



Regulatory Update - Activity in Significant Dockets Fourth Quarter 2012

SPP Tariff/Governing Document Revisions		
Docket Number	Short Description	Summary
ER12-550	SPP Submission of Compliance Filing Revising Tariff in Response to Order on Compliance Filings and Rehearing Issued in Docket Nos. ER09-1050, ER09-748, and ER09-1192	<p>On October 18, 2012, FERC issued an Order on Compliance Filing conditionally accepting SPP's December 5, 2011 Compliance Filing.</p> <p>On December 17, 2012, SPP submitted its Compliance Filing pursuant to Order Nos. 719 and 719-A and the October 18, 2012 Order issued in this proceeding.</p>
ER12-1179	SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace	<p>On October 18, 2012, FERC issued an Order Conditionally Accepting Tariff Revisions to Establish Energy Markets. The proposed Tariff revisions were conditionally accepted, in part, and rejected, in part, subject to the conditions described in the body of the Order.</p> <p>FERC directed SPP to make a compliance filing addressing issues as specified in the order, including making revisions to Tariff language and providing additional support for elements of its proposal. SPP's compliance filing is due February 15, 2013.</p> <p>FERC directed SPP to make an informational filing reporting on its settlement discussions regarding grandfathered agreements. SPP's informational filing is due on January 16, 2013.</p> <p>FERC also stated that SPP must file its Consolidated Balancing Authority Area Agreement, a Phase 2 market-to-market ("M2M") mechanism for managing congestion, as well as a filing in compliance with Order No. 755 on operating reserves by June 30, 2013.</p> <p>Furthermore, within 15 months after the commencement of the Integrated Marketplace, FERC directed SPP to file a compliance filing to either revise the bid limit in its Tariff to a reasonable level or provide justification for retaining the current level based upon its experience. Provided the Integrated Marketplace is implemented on March 1, 2014, SPP's compliance filing will be due by June 1, 2015.</p> <p>Also within 15 months after the commencement of the Integrated Marketplace, FERC directed SPP to make an informational filing evaluating certain elements, such as virtual transactions and the make whole payment proposal.</p> <p>Lastly, FERC directed SPP to submit a compliance filing under Order No. 681 to establish long-term firm transmission rights 180 days after the commencement of the Integrated Marketplace. Provided the Integrated Marketplace is implemented on March 1, 2014, SPP's compliance filing will be due by August 28, 2014.</p> <p>FERC granted a waiver of Section 35.3 of the Commission's regulations to allow the Tariff revisions to</p>



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		<p>become effective March 1, 2014, as requested.</p> <p>On November 19, 2012, SPP filed a Request for Rehearing and/or Clarification of the October 18, 2012 Order. SPP stated the Commission:</p> <ol style="list-style-type: none"> 1) should grant rehearing authorizing SPP to implement the requirements of Order No. 755 after successful market start-up; 2) should clarify, or grant rehearing of the M2M compliance condition to remove any requirement that expanded M2M coordination be implemented by market start-up; and 3) should confirm that the October 18 Order does not preclude SPP from proposing, and the Commission from approving, an appropriate mechanism to hold external entities accountable for functions and services utilized in the Integrated Marketplace. <p>On November 28, 2012, FERC issued a Notice of Extension of Time, granting an extension to and including February 15, 2013 for SPP to file its Compliance Filing pursuant to the October 18, 2012 Order.</p> <p>On December 17, 2012, FERC issued an Order Granting Rehearing for Further Consideration of the October 18, 2012 Order.</p> <p>On December 4, 2012, the Midwest Independent Transmission System Operator, Inc. (“MISO”) filed an answer in response to SPP’s Request for Rehearing and/or Clarification filed on November 19, 2012. MISO stated:</p> <ol style="list-style-type: none"> 1) M2M coordination between MISO and SPP is required by prior FERC Orders; and 2) at this time no valid grounds exist for delaying M2M. <p>On December 19, 2012, SPP filed an answer in response to MISO’s December 4, 2012 Answer. SPP stated:</p> <ol style="list-style-type: none"> 1) there is no per se requirement for M2M coordination between SPP and MISO on the date of implementation of the Integrated Marketplace; and 2) MISO has offered no credible justification to deny SPP’s request for a one-year deferral of M2M. <p>On December 21, 2012, the Southwest Power Pool Transmission Owners (“SPP TOs”) filed an answer in response to MISO’s December 4, 2012 Answer. The SPP TOs stated:</p> <ol style="list-style-type: none"> 1) the Commission should not delay the implementation of the Integrated Marketplace because the benefits that will result from such implementation are too significant to delay; and 2) resolution of the M2M issues and other related issues in the Joint Operating Agreement are important, and the Commission should facilitate a formal process to ensure resolution occurs on a timely basis.



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		On December 21, 2012, the Electric Power Supply Association (“EPSA”) filed an answer in response to MISO’s December 4, 2012 Answer. EPSA stated the Commission should reject MISO’s answer and grant SPP’s request for rehearing of the October 18, 2012 Order, or grant SPP’s request for a one-year deferral to implement a M2M mechanism, in order to ensure that the implementation of SPP’s Integrated Marketplace is not delayed.
ER12-2292	SPP Submission of Tariff Revisions to Attachment AE to Facilitate the Systematic Rather than Manual Curtailment of Non-Dispatchable Resources in the Energy Imbalance Services Market (“EIS Market”) During Period of Congestion	<p>On October 22, 2012, Acciona Wind Energy USA LLC filed a Request for Rehearing of the September 20, 2012 Order. Acciona stated:</p> <p>1) the SPP Order has not shown why SPP may discriminate among qualifying facilities (“QFs”) in waiver service areas and QFs selling pursuant to the Public Utility Regulatory Policies Act (“PURPA”) in allowing QFs selling pursuant to PURPA to enjoy favorable curtailment policies and immunity to Uninstructed Deviation Charges (UDCs); and</p> <p>2) the SPP Order does not explain the reasoning behind refusing to grant non-dispatchable resources curtailed under SPP’s Attachment AE make-whole payments.</p> <p>On November 19, 2012, FERC issued an Order Granting Rehearing for Further Consideration of the September 20, 2012 Order.</p> <p>On January 2, 2013, FERC issued a Notice of Extension of Time to and including March 1, 2013 for SPP to submit its Compliance Filing pursuant to the September 20, 2012 Order.</p>
ER12-2387	SPP Submission of Tariff Revisions to Implement Balanced Portfolio Transfers	<p>On November 20, 2012, FERC issued an Order Accepting Tariff Revisions to update revenue requirements and associated rates in Attachments H and T in order to implement the initial reallocation of revenue requirements pursuant to Attachments J and O of the Tariff (Balanced Portfolio Transfers).</p> <p>An effective date of October 1, 2012 was granted. FERC also granted SPP’s motion to amend its answer in this proceeding.</p>
ER13-366 and ER13-367	<p>SPP Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements</p> <p>SPP Submission of Revisions to its Membership Agreement to Comply with Order No. 1000</p>	<p>On November 13, 2012, SPP submitted revisions to its Tariff in order to comply with Order No. 1000’s requirements regarding regional planning and cost allocation. SPP requested an effective date of March 30 of the year following the Commission’s issuance of an order on this filing.</p> <p>Also on November 13, SPP submitted revisions to its Membership Agreement to comply with Order No. 1000.</p> <p>Several parties filed Motions to Intervene, Comments in support of SPP’s filing, or Protests.</p>



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ER13-661	SPP Submission of Tariff Revisions to Comply with Commission Directives Regarding the Ability to Net and Offset Market Obligations in Bankruptcy Pursuant to Order No. 741	<p>On December 28, 2012, SPP submitted tariff revisions to comply with Commission directives regarding the ability to net and offset market obligations in bankruptcy pursuant to Order No. 741. SPP proposes to revise Attachment AE of the Tariff to provide a mechanism whereby the Transmission Provider will become counterparty to certain market transactions which are "pooled" within the Integrated Marketplace, therefore rendering it impossible to determine the mutuality of the contract which exists between Market Participants.</p> <p>An effective date of March 1, 2014 was requested. This date coincides with the expected implementation date of the Integrated Marketplace.</p>



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Other Filings of Interest		
Docket Number	Short Description	Summary
<p>EL11-34</p> <p>and</p> <p>12-1158 (U.S. Court of Appeals)</p>	<p>Midwest Independent Transmission System Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP</p> <p>Southwest Power Pool, Inc. v. Federal Energy Regulatory Commission ("FERC")</p>	<p>On October 2, 2012, SPP filed its Opening Brief Case No. 12-1158. SPP stated:</p> <ol style="list-style-type: none"> 1) having resorted to extrinsic evidence to ascertain the meaning of Section 5.2, FERC was compelled to support its interpretation with substantial evidence and to consider all relevant evidence, not merely evidence that FERC perceived to be consistent with its interpretation; 2) proper consideration of SPP's proffered evidence would have demonstrated the error in the Commission's interpretation of Section 5.2; 3) FERC's "contextual" analysis of Section 5.2 does not support and, in fact, undercuts FERC's conclusion; and 4) FERC's straw-man argument regarding inferred intentions is based on a fundamental misunderstanding of the contract. <p>On October 17, 2012, Intervenors for Petitioner filed their Joint Brief. The parties stated:</p> <ol style="list-style-type: none"> 1) the Commission ignored record evidence that MISO intends to use the SPP system without paying for it; and 2) the Commission's failure to grapple with intended outcomes render its interpretation arbitrary and capricious. <p>On December 17, 2012, FERC filed its Brief in U.S. Court of Appeals Case No. 12-1158. The Commission stated it reasonably interpreted the capacity-sharing provision. The Commission determined that the Agreement would allow the MISO to use shared capacity to provide network service to Entergy Arkansas, Inc. if it were a member of MISO. The Commission further stated it properly rejected SPP's narrow interpretation of Section 5.2 as applying only to point-to-point transmission service for interchange transactions.</p> <p>On December 31, 2012, MISO and Entergy Services, Inc. filed an Initial Brief of Intervenors in Support of Respondent FERC. The parties stated:</p> <ol style="list-style-type: none"> 1) the Commission reasonably applied the plain meaning of the JOA and did so in a manner consistent with its context, origin and course of performance; and 2) the issues raised by SPP Intervenors are barred under section 313 of the Federal Power Act and, in any event, were correctly rejected in the orders under review as speculative and beyond the scope of the proceeding.
<p>EL12-60</p>	<p>SPP, Western Area Power Administration ("Western"), Basin Electric Power Cooperative ("Basin Electric") and Heartland Consumers Power</p>	<p>On October 18, 2012, the Midwest ISO Transmission Owners ("MISO TOs") filed a Request for Rehearing of the September 18, 2012 Order. The MISO TOs stated:</p> <ol style="list-style-type: none"> 1) the Commission's dismissive treatment of the potential adverse effects of limitations on transmission path capacity is arbitrary and capricious and not a product of reasoned decision making; and 2) authorization of excess use charges under the SPP-Western JOA departs from Commission precedent



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<p>and ER12-1586</p>	<p>District ("Heartland") Filing of a Joint Petition for Declaratory Order and Request for Shortened Notice Period and for Expedited Treatment Seeking Confirmation that the Terms of the Congestion Management Process ("CMP") in the Joint Operating Agreement ("JOA") between SPP and the Midwest Independent Transmission System Operator, Inc. ("MISO") Apply to the Reciprocal Coordinated Flowgates ("RCFs") of a Third Party Who has Entered into a Reciprocal Coordination Agreement with SPP</p> <p>SPP Submission of an Executed Joint Operating Agreement ("JOA") Between SPP and Western Area Power Administration, Upper Great Plains Region ("Western") (SPP-Western JOA) (FERC Rate Schedule No. 13)</p>	<p>and unlawfully establishes an alternative loop flow compensation mechanism.</p> <p>On October 18, 2012, MISO filed a Request for Clarification or, In the Alternative, Rehearing of the September 18, 2012 Order. MISO stated:</p> <ol style="list-style-type: none"> 1) the September 18 Order fails to respond meaningfully to MISO's arguments regarding the adverse effects of the proposed contract path limitations on the market-to-market ("M2M") coordination between SPP and MISO; and 2) by authorizing excess use charges under the SPP-Western JOA, the Commission has changed its established precedent without reasoned explanation. <p>On October 18, 2012, SPP submitted its Compliance Filing revising the SPP-Western JOA pursuant to the September 18, 2012 Order. An effective date of June 20, 2012 was requested.</p> <p>On October 18, 2012, SPP, Western, Basin Electric, and Heartland filed a Request for Clarification of paragraph 106 of the September 18, 2012 Order Granting Petition for Declaratory Order and Conditionally Accepting Joint Operating Agreement. The parties requested that the Commission clarify the revisions in the SPP-Western JOA that SPP proposed in its contemporaneous compliance filing comply with the Commission's directive to amend the JOA to ensure that the third party rights and transmission service are not affected; and therefore it is not necessary to amend the JOA further to limit the applicability of Sections 5.4 - 5.6 to energy sourced within SPP or Western.</p> <p>On November 2, 2012, SPP, Western, Basin Electric, and Heartland filed a Motion for Leave to Answer and Answer to Requests for Clarification and Rehearing. The parties stated that the SPP-Western JOA:</p> <ol style="list-style-type: none"> 1) will have no impact on M2M coordination between SPP and the MISO.; 2) does not provide for compensation for loop flow and the Commission's Order does not contravene Commission precedent; and 3) does not provide for impermissibly vague charges for transmission service or unlawfully restrict transmission service within SPP. <p>On November 8, 2012, the MISO TOs filed a Protest in response to SPP's October 18, 2012 Compliance Filing. The MISO TOs stated:</p> <ol style="list-style-type: none"> 1) the Compliance Filing fails to comply with the September 18, 2012 Order; and 2) SPP's deficient Compliance Filing is not saved by the Petitioners' Request for Clarification. <p>On November 8, 2012, MISO filed a Protest in response to SPP's October 18, 2012 Compliance Filing. MISO requested that FERC find that SPP failed to comply fully with the September 18, 2012 Order and direct SPP to revise the JOA.</p>



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		<p>On November 19, 2012, FERC issued an Order Granting Rehearing for Further Consideration of the September 18, 2012 Order.</p> <p>On November 21, 2012, SPP, Western, Basin Electric, and Heartland filed an answer in response to protests filed by MISO and the MISO TOs on November 8, 2012. The parties stated:</p> <ol style="list-style-type: none"> 1) the proposed revisions to the SPP-Western JOA should be considered in light of the Request for Clarification; and 2) SPP's Compliance Filing is just and reasonable and MISO's proposed revisions to the SPP-Western JOA are not.
EL13-15	Southwestern Public Service Company ("SPS") Complaint Regarding SPP Zone 11 Rates	<p>On October 26, 2012, Xcel Energy Services Inc. ("Xcel"), on behalf of SPS, filed a complaint alleging that 1) the rates of SPP Zone 11 are unjust and unreasonable due to the inclusion of the costs of facilities of Tri-County Electric Cooperative, Inc. ("Tri-County"); and 2) SPP stands in violation of the terms of its Tariff because SPP has not made a determination that the Tri-County facilities are eligible to be included as Transmission Facilities in SPP rates pursuant to Attachment AI of SPP's Tariff. A refund effective date of April 1, 2012 was requested.</p> <p>On November 15, 2012, SPP filed an answer in response to the Complaint filed by Xcel on October 26, 2012. SPP stated:</p> <ol style="list-style-type: none"> 1) the Commission should dismiss the Complaint because SPP has no obligation under Attachment AI to determine whether Tri-County's facilities are transmission facilities; and 2) to the extent that the Commission does not dismiss the Complaint, the Commission should consolidate the Complaint with the ER12-959 proceedings. <p>On November 20, 2012, Tri-County filed a Motion to Intervene Out-of-Time and Comments. Tri-County stated that SPS' Complaint is an impermissible collateral attack against the Commission's orders and proceedings involving Tri-County's formula rate in Docket No. ER12-959 and against the approved processes for including new facilities as "Transmission Facilities" under the SPP Tariff.</p> <p>On November 30, 2012, Xcel filed an answer in response to SPP's November 15, 2012 Answer and Tri-County's November 20, 2012 Motion to Intervene Out-of-Time and Comments. Xcel stated:</p> <ol style="list-style-type: none"> 1) a refund effective date is required because the increased Zone 11 rates including the Tri-County costs are unjust and unreasonable; 2) SPP is incorrect that it had no duty to review Tri-County's facilities to determine if they were transmission facilities prior to filing the increased Zone 11 rates; and 3) contrary to Tri-County's comments, the SPS complaint is not a collateral attack.



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Docket Number	Short Description	Summary
EL13-35	Southwestern Public Service Company ("SPS") Complaint Requesting Establishment of a January 1, 2013 Refund Effective Date and a Finding from the Commission that SPP has Violated the Federal Power Act by Implementing a 40% Increase in the Tri-County Electric Cooperative, Inc. ("Tri-County") Annual Transmission Revenue Requirement	<p>On December 31, 2012, Xcel Energy Services Inc., on behalf of SPS, filed a Complaint requesting the establishment of a January 1, 2013 refund effective date and a finding from the Federal Energy Regulatory Commission that SPP has violated the Federal Power Act by implementing a 40% increase in the Tri-County Annual Transmission Revenue Requirement through an Annual Update under transmission formula rate protocols that are not just and reasonable, and do not afford customers an opportunity to recover refunds or be otherwise made whole. In addition, Xcel stated that SPP has failed to follow the requirement under the protocols that the transmission formula rate be approved before an Annual Update can be implemented.</p> <p>SPP's response to the Complainant is due on January 22, 2013.</p>



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Arkansas 04-137-U	SPP Application before the Arkansas Public Service Commission ("APSC") for a Certificate of Public Convenience and Necessity ("CCN")	<p>On December 10, 2012, the APSC issued Order No. 10, authorizing Southwestern Electric Power Company, Oklahoma Gas and Electric Company, and The Empire District Electric Company to participate in the SPP Integrated Marketplace.</p> <p>The Commission found that participation of the Utilities in the SPP Integrated Marketplace is in the public interest. The Commission also found that Condition Nos. 1, 2, 3(a), and 4 of Order No. 6 will no longer be necessary upon implementation of the Integrated Marketplace.</p>
Arkansas 10-011-U	In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement, or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets	<p>On October 26, 2012, the APSC issued Order No. 72, finding that EAI and the Midwest Independent Transmission System Operator, Inc. ("MISO") have either complied or substantially complied with the Order No. 68 conditions with the exception of Condition No. 13 with which MISO has sufficiently complied for the EAI/MISO integration process to move forward on a limited basis at this time.</p> <p>The Commission ordered:</p> <ol style="list-style-type: none"> 1) EAI's and MISO's separate Motions for Finding of Compliance are granted as clarified in the Order. Therefore, EAI is hereby authorized to sign the MISO Transmission Owners Agreement and move forward with the EAI/MISO integration process; 2) Final approval of EAI's Application will be held in abeyance pending satisfaction of the following directives; 3) MISO is directed to expeditiously file proof that MISO's governance proposals A and B have been officially approved and adopted; 4) Upon satisfactory compliance by MISO with the immediately preceding directive, the Commission will <ol style="list-style-type: none"> a) issue an order granting conditional approval of EAI's Application subject to EAI's and MISO's ongoing compliance with Order No. 68 Conditions as clarified by the Commission in Order No. 72; and b) issue an order establishing a procedural schedule for expedited consideration of MISO's Application for a Certificate of Convenience and Necessity currently pending in Docket No. 11-165-U; and 5) Given that EAI's and MISO's separate Alternative Petitions for Rehearing of Order No. 68 were pled only in the alternative if the Commission were to decide that EAI and MISO had not adequately complied with the Order No. 68 Conditions, and given the findings in Order No. 72 regarding EAI's and MISO's compliance with those conditions, the Commission finds that the Alternative Petitions for Rehearing are rendered moot by this Order. <p>On October 31, 2012, MISO filed a Motion for Finding of Compliance with the Requirements of Order No. 72. MISO submitted this filing as proof that MISO's Governance Proposals A and B have been approved and adopted by the appropriate MISO entities.</p> <p>On November 21, 2012, the APSC issued Order No. 74, finding that the Commission requires a higher</p>

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		<p>level of proof that MISO's governance Proposals A and B have been adopted.</p> <p>The Commission ordered that Dennis Kramer, in his official capacity as Chairman of the MISO Transmission Owners Committee, file sworn Compliance Testimony or an Affidavit affirmatively stating that the MISO Transmission Owners have "officially approved and adopted" the "Proposal for Enhanced OMS Authority for Determining Cost Allocation Methodologies to be Filed Pursuant to Section 205 of the Federal Power Act" as reflected in Exhibit B to Mr. Moeller's August 31, 2012 testimony.</p> <p>On November 26, 2012, the Arkansas Cities filed a Petition for Clarification or in the Alternative Rehearing of Conditions 10 and 16 of Order No. 72.</p> <p>On January 7, 2013, MISO filed a Motion for Finding of Compliance with the Requirements of Order No. 74. MISO stated that on January 4, 2013, MISO and a majority of its Transmission Owners jointly filed with FERC, in Docket No. ER13-708, revisions to Appendix K of the Agreement of Transmission Facilities Owners to Organize the Midwest Independent Transmission System Operator, Inc., a Delaware Non-Stock Corporation (Transmission Owners Agreement) as a result of a settlement among MISO and a majority of its Transmission Owners to incorporate the terms of the Governance Proposal into the Transmission Owners Agreement.</p> <p>Also on January 7, Clair Moeller filed Compliance Testimony on behalf of MISO, in order to provide additional evidence of MISO's compliance with Commission Order Nos. 72 and 74.</p>
Arkansas 10-074-U	In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Compatibility and Public Need for the Construction, Ownership, Operation and Maintenance of the Proposed Flint Creek to Shipe Road Project and Associated Facilities to be Located in Benton County, Arkansas	<p>On November 13, 2012, SWEPCO filed an Application for Amendment to the Certificate of Environmental Compatibility and Public Need. The approved route conflicts with the approach routes for the Crystal Lake Airport near Decatur, Arkansas. Therefore, SWEPCO proposed amendments to the route in its Amended Application.</p> <p>On December 7, 2012, the APSC issued Order No. 11, establishing the following procedural schedule.</p> <p>January 14, 2013 (by Noon) - Staff and Intervenor Testimony; January 24, 2013 (by Noon) - Rebuttal Testimony, Exhibits, or Documentary Evidence; January 31, 2013 (by Noon) - Surrebuttal Testimony, Exhibits, or Documentary Evidence; February 7, 2013 (by Noon) - Sur-surrebuttal Testimony, Exhibits, or Documentary Evidence; and February 21, 2013 - Hearing begins at 9:30 AM.</p> <p>On January 14, 2013, Intervenor and Staff filed Direct Testimony.</p>

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Louisiana – City of New Orleans UD-11-01	Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. (“ENO”) and Entergy Louisiana, LLC (“ELL”) (collectively “Entergy”) Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements	<p>On November 8, 2012, Entergy filed a Stipulation and Settlement Agreement between ENO, ELL, Midwest Independent Transmission System Operator, Inc., and the Advisors to the Council of the City of New Orleans.</p> <p>On November 15, 2012, the Council of the City of New Orleans adopted Resolution R-12-439, Resolution and Order Conditionally Approving ENO and ELL's Joint Application to Transfer Functional Control of Certain Transmission Assets to Midwest Independent Transmission System Operator, Inc. RTO.</p>
Mississippi 2011-UA-376	Joint Application of Entergy Mississippi, Inc. (“EMI”), and the Midwest Independent Transmission System Operator, Inc. (“MISO”), for Transfer of Functional Control of Entergy Mississippi's Transmission Facilities to MISO	<p>On October 19, 2012, R. Wayne Schug filed Supplemental Testimony and Exhibit on behalf of MISO.</p> <p>On October 19, 2012, the parties filed Briefs and Proposed Orders.</p> <p>On November 15, 2012, the MPSC issued an Order approving EMI's proposal to join MISO.</p>
Missouri EO-2012-0135	In the Matter of the Application of Kansas City Power & Light Company (“KCPL”) for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.	On October 5, 2012, Charles Locke and James Okenfuss filed Direct Testimony on behalf of KCPL.
Missouri EO-2012-0136	In the Matter of the Application of KCP&L Greater Missouri Operations Company (“KCPL-GMO”) for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.	On October 5, 2012, Charles Locke and James Okenfuss filed Direct Testimony on behalf of KCPL-GMO.
Texas 40346	Application of Entergy Texas, Inc. (“ETI”) for Approval to Transfer Operational Control of	On October 26, 2012, the PUCT issued an Order finding that ETI's Application, as modified by the Non-unanimous Stipulation and Settlement Agreement, and as further modified by this Order, is in the public interest and approving the proposed transfer of operational control to MISO in accordance with this Order.



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	its Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization ("RTO")	