

FERC or State Jurisdiction: FERC

AD10-13

Third-Party Provision of Ancillary Services; Accounting and Financial Reporting for New Electric Storage Technologies

On July 18, 2013, FERC issued Order No. 784, revising certain aspects of the Commission's current market-based rate regulations, ancillary services requirements under the pro forma open access transmission tariff, and accounting and reporting requirements.

Specifically, the Commission is revising Part 35 of its regulations to reflect reforms to its Avista policy governing the sale of ancillary services at market-based rates to public utility transmission providers. The Commission is also requiring each public utility transmission provider to add to its OATT Schedule 3 a statement that it will take into account the speed and accuracy of regulation resources in its determination of reserve requirements for Regulation and Frequency Response service, including as it reviews whether a self-supplying customer has made "alternative comparable arrangements" as required by the Schedule. The final rule also requires each public utility transmission provider to post certain Area Control Error data as described in the final rule. Finally, the Commission is revising the accounting and reporting requirements under its Uniform System of Accounts for public utilities and licensees and its forms, statements, and reports, contained in FERC Form No. 1, Annual Report of Major Electric Utilities, Licensees and Others, FERC Form No. 1-F, Annual Report for Nonmajor Public Utilities and Licensees, and FERC Form No. 3-Q, Quarterly Financial Report of Electric Utilities, Licensees, and Natural Gas Companies, to better account for and report transactions associated with the use of energy storage devices in public utility operations.

This rule becomes effective on November 27, 2013.

AD12-12

Coordination between Natural Gas and Electricity Markets

On July 2, 2013, the Electric Reliability Council of Texas filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 2, 2013, SPP filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 2, 2013, California Independent System Operator Corporation filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 3, 2013, PJM Interconnection, L.L.C. filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 3, 2013, Midcontinent Independent System Operator, Inc. filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 5, 2013, ISO New England Inc. filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 8, 2013, American Forest & Paper Association filed Post-Technical Conference Comments in response to issues addressed at the April 25, 2013 Technical Conference.

On July 16, 2013, the Natural Gas Supply Association filed Comments in response to the April 25, 2013 Technical Conference.

Regulatory Status Report

On July 29, 2013, Tennessee Valley Authority filed its Response to the Comments of the Natural Gas Supply Association filed on July 16, 2013.

AD13-6 Reliability Technical Conference

A technical conference to discuss policy issues related to the reliability of the Bulk-Power System was held on July 9, 2013.

AD13-7 Centralized Capacity Markets in Regional Transmission Organizations and Independent System Operators

On July 19, 2013, FERC issued a Supplemental Notice of Technical Conference, attaching a Preliminary Agenda.

CE12-89.002 Jing Gu, et al.'s Request for Critical Energy Infrastructure Information - EDF Trading North America (Additional Requesters)

On July 9, 2013, SPP submitted comments on this request.

CE12-98 David Wheat's Request for Critical Energy Infrastructure Information - New York State Department of Public Service

FERC issued a Notice of Intent to Release on July 11, 2013.

CE12-125 Kaete Biediger's Request for Critical Energy Infrastructure Information - Vitol, Inc.

FERC issued a Notice of Intent to Release on July 2, 2013.

CE13-2 Kyo Kelly's Request for Critical Energy Infrastructure Information - Southern Company

FERC issued a Notice of Intent to Release on July 1, 2013.

CE13-62 Richard Hunt, et al.'s Request for Critical Energy Infrastructure Information - Ventyx, an ABB Company

FERC issued a Notice of Intent to Release on July 12, 2013.

CE13-103.002 Chao Mao's Request for Critical Infrastructure Information - PA Consulting Group (Additional Requester)

On June 25, 2013, William Babcock, Managing Consultant of PA Consulting Group, requested that Chao Ma be considered and included with his initial request in CE13-103, as noticed July 1, 2013.

On July 10, 2013, SPP submitted comments on this request.

CE13-110 Casey Roberts' Request for Critical Energy Infrastructure Information - Sierra Club

FERC issued a Notice of Intent to Release on July 9, 2013.

CE13-111 Rajat Deb et al.'s Request for Critical Infrastructure Information - LCG Consulting

FERC issued a corrected Notice of Intent to Release on July 1, 2013.

Regulatory Status Report

- CE13-112** **Donnavan Leavitt, et al.'s Request for Critical Energy Infrastructure Information - EPIS, Inc.**
- FERC issued a Notice of Intent to Release on July 9, 2013.
- CE13-114** **Sheryll Harrold, et al.'s Request for Critical Energy Infrastructure Information - Downes Associates, Inc.**
- FERC issued a Notice of Intent to Release on July 9, 2013.
- CE13-115** **Teresa Ives, et al.'s Request for Critical Infrastructure Information - Electric Power Engineers, Inc.**
- FERC issued a Notice of Intent to Release on July 2, 2013.
- CE13-120** **Pierre Tournois, et al.'s Request for Critical Infrastructure Information - Durable Electric Power, LLC**
- FERC issued a Notice of Intent to Release on July 11, 2013.
- CE13-126** **Neil Huber, et al.'s Request for Critical Energy Infrastructure Information - XO Energy, LLC**
- FERC issued a Notice of Intent to Release on July 3, 2013.
- CE13-134** **Adam Mummert, et al.'s Request for Critical Energy Infrastructure Information - Burns & McDonnell, Inc.**
- On July 1, 2013, SPP submitted comments on this request.
- CE13-137** **Mark Mirabito's Request for Critical Energy Infrastructure Information - NTE Solutions**
- On July 2, 2013, SPP submitted comments on this request.
- CE13-137.001** **Garrett Weeks, et al.'s Request for Critical Energy Infrastructure Information - NTE Solutions (Additional Requesters)**
- On July 15, 2013, SPP submitted comments on this request.
- CE13-141** **Shawn Szydlik's Request for Critical Energy Infrastructure Information - SAIC Energy, Environment & Infrastructure, LLC**
- On June 28, 2013, Shawn Szydlik, Transmission Planning Engineer of SAIC Energy, Environment & Infrastructure, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed July 1, 2013.
- On July 10, 2013, SPP submitted comments on this request.
- CE13-143** **William Baker's Request for Critical Energy Infrastructure Information - Power Grid Engineering**
- On July 18, 2013, SPP submitted comments on this request.
- CE13-146** **Robert Collier, et al.'s Request for Critical Energy Infrastructure Information - OneEnergy Renewables**
- On July 9, 2013, Robert Collier, Intern of OneEnergy Renewables, filed a FERC CEII request

Regulatory Status Report

on behalf of himself and six additional requestors to obtain a copy of all FERC Form No. 715 data, as noticed July 23, 2013.

On July 30, 2013, SPP submitted comments on this request.

CE13-151

Evan Estes' Request for Critical Energy Infrastructure Information - NextEra Energy Transmission

On July 17, 2013, Evan Estes, Project Development Manager of NextEra Energy Transmission, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed July 23, 2013.

On July 30, 2013, SPP submitted comments on this request.

EL13-15

Southwestern Public Service Company ("SPS") Complaint Seeking a Finding that the Rates in SPP Zone 11 are Unjust and Unreasonable due to the Inclusion of the Costs of Facilities of Tri-County Electric Cooperative, Inc. ("Tri-County")

On July 19, 2013, Settlement Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. Conference calls were held on June 6 and July 11, 2013. A formal settlement conference will be held on August 20, 2013.

On July 19, 2013, Settlement Judge Young issued an Order Scheduling Settlement Conference to be held on August 20, 2013.

EL13-35

Southwestern Public Service Company ("SPS") Complaint Requesting Establishment of a January 1, 2013 Refund Effective Date and a Finding from the Commission that SPP has Violated the Federal Power Act by Implementing a 40% Increase in the Tri-County Electric Cooperative, Inc. ("Tri-County") Annual Transmission Revenue Requirement

On July 19, 2013, Settlement Judge Young issued an Order Scheduling Settlement Conference to be held on August 20, 2013.

On July 19, 2013, Settlement Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. Conference calls were held on June 6 and July 11, 2013. A formal settlement conference will be held on August 20, 2013.

ER05-1065

Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term

On July 16, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the May 16, 2013 Order.

ER07-1069

AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)

On July 15, 2013, AEP submitted a revised 2013 Annual Update to its annual transmission revenue requirements.

ER12-480

Midwest Independent Transmission System Operator, Inc. ("MISO") and the MISO Transmission Owners ("MISO TOs") Filing to Amend Open Access Transmission, Energy and Operating Reserve Markets Tariff Provisions on Allocation of Network Upgrade Costs in Connection with Transition and Integration of Entergy Corporation and its Operating Companies

Regulatory Status Report

On July 11, 2013, FERC issued an Order on Rehearing and Compliance.

FERC directed MISO to submit a compliance filing to revise Attachment MM to explain how it will determine the Applicable Total MVP Revenue Requirement, including each MVP usage rate determination method described in sections 4(a)i through 4(a)vi. MISO was also directed to make a few minor revisions in its compliance filing.

MISO's compliance filing is due on August 12, 2013.

ER12-550

SPP Submission of Compliance Filing Revising Tariff in Response to Order on Compliance Filings and Rehearing Issued in Docket Nos. ER09-1050, ER09-748, and ER09-1192

On July 18, 2013, FERC issued an Order on Compliance Filing. FERC conditionally accepted SPP's December 17, 2012 Compliance Filing, effective December 17, 2012 and March 1, 2014.

FERC directed SPP to revise Section 4.1.2.1(1)(a) of Attachment AE to to permit demand response resources associated with controllable load to use the Submitted Methodology, provided that the resource possesses near real-time measurement and verification capability that is compliant with NAESB measurement and verification standards, as incorporated by reference in Commission regulations.

FERC further directed SPP to revise Section 4.1.2.1(1)(a) to provide that, where the market participant is offering a demand response resource under a retail tariff provision that includes near real-time measurement and verification terms, the market participant may use the Submitted Methodology, so long as the methodology under the retail program is compliant with NAESB measurement and verification standards, as incorporated by reference in Commission regulations.

After SPP has gained a year of experience using the Calculated and Submitted Methodologies in the Integrated Marketplace, FERC will require SPP to include, in its informational report 15 months after launch of the Integrated Marketplace, a discussion of 1) the extent to which market participants have utilized the Submitted Methodology; 2) the extent to which market participants have utilized the Calculated Methodology; 3) whether any market participants have found that the Calculated Methodology has not produced an accurate estimation of their demand reduction; 4) whether SPP and its stakeholders have considered developing a third, customized baseline calculation and measurement methodology in cases where the Calculated Methodology has produced inaccurate estimates; and 5) whether SPP has encountered any other problems implementing or applying methodologies.

SPP's compliance filing is due on August 19, 2013.

ER12-959

SPP Submission of Revisions to OATT to Implement a Formula Rate for Transmission Service for Tri-County Electric Cooperative, Inc. ("Tri-County"), a Transmission Owner in the Southwestern Public Service Company ("SPS") Zone

On July 8, 2013, FERC issued an Order of Chief Judge Continuing Suspension of Phase II Proceedings. Xcel Energy Services Inc. was directed to file a report within 30 days of the Commission's ruling on the Initial Decision indicating whether or not further Phase II settlement discussions are necessary.

ER12-1179

SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace

On July 3, 2013, SPP filed an answer in opposition to Nebraska Public Power District's Motion for Clarification, or in the Alternative Rehearing filed on June 19, 2013. SPP stated that the Commission should deny NPPD's request for clarification or rehearing, confirm that the June 6, 2013 Order's reference to MISO's initial, vacated financial rights allocations to partial paths is only a suggested course for the parties to consider, and, to the extent necessary, clarify that the vacated MISO process is not precedent for any contested resolution of the issues in this case.

A settlement conference was held on July 9-10, 2013 to discuss grandfathered agreements.

On July 11, 2013, FERC issued a Notice of Upcoming Settlement Conferences. The next settlement conference is scheduled for July 15, 2013. This is in addition to the conferences previously scheduled for July 16, 23, and 24. The settlement conference previously scheduled for July 17 was cancelled.

On July 16, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the June 6, 2013 Order.

A settlement conference was held on July 16-17, 2013 to discuss grandfathered agreements.

A settlement conference was held on July 23-24, 2013 to discuss grandfathered agreements.

On July 31, 2013, SPP submitted an Offer of Settlement and related documents to resolve outstanding issues concerning the treatment of grandfathered agreements in the SPP Integrated Marketplace.

SPP requested that the Commission approve the settlement by October 31, 2013.

On July 31, 2013, in Docket No. ER13-2078, SPP submitted tariff revisions to address the treatment of grandfathered agreements that are "carved-out" from the SPP Integrated Marketplace. This filing was submitted concurrently with an Offer of Settlement in Docket No. ER12-1179 that, if accepted by the Commission, would resolve all remaining issues concerning the identification of those GFAs that will be subject to the rates, charges, benefits, terms, and conditions of the Integrated Marketplace, and those GFAs that instead are eligible for "carve-out" from the Integrated Marketplace.

An effective date of March 1, 2014 was requested.

ER12-1813

The Empire District Electric Company ("Empire") Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate

On July 3, 2013, Commission Trial Staff filed Comments Opposing Settlement Agreement.

On July 15, 2013, The Empire District Electric Company filed Reply Comments in Support of Stipulation and Settlement Agreement.

On July 16, 2013, FERC issued a Report of Contested Settlement.

On July 19, 2013, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

Regulatory Status Report

ER12-2289

SPP Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate for SPP Member, The Empire District Electric Company ("Empire")

On July 3, 2013, Commission Trial Staff filed Comments Opposing Settlement Agreement.

ER12-2681

Joint Application of ITC Holdings Corp. ("ITC") and Entergy Corporation ("Entergy") for Authorization of Acquisition and Disposition of Jurisdictional Transmission Facilities, Approval of Transmission Service Formula Rate and Certain Jurisdictional Agreements, and Petition for Declaratory Order on Application of Section 305(a) of the Federal Power Act (Docket Nos. ER12-2681, EC12-145, and EL12-107)

A settlement conference was held on July 16, 2013.

On July 17, 2013, ITC Holdings Corp. and Entergy Services, Inc. filed a Joint Motion for Extension of Time and Request for Expedited Action. ITC and Entergy requested an extension to September 13, 2013 to submit the compliance filing required by Paragraph 104 of the June 20, 2013 Order.

On July 17, 2013, FERC issued an Order Setting Second Settlement Conference Date and Procedural Schedule.

The following schedule was established:

July 31, 2013 - Intervenors to issue first set of discovery requests;
August 12, 2013 - Entergy to provide its populated formula template;
August 14, 2013 - Applicants to respond to first set of discovery requests;
September - First technical conference to be held during the last two weeks in September;
October - Second technical conference to be held the week of October 14, 2013;
November 1, 2013 - ITC to provide its populated formula template;
December 11, 2013 - Second settlement conference to be held.

On July 19, 2013, the Administrative Law Judge filed the First Settlement Status Report. The first settlement conference was held on July 16, 2013. The next settlement conference will be held on December 11, 2013.

Several Parties requested rehearing of the June 20, 2013 Order.

On July 22, 2013, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company and The Empire District Electric Company filed a Request for Rehearing and Clarification of the June 20, 2013 Order.

On July 22, 2013, the Southwest Power Pool Transmission Owners filed a Request for Clarification and Motion for Settlement Judge Proceedings or, in the Alternative, Request for Rehearing of the June 20, 2013 Order.

On July 22, 2013, SPP filed a Request for Rehearing of the June 20, 2013 Order.

SPP stated:

- 1) the Commission's failure to provide a meaningful response to SPP's parallel flow and other operational/economic concerns is legal error; and
- 2) the Commission's attempt to distinguish Commonwealth is unreasoned and illogical.

On July 25, 2013, FERC issued a Notice of Extension of Time, granting an extension to September 13, 2013 for the Parties to file their compliance filing pursuant to the June 20, 2013 Order.

On July 29, 2013, Associated Electric Cooperative, Inc. filed an answer in response to the request for rehearing and clarification filed by Arkansas Electric Cooperative Corporation and by Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company and The Empire District Electric Company. AECI stated it would like to clarify the record by indicating that it supports the requests for rehearing.

ER12-2682

Midwest Independent Transmission System Operator, Inc. ("MISO") Submission of Tariff Revisions Including Proposed Module B-1 to the Open Access Transmission, Energy and Operating Reserve Markets Tariff

On July 22, 2013, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company and The Empire District Electric Company filed a Request for Rehearing and Clarification of the June 20, 2013 Order.

On July 22, 2013, the Midcontinent Independent System Operator, Inc. submitted its Compliance Filing pursuant to the June 20, 2013 Order.

On July 22, 2013, the Southwest Power Pool Transmission Owners filed a Request for Clarification and Motion for Settlement Judge Proceedings or, in the Alternative, Request for Rehearing of the June 20, 2013 Order.

ER13-62

NorthWestern Corporation's Order No. 1000 Regional Compliance Filing

On July 8, 2013, FERC issued an Order Denying Partial Waiver, Granting Extension of Time in Part and Denying Rehearing.

NorthWestern's request for waiver was denied. The request for extension of time was granted in part. NorthWestern was directed to submit an informational filing regarding the status of Western's analysis of alternatives no later than 14 days after Western announces the results of the analysis or January 14, 2014.

ER13-366

SPP Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements

On July 18, 2013, FERC issued an Order on Compliance Filing, accepting SPP's compliance filing, subject to another compliance filing.

The Commission found that the scope of the transmission planning region, the description of facilities that will be subject to the requirements of Order No. 1000, and the enrollment process specified in SPP's filing comply with the requirements of Order No. 1000.

The Commission found that the Integrated Transmission Planning process complies with the comparability principle and the other planning related requirements of Order No. 1000. The Commission also found that the ITP process complies with the requirements of Order No. 1000 because it outlines the process by which SPP evaluates, in consultation with its stakeholders, alternative transmission solutions that might meet the needs of the transmission planning region more efficiently or cost-effectively than transmission solutions identified by individual public utility transmission providers in their local transmission planning processes.

The Commission found that SPP's ITP provisions, in conjunction with the proposed revisions in SPP's compliance filing, partially comply with the provisions of Order No. 1000 addressing transmission needs driven by public policy requirements. The Commission directed SPP to

Regulatory Status Report

file a further compliance filing to revise its Tariff to include clear, transparent procedures for identifying transmission needs driven by public policy requirements in its regional transmission planning process that allow stakeholders an opportunity to provide input and offer proposals regarding the transmission needs driven by public policy requirements. The Commission also directed SPP to file a further compliance filing to include in its Tariff a just and reasonable and not unduly discriminatory process through which the public utility transmission provider will identify those transmission needs driven by public policy requirements for which transmission solutions will be evaluated.

The Commission found that, since SPP evaluates transmission needs driven by public policy requirements throughout the ITP process just as it evaluates transmission needs driven by reliability or economic concerns, SPP complies with the evaluation requirement of Order No. 1000.

The Commission disagreed with SPP's argument that the right of first refusal provision in Section 3.3 of the Membership Agreement is subject to a Mobile-Sierra presumption.

The Commission accepted SPP's proposal to eliminate federal rights of first refusal for Highway facilities as consistent with the requirements of Order No. 1000. However, the Commission found that SPP's proposal to maintain a federal right of first refusal for Byway facilities does not comply with the requirement in Order No. 1000 to eliminate from Commission-jurisdictional tariffs and agreements provisions that establish a federal right of first refusal for an incumbent transmission owner with respect to transmission facilities selected in a regional transmission plan for purposes of cost allocation. Therefore, the Commission directed SPP to submit a compliance filing revising the definition of Competitive Upgrades to include Byway facilities.

The Commission found that SPP's proposal to treat a new transmission facility whose costs are allocated entirely to a single multi-transmission owner pricing zone within SPP as if its costs were allocated to a pricing zone with a single transmission owner complies with the requirements of Order No. 1000.

The Commission found that SPP's proposal to allow an incumbent transmission owner to maintain a federal right of first refusal for any new transmission facility built on a right-of-way with existing transmission facilities is not permitted by Order No. 1000. Therefore, the Commission directed SPP to submit a compliance filing to remove the proposed language related to rights-of-way in Section I.1.c of Attachment Y.

The Commission stated that Order No. 1000 does not permit a public utility transmission provider to add a federal right of first refusal for a new transmission facility based on state law. Accordingly, the Commission directed SPP to submit a compliance filing to remove the proposed language references relevant laws in Section I.1.d of Attachment Y.

The Commission found that SPP's proposal to maintain a federal right of first refusal for a rebuild of an existing transmission facility partially complies with Order No. 1000. The Commission directed SPP to submit a compliance filing to provide a definition of "rebuild" that is consistent with the clarification in SPP's answer filed on February 19, 2013.

The Commission found that SPP's proposed federal right of first refusal for transmission projects needed to address reliability needs in a shortened time frame partially complies with Order No. 1000. To retain a federal right of first refusal for Competitive Upgrades, including Highway and Byway facilities, needed to address reliability in a shortened time frame, the

Regulatory Status Report

Commission directed SPP to submit a compliance filing revising its provisions consistent with the five criteria as outlined in Paragraph 196 of this Order.

The Commission found that SPP's exclusion of Service Upgrades that result from requests for transmission service from the proposed definition of Competitive Upgrades does not comply with Order No. 1000. The Commission directed SPP to submit a compliance filing revising the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally.

The Commission directed SPP to revise its definition of Competitive Upgrades to clarify that for a transmission facility to be classified as a local project: 1) it must be located solely within a public utility transmission provider's retail distribution service territory or footprint; and 2) it must not be elected in a regional transmission plan for purposes of cost allocation.

The Commission found that SPP's proposed qualification criteria provisions partially comply with the requirements of Order No. 1000. Therefore, the Commission directed SPP to submit a compliance filing to remove from the qualification criteria the requirement that an entity demonstrate its ability to comply with the applicable local, state, and federal requirements. SPP was also directed to revise its Tariff to state that the application fee for the qualification process must be paid by both nonincumbent transmission developers and incumbent transmission owners. Alternatively, SPP may further explain why it is not unduly discriminatory to require nonincumbent transmission developers to pay the application fee.

The Commission found that SPP's proposed language regarding the information requirements for submitting bids partially complies with the requirements of Order No. 1000. The Commission directed SPP to submit a compliance filing with revisions that: 1) establish a precise dollar amount, or a formula for establishing that dollar amount, of the initial fee that a prospective transmission developer must submit with its bid; 2) clarify how it will calculate the actual costs associated with the Request for Proposals process for purposes of determining whether each Request for Proposals respondent must take additional payments or will receive refunds; and 3) provide interest on any bid fees that are refunded to a transmission developer. The Commission also directed SPP to revise its Tariff to remove the unduly discriminatory financial strength provision that applies to only incumbent transmission developers and allows them to demonstrate their financial strength simply by being the incumbent utility.

The Commission directed SPP to make a compliance filing to 1) revise its evaluation process to reflect greater weighting of costs in evaluating transmission developer bids in order to better reflect "the relative efficiency or cost-effectiveness of [any proposed transmission] solution," or explain and justify why its proposed weighting of costs in the evaluation process complies with the requirements of Order No. 1000; 2) either explain what basis the industry expert panel would use if it were to not to recommend to the Board a bid with the highest score, including how such a decision will be made in a transparent manner, or to remove any OATT language that allows the point system to be disregarded by the industry expert panel when it makes its recommendation; and 3) clarify what is expected, in terms of demonstration of access to capital, when a transmission developer is accepting responsibilities as a Designated Transmission Owner, and to further describe why such requirements are appropriate and not unduly discriminatory.

The Commission directed SPP to submit a compliance filing to 1) include a list of factors that it will consider when determining if a transmission project selected in the regional transmission plan for purposes of cost allocation is significantly delayed; and 2) clarify that it has an established cost bandwidth for determining when the reevaluation of a transmission project is

Regulatory Status Report

necessary.

The Commission found that the proposed tariff provisions addressing cost allocation for nonincumbent transmission developer projects comply with the requirements of Order No. 1000. The Commission also found that SPP's Transmission Owner Selection Process complies with the Order No. 1000 requirement that any nonincumbent transmission developer of a transmission facility selected in the regional transmission plan have an opportunity comparable to that of an incumbent transmission developer to allocate the cost of such transmission facility through a regional cost allocation method(s).

The Commission found that SPP's Balanced Portfolio and Highway/Byway regional cost allocation methods partially comply with the six regional cost allocation principles of Order No. 1000. The Commission directed SPP to submit a compliance filing revising its OATT to provide for identification of the consequences of a transmission facility selected in the regional transmission plan for purposes of cost allocation for other planning regions. SPP must also address in the further compliance filing whether the SPP region has agreed to bear the costs associated with any required upgrades in another transmission planning region and, if so, how such costs will be allocated within the SPP transmission planning region.

An effective date of March 30, 2014 was granted.

SPP's compliance filing is due on November 15, 2013.

On July 22, 2013, FERC issued an errata notice correcting the citation number to 144 FERC ¶ 61,059 for the July 18, 2013 Order on Compliance Filings.

ER13-367

SPP Submission of Revisions to its Membership Agreement to Comply with Order No. 1000

On July 18, 2013, FERC issued an Order on Compliance Filing, accepting SPP's compliance filing, subject to another compliance filing.

The Commission found that the scope of the transmission planning region, the description of facilities that will be subject to the requirements of Order No. 1000, and the enrollment process specified in SPP's filing comply with the requirements of Order No. 1000.

The Commission found that the Integrated Transmission Planning process complies with the comparability principle and the other planning related requirements of Order No. 1000. The Commission also found that the ITP process complies with the requirements of Order No. 1000 because it outlines the process by which SPP evaluates, in consultation with its stakeholders, alternative transmission solutions that might meet the needs of the transmission planning region more efficiently or cost-effectively than transmission solutions identified by individual public utility transmission providers in their local transmission planning processes.

The Commission found that SPP's ITP provisions, in conjunction with the proposed revisions in SPP's compliance filing, partially comply with the provisions of Order No. 1000 addressing transmission needs driven by public policy requirements. The Commission directed SPP to file a further compliance filing to revise its Tariff to include clear, transparent procedures for identifying transmission needs driven by public policy requirements in its regional transmission planning process that allow stakeholders an opportunity to provide input and offer proposals regarding the transmission needs driven by public policy requirements. The Commission also directed SPP to file a further compliance filing to include in its Tariff a just and reasonable and

Regulatory Status Report

not unduly discriminatory process through which the public utility transmission provider will identify those transmission needs driven by public policy requirements for which transmission solutions will be evaluated.

The Commission found that, since SPP evaluates transmission needs driven by public policy requirements throughout the ITP process just as it evaluates transmission needs driven by reliability or economic concerns, SPP complies with the evaluation requirement of Order No. 1000.

The Commission disagreed with SPP's argument that the right of first refusal provision in Section 3.3 of the Membership Agreement is subject to a Mobile-Sierra presumption.

The Commission accepted SPP's proposal to eliminate federal rights of first refusal for Highway facilities as consistent with the requirements of Order No. 1000. However, the Commission found that SPP's proposal to maintain a federal right of first refusal for Byway facilities does not comply with the requirement in Order No. 1000 to eliminate from Commission-jurisdictional tariffs and agreements provisions that establish a federal right of first refusal for an incumbent transmission owner with respect to transmission facilities selected in a regional transmission plan for purposes of cost allocation. Therefore, the Commission directed SPP to submit a compliance filing revising the definition of Competitive Upgrades to include Byway facilities.

The Commission found that SPP's proposal to treat a new transmission facility whose costs are allocated entirely to a single multi-transmission owner pricing zone within SPP as if its costs were allocated to a pricing zone with a single transmission owner complies with the requirements of Order No. 1000.

The Commission found that SPP's proposal to allow an incumbent transmission owner to maintain a federal right of first refusal for any new transmission facility built on a right-of-way with existing transmission facilities is not permitted by Order No. 1000. Therefore, the Commission directed SPP to submit a compliance filing to remove the proposed language related to rights-of-way in Section I.1.c of Attachment Y.

The Commission stated that Order No. 1000 does not permit a public utility transmission provider to add a federal right of first refusal for a new transmission facility based on state law. Accordingly, the Commission directed SPP to submit a compliance filing to remove the proposed language references relevant laws in Section I.1.d of Attachment Y.

The Commission found that SPP's proposal to maintain a federal right of first refusal for a rebuild of an existing transmission facility partially complies with Order No. 1000. The Commission directed SPP to submit a compliance filing to provide a definition of "rebuild" that is consistent with the clarification in SPP's answer filed on February 19, 2013.

The Commission found that SPP's proposed federal right of first refusal for transmission projects needed to address reliability needs in a shortened time frame partially complies with Order No. 1000. To retain a federal right of first refusal for Competitive Upgrades, including Highway and Byway facilities, needed to address reliability in a shortened time frame, the Commission directed SPP to submit a compliance filing revising its provisions consistent with the five criteria as outlined in Paragraph 196 of this Order.

The Commission found that SPP's exclusion of Service Upgrades that result from requests for transmission service from the proposed definition of Competitive Upgrades does not comply

Regulatory Status Report

with Order No. 1000. The Commission directed SPP to submit a compliance filing revising the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally.

The Commission directed SPP to revise its definition of Competitive Upgrades to clarify that for a transmission facility to be classified as a local project: 1) it must be located solely within a public utility transmission provider's retail distribution service territory or footprint; and 2) it must not be elected in a regional transmission plan for purposes of cost allocation.

The Commission found that SPP's proposed qualification criteria provisions partially comply with the requirements of Order No. 1000. Therefore, the Commission directed SPP to submit a compliance filing to remove from the qualification criteria the requirement that an entity demonstrate its ability to comply with the applicable local, state, and federal requirements. SPP was also directed to revise its Tariff to state that the application fee for the qualification process must be paid by both nonincumbent transmission developers and incumbent transmission owners. Alternatively, SPP may further explain why it is not unduly discriminatory to require nonincumbent transmission developers to pay the application fee.

The Commission found that SPP's proposed language regarding the information requirements for submitting bids partially complies with the requirements of Order No. 1000. The Commission directed SPP to submit a compliance filing with revisions that: 1) establish a precise dollar amount, or a formula for establishing that dollar amount, of the initial fee that a prospective transmission developer must submit with its bid; 2) clarify how it will calculate the actual costs associated with the Request for Proposals process for purposes of determining whether each Request for Proposals respondent must take additional payments or will receive refunds; and 3) provide interest on any bid fees that are refunded to a transmission developer. The Commission also directed SPP to revise its Tariff to remove the unduly discriminatory financial strength provision that applies to only incumbent transmission developers and allows them to demonstrate their financial strength simply by being the incumbent utility.

The Commission directed SPP to make a compliance filing to 1) revise its evaluation process to reflect greater weighting of costs in evaluating transmission developer bids in order to better reflect "the relative efficiency or cost-effectiveness of [any proposed transmission] solution," or explain and justify why its proposed weighting of costs in the evaluation process complies with the requirements of Order No. 1000; 2) either explain what basis the industry expert panel would use if it were to not to recommend to the Board a bid with the highest score, including how such a decision will be made in a transparent manner, or to remove any OATT language that allows the point system to be disregarded by the industry expert panel when it makes its recommendation; and 3) clarify what is expected, in terms of demonstration of access to capital, when a transmission developer is accepting responsibilities as a Designated Transmission Owner, and to further describe why such requirements are appropriate and not unduly discriminatory.

The Commission directed SPP to submit a compliance filing to 1) include a list of factors that it will consider when determining if a transmission project selected in the regional transmission plan for purposes of cost allocation is significantly delayed; and 2) clarify that it has an established cost bandwidth for determining when the reevaluation of a transmission project is necessary.

The Commission found that the proposed tariff provisions addressing cost allocation for nonincumbent transmission developer projects comply with the requirements of Order No. 1000. The Commission also found that SPP's Transmission Owner Selection Process

Regulatory Status Report

complies with the Order No. 1000 requirement that any nonincumbent transmission developer of a transmission facility selected in the regional transmission plan have an opportunity comparable to that of an incumbent transmission developer to allocate the cost of such transmission facility through a regional cost allocation method(s).

The Commission found that SPP's Balanced Portfolio and Highway/Byway regional cost allocation methods partially comply with the six regional cost allocation principles of Order No. 1000. The Commission directed SPP to submit a compliance filing revising its OATT to provide for identification of the consequences of a transmission facility selected in the regional transmission plan for purposes of cost allocation for other planning regions. SPP must also address in the further compliance filing whether the SPP region has agreed to bear the costs associated with any required upgrades in another transmission planning region and, if so, how such costs will be allocated within the SPP transmission planning region.

An effective date of March 30, 2014 was granted.

SPP's compliance filing is due on November 15, 2013.

ER13-878

SPP Submission of Tariff Revisions to Attachment AE, Section 1.2.2(g) to Allocate Energy Imbalance Service ("EIS") Market Charges and Credits Associated with the Generation of an Involuntarily Registered Qualifying Facility ("QF") to the Host Utility with Purchase Obligation under Public Utility Regulatory Polices Act of 1978 ("PURPA")

On July 12, 2013, FERC issued an order accepting SPP's April 22, 2013 Compliance Filing.

An effective date of April 1, 2012 was granted.

This order constitutes final agency action.

ER13-948

Entergy Services, Inc. ("ESI") and the Midwest Independent Transmission System Operator, Inc. ("MISO") Filing of Six Attachment O Templates to the MISO Open Access Transmission, Energy and Operating Reserve Markets Tariff to Establish Formula Rates for the Entergy Operating Companies' Recovery of Transmission Revenue Requirements

A settlement conference was held on July 16, 2013.

On July 17, 2013, FERC issued an Order Setting Second Settlement Conference Date and Procedural Schedule.

The following schedule was established:

July 31, 2013 - Intervenors to issue first set of discovery requests;
August 12, 2013 - Entergy to provide its populated formula template;
August 14, 2013 - Applicants to respond to first set of discovery requests;
September - First technical conference to be held during the last two weeks in September;
October - Second technical conference to be held the week of October 14, 2013;
November 1, 2013 - ITC to provide its populated formula template;
December 11, 2013 - Second settlement conference to be held.

On July 17, 2013, ITC Holdings Corp. and Entergy Services, Inc. filed a Joint Motion for Extension of Time and Request for Expedited Action. ITC and Entergy requested an extension to September 13, 2013 to submit the compliance filing required by Paragraph 104 of the June 20, 2013 Order.

Regulatory Status Report

On July 19, 2013, the Administrative Law Judge filed the First Settlement Status Report. The first settlement conference was held on July 16, 2013. The next settlement conference will be held on December 11, 2013.

On July 22, 2013, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company and The Empire District Electric Company filed a Request for Rehearing and Clarification of the June 20, 2013 Order.

Several Parties requested rehearing of the June 20, 2013 Order.

On July 22, 2013, the Southwest Power Pool Transmission Owners filed a Request for Clarification and Motion for Settlement Judge Proceedings or, in the Alternative, Request for Rehearing of the June 20, 2013 Order.

On July 22, 2013, SPP filed a Request for Rehearing of the June 20, 2013 Order.

SPP stated:

- 1) the Commission's failure to provide a meaningful response to SPP's parallel flow and other operational/economic concerns is legal error; and
- 2) the Commission's attempt to distinguish Commonwealth is unreasoned and illogical.

On July 25, 2013, FERC issued a Notice of Extension of Time, granting an extension to September 13, 2013 for the Parties to file their compliance filing pursuant to the June 20, 2013 Order.

ER13-1495

Generator Interconnection Agreement ("GIA") between Cottonwood Wind Project, LLC ("Cottonwood") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On July 10, 2013, FERC issued a letter order accepting the agreement, effective April 18, 2013 as requested.

This order constitutes final agency action.

ER13-1533

Executed Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On July 10, 2013, FERC issued a letter order accepting the agreement, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1543

Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Hays Wind LLC ("Hays Wind") as Interconnection Customer and Midwest Energy, Inc. ("Midwest") as Transmission Owner

On July 10, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective April 28, 2013 as requested.

This order constitutes final agency action.

ER13-1568

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Northeast Texas Electric Cooperative, Inc. ("NTEC") as Network Customer, and American Electric Power Company ("AEP") as

Regulatory Status Report

Host Transmission Owner

On July 10, 2013, FERC issued a letter order accepting the agreement, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1569

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Tex-La Electric Cooperative of Texas, Inc. ("Tex-La") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner

On July 10, 2013, FERC issued a letter order accepting the agreement, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1572

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, East Texas Electric Cooperative, Inc. ("ETEC") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner

On July 10, 2013, FERC issued a letter order accepting the agreement, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1584

Submission of Revisions to Attachment AD of the Tariff to Amend Tariff Administration Agreement between SPP and Southwestern Power Administration ("Southwestern")

On July 24, 2013, FERC issued an order accepting SPP's tariff revisions to amend the Tariff Administration Agreement between SPP and Southwestern Power Administration, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1629

Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Rock Creek Wind Project, LLC ("Rock Creek") as Interconnection Customer and KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Transmission Owner

On July 24, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective May 20, 2013 as requested.

This order constitutes final agency action.

ER13-1635

Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On July 30, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

Regulatory Status Report

- ER13-1748** **Order No. 755 Compliance Filing to Adopt a Two-Part Compensation Methodology for Resources that Provide Regulation-Up and Regulation-Down Operating Reserve Products in the SPP Integrated Marketplace and Other Tariff Language**
- On July 12, 2013, Beacon Power, LLC filed a Motion to Intervene.
- On July 12, 2013, the Electricity Storage Association filed a Motion to Intervene and Comments in support of SPP's Order No. 755 Compliance Filing.
- On July 12, 2013, the East Texas Cooperatives filed a Motion to Intervene.
- ER13-1768** **Submission of Tariff Revisions to Attachment AN to Incorporate into the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority ("SPP BA Agreement")**
- On July 9, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.
- On July 16, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- On July 18, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene Out-of-Time.
- ER13-1769** **Submission of Bylaws and Membership Agreement Revisions Necessary to Facilitate the Implementation of the SPP Balancing Authority**
- On July 9, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.
- On July 16, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- On July 18, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene Out-of-Time.
- ER13-1807** **Baseline Electronic Tariff Filing for the Joint Operating Agreement ("JOA") Between Southwest Power Pool, Inc. and Midcontinent Independent System Operator, Inc. ("MISO") (SPP Rate Schedule FERC No. 9)**
- On July 16, 2013, Ameren Services Company filed a Motion to Intervene.
- On July 24, 2013, FERC issued an order accepting the baseline electronic tariff filing of the Joint Operating Agreement between SPP and the Midcontinent Independent System Operator, Inc., effective June 27, 2013 as requested.
- This order constitutes final agency action.
- ER13-1809** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**
- On July 11, 2013, Kansas Power Pool filed a Motion to Intervene.
- On July 18, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company,

Regulatory Status Report

LLC filed a Motion to Intervene.

ER13-1819

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners

On July 18, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Joint Motion to Intervene and Comments.

Sunflower and Mid-Kansas requested that the Commission direct SPP in a compliance filing revise the agreement to simply refer to Wholesale Distribution Service being under agreements regulated by the Kansas Corporation Commission.

ER13-1832

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On July 11, 2013, Kansas Power Pool filed a Motion to Intervene.

On July 19, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER13-1840

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOAs") between SPP, Western Farmers Electric Cooperative ("WFEC") as Network Customer and Host Transmission Owner, and American Electric Power ("AEP") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners

On July 2, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.

ER13-1862

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On July 2, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.

ER13-1864

Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Include Market-to-Market ("M2M") Terms and Conditions (SPP Rate Schedule FERC No. 9)

On July 10, 2013, Exelon Corporation filed a Motion to Intervene.

On July 16, 2013, the Kansas City, Kansas Board of Public Utilities filed a Motion to Intervene.

On July 16, 2013, The Empire District Electric Company filed a Motion to Intervene.

On July 17, 2013, American Electric Power Service Corporation and Xcel Energy Services Inc. filed Motions to Intervene.

On July 18, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company, and Lincoln Electric System filed Motions to Intervene.

Regulatory Status Report

On July 19, 2013, Ameren Services Company, Calpine Corporation, East Texas Cooperatives, ITC Holdings Corp., et al., Nebraska Public Power District, Omaha Public Power District, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC, Westar Energy, Inc., Wisconsin Electric Power Company, WPPI Energy filed Motions to Intervene.

On July 19, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Intervene and Protest.

MISO stated:

- 1) SPP's proposed restrictions on the creation of new market-to-market flowgates should be rejected; and
- 2) the effective date of the Interregional Coordination Process should not be tied to dispute resolution.

On July 19, 2013, MidAmerican Energy Company filed a Motion to Intervene and Protest.

MidAmerican limited its protest to one aspect of SPP's proposal, the proposed language in Sections 3.1.13 and 8.1.4 of the Interregional Coordination Process. MidAmerican requested that the language in these sections be rejected.

On July 19, 2013, PJM Interconnection, L.L.C. filed a Motion to Intervene and Comments in support of SPP's proposal to include the proposed Attachment 2, provisions that preclude the designation of new market-to-market Flowgates outside of mutually agreed upon scheduling timeframes.

On July 19, 2013, Exelon Corporation filed Comments in support of SPP's filing, particularly with respect to its proposal that it and the Midcontinent Independent System Operator, Inc. may only establish new flowgates if mutually agreed upon.

On July 19, 2013, Potomac Economics, the Midcontinent Independent System Operator, Inc.'s Independent Market Monitor, filed a Motion to Intervene and Protest.

Potomac stated that the proposed Joint Operating Agreement between MISO and PJM Interconnection, L.L.C. has two significant flaws that should not be replicated in the SPP-MISO JOA. These flaws relate to the interface pricing requirements and the market-to-market resettlement provision proposed in Section 8.1.2.

On July 19, 2013, Northern Indiana Public Service Company filed a Motion to Intervene and Protest.

NIPSCO requested that the Commission direct SPP to revise the Interregional Coordination Process to strike Sections 3.1.13 and 8.1.4.

On July 19, 2013, the Southwest Power Pool Transmission Owners filed a Protest.

The SPP TOs stated:

- 1) unless the Commission requires SPP to address compensation for the use of the SPP Transmission System in this docket, SPP TOs will be left with no effective avenue to address their concerns;
- 2) the Commission should require SPP to clarify and, if necessary, renegotiate, portions of the Interregional Coordination Process where the plain language could be interpreted in a manner in which no compensation is required;
- 3) the Commission should institute settlement judge proceedings and a hearing in order to

Regulatory Status Report

resolve the uncertainties described in this Protest and ensure that the market-to-market procedures are just and reasonable; and
4) accompanying amendments to the JOA itself related to limitations on claims should be clarified.

ER13-1869

On July 25, 2013, City Utilities of Springfield, Missouri filed a Motion to Intervene Out-of-Time.

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners

On July 1, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer, as well as a NOA with Sunflower, Midwest Energy, Inc., ITC Great Plains, LLC, and Mid-Kansas Electric Company, LLC as Host Transmission Owners. SPP Service Agreement No. Fourth Revised 2028.

An effective date of June 1, 2013 was requested.

On July 22, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER13-1872

Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On July 1, 2013, SPP submitted an unexecuted NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a partially executed NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2562.

SPP submitted the agreements on an unexecuted basis, due to time constraints, because KMEA and Westar have been unable to finalize Appendix 4.

An effective date of June 1, 2013 was requested.

On July 24, 2013, the City of Eudora, Kansas filed a Motion to Intervene Out-of-Time and Protest.

Eudora protested the wholesale distribution service charge (WDSC) contained in the proposed service agreement. Eudora requested that the Commission reject the filing and require Westar and SPP to submit complete cost information to support the proposed WDSC.

ER13-1914

SPP Submission of Tariff Revisions to Clarify the Determination of Credits and Distribution of Credit Revenue for Creditable Upgrades

On July 9, 2013, SPP submitted tariff revisions to clarify the determination of credits and distribution of credit revenue for Creditable Upgrades under the Tariff, and include provisions that are designed to simplify and streamline the crediting process.

An effective date of September 8, 2013 was requested.

Regulatory Status Report

On July 19, 2013, Westar Energy, Inc. and Western Farmers Electric Cooperative filed Motions to Intervene.

On July 25, 2013, Manitoba Hydro filed a Motion to Intervene and Comments in support of SPP's filing.

Manitoba stated:

- 1) the proposed revisions simplify the SPP Tariff crediting process and conform to the Commission's Pricing Policy Statement; and
- 2) the proposed revisions were developed through a comprehensive stakeholder process.

On July 23, 2013, Oklahoma Gas and Electric Company filed a Motion to Intervene.

On July 30, 2013, the TDU Intervenors filed a Motion to Intervene and Comments.

The TDU Intervenors stated:

- 1) SPP's proposed addition to Attachment Z1 is unclear;
- 2) SPP has not justified the differences in distribution methodology for credits for different types of upgrades; and
- 3) SPP must be required to justify and/or modify several aspects of Section III of Attachment Z2.

On July 30, 2013, the American Wind Energy Association and the Wind Coalition filed a Motion to Intervene and Protest.

The parties stated:

- 1) SPP has not provided sufficient detail in its filing to determine whether it is just and reasonable; and
- 2) SPP should provide additional detail regarding its proposal in a supplemental filing.

On July 30, 2013, the East Texas Cooperatives, Golden Spread Electric Cooperative, Inc., and Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed Motions to Intervene.

ER13-1937

Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Comply with Interregional Requirements of Order No. 1000 (SPP Rate Schedule FERC No. 9)

On July 10, 2013, SPP submitted revisions to the Joint Operating Agreement between SPP and the Midcontinent Independent System Operator, Inc. to address the interregional coordination and cost allocation requirements of Order No. 1000.

SPP requested an effective date to coincide with the effective date of its Order No. 1000 regional Tariff provisions. In its regional compliance filing, SPP requested an effective date of March 30 following the Commission's acceptance of such filing.

On July 15, 2013, E.ON Climate & Renewables North America, LLC filed a Motion to Intervene.

On July 16, 2013, Exelon Corporation filed a Motion to Intervene.

On July 29, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Intervene.

Regulatory Status Report

ER13-1938

Midcontinent Independent System Operator, Inc. ("MISO") Submission of Joint Operating Agreement ("JOA") between SPP and MISO to Comply with Interregional Requirements of Order No. 1000

On July 10, 2013, the Midcontinent Independent System Operator, Inc. submitted revisions to the Joint Operating Agreement between SPP and MISO to address the interregional coordination and cost allocation requirements of Order No. 1000.

MISO requested an effective date to coincide with the effective date of SPP's Order No. 1000 regional Tariff provisions. In its regional compliance filing, SPP requested an effective date of March 30 following the Commission's acceptance of such filing.

On July 15, 2013, E.ON Climate & Renewables North America, LLC filed a Motion to Intervene.

On July 16, 2013, Exelon Corporation filed a Motion to Intervene.

On July 18, 2013, Consumers Energy Company filed a Motion to Intervene.

ER13-1939

SPP Submission of Tariff Revisions to Comply with Order No. 1000 Interregional Coordination and Cost Allocation Requirements

On July 10, 2013, SPP submitted revisions to its Tariff to comply with Order No. 1000's requirements for interregional coordination and cost allocation.

SPP requested an effective date for all Tariff revisions submitted herein which is coincident to the effective date the Commission ultimately approves for SPP's Order No. 1000 regional compliance. In its regional compliance filing, SPP requested an effective date of March 30 following the Commission's acceptance of such filing. For Addendum 4 to Attachment O, SPP requested an effective date of the later of March 30 the year after Commission acceptance of SPP's regional planning process, or January 1, 2015.

On July 15, 2013, E.ON Climate & Renewables North America, LLC filed a Motion to Intervene.

On July 17, 2013, Exelon Corporation filed a Motion to Intervene.

ER13-1990

Submission of Tariff Revisions to Clarify Attachment T References for Balanced Portfolio Reallocation

On July 17, 2013, SPP submitted revisions to its Tariff to modify Attachment T to clarify that rates for Point-to-Point Transmission Service specified in Attachment T shall be adjusted to recognize Zonal Annual Transmission Revenue Requirements which are reallocated pursuant to the Balanced Portfolio in accordance with Section IV.A of Attachment J.

An effective date of September 30, 2013 was requested.

On July 18, 2013, SPP submitted an errata filing to correct the requested effective date to September 30, 2013 in the July 17, 2013 Transmittal Letter.

ER13-1996

Submission of Tariff Revisions to Attachment T to Update Stated Point-to-Point Transmission Rates for City Utilities of Springfield, Missouri and Notification of Implementation of Year-Two Reallocation of Revenue Requirements Pursuant to Attachments J and O for the Balanced Portfolio

Regulatory Status Report

On July 19, 2013, SPP submitted revisions to its Tariff to Attachment T to properly reflect the stated Point-to-Point Transmission Service rates for City Utilities of Springfield, Missouri. Additionally, SPP notified the Commission of the implementation of the year-two reallocation of revenue requirements in accordance with the Balanced Portfolio process pursuant to Attachments J and O of the Tariff.

An effective date of October 1, 2013 was requested.

On July 26, 2013, Midwest Energy, Inc. filed a Motion to Intervene.

ER13-2011

Generator Interconnection Agreement ("GIA") between Broken Bow Wind II, LLC ("Broken Bow") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On July 23, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Broken Bow Wind II, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Second Revised 2220.

An effective date of June 24, 2013 was requested.

ER13-2025

Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On July 25, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Second Revised 2548.

An effective date of July 1, 2013 was requested.

ER13-2028

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On July 25, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Twelfth Revised 1374.

An effective date of July 1, 2013 was requested.

ER13-2031

Submission of Revisions to Bylaws and Membership Agreement to Implement Withdrawal Obligations and Revisions to Provide Greater Flexibility Regarding the Functions of Various SPP Committees Reporting to the Board of Directors

On July 25, 2013, SPP submitted revisions to its Bylaws and Membership Agreement to establish financial responsibility for members that withdraw from SPP for the costs of transmission facilities approved for construction prior to the withdrawal.

SPP submitted related revisions to its Tariff in Docket No. ER13-2033.

SPP also proposed revisions to its Bylaws and Membership Agreement to provide SPP and its Board of Directors with greater flexibility regarding the functions of various SPP committees reporting to the Board of Directors, and made other minor corrections and clarifications.

An effective date of September 23, 2013 was requested.

Regulatory Status Report

ER13-2033

Submission of Tariff Revisions to Clarify Withdrawal Obligations

On July 25, 2013, SPP submitted revisions to its Tariff to clarify the potential responsibility of members that withdraw from SPP for certain penalty costs incurred by SPP prior to the member's withdrawal.

SPP submitted related revisions to its Bylaws and Membership Agreement in Docket No. ER13-2031.

An effective date of September 23, 2013 was requested.

ER13-2039

SPP Submission of Tariff Revisions to Update the Transmission Line Loss Factor for Westar Energy, Inc.

On July 26, 2013, SPP submitted revisions to its Tariff to incorporate an updated transmission line loss factor for Westar Energy, Inc., which is a transmission owner and pricing zone under the SPP Tariff. The purpose of this filing is to incorporate into Attachment M of the SPP Tariff the loss factor for Westar accepted by the Commission on June 27, 2013 in Docket No. ER12-909-000, et al.

An effective date of September 1, 2012 was requested.

ER13-2041

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Nemaha-Marshall Electric Cooperative ("Nemaha-Marshall") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On July 26, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Nemaha-Marshall Electric Cooperative as Network Customer, as well as a NOA with Westar Energy, Inc as Host Transmission Owner. SPP Service Agreement No. Third Revised 1977.

An effective date of June 27, 2013 was requested.

ER13-2042

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On July 26, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1886.

An effective date of June 27, 2013 was requested.

ER13-2048

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kaw Valley Electric Cooperative ("Kaw Valley") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On July 29, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kaw Valley Electric Cooperative, as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second

Regulatory Status Report

Revised 1976.

An effective date of June 27, 2013 was requested.

ER13-2056

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On July 30, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Ninth Revised 2198.

An effective date of July 1, 2013 was requested.

ER13-2061

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Associated Electric Cooperative, Inc. ("AECI") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner

On July 30, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Associated Electric Cooperative, Inc. as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Ninth Revised 1154.

An effective date of July 1, 2013 was requested.

ER13-2067

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On July 31, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Network Customer, as well as a NOA with American Electric Power Service Corporation, Oklahoma Gas and Electric Company, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Nineteenth Revised 1166.

An effective date of July 1, 2013 was requested.

ER13-2068

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On July 31, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2571.

An effective date of July 1, 2013 was requested.

Regulatory Status Report

- ER13-2070** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner**
- On July 31, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Arkansas Electric Cooperative Corporation as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Third Revised 2158.
- An effective date of July 1, 2013 was requested.
- ER13-2078** **Submission of Tariff Revisions to Address the Treatment of Grandfathered Agreements ("GFAs") "Carved-Out" from the SPP Integrated Marketplace**
- On July 31, 2013, in Docket No. ER13-2078, SPP submitted tariff revisions to address the treatment of grandfathered agreements that are "carved-out" from the SPP Integrated Marketplace. This filing was submitted concurrently with an Offer of Settlement in Docket No. ER12-1179 that, if accepted by the Commission, would resolve all remaining issues concerning the identification of those GFAs that will be subject to the rates, charges, benefits, terms, and conditions of the Integrated Marketplace, and those GFAs that instead are eligible for "carve-out" from the Integrated Marketplace.
- An effective date of March 1, 2014 was requested.
- ES13-20** **Application of Southwest Power Pool, Inc. Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities**
- On July 11, 2013, SPP filed its Report of Securities Issues pursuant to Section 34.10 of the Commission's Regulations.
- RM01-5** **Electronic Tariff Filings**
- On July 17, 2013, FERC issued a Notice of Effective Date of Revised Company Registration Requirements.
- Beginning on August 5, 2013, the Commission will implement the new company registration format for eTariff and Electric Quarterly Report filings. After August 5, filings requiring the use of a Company Identifier (CID) number cannot be made until the filer either has registered using the new format or transitioned its existing company registration to the new format. The Commission urges companies to register or complete the transition process prior to making a filing to avoid the potential for registration problems the day of the filing.
- RM05-5** **Standards for Business Practices and Communication Protocols for Public Utilities**
- On July 18, 2013, FERC issued a Notice of Proposed Rulemaking proposing to incorporate by reference in its regulations Version 003 of the Standards for Business Practices and Communication Protocols for Public Utilities adopted by the Wholesale Electric Quadrant of the North American Energy Standards Board. These standards modify NAESB's WEQ Version 002 and Version 002.1 Standards.
- Comments are due on September 24, 2013.

RM10-23 **Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities**

On July 8, 2013, FERC issued a Notice Granting an Extension of Time to Submit Interregional Compliance Filings.

FERC granted SPP's and Midcontinent Independent System Operator, Inc.'s request for a 120-day extension of time to submit their interregional compliance filings with NorthWestern Corporation and the Mid-Continent Area Power Pool.

On July 31, 2013, WIRES filed a report entitled "The Benefits of Electric Transmission: Identifying and Analyzing the Value of Investments" prepared by The Brattle Group.

RM11-11 **Version 4 Critical Infrastructure Protection Reliability Standards (CIP-002-4 through CIP-009-4)**

On July 18, 2013, the American Public Power Association, et al. filed a Request for Delay of Compliance Deadline for complying with Version 4 of the Critical Infrastructure Protection Reliability Standards, currently scheduled to become effective on April 1, 2014, pending action in a rulemaking proceeding on a proposed Version 5 of the CIP Reliability Standards.

On July 24, 2013, FERC issued a Notice Extending Comment Period to August 5, 2013 to file comments in response to the July 18, 2013 Request for Delay of Compliance Deadline.

RM11-24 **Third-Party Provision of Ancillary Services; Accounting and Financial Reporting for New Electric Storage Technologies**

On July 18, 2013, FERC issued Order No. 784, revising certain aspects of the Commission's current market-based rate regulations, ancillary services requirements under the pro forma open access transmission tariff, and accounting and reporting requirements.

Specifically, the Commission is revising Part 35 of its regulations to reflect reforms to its Avista policy governing the sale of ancillary services at market-based rates to public utility transmission providers. The Commission is also requiring each public utility transmission provider to add to its OATT Schedule 3 a statement that it will take into account the speed and accuracy of regulation resources in its determination of reserve requirements for Regulation and Frequency Response service, including as it reviews whether a self-supplying customer has made "alternative comparable arrangements" as required by the Schedule. The final rule also requires each public utility transmission provider to post certain Area Control Error data as described in the final rule. Finally, the Commission is revising the accounting and reporting requirements under its Uniform System of Accounts for public utilities and licensees and its forms, statements, and reports, contained in FERC Form No. 1, Annual Report of Major Electric Utilities, Licensees and Others, FERC Form No. 1-F, Annual Report for Nonmajor Public Utilities and Licensees, and FERC Form No. 3-Q, Quarterly Financial Report of Electric Utilities, Licensees, and Natural Gas Companies, to better account for and report transactions associated with the use of energy storage devices in public utility operations.

This rule becomes effective on November 27, 2013.

RM12-3 **Revisions to Electric Quarterly Report ("EQR") Filing Process (Order No. 770)**

On July 12, 2013, FERC issued a Notice of Availability of Sandbox Electronic Test Site.

Regulatory Status Report

On July 17, 2013, FERC issued a Notice of Effective Date of Revised Company Registration Requirements.

Beginning on August 5, 2013, the Commission will implement the new company registration format for eTariff and Electric Quarterly Report filings. After August 5, filings requiring the use of a Company Identifier (CID) number cannot be made until the filer either has registered using the new format or transitioned its existing company registration to the new format. The Commission urges companies to register or complete the transition process prior to making a filing to avoid the potential for registration problems the day of the filing.

RM12-4 Revisions to Reliability Standard for Transmission Vegetation Management

On July 12, 2013, NERC submitted its Compliance Filing pursuant to Order No. 777 to 1) provide a detailed description of NERC's plan to conduct testing to develop empirical data regarding the flashover distances between conductors and vegetation; 2) modify the Violation Risk Factor for Requirement R2; and 3) confirm NERC has posted guidance materials for NERC Reliability Standard FAC-003-2 to its website.

RM12-6 Petition of the North American Electric Reliability Corporation ("NERC") for Approval of a Revised Definition of "Bulk Electric System" in the NERC Glossary of Terms Used in Reliability Standards (Order No. 773)

On July 9, 2013, Pacific Northwest Generating Cooperative and Northwest Requirements Utilities filed a Request for Rehearing of the June 13, 2013 Order.

RM12-7 Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Revisions to its Rules of Procedure to Adopt a Bulk Electric System Exception Procedure (Order No. 773)

On July 9, 2013, Pacific Northwest Generating Cooperative and Northwest Requirements Utilities filed a Request for Rehearing of the June 13, 2013 Order.

RM12-16 Generator Requirements at the Transmission Interface

On July 9, 2013, NERC filed Reply Comments in response to comments submitted in response to the Notice of Proposed Rulemaking issued on April 18, 2013.

RM12-19 Revisions to Modeling, Data, and Analysis Reliability Standard

On July 18, 2013, FERC issued Order No. 782, Final Rule approving Modeling, Data, and Analysis (MOD) Reliability Standard MOD-028-2. The Commission found that the proposed Reliability Standard represents an improvement over the currently-effective standard, MOD-028-1 because the proposed Reliability Standard clarifies the timing and frequency of Total Transfer Capability calculations needed for Available Transfer Capability calculations.

The rule becomes effective on September 27, 2013.

RM12-22 Reliability Standards for Geomagnetic Disturbances ("GMDs") (Order No. 779)

On July 16, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the May 16, 2013 Order.

Regulatory Status Report

RM13-2 Small Generator Interconnection Agreements and Procedures

On July 3, 2013, Solar Energy Industries Association filed Reply Comments in response to comments filed regarding the Notice of Proposed Rulemaking issued on January 17, 2013.

RM13-5 Version 5 Critical Infrastructure Protection Reliability Standards

On July 18, 2013, the American Public Power Association, et al. filed a Request for Delay of Compliance Deadline for complying with Version 4 of the Critical Infrastructure Protection Reliability Standards, currently scheduled to become effective on April 1, 2014, pending action in a rulemaking proceeding on a proposed Version 5 of the CIP Reliability Standards.

On July 24, 2013, FERC issued a Notice Extending Comment Period to August 5, 2013 to file comments in response to the July 18, 2013 Request for Delay of Compliance Deadline.

RM13-6 Electric Reliability Organization Interpretation of Specific Requirements of the Disturbance Control Performance Standard

Several entities filed Comments in response to the Notice of Proposed Rulemaking issued on May 16, 2013.

On July 8, 2013, the ISO/RTO Council filed Comments in response to the Notice of Proposed Rulemaking issued on May 16, 2013.

The IRC stated:

- 1) the interpretation is within the permissible scope because it clarifies the requirements in Reliability Standard BAL-002-1 rather than changing the requirements and therefore should be accepted; and
- 2) the Commission should accept the interpretation because it results in sound reliable operation of the Bulk Power System.

RM13-7 Protection System Maintenance Reliability Standard

On July 18, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to approve a revised Reliability Standard, PRC-005-2 - Protection System Maintenance, to supersede four existing Reliability Standards, PRC-005-1.1b (Transmission and Generation Protection System Maintenance and Testing), PRC-008-0 (Underfrequency Load Shedding Equipment Maintenance), PRC-011-0 (Undervoltage Load Shedding Equipment Maintenance) and PRC-017-0 (Special Protection System Maintenance and Testing). In addition, the Commission seeks clarification and comment on three aspects of the proposed Reliability Standard and proposes to modify one violation security level.

Comments are due on September 23, 2013.

RM13-11 Frequency Response and Frequency Bias Setting Reliability Standard

On July 18, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to approve Reliability Standard BAL-003-1 (Frequency Response and Frequency Bias Setting) which defines the necessary amount of frequency response needed for reliable operations for each Balancing Authority within an Interconnection.

Comments are due on September 27, 2013.

Regulatory Status Report

RM13-17

Communication of Operational Information Between Natural Gas Pipelines and Electric Transmission Operators

On July 18, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to revise Parts 38 and 284 of the Commission's regulations to provide explicit authority to interstate natural gas pipelines and public utilities that own, operate, or control facilities used for the transmission of electric energy in interstate commerce to share non-public, operational information with each other for the purpose of promoting reliable service or operational planning on either the public utility's or pipeline's system.

Comments are due on August 26, 2013.

RR06-1

North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization ("ERO")

On July 30, 2013, NERC submitted its Analysis of NERC Standards Process Results for the Second Quarter 2013.

RR09-7

NERC Three-Year Electric Reliability Organization Performance Assessment Report

On July 30, 2013, NERC submitted its Analysis of NERC Standards Process Results for the Second Quarter 2013.

Regulatory Status Report

FERC or State Jurisdiction: State of Arkansas

08-136-U **In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas**

On July 17, 2013, SPP filed the June 2013 State of the Market Report pursuant to Order No. 2.

10-011-U **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**

On July 1, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

10-101-R **In the Matter of the Institution of a Rulemaking to Adopt Amendments to the Commission's Rules on Conservation & Energy Efficiency ("C&EE") to Allow Self-Directed Programs for Large Consumers**

On July 8, 2013, the APSC issued Order No. 29, finding that it is necessary to establish a uniform Affidavit for use by those entities identified in (c)(1)(A) of Act 253 and to amend its Rules of Conservation and Energy Efficiency Programs consistent with Act 253.

APSC Staff shall file the proposed amendments by August 16, 2013. Reply Comments are due September 13, 2013. Sur-Reply Comments are due October 4, 2013. A public hearing is to be held on November 1, 2013.

On July 23, 2013, the APSC issued Order No. 30, designating Cynthia Uhrynowycz as Presiding Officer.

11-165-U **In the Matter of the Application of Midwest Independent Transmission Operator, Inc. ("MISO") for a Certificate of Public Convenience and Necessity for the Limited Purpose of Managing and Coordinating the Use of Certain Transmission Facilities Located Within the State of Arkansas**

On July 9, 2013, the APSC issued Order No. 4, granting the Midcontinent Independent System Operator, Inc.'s Application for Certificate of Public Convenience and Necessity for specific and limited purposes as set forth in the Order. MISO was directed to file an annual report on Auction Revenue Rights on March 31 of each year for the preceding calendar year.

12-008-U **In the Matter of Southwestern Electric Power Company's Petition for a Declaratory Order Finding that Installation of Environmental Controls at the Flint Creek Power Plant is in the Public Interest**

On July 10, 2013, the APSC issued Order No. 14, granting SWEPCO's Petition for Declaratory Order.

SWEPCO was directed to file written notice in this Docket when construction commences and prior to any period of time in which the Flint Creek Plant is to be out of service due to the retrofit project including an estimate of the time of the outage and a description of measures and the cost of those measures to provide reliable service during the planned outage.

Regulatory Status Report

12-069-U

In the Matter of an Application of Entergy Arkansas, Inc., Mid South Transco LLC, ITC Midsouth LLC, Transmission Company Arkansas, LLC, and ITC Holdings Corp. to Enter Transactions Resulting in a Certificate of Public Convenience and Necessity for a New Arkansas Utility to Own EAI's Electric Transmission Facilities

On July 1, 2013, the Applicants filed a Response to Arkansas Electric Cooperative Corporation's Motion to Reschedule the July 9 Evidentiary Hearing.

On July 2, 2013, the APSC issued Order No. 14, finding that the public interest will be served by cancellation of the July 9 evidentiary hearing and the extension of the existing procedural schedule in order to develop a more complete record on the Mitigation Plan proposed by the Applicants. The Commission directed that the parties work together and propose a mutually agreeable procedural schedule no later than July 12, 2013.

On July 9, 2013, the Applicants filed Supplemental Testimony.

On July 12, 2013, Arkansas Electric Cooperative Corporation filed its Response to Order No. 14 Regarding the Revised Procedural Schedule.

On July 12, 2013, the Parties filed a Joint Motion to Establish Procedural Schedule.

On July 17, 2013, APSC General Staff filed a Reply to Arkansas Electric Cooperative Corporation's Response to Order No. 14. Staff stated it objects to a hearing being held during the week of September 9, 2013.

On July 19, 2013, the Applicants filed a Reply to Arkansas Electric Cooperative Corporation's Response to Order No. 14. The Applicants requested that the Commission issue an order adopting the schedule set forth in the Joint Motion to Establish Procedural Schedule filed on July 12, 2013.

On July 26, 2013, the APSC issued Order No. 15 setting the following procedural schedule:

August 2, 2013 - Staff/Intervenor Discovery on Rate Mitigation Commitments shall conclude;
August 15, 2013 - Staff/Intervenor Supplemental Testimony shall be filed by noon;
August 23, 2013 - Applicant Supplemental Rebuttal Testimony shall be filed by 3 PM;
August 28, 2013 - Settlement Agreement, if any, shall be filed by noon; and
September 4, 2013 - Evidentiary hearing begins at 8:30 AM, and continuing, as necessary, on September 5, 10 and 11.

13-041-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas

On July 8, 2013, Byron Bradshaw filed a Motion to Withdraw as Intervenor.

On July 8, 2013, Jan Seth as Trustee of the Jan L. and Judith F. Seth Family Trust filed a Motion to Withdraw as Intervenor.

On July 8, 2013, Chiggertick LLP filed a Motion to Withdraw as Intervenor.

On July 10, 2013, the APSC issued Order No. 13, providing rules of procedure and conduct for

Regulatory Status Report

the public comment hearings to be held in Eureka Springs and Rogers.

Public comment hearings were held on July 15-16, 2013.

On July 19, 2013, SWEPCO filed Rebuttal Testimony.

On July 31, 2013, Mayor Larry Smith filed Rebuttal Testimony on behalf of the City of Cave Springs.

FERC or State Jurisdiction: State of Kansas

13-ITCE-677-MIS

In the Matter of the Application of ITC Great Plains, LLC and Mid-Kansas Electric Company, LLC for a Siting Permit for the Construction of a 345 kV Transmission Line in Cloud and Ottawa Counties, Kansas

On July 3, 2013, ITC Great Plains, LLC and Mid-Kansas Electric Company, LLC filed a Motion to Modify Procedural Schedule.

On July 9, 2013, Michael Wegner, P.E. filed Testimony in Response to Comments at June 3, 2013 Public Hearing on behalf of the KCC.

On July 9, 2013, the KCC issued an Order Granting Motion to Modify Procedural Schedule. The evidentiary hearing is rescheduled to be held on August 6, 2013.

On July 16, 2013, Alan Myers filed Rebuttal Testimony in response to Staff's Responses to Public Hearing Comments.

On July 23, 2013, the Parties filed a Joint List of Disputed Issues.

A prehearing conference was held on July 30, 2013.

13-WSEE-676-MIS

In the Matter of the Application of Westar Energy, Inc. for a Siting Permit for the Construction of a 345 kV Transmission Line in Saline and Ottawa Counties, Kansas

On July 2, 2013, Michael Wegner, P.E. filed Testimony in Response to Comments at June 5, 2013 Public Hearing on behalf of the KCC.

On July 12, 2013, Salvatore Falcone filed Rebuttal Testimony on behalf of Westar Energy, Inc.

A prehearing conference was held on July 24, 2013.

On July 24, 2013, Westar Energy, Inc. and Commission Staff filed a Joint List of Disputed Issues.

On July 30, 2013, Michael Wegner, P.E. filed Supplemental Testimony on behalf of the KCC.

FERC or State Jurisdiction: State of LA - New Orleans

UD-11-01 **Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements**

On July 1, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

UD-12-01 **Investigation of the Proposed Divestiture of the Transmission Assets of Entergy New Orleans, Inc. ("ENO") and Entergy Louisiana, LLC ("ELL") to ITC Holdings Corp.**

On July 2, 2013, ITC Holdings Corp. and Entergy Corporation filed a copy of the Form 8-K filed with the Securities Exchange Commission, which states that the merger is expected to close on December 31, 2013.

On July 2, 2013, ITC Holdings Corp. filed Objections to the Advisors' 9th Set of Data Requests.

On July 10, 2013, Applicants filed Rejoinder Testimony.

On July 15, 2013, Air Products and Chemicals, Inc. filed Surrebuttal Testimony.

On July 15, 2013, the Advisors filed Surrebuttal Testimony.

An evidentiary hearing was held in July 2013.

On July 24, 2013, James Proctor submitted an Errata to his Surrebuttal Testimony filed on July 15, 2013.

FERC or State Jurisdiction: State of Louisiana

U-32538

Joint Application of Entergy Louisiana, LLC, Entergy Gulf States Louisiana, L.L.C., Mid South TransCo LLC, Transmission Company Louisiana I, LLC, Transmission Company Louisiana II, LLC, ITC Holdings Corp. and ITC Midsouth LLC ("Applicants") for Approval of Change of Ownership of Electric Transmission Businesses, for Certain Cost-Recovery Adjustments, and for Related Relief

A status conference and oral argument were held on July 10, 2013.

On July 11, 2013, the LPSC issued a Report of July 10, 2013 Status Conference and Oral Argument, Notice of Change of Location of Hearing, and Notice of Pre-hearing Conference.

A pre-hearing conference was held on July 15, 2013.

On July 17, 2013, the Applicants filed a Motion for Immediate Review of Interlocutory Ruling and Incorporated Supporting Memorandum in accordance with Rule 57 of the LPSC's Rules of Practice and Procedure.

The Applicants moved the full Commission's review and reverse certain rulings made by the Honorable Valerie Seal Meiners, Chief Administrative Law Judge on July 10, 2013, that excluded from the record of this proceeding certain evidence of benefits flowing from the proposed ITC Transaction and rate mitigation commitments made by the ITC and the Companies to ensure that customers receive the benefits of the transaction. The Applicants stated that hearings in the matter have already commenced, and if these rulings are not reversed at the July 31 Business and Executive session of the Commission, the Applicants will suffer irreparable and irreversible harm.

A hearing was held on July 22, 2013.

On July 24, 2013, the Applicants filed a Joint Motion for Oral Argument on Motion for Immediate Review of Interlocutory Filing.

On July 29, 2013, LPSC Staff filed its Opposition to Motion for Immediate Review of Interlocutory Ruling.

On July 29, 2013, Occidental Chemical Corporation filed its Opposition to Motion for Immediate Review of Interlocutory Ruling.

On July 30, 2013, LPSC Staff filed a Referral to Commissioners for Review Pursuant to Rule 57.

U-32675

Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. (Collectively "Entergy") Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, for an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment

On July 24, 2013, Jay Lewis, Nicholas Owens, and Patrick Cicio filed Rebuttal Testimony on behalf of Entergy.

Regulatory Status Report

FERC or State Jurisdiction: State of Mississippi

2012-UA-358

Joint Application for the Transfer of Ownership and Control of Entergy Mississippi, Inc.'s Transmission Facilities and Assets Together with Related Certificates, Franchises, and Other Property Rights to Transmission Company Mississippi, LLC and Approval of Subsequent Transfers of Ownership and Control

On July 8, 2013, MPSC Staff filed its reply to the Applicants' Brief on Jurisdictional Issues.

On July 19, 2013, the Applicants filed Rebuttal Testimony.

FERC or State Jurisdiction: State of Missouri

EA-2013-0098

In the Matter of the Application of Transource Missouri, LLC for a Certificate of Convenience and Necessity Authorizing it to Construct, Finance, Own, Operate, and Maintain the Iatan-Nashua and Sibley-Nebraska City Electric Transmission Projects

On July 1, 2013, the MoPSC issued an Order scheduling a brief procedural conference to be held on July 2, 2013.

On July 3, 2013, the MoPSC issued an Order directing Staff to file a supplemental procedural schedule reflecting the results of the conference held on July 2, 2013.

On July 9, 2013, Staff filed a Supplemental Procedural Schedule in Response to Order Directing Filing.

On July 10, 2013, Staff filed its First Order Supplementing Record to enter into evidence the Applicant's Supplemental Filing and attachments containing information on the final route for the proposed Sibley-Nebraska transmission line.

On July 19, 2013, the Parties filed a Second Joint Proposed Order and Joint Proposed Consent Order Approving Unanimous Stipulation and Agreement.

On July 19, 2013, the Parties filed Joint Suggestions of the Signatories in Support of an Order by the Commission Approving the Unanimous Stipulation and Agreement.

EO-2012-0269

In the Matter of The Empire District Electric Company's ("Empire") Submission of Its Interim Report Regarding Participation in the Southwest Power Pool, Inc.

On July 10, 2013, Bary Warren filed Direct Testimony on behalf of Empire.

EO-2013-0396

In the Matter of the Joint Application of Entergy Arkansas, Inc., Mid South Transco LLC, Transmission Company Arkansas, LLC and ITC Midsouth LLC ("Applicants") for Approval of Transfer of Assets and Certificate of Convenience and Necessity, and Merger and, in connection therewith, Certain Other Related Transactions

On July 12, 2013, Parties filed Initial Briefs.

Regulatory Status Report

FERC or State Jurisdiction: State of New Mexico

13-00031-UT

In the Matter of Southwestern Public Service Company's Interim Report on its Participation in the Southwest Power Pool Regional Transmission Organization

On July 30, 2013, the NMPRC issued an Order Setting Pre-hearing Conference to be held on August 8, 2013.

Regulatory Status Report

FERC or State Jurisdiction: State of Texas

- 40979** **Proceeding to Track Compliance with Terms and Conditions Set Forth in the Commission's Order Issued in Docket No. 40346 and the Non-Unanimous Stipulation ("NUS") and Associated Studies Arising from the Order and/or NUS**
- On July 2, 2013, Entergy Texas, Inc. filed the Entergy System Intra-System Bill for May 2013.
- On July 15, 2013, Entergy Texas, Inc. filed its Monthly MISO Compliance Report for July 2013.
- On July 15, 2013, Entergy Texas, Inc. filed its Bi-Monthly MISO Compliance Report for July 2013.
- 41223** **Application of Entergy Texas, Inc., ITC Holdings Corp., MidSouth Transco LLC, Transmission Company Texas, LLC, and ITC Midsouth LLC ("Applicants") for Approval of Change of Ownership and Control of Transmission Business, Transfer of Certification of Rights, Certain Cost Recovery Approvals, and Related Relief**
- On July 9, 2013, SOAH filed its Proposal for Decision, recommending that the Applicant be denied.
- On July 22, 2013. Parties filed Exceptions to the Proposal for Decision issued on July 9, 2013.
- Several Parties filed Replies to Exceptions to the Proposal for Decision.
- 41430** **Joint Report and Application of Sharyland Utilities, L.P. ("Sharyland"), Sharyland Distribution & Transmission Services, L.L.C. ("SDTS"), and Southwestern Public Service Company ("SPS") for Approval of Purchase and Sale of Facilities, for Approval of Regulatory Accounting Treatment of Gain or Sale, and for Transfer of Certain Rights**
- A technical conference was held on July 1, 2013.
- On July 19, 2013, Southwestern Public Service Company filed an Errata to its Prefiled Testimony.

Regulatory Status Report

FERC or State Jurisdiction: United States Court of Appeals

13-1181

Nebraska Public Power District v. Federal Energy Regulatory Commission - Petition for Review of Orders in FERC Docket ER12-1179

On July 2, 2013, FERC filed a Motion to Dismiss Petition for Review or, in the Alternative, to Hold Appeal in Abeyance in U.S. Court of Appeals Case No. 13-1181.

On July 12, 2013, Nebraska Public Power District filed an answer in response to FERC's Motion to Dismiss filed on July 2, 2013.