

# Compliance Forum: CBA

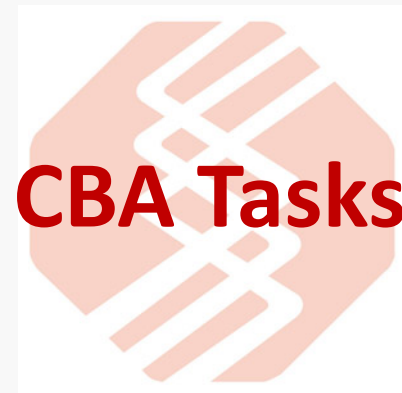
August 7, 2013

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# CBA Tasks

# CBASC Marketplace Program Items/Dates

- **Process & Procedures**
  - **Workstream: Markets**
  - **Due Date: 10/1/2013**
  - **Status: Operations Quality Assurance is developing the process/procedures and is tracking the effort to completion**
  
- **SERC Certification for SPP as the BA**
  - **Workstream: Regulatory**
  - **Due Date: December 2013**
  - **Status: Not Started. SERC provided dates to be on-site: 11/5 and 11/6**

# CBASC Marketplace Program Items/Dates

- **BA Waivers for CPS1 and CPS2**
  - Workstream: **Regulatory**
  - Due Date: **November 2013**
  - Status: Parallel Operations MP Guide sent to SERC 5/28/2013. SERC submitted request to NERC to approve waivers for CPS1 and CPS2 – Still pending
- **Parallel Operations/Integrated Deployment Tests**
  - Workstream: **Market Trials**
  - Due Date: **November 2013 through January 2014**
  - Status: IDT document updated. Specific IDT dates are tentative

# CBASC Marketplace Program Items/Dates

- **Agreements**
  - **Workstream: Regulatory/Legal**
  - **Due Date: 11/1/2013**
  - **Status: CBA Agreement filing submitted 6/25/2013. Includes changes to SPP Bylaws and the Membership Agreement. Draft contract language is being negotiated by SPP and the external entities. RSG Contracts drafted; to be executed by 11/1/2013.**

# CBASC Marketplace Program Items/Dates

- Readiness Metrics
  - CBA certification approved by NERC
    - Workstream: **Readiness**
    - Due Date: **1/31/2014**
    - Status: Not Started
- Operating Protocols
  - Workstream: **Readiness**
  - Due Date: **7/31/2013**
  - Status: In progress

# Summary

Item	Due Date	Workstream	Status
Operating Protocols	7/31/2013	Readiness	In Progress
CBA Processes/Procedures	10/1/2013	Markets	In progress
EIS BA Waivers	11/1/2013	Regulatory	In progress
SERC Certification for SPP as the BA	12/31/2013	Regulatory	Not Started
Parallel Ops/IDT Test	11/20/2013 – 1/31/2014	Market Trials	Not Started
NERC Certification	1/31/2014	Readiness	Not Started

# Deployment Tests Update





# Deployment Tests and Cutover Plans

- **Integrated Deployment Test plans went through a cycle of reviews with the MTRG and the CBASC**
  - **Final version published on July 12<sup>th</sup>**
- **Cutover Plans Documentation**
  - **SPP Cutover Plan published on July 11<sup>th</sup>**
  - **BA Cutover Plans are due by August 12<sup>th</sup>**
  - **SPP and BAs will publish final Cutover Plans by September 16<sup>th</sup>**

# SERC BA Certification/Waivers



# **SERC Certification of SPP BA**

- **SERC provided email confirmation for a scheduled visit for November 5<sup>th</sup> and 6<sup>th</sup>**
- **Awaiting letter with final confirmation dates and details**
- **SERC will schedule a web conference with SPP staff to discuss expectations**

# CPS Waivers Update

- **Waivers for CPS1 and CPS2 are pending**
- **NERC will leave to Regional Entity (SPP and MRO) discretion**
- **SPP RE and MRO are working together to draft letter of expectations**
  - **Exclusion of ACE in CPS**
  - **EIS BAs continue to calculate individual ACE**
  - **SPP will provide components from EIS to calculate ACE**



# BA Protocols

# Tie Line Data

- Each participant who has one or more tie lines with an SPP Adjacent BA will need to provide to SPP telemetering information such as:
  - Planning Data
  - Real Time Data
  - Hourly MW-Hour Meter Data
  - Post Operating Day Data
  - Tie Line Metering Maintenance Data
  - Tie Line Metering Accuracy or Validity

# Frequency Measurement Data

- Participants shall supply SPP with frequency measurements data from locations agreed to by SPP and the Participants such as:
  - Participant Data such as:
    - Station Name/Meter Location
    - Primary meter ICCP Object IDs (Hz) and pathway, if available.
    - Physical location of the meter (i.e., street address and GPS coordinates, if available)
    - Date placed in service (if after 3/1/14)
    - Meter type (i.e., manufacturer and model number)
    - Date of last calibration

# Generation Data

- Participant will provide generation operations data to assist SPP BA in assessing its ability to and in the performance of its Balancing functions.
  - **Participant Planning Data such as:**
    - List of generators the Participant has connected to their AGC/Generation Control systems
    - Behind the Meter generators
    - General Operational Information (general awareness for BCs)
    - Generator Capacity
    - Fuel Type
  - **Participant Real Time Data such as:**
    - Generation Breaker Status
    - Generator Outages
    - Generation Operating/Market Status
    - Behind the Meter generation (MW)
    - General Operational Information (general awareness for BCs)
    - Generation MW/MVAR Output



# Emergency Operating Data

- **SPP and each Participant shall coordinate preparation and implementation of respective emergency operating plans. This information should include data such as:**
  - **List of Interruptible Loads**
  - **Coordination of Emergency Plans with TOP on an annual basis**
  - **Information related to events that could impact SPP Conservative Operations**
  - **SPP will provide a list of contact information in case of an emergency**

# Communications

- **SPP and each Participant shall coordinate the receipt of contact information for their respective organizations for emergency and non-emergency situations, if they are different in each situation.**
  - **Participant Data**
    - **Primary and secondary contact information**
  - **Weekly Call**
    - **SPP will facilitate a weekly call during the late morning to early-afternoon timeframe to coordinate information which could impact planning for our current +7 day outlook.**

# Miscellaneous

- SPP staff is preparing templates for consistent submittal of information requested in the document
- SPP staff pushes a new iteration every other Wednesday
  - Asks for feedback by the second Friday after the push
  - Incorporates comments and suggestions, as appropriate
- Push document to CBASC and to ORWG for review
- Update CWG regularly on overall status

# EIS BA De-registration



# De-registration as BA (for EIS BAs)

- The EIS BA needs to fill out the registration form available on the SPP RE Site requesting decertification on a date certain.
- SPP RE will ask SPP Inc. to certify that SPP is indeed performing these functions for the entity
- SPP RE will ask for an attestation, signed by company officer, saying they are no longer able or performing BA activities.
- Process time is expected to be about a week -- following the last date of the reversion plan (i.e. March 1, 2014 +30 days)



# BA Visit Proposal

- SPP Staff have arranged teleconference calls with EIS BAs beginning the end of August.
- Plan for ½ day for each BA, unless mutually agreed upon for more or less time
- Used primarily to discuss move into Parallel Operations and cutover plans