

FERC or State Jurisdiction: FERC

10-1178 SPP Filing of FERC Report 582 for Computation of FERC Electric Annual Charges Assessed to Public Utilities

On April 9, 2013, SPP submitted its FERC Reporting Requirement No. 582 for the year 2012, indicating transmission service of 230,226,648 MWh.

10-1227 SPP Filing of FERC Form 1 and 3-Q

On April 18, 2013, SPP filed FERC Form No. 1, Annual Report of Major Electric Utilities, for the fourth quarter ending 2012.

On May 30, 2013, SPP submitted its FERC Form 3-Q, Quarterly Financial Report, for the first quarter of 2013.

10-1272 SPP's Annual RTO/ISO Market Monitoring Report

On May 17, 2013, SPP submitted its RTO/ISO Market Monitoring Report for 2012 in Docket No. ZZ13-4. The report was published May 17, 2013.

10-1273 SPP's CPA Certification Statement

On May 13, 2013, in Docket No. ZZ13-1, SPP submitted its CPA Certification Statement for its 2013 FERC Form 1 submission.

11-2042 SPP Filing of Annual Electric Balancing Authority Area and Planning Area Report (FERC Form No. 714)

On May 30, 2013, SPP submitted its FERC Form 714, Annual Electric Balancing Authority Area and Planning Area Report, for the year ending December 31, 2012.

AD10-12 Increasing Market and Planning Efficiency through Improved Software

On May 20, 2013, FERC issued a Supplemental Agenda Notice for the technical conference to be held on June 24-26, 2013.

A technical conference was held on June 24-26, 2013 to discuss opportunities for increasing real-time and day-ahead market efficiency through improved software.

AD12-12 Coordination between Natural Gas and Electricity Markets

On April 3, 2013, FERC issued a Supplemental Notice of Technical Conference, attaching the agenda for the conference to be held on April 25, 2013.

On April 19, 2013, Arizona Public Service Company filed Comments in advance of the April 25, 2013 Technical Conference.

APS requested that the Commission 1) add additional intra-day natural gas nomination cycles to its minimum requirements, 2) modify its "no-bumping" policy to take into consideration new technologies currently in use, 3) issue a policy statement to enhance the efficiency and reliability of the natural gas and electric pre-schedule calendars, and 4) support the long-term availability and reliability of natural gas through considerations of electric policies that support firm transportation service.

Regulatory Status Report

On April 25, 2013, a technical conference was held to discuss natural gas and electric scheduling, and issues related to whether and how natural gas and electric industry schedules could be harmonized in order to achieve the most efficient scheduling systems for both industries.

On May 9, 2013, FERC issued a Notice of Commission Meeting to be held on May 16, 2013.

On May 13, 2013, NRG Energy, Inc. filed comments in response to the April 25, 2013 Technical Conference.

On May 15, 2013, Mark B. Lively filed questions he would like addressed at the May 16, 2013 Commission meeting.

On May 16, 2013, each Regional Transmission Organization and Independent System Operator appeared before the Commission in order to share its experiences from the winter and spring and describe progress made in refining existing practices to provide better coordination between the natural gas and electric industries and ensure adequate fuel supplies.

On June 4, 2013, FERC submitted follow-up questions regarding SPP's presentation to the Commission on May 16, 2013. SPP's response is due on July 5, 2013.

On June 4, 2013, FERC submitted follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On June 26, 2013, The Interstate Natural Gas Association of America filed Comments in response to the February 13 and April 25, 2013 technical conferences.

On June 28, 2013, the New York Independent System Operator, Inc. filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

AD12-16

Capacity Deliverability Across the Midwest Independent Transmission System Operator, Inc. ("MISO")/PJM Interconnection, L.L.C. ("PJM") Seam

On April 2, 2013, FERC issued an Order Directing Presentations, directing MISO and PJM to make presentations to the Commission during an upcoming Commission meeting on: 1) the progress of their efforts to address whether existing market rules and operating protocols concerning the transfer of capacity between MISO and PJM act as barriers to the delivery of generation capacity between those markets; 2) the status of any remaining barriers to the transfer of capacity between those markets; and 3) the measures that the Commission should take to address any such barriers that may exist.

FERC also invited the Organization of MISO States, the Organization of PJM States, INC. and the market monitors for MISO and PJM to make presentations.

Once the schedule for presentations is established, FERC will issue a Notice.

On June 13, 2013, the Organization of PJM States, Inc. and the Organization of MISO States filed Joint Comments regarding the issue of capacity deliverability issue.

Presentations were made before the Commission on June 20, 2013.

AD13-6

Reliability Technical Conference

On May 7, 2013, FERC issued a Notice of Technical Conference to be held on July 9, 2013.

Regulatory Status Report

The purpose of this conference is to discuss policy issues related to the reliability of the Bulk-Power System.

On June 19, 2013, FERC issued the Agenda for the Reliability Conference to be held on July 9, 2013.

AD13-7 Centralized Capacity Markets in Regional Transmission Organizations and Independent System Operators

On June 17, 2013, FERC issue a Notice of Technical Conference to be held on September 25, 2013 to consider how current centralized capacity market rules and structures are supporting the procurement and retention of resources necessary to meet future reliability and operational needs.

CE12-89.002 Jing Gu, et al.'s Request for Critical Energy Infrastructure Information - EDF Trading North America (Additional Requesters)

On June 24, 2013, Arun Eamani, VP, Nodal Power Trading for EDF Trading North America, LLC, requested that three additional employees be added to the initial request in CE12-89 for a copy of all FERC Form No. 715 data.

CE12-106.001 Denise Benoit, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc.

FERC granted approval for these four additional requesters on April 18, 2013.

CE12-126 Ching Yee Janny Chen-Read, et al.'s Request for Critical Energy Infrastructure Information - Siemens Industry, Inc.

FERC issued a Notice of Intent to Release on June 11, 2013.

CE12-126.001 John Hanson's Request for Critical Energy Infrastructure Information - Siemens Industry, Inc. (Additional Requester)

FERC issued a Notice of Intent to Release on June 11, 2013.

CE12-126.002 Essam Abdel-Aziz, et al.'s Request for Critical Energy Infrastructure Information - Siemens Industry, Inc. (Additional Requesters)

FERC issued a Notice of Intent to Release on June 11, 2013.

CE13-39 Pablo Ruiz' Request for Critical Energy Infrastructure Information - The Brattle Group

FERC issued a Notice of Intent to Release on May 1, 2013.

CE13-39.001 Bruce Tsuchida, et al.'s Request for Critical Energy Infrastructure Information - The Brattle Group (Additional Requesters)

FERC issued a Notice of Intent to Release on May 1, 2013.

CE13-40.001 Marcel de Hoog, et al's Request for Critical Energy Infrastructure Information - Vitol, Inc. (Additional Requesters)

Kenney Kam, Compliance Officer with Vitol, Inc. has requested that eleven additional Vitol, Inc. employees be considered and included with the initial request in CE13-40 for a copy of all

Regulatory Status Report

FERC Form No. 715 data, as noticed April 5, 2013.

On April 11, 2013, SPP submitted comments on this request.

CE13-50 Kaiyan Jin's Request for Critical Energy Infrastructure Information - IDIS, LLC

FERC issued a Notice of Intent to Release on April 8, 2013.

CE13-59 Devrim Albuz, et al.'s Request for Critical Energy Infrastructure Information - Entergy Wholesale Commodities

FERC issued a Notice of Intent to Release on May 8, 2013.

CE13-61 Andrew Foss' Request for Critical Energy Infrastructure Information - NERA Economic Consulting

FERC issued a Notice of Intent to Release on June 10, 2013.

CE13-76 J. Eduardo Cotilla-Sanchez' Request for Critical Energy Infrastructure Information - Oregon State University

Comments on this request were due April 1, 2013.

CE13-78 Ann Sacra's Request for Critical Energy Infrastructure Information - Nodal Exchange, LLC

Comments on this request were due April 1, 2013.

FERC issued a Notice of Intent to Release on May 1, 2013.

CE13-78.001 Ann Sacra's Request for Critical Energy Infrastructure Information - Nodal Exchange, LLC

Ann Sacra, President/COO with Nodal Exchange, LLC has requested that seven additional Nodal Exchange, LLC employees be considered and included with the initial request in CE13-78 for a copy of all FERC Form No. 715 data, as noticed April 5, 2013.

On April 11, 2013, SPP submitted comments on this request.

FERC issued a Notice of Intent to Release on May 1, 2013.

CE13-80 Abhijeet Karmakar, et al.'s Request for Critical Energy Infrastructure Information - PwrSolutions, Inc.

Comments on this request were due April 1, 2013.

CE13-85 Sunil Nair's Request for Critical Infrastructure Information - SAIC

On April 1, 2013, Sunil Nair, Senior Energy Consultant of SAIC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed April 12, 2013.

Comments on this request were due April 19, 2013.

Regulatory Status Report

- CE13-86** **Manos Obessis, et al.'s Request for Critical Energy Infrastructure Information - PowerGEM, LLC**
- On April 1, 2013, Manos Obessis, Vice President of PowerGEM, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed April 12, 2013.
- On April 19, 2013, SPP submitted comments on this request.
- FERC issued a Notice of Intent to Release on May 7, 2013.
- CE13-87** **Martin Baughman's Request for Critical Infrastructure Information**
- On April 2, 2013, Martin Baughman, Consultant, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed April 12, 2013.
- Comments on this request were due April 19, 2013.
- CE13-90** **Mohamed El-Gassier, et al.'s Request for Critical Energy Infrastructure Information - Atlantic Grid Development Company, LLC**
- On March 26, 2013, Mohamed El-Gassier, Principal of Atlantic Grid Development Company, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed April 19, 2013.
- On April 22, 2013, SPP submitted comments on this request.
- FERC issued a Notice of Intent to Release on May 8, 2013.
- CE13-96** **Amy Miller's Request for Critical Energy Infrastructure Information - Ameren Services**
- On April 11, 2013, Amy Miller, Commercial Market Affairs Executive of Ameren Services, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed April 17, 2013.
- On April 24, 2013, SPP submitted comments on this request.
- CE13-98** **Pavel Darling, et al.'s Request for Critical Energy Infrastructure Information - Analysis Group, Inc.**
- On April 18, 2013, Pavel Darling, Manager at the Analysis Group, Inc., filed a FERC CEII request on behalf of himself and twenty-six additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed April 29, 2013.
- On May 6, 2013, SPP submitted comments on this request.
- CE13-99** **Bradley Bell's Request for Critical Energy Infrastructure Information - E.ON Climate & Renewables North America**
- On April 15, 2013, Bradley Bell, Transmission Manager of E.ON Climate & Renewables North America, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed April 22, 2013.
- On April 29, 2013, SPP submitted comments on this request.

Regulatory Status Report

FERC issued a Notice of Intent to Release on May 17, 2013.

CE13-101 Eugene Preston's Request for Critical Infrastructure Information - Apex Wind Energy, Inc.

On April 22, 2013, Eugene Preston, CEO of Apex Wind Energy, Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed May 1, 2013.

Comments on this request were due May 8, 2013.

CE13-102 Christopher Fecke-Stoudt, et al.'s Request for Critical Infrastructure Information - K.R. Saline & Associates, PLC

On April 23, 2013, Christopher Fecke-Stoudt, Consultant of K.R. Saline & Associates, PLC, filed a FERC CEII request on behalf of himself and six additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed May 2, 2013.

Comments on this request were due May 9, 2013.

CE13-103 William Babcock's Request for Critical Infrastructure Information - PA Consulting Group

On April 26, 2013, William Babcock, Managing Consultant of PA Consulting Group, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed May 1, 2013.

Comments on this request were due May 8, 2013.

CE13-103.001 Michelle Arawwawela, et al.'s Request for Critical Infrastructure Information - PA Consulting Group (Additional Requesters)

On June 10, 2012, William Babcock, Managing Consultant of PA Consulting Group, requested that four additional requesters be considered and included with his initial request in CE13-103, as noticed June 12, 2013.

On June 17, 2013, SPP submitted comments on this request.

CE13-104 Steven Brodsky's Request for Critical Infrastructure Information - PA Consulting Group

On April 23, 2013, Steven Brodsky, Managing Consultant of PA Consulting Group, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed May 2, 2013.

Comments on this request were due May 9, 2013.

CE13-105 Charles Askey's Request for Critical Infrastructure Information - Pike Energy Solutions

On April 25, 2013, Charles Askey, Director, System Planning & Siting of Pike Energy Solutions, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed May 7, 2013.

Comments on this request were due May 14, 2013.

CE13-106 Stan Lee's Request for Critical Infrastructure Information - Navigant Consulting, Inc.

On May 1, 2013, Stan Lee, Managing Consultant of Navigant Consulting, Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed May 10, 2013.

Regulatory Status Report

Comments on this request were due May 17, 2013.

CE13-107

Wells Casey Jacobson, et al.'s Request for Critical Infrastructure Information - AES Energy Storage LLC

On May 1, 2013, Wells Casey Jacobson, Solution Development Engineer of AES Energy Storage LLC, filed a FERC CEII request on behalf of himself and eight additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed May 7, 2013.

Comments on this request were due May 14, 2013.

CE13-110

Casey Roberts' Request for Critical Energy Infrastructure Information - Sierra Club

On May 10, 2013, Casey Roberts, Associate Attorney for the Sierra Club, filed a FERC CEII request to obtain a copy of 2010-2012 FERC Form No. 715 data for Cleco Power LLC and Entergy Arkansas, respectively, as noticed May 30, 2013.

On June 5, 2013, SPP submitted comments on this request.

CE13-111

Rajat Deb et al.'s Request for Critical Infrastructure Information - LCG Consulting

On May 10, 2013, Rajat Deb, President of LCG Consulting, filed a FERC CEII request on behalf of himself and ten additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed May 21, 2013.

On June 3, 2013, SPP submitted comments on this request.

CE13-112

Donnavan Leavitt, et al.'s Request for Critical Energy Infrastructure Information - EPIS, Inc.

On April 15, 2013, Donnavan Leavitt, Assistant Vice President - Market Intelligence for EPIS, Inc., filed a FERC CEII request on behalf of himself and twenty-eight additional requesters to obtain a copy of all 2013 FERC Form No. 715 data, as noticed May 30, 2013.

On June 5, 2013, SPP submitted comments on this request.

CE13-114

Sheryll Harrold, et al.'s Request for Critical Energy Infrastructure Information - Downes Associates, Inc.

On May 16, 2013, Sheryll Harrold, Office Manager of Downes Associates, Inc., filed a FERC CEII request on behalf of herself and thirteen additional requesters to obtain a copy of all 2012 FERC Form No. 715 data, as noticed May 30, 2013.

On June 5, 2013, SPP submitted comments on this request.

CE13-115

Teresa Ives, et al.'s Request for Critical Infrastructure Information - Electric Power Engineers, Inc.

On May 16, 2013, Teresa Ives, CAD/GIS Manager of Electric Power Engineers, Inc., filed a FERC CEII request on behalf of herself and three additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed May 21, 2013.

On June 3, 2013, SPP submitted comments on this request.

Regulatory Status Report

- CE13-116** **Joshua York's Request for Critical Infrastructure Information - LS Power Development**
- On May 16, 2013, Joshua York, Associate Manager of LS Power Development, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed May 31, 2013.
- On June 7, 2013, SPP submitted comments on this request.
- CE13-119** **Judah Rose, et al.'s Request for Critical Infrastructure Information - ICF International, Inc.**
- On May 10, 2013, Judah Rose, Senior Vice President of ICF International, filed a FERC CEII request on behalf of himself and sixteen additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed May 22, 2013.
- On June 3, 2013, SPP submitted comments on this request.
- CE13-120** **Pierre Tournois, et al.'s Request for Critical Infrastructure Information - Durable Electric Power, LLC**
- On May 21, 2013, Pierre Tournois, President of Durable Electric Power, LLC, filed a FERC CEII request on behalf of himself and three additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed May 31, 2013.
- On June 7, 2013, SPP submitted comments on this request.
- CE13-122** **Pablo Ruiz, et al.'s Request for Critical Energy Infrastructure Information - The Brattle Group**
- On May 21, 2013, Pablo Ruiz, Senior Associate of The Brattle Group, filed a FERC CEII request on behalf of himself and twenty-two additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed June 14, 2013.
- On June 21, 2013, SPP submitted comments on this request.
- CE13-125** **Archie Richardson's Request for Critical Infrastructure Information - Power Engineers, Inc.**
- On May 24, 2013, Archie Richardson, Senior Consultant II of Power Engineers, Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed June 3, 2013.
- On June 10, 2013, SPP submitted comments on this request.
- CE13-126** **Neil Huber, et al.'s Request for Critical Energy Infrastructure Information - XO Energy, LLC**
- On May 28, 2013, Neil Huber, Energy Analyst for XO Energy, LLC, filed a FERC CEII request on behalf of himself and nineteen additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed June 12, 2013.
- On June 17, 2013, SPP submitted comments on this request.
- CE13-130** **Randell Johnson's Request for Critical Energy Infrastructure Information - Energy Exemplar, LLC**
- On May 30, 2013, Randell Johnson, Regional Director, East Cost, of Energy Exemplar, LLC,

Regulatory Status Report

filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed June 21, 2013.

On July 1, 2013, SPP submitted comments on this request.

CE13-131

Kaiyan Jin, et al.'s Request for Critical Energy Infrastructure Information - EDF Trading North America

On June 11, 2013, Kaiyan Jin, a Nodal Power Trader for EDF Trading North America, filed a FERC CEII request on behalf of himself and two additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed June 13, 2013.

On June 20, 2013, SPP submitted comments on this request.

CE13-133

Michael Kim's Request for Critical Energy Infrastructure Information - Iberdrola Renewables

On June 10, 2013, Michael Kim, Principal, ISO Markets for Iberdrola Renewables, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed June 14, 2013.

On June 14, 2013, SPP submitted comments on this request.

CE13-134

Adam Mummert, et al.'s Request for Critical Energy Infrastructure Information - Burns & McDonnell, Inc.

On June 14, 2013, Adam Mummert, Electrical Engineer of Burns & McDonnell, Inc., filed a FERC CEII request on behalf of himself and twenty-five additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed June 24, 2013.

CE13-135

Leah Swan's Request for Critical Energy Infrastructure Information - Energy Acuity, LLC

On June 14, 2013, Leah Swan, Research Analyst for Energy Acuity, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed June 17, 2013.

On June 24, 2013, SPP submitted comments on this request.

CE13-137

Mark Mirabito's Request for Critical Energy Infrastructure Information - NTE Solutions

On June 14, 2013, Mark Mirabito, Principal of NTE Solutions, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed June 27, 2013.

EC13-100

Southwestern Public Service Company ("SPS") Application for Authorization Under Section 203 of the Federal Power Act for Disposition of Jurisdictional Facilities

On April 29, 2013, Southwestern Public Service Company filed an Application for Authorization Under Section 203 of the Federal Power Act for Disposition of Jurisdictional Facilities. SPS requested authorization for a transaction in which SPS will sell approximately 66.5 miles of 345 kV transmission lines, two substations, and other jurisdictional transmission facilities, all of which are located in the State of Texas, to Sharyland Distribution & Transmission Services, L.L.C.

On May 20, 2013, SPP filed a Motion to Intervene and Comments.

On May 20, 2013, American Electric Power Service Corporation and Golden Spread Electric Cooperative, Inc. filed Motions to Intervene.

Regulatory Status Report

On June 4, 2013, Southwestern Public Service Company filed a Motion for Leave to Answer and Answer in response to SPP's May 20, 2013 Motion to Intervene and Comments.

SPS stated:

- 1) SPP takes no position on the transaction that is subject of the Application and does not contest any aspect of the Application or SPS' showing that the transaction is consistent with the public interest; and
- 2) SPP's statement that certain studies should be undertaken does not allege or establish that the transaction fails to meet the requirements of Section 203 of the Federal Power Act and relates to issues that are either resolved in the Application or beyond the scope of this proceeding.

EL12-47

Investigation Under Section 206 of the Federal Power Act to Determine the Justness and Reasonableness of the Rate Reduction in the Service Agreement Filed in Docket No. ER12-891

On April 11, 2013, Settlement Judge Cintron filed a Settlement Judge Report to the Commission and Chief Judge recommending that settlement procedures continue.

On April 24, 2013, Westar Energy, Inc. filed an Unopposed Motion for Expedited Authorization to Implement Interim Rates.

Westar requested that the Commission authorize Westar and SPP to implement the interim rates effective May 1, 2013, and ending on the effective date of the Settlement if the Settlement is accepted or approved by the Commission or the date the Commission disapproves the Settlement.

Westar requested a shortened two-day comment period for responses to this Motion. Westar requested that FERC issue an order by April 29, 2013 on this Motion.

On April 24, 2013, FERC issued an Order of Chief Judge Shortening Period for Answers to 11 AM on April 26, 2013.

On April 26, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief. Westar Energy, Inc. was authorized to institute interim rates found in Attachment A of the Unopposed Motion for Expedited Authorization to Implement Interim Rates filed on April 24, 2013, effective May 1, 2013, and shall remain in effect pending filing and Commission approval of the final Settlement.

This Order terminates Docket Nos. ER12-891-002, EL12-47-001, ER12-1017-001, ER12-1018-001, EL12-51-001, ER12-909-001 and ER13-178-001.

On May 3, 2013, Westar Energy, Inc. filed a Settlement Agreement in Docket Nos. ER12-891, EL12-47, EL12-1017, ER12-1018, EL12-51, ER09-909, and ER13-178.

On May 3, 2013, FERC issued an Order of Chief Judge Shortening Time to File Comments in response to the Settlement Agreement. Initial comments are due on May 10, 2013, and reply comments are due on May 15, 2013.

On May 15, 2013, Commission Trial Staff filed Comments Supporting Uncontested Settlement, Requesting Leave to File Out of Time, and Waiver of Reply Comments.

On May 16, 2013, FERC issued an Order of Chief Judge Accepting Commission Trial Staff's

Regulatory Status Report

Late-Filed Settlement Comments and Waiving Period for Reply Comments.

On May 21, 2013, FERC issued a Certification of Uncontested Settlement.

On May 30, 2013, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

On June 27, 2013, FERC issued an order approving the Settlement Agreement filed on May 3, 2013.

FERC accepted the proposed revisions to Westar Energy, Inc.'s Tariff sections 15.7 and 28.5. FERC also accepted the revisions to Attachment M of SPP's Tariff. The proposed revisions to Appendix 3 of the Network Integration Transmission Service Agreements for Doniphan Electric Cooperative, Kaw Valley Electric Cooperative, Inc., and Nemaha-Marshall Electric Cooperative were accepted. These changes are accepted effective June 27, 2013.

Refund and adjustments shall be made pursuant to the Settlement.

This letter order terminates Docket Nos. ER12-891-000, EL12-47-000, ER12-909-000, ER12-909-002, ER12-1017-000, ER12-1018-000, EL12-51-000, and ER13-178-000.

EL12-51

Investigation Under Section 206 of the Federal Power Act to Determine the Justness and Reasonableness of the Rate Reduction in the Service Agreement Filed in Docket Nos. ER12-1017 and ER12-1018

On April 11, 2013, Settlement Judge Cintron filed a Settlement Judge Report to the Commission and Chief Judge recommending that settlement procedures continue.

On April 24, 2013, Westar Energy, Inc. filed an Unopposed Motion for Expedited Authorization to Implement Interim Rates.

Westar requested that the Commission authorize Westar and SPP to implement the interim rates effective May 1, 2013, and ending on the effective date of the Settlement if the Settlement is accepted or approved by the Commission or the date the Commission disapproves the Settlement.

Westar requested a shortened two-day comment period for responses to this Motion. Westar requested that FERC issue an order by April 29, 2013 on this Motion.

On April 24, 2013, FERC issued an Order of Chief Judge Shortening Period for Answers to 11 AM on April 26, 2013.

On April 26, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief. Westar Energy, Inc. was authorized to institute interim rates found in Attachment A of the Unopposed Motion for Expedited Authorization to Implement Interim Rates filed on April 24, 2013, effective May 1, 2013, and shall remain in effect pending filing and Commission approval of the final Settlement.

This Order terminates Docket Nos. ER12-891-002, EL12-47-001, ER12-1017-001, ER12-1018-001, EL12-51-001, ER12-909-001 and ER13-178-001.

On May 3, 2013, Westar Energy, Inc. filed a Settlement Agreement in Docket Nos. ER12-891, EL12-47, EL12-1017, ER12-1018, EL12-51, ER09-909, and ER13-178.

Regulatory Status Report

On May 3, 2013, FERC issued an Order of Chief Judge Shortening Time to File Comments in response to the Settlement Agreement. Initial comments are due on May 10, 2013, and reply comments are due on May 15, 2013.

On May 15, 2013, Commission Trial Staff filed Comments Supporting Uncontested Settlement, Requesting Leave to File Out of Time, and Waiver of Reply Comments.

On May 16, 2013, FERC issued an Order of Chief Judge Accepting Commission Trial Staff's Late-Filed Settlement Comments and Waiving Period for Reply Comments.

On May 21, 2013, FERC issued a Certification of Uncontested Settlement.

On May 30, 2013, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

On June 27, 2013, FERC issued an order approving the Settlement Agreement filed on May 3, 2013.

FERC accepted the proposed revisions to Westar Energy, Inc.'s Tariff sections 15.7 and 28.5. FERC also accepted the revisions to Attachment M of SPP's Tariff. The proposed revisions to Appendix 3 of the Network Integration Transmission Service Agreements for Doniphan Electric Cooperative, Kaw Valley Electric Cooperative, Inc., and Nemaha-Marshall Electric Cooperative were accepted. These changes are accepted effective June 27, 2013.

Refund and adjustments shall be made pursuant to the Settlement.

This letter order terminates Docket Nos. ER12-891-000, EL12-47-000, ER12-909-000, ER12-909-002, ER12-1017-000, ER12-1018-000, EL12-51-000, and ER13-178-000.

EL13-15

Southwestern Public Service Company ("SPS") Complaint Seeking a Finding that the Rates in SPP Zone 11 are Unjust and Unreasonable due to the Inclusion of the Costs of Facilities of Tri-County Electric Cooperative, Inc. ("Tri-County")

On April 9, 2013, SPP filed a Motion for Leave to Answer and Answer in response to requests for rehearing and clarification filed on March 25, 2013.

SPP stated:

- 1) the rates at issue in this consolidated proceeding are those of Tri-County and not SPP;
- 2) the Commission should not order SPP to refund the Tri-County rate collected for the period of April 1, 2012 through February 21, 2013; and
- 3) the Commission intended for SPP to charge the Tri-County rates through February 21, 2013.

On April 9, 2013, Xcel Energy Services Inc., Occidental and the New Mexico Cooperatives filed an answer in response to SPP's Request for Clarification or, in the Alternative, Rehearing of the February 21, 2013 Orders filed on March 25, 2013.

The Parties stated:

- 1) the Commission set for hearing and settlement procedures the issue of whether refunds are due to SPP Zone 11 ratepayers before February 22, 2013 for violations of the SPP Tariff, irrespective of the refund effective date; and
- 2) the Commission has the authority to order SPP to pay refunds to SPP Zone 11 ratepayers for rates determined by the Commission to be unjust and unreasonable.

Regulatory Status Report

On April 22, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the February 21, 2013 Order.

On April 22, 2013, Occidental and the New Mexico Cooperatives filed an answer in opposition of SPP's April 9, 2013 Answer.

The parties stated the Commission should:

- 1) deny SPP's Motion for Leave to Answer and Answer in Parts II.A and II.B of SPP's Answer as an inappropriate answer to requests for rehearing; and
- 2) reject in part II.C of SPP's Answer as an untimely request for rehearing.

On April 24, 2013, Xcel Energy Services Inc. filed an answer in response to SPP's April 9, 2013 Answer.

Xcel stated:

- 1) SPP's citation to Section 3.10 of the Membership Agreement does not support its assertion that the rates at issue are not SPP rates; and
- 2) SPP's plea for no refund obligation should be rejected as a matter of policy.

A formal settlement conference was held on May 2, 2013.

On May 20, 2013, Settlement Judge Young filed a Settlement Judge Report to the Commission and Chief Judge. A formal settlement conference was held on May 2, 2013. Additional information was exchanged on May 10, 2013. A conference call to discuss the May 10, 2013 information was conducted on May 16, 2013. Proposed formula rate tariff language exchange is scheduled for May 24, 2013, and a conference call to discuss the tariff language proposals is scheduled for June 6, 2013.

A conference call was held on June 6, 2013.

EL13-35

Southwestern Public Service Company ("SPS") Complaint Requesting Establishment of a January 1, 2013 Refund Effective Date and a Finding from the Commission that SPP has Violated the Federal Power Act by Implementing a 40% Increase in the Tri-County Electric Cooperative, Inc. ("Tri-County") Annual Transmission Revenue Requirement

On April 9, 2013, Xcel Energy Services Inc., Occidental and the New Mexico Cooperatives filed an answer in response to SPP's Request for Clarification or, in the Alternative, Rehearing of the February 21, 2013 Orders filed on March 25, 2013.

The Parties stated:

- 1) the Commission set for hearing and settlement procedures the issue of whether refunds are due to SPP Zone 11 ratepayers before February 22, 2013 for violations of the SPP Tariff, irrespective of the refund effective date; and
- 2) the Commission has the authority to order SPP to pay refunds to SPP Zone 11 ratepayers for rates determined by the Commission to be unjust and unreasonable.

On April 9, 2013, SPP filed a Motion for Leave to Answer and Answer in response to requests for rehearing and clarification filed on March 25, 2013.

SPP stated:

- 1) the rates at issue in this consolidated proceeding are those of Tri-County and not SPP;
- 2) the Commission should not order SPP to refund the Tri-County rate collected for the period of April 1, 2012 through February 21, 2013; and

Regulatory Status Report

3) the Commission intended for SPP to charge the Tri-County rates through February 21, 2013.

On April 22, 2013, Occidental and the New Mexico Cooperatives filed an answer in opposition of SPP's April 9, 2013 Answer.

The parties stated the Commission should:

- 1) deny SPP's Motion for Leave to Answer and Answer in Parts II.A and II.B of SPP's Answer as an inappropriate answer to requests for rehearing; and
- 2) reject in part II.C of SPP's Answer as an untimely request for rehearing.

On April 22, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the February 21, 2013 Order.

On April 24, 2013, Xcel Energy Services Inc. filed an answer in response to SPP's April 9, 2013 Answer.

Xcel stated:

- 1) SPP's citation to Section 3.10 of the Membership Agreement does not support its assertion that the rates at issue are not SPP rates; and
- 2) SPP's plea for no refund obligation should be rejected as a matter of policy.

A formal settlement conference was held on May 2, 2013.

On May 20, 2013, Settlement Judge Young filed a Settlement Judge Report to the Commission and Chief Judge. A formal settlement conference was held on May 2, 2013. Additional information was exchanged on May 10, 2013. A conference call to discuss the May 10, 2013 information was conducted on May 16, 2013. Proposed formula rate tariff language exchange is scheduled for May 24, 2013, and a conference call to discuss the tariff language proposals is scheduled for June 6, 2013.

A conference call was held on June 6, 2013.

ER05-1065

Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term

On May 16, 2013, FERC issued an Order on Rehearing and Compliance. FERC granted in part and denied in part a request for rehearing of the Commission's order conditionally accepting, subject to modification, Entergy Services, Inc.'s proposed revisions to Attachment C, Attachment D, and Attachment E of its Tariff. FERC also conditionally accepted in part, subject to modification, and rejected in part Entergy's proposed Tariff revisions submitted in compliance with the December 15, 2011 Order.

On June 17, 2013, Entergy Services, Inc. filed a Request for Rehearing of the May 16, 2013 Order.

On June 17, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion for Leave to Intervene Out-of-Time and Request for Clarification or, in the Alternative, Rehearing of the May 16, 2013 Order.

ER07-1069

AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)

On May 24, 2013, AEP submitted its 2013 Annual Update to its annual transmission revenue

requirements.

ER08-281

Oklahoma Gas and Electric Company ("OG&E") Formula Rate Case

On June 3, 2013, Oklahoma Gas and Electric Company submitted an informational filing containing the True-Up Adjustment for the transmission formula rate for the rate year January 1 through December 31, 2012.

ER08-313

Southwestern Public Service Company ("SPS") Formula Rate Case

On June 20, 2013, Xcel Energy submitted notice that Southwestern Public Service Company's 2012 True-Up Adjustment is now available.

ER08-1419

SPP Balanced Economic Portfolio Filing

On April 18, 2013, FERC issued an Order Conditionally Accepting Compliance Filing in Docket No. ER12-1772. FERC conditionally accepted SPP's May 11, 2012 Compliance Filing, effective July 26, 2010, subject to a further compliance filing.

The Commission found that SPP's proposed revisions to Attachment O comply with the directives in the December 16, 2011 Order, subject to the removal of language providing: "However, 'Competitive Duties' shall not include those duties enumerated above by any entity that has received a Commission-approved waiver of the Commission's Standards of Conduct requirement." FERC stated that other than stating that this Standards of Conduct clause was added at its stakeholders' request, SPP did not provide any support for including this language as necessary for appropriate access to resource-specific data. FERC found that this clause has the unintended consequence of failing to adequately protect confidential, resource-specific data consistent with Order No. 890, and is unduly discriminatory. Therefore, FERC directed SPP to remove the specified language in a compliance filing.

SPP's compliance filing is due on May 20, 2013.

ER09-36

Prairie Wind Transmission, LLC ("Prairie Wind") Formula and Incentive Rate Case

On May 30, 2013, Prairie Wind Transmission, LLC submitted its Annual Update informational filing.

ER09-548

ITC Great Plains, LLC's Application for Acceptance of a Formula Rate to Establish a Revenue Requirement for Transmission Service Over Facilities that ITC Will Own in the SPP Region

On May 20, 2013, ITC Great Plains, LLC filed a Compliance Filing requesting authorization to commence the amortization of the regulatory assets authorized by the Commission in the March 26, 2009 Order issued in Docket No. ER09-548.

On May 31, 2013, ITC Great Plains, LLC submitted an informational filing containing its 2012 True-Up Adjustment.

On June 10, 2013, the Kansas Corporation Commission filed Comments and Protest in response to ITC Great Plains, LLC's May 20, 2013 filing for authorization to commence amortization of the regulatory assets authorized by the Commission's March 16, 2009 Order. The KCC requested that FERC authorize parties the right to participate in discovery in the subject proceedings, to enable the parties to be able to determine whether ITC's filing is consistent with the Settlement that was approved by the Commission in 2010.

Regulatory Status Report

On June 25, 2013, ITC Great Plains, LLC filed an answer in response to the Comments and Protest of the Kansas Corporation Commission filed on June 10, 2013.

ITC stated:

- 1) ITC Great Plains' May 20, 2013 Regulatory Asset Filing is separate and distinct from the annual formula rate true-up process which was approved as part of the 2009 Settlement in Docket No. ER09-548;
- 2) the KCC's request to conduct discovery regarding the May 20 Filing is without merit; and
- 3) costs approved for recovery in this proceeding will not be subject to further challenge in subsequent proceedings.

ER10-355 **American Electric Power Service Corporation ("AEP") Submission of a Formula Rate to Recover Costs of Investments in Transmission Facilities Owned by AEP Transmission Company, L.L.C. ("AEPTCo") Subsidiaries in PJM and in SPP RTO Regions**

On May 24, 2013, AEP submitted its 2013 Annual Update to its annual transmission revenue requirements.

ER12-140 **SPP Submission of Tariff Revisions to Incorporate Kansas Power Pool ("KPP") as a Transmission Owner**

On April 24, 2013, FERC issued an order approving the settlement and terminating this proceeding. The Commission stated that consistent with the settlement, SPP shall make the appropriate eTariff filing to incorporate into its currently effective eTariff the settlement's revised tariff provisions.

ER12-817 **Midwest Independent Transmission System Operator, Inc. ("MISO") Compliance Filing to Address Resource Adequacy Requirements ("RAR") for External Resources**

On April 8, 2013, the Midwest Independent Transmission System Operator, Inc. submitted its Compliance Filing pursuant to the March 8, 2013 Order.

On May 22, 2013, FERC issued an order accepting the tariff revisions, effective February 27, 2013.

ER12-891 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On April 11, 2013, Settlement Judge Cintron filed a Settlement Judge Report to the Commission and Chief Judge recommending that settlement procedures continue.

On April 24, 2013, Westar Energy, Inc. filed an Unopposed Motion for Expedited Authorization to Implement Interim Rates.

Westar requested that the Commission authorize Westar and SPP to implement the interim rates effective May 1, 2013, and ending on the effective date of the Settlement if the Settlement is accepted or approved by the Commission or the date the Commission disapproves the Settlement.

Westar requested a shortened two-day comment period for responses to this Motion. Westar requested that FERC issue an order by April 29, 2013 on this Motion.

On April 24, 2013, FERC issued an Order of Chief Judge Shortening Period for Answers to 11

Regulatory Status Report

AM on April 26, 2013.

On April 26, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief. Westar Energy, Inc. was authorized to institute interim rates found in Attachment A of the Unopposed Motion for Expedited Authorization to Implement Interim Rates filed on April 24, 2013, effective May 1, 2013, and shall remain in effect pending filing and Commission approval of the final Settlement.

This Order terminates Docket Nos. ER12-891-002, EL12-47-001, ER12-1017-001, ER12-1018-001, EL12-51-001, ER12-909-001 and ER13-178-001.

On May 3, 2013, Westar Energy, Inc. filed a Settlement Agreement in Docket Nos. ER12-891, EL12-47, EL12-1017, ER12-1018, EL12-51, ER09-909, and ER13-178.

On May 3, 2013, FERC issued an Order of Chief Judge Shortening Time to File Comments in response to the Settlement Agreement. Initial comments are due on May 10, 2013, and reply comments are due on May 15, 2013.

On May 15, 2013, Commission Trial Staff filed Comments Supporting Uncontested Settlement, Requesting Leave to File Out of Time, and Waiver of Reply Comments.

On May 16, 2013, FERC issued an Order of Chief Judge Accepting Commission Trial Staff's Late-Filed Settlement Comments and Waiving Period for Reply Comments.

On May 21, 2013, FERC issued a Certification of Uncontested Settlement.

On May 30, 2013, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

On June 27, 2013, FERC issued an order approving the Settlement Agreement filed on May 3, 2013.

FERC accepted the proposed revisions to Westar Energy, Inc.'s Tariff sections 15.7 and 28.5. FERC also accepted the revisions to Attachment M of SPP's Tariff. The proposed revisions to Appendix 3 of the Network Integration Transmission Service Agreements for Doniphan Electric Cooperative, Kaw Valley Electric Cooperative, Inc., and Nemaha-Marshall Electric Cooperative were accepted. These changes are accepted effective June 27, 2013.

Refund and adjustments shall be made pursuant to the Settlement.

This letter order terminates Docket Nos. ER12-891-000, EL12-47-000, ER12-909-000, ER12-909-002, ER12-1017-000, ER12-1018-000, EL12-51-000, and ER13-178-000.

ER12-909

Westar Energy, Inc. ("Westar") Submission of Tariff Revisions to Amend Section 15.7 - Real Power Losses and Section 28.5 - Real Power Losses

On April 11, 2013, Settlement Judge Cintron filed a Settlement Judge Report to the Commission and Chief Judge recommending that settlement procedures continue.

On April 24, 2013, Westar Energy, Inc. filed an Unopposed Motion for Expedited Authorization to Implement Interim Rates.

Westar requested that the Commission authorize Westar and SPP to implement the interim rates effective May 1, 2013, and ending on the effective date of the Settlement if the

Regulatory Status Report

Settlement is accepted or approved by the Commission or the date the Commission disapproves the Settlement.

Westar requested a shortened two-day comment period for responses to this Motion. Westar requested that FERC issue an order by April 29, 2013 on this Motion.

On April 24, 2013, FERC issued an Order of Chief Judge Shortening Period for Answers to 11 AM on April 26, 2013.

On April 26, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief. Westar Energy, Inc. was authorized to institute interim rates found in Attachment A of the Unopposed Motion for Expedited Authorization to Implement Interim Rates filed on April 24, 2013, effective May 1, 2013, and shall remain in effect pending filing and Commission approval of the final Settlement.

This Order terminates Docket Nos. ER12-891-002, EL12-47-001, ER12-1017-001, ER12-1018-001, EL12-51-001, ER12-909-001 and ER13-178-001.

On May 3, 2013, Westar Energy, Inc. filed a Settlement Agreement in Docket Nos. ER12-891, EL12-47, EL12-1017, ER12-1018, EL12-51, ER09-909, and ER13-178.

On May 3, 2013, FERC issued an Order of Chief Judge Shortening Time to File Comments in response to the Settlement Agreement. Initial comments are due on May 10, 2013, and reply comments are due on May 15, 2013.

On May 15, 2013, Commission Trial Staff filed Comments Supporting Uncontested Settlement, Requesting Leave to File Out of Time, and Waiver of Reply Comments.

On May 16, 2013, FERC issued an Order of Chief Judge Accepting Commission Trial Staff's Late-Filed Settlement Comments and Waiving Period for Reply Comments.

On May 21, 2013, FERC issued a Certification of Uncontested Settlement.

On May 30, 2013, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

On June 27, 2013, FERC issued an order approving the Settlement Agreement filed on May 3, 2013.

FERC accepted the proposed revisions to Westar Energy, Inc.'s Tariff sections 15.7 and 28.5. FERC also accepted the revisions to Attachment M of SPP's Tariff. The proposed revisions to Appendix 3 of the Network Integration Transmission Service Agreements for Doniphan Electric Cooperative, Kaw Valley Electric Cooperative, Inc., and Nemaha-Marshall Electric Cooperative were accepted. These changes are accepted effective June 27, 2013.

Refund and adjustments shall be made pursuant to the Settlement.

This letter order terminates Docket Nos. ER12-891-000, EL12-47-000, ER12-909-000, ER12-909-002, ER12-1017-000, ER12-1018-000, EL12-51-000, and ER13-178-000.

ER12-959

SPP Submission of Revisions to OATT to Implement a Formula Rate for Transmission Service for Tri-County Electric Cooperative, Inc. ("Tri-County"), a Transmission Owner in the Southwestern Public Service Company ("SPS") Zone

Regulatory Status Report

On April 8, 2013, Xcel Energy Services Inc. filed a Protest in response to SPP's March 19, 2013 Compliance Filing. Xcel requested that the Commission reject the March 19, 2013 Compliance Filing.

On April 9, 2013, SPP filed a Motion for Leave to Answer and Answer in response to the requests for rehearing and clarification filed on March 25, 2013 and the protest of Xcel Energy Services Inc. filed on April 8, 2013.

SPP stated:

- 1) the rates at issue in this proceeding are those of Tri-County and not of SPP;
- 2) the Commission should not order SPP to refund the Tri-County rate collected for the period of April 1, 2012 through February 21, 2013; and
- 3) the Commission intended for SPP to charge the Tri-County rates through February 21, 2013.

On April 22, 2013, Occidental and the New Mexico Cooperatives filed an answer in opposition to SPP's April 9, 2013 Answer.

On April 22, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the February 21, 2013 Order.

On April 22, 2013, the Administrative Law Judge issued the Initial Decision. The Presiding Judge determined that Tri-County failed to carry its burden to prove that its facilities specified at Exhibit Nos. TCE-2 and TCE-3 are Transmission Facilities eligible to be rolled into SPP's Zone 11 Annual Transmission Revenue Requirement.

On April 22, 2013, the Administrative Law Judge filed a Certification of Initial Decision and Record.

On April 23, 2013, the U.S. Court of Appeals issued an Order establishing due dates for certain documents in Case No. 13-1143.

On April 23, 2013, Xcel Energy Services Inc. filed a Petition for Review before the U.S. Court of Appeals for the District of Columbia Circuit in Case No. 13-1143. Xcel requested the Court for review of the March 30, 2012 and February 21, 2013 Orders issued in FERC Docket No. ER12-959.

On April 24, 2013, Commission Trial Staff filed a Status Report regarding negotiations in this proceeding. On April 16, 2013, the participants held a settlement phone conference to discuss the Phase II issues. The next phone conference is scheduled for April 30, 2013.

The participants requested that the suspension of the procedural schedule be extended until July 1, 2013. The participants committed to file a further progress report with the Chief Judge on July 1, 2013, if necessary.

On April 24, 2013, Xcel Energy Services Inc. filed an answer in response to SPP's April 9, 2013 Answer.

Xcel stated:

- 1) SPP's citation to section 3.10 of the Membership Agreement does not support its assertion that the rates at issue are not SPP rates; and
- 2) SPP's plea for no refund obligation should be rejected as a matter of policy.

On April 25, 2013, FERC issued an Order of Chief Judge Continuing Suspension of Phase II

Regulatory Status Report

Proceedings until July 1, 2013.

On May 22, 2013, Occidental Permian, Ltd. and Occidental Power Marketing, L.P. filed a Limited Brief on Exceptions.

On May 22, 2013, Tri-County Electric Cooperative, Inc. filed a Brief on Exceptions.

On May 22, 2013, SPP filed a Motion to Intervene in U.S. Court of Appeals Case No. 13-1143.

On May 22, 2013, the New Mexico Cooperatives filed a Joint Motion for Leave to Intervene in U.S. Court of Appeals Case No. 13-1143.

On May 22, 2013, Tri-County Electric Cooperative, Inc. filed a Motion for Leave to Intervene in U.S. Court of Appeals Case No. 13-1143.

On May 23, 2013, Xcel Energy Services Inc. filed a Non-Binding Statement of Issues to be Raised in U.S. Court of Appeals Case No. 13-1143.

On June 11, 2013, Tri-County Electric Cooperative, Inc. filed a Brief Opposing Exceptions (Phase 1).

On June 11, 2013, Xcel Energy Services Inc. filed a Brief Opposing Exceptions (Phase I) on behalf of Southwestern Public Service Company, Occidental, and the New Mexico Cooperatives.

On June 11, 2013, the Commission Trial Staff filed its Brief Opposing Exceptions (Phase 1).

On June 28, 2013, Xcel Energy Services Inc. filed a Motion to Suspend Phase II Proceedings until an order on the merits of the Initial Decision is issued by the Commission on the Phase I proceedings.

ER12-1017

Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kaw Valley Electric Cooperative ("Kaw Valley") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On April 11, 2013, Settlement Judge Cintron filed a Settlement Judge Report to the Commission and Chief Judge recommending that settlement procedures continue.

On April 24, 2013, Westar Energy, Inc. filed an Unopposed Motion for Expedited Authorization to Implement Interim Rates.

Westar requested that the Commission authorize Westar and SPP to implement the interim rates effective May 1, 2013, and ending on the effective date of the Settlement if the Settlement is accepted or approved by the Commission or the date the Commission disapproves the Settlement.

Westar requested a shortened two-day comment period for responses to this Motion. Westar requested that FERC issue an order by April 29, 2013 on this Motion.

On April 24, 2013, FERC issued an Order of Chief Judge Shortening Period for Answers to 11 AM on April 26, 2013.

On April 26, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief. Westar Energy, Inc. was authorized to institute interim rates found in Attachment A of

Regulatory Status Report

the Unopposed Motion for Expedited Authorization to Implement Interim Rates filed on April 24, 2013, effective May 1, 2013, and shall remain in effect pending filing and Commission approval of the final Settlement.

This Order terminates Docket Nos. ER12-891-002, EL12-47-001, ER12-1017-001, ER12-1018-001, EL12-51-001, ER12-909-001 and ER13-178-001.

On May 3, 2013, Westar Energy, Inc. filed a Settlement Agreement in Docket Nos. ER12-891, EL12-47, EL12-1017, ER12-1018, EL12-51, ER09-909, and ER13-178.

On May 3, 2013, FERC issued an Order of Chief Judge Shortening Time to File Comments in response to the Settlement Agreement. Initial comments are due on May 10, 2013, and reply comments are due on May 15, 2013.

On May 15, 2013, Commission Trial Staff filed Comments Supporting Uncontested Settlement, Requesting Leave to File Out of Time, and Waiver of Reply Comments.

On May 16, 2013, FERC issued an Order of Chief Judge Accepting Commission Trial Staff's Late-Filed Settlement Comments and Waiving Period for Reply Comments.

On May 21, 2013, FERC issued a Certification of Uncontested Settlement.

On May 30, 2013, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

On June 27, 2013, FERC issued an order approving the Settlement Agreement filed on May 3, 2013.

FERC accepted the proposed revisions to Westar Energy, Inc.'s Tariff sections 15.7 and 28.5. FERC also accepted the revisions to Attachment M of SPP's Tariff. The proposed revisions to Appendix 3 of the Network Integration Transmission Service Agreements for Doniphan Electric Cooperative, Kaw Valley Electric Cooperative, Inc., and Nemaha-Marshall Electric Cooperative were accepted. These changes are accepted effective June 27, 2013.

Refund and adjustments shall be made pursuant to the Settlement.

This letter order terminates Docket Nos. ER12-891-000, EL12-47-000, ER12-909-000, ER12-909-002, ER12-1017-000, ER12-1018-000, EL12-51-000, and ER13-178-000.

ER12-1018

Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Nemaha-Marshall Electric Cooperative ("Nemaha-Marshall") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On April 11, 2013, Settlement Judge Cintron filed a Settlement Judge Report to the Commission and Chief Judge recommending that settlement procedures continue.

On April 24, 2013, Westar Energy, Inc. filed an Unopposed Motion for Expedited Authorization to Implement Interim Rates.

Westar requested that the Commission authorize Westar and SPP to implement the interim rates effective May 1, 2013, and ending on the effective date of the Settlement if the Settlement is accepted or approved by the Commission or the date the Commission disapproves the Settlement.

Regulatory Status Report

Westar requested a shortened two-day comment period for responses to this Motion. Westar requested that FERC issue an order by April 29, 2013 on this Motion.

On April 24, 2013, FERC issued an Order of Chief Judge Shortening Period for Answers to 11 AM on April 26, 2013.

On April 26, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief. Westar Energy, Inc. was authorized to institute interim rates found in Attachment A of the Unopposed Motion for Expedited Authorization to Implement Interim Rates filed on April 24, 2013, effective May 1, 2013, and shall remain in effect pending filing and Commission approval of the final Settlement.

This Order terminates Docket Nos. ER12-891-002, EL12-47-001, ER12-1017-001, ER12-1018-001, EL12-51-001, ER12-909-001 and ER13-178-001.

On May 3, 2013, Westar Energy, Inc. filed a Settlement Agreement in Docket Nos. ER12-891, EL12-47, EL12-1017, ER12-1018, EL12-51, ER09-909, and ER13-178.

On May 3, 2013, FERC issued an Order of Chief Judge Shortening Time to File Comments in response to the Settlement Agreement. Initial comments are due on May 10, 2013, and reply comments are due on May 15, 2013.

On May 15, 2013, Commission Trial Staff filed Comments Supporting Uncontested Settlement, Requesting Leave to File Out of Time, and Waiver of Reply Comments.

On May 16, 2013, FERC issued an Order of Chief Judge Accepting Commission Trial Staff's Late-Filed Settlement Comments and Waiving Period for Reply Comments.

On May 21, 2013, FERC issued a Certification of Uncontested Settlement.

On May 30, 2013, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

On June 27, 2013, FERC issued an order approving the Settlement Agreement filed on May 3, 2013.

FERC accepted the proposed revisions to Westar Energy, Inc.'s Tariff sections 15.7 and 28.5. FERC also accepted the revisions to Attachment M of SPP's Tariff. The proposed revisions to Appendix 3 of the Network Integration Transmission Service Agreements for Doniphan Electric Cooperative, Kaw Valley Electric Cooperative, Inc., and Nemaha-Marshall Electric Cooperative were accepted. These changes are accepted effective June 27, 2013.

Refund and adjustments shall be made pursuant to the Settlement.

This letter order terminates Docket Nos. ER12-891-000, EL12-47-000, ER12-909-000, ER12-909-002, ER12-1017-000, ER12-1018-000, EL12-51-000, and ER13-178-000.

ER12-1179

SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace

On April 8, 2013, SPP filed an answer in response to the comments filed by Omaha Public Power District on March 22, 2013.

SPP stated that OPPD's partial paths do not satisfy the North American Energy Standards

Regulatory Status Report

Board's standards for coordinating interchange transactions. SPP requested that the Commission confirm that SPP may properly exclude OPPD's partial paths in the allocation of Auction Revenue Rights.

On April 15, 2013, Omaha Public Power District filed a Motion for Leave to File Answer and Answer in response to SPP's April 8, 2013 Answer.

OPPD requested that the Commission direct SPP to resolve OPPD's grandfathered agreement issues through negotiation, as contemplated by the October 18, 2012 Order, or direct SPP to recognize the transmission border points, OPPD.WR and OPPD.MPS, as settlement locations for transmission transactions under the SPP Tariff so that OPPD may obtain and use Auction Revenue Rights and Transmission Congestion Rights for these transmission rights in the SPP Integrated Marketplace.

On April 19, 2013, SPP submitted its Compliance Filing pursuant to the March 21, 2013 Order on Rehearing and Clarification.

An effective date of March 1, 2014 was requested.

On April 19, 2013, SPP filed an answer in response to the comments and protests filed in response to SPP's February 15, 2013 Compliance Filing.

SPP stated:

- 1) SPP's proposed tariff revisions governing Auction Revenue Rights eligibility and allocation are compliant and should be approved;
- 2) SPP's must-offer rules comply with the Commission's directives in the October 18, 2012 Order;
- 3) the Commission should accept SPP's proposed tariff revisions governing bilateral agreements and bilateral settlement schedules;
- 4) the Commission should reject challenges to SPP's make whole payment tariff provisions;
- 5) SPP's Compliance Filing addresses the Commission's directives on the development of mitigated offers;
- 6) SPP's demand response aggregation requirements do not preclude Nebraska Public Power District's ("NPPD") demand response resources from participating in the Integrated Marketplace;
- 7) NPPD's criticisms of SPP's marginal loss methodology should be rejected as a collateral attack on prior Commission Orders;
- 8) SPP provided sufficient explanation of its methodology for determining output forecasts for Dispatchable Variable Energy Resources; and
- 9) contrary to NPPD's assertions, SPP has complied with the Commission's directive regarding non-participating embedded load.

On May 6, 2013, TDU Intervenors filed a answer in response to SPP's April 19, 2013 Answer.

TDU Intervenors stated:

- 1) SPP is incorrect in its assertion that customers do not need protection for Auction Revenue Rights for transmission subject to rollover;
- 2) SPP's defense of its proposed right to terminate Bilateral Settlement Schedules makes no sense;
- 3) SPP's proposed penalty for discrimination in unit commitment must be restricted to commitments of the transmission operator's own units;
- 4) SPP has not supported its mitigated offer proposals;

Regulatory Status Report

- 5) the proposed native load equivalence criterion for including power purchases in a market participant's "Net Resource Capacity" remains unjustified; and
- 6) SPP's proposed standard for forecasting tolerance is not just and reasonable.

On May 10, 2013, Omaha Public Power District filed a Protest in response to SPP's April 19, 2013 Compliance Filing. However, OPPD's protest is concerning grandfathered agreement issues.

OPPD stated:

- 1) SPP has not met all of its compliance obligations; and
- 2) the Commission should direct that settlement judge procedures be initiated.

On May 10, 2013, Omaha Public Power District filed a Motion Requesting Immediate Appointment of Settlement Judge and Directing Further Procedures.

On May 15, 2013, SPP filed its Third Status Report concerning its efforts to resolve issues associated with the integration into SPP's Integrated Marketplace of so-called grandfathered agreements.

SPP requested that the Commission accept this status report and allow SPP to continue negotiations with the GFA parties on possible resolution of the issues arising under these agreements. SPP committed to provide a further update on or before July 15, 2013.

On May 17, 2013, Nebraska Public Power District filed a Petition for Review of the October 18, 2012 and March 21, 2013 Orders issued in FERC Docket No. ER12-1179 before the U.S. Court of Appeals in Case No. 13-1181.

On May 20, 2013, the U.S. Court of Appeals issued an Order establishing the following due dates in Case No. 13-1181:

June 19, 2013 - Certificate as to Parties, Rulings, and Related Cases; Docketing Statement Form; Procedural Motions; Statement of Intent to Utilize Deferred Joint Appendix; Statement of Issues to be Raised; Underlying Decision from Which Petition Arises; Entry of Appearance Form; Procedural Motions;
July 5, 2013 - Certified Index to the Record; Dispositive Motions.

On May 28, 2013, SPP filed an answer in response to Omaha Public Power District's May 10, 2013 Motion Requesting Immediate Appointment of Settlement Judge and Directing Further Procedures.

SPP stated:

- 1) allocating Auction Revenue Rights ("ARRs") to partial paths is contrary to the purpose of ARR's;
- 2) other jurisdictional markets prohibit partial path financial rights;
- 3) allowing ARR's to partial paths harms load-serving entities;
- 4) industry standards support not allocating ARR's to partial paths;
- 5) no purpose would be served by a settlement judge.

On June 6, 2013, FERC issued an Order Establishing Settlement Judge Procedures, granting Omaha Public Power District's May 10, 2013 Motion to establish settlement judge procedures to address the unresolved issues regarding the integration of OPPD's grandfathered agreements into the Integrated Marketplace.

Regulatory Status Report

If the parties are unable to resolve the issues by August 1, 2013, settlement judge procedures are to cease and the settlement judge shall report to the Chief Judge and the Commission on or before August 6, 2013 that a settlement has not been achieved. Should this occur, SPP was directed to submit by August 8, 2013 a proposal to address the unresolved issues by either proposed a carve-out of the OPPD grandfathered agreements or a proposal for the integration of the agreements into the Integrated Marketplace. Parties may submit responses to SPP's proposal on or before August 15, 2013.

On June 7, 2013, Omaha Public Power District filed an answer in response to SPP's May 28, 2013 Answer.

OPPD stated:

- 1) SPP continues to ignore the Commission's directive to resolve the issue with OPPD;
- 2) the facts are disputed, contrary to SPP's assertions;
- 3) there are no legal prohibitions to the relief sought by OPPD; and
- 4) SPP's answer improperly reveals OPPD's scheduling information.

On June 12, 2013, FERC issued an Order of Chief Judge Appointing Settlement Judge and Scheduling Settlement Conference.

On June 18, 2013, Nebraska Public Power District filed a Preliminary Non-Binding Statement of Issues in U.S. Court of Appeals Case No. 13-1181.

On June 19, 2013, SPP filed a Motion to Expand Scope of Settlement Proceeding, Request for Shortened Answer Period, and Request for Expedited Ruling. SPP moved to expand the scope of the settlement judge proceeding established by the June 6, 2013 Order to include unresolved issues relating to other protesting parties with grandfathered agreements that have not yet been integrated into SPP's proposed Integrated Marketplace.

On June 19, 2013, Nebraska Public Power District filed a Motion for Clarification, or in the Alternative Rehearing of the Order Establishing Settlement Judge Procedures issued on June 6, 2013.

On June 20, 2013, FERC issued an Order of Chief Judge Shortening Period for Answers to June 21, 2013.

On June 21, 2013, in Docket No. ER13-1748, SPP submitted its Order No. 755 Compliance Filing to adopt a two-part compensation methodology for Resources that provide Regulation-Up and Regulation-Down Operating Reserve products in the SPP Integrated Marketplace, and to adopt other Tariff language required by Order No. 755.

An effective date of March 1, 2015 was requested.

On June 21, 2013, Lincoln Electric System filed an answer in response to SPP's Motion to Expand the Scope of Settlement Proceeding. LES stated if the scope of the June 6, 2013 Order is expanded, LES should be permitted to participate in such settlement discussions.

On June 21, 2013, Basin Electric Power Cooperative, Inc., et al. filed an answer in response to SPP's Motion to Expand the Scope of Settlement Proceeding. The parties requested that the Commission set the settlement negotiation termination date for August 30, 2013.

On June 24, 2013, SPP filed an answer in response to the answer filed by Basin Electric Power Cooperative, Inc., et al. on June 21, 2013. SPP stated that the Commission should not extend the currently-established settlement proceeding schedule.

Regulatory Status Report

On June 24, 2013, FERC issued an Order of Chief Judge Granting Motion to Expand Settlement Proceedings to include all grandfathered agreements that have not yet been integrated into SPP's proposed Integrated Marketplace.

On June 24, 2013, Midwest Energy, Inc. filed a Motion for Leave to Intervene Out of Time.

On June 25, 2013, SPP submitted Tariff revisions to incorporate into Attachment AN of the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority in Docket No. ER13-1768.

Additionally, SPP submitted revisions to SPP's Bylaws and Membership Agreement contained in SPP's Governing Documents Tariff that are necessary to facilitate the implementation of the SPP Balancing Authority. Due to software limitations, the Bylaws and Membership Agreement changes were submitted under Docket No. ER13-1769.

An effective date of March 1, 2014 was requested.

On June 25, 2013, FERC issued an Order of Chief Judge Granting Motion to Intervene Out of Time filed by Midwest Energy, Inc.

On June 26, 2013, FERC issued a Notice of Upcoming Settlement Conferences. Additional settlement conferences were scheduled for July 9-10, July 16-17, and July 23-24, 2013.

On June 28, 2013, in Docket No. ER13-1864, SPP submitted revisions to the Joint Operating Agreement between SPP and the Midcontinent Independent System Operator, Inc. to reflect the market-to-market terms and conditions to which SPP and MISO have substantively agreed, which have been incorporated into a proposed Attachment 2 - Interregional Coordination Process to the JOA.

SPP requested an effective date of one-year following the start-up of SPP's Integrated Marketplace currently scheduled for March 1, 2014.

ER12-1772

SPP Compliance Filing Revising Tariff in Response to the December 16, 2011 Order on Rehearing and Compliance Filing in ER08-1419

On April 18, 2013, FERC issued an Order Conditionally Accepting Compliance Filing in Docket No. ER12-1772. FERC conditionally accepted SPP's May 11, 2012 Compliance Filing, effective July 26, 2010, subject to a further compliance filing.

The Commission found that SPP's proposed revisions to Attachment O comply with the directives in the December 16, 2011 Order, subject to the removal of language providing: "However, 'Competitive Duties' shall not include those duties enumerated above by any entity that has received a Commission-approved waiver of the Commission's Standards of Conduct requirement." FERC stated that other than stating that this Standards of Conduct clause was added at its stakeholders' request, SPP did not provide any support for including this language as necessary for appropriate access to resource-specific data. FERC found that this clause has the unintended consequence of failing to adequately protect confidential, resource-specific data consistent with Order No. 890, and is unduly discriminatory. Therefore, FERC directed SPP to remove the specified language in a compliance filing.

SPP's compliance filing is due on May 20, 2013.

Regulatory Status Report

On May 17, 2013, SPP submitted for filing revisions to its Tariff to authorize SPP to revise a provision in Attachment O regarding the provision of transmission planning data pursuant to confidentiality agreements, in compliance with the Order Conditionally Accepting Compliance Filing issued on April 18, 2013 in this proceeding.

An effective date of July 26, 2010 was requested.

On June 28, 2013, FERC issued an order accepting SPP's May 17, 2013 Compliance Filing.

An effective date of July 26, 2010 was granted.

ER12-1813

The Empire District Electric Company ("Empire") Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate

On May 28, 2013, Settlement Judge Cianci filed a Settlement Status Report recommending that settlement judge procedures be continued.

On June 13, 2013, The Empire District Electric Company filed a Stipulation and Settlement Agreement.

On June 13, 2013, The Empire District Electric Company and SPP filed a Joint Motion for Interim Rate Relief and Request for Expedited Action.

On June 14, 2013, Commission Trial Staff filed an answer in response to the Motion for Interim Rate Relief and Request for Expedited Action filed on June 13, 2013. Staff stated it does not oppose the implementation of interim rates in this proceeding.

On June 17, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief.

ER12-2289

SPP Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate for SPP Member, The Empire District Electric Company ("Empire")

On June 13, 2013, The Empire District Electric Company and SPP filed a Joint Motion for Interim Rate Relief and Request for Expedited Action.

On June 14, 2013, Commission Trial Staff filed an answer in response to the Motion for Interim Rate Relief and Request for Expedited Action filed on June 13, 2013. Staff stated it does not oppose the implementation of interim rates in this proceeding.

On June 17, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief.

ER12-2292

SPP Submission of Tariff Revisions to Attachment AE to Facilitate the Systematic Rather than Manual Curtailment of Non-Dispatchable Resources in the Energy Imbalance Services Market ("EIS Market") During Period of Congestion

On April 10, 2013, SPP filed a Reply to Comments of Acciona Wind Energy USA LLC. SPP provided clarification in response to Acciona's concerns raised in its March 22, 2013 Comments.

ER12-2554

Transource Missouri, LLC Filing of a Request for Incentive Rate Treatments and Acceptance of Formula Rate

On May 6, 2013, FERC issued an order approving the Offer of Settlement. Transource

Regulatory Status Report

Missouri was directed to make a compliance filing within 30 days in eTariff format to reflect the Commission's action in this order.

On May 30, 2013, Transource Missouri, LLC filed its Compliance Filing pursuant to the May 6, 2013 Order.

On June 28, 2013, FERC issued an order accepting Transource Missouri, LLC's May 30, 2013 Compliance Filing.

ER12-2681

Joint Application of ITC Holdings Corp. ("ITC") and Entergy Corporation ("Entergy") for Authorization of Acquisition and Disposition of Jurisdictional Transmission Facilities, Approval of Transmission Service Formula Rate and Certain Jurisdictional Agreements, and Petition for Declaratory Order on Application of Section 305(a) of the Federal Power Act (Docket Nos. ER12-2681, EC12-145, and EL12-107)

On April 1, 2013, the Joint Consumers filed an answer in response to the Council for the City of New Orleans' March 11, 2013 Answer.

On April 8, 2013, Ameren Services Company filed a Motion for Leave to Intervene Out-of-Time.

On April 8, 2013, the Arkansas Public Service Commission filed a Motion for Leave to Answer and Answer to certain Protests of the Public Utility Commission of Texas, Lafayette Utilities System and the Louisiana Energy and Power Authority, and the Joint Customers.

The APSC stated that FERC should reject the PUCT's request to consolidate any and all proceedings directly or remotely related to the proposed integration of Entergy into the Midwest Independent Transmission System Operator, Inc. The APSC also stated that FERC should reject the repeated protest of LUS/LEPA and the Joint Customers in opposition of Entergy's proposal to create four transmission pricing zones for Entergy upon integration into MISO.

On June 20, 2013, FERC issued an Order Conditionally Accepting Certain Proposed Tariff Revisions, Accepting and Suspending Certain Proposed Tariff Revisions, and Establishing Hearing and Settlement Judge Procedures in Docket Nos. ER12-2681, ER13-948 and ER13-782.

FERC found that the proposed Attachment O formula rates for the New ITC Operating Companies and the Entergy Operating Companies, Schedules 40, 41, 42-A, and 42-B, and certain related agreements, as well as the OPEB Filing (proposed accounting and ratemaking treatment for certain pension and post-retirement welfare), except for some issues resolved, raise issues of material fact that cannot be resolved based on the record, and are more appropriately addressed in hearing and settlement judge procedures.

FERC directed the ITC Applicants and the Entergy Operating Companies to file formula rate protocols that comply with the MISO Protocols Investigation Order. The compliance filing is due August 19, 2013.

FERC directed MISO, on or by November 1, 2013, to file an informational report detailing the status of the negotiations regarding revisions to existing Joint Operating Agreements ("JOAs") or the development of new JOAs to address the loop flow concerns, with SPP, Tennessee Valley Authority, and Associated Electric Cooperative, Inc.

FERC granted a waiver of Order No. 714, in this instance because either Applicants will file

Regulatory Status Report

clean tariff sheets in eTariff prior to closing of the Entergy-ITC Transaction, or Entergy will file clean tariff sheets in eTariff if the Entergy-ITC transaction is delayed or cancelled.

Docket Nos. ER12-2681-000, ER13-948-000, and ER13-782-000 were consolidated for purposes of hearing and settlement judge procedures.

On June 20, 2013, FERC issued an Order Approving Merger and Acquisition and Disposition of Jurisdictional Facilities, and Granting Petition for Declaratory Order in Docket Nos. EC12-145 and EL12-107.

On June 27, 2013, FERC issued an Order of Chief Judge Appointing Settlement Judge and Scheduling Settlement Conference.

A settlement conference is scheduled for July 16, 2013.

On June 28, 2013, FERC issued an Order Setting Settlement Conference and Procedure.

A settlement conference was scheduled for July 16, 2013.

ER12-2682

Midwest Independent Transmission System Operator, Inc. ("MISO") Submission of Tariff Revisions Including Proposed Module B-1 to the Open Access Transmission, Energy and Operating Reserve Markets Tariff

On May 10, 2013, SPP submitted supplemental comments in response to MISO's December 31, 2012 Filing.

SPP stated:

- 1) the scope of the proposed amendment in the December 31 Filing should be clarified to remove any registration requirement for SPP Members; and
- 2) if clarification is not granted, the proposed registration requirement for SPP Members should be included as part of any hearing procedures to investigate the impacts on SPP of Entergy's integration into MISO.

On June 20, 2013, FERC issued an Order Conditionally Accepting Tariff Revisions and Requiring Compliance Filings. In this order, FERC conditionally accepted Module B-1, as amended, subject to a compliance filing to be submitted within 30 days of this order, the outcome of MISO's Order No. 1000 compliance proceeding, and a compliance filing in eTariff to amend its Tariff prior to the requested effective date.

FERC required MISO to submit an explanation, and corresponding Tariff language, of how customers will transition from service under the Entergy tariff to service under Module B-1 to the MISO Tariff.

FERC's acceptance of Module B-1, as amended, is subject to the outcome of MISO's Order No. 1000 compliance proceeding.

FERC required MISO to submit a compliance filing to include Tariff language revising section 37A.1.8 to reflect that Module B-1 will not take effect unless and until final regulatory approval is received for full integration of the generation and load within Entergy's footprint into MISO's energy and operating reserve markets. FERC also required MISO to specify in the compliance filing it will submit in eTariff prior to the requested effective date, i.e., the day following the closing of the Entergy-ITC Transaction, the effective dates for both the commencement and

Regulatory Status Report

termination of Module B-1.

FERC found that MISO has not supported its proposal to require SPP Reserve Sharing Group members that are Balancing Authorities within SPP's footprint to either register as Market Participants or designate a Market Participant to act as their agent for the purpose of obtaining service over ITC Midsouth Operating Companies' transmission facilities without charge in order to meet a reserve call. Therefore, FERC directed MISO to either explain why this requirement is necessary, including how this requirement is consistent with existing SPP Reserve Sharing Group Agreements and applicable language, or to remove this requirement.

FERC required MISO to submit in a compliance filing, Tariff revisions to section 37A.15.6 of Module B-1 explaining which entity--ITC Midsouth Operating Companies or Entergy--will take transmission service under the MISO Tariff subject to section 37 during the Interim Period to meet the grandfathered agreement ("GFA") obligations. FERC in the same compliance filing, also required MISO to list in Module B-1 GFAs that will be served using ITC Midsouth Operating Companies' transmission facilities during the Interim Period. FERC required MISO to remove language in section 37A.15.6 discussing the treatment of GFAs "after the Transition Period ends." Module B-1 will terminate when the Interim Period ends and language regarding the subsequent rate treatment will not apply.

FERC required MISO to submit in the compliance filing, further explanation and/or Tariff revisions, as needed, as well as several ministerial changes.

MISO's compliance filing is due July 22, 2013.

ER13-62

NorthWestern Corporation's Order No. 1000 Regional Compliance Filing

On April 18, 2013, FERC issued an Order on Compliance Filing, accepting NorthWestern's October 10, 2012 Compliance Filing subject to another compliance filing within 120 days of the date of this order.

On May 20, 2013, NorthWestern Corporation filed a Request for Partial Waiver and Extension of Time to Submit Compliance Filing or, Alternatively, for Rehearing of the April 18, 2013 Order.

On June 11, 2013, SPP filed a doc-less Motion to Intervene Out-of-Time.

On June 14, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the April 18, 2013 Order.

ER13-178

SPP Submission of Tariff Revisions to Update the Transmission Line Loss Factor for Westar Energy, Inc.

On April 24, 2013, Westar Energy, Inc. filed an Unopposed Motion for Expedited Authorization to Implement Interim Rates.

Westar requested that the Commission authorize Westar and SPP to implement the interim rates effective May 1, 2013, and ending on the effective date of the Settlement if the Settlement is accepted or approved by the Commission or the date the Commission disapproves the Settlement.

Westar requested a shortened two-day comment period for responses to this Motion. Westar requested that FERC issue an order by April 29, 2013 on this Motion.

Regulatory Status Report

On April 24, 2013, FERC issued an Order of Chief Judge Shortening Period for Answers to 11 AM on April 26, 2013.

On April 26, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief. Westar Energy, Inc. was authorized to institute interim rates found in Attachment A of the Unopposed Motion for Expedited Authorization to Implement Interim Rates filed on April 24, 2013, effective May 1, 2013, and shall remain in effect pending filing and Commission approval of the final Settlement.

This Order terminates Docket Nos. ER12-891-002, EL12-47-001, ER12-1017-001, ER12-1018-001, EL12-51-001, ER12-909-001 and ER13-178-001.

On May 3, 2013, Westar Energy, Inc. filed a Settlement Agreement in Docket Nos. ER12-891, EL12-47, EL12-1017, ER12-1018, EL12-51, ER09-909, and ER13-178.

On May 3, 2013, FERC issued an Order of Chief Judge Shortening Time to File Comments in response to the Settlement Agreement. Initial comments are due on May 10, 2013, and reply comments are due on May 15, 2013.

On May 15, 2013, Commission Trial Staff filed Comments Supporting Uncontested Settlement, Requesting Leave to File Out of Time, and Waiver of Reply Comments.

On May 16, 2013, FERC issued an Order of Chief Judge Accepting Commission Trial Staff's Late-Filed Settlement Comments and Waiving Period for Reply Comments.

On May 21, 2013, FERC issued a Certification of Uncontested Settlement.

On May 30, 2013, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

On June 27, 2013, FERC issued an order approving the Settlement Agreement filed on May 3, 2013.

FERC accepted the proposed revisions to Westar Energy, Inc.'s Tariff sections 15.7 and 28.5. FERC also accepted the revisions to Attachment M of SPP's Tariff. The proposed revisions to Appendix 3 of the Network Integration Transmission Service Agreements for Doniphan Electric Cooperative, Kaw Valley Electric Cooperative, Inc., and Nemaha-Marshall Electric Cooperative were accepted. These changes are accepted effective June 27, 2013.

Refund and adjustments shall be made pursuant to the Settlement.

This letter order terminates Docket Nos. ER12-891-000, EL12-47-000, ER12-909-000, ER12-909-002, ER12-1017-000, ER12-1018-000, EL12-51-000, and ER13-178-000.

ER13-301

SPP Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate for Mid-Kansas Electric Company, LLC ("Mid-Kansas")

On April 8, 2013, FERC issued an order conditionally accepting the tariff revisions to implement a cost-based transmission formula rate for SPP member Mid-Kansas Electric Company, LLC.

FERC noted that Note P of the formula rate template provides that the approved margins for interest ("MFI") ratio and debt service coverage ("DSC") ratio will be established by the Kansas

Regulatory Status Report

Corporation Commission ("KCC") and cannot be changed absent a filing with the KCC. Similarly, section C.5.a of the Protocols provides that a change to the formula rate inputs related to MFI or DSC (and other inputs) may not be made absent a filing with and order of the KCC. FERC's acceptance of these provisions referring to the KCC's jurisdiction over MKEC's rates should not be read to affect FERC's jurisdiction over jurisdictional rates, nor does FERC's acceptance of these provisions delegate to the KCC FERC's review authority over any jurisdictional rate. Accordingly, FERC conditionally accepted the tariff revisions effective January 1, 2013, as requested. FERC directed SPP to revise the proposed formula rate and Protocols to state that no changes to the ratios used to establish rates under the SPP Tariff will take effect unless accepted for approval by this Commission pursuant to the Federal Power Act.

SPP's compliance filing is due on May 8, 2013.

On May 8, 2013, SPP submitted its compliance filing pursuant to the April 8, 2013 Order.

An effective date of January 1, 2013 was requested.

On June 24, 2013, FERC issued an order accepting SPP's May 8, 2013 Compliance Filing.

An effective date of January 1, 2013 was granted.

This order constitutes final agency action.

ER13-469

Unexecuted Generator Interconnection Agreement ("GIA") between Waverly Wind Farm, LLC ("Waverly Wind") as Interconnection Customer and Westar Energy, Inc. ("Westar") as Transmission Owner

On April 26, 2013, FERC issued an Order Conditionally Accepting Generator Interconnection Agreement, effective November 14, 2012, subject to SPP re-filing the GIA to include a revised Appendix A, Section 2(j).

SPP's compliance filing is due on May 28, 2013.

On May 28, 2013, SPP submitted its Compliance Filing in response to the April 26, 2013 Order.

ER13-661

SPP Submission of Tariff Revisions to Comply with Commission Directives Regarding the Ability to Net and Offset Market Obligations in Bankruptcy Pursuant to Order No. 741

On June 24, 2013, FERC issued an order accepting SPP's tariff revisions to comply with Commission directives regarding the ability to net and offset market obligations in bankruptcy pursuant to Order No. 741.

FERC also granted SPP's request for a waiver of the Electric Quarterly Report requirements regarding the Integrated Marketplace Counterparty's sales to market participants that result from SPP's administration of the Integrated Marketplace as consistent with the Commission's actions regarding similar waiver requests.

An effective date of March 1, 2014 was granted.

Regulatory Status Report

- ER13-665** **Midwest Independent Transmission System Operator, Inc. Submission of Tariff Revisions to Revise Provisions on Long-Term Transmission Rights ("LTTRs") and Auction Revenue Rights ("ARRs") to Address Integration of Second Planning Area**
- On April 29, 2013, the Midcontinent Independent System Operator, Inc. filed its compliance filing pursuant to the March 28, 2013 Order.
- ER13-708** **Midwest Independent Transmission System Operator, Inc. ("MISO") and MISO Transmission Owners ("MISO TOs") Filing of a Settlement Agreement and Revisions to Transmission Owners Agreement ("TOA")**
- On April 9, 2013, the American Wind Energy Association filed a Motion for Leave to Answer and Answer in response to MISO's and the MISO TOs' February 28, 2013 Answer and the Organization of MISO States' ("OMS") March 20, 2013 Answer.
- AWEA stated:
- 1) the fact that MISO has the right to file modifications to its Appendix K does not presumptively mean that any such proposal is just and reasonable;
 - 2) MISO's proposal provides an unfair advantage to OMS, one stakeholder group, and is thus unjust and unreasonable;
 - 3) MISO's claim that it cannot be forced to give up its filing rights is misleading and not responsive to the issues at hand; and
 - 4) OMS should not be given rights that would result in an unbalanced MISO stakeholder process.
- On May 23, 2013, FERC issued an Order Accepting Revisions to Transmission Owner Agreement, effective upon the integration of Entergy Arkansas, Inc. into MISO.
- ER13-820** **SPP Submission of Tariff Revisions to Attachment AO to Allow non-Eastern Interconnection Generators to Participate in the SPP Energy Imbalance Service ("EIS") Market**
- On April 3, 2013, FERC issued an errata to the letter order dated on March 22, 2013 in order to correct the effective date to March 30, 2013.
- ER13-834** **SPP Submission of Tariff Revisions to Implement Temporary Freeze on Registration for Participation in SPP Markets**
- On April 26, 2013, SPP submitted its compliance filing pursuant to the March 29, 2013 Order.
- On May 31, 2013, FERC issued an order accepting SPP's April 26, 2013 Compliance Filing, effective April 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-878** **SPP Submission of Tariff Revisions to Attachment AE, Section 1.2.2(g) to Allocate Energy Imbalance Service ("EIS") Market Charges and Credits Associated with the Generation of an Involuntarily Registered Qualifying Facility ("QF") to the Host Utility with Purchase Obligation under Public Utility Regulatory Polices Act of 1978 ("PURPA")**
- On April 1, 2013, Exelon Corporation filed a Motion for Leave to Answer and Answer in response to Xcel Energy Services Inc.'s March 28, 2013 Answer.
- On April 5, 2013, FERC issued an Order Accepting in Part and Rejecting in Part Proposed

Regulatory Status Report

Tariff Revisions.

FERC accepted in part, effective April 1, 2012, and rejected in part the tariff revisions to authorize SPP to allocate the EIS Market charges and credits associated with the generation of an involuntarily registered Qualifying Facility to the host utility with the purchase obligation under PURPA.

Specifically, FERC accepted the following language:

"Any EIS Market charges or payments associated with the output of such Qualifying Facility will be allocated to the Market Participant representing the host utility purchasing the output of the Qualifying Facility under PURPA, and the Market Participant will be provided the settlement data required to verify the settlement charges and payments."

FERC rejected the remaining portion of the proposed tariff language. SPP was directed to make a compliance filing to remove the rejected language.

SPP's compliance filing is due on May 6, 2013.

On April 22, 2013, SPP submitted its compliance filing pursuant to the April 5, 2013 Order.

ER13-880

SPP Submission of Ministerial Filing to Reflect Corrections to Attachment V of the Tariff

On April 1, 2013, FERC issued an order accepting the ministerial filing to correct portions of Appendix 1 and Appendix 11 to Attachment V of SPP's Tariff, effective January 15, 2013 as requested.

This order constitutes final agency action.

ER13-884

Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Happy Whiteface Wind, LLC ("Happy Whiteface Wind") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner

On April 1, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective January 2, 2013 as requested.

This order constitutes final agency action.

ER13-912

Interconnection Agreement between Tres Amigas LLC ("Tres Amigas") and Southwestern Public Service Company ("SPS"), with SPP as Signatory

On April 9, 2013, FERC issued a letter order accepting the agreement, effective January 25, 2013 as requested.

This order constitutes final agency action.

ER13-934

Generator Interconnection Agreement ("GIA") between Blue Canyon Windpower V LLC ("Blue Canyon") as Interconnection Customer and Western Farmers Electric Cooperative ("WFEC") as Transmission Owner

On April 2, 2013, FERC issued a letter order accepting the agreement, effective January 14, 2013 as requested.

Regulatory Status Report

This order constitutes final agency action.

ER13-937

Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Blue Canyon Windpower V LLC ("") as Interconnection Customer and Western Farmers Electric Cooperative ("WFEC") as Transmission Owner

On April 2, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective January 14, 2013 as requested.

This order constitutes final agency action.

ER13-948

Entergy Services, Inc. ("ESI") and the Midwest Independent Transmission System Operator, Inc. ("MISO") Filing of Six Attachment O Templates to the MISO Open Access Transmission, Energy and Operating Reserve Markets Tariff to Establish Formula Rates for the Entergy Operating Companies' Recovery of Transmission Revenue Requirements

On April 2, 2013, American Electric Power Service Corporation filed Comments in support of SPP's March 22, 2013 Comments.

On April 8, 2013, the Council of the City of New Orleans filed a Motion for Leave to Respond and Response to Protests.

The Council stated:

- 1) there is no rationale for system-wide pricing across the Entergy transmission system after MISO membership;
- 2) Commission precedent and MISO practice support utility-specific license plate rates;
- 3) no wholesale customer will be "stripped of its rights" by establishing utility-specific pricing zones with license plate rates;
- 4) multiple transmission pricing zones, including an Entergy New Orleans transmission pricing zone, will not violate storm recovery settlements or negatively impact future disaster mitigation; and
- 5) Service Schedule MSS-2 is a stand-alone service schedule and its cancellation should be addressed in a separate proceeding.

On April 9, 2013, Entergy Services, Inc. filed a Motion for Leave to Answer and Answer to Protests and Comments.

Entergy stated:

- 1) the Attachment O templates are just and reasonable;
- 2) the proposed four transmission pricing zones are just and reasonable; and
- 3) procedural challenges are unsupported.

Entergy also provided clarification of references to Cleco Power's North Lake facilities and the effect on through-and-out-rates.

On April 12, 2013, the MISO Transmission Owners filed an Errata to the March 22, 2013 Motion to Intervene and Comments in order to correct footnote 2.

On April 16, 2013, Entergy Services, Inc. and the Midwest Independent Transmission System Operator, Inc. filed a Motion for Leave to Answer and Answer in response to SPP's March 22, 2013 Motion to Intervene and Comments and Tennessee Valley Authority's March 22, 2013 Motion to Intervene and Protest.

Regulatory Status Report

Entergy and MISO stated:

- 1) SPP's and TVA's loop flow claims are premature;
- 2) SPP's and TVA's loop flow predictions have no merit and SPP and TVA have not shown that the current, FERC-approved coordination and loop flow control procedures among MISO, SPP, and TVA are no longer just and reasonable; and
- 3) SPP's and TVA's factual claims are baseless in any event.

On April 29, 2013, Tennessee Valley Authority filed a Motion to Strike the Motion for Leave to Answer and Answer of the Midwest Independent Transmission System Operator, Inc. and Entergy Services, Inc. filed on April 16, 2013.

On May 2, 2013, SPP filed a Motion for Leave to Answer and Answer in response to Entergy Services, Inc.'s and the Midwest Independent Transmission System Operator, Inc.'s April 16, 2013 Answer.

SPP stated:

- 1) SPP's concerns are not premature;
- 2) the currently effective Joint Operating Agreement does not address SPP's concerns; and
- 3) the Parties' disagreement over the nature and magnitude of likely impacts demonstrates the need for a full factual investigation.

On May 8, 2013, Entergy Services, Inc. and the Midcontinent Independent System Operator, Inc. filed an answer to the Motion to Strike of Tennessee Valley Authority filed on April 29, 2013, and SPP's May 2, 2013 Answer.

Entergy and MISO stated that TVA's and SPP's arguments are a collateral attached on the Joint Operating Agreement and Congestion Management Process.

On June 20, 2013, FERC issued an Order Conditionally Accepting Certain Proposed Tariff Revisions, Accepting and Suspending Certain Proposed Tariff Revisions, and Establishing Hearing and Settlement Judge Procedures in Docket Nos. ER12-2681, ER13-948 and ER13-782.

FERC found that the proposed Attachment O formula rates for the New ITC Operating Companies and the Entergy Operating Companies, Schedules 40, 41, 42-A, and 42-B, and certain related agreements, as well as the OPEB Filing (proposed accounting and ratemaking treatment for certain pension and post-retirement welfare), except for some issues resolved, raise issues of material fact that cannot be resolved based on the record, and are more appropriately addressed in hearing and settlement judge procedures.

FERC directed the ITC Applicants and the Entergy Operating Companies to file formula rate protocols that comply with the MISO Protocols Investigation Order. The compliance filing is due August 19, 2013.

FERC directed MISO, on or by November 1, 2013, to file an informational report detailing the status of the negotiations regarding revisions to existing Joint Operating Agreements ("JOAs") or the development of new JOAs to address the loop flow concerns, with SPP, Tennessee Valley Authority, and Associated Electric Cooperative, Inc.

FERC granted a waiver of Order No. 714, in this instance because either Applicants will file clean tariff sheets in eTariff prior to closing of the Entergy-ITC Transaction, or Entergy will file

Regulatory Status Report

clean tariff sheets in eTariff if the Entergy-ITC transaction is delayed or cancelled.

Docket Nos. ER12-2681-000, ER13-948-000, and ER13-782-000 were consolidated for purposed of hearing and settlement judge procedures.

On June 28, 2013, FERC issued an Order Setting Settlement Conference and Procedure.

A settlement conference was scheduled for July 16, 2013.

ER13-989 Request to Terminate Point-to-Point Transmission Service Agreement between SPP as Transmission Provider and AES Shady Point, LLC ("AES") as Transmission Customer

On April 23, 2013, FERC issued an order approving SPP's request to terminate the Service Agreement for Point-to-Point Transmission Service between SPP as Transmission Provider and AES Shady Point, LLC as Transmission Customer pursuant to Section 7.4 of SPP's Tariff, effective February 25, 2013 as requested.

This order constitutes final agency action.

ER13-1011 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On April 23, 2013, FERC issued a letter order accepting the agreement, effective February 1, 2013 as requested.

This order constitutes final agency action.

ER13-1012 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On April 23, 2013, FERC issued a letter order accepting the agreement, effective February 1, 2013 as requested.

This order constitutes final agency action.

ER13-1013 Meter Agent Services Agreement between Flat Ridge 2 Wind Energy LLC ("Flat Ridge") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On April 23, 2013, FERC issued a letter order accepting the agreement, effective February 1, 2013 as requested.

This order constitutes final agency action.

ER13-1014 Meter Agent Services Agreement between Arkansas Electric Cooperative Corporation ("AECC") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On April 23, 2013, FERC issued a letter order accepting the agreement, effective February 1, 2013 as requested.

This order constitutes final agency action.

Regulatory Status Report

ER13-1052 **Midwest Independent Transmission System Operator, Inc. ("MISO") and PJM Interconnection, L.L.C. ("PJM") Submission of Revisions to Section 4.1 of Attachment 2 - Congestion Management Process ("CMP") to the Joint Operating Agreement ("JOA") between MISO and PJM**

On April 12, 2013, the Midwest Independent Transmission System Operator, Inc. and PJM Interconnection, L.L.C. filed a Motion for Leave to File Answer and Answer in response to protests and comments filed in this proceeding.

On April 15, 2013, the Midwest Independent Transmission System Operator, Inc. and PJM Interconnection, L.L.C. filed an Errata to Motion for Leave to File Answer and Answer filed on April 12, 2013.

On May 6, 2013, FERC issued an Order on Tariff Revisions conditionally accepting the proposed tariff revisions, subject to a compliance filing, to be effective March 8, 2013.

The compliance filing is due on June 5, 2013.

On May 31, 2013, Midcontinent Independent System Operator, Inc. and PJM Interconnection, L.L.C. submitted their Compliance Filing in response to the May 6, 2013 Order.

On June 28, 2013, FERC issued an order accepting the May 31, 2013 Compliance Filing.

ER13-1054 **Midwest Independent Transmission System Operator, Inc. ("MISO") and PJM Interconnection, L.L.C. ("PJM") Submission of Revisions to Section 4.1 of Attachment 2 - Congestion Management Process ("CMP") to the Joint Operating Agreement ("JOA") between MISO and PJM**

On April 12, 2013, the Midwest Independent Transmission System Operator, Inc. and PJM Interconnection, L.L.C. filed a Motion for Leave to File Answer and Answer in response to protests and comments filed in this proceeding.

On April 15, 2013, the Midwest Independent Transmission System Operator, Inc. and PJM Interconnection, L.L.C. filed an Errata to Motion for Leave to File Answer and Answer filed on April 12, 2013.

On May 6, 2013, FERC issued an Order on Tariff Revisions accepting the proposed revisions, to be effective June 18, 2013.

ER13-1061 **SPP Submission of Tariff Revisions to Implement Changes to the Formula Rate Template for Lincoln Electric System ("LES")**

On April 29, 2013, FERC issued an order accepting the tariff revisions to implement changes to the Formula Rate Template for Lincoln Electric System, effective January 1, 2013.

This order constitutes final agency action.

ER13-1068 **Submission of Tariff Revisions to Modify the Allocation of Costs for Restudy of an Aggregate Study under Sections 19.4 and 32.4 of the Tariff**

On May 29, 2013, FERC issued an order accepting the tariff revisions to modify Sections 19.4 and 32.4 to change the allocation of costs related to the restudy of an Aggregate Study.

An effective date of June 1, 2013 was granted.

Regulatory Status Report

This order constitutes final agency action.

ER13-1084

Generator Interconnection Agreement ("GIA") between EDF Renewable Development, Inc. ("EDF Renewable") as Interconnection Customer and Mid-Kansas Electric Company, LLC ("MKEC") as Transmission Owner

On April 4, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On May 10, 2013, FERC issued a letter order accepting the agreement, effective February 15, 2013 as requested.

This order constitutes final agency action.

ER13-1173

SPP Submission of Tariff Revisions to Modify Certain Aspects of the SPP Integrated Marketplace

On April 9, 2013, NextEra Energy Resources, LLC filed a Motion to Intervene.

On April 16, 2013, American Electric Power Service Corporation filed a Motion to Intervene.

On April 18, 2013, E.ON Climate & Renewables North America, LLC filed a Motion to Intervene.

On April 18, 2013, the TDU Intervenors filed a Motion to Intervene.

On May 7, 2013, Lincoln Electric System filed a Motion to Intervene.

ER13-1264

Generator Interconnection Agreement ("GIA") between Prairie Breeze Wind Energy LLC ("Prairie Breeze") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On April 11, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Prairie Breeze Wind Energy LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. First Revised 2221.

An effective date of March 22, 2013 was requested.

On May 31, 2013, FERC issued a letter order accepting the agreement, effective March 22, 2013 as requested.

This order constitutes final agency action.

ER13-1292

Order No. 764 Compliance Filing Modifying Attachment V, Generator Interconnection Procedures and Generator Interconnection Agreement

On April 16, 2013, SPP submitted a Compliance Filing to adopt data reporting requirements in compliance with Order No. 764.

SPP submitted revisions to its pro forma Generator Interconnection Procedures and Generator Interconnection Agreement in Attachment V of the Tariff to require generator interconnection customers that execute a Generator Interconnection Agreement after the effective date of this filing to provide certain meteorological, geographic, and force outage data to SPP in accordance with Order No. 764.

Regulatory Status Report

An effective date of June 16, 2013 was requested.

On April 23, 2013, NextEra Energy Resources, LLC filed a Motion to Intervene.

On April 26, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.

On June 27, 2013, FERC issued an Order Conditionally Accepting Tariff Revisions.

FERC conditionally accepted the Tariff revisions to become effective June 16, 2013, as requested, subject to a further compliance filing to comply with other aspects of Order No. 764, which is due on November 12, 2013.

ER13-1294

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Associated Electric Cooperative, Inc. ("AECI") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner

On April 16, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Associated Electric Cooperative, Inc. as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Eighth Revised 1154.

An effective date of March 17, 2013 was requested.

On June 11, 2013, FERC issued a letter order accepting the agreement, effective March 17, 2013 as requested.

This order constitutes final agency action.

ER13-1301

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Gas and Electric Company ("OG&E") as Network Customer, and OG&E, American Electric Power Service Corporation ("AEP"), and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On April 16, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Gas and Electric Company as Network Customer, as well as a NOA with OG&E, American Electric Power Service Corporation, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Seventh Revised 1313.

An effective date of April 1, 2013 was requested.

On April 22, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.

On June 11, 2013, FERC issued a letter order accepting the agreement, effective April 1, 2013 as requested.

This order constitutes final agency action.

ER13-1350

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC

Regulatory Status Report

("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On April 26, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Sixth Revised 2198.

An effective date of April 1, 2013 was requested.

On May 6, 2013, Kansas Power Pool filed a Motion to Intervene.

On May 16, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On June 19, 2013, FERC issued a letter order accepting the agreement, effective April 1, 2013 as requested.

This order constitutes final agency action.

ER13-1362

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner

On April 30, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and American Electric Power Service Corporation as both Network Customer and Host Transmission Owner, and an unexecuted NOA between SPP as Transmission Provider, AEP as Network Customer, and Western Farmers Electric Cooperative as Host Transmission Owner. SPP Service Agreement No. Fifteenth Revised 1148.

AEP declined to execute the WFEC NOA due to a dispute regarding the facilities necessary to install the new Ellis point of delivery.

An effective date of April 1, 2013 was requested.

On May 20, 2013, American Electric Power Service Corporation filed a Motion to Intervene.

On May 21, 2013, East Texas Cooperatives filed a Motion to Intervene.

On May 21, 2013, American Electric Power Service Corporation filed Comments and supporting testimony in response to SPP's April 30, 2013 Filing.

AEP stated:

- 1) AEP's proposed configuration is necessary to reliably serve AEP's load;
- 2) the network upgrades in AEP's proposed configuration qualify as transmission facilities that will be integrated with the SPP transmission system; and
- 3) because the network upgrades qualify as transmission facilities that are integrated with the transmission network, the costs of such facilities must be rolled into rates.

On May 22, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments in response to SPP's April 30, 2013 Filing.

WFEC stated:

Regulatory Status Report

- 1) the underlying dispute between WFEC and AEP is not at issue in this proceeding; and
- 2) the Commission should deny the relief sought by AEP in this proceeding.

On June 3, 2013, American Electric Power Service Corporation filed a proposed form of protective agreement governing the handling of the CEII material contained in AEP's May 21, 2013 Filing.

On June 5, 2013, American Electric Power Service Corporation filed an answer in response to Western Farmers Electric Cooperative's Motion to Intervene and Comments filed on May 22, 2013.

AEP stated:

- 1) negotiations have stalled, which is why it is necessary to employ alternative means to resolve the dispute;
- 2) the dispute is appropriately before FERC;
- 3) the facilities to enable looped transmission service will be part of an integrated grid; AEP is not forcing WFEC to "dismember" its transmission facilities;
- 4) the Commission should disregard WFEC's "after the fact" cost estimates;
- 5) even with rolled-in treatment, AEP would pay a share of the costs of the Network Upgrades necessary to enable looped transmission service; and
- 6) SPP's initial assessment regarding the impact of the load on the existing transmission system does not address whether Network Upgrades are appropriate to reliably serve the load.

On June 5, 2013, the East Texas Cooperatives filed an answer in response to Western Farmers Electric Cooperative's Motion to Intervene and Comments filed on May 22, 2013.

The cooperatives stated:

- 1) SPP's determination that a new delivery point will not have a "significant impact" on the transmission system is not determinative of whether facilities built to accommodate a new delivery point are transmission facilities; and
- 2) facilities that meet the definition of transmission under Attachment AI are transmission facilities.

On June 5, 2013, Western Farmers Electric Cooperative filed an answer in response to AEP's Comments filed on May 21, 2013.

WFEC stated:

- 1) AEP's requests for Commission action are procedurally improper and should be rejected;
- 2) WFEC has fulfilled, and continues to fulfill, its Host Transmission Owner responsibilities; and
- 3) AEP's arguments rely on unsupported assumptions.

On June 20, 2013, Western Farmers Electric Cooperative filed an answer in response to the answers filed on June 5, 2013 by American Electric Power Service Corporation and the East Texas Cooperatives.

WFEC stated:

- 1) the SPP Attachment AQ Study sufficiently demonstrates that no network upgrades are required in this case;
- 2) AEP's references to past delivery point arrangements in which cost allocation remained unresolved do not support similar treatment in this case; and
- 3) if facility costs are rolled-in, AEP will pay nothing.

Regulatory Status Report

On June 24, 2013, American Electric Power Service Corporation filed an answer in response to WFECC's June 20, 2013 Answer.

On June 28, 2013, FERC issued a letter order accepting the agreement, effective April 1, 2013 as requested.

The Commission determined that this proceeding was not the appropriate forum to rule on the merits of the dispute between Western Farmers Electric Cooperative and American Electric Power Service Corporation and is beyond the scope of this proceeding.

ER13-1363

Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Associated Electric Cooperative, Inc. ("AECI") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") as Host Transmission Owner

On April 30, 2013, SPP submitted a Notice of Cancellation of the NITSA between SPP as Transmission Provider, Associated Electric Cooperative, Inc. as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. 1619.

This agreement was replaced and superseded by SPP Service Agreement No. Eighth Revised 1154 filed in Docket No. ER13-1294.

An effective date of March 17, 2013 was requested.

On June 18, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective March 17, 2013 as requested.

This order constitutes final agency action.

ER13-1377

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and The Empire District Electric Company ("Empire") as Network Customer and Host Transmission Owner

On April 30, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and The Empire District Electric Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1630.

An effective date of April 1, 2013 was requested.

On June 19, 2013, FERC issued a letter order accepting the agreement, effective April 1, 2013 as requested.

This order constitutes final agency action.

ER13-1378

SPP Submission of Tariff Revisions to Implement a Stated Rate to Accommodate the Recovery of Revenue Requirements for SPP Member City of Coffeyville

On April 30, 2013, SPP submitted revisions to its Tariff to implement a stated rate to accommodate the recovery of revenue requirements for SPP member City of Coffeyville, through its municipal utility Coffeyville Municipal Light and Power to be included in the American Electric Power-West Zone 1 pricing zone under the Tariff.

Regulatory Status Report

An effective date of July 1, 2013 was requested.

On May 1, 2013, SPP submitted an errata to correct the date in section VI of the Transmittal Letter submitted in the April 30, 2013 filing.

On May 14, 2013, Coffeyville Municipal Light and Power filed a Motion to Intervene.

On May 15, 2013, SPP submitted an errata filing to correctly identify the title of the filing in eTariff regarding the implementation of the stated rate for City of Coffeyville. An effective date of July 1, 2013 was requested.

On May 22, 2013, American Electric Power Service Corporation filed a Motion to Intervene.

On June 24, 2013, FERC issued an order accepting tariff revisions to implement a stated rate to accommodate the recovery of revenue requirements for SPP member City of Coffeyville, through its municipal utility Coffeyville Municipal Light and Power to be included in the American Electric Power-West Zone 1 pricing zone under the Tariff.

An effective date of July 1, 2013 was granted.

This order constitutes final agency action.

ER13-1379

SPP Submission of Tariff Revisions to Incorporate Revenue Distribution with Balanced Portfolio Reallocation

On April 30, 2013, SPP submitted revisions to its Tariff to modify Attachment L, Section III of the Tariff to clarify that all revenues derived from the Region-wide charge for Point-to-Point Transmission Service that is associated with any amount reallocated from Zonal Annual Transmission Revenue Requirements shall be distributed to those Transmission Owner(s) that would otherwise receive less revenue as a result of the Balanced Portfolio reallocation.

An effective date of July 1, 2013 was requested.

On May 8, 2013, Exelon Corporation filed a Motion to Intervene.

On May 16, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On May 20, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On June 12, 2013, FERC issued an order accepting the revisions to Attachment L, Section III of the Tariff to clarify that all revenues derived from the Region-wide charge for Point-to-Point Transmission Service that is associated with any amount reallocated from Zonal Annual Transmission Revenue Requirements shall be distributed to those Transmission Owner(s) that would otherwise receive less revenue as a result of the Balanced Portfolio reallocation.

An effective date of July 1, 2013 was granted.

ER13-1446

Request for Waiver of Tariff Provision and Expedited Treatment to Permit SPP to Accept Cargill Power Markets, LLC's Reservation for Short-Term Firm Transmission Service

On May 9, 2013, SPP filed a Request for Waiver of Tariff Provision and Expedited Treatment to permit SPP to accept Cargill Power Markets, LLC's reservation for short-term firm

Regulatory Status Report

transmission service. SPP requested that the Commission act on the waiver as expeditiously as possible so that SPP can accept the reservation to begin transmission service on June 1, 2013.

On May 17, 2013, SPP filed a Motion to Withdraw the request for waiver of Tariff provisions filed in this proceeding.

On May 20, 2013, Tenaska Power Services Co. filed a Motion to Intervene, Comments in Support of Withdrawal Motion, and Protest.

Tenaska stated it supports SPP's Motion to Withdraw filed on May 17, 2013. To the extent that SPP is not permitted to withdraw the filing, Tenaska protests the May 9, 2013 Filing and asserts that SPP's request does not meet the Commission's standards for granting a waiver and should be denied.

ER13-1495

Generator Interconnection Agreement ("GIA") between Cottonwood Wind Project, LLC ("Cottonwood") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On May 15, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Cottonwood Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. First Revised 2252.

An effective date of April 18, 2013 was requested.

ER13-1533

Executed Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On May 21, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2548.

An effective date of May 1, 2013 was requested.

ER13-1543

Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Hays Wind LLC ("Hays Wind") as Interconnection Customer and Midwest Energy, Inc. ("Midwest") as Transmission Owner

On May 23, 2013, SPP submitted a Notice of Cancellation of the LGIA between SPP as Transmission Provider, Hays Wind LLC as Interconnection Customer, and Midwest Energy, Inc. as Transmission Owner. SPP Service Agreement No. 1816.

An effective date of April 28, 2013 was requested.

ER13-1568

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Northeast Texas Electric Cooperative, Inc. ("NTEC") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner

On May 29, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Northeast Texas Electric Cooperative, Inc. as Network Customer, as well as a NOA with American Electric Power Company as Host Transmission Owner. SPP Service Agreement No. Fifth Revised 1066.

Regulatory Status Report

An effective date of May 1, 2013 was requested.

On June 5, 2013, Northeast Texas Electric Cooperative, Inc. filed a Motion to Intervene.

ER13-1569

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Tex-La Electric Cooperative of Texas, Inc. ("Tex-La") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner

On May 29, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Tex-La Electric Cooperative of Texas, Inc., as Network Customer, as well as a NOA with American Electric Power Company as Host Transmission Owner. SPP Service Agreement No. Second Revised 1065.

An effective date of May 1, 2013 was requested.

On June 5, 2013, Tex-La Electric Cooperative of Texas, Inc. filed a Motion to Intervene.

ER13-1571

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On May 29, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2551.

An effective date of May 1, 2013 was requested.

On June 21, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Substitute Original 2551.

This agreement replaces the agreement filed on May 29, 2013 in this proceeding.

An effective date of May 1, 2013 was requested.

ER13-1572

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, East Texas Electric Cooperative, Inc. ("ETEC") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner

On May 29, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, East Texas Electric Cooperative, Inc. as Network Customer, as well as a NOA with American Electric Power Company as Host Transmission Owner. SPP Service Agreement No. Second Revised 1067.

An effective date of May 1, 2013 was requested.

On June 5, 2013, East Texas Electric Cooperative, Inc. filed a Motion to Intervene.

Regulatory Status Report

- ER13-1584** **Submission of Revisions to Attachment AD of the Tariff to Amend Tariff Administration Agreement between SPP and Southwestern Power Administration ("Southwestern")**
- On May 30, 2013, SPP submitted a revision to Attachment AD of its Tariff in order to amend the Tariff Administration Agreement between SPP and Southwestern Power Administration.
- On April 30, 2013, SPP and Southwestern executed an amendatory agreement to extend the term through August 31, 2013, and to modify the flat fee Southwestern will compensate SPP for performing the services under the agreement.
- An effective date of May 1, 2013 was requested.
- On June 19, 2013, Southwestern Power Administration filed a Motion to Intervene.
- ER13-1629** **Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Rock Creek Wind Project, LLC ("Rock Creek") as Interconnection Customer and KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Transmission Owner**
- On June 3, 2013, SPP submitted a Notice of Cancellation of the LGIA between SPP as Transmission Provider, Rock Creek Wind Project, LLC as Interconnection Customer, and KCP&L Greater Missouri Operations Company as Transmission Owner. SPP Service Agreement No. 1792.
- An effective date of May 20, 2013 was requested.
- ER13-1635** **Executed Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**
- On June 5, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. First Revised 2548.
- An effective date of June 1, 2013 was requested.
- ER13-1708** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On June 17, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. First Revised 2551.
- An effective date of June 1, 2013 was requested.
- On June 21, 2013, SPP filed a Motion to Withdraw this entire proceeding. The agreement should have been filed in Docket No. ER13-1571.
- ER13-1719** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and Southwestern Public Service Company ("SPS") as**

Regulatory Status Report

Host Transmission Owner

On June 18, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Golden Spread Electric Cooperative, Inc. as Network Customer, as well as a NOA with Southwestern Public Service Company as Host Transmission Owner. SPP Service Agreement No. Second Revised 2236.

An effective date of July 1, 2011 was requested.

ER13-1737

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On June 21, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Tenth Revised 1636.

An effective date of June 1, 2013 was requested.

On June 27, 2013, SPP submitted an Amended NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Substitute Tenth Revised 1636.

This agreement replaces the agreement filed on June 21, 2013.

An effective date of June 1, 2013 was requested.

ER13-1748

Order No. 755 Compliance Filing to Adopt a Two-Part Compensation Methodology for Resources that Provide Regulation-Up and Regulation-Down Operating Reserve Products in the SPP Integrated Marketplace and Other Tariff Language

On June 21, 2013, in Docket No. ER13-1748, SPP submitted its Order No. 755 Compliance Filing to adopt a two-part compensation methodology for Resources that provide Regulation-Up and Regulation-Down Operating Reserve products in the SPP Integrated Marketplace, and to adopt other Tariff language required by Order No. 755.

An effective date of March 1, 2015 was requested.

ER13-1765

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Nemaha-Marshall Electric Cooperative ("Nemaha-Marshall") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On June 25, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Nemaha-Marshall Electric Cooperative as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 1977.

An effective date of June 1, 2013 was requested.

Regulatory Status Report

ER13-1768

Submission of Tariff Revisions to Attachment AN to Incorporate into the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority ("SPP BA Agreement")

On June 25, 2013, SPP submitted Tariff revisions to incorporate into Attachment AN of the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority in Docket No. ER13-1768.

Additionally, SPP submitted revisions to SPP's Bylaws and Membership Agreement contained in SPP's Governing Documents Tariff that are necessary to facilitate the implementation of the SPP Balancing Authority. Due to software limitations, the Bylaws and Membership Agreement changes were submitted under Docket No. ER13-1769.

An effective date of March 1, 2014 was requested.

ER13-1769

Submission of Bylaws and Membership Agreement Revisions Necessary to Facilitate the Implementation of the SPP Balancing Authority

On June 25, 2013, SPP submitted Tariff revisions to incorporate into Attachment AN of the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority in Docket No. ER13-1768.

Additionally, SPP submitted revisions to SPP's Bylaws and Membership Agreement contained in SPP's Governing Documents Tariff that are necessary to facilitate the implementation of the SPP Balancing Authority. Due to software limitations, the Bylaws and Membership Agreement changes were submitted under Docket No. ER13-1769.

An effective date of March 1, 2014 was requested.

ER13-1772

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On June 25, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Eleventh Revised 1374.

An effective date of June 1, 2013 was requested.

ER13-1780

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On June 25, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2565.

An effective date of June 1, 2013 was requested.

Regulatory Status Report

ER13-1807

Baseline Electronic Tariff Filing for the Joint Operating Agreement ("JOA") Between Southwest Power Pool, Inc. and Midcontinent Independent System Operator, Inc. ("MISO") (SPP Rate Schedule FERC No. 9)

On June 27, 2013, SPP submitted a baseline electronic tariff filing of the Joint Operating Agreement between SPP and the Midcontinent Independent System Operator, Inc.

In this filing, SPP submitted a baseline version of the SPP-MISO JOA and cancelled the current certificate of concurrence contained in SPP's Rate Schedules and Seams Agreement Tariff. The submitted language reflects the the Commission-accepted JOA. SPP was required to make a baseline filing of the JOA at this time because of Commission orders in other dockets that obligate SPP to submit revisions to the JOA in the near future.

An effective date of June 27, 2013 was requested.

ER13-1809

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On June 27, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Seventh Revised 2198.

An effective date of May 1, 2013 was requested.

ER13-1819

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners

On June 27, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation as Host Transmission Owners. SPP Service Agreement No. Third Revised 1534.

An effective date of June 1, 2013 was requested.

ER13-1832

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On June 28, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Eighth Revised 2198.

An effective date of June 1, 2013 was requested.

Regulatory Status Report

- ER13-1840** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOAs") between SPP, Western Farmers Electric Cooperative ("WFEC") as Network Customer and Host Transmission Owner, and American Electric Power ("AEP") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners**
- On June 28, 2013, SPP submitted an executed 1) NITSA between SPP as Transmission Provider and Western Farmers Electric Cooperative as Network Customer; 2) a NOA with American Electric Power as Host Transmission Owner; and 3) a NOA with Western Farmers Electric Cooperative and Oklahoma Gas and Electric Company as Host Transmission Owners. SPP Service Agreement No. Fifth Revised 1628.
- An effective date of June 1, 2013 was requested.
- ER13-1841** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners**
- On June 28, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Westar Energy, Inc. as Network Customer, as well as a two NOAs with Oklahoma Gas and Electric Company and Westar as Host Transmission Owners. SPP Service Agreement No. Nineteenth Revised 607.
- An effective date of June 1, 2013 was requested.
- ER13-1862** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners**
- On June 28, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Network Customer, as well as a NOA with American Electric Power Service Corporation, Oklahoma Gas and Electric Company, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Eighteenth Revised 1166.
- An effective date of June 1, 2013 was requested.
- ER13-1864** **Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Include Market-to-Market ("M2M") Terms and Conditions (SPP Rate Schedule FERC No. 9)**
- On June 28, 2013, in Docket No. ER13-1864, SPP submitted revisions to the Joint Operating Agreement between SPP and the Midcontinent Independent System Operator, Inc. to reflect the market-to-market terms and conditions to which SPP and MISO have substantively agreed, which have been incorporated into a proposed Attachment 2 - Interregional Coordination Process to the JOA.
- SPP requested an effective date of one-year following the start-up of SPP's Integrated Marketplace currently scheduled for March 1, 2014.

Regulatory Status Report

ES13-20

Application of Southwest Power Pool, Inc. Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities

On April 16, 2013, SPP filed an Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities of up to \$30,000,000 in non-secured Promissory Notes. The Notes will be used to: (i) supplement SPP's short-term working capital needs; and (ii) satisfy the liquidity requirements of SPP's various outstanding credit agreements.

SPP requested the Commission approve this application by June 16, 2013.

On May 23, 2013, SPP filed an amendment to its Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities filed on April 16, 2013.

SPP requested the Commission approve this application by June 13, 2013.

On June 6, 2013, FERC issued an order authorizing SPP to issue unsecured promissory notes in an aggregate principal amount not to exceed \$30 million. The authorization is effective on this date and expires on June 6, 2015.

SPP is to file a Report of Securities Issued no later than 30 days after the issuance of securities.

RC11-6

North American Electric Reliability Corporation's ("NERC") Petition Requesting Approval of New Enforcement Mechanisms and Submittal of Initial Informational Filing Regarding NERC's Efforts to Refocus Implementation of Its Compliance Monitoring and Enforcement Program ("CMEP")

On April 15, 2013, Occidental Energy Ventures Corp. filed a Motion to Intervene and Comments in response to NERC's March 15, 2013 Compliance Filing and Report. Occidental stated that it generally supports NERC's proposed enhancements to the Find, Fix, Track and Report program, but proposes additional enhancements to the program.

On April 15, 2013, the Trade Associations filed Comments in response to NERC's March 15, 2013 Compliance Filing and Report. The Trade Associations urged the Commission to promptly approve the Find, Fix, Track and Report enhancements proposed by NERC, and encouraged NERC to undertake further efforts to reduce the violation backlog and refocus resources of NERC, its Regional Entities, and registered entities on activities that will enhance reliability and better align with risk.

On April 15, 2013, the ISO-RTO Council filed a Motion to Intervene and Comments in response to NERC's March 15, 2013 Compliance Filing and Report. The IRC stated that it supports the proposals in NERC's Petition and requested that the Commission approves them and authorize NERC to implement as soon as practical.

On June 20, 2013, FERC issued an Order on Compliance Filing, accepting NERC's March 15, 2013 Compliance Filing regarding the implementation and progress with the Find, Fix Track and Report program. In addition, FERC approved four of the five enhancements to the FFT program proposed by NERC, subject to certain conditions.

FERC accepted the proposal to expand the FFT program by allowing FFT treatment for some moderate risk possible violations, subject to NERC reporting on its implementation in a Compliance Filing within one year of the date of this order.

Regulatory Status Report

RD13-3 **Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Proposed Reliability Standard EOP-004-2 - Event Reporting**

On June 20, 2013, FERC issued an Order Approving Reliability Standard EOP-004-2 - Event Reporting.

RD13-9 **North American Electric Reliability Corporation ("NERC") and SPP Regional Entity ("SPP RE") Joint Petition for Approval of Proposed Regional Reliability Standard PRC-006-SPP-01 (Under Frequency Load Shedding)**

On April 26, 2013, NERC and the SPP Regional Entity filed a Joint Petition for Approval of Proposed Regional Reliability Standard PRC-006-SPP-01 (Under Frequency Load Shedding).

On May 8, 2013, Southwestern Power Administration filed a Motion to Intervene.

On May 20, 2013, Mississippi Delta Energy Agency, the Clarksdale Public Utilities Commission, and the Public Service Commission of Yazoo City filed a Motion to Intervene.

On May 20, 2013, Midwest Reliability Organization filed a Motion to Intervene and Protest.

MRO stated:

- 1) the SPP Regional Entity has not provided sufficient justification to support the approval of the Regional Reliability Standard; and
- 2) the justification offered for each of the nine requirements of PRC-006-SPP-01 is insufficient to support approval of the Regional Reliability Standard.

On May 20, 2013, Omaha Public Power District filed a Motion to Intervene.

On May 20, 2013, Omaha Public Power District filed a Protest.

OPPD stated that all Underfrequency Load Shedding requirements should be addressed by the SPP RTO Planning Coordinator as required for the implementation of the continent-wide NERC reliability standard PRC-006-01, because the proposed regional reliability standard, PRC-006-SPP-01, would be duplicative, confusing and unnecessary.

RM01-5 **Electronic Tariff Filings**

On April 12, 2013, FERC issued a Supplemental Notice of Technical Conference to be held on April 16, 2013. Conference materials have been posted on the Commission's website.

RM04-7 **Market-Based Rates for Wholesale Sales of Electric Energy, Capacity and Ancillary Services by Public Utilities**

On May 16, 2013, FERC issued an Order Rejecting Request for Rehearing filed by Ameren Services Company on February 22, 2011.

RM05-5 **Standards for Business Practices and Communication Protocols for Public Utilities**

On April 22, 2013, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 676-G issued on February 21, 2013.

RM06-16 **Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006**

On May 31, 2013, NERC submitted its first quarter 2013 compliance filing in response to

Regulatory Status Report

Paragraph 629 of Order No. 693.

RM10-12

Electricity Market Transparency Provisions of Section 220 of the Federal Power Act (Order No. 768)

On April 18, 2013, FERC issued Order No. 768-A, Order on Rehearing and Clarification. FERC denied the requests for rehearing and granted certain requests for clarification. FERC noted it already extended the compliance effective date for the e-Tag ID requirement set forth in Order No. 768. To the extent commenters seek a stay of other requirements of Order No. 768, the Commission denied those stay requests.

RM10-23

Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities

On May 16, 2013, Petitioners and Intervenors in support filed an Expedited Joint Motion to Reallocate Word Limits of Briefs due on May 28, 2013, in U.S. Court of Appeals Case No. 12-1232.

On May 17, 2013, the U.S. Court of Appeals issued an Order granting the Expedited Joint Motion to Reallocate Word Limits of Briefs due on May 28, 2013, in Case No. 12-1232.

On May 28, 2013, Petitioner and Intervenors filed briefs in U.S. Court of Appeals Case No. 12-1232.

On June 12, 2013, Northwestern Corporation filed a Request for Waiver and Extension of Time to Submit Compliance Filing regarding the interregional planning and cost allocation requirements of Order No. 1000.

On June 13, 2013, SPP and the Midcontinent Independent System Operator, Inc. filed a Joint Motion for Extension of Time and Expedited Commission Action. SPP and MISO requested a 120-day extension to comply with the interregional transmission coordination and cost allocation requirements of Order No. 1000, with regard to MISO's and SPP's respective development of such a compliance filing with NorthWestern Corporation and the Mid-Continent Area Power Pool.

On June 18, 2013, Basin Electric Power Cooperative filed an answer in support of the Joint Motion for Extension for Time filed by the Midcontinent Independent System Operator, Inc. and SPP on June 13, 2013.

RM12-1

Transmission Planning Reliability Standards

On May 16, 2013, FERC issued a Supplemental Notice of Proposed Rulemaking proposing to approve Reliability Standard TPL-001-4, which supersedes proposed Reliability Standard TPL-001-2.

Comments are due on June 24, 2013.

Parties filed comments in response to the Supplemental NOPR issued on May 16, 2013.

RM12-3

Revisions to Electric Quarterly Report ("EQR") Filing Process (Order No. 770)

On April 12, 2013, FERC issued a Supplemental Notice of Technical Conference to be held on April 16, 2013. Conference materials have been posted on the Commission's website.

On May 8, 2013, FERC issued a Notice of Availability of XML Schema.

Regulatory Status Report

RM12-6

On May 31, 2013, FERC issued a Notice of Availability of Video Showing How to File Electric Quarterly Reports Using the Web Interface.

Petition of the North American Electric Reliability Corporation ("NERC") for Approval of a Revised Definition of "Bulk Electric System" in the NERC Glossary of Terms Used in Reliability Standards (Order No. 773)

On April 4, 2013, the North American Electric Reliability Corporation filed its Compliance Filing pursuant to Order No. 773. NERC submitted a schedule outlining how and when it will modify Exclusion E3 of the Bulk-Electric System definition to remove the 100 kV minimum operating voltage in the local network definition.

On April 18, 2013, FERC issued Order No. 773-A, Order on Rehearing and Clarification. The Commission denied rehearing in part, granted rehearing in part, and otherwise reaffirmed its determinations in Order No. 773.

This rule becomes effective on May 17, 2013.

On May 6, 2013, FERC issued an Errata Notice to correct paragraph 10, footnotes 42, 43, 66, and paragraph 129 of Order No. 773-A issued on April 18, 2013.

On May 17, 2013, the National Rural Electric Cooperative Association and the American Public Power Association filed a Joint Motion for Clarification, or in the Alternative, Request for Rehearing of Order No. 773-A issued on April 18, 2013.

On May 23, 2013, NERC filed a Motion for Extension of Time and Request for Shortened Comment Period and Expedited Action.

NERC requested an extension of time of the effective date of the definition of "Bulk Electric System" from July 1, 2013 to July 1, 2014.

On May 24, 2013, FERC issued a Notice Shortening Answer Period to May 31, 2013, in order to respond to NERC's May 23, 2013 Motion for Extension of Time.

Several entities filed answers in response to NERC's Motion for Extension of Time and Request for Shortened Comment Period and Expedited Action filed on May 23, 2013.

On June 3, 2013, NERC submitted an Informational Filing regarding how a list of facilities and Bulk Electric System Elements for which Exceptions have been granted and those for which an entity has made a self-determined exclusion, will be maintained. The informational filing also identifies how information will be made available to the Commission, Regional Entities, and to other interested persons.

On June 4, 2013, NERC filed Reply Comments in response to comments that oppose, in whole or in part, the Motion for Extension as filed by NERC on May 23, 2013.

On June 6, 2013, Public Utility District No. 1 of Snohomish County, Washington, et al. filed an answer in response to NERC's June 3, 2013 Reply Comments.

On June 13, 2013, FERC issued an Order Granting Extension of Time to extend the effective date of the definition of the bulk electric system and the Rules of Procedure exception process from July 1, 2013 to July 1, 2014.

On June 14, 2013, FERC issued an Order Granting Rehearing for Further Consideration of

Regulatory Status Report

RM12-7

Order No. 773-A issued on April 18, 2013.

Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Revisions to its Rules of Procedure to Adopt a Bulk Electric System Exception Procedure (Order No. 773)

On April 4, 2013, the North American Electric Reliability Corporation filed its Compliance Filing pursuant to Order No. 773. NERC submitted a schedule outlining how and when it will modify Exclusion E3 of the Bulk-Electric System definition to remove the 100 kV minimum operating voltage in the local network definition.

On April 18, 2013, FERC issued Order No. 773-A, Order on Rehearing and Clarification. The Commission denied rehearing in part, granted rehearing in part, and otherwise reaffirmed its determinations in Order No. 773.

This rule becomes effective on May 17, 2013.

On May 6, 2013, FERC issued an Errata Notice to correct paragraph 10, footnotes 42, 43, 66, and paragraph 129 of Order No. 773-A issued on April 18, 2013.

On May 17, 2013, the National Rural Electric Cooperative Association and the American Public Power Association filed a Joint Motion for Clarification, or in the Alternative, Request for Rehearing of Order No. 773-A issued on April 18, 2013.

On May 23, 2013, NERC filed a Motion for Extension of Time and Request for Shortened Comment Period and Expedited Action.

NERC requested an extension of time of the effective date of the definition of "Bulk Electric System" from July 1, 2013 to July 1, 2014.

On May 24, 2013, FERC issued a Notice Shortening Answer Period to May 31, 2013, in order to respond to NERC's May 23, 2013 Motion for Extension of Time.

Several entities filed answers in response to NERC's Motion for Extension of Time and Request for Shortened Comment Period and Expedited Action filed on May 23, 2013.

On June 3, 2013, NERC submitted an Informational Filing regarding how a list of facilities and Bulk Electric System Elements for which Exceptions have been granted and those for which an entity has made a self-determined exclusion, will be maintained. The informational filing also identifies how information will be made available to the Commission, Regional Entities, and to other interested persons.

On June 4, 2013, NERC filed Reply Comments in response to comments that oppose, in whole or in part, the Motion for Extension as filed by NERC on May 23, 2013.

On June 6, 2013, Public Utility District No. 1 of Snohomish County, Washington, et al. filed an answer in response to NERC's June 3, 2013 Reply Comments.

On June 13, 2013, FERC issued an Order Granting Extension of Time to extend the effective date of the definition of the bulk electric system and the Rules of Procedure exception process from July 1, 2013 to July 1, 2014.

On June 14, 2013, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 773-A issued on April 18, 2013.

Regulatory Status Report

- RM12-9** **Regional Reliability Standard PRC-006-SERC-01 - Automatic Underfrequency Load Shedding Requirements (Order No. 772)**
- On April 30, 2013, FERC issued an order approving NERC's March 11, 2013 Compliance Filing.
- RM12-16** **Generator Requirements at the Transmission Interface**
- On April 18, 2013, FERC issued a Notice of Proposed Rulemaking proposing to approve modifications to four existing Reliability Standards as submitted by NERC. Specifically, the Commission proposes to approve Reliability Standards FAC-001-1 (Facility Connection Requirements), FAC-003-3 (Transmission Vegetation Management), PRC-004-2.1a (Analysis and Mitigation of Transmission and Generation Protection System Misoperations), and PRC-005-1.1b (Transmission and Generation Protection System Maintenance and Testing).
- FERC stated that the proposed modifications improve reliability either by extending their applicability to certain generator interconnection facilities, or by clarifying that the existing Reliability Standard is and Remains applicable to generator interconnection facilities. The Commission also proposed to approve the related Violation Risk Factors and Violation Severity Levels, as well as the implementation plan and effective dates proposed by NERC.
- Comments are due on June 24, 2013.
- Several entities filed comments in response to the NOPR issued on April 18, 2013.
- RM12-19** **Revisions to Modeling, Data, and Analysis Reliability Standard**
- On May 13, 2013, NERC filed comments in response to the the Notice of Proposed Rulemaking issued on March 21, 2013.
- On May 13, 2013, Southern Company Services, Inc. filed comments in response to the the Notice of Proposed Rulemaking issued on March 21, 2013.
- RM12-22** **Reliability Standards for Geomagnetic Disturbances ("GMDs") (Order No. 779)**
- On April 1, 2013, the Foundation for Resilient Societies filed Supplemental Comments in response to the Commission's proposal to direct NERC to submit for approval Reliability Standards that address the impact of geomagnetic disturbances on the reliable operation of the Bulk-Power System.
- On April 29, 2013, John G. Kappenman filed Supplemental Comments on behalf of Storm Analysis Consultants, Inc.
- On May 1, 2013, the Foundation for Resilient Societies filed Supplemental Comments.
- On May 10, 2013, Lloyd's filed Comments in response to the Notice of Proposed Rulemaking issued on October 18, 2012.
- On May 14, 2013, the Foundation for Resilient Societies filed Supplemental Comments.
- On May 16, 2013, FERC issued Order No. 779, Final Rule directing the North American Electric Reliability Corporation ("NERC") to submit to the Commission for approval proposed Reliability Standards that address the impact of geomagnetic disturbances on the reliable operation of the Bulk-Power System.

Regulatory Status Report

NERC was directed to implement the directive in two stages:

- 1) NERC is to submit, within 6 months of the effective date of this Final Rule, one or more Reliability Standards that require owners and operators of the Bulk-Power System to develop and implement operational procedures to mitigate the effects of GMDs consistent with the reliable operation of the Bulk-Power System; and
- 2) NERC is to submit, within 18 months of the effective date of this Final Rule, one or more Reliability Standards that require owners and operators of the Bulk-Power System to conduct initial and on-going assessments of the potential impact of benchmark GMD events on Bulk-Power System equipment and the Bulk-Power System as a whole.

This rule becomes effective on July 22, 2013.

On June 17, 2013, the Midcontinent Independent System Operator, Inc. filed a Request for Rehearing of Order No. 779, issued on May 16, 2013.

RM13-2

Small Generator Interconnection Agreements and Procedures

On April 25, 2013, FERC issued an Errata Notice correcting language in paragraph 45 and footnote 62 of the Notice of Proposed Rulemaking issued on January 17, 2013.

On June 3, 2013, the ISO/RTO Council filed Comments in response to the Notice of Proposed Rulemaking issued on January 17, 2013.

The IRC stated:

- 1) current ISO/RTO Small Generator Interconnection processes support timely and efficient processing of Small Generator Interconnection requests; and
- 2) the Final Rule should allow for regional flexibility.

The IRC also made specific comments on the pre-application report proposal.

Several entities filed comments in response to the Notice of Proposed Rulemaking issued on January 17, 2013.

On June 4, 2013, the Industrial Energy Consumers of America filed Comments in response to the Notice of Proposed Rulemaking issued on January 17, 2013.

IECA also filed a Petition for FERC action to identify and remove barriers to interconnection by combined heat and power and waste heat recovery facilities under Small Generator Interconnection Agreements and Procedures and Large Generator Interconnection Agreements and Procedures and to provide guidance to states.

RM13-5

Version 5 Critical Infrastructure Protection Reliability Standards

On April 18, 2013, FERC issued a Notice of Proposed Rulemaking proposing to approve the Version 5 Critical Infrastructure Protection Reliability Standards, CIP-002-5 through CIP-011-1 submitted by NERC.

FERC stated that the proposed Reliability Standards, which pertain to the cyber security of the bulk electric system, represent an improvement over the current Commission-approved CIP Reliability Standards as they adopt new cyber security controls and extend the scope of the systems that are protected by the CIP Reliability Standards. The Commission is concerned, however, that limited aspects of the proposed CIP version 5 Standards are potentially

Regulatory Status Report

ambiguous and, ultimately, raise questions regarding the enforceability of the standards. Therefore, the Commission proposes to direct that NERC develop certain modifications to the CIP version 5 Standards to address the matters identified by the Commission.

Comments are due on June 24, 2013.

On May 3, 2013, FERC issued an Errata Notice correcting Paragraph 119 and the table in Paragraph 124 of the Notice of Proposed Rulemaking issued on April 18, 2013.

On June 24, 2013, the ISO/RTO Council filed Comments in response to the NOPR issued on April 18, 2013.

On June 24, 2013, the SPP Regional Entity filed Comments in response to the NOPR issued on April 18, 2013.

Several parties filed comments in response to the NOPR issued on April 18, 2013.

On June 24, 2013, SPP, Kansas City Board of Public Utilities, Oklahoma Municipal Power Authority, Rayburn Country Electric Cooperative, Westar Energy, Inc., and Western Farmers Electric Cooperative filed Joint Comments in response to the NOPR issued on April 18, 2013.

RM13-6

Electric Reliability Organization Interpretation of Specific Requirements of the Disturbance Control Performance Standard

On May 16, 2013, FERC issued a Notice of Proposed Rulemaking proposing to remand the proposed interpretation of Reliability Standard BAL-002-1, Disturbance Control Performance, Requirements R4 and R5, submitted to the Commission for approval by the North American Electric Reliability Corporation. The interpretation addresses whether Balancing Authorities and Reserve Sharing Groups are subject to enforcement actions for failing to restore Area Control Error within the 15-minute Disturbance Recovery Period for Reportable Disturbances that exceed the most severe single Contingency. The Commission proposes to remand the proposed interpretation because it changes a requirement of the Reliability Standard, thereby exceeding the permissible scope for interpretations.

Comments are due on July 8, 2013.

RM13-8

Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Retirement of Requirements in Reliability Standards

On June 20, 2013, FERC issued a Notice of Proposed Rulemaking proposing to approve the retirement of 34 requirements within 19 Reliability Standards identified by NERC in its Petition filed on February 28, 2013.

Comments are due on August 27, 2013.

RM13-9

Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Modified Transmission Planning Reliability Standards in the Case of System Performance Following Loss of a Single Bulk Electric System Element

On May 16, 2013, FERC issued a Supplemental Notice of Proposed Rulemaking proposing to approve Reliability Standard TPL-001-4, which supersedes proposed Reliability Standard TPL-001-2.

Comments are due on June 24, 2013.

Regulatory Status Report

Parties filed comments in response to the Supplemental NOPR issued on May 16, 2013.

RR06-1

North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization ("ERO")

On April 25, 2013, NERC submitted its Analysis of NERC Standards Process Results for the First Quarter 2013.

On May 10, 2013, NERC submitted an informational filing of the definition of "Adequate Level of Reliability" that the NERC Board of Trustees approved on May 9, 2013, and a supporting technical report.

RR09-7

NERC Three-Year Electric Reliability Organization Performance Assessment Report

On April 25, 2013, NERC submitted its Analysis of NERC Standards Process Results for the First Quarter 2013.

RR12-8

North American Electric Reliability Corporation ("NERC") Petition for Approval of Revisions to its Rules of Procedure

On June 25, 2013, FERC issued an order accepting NERC's February 19, 2013 Compliance Filing.

Regulatory Status Report

FERC or State Jurisdiction: State of Arkansas

04-137-U

SPP Application before the Arkansas Public Service Commission ("APSC") for a Certificate of Public Convenience and Necessity ("CCN")

On June 3, 2013, Oklahoma Gas and Electric Company filed its Annual Report pursuant to Order No. 9.

For the period 2007-2012, OG&E's calculations reflected a total company net benefit of \$21.8 million of which \$2.8 million is attributable to Arkansas customers. OG&E stated for 2012, its total company net benefit was a negative \$8.5 million of which a negative \$0.98 million is attributable to Arkansas customers.

On June 3, 2013, Southwestern Electric Power Company filed its Annual Report pursuant to Order No. 9.

SWEPSCO stated its Arkansas retail jurisdictional customers have benefited by an estimated \$2.1 million from its participation in the SPP EIS Market for the time period February 1, 2007 through December 31, 2012. SWEPSCO stated for 2012, the net benefit for Arkansas retail jurisdictional customers was a negative \$308,000.

On June 3, 2013, The Empire District Electric Company filed its Annual Report pursuant to Order No. 9.

Empire stated its calculations reflect a total company estimated net savings of \$28.082 million for 2007-2012, with a cumulative net savings of \$827,080 to Arkansas ratepayers. Empire's 2012 total company net savings was approximately \$3.171 million with net savings to the Arkansas jurisdiction of \$95,251.

08-136-U

In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On April 17, 2013, SPP filed the March 2013 State of the Market Report pursuant to Order No. 2.

On May 22, 2013, SPP filed the April 2013 State of the Market Report pursuant to Order No. 2.

On June 20, 2013, SPP filed the May 2013 State of the Market Report pursuant to Order No. 2.

10-011-U

In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets

On April 1, 2013, Hugh McDonald filed Supplemental Direct Testimony on behalf of EAI.

On April 1, 2013, Entergy Arkansas, Inc. filed its Responses to Order No. 54.

On April 1, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI pursuant to Order No. 54.

Regulatory Status Report

On April 8, 2013, the APSC issued Order No. 76, granting EAI's Application to Transfer Functional Control of its Electric Transmission Facilities to the Midwest Independent Transmission System Operator, Inc. Regional Transmission Organization, conditioned upon full and continued compliance by EAI and MISO with each of the Order No. 68 Conditions.

The APSC also granted EAI's Motion to Discontinue Activities Necessary to Operate as a True Stand-Alone Electric Utility.

EAI's request for approval to defer its MISO transition costs, without the addition of carrying costs, was granted subject to EAI compliance with the five ratepayer protection provisions as recommended by Staff witness Hilton and as set forth in the Order.

EAI and MISO were directed to file in this Docket on the first business day of each month, beginning on May 1, 2013, Supplemental Testimony providing monthly updates regarding the progress of the integration of EAI into MISO process; critical developments in the various Entergy/MISO related proceedings pending before FERC and Entergy's other retail regulators; and ongoing compliance with the Order No. 68 Conditions.

By subsequent Order the Commission will establish a procedural schedule for the consideration of MISO's Application for a Certificate of Convenience and Necessity in Docket No. 11-165-U.

On May 1, 2013, Scott Herbst filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

On May 1, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On May 2, 2013, the Midwest Independent Transmission System Operator, Inc. submitted notice that it has changed its name to the Midcontinent Independent System Operator, Inc.

On May 31, 2013, Scott Herbst filed Compliance Testimony on behalf of MISO.

On June 3, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On June 28, 2013, Scott Herbst filed Compliance Testimony on behalf of MISO.

10-074-U

In the Matter of the Application of Southwestern Electric Power Company for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed Flint Creek to Shipe Road Project and Associated Facilities to be Located in Benton County, Arkansas

On April 22, 2013, the APSC issued Order No. 15. The Commission granted SWEPCO modifications to its CECPN to the approved route for the 161 kV transmission line in order to accommodate the Kinyon/Webb landowners and to the approved route for the 345 kV transmission line south of Decatur, Arkansas, in order to address concerns held by the Federal Aviation Administration.

10-100-R

In the Matter of a Rulemaking to Adopt an Evaluation, Measurement, and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for Conservation and Energy Efficiency Programs ("C&EE Rules")

On June 3, 2013, Dr. Katherine Johnson filed Direct Testimony on behalf of the APSC General Staff.

Regulatory Status Report

On June 3, 2013, Dr. Katherine Johnson filed the Annual Summary Report on Evaluation, Measurement & Verification Findings.

11-165-U

In the Matter of the Application of Midwest Independent Transmission Operator, Inc. ("MISO") for a Certificate of Public Convenience and Necessity for the Limited Purpose of Managing and Coordinating the Use of Certain Transmission Facilities Located Within the State of Arkansas

On May 2, 2013, the Midwest Independent Transmission System Operator, Inc. submitted notice that it has changed its name to the Midcontinent Independent System Operator, Inc.

On May 9, 2013, the APSC issued Order No. 1, establishing the following procedural schedule:

May 22, 2013 (by Noon) - MISO Direct Testimony;
June 4, 2013 (by Noon) - Staff/Intervenor Direct Testimony;
June 11, 2013 (by Noon) - MISO Rebuttal Testimony;
May 24, 2013 (by Noon) - Intervention deadline; and
July 17, 2013 - Evidentiary hearing begins and 9:30 AM.

On May 22, 2013, Robert Berntsen filed Direct Testimony on behalf of MISO in support of the Application filed in this proceeding.

On May 24, 2013, Entergy Arkansas, Inc. filed a Petition to Intervene.

On June 4, 2013, Diane Brenske filed Direct Testimony on behalf of APSC Staff.

On June 11, 2013, Robert Berntsen filed Rebuttal Testimony on behalf of MISO.

On June 13, 2013, the APSC issued Order No. 2, granting Energy Arkansas, Inc.'s Petition to Intervene.

On June 13, 2013, the Parties filed a Joint Motion to Cancel Hearing scheduled for July 17, 2013.

On June 19, 2013, the APSC issued Order No. 3, cancelling the evidentiary hearing scheduled for July 17, 2013.

12-008-U

In the Matter of Southwestern Electric Power Company's Petition for a Declaratory Order Finding that Installation of Environmental Controls at the Flint Creek Power Plant is in the Public Interest

On April 3, 2013, the APSC issued Order No. 13. The Commission determined that limited-scope post-hearing legal briefs could be helpful. Briefs shall be limited to the Environmental Protection Agency regulations applicable to this specific case, including the current compliance deadlines for such regulations and the process and likelihood for securing extensions of such deadlines. Simultaneous legal briefs addressing these limited issues shall be filed by Noon on April 19, 2013. Reply Briefs will not be permitted.

On April 19, 2013, APSC General Staff filed its Post-Hearing Brief.

On April 19, 2013, Sierra Club filed its Post-Hearing Brief on Regulatory Deadlines for the Proposed Retrofit of the Flint Creek Power Plant.

On April 19, 2013, Southwestern Electric Power Company and Arkansas Electric Cooperative

Regulatory Status Report

Corporation filed their Joint Post-Hearing Legal Brief in Response to Order No. 13.

12-060-R

In the Matter of Amendments to the Arkansas Public Service Commission's Rules Concerning Meter Aggregation and Combined Billing for Net-Metering Customers

On May 15, 2013, the APSC issued Order No. 4, proposing to adopt amendments to the Net Metering Rules. The Commission invited further comment or testimony on or before noon on May 31, 2013. A public hearing is set for June 21, 2013.

On May 31, 2013, several Parties filed Comments in response to Order No. 4.

On June 3, 2013, Arkansas Electric Energy Consumers, Inc. filed a Motion for Extension of Time to file comments regarding the adoption of amendments to the Net Metering Rules.

On June 13, 2013, the APSC issued Order No. 5, denying Arkansas Municipal Power Association's Petition for Late Intervention.

On June 13, 2013, the APSC issued Order No. 6, granting Arkansas Electric Energy Consumers Motion for Extension of Time to File Comments.

On June 17, 2013, Arkansas Electric Energy Consumers, Inc. filed comments in response to Order No. 4.

A hearing was held on June 21, 2013.

12-069-U

In the Matter of an Application of Entergy Arkansas, Inc., Mid South Transco LLC, ITC Midsouth LLC, Transmission Company Arkansas, LLC, and ITC Holdings Corp. to Enter Transactions Resulting in a Certificate of Public Convenience and Necessity for a New Arkansas Utility to Own EAI's Electric Transmission Facilities

On April 19, 2013, certain Parties filed Direct Testimony.

On May 17, 2013, Entergy Arkansas, Inc., et al. filed Rebuttal Testimony.

On June 7, 2013, APSC Staff and Intervenors filed Surrebuttal Testimony.

On June 21, 2013, the Applicants filed Sur-Surrebuttal Testimony.

On June 25, 2013, Arkansas Electric Cooperative Corporation filed a Motion to Reschedule the July 9, 2013 Evidentiary Hearing Pending Receipt of Supplemental Testimony.

AECC requested that the hearing be postponed pending receipt of Applicants' definitive and complete testimony regarding the Transaction's proposed Mitigation Plan.

On June 27, 2013, APSC Staff filed its Response to Arkansas Electric Cooperative Corporation's Motion to Reschedule the July 9, 2013 Evidentiary Hearing Pending Receipt of Supplemental Testimony.

Staff requested that the Commission prohibit further material development of the revised Mitigation Plan during the July 9 evidentiary hearing through live testimony. Alternatively, if the Commission authorizes further development of Entergy's and ITC's proposed Mitigation Plan, Staff requested that the Commission suspend the July 9 hearing, order Entergy and ITC to present a fully developed proposal and respond to discovery on an expedited basis, and order the Parties to develop a new mutually agreeable procedural schedule and propose it to the Commission.

Regulatory Status Report

On June 27, 2013, Arkansas Cities filed a Response to AECC's Motion to Reschedule Hearing and APSC Staff's Response.

On June 27, 2013, Arkansas Electric Energy Consumers filed a Response to Arkansas Electric Cooperative Corporation's Motion to Reschedule.

On June 28, 2013, the Arkansas Attorney General's Office filed a Response to Arkansas Electric Cooperative Corporation's Motion to Reschedule.

13-041-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas

On April 2, 2013, SWEPCO filed a Motion to Open Docket and Statement of Intention to File Application for Certificate of Environmental Compatibility and Public Need.

On April 3, 2013, SWEPCO filed its Application for Certificate of Environmental Compatibility and Public Need. SWEPCO proposed to build the Shipe Road to Kings River 345 kV transmission line, the proposed Kings River Station, and miscellaneous upgrades to the Shipe Road Station.

On April 3, 2013, Joseph Hassink, Jennifer Jackson, Brian Johnson, and Stephen Thornhill filed Direct Testimony on behalf of SWEPCO in support of the Application.

On April 19, 2013, the APSC issued Order No. 1, designating Connie C. Griffin as Presiding Officer in this proceeding.

On April 26, 2013, SPP filed a Petition to Intervene.

Numerous parties have filed Petitions to Intervene.

On May 3, 2013, the APSC General Staff filed a Motion to Adopt Procedural Schedule.

Staff proposed the following procedural schedule:

May 3, 2013 - Intervention deadline for 900 persons provided notice on March 29, 2013;

May 24, 2013 (by Noon) - Intervention deadline for 41 persons provided notice on April 22, 2013;

June 28, 2013 (by Noon) - Staff and Intervenor Direct Testimony;

July 15-16, 2013 - Public Comments Hearings;

July 19, 2013 (by Noon) - SWEPCO Rebuttal Testimony;

August 7, 2013 (by Noon) - Staff and Intervenor Surrebuttal Testimony;

August 16, 2013 (by Noon) - Settlement filing date;

August 21, 2013 (by Noon) - SWEPCO Sur-Surrebuttal Testimony; and

August 26-30, 2013 - Hearing begins at 9:30 AM.

On May 6, 2013, the APSC issued Order No. 2, granting SPP's Petition to Intervene. The APSC also granted several other Petitions to Intervene in this Order.

On May 14, 2013, the APSC issued Order No. 3, granting several Petitions to Intervene.

On May 21, 2013, the APSC issued Order No. 4, granting certain Petitions to Intervene.

Regulatory Status Report

On May 21, 2013, the APSC issued Order No. 5, denying certain Petitions to Intervene.

On May 23, 2013, the APSC issued Order No. 6, granting Wal-Mart Real Estate Business Trust's Petition to Intervene.

On May 24, 2013, the APSC issued Order No. 7, establishing the following procedural schedule:

June 28, 2013 (by Noon) - Staff and Intervenor Direct Testimony;

July 19, 2013 (by Noon) - Applicant Rebuttal Testimony;

August 7, 2013 (by Noon) - Staff and Intervenor Surrebuttal Testimony; and

August 21, 2013 (by Noon) - Applicant Sur-Surrebuttal Testimony.

SWEPCO was directed to select and propose a locations suitable for public comment hearings during the week of July 15, 2013.

On June 3, 2013, the APSC issued Order No. 8 scheduling public comment hearings.

On June 3, 2013, SPP filed a Motion for Protective Order of Non-Disclosure.

On June 7, 2013, the APSC issued Order No. 9, granting certain Petitions to Intervene.

On June 10, 2013, SWEPCO filed a Motion for Protective Order of Non-Disclosure.

On June 13, 2013, APSC General Staff filed its Response to SWEPCO's Motion for Interim Protective Order.

On June 13, 2013, APSC General Staff filed its Response to SPP's Motion for Interim Protective Order.

On June 13, 2013, the APSC issued Order No. 10, clarifying Order No. 4 to provide that Save the Ozarks is authorized to participate fully in this matter to address SWEPCO's request for a CECPN consistent with Save the Ozarks stated interest of preserving and protecting the environment of the Ozark Highlands Region.

On June 17, 2013, Julia R. Neighbors Revocable Trust and Trustees filed a Motion to Dismiss the Application filed by SWEPCO.

On June 19, 2013, the APSC issued Order No. 11, granting SPP's Motion for Protective Order of Non-Disclosure.

On June 19, 2013, SWEPCO filed a response to the Motion to Dismiss filed on June 17, 2013.

On June 20, 2013, the APSC issued Order No. 12, granting SWEPCO's Motion for Protective Order of Non-Disclosure.

On June 24, 2013, Neighbors Trust and Trustees filed their Reply to Response to Motion to Dismiss filed by SWEPCO on June 19, 2013.

On June 25, 2013, APSC General Staff filed a response to the Motion to Dismiss filed by Julia R. Neighbors Revocable Trust and Trustees.

On June 25, 2013, Jackson Petitioners filed a response to the Motion to Dismiss filed by Julia R. Neighbors Revocable Trust and Trustees.

Regulatory Status Report

On June 26, 2013, Cynthia Coughlin filed Direct Testimony on behalf of Coughlin Family, LLC, et al.

On June 27, 2013, Arkansas Electric Cooperative Corporation filed a response to the Motion to Dismiss filed by Julia R. Neighbors Revocable Trust and Trustees.

On June 27, 2013, Patsy Christie filed Direct Testimony on behalf of the City of Springdale.

On June 27, 2013, Sims Petitioners filed Direct Testimony.

On June 28, 2013, numerous Intervenors filed Direct Testimony.

On June 28, 2013, Lanny Nickell filed Direct Testimony on behalf of SPP.

On June 28, 2013, Dawn Guthrie with the APSC filed copies of comments from various state agencies concerning the Project in this proceeding.

On June 28, 2013, Neighbors Trust and Trustees filed a reply in response to Arkansas Electric Cooperative Corporation's response to the Motion to Dismiss filed by Julia R. Neighbors Revocable Trust and Trustees.

FERC or State Jurisdiction: State of Kansas

11-GIME-597-GIE

In the Matter of the General Investigation Into the Classification as Transmission of Distribution of Certain 34.5 kV Facilities Owned by Certain Members of Mid-Kansas Electric Company, LLC and Into Certain Agreements Relating to the Provision of Wholesale Service by Mid-Kansas Electric Company, LLC to Kansas Power Pool and Kansas Electric Power Cooperative, Inc. on Such Facilities

On June 26, 2013, Mid-Kansas Electric Company, LLC filed copies of executed service agreements in this proceeding.

11-PWTE-600-MIS

In the Matter of the Application of Prairie Wind Transmission, LLC for a Siting Permit for the Construction of a Double Circuit 345 kV Transmission Line in Sedgwick, Sumner, Harper, and Barber Counties, Kansas

On May 2, 2013, Prairie Wind Transmission, LLC submitted its Quarterly Report on the Progress of the Wichita to Woodward 345 kV Transmission Project.

13-ITCE-677-MIS

In the Matter of the Application of ITC Great Plains, LLC and Mid-Kansas Electric Company, LLC for a Siting Permit for the Construction of a 345 kV Transmission Line in Cloud and Ottawa Counties, Kansas

On May 3, 2013, ITC Great Plains, LLC and Mid-Kansas Electric Company, LLC filed a Joint Application requesting a siting permit conferring on the Companies the right to construct their portion of a 345 kV transmission line from ITC Great Plains' Elm Creek Substation south to Westar Energy, Inc.'s Summit Substation.

On May 3, 2013, Salvatore Falcone, Alan Myers, Kristine Schmidt, and Noman Williams filed Direct Testimony on behalf of ITC Great Plains, LLC and Mid-Kansas Electric Company, LLC in support of the Joint Application.

On May 8, 2013, the KCC issued an Order Adopting Procedural Schedule.

The following procedural schedule was established:

May 3, 2013 - Application Filed;

May 16, 2013 - Notice to Landowners;

May 13-27, 2013 - The Companies publish Notice in newspapers;

June 3, 2013 (by 3 PM) - Staff and Intervenor Direct Testimony;

June 3, 2013 (by 3 PM) - The Companies' Affidavit of Publication and Written Notice due;

June 3, 2013 - Public Hearing begins at 6 PM;

June 10, 2013 - Public Comment period ends;

June 11, 2013 - PACP Report of public comments due;

June 24, 2013 (by 3 PM) - The Companies' Rebuttal to Staff/Intervenor Testimony;

June 28, 2013 (by 3 PM) - The Companies' Response to Public Hearing comments and written comments to PACP due;

July 9, 2013 (by 3 PM) - Staff and Intervenor Response to Public Hearing Comments;

July 16, 2013 (by 3 PM) - The Companies' Rebuttal to Staff/Intervenor Response to Public Hearing Comments;

July 23, 2013 (by 3 PM) - Prehearing Motion and Discovery Cutoff; List of Disputed Issues due;

July 30, 2013 - Prehearing Conference begins at 9:30 AM;

Regulatory Status Report

August 5, 2013 - Evidentiary Hearing begins at 9 AM;
August 12, 2013 (by 3 PM) - The Companies' Initial Post-Hearing Briefs due;
August 19, 2013 (by 3 PM) - Staff and Intervenor Post-Hearing Briefs due;
August 23, 2013 (by 3 PM) - The Companies Reply Brief due; and
September 3, 2013 - Final Order Due.

On May 9, 2013, the Citizens' Utility Ratepayer Board filed a Petition to Intervene.

On May 15, 2013, SPP filed a Petition to Intervene.

On May 22, 2013, the KCC issued an Order Granting Curb's Petition to Intervene, Protective Order and Discovery Order.

On May 29, 2013, the KCC issued a Prehearing Officer Order Granting Southwest Power Pool's Petition to Intervene.

On June 3, 2013, Thomas DeBaun filed Direct Testimony on behalf of the State Corporation Commission of Kansas.

On June 3, 2013, Michael Wegner, P.E. filed Direct Testimony on behalf of the State Corporation Commission of Kansas.

On June 3, 2013, Katherine Prewitt filed Direct Testimony on behalf of SPP.

On June 11, 2013, the KCC issued a report of public comments provided by the Office of Public Affairs and Consumer Protection.

On June 28, 2013, Alan Myers, on behalf of ITC Great Plains, LLC, filed Testimony in Response to Comments at June 3, 2013 Public Hearing and Written Comments Received by the Commission.

13-WSEE-676-MIS

In the Matter of the Application of Westar Energy, Inc. for a Siting Permit for the Construction of a 345 kV Transmission Line in Saline and Ottawa Counties, Kansas

On May 3, 2013, Westar Energy, Inc. filed an Application for a siting permit to construct a 345 kV transmission line from Westar's Summit Substation to an interconnection point near Justice Road in Ottawa County, Kansas.

On May 3, 2013, Salvatore Falcone, Kelly Harrison, and Dennis Reed filed testimony on behalf of Westar Energy, Inc. in support of the Application.

On May 3, 2013, Westar Energy, Inc. filed a Motion for Procedural Schedule.

On May 8, 2013, the KCC issued an Order Setting Procedural Schedule.

The following procedural schedule was established:

May 3, 2013 - Application Filed;

May 16, 2013 - Westar Mails Notice to Landowners;

May 17-31, 2013 - Westar Gives Publication Notice;

May 31, 2013 (by 3 PM) - Staff and Intervenor Direct Testimony;

June 3, 2013 (by 3 PM) - Westar Files Affidavit of Notice;

June 5, 2013 (6 PM) - Public Hearing - Salina, Kansas;

June 14, 2013 - Public Comment Period Ends;

June 18, 2013 - PACP Files Report of Public Comments;

June 21, 2013 (by 3 PM) - Westar Rebuttal to Staff/Intervenor Testimony;

Regulatory Status Report

June 28, 2013 (by 3 PM) - Westar Responsive Testimony to Public Comments;
July 2, 2013 (by 3 PM) - Staff and Intervenor Responsive Testimony to Public Comments;
July 12, 2013 (by 3 PM) - Westar Rebuttal Testimony to Public Comments;
July 24, 2013 - Prehearing Conference begins at 9:30 AM;
July 24, 2013 (3 PM) - Prehearing Motion and Discovery Cutoff; List of Disputed Issues Due;
August 7, 2013 - Evidentiary Hearing begins at 9 AM;
August 14, 2013 (by 3 PM) - Simultaneous Initial Briefs;
August 21, 2013 (by 3 PM) - Simultaneous Responsive Briefs; and
September 3, 2013 - Final Order Due.

On May 9, 2013, the KCC issued an Order Nunc Pro Tunc correcting the third sentence in paragraph 5 of the May 8, 2013 Order Setting Procedural Schedule to read: "The affected Kansas counties are Saline and Ottawa Counties."

On May 15, 2013, SPP filed a Petition to Intervene.

On May 22, 2013, the KCC issued an Order Granting SPP's Petition to Intervene.

On May 31, 2013, Michael Wegner, P.E. filed Direct Testimony on behalf of the State Corporation Commission of Kansas.

On May 31, 2013, Thomas DeBaun filed Direct Testimony on behalf of the State Corporation Commission of Kansas.

On May 31, 2013, Katherine Prewitt filed Direct Testimony on behalf of SPP.

On June 21, 2013, the KCC issued a report of public comments provided by the Office of Public Affairs and Consumer Protection.

On June 28, 2013, Kelly Harrison filed Supplemental Testimony on behalf of Westar Energy, Inc.

On June 28, 2013, Salvatore Falcone filed Supplemental Testimony on behalf of Westar Energy, Inc.

FERC or State Jurisdiction: State of LA - New Orleans

UD-11-01 Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements

On April 1, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On May 1, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On June 3, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

UD-12-01 Investigation of the Proposed Divestiture of the Transmission Assets of Entergy New Orleans, Inc. ("ENO") and Entergy Louisiana, LLC ("ELL") to ITC Holdings Corp.

On April 3, 2013, the Advisors to the Council of the City of New Orleans' filed the Second Unopposed Motion for Extension of Certain Delays Related to the Filing of Testimony.

On April 5, 2013, the Council of the City of New Orleans issued an order modifying the procedural schedule as follows:

May 8, 2013 - Direct Testimony of Advisors;
May 22, 2013 - Cross-Answering Testimony of Advisors and Interveners;
June 12, 2013 - Rebuttal Testimony of Applicants;
June 26, 2013 - Surrebuttal Testimony of Advisors and Interveners; and
July 10, 2013 - Rejoinder Testimony of Applicants.

On April 10, 2013, the Advisors to the Council of the City of New Orleans filed a Motion to Compel Entergy New Orleans and Entergy Louisiana, LLC Answers to Requests for Information.

On April 16, 2013, Entergy filed Objections to the Advisors to the Council of the City of New Orleans' Eighth Set of Requests for Information.

On April 24, 2013, the Hearing Officer issued an Order directed parties to promptly engage in substantial conferences calculated to resolve all outstanding discovery disputes. If any perceived response deficiencies remain, the Advisors shall file an Amended Motion by May 1, 2013 setting forth the remaining disputes along with argument in support of their positions.

On May 1, 2013, Entergy and Council Advisors filed a Joint Report on Discovery Conference, Unopposed Joint Motion to Amend the Procedural Schedule, and Joint Request for Expedited Consideration.

On May 22, 2013, the Advisors to the Council of the City of New Orleans filed Direct Testimony.

On May 29, 2013, Air Products and Chemicals, Inc. filed errata pages to the Direct Testimony and Exhibits of Michael P. Gorman filed on March 1, 2013.

On June 12, 2013, Applicants filed Rebuttal Testimony.

Regulatory Status Report

On June 24, 2013, the Applicants and the Advisors filed a Joint Motion to Amend Procedural Schedule and Joint Request for Expedited Action.

On June 26, 2013, Advisors and Intervenors filed Surrebuttal Testimony.

On June 28, 2013, the Hearing Officer issued an order granting the Unopposed Joint Motion to Amend Procedural Schedule. The schedule was revised as follows:

July 15, 2013 - Advisors and Intervenors Surrebuttal Testimony;

August 12, 2013 - Applicant Rejoinder Testimony;

August 27, 2013 - Evidentiary hearing begins; and

September 6, 2013 - Hearing Officer to Certify the Record.

FERC or State Jurisdiction: State of Louisiana

U-32538

Joint Application of Entergy Louisiana, LLC, Entergy Gulf States Louisiana, L.L.C., Mid South TransCo LLC, Transmission Company Louisiana I, LLC, Transmission Company Louisiana II, LLC, ITC Holdings Corp. and ITC Midsouth LLC ("Applicants") for Approval of Change of Ownership of Electric Transmission Businesses, for Certain Cost-Recovery Adjustments, and for Related Relief

On April 2, 2013, the Midwest Independent Transmission System Operator, Inc. filed a Consent Motion for Leave to File Out of Time Intervention.

On April 4, 2013, the LPSC issued a Ruling on Consent Motion to Grant Interested Party Status to Midwest Independent Transmission System Operator, Inc.

On April 10, 2013, LPSC Staff filed Direct Testimony.

On April 10, 2013, the Louisiana Energy Users Group filed Direct Testimony.

On May 21, 2013, Applicants filed Rebuttal Testimony.

U-32675

Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. (Collectively "Entergy") Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, for an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment

On June 6, 2013, James Dauphinais filed Direct Testimony on behalf of the Louisiana Energy Users Group.

On June 6, 2013, Stephen Baron and Matthew Kahal filed Direct Testimony on behalf of LPSC Staff.

FERC or State Jurisdiction: State of Mississippi

2012-UA-358

Joint Application for the Transfer of Ownership and Control of Entergy Mississippi, Inc.'s Transmission Facilities and Assets Together with Related Certificates, Franchises, and Other Property Rights to Transmission Company Mississippi, LLC and Approval of Subsequent Transfers of Ownership and Control

On April 5, 2013, the MPSC issued an Amended Scheduling Order. The procedural schedule is as follows:

March 21, 2013 - Intervenor Direct Testimony;
April 29, 2013 - Conference;
May 20, 2013 - Entergy/ITC briefing in response to issues presented in the April 24, 2013 Order;
May 24, 2013 - Last day to serve data requests;
May 28, 2013 - Conference;
June 10, 2013 - Comments to Entergy/ITC briefing;
June 20, 2013 - Public Utilities Staff and MPSC Consultant Direct Testimony;
June 20, 2013 - Conference;
July 19, 2013 - Entergy/ITC Rebuttal Testimony;
August 2, 2013 - Prehearing Conference;
August 6-8, 2013 - Hearing;
September 15, 2013 - Final Order.

On April 12, 2013, the MPSC issued a Notice of Prehearing Conference to be held on April 29, 2013.

On May 20, 2013, Entergy Mississippi, Inc. and ITC Holdings Corp. filed a Brief on Jurisdictional Issues.

On May 20, 2013, the MPSC issued an Order Cancelling Conference to be held on May 28, 2013.

On May 31, 2013, MPSC Staff filed an Unopposed Motion for Extension of Time to File Comments to Issues Brief.

On June 11, 2013, the MPSC issued an Order Canceling Conference scheduled for June 20, 2013.

On June 20, 2013, Scott Hempling filed Direct Testimony on behalf of MPSC Staff.

On June 20, 2013, Seth Parker filed Direct Testimony on behalf of MPSC Staff.

Regulatory Status Report

FERC or State Jurisdiction: State of Missouri

EA-2013-0098

In the Matter of the Application of Transource Missouri, LLC for a Certificate of Convenience and Necessity Authorizing it to Construct, Finance, Own, Operate, and Maintain the Iatan-Nashua and Sibley-Nebraska City Electric Transmission Projects

On April 9, 2013, the Parties filed a Joint Request for Extension of Time for Filing Statement of Positions.

On April 9, 2013, the MoPSC issued an Order Extending Time for Position Statements to April 11, 2013.

On April 10, 2013, the Parties filed a Joint Motion for Suspension of Evidentiary Hearing Schedule and Filing of Statement of Positions and Suggested Scheduling for an on the Record Presentation.

On April 11, 2013, the MoPSC issued an Order Suspending Position Statements and Evidentiary Hearing.

On April 12, 2013, the MoPSC issued an Order Directing Filing, requiring the Applicants to supplement the application to include a listing of each county in which any part of any affected political subdivision, as described in the Order, is located.

On April 12, 2013, certain Parties filed a Non-Unanimous Stipulation and Agreement.

On April 12, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Response to Order Directing Filing issued on April 12, 2013. KCPL and KCPL-GMO requested that the MoPSC supplement the Transfer Application, No. EA-2012-0367, with the county information contained in paragraph 4 of this filing.

On April 15, 2013, the MoPSC issued an Order Setting Hearing on Stipulation and Agreement for April 16, 2013.

On April 16, 2013, a hearing was held to discuss the Stipulation and Agreement filed on April 12, 2013.

On April 18, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed an Addendum to Response to Order Directing Filing issued on April 12, 2013. KCPL and KCPL-GMO requested that the MoPSC supplement the Transfer Application, No. EA-2012-0367, with the county information contained in paragraphs 2 and 3 of this filing.

On April 22, 2013, the MoPSC issued an Order Directing the Filing of Exhibit Lists and Entering Exhibits into Evidence.

On April 24, 2013, the Office of Public Counsel filed its List of Exhibits.

On April 24, 2013, Applicants filed their Response to Order Directing the Filing of Exhibit Lists.

On April 24, 2013, MoPSC Staff filed its Response to Order Directing Filing of Exhibit Lists.

On April 29, 2013, the MoPSC issued an Order to File Proposed Amended Procedural Schedule.

On May 6, 2013, the MoPSC Staff filed the Joint Response of Signatories to Commission's

Regulatory Status Report

April 29, 2013 Order to File Proposed Amended Procedural Schedule.

On May 6, 2013, the Signatories to the Stipulation and Agreement filed the First Amendment to Non-Unanimous Stipulation and Agreement.

On May 7, 2013, the MoPSC issued an Order Amending Procedural Schedule.

On May 30, 2013, the Parties filed a Joint Motion for Extension of Time to extend the time for the filing of a memorandum in support of the Stipulation and a proposed report and order approving the Stipulation from May 30, 2013 to June 7, 2013.

On May 31, 2013, the MoPSC issued an Order Extending Time for Proposed Report and Order.

On June 6, 2013, the Parties filed a Joint Proposed Order Approving Unanimous Stipulation and Agreement.

On June 6, 2013, the Parties filed a Joint Memorandum in Support of the Stipulation.

On June 27, 2013, the Applicants submitted a supplemental filing containing the final route notification letter to stakeholders, maps of the final route of the Sibley-Nebraska City line, and the press release issued on June 25, 2013.

EO-2012-0135

In the Matter of the Application of Kansas City Power & Light Company ("KCPL") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.

On April 24, 2013, the Parties filed a Joint Motion for Extension of Time to File Surrebuttal and Cross-Surrebuttal Testimony.

On April 25, 2013, the MoPSC issued an Order Granting Motion to Alter Procedural Schedule. Surrebuttal and Cross-Surrebuttal is now due May 9, 2013. The last day to serve discovery is now May 22, 2013.

On May 6, 2013, the Parties filed a Second Joint Motion for Extension of Time to File Surrebuttal and Cross-Surrebuttal Testimony. The parties also requested that the settlement conference be rescheduled for May 20, 2013.

On May 10, 2013, the MoPSC issued an Order Granting Second Motion to Alter Procedural Schedule. Surrebuttal and Cross-Surrebuttal is now due May 16, 2013. The settlement conference is now scheduled for May 20, 2013. The last day to serve discovery is now May 30, 2013.

On May 16, 2013, the Parties filed a Stipulation and Agreement.

On May 16, 2013, the Parties filed a Joint Motion for Suspension of Procedural Schedule and Hearings.

On May 22, 2013, the MoPSC issued an Order Suspending Procedural Schedule and Cancelling Evidentiary Hearing.

On June 19, 2013, the MoPSC issued an Order Approving Stipulation and Agreement, effective July 19, 2013.

Regulatory Status Report

EO-2012-0136

In the Matter of the Application of KCP&L Greater Missouri Operations Company ("KCPL-GMO") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.

On April 24, 2013, the Parties filed a Joint Motion for Extension of Time to File Surrebuttal and Cross-Surrebuttal Testimony.

On April 25, 2013, the MoPSC issued an Order Granting Motion to Alter Procedural Schedule. Surrebuttal and Cross-Surrebuttal is now due May 9, 2013. The last day to serve discovery is now May 22, 2013.

On May 6, 2013, the Parties filed a Second Joint Motion for Extension of Time to File Surrebuttal and Cross-Surrebuttal Testimony. The parties also requested that the settlement conference be rescheduled for May 20, 2013.

On May 10, 2013, the MoPSC issued an Order Granting Second Motion to Alter Procedural Schedule. Surrebuttal and Cross-Surrebuttal is now due May 16, 2013. The settlement conference is now scheduled for May 20, 2013. The last day to serve discovery is now May 30, 2013.

On May 16, 2013, the Parties filed a Stipulation and Agreement.

On May 16, 2013, the Parties filed a Joint Motion for Suspension of Procedural Schedule and Hearings.

On May 22, 2013, the MoPSC issued an Order Suspending Procedural Schedule and Cancelling Evidentiary Hearing.

On June 19, 2013, the MoPSC issued an Order Approving Stipulation and Agreement, effective July 19, 2013.

EO-2012-0269

In the Matter of The Empire District Electric Company's ("Empire") Submission of Its Interim Report Regarding Participation in the Southwest Power Pool, Inc.

On June 12, 2013, the MoPSC issued an Order Approving Jointly Proposed Procedural Schedule. The following procedural schedule was established:

July 12, 2013 - Empire Direct Testimony;
August 6, 2013 - Settlement Conference;
August 20, 2013 - Rebuttal Testimony;
September 24, 2013 - Surrebuttal and Cross-Surrebuttal Testimony;
September 26, 2013 - Settlement Conference Call;
October 7, 2013 - Last day to serve discovery;
October 11, 2013 - List and Order of Issues/Witnesses;
October 15, 2013 - Joint Stipulation of Facts;
October 21, 2013 - Position Statements;
October 24-25, 2013 - Evidentiary Hearing;
November 22, 2013 - Post-Hearing Briefs; and
December 10, 2013 - Reply Briefs.

EO-2012-0271

In the Matter of an Investigation into the Siting and Safety of a Proposed Transmission Line in Platte County, Missouri

On May 1, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri

Regulatory Status Report

EO-2013-0396

Operations Company filed their Revised Response to Order Directing Filing issued on March 14, 2012. The Companies submitted their first quarter 2013 report for the Iatan to Nashua 345 kV Transmission Project.

In the Matter of the Joint Application of Entergy Arkansas, Inc., Mid South Transco LLC, Transmission Company Arkansas, LLC and ITC Midsouth LLC ("Applicants") for Approval of Transfer of Assets and Certificate of Convenience and Necessity, and Merger and, in connection therewith, Certain Other Related Transactions

On April 2, 2013, the MoPSC issued an Order Directing Filing. The parties were directed to respond regarding how they would like to proceed no later than April 8, 2013.

On April 8, 2013, Entergy Arkansas, Inc., Mid South Transco LLC, Transmission Company Arkansas, LLC and ITC Midsouth LLC filed a Motion for Reconsideration and Dispositive Treatment and Response to April 2 Order.

On April 8, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company, The Empire District Electric Company, and the Missouri Joint Municipal Electric Utility Commission filed a Jointly Proposed Procedural Schedule.

On April 16, 2013, Entergy Arkansas, Inc., Mid South Transco LLC, Transmission Company Arkansas, LLC and ITC Midsouth LLC filed a Response to Jointly Proposed Procedural Schedule.

On April 17, 2013, the Joint Petitioners requested a modification to their April 8, 2013 Response to the Commission's April 2 Order Directing Filing.

On April 18, 2013, the MoPSC issued an Order Granting Interventions and Setting Procedural Schedule.

The procedural schedule is as follows:

April 25, 2013 - Joint Applicant Direct Testimony;

May 24, 2013 - Rebuttal Testimony;

June 5, 2013 - Surrebuttal Testimony;

June 10, 2013 - Position Statements; List of Issues, Order of Cross-Examination, and Order of Opening Statements;

June 18-19, 2013 - Evidentiary Hearing;

July 12, 2013 - Initial Briefs;

August 2, 2013 - Reply Briefs.

On April 19, 2013, MoPSC Staff filed a Motion for Relief from Order. Staff requested it be relieved from the order to file a report and recommendation on May 15, 2013, and that, instead, Staff provide the same in rebuttal testimony scheduled to be filed on May 24, 2013.

On April 25, 2013, the Applicants filed Direct Testimony.

On April 30, 2013, the MoPSC issued an Order Granting Staff Motion for Relief from Order. Staff was relieved from the order to file a report and recommendation on May 15, 2013.

On May 9, 2013, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and The Empire District Electric Company filed a Joint Response to Entergy's Motion for Reconsideration.

On May 20, 2013, ITC Midsouth LLC filed its Reply to the Joint Response filed on May 9,

Regulatory Status Report

2013.

On May 24, 2013, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and The Empire District Electric Company filed Rebuttal Testimony.

On June 5, 2013, the Applicants filed Surrebuttal Testimony.

On June 12, 2013, Parties filed position statements, issues lists, order of opening, order of witnesses, and order of cross-examination.

A hearing was held on June 18, 2013.

On June 20, 2013, ITC Midsouth LLC filed a Motion to Revise Briefing Schedule.

On June 26, 2013, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company and The Empire District Electric Company filed a Response to Motion to Revise Briefing Schedule.

Regulatory Status Report

FERC or State Jurisdiction: State of New Mexico

07-00390-UT

An Investigation into the Prudence of Southwestern Public Service Company's ("SPS") Participation in the SPP RTO

On June 3, 2013, SPS submitted its 2013 Annual Report pursuant to the Uncontested Stipulation.

FERC or State Jurisdiction: State of Texas

39070 **Application of Sharyland Utilities, L.P. ("Sharyland") to Approve Study and Plan Pursuant to the Commission's Order in Docket No. 37990 Concerning the Movement of Sharyland's Stanton and Colorado City Divisions from the Southwest Power Pool to ERCOT**

On April 29, 2013, Sharyland Utilities, L.P. and Southwestern Public Service Company filed an agreement entered into concerning the Stipulations in Docket Nos. 37990 and 39070. Under this agreement, SPS conditionally relieves Sharyland of its obligation under the Stipulations to provide an interconnection between SPS' Midland County and Borden County Interchanges in the SPP region after December 31, 2013.

40979 **Proceeding to Track Compliance with Terms and Conditions Set Forth in the Commission's Order Issued in Docket No. 40346 and the Non-Unanimous Stipulation ("NUS") and Associated Studies Arising from the Order and/or NUS**

On April 2, 2013, Entergy Texas, Inc. filed the Entergy System Intra-System Bill for February 2013.

On April 3, 2013, the PUCT release a copy of the redacted version of the "Independent Review and Assessment of Entergy's Consultant's Analyses related to the Studied PPAs" dated March 22, 2013.

On April 3, 2013, the Midwest Independent Transmission System Operator, Inc. filed Notification of FERC Order conditionally accepting MISO's proposed tariff revisions related to Long Term Transmission Rights and Auction Revenue Rights rule changes.

On April 4, 2013, the Cities filed a response to Entergy Texas, Inc.'s Statement of Position Regarding ESA Transition Study Contracts filed on December 28, 2012.

On April 5, 2013, Entergy filed a letter to the Commission stating it has some significant concerns about the London Economics International final report, "Independent Review and Assessment of Entergy's Consultant's Analyses related to the Studied PPAs."

On April 15, 2013, Entergy Texas, Inc. filed its Monthly MISO Compliance Report for April 2013.

On April 18, 2013, London Economics International submitted comments in response to Entergy's April 5, 2013 letter regarding the final report, "Independent Review and Assessment of Entergy's Consultant's Analyses related to the Studied PPAs."

On May 1, 2013, Entergy Texas, Inc. filed the Entergy System Intra-System Bill for March 2013.

On May 15, 2013, Entergy Texas, Inc. filed its Bi-Monthly MISO Compliance Report for May 2013.

On May 29, 2013, Midcontinent Independent System Operator, Inc. filed a Confirmation of FERC Order issued on May 23, 2013, in Docket No. ER13-708, accepting the proposed revisions to the Transmission Owners' Agreement effective upon the integration of Entergy Arkansas, Inc. into MISO.

On June 3, 2013, Entergy Texas, Inc. filed the Entergy System Intra-System Bill for April

Regulatory Status Report

2013.

On June 17, 2013, Entergy Texas, Inc. filed its Monthly MISO Compliance Report for June 2013.

41223

Application of Entergy Texas, Inc., ITC Holdings Corp., MidSouth Transco LLC, Transmission Company Texas, LLC, and ITC Midsouth LLC ("Applicants") for Approval of Change of Ownership and Control of Transmission Business, Transfer of Certification of Rights, Certain Cost Recovery Approvals, and Related Relief

On April 2, 2013, SOAH issued Order No. 6, Granting Intervention for the International Brotherhood of Electrical Workers Local Union No. 2286 and Occidental.

On April 4, 2013, SOAH issued Order No. 7, Granting Intervention for the Office of Public Utility Counsel.

On April 30, 2013, Intervenors filed Direct Testimony.

On May 10, 2013, the Parties filed Statements of Position.

On May 14, 2013, SOAH issued Order No. 10, Notice of Prehearing Conference to be held on May 17, 2013.

On May 14, 2013, Applicants filed Rebuttal Testimony.

A hearing on the merits was held on May 21-23, 2013.

On June 3, 2013, the Parties filed Initial Briefs.

On June 10, 2013, the Parties filed Reply Briefs.

On June 12, 2013, the Applicants filed an informational filing containing the private letter ruling issued by the Internal Revenue Service confirming the tax treatment of the proposed transaction between Entergy Corporation and ITC Holdings. The informational filing was filed as highly sensitive protected material under the Protective Order in this proceeding.

On June 13, 2013, the Applicants filed Proposed Findings of Fact and Conclusions of Law.

On June 13, 2013, PUCT Staff, Occidental, State Agencies, the Office of Public Utility Counsel and Texas Industrial Energy Consumers filed Joint Proposed Findings of Fact and Conclusions of Law.

On June 20, 2013, ITC Holdings Corp. filed a notice of approval from FERC, through several related orders, to spin off and merge Entergy's electric transmission businesses with an ITC subsidiary.

41430

Joint Report and Application of Sharyland Utilities, L.P. ("Sharyland"), Sharyland Distribution & Transmission Services, L.L.C. ("SDTS"), and Southwestern Public Service Company ("SPS") for Approval of Purchase and Sale of Facilities, for Approval of Regulatory Accounting Treatment of Gain or Sale, and for Transfer of Certain Rights

On April 29, 2013, Sharyland Utilities, L.P., Sharyland Distribution & Transmission Services, L.L.C., and Southwestern Public Service Company filed a Joint Report and Application for Approval of Purchase and Sale of Facilities, for Approval of Regulatory Accounting Treatment of Gain or Sale, and for Transfer of Certain Rights.

Regulatory Status Report

On April 30, 2013, the PUCT issued Order No. 1, Requiring Comments on Sufficiency of Application and Notice; and Requiring Agreed Proposed Procedural Schedule.

On May 6, 2013, Sharyland, SDTS, and SPS filed a Joint Request for Referral to SOAH.

On May 7, 2013, the Office of Public Utility Counsel filed a Motion to Intervene.

On May 10, 2013, the Alliance of Xcel Municipalities filed a Motion to Intervene.

On May 14, 2013, Commission Staff filed its Response to Order No. 1.

On May 14, 2013, SOAH Order No. 1, Filing Description; Procedures; and Notice of Prehearing Conference, was issued.

A prehearing conference is scheduled for June 11, 2013.

On May 16, 2013, Oncor Electric Delivery Company LLC filed a Motion to Intervene.

On May 21, 2013, Commission Staff filed a Proposed List of Issues.

On May 21, 2013, SPP filed a Motion to Intervene.

On May 21, 2013, SPP filed a List of Issues.

On May 21, 2013, the Applicants filed a List of Issues.

On May 21, 2013, Alliance of Xcel Municipalities filed a List of Issues.

On May 30, 2013, PUCT Staff provided a copy of the Draft Preliminary Order to be discussed at the June 6, 2013 Open Meeting.

On June 5, 2013, Commissioner Anderson filed a memo requesting that the following issue be added to the discussion at the June 6, 2013 Open Meeting: Is it reasonable and in the public interest to allow SDTS the ability to include in invested capital a greater amount than the net book value of the facilities?

On June 7, 2013, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene.

On June 10, 2013, Texas Industrial Energy Consumers filed a Motion to Intervene.

A pre-hearing conference was held on June 11, 2013.

On June 12, 2013, the Applicants filed a Joint Proposed Procedural Schedule on behalf of the Parties.

On June 13, 2013, Pioneer Natural Resources USA, Inc. filed a Motion to Intervene.

On June 17, 2013, SOAH issued Order No. 2, Memorializing Prehearing Conference, Granting Interventions, Adopting Protective Order, and Setting Procedural Schedule and Hearing on the Merits.

SPP's Motion to Intervene was granted, along with several others.

The following procedural schedule was established:

June 13, 2013 - Intervention deadline;

June 18, 2013 - Applicants' Supplemental Direct Testimony;

Regulatory Status Report

August 2, 2013 - Intervenor Direct Testimony;
August 9, 2013 - Staff Direct Testimony;
August 15, 2013 - Staff and Intervenor Cross-Rebuttal Testimony;
August 16, 2013 - Applicant Rebuttal Testimony;
September 3-4, 2013 - Hearing on the Merits to begin at 2 PM;
September 13, 2013 - Initial Briefs; and
September 20, 2013 - Reply Briefs.

On June 18, 2013, the Applicants filed Supplemental Direct Testimony.

On June 19, 2013, the PUCT issued a Preliminary Order.

FERC or State Jurisdiction: United States Court of Appeals

12-1232

South Carolina Public Service Authority v. FERC, Petition for Review of Order Nos. 1000 and 1000-A (Consolidated with 12-1233, 12-1250, 12-1276, 12-1279, 12-1280, 12-1285, 12-1290, 12-1292, 12-1293, 12-1294, 12-1296, 12-1299, 12-1300, 12-1304)

On May 16, 2013, Petitioners and Intervenors in support filed an Expedited Joint Motion to Reallocate Word Limits of Briefs due on May 28, 2013, in U.S. Court of Appeals Case No. 12-1232.

On May 17, 2013, the U.S. Court of Appeals issued an Order granting the Expedited Joint Motion to Reallocate Word Limits of Briefs due on May 28, 2013, in Case No. 12-1232.

On May 23, 2013, the Certified Index to the Record was filed in U.S. Court of Appeals Case No. 12-1132.

On May 28, 2013, the Petitioners and Intervenors in Support of Petitioners filed their Joint Initial Brief Concerning Threshold Issues.

On May 28, 2013, the Petitioners and Intervenors filed a Joint Initial Brief Concerning Cost Allocation.

On May 28, 2013, Petitioners and Supporting Intervenors filed a Joint Brief Concerning Transmission Planning and Public Policy.

On May 28, 2013, Petitioner and Intervenors filed a Joint Brief of State.

On May 28, 2013, the Petitioners and Intervenors filed their Joint Initial Brief Concerning Reciprocity Condition.

On May 28, 2013, Petitioner filed Initial Brief Concerning FPA § 211A.

On May 28, 2013, International Transmission Company filed a Brief.

On May 28, 2013, the Petitioners and Intervenors filed a Joint Initial Brief Concerning Statement of the Case, Statement of Facts, and Standards of Review.

On May 28, 2013, the Petitioners and Supporting Intervenors filed a Joint Brief Concerning Right of First Refusal.

13-1143

Xcel Energy Services Inc. v. Federal Energy Regulatory Commission (Petition for Review of Orders issued in FERC Docket No. ER12-959)

On April 23, 2013, the U.S. Court of Appeals issued an Order establishing due dates for certain documents in Case No. 13-1143.

On April 23, 2013, Xcel Energy Services Inc. filed a Petition for Review before the U.S. Court of Appeals for the District of Columbia Circuit in Case No. 13-1143. Xcel requested the Court for review of the March 30, 2012 and February 21, 2013 Orders issued in FERC Docket No. ER12-959.

On May 22, 2013, SPP filed a Motion to Intervene in U.S. Court of Appeals Case No. 13-1143.

On May 22, 2013, Tri-County Electric Cooperative, Inc. filed a Motion for Leave to Intervene in U.S. Court of Appeals Case No. 13-1143.

Regulatory Status Report

On May 22, 2013, the New Mexico Cooperatives filed a Joint Motion for Leave to Intervene in U.S. Court of Appeals Case No. 13-1143.

On May 23, 2013, Xcel Energy Services Inc. filed a Non-Binding Statement of Issues to be Raised in U.S. Court of Appeals Case No. 13-1143.

On June 4, 2013, FERC filed a Motion to Dismiss Petition for Lack of Jurisdiction.

On June 14, 2013, Xcel Energy Services Inc. filed a response to FERC's Motion to Dismiss Petition for Lack of Jurisdiction.

On June 18, 2013, FERC filed a Reply to Response to Motion to Dismiss Petition for Lack of Jurisdiction filed by Xcel Energy Services Inc.

13-1181

Nebraska Public Power District v. Federal Energy Regulatory Commission - Petition for Review of Orders in FERC Docket ER12-1179

On May 17, 2013, Nebraska Public Power District filed a Petition for Review of the October 18, 2012 and March 21, 2013 Orders issued in FERC Docket No. ER12-1179 before the U.S. Court of Appeals in Case No. 13-1181.

On May 20, 2013, the U.S. Court of Appeals issued an Order establishing the following due dates in Case No. 13-1181:

June 19, 2013 - Certificate as to Parties, Rulings, and Related Cases; Docketing Statement Form; Procedural Motions; Statement of Intent to Utilize Deferred Joint Appendix; Statement of Issues to be Raises; Underlying Decision from Which Petition Arises; Entry of Appearance Form; Procedural Motions;

July 5, 2013 - Certified Index to the Record; Dispositive Motions.

On June 11, 2013, the Kansas City, Kansas Board of Public Utilities filed a Motion for Leave to Intervene.

On June 14, 2013, SPP filed a Motion to Intervene.

On June 18, 2013, Nebraska Public Power District filed a Preliminary Non-Binding Statement of Issues in U.S. Court of Appeals Case No. 13-1181.