EIS Market charges and credits to the host utility; or 2) withdraw its request for waiver and resettle the EIS Market (Order Granting Request for Limited Tariff Waiver issued November 6, 2012)	2/4/2013

United States Court of Appeals 12-1158	Final Briefs due (U.S. Court of Appeals Order issued August 20, 2012)	2/5/2013
State of Arkansas 10-074-U	Sur-surrebuttal Testimony, Exhibits, or Documentary Evidence due by Noon (Order No. 11 issued December 7, 2012)	2/7/2013
State of Arkansas 08-135-R	Comments due in response to Order No. 17 regarding the Arkansas PSC's Rules of Practice and Procedure and Minimum Filing Requirements (Order No. 17 issued December 6, 2012)	2/7/2013
FERC AD12-12	Technical Conference begins at 9 AM Eastern Time to elicit input pertaining to information sharing and communications issues between natural gas and electric power industry entities (Notice of Request for Comments and Technical Conference issued December 7, 2012)	2/13/2013
FERC ER12-1179	SPP's Integrated Marketplace Compliance Filing is due to address issues as specified in the October 18 Order, including making revisions to Tariff language and providing additional support for elements of SPP's proposal (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012; Notice of Extension of Time issued November 28, 2012)	2/15/2013
State of Arkansas 10-074-U	Hearing begins at 9:30 AM (Order No. 11 issued December 7, 2012)	2/21/2013
State of Arkansas 12-008-U	Reply Testimony by Staff, the Attorney General and the Sierra Club is due by Noon (Order No. 10 issued January 2, 2013)	2/22/2013
FERC RM12-9	Effective date of Order No. 772, Final Rule approving regional Reliability Standard PRC-006-SERC-01 (Automatic Underfrequency Load Shedding Requirements) (Order No. 772 issued December 20, 2012)	2/25/2013
FERC RM11-12	Effective date of Order No. 771, Final Rule regarding Availability of E-Tag Information to Commission Staff (Order No. 771 issued December 20, 2012)	2/26/2013
FERC ER06-451	SPP Demand Response Informational Status Report Due	3/1/2013
FERC ER12-2292	SPP's Compliance Filing due (Notice of Extension of Time issued January 2, 2013; Order Conditionally Accepting Tariff Revisions issued September 20, 2012)	3/1/2013
State of Arkansas 12-008-U	Evidentiary Hearing begins at 9:30 AM (Order No. 10 issued on January 2, 2013)	3/5/2013
FERC RM12-7	Effective date of Order No. 773, Final Rule regarding Revisions to Electric Reliability Organization Definition of Bulk Electric System and Rules of Procedure (Order No. 773 issued December 20, 2012)	3/5/2013

FERC RM12-6	Effective date of Order No. 773, Final Rule regarding Revisions to Electric Reliability Organization Definition of Bulk Electric System and Rules of Procedure (Order No. 773 issued December 20, 2012)	3/5/2013
FERC ES11-14	Authorization to issue \$20 million in promissory notes expires (March 18, 2011 Letter Order)	3/18/2013
FERC RM12-3	Effective Date of Order No. 770, Revisions to Electric Quarterly Report (EQR) Filing Process (Final Rule issued November 15, 2012)	4/1/2013
State of LA - New Orleans UD-12-01	Advisor Direct Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	4/3/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include an interregional transmission coordination procedure or procedures consistent with the requirements of Final Rule (Section IV.C. of Order No. 1000 issued July 21, 2011)	4/11/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include a cost allocation method or methods for interregional cost allocation consistent with the principles of Final Rule (Section IV.D. of Order No. 1000 issued July 21, 2011)	4/11/2013
State of LA - New Orleans UD-12-01	Advisor and Intervenor Cross-Answering Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	4/19/2013
FERC 10-1178	FERC Form 582 due	4/30/2013
State of LA - New Orleans UD-12-01	Applicant Rebuttal Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	5/13/2013
FERC AD12-12	Each Regional Transmission Organization and Independent System Operator to appear before the Commission in order to share its experiences from the winter and spring. Each RTO and ISO should describe the progress it has made in refining existing practices to provide better coordination between the natural gas and electric industries and ensure adequate fuel supplies. This discussion also should address any natural gas transportation concerns that arise during the winter heating season and should identify any generator outages during the winter and spring that are fuel related (Order Directing Further Conferences and Reports issued November 15, 2012)	5/16/2013
State of LA - New Orleans UD-12-01	Advisor and Intervenor Surrebuttal Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	5/30/2013

State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2013
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2013
State of LA - New Orleans UD-12-01	Applicant Rejoinder Testimony due (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	6/14/2013
FERC ER12-1179	SPP to file Consolidated Balancing Authority Area Agreement, a Phase 2 market-to-market mechanism for managing congestion, as well as a filing in compliance with Order No. 755 on operating reserves (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012)	6/30/2013
State of LA - New Orleans UD-12-01	Evidentiary Hearing begins (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	7/23/2013
FERC ER06-451	SPP Demand Response Status Report Due	9/1/2013
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2013
State of Missouri EO-2006-0142	Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2013
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2013
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2013
AD12-12	Each Regional Transmission Organization and Independent System Operator to appear before the Commission in order to share its experiences from the summer and fall. Each RTO and ISO should describe the progress it has made in refining existing practices to provide better coordination between the natural gas and electric industries and ensure adequate fuel supplies. This discussion also should address any natural gas transportation concerns that arise during the winter heating season and should identify any generator	10/17/2013

FERC	outages during the winter and spring that are fuel related (Order Directing Further Conferences and Reports issued November 15, 2012)	
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2013
FERC RM10-11	Order No. 764 Compliance Filing due to 1) offer intra-hourly transmission scheduling; 2) incorporate provisions into the pro forma Large Generator Interconnection Agreement requiring interconnection customers whose generating facilities are Variable Energy Resources (VERs) to provide meteorological and forced outage data to the public utility transmission provider for the purpose of power production forecasting (Order on Rehearing and Clarification and Granting Motion for Extension of Time issued December 20, 2012; Final Rule issued June 21, 2012)	11/12/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file Interim Report regarding LCEC's continued participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2013
State of Missouri EO-2012-0269	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
State of Missouri EO-2006-0141	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
FERC ES12-20	Authorization to issue \$125 million in promissory notes expires (April 2, 2012 Letter Order)	4/2/2014
State of Arkansas 12-009-U	Authorization to issue \$125 million in promissory notes expires (Order No. 2 issued April 12, 2012)	4/2/2014
FERC 10-1178	FERC Form 582 due	4/30/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2014
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2014
ER12-1179	SPP's Compliance Filing due 180 days after the commencement of the Integrated Marketplace to establish long-term firm transmission rights pursuant to Order No. 681. Provided the Integrated Marketplace is implemented on March 1, 2014, SPP's compliance filing will be due by	8/28/2014

FERC	August 28, 2014 (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2014)	
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2014
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2014
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company's Interim Period ends (participation in SPP RTO) (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2015
FERC 10-1178	FERC Form 582 due	4/30/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2015
FERC ER12-1179	Within 15 months after the commencement of the Integrated Marketplace, FERC directed SPP to file a compliance filing to either revise the bid limit in its Tariff to a reasonable level or provide justification for retaining the current level based upon its experience. Provided the Integrated Marketplace is implemented on March 1, 2014, SPP's compliance filing will be due by June 1, 2015 (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012)	6/1/2015
FERC ER12-1179	Within 15 months after the commencement of the Integrated Marketplace, FERC directed SPP to make an informational filing evaluating certain elements, such as virtual transactions and the make whole payment proposal (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012)	6/1/2015
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2015
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2015

State of New Mexico 10-00143-UT	Lea County Electric Cooperative Inc.'s Interim Period ends (participation in SPP RTO) (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2016
