

FERC EL13-15	Settlement Conference begins at 10 AM Eastern Time (Order Scheduling Settlement Conference issued on March 20, 2013)	5/2/2013
FERC EL13-35	Settlement Conference begins at 10 AM Eastern Time (Order Scheduling Settlement Conference issued on March 20, 2013)	5/2/2013
FERC RM05-5	Effective date of Order No. 676-G, Final Rule amending Commission regulations to incorporate by reference updated business practice standards adopted by the Wholesale Electric Quadrant of the North American Energy Standards Board to categorize various products and services for demand response and energy efficiency and to support the measurement and verification of these products and services in organized wholesale electric market (Order No. 676-G issued February 21, 2013)	5/6/2013
FERC ER13-878	Compliance Filing due to remove rejected tariff language (Order Accepting in Part and Rejecting in Part Proposed Tariff Revisions issued on April 5, 2013)	5/6/2013
State of LA - New Orleans UD-12-01	Advisor Direct Testimony due (Order issued April 5, 2013; Order issued March 22, 2013; Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	5/8/2013
FERC ER13-301	Compliance Filing due to revise the proposed formula rate and Protocols to state that no changes to the ratios used to establish rates under the SPP Tariff will take effect unless accepted for approval by this Commission pursuant to the Federal Power Act (Order issued on April 8, 2013)	5/8/2013
State of Missouri EO-2012-0136	Surrebuttal and Cross-Surrebuttal Testimony are due (Order Granting Motion to Alter Procedural Schedule issued April 25, 2013; Order Granting Motion for Extension of Time to File Testimony issued March 4, 2013; Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/9/2013
State of Missouri EO-2012-0135	Surrebuttal and Cross-Surrebuttal Testimony are due (Order Granting Motion to Alter Procedural Schedule issued April 25, 2013; Order Granting Motion for Extension of Time to File Testimony issued March 4, 2013; Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/9/2013
State of Missouri EO-2012-0136	Settlement Conference call (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/13/2013
State of Missouri EO-2012-0135	Settlement Conference call (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/13/2013
FERC RM11-12	Balancing Authorities and their Authority Services to implement the validation requirements of Order No. 771 (Order No. 771-A issued March 8, 2013)	5/13/2013

FERC RM12-19	Comments due in response to NOPR proposing to approve Reliability Standard MOD-028-2 pertaining to the information a transmission service provider must include when calculating Total Transfer Capability using the area interchange methodology for the on-peak and off-peak intra-day and next day time periods (Notice of Proposed Rulemaking issued March 21, 2013)	5/13/2013
FERC ER12-1179	SPP to file additional Informational Filing reporting on settlement discussions regarding grandfathered agreements	5/15/2013
FERC AD12-12	Each Regional Transmission Organization and Independent System Operator to appear before the Commission in order to share its experiences from the winter and spring. Each RTO and ISO should describe the progress it has made in refining existing practices to provide better coordination between the natural gas and electric industries and ensure adequate fuel supplies. This discussion also should address any natural gas transportation concerns that arise during the winter heating season and should identify any generator outages during the winter and spring that are fuel related (Order Directing Further Conferences and Reports issued November 15, 2012)	5/16/2013
FERC ER12-1772	Compliance Filing due to remove rejected language from Attachment O (Order Conditionally Accepting Compliance Filing issued on April 18, 2013)	5/20/2013
State of Missouri EO-2012-0136	List of Issues and Order of Issues/Witnesses are due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/21/2013
State of Missouri EO-2012-0135	List of Issues and Order of Issues/Witnesses are due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/21/2013
State of LA - New Orleans UD-12-01	Advisor and Intervenor Cross-Answering Testimony due (Order issued April 5, 2013; Order issued March 22, 2013; Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	5/22/2013
State of Missouri EO-2012-0136	Last day to serve discovery (Order Granting Motion to Alter Procedural Schedule issued April 25, 2013; Order Granting Motion for Extension of Time to File Testimony issued March 4, 2013; Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/22/2013
State of Missouri EO-2012-0135	Last day to serve discovery (Order Granting Motion to Alter Procedural Schedule issued April 25, 2013; Order Granting Motion for Extension of Time to File Testimony issued March 4, 2013; Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/22/2013
State of Missouri EO-2012-0136	Joint Stipulation of Facts is due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/23/2013

State of Missouri EO-2012-0135	Joint Stipulation of Facts is due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/23/2013
State of Missouri EO-2012-0136	Position Statements are due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/28/2013
State of Missouri EO-2012-0135	Position Statements are due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	5/28/2013
United States Court of Appeals 12-1232	Petitioner and Intervenor Briefs are due (Order issued by U.S. Court of Appeals on March 5, 2013)	5/28/2013
FERC ER13-469	Compliance Filing due to revise Appendix A, Section 2(j) of Generator Interconnection Agreement (Order Conditionally Accepting Generator Interconnection Agreement issued on April 26, 2013)	5/28/2013
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2013
FERC 10-1272	SPP's Annual State of the Market Report due at FERC	6/1/2013
FERC RM13-2	Comments due in response to NOPR proposing to revise the pro forma Small Generator Interconnection Procedures (SGIP) and pro forma Small Generator Interconnection Agreement (SGIA) originally set forth in Order No. 2006 (Notice of Proposed Rulemaking issued January 17, 2013)	6/3/2013
State of Missouri EO-2012-0136	Evidentiary Hearing (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	6/5/2013
State of Missouri EO-2012-0135	Evidentiary Hearing (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	6/5/2013
State of LA - New Orleans UD-12-01	Applicant Rebuttal Testimony due (Order issued April 5, 2013; Order issued March 22, 2013; Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	6/12/2013
FERC AD10-12	Technical Conference to be held June 24-26, 2013 to discuss opportunities for increasing real-time and day-ahead market efficiency through improved software (Notice of Technical Conference issued on March 22, 2013)	6/24/2013

FERC RM12-16	Comments due in response to NOPR regarding Generator Requirements at the Transmission Interface (Notice of Proposed Rulemaking issued April 18, 2013)	6/24/2013
FERC RM13-5	Comments due in response to NOPR regarding Version 5 Critical Infrastructure Protection Reliability Standards (Notice of Proposed Rulemaking issued April 18, 2013)	6/24/2013
State of LA - New Orleans UD-12-01	Advisor and Intervenor Surrebuttal Testimony due (Order issued April 5, 2013; Order issued March 22, 2013; Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	6/26/2013
FERC ER12-1179	SPP to file Consolidated Balancing Authority Area Agreement, a Phase 2 market-to-market mechanism for managing congestion, as well as a filing in compliance with Order No. 755 on operating reserves (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012)	6/30/2013
State of Missouri EO-2012-0136	Post-Hearing Briefs are due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	7/10/2013
State of Missouri EO-2012-0135	Post-Hearing Briefs are due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	7/10/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include an interregional transmission coordination procedure or procedures consistent with the requirements of Final Rule (Notice Granting an Extension of Time to Submit Interregional Compliance Filings issued February 26, 2013; Section IV.C. of Order No. 1000 issued July 21, 2011)	7/10/2013
FERC RM10-23	Compliance filing due to submit revised Attachment K of the pro forma OATT and any other Commission jurisdictional documents to include a cost allocation method or methods for interregional cost allocation consistent with the principles of Final Rule (Notice Granting an Extension of Time to Submit Interregional Compliance Filings issued February 26, 2013; Section IV.D. of Order No. 1000 issued July 21, 2011)	7/10/2013
State of LA - New Orleans UD-12-01	Applicant Rejoinder Testimony due (Order issued April 5, 2013; Order issued March 22, 2013; Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	7/10/2013
State of LA - New Orleans UD-12-01	Evidentiary Hearing begins (Resolution R-12-390, Resolution and Order Establishing Procedural Schedule, issued October 18, 2012)	7/23/2013
State of Missouri EO-2012-0136	Reply Briefs are due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	7/31/2013

State of Missouri EO-2012-0135	Reply Briefs are due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	7/31/2013
State of Missouri EO-2012-0136	Proposed Findings of Fact and Conclusions of Law are due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	8/2/2013
State of Missouri EO-2012-0135	Proposed Findings of Fact and Conclusions of Law are due (Order Granting Motion to Consolidate and Setting Joint Proposed Procedural Schedule issued January 17, 2013)	8/2/2013
FERC ER06-451	SPP Demand Response Status Report Due	9/1/2013
United States Court of Appeals 12-1232	Respondents's Brief is due (Order issued by U.S. Court of Appeals on March 5, 2013)	9/25/2013
State of Missouri EO-2009-0179	KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (January 27, 2009 Stipulation and Agreement approved by MoPSC on February 4, 2009)	9/30/2013
State of Missouri EO-2006-0142	Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	10/1/2013
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2013
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2013
United States Court of Appeals 12-1232	Intervenors in Support of Respondent Briefs are due (Order issued by U.S. Court of Appeals on March 5, 2013)	10/16/2013
FERC AD12-12	Each Regional Transmission Organization and Independent System Operator to appear before the Commission in order to share its experiences from the summer and fall. Each RTO and ISO should describe the progress it has made in refining existing practices to provide better coordination between the natural gas and electric industries and ensure adequate fuel supplies. This discussion also should address any natural gas transportation concerns that arise during the winter heating season and should identify any generator outages during the winter and spring that are fuel related (Order Directing Further Conferences and Reports issued November 15, 2012)	10/17/2013
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2013

FERC RM10-11	Order No. 764 Compliance Filing due to 1) offer intra-hourly transmission scheduling; 2) incorporate provisions into the pro forma Large Generator Interconnection Agreement requiring interconnection customers whose generating facilities are Variable Energy Resources (VERs) to provide meteorological and forced outage data to the public utility transmission provider for the purpose of power production forecasting (Order on Rehearing and Clarification and Granting Motion for Extension of Time issued December 20, 2012; Final Rule issued June 21, 2012)	11/12/2013
United States Court of Appeals 12-1232	Reply Briefs are due (Order issued by U.S. Court of Appeals on March 5, 2013)	11/15/2013
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file Interim Report regarding LCEC's continued participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2013
FERC RM05-5	Each RTO/ISO to revise its Tariff to include the NAESB Energy Efficiency and Phase II Demand Response M&V Standards. For standards that do not require implementing tariff provisions, the Commission will allow the RTO/ISO to incorporate WEQ standards by reference in its Tariff (Order No. 676-G issued February 21, 2013)	12/31/2013
State of Missouri EO-2012-0269	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
State of Missouri EO-2006-0141	Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (February 24, 2006 Stipulation and Agreement approved by MoPSC on July 13, 2006)	2/1/2014
FERC RM10-12	Commission staff to file a Status Report concerning the use of e-Tag ID data in Electric Quarterly Reports (Order Partially Extending Compliance Effective Date issued February 8, 2013)	2/8/2014
FERC ES12-20	Authorization to issue \$125 million in promissory notes expires (April 2, 2012 Letter Order)	4/2/2014
State of Arkansas 12-009-U	Authorization to issue \$125 million in promissory notes expires (Order No. 2 issued April 12, 2012)	4/2/2014
FERC 10-1178	FERC Form 582 due	4/30/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	6/1/2014

State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2014
FERC ER12-1179	SPP's Compliance Filing due 180 days after the commencement of the Integrated Marketplace to establish long-term firm transmission rights pursuant to Order No. 681. Provided the Integrated Marketplace is implemented on March 1, 2014, SPP's compliance filing will be due by August 28, 2014 (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2014)	8/28/2014
FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2014
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2014
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2014
State of New Mexico 07-00390-UT	Southwestern Public Service Company's Interim Period ends (participation in SPP RTO) (September 17, 2009 Uncontested Stipulation; February 2, 2010 Final Order Approving Certification of Stipulation)	2/2/2015
FERC 10-1178	FERC Form 582 due	4/30/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative, Inc. to file its Annual Report on or before June 1 in relation to participation in the SPP RTO (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	6/1/2015
FERC ER12-1179	Within 15 months after the commencement of the Integrated Marketplace, FERC directed SPP to file a compliance filing to either revise the bid limit in its Tariff to a reasonable level or provide justification for retaining the current level based upon its experience. Provided the Integrated Marketplace is implemented on March 1, 2014, SPP's compliance filing will be due by June 1, 2015 (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012)	6/1/2015
FERC ER12-1179	Within 15 months after the commencement of the Integrated Marketplace, FERC directed SPP to make an informational filing evaluating certain elements, such as virtual transactions and the make whole payment proposal (Order Conditionally Accepting Tariff Revisions to Establish Energy Markets issued October 18, 2012)	6/1/2015



Regulatory Outlook

FERC 09-1029	Offer Cap Filing due (annual filing) (Docket number TBD)	10/15/2015
FERC ER05-652	File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order)	10/15/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2015
State of New Mexico 10-00143-UT	Lea County Electric Cooperative Inc.'s Interim Period ends (participation in SPP RTO) (October 1, 2010 Uncontested Stipulation; December 16, 2010 Final Order Adopting Certification of Stipulation)	12/16/2015
FERC ER08-1338	SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1	11/1/2016
