

## SPP Fall Compliance Forum

### Location:

SPP Corporate Office  
201 Worthen Drive  
Little Rock, Arkansas 72223

### Dates:

October 9, 2013 (1:00 – 5:00)  
October 10, 2013 (8:00 – 12:00)

### Online Registration

### Hotel Information:

Embassy Suites Little Rock  
11301 Financial Centre Parkway Little Rock, AR 72211  
501-312-9000

<http://embassysuites3.hilton.com/en/hotels/arkansas/embassy-suites-little-rock-LITCPES/accommodations/index.html>

Ask for the SPP October Workshop block rate of \$119.00.

**Cut-off date: September 17, 2013**

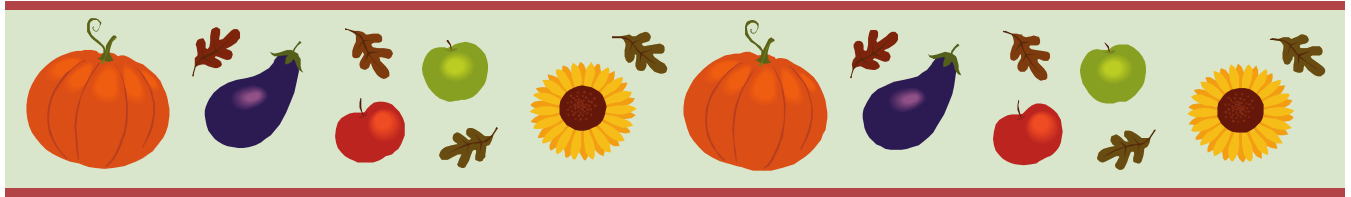
\*Airport shuttle provided; call hotel to schedule and for more information.

### Other Hotel Options:

<http://www.spp.org/section.asp?pageID=85>



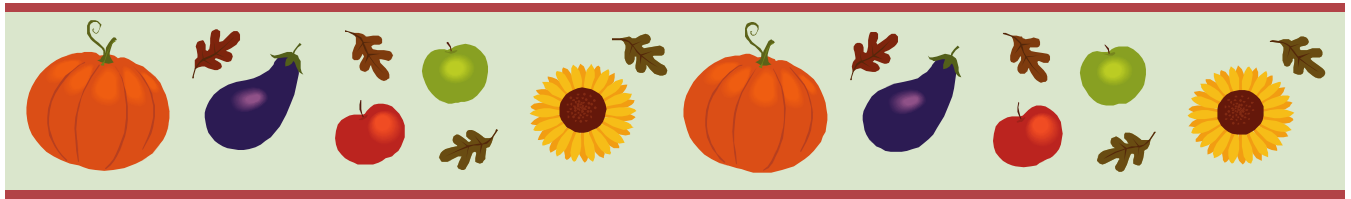
**This is a closed meeting for Members and Registered Entities**



**Southwest Power Pool, Inc.  
2013 Fall Compliance Forum Agenda  
October 9, 2013**

- 12:00 – 1:00**            **Lunch**
- 1:00 – 1:30**            **RE Wrap Up**  
**Philip Propes, SPP**
- 1:30 – 2:15**            **SPP Working Group Overview**  
**Carl Monroe, SPP**
- 2:15 – 3:00**            **Critical Infrastructure Protection Working Group (CIPWG)**  
**Inside an SPP Working Group**  
**Robert McClanahan, Arkansas Electric Cooperative Corporation (AECC)**
- CIPWG and the Grid Exercise Task Force**  
**Chris Beckman, Task Force Chair, AECC**
- 3:00 – 3:15**            **Break**
- Note – If anyone is using Documentum, Ginny Webster, SPP, will be on hand at the 3:00 break to answer any questions you have on that specific tool.**
- 3:15– 4:00**            **SharePoint - Content and Documentation Management Tool**  
**Marty Knight, SPP**
- 4:00 - 4:30**            **RCWG Update**  
**Jennifer Flandermeyer, RCWG Chair, KCPL**
- 4:30 – 5:00**            **SPP 693 Audit Update**  
**Philip Propes, SPP**

**Have a Great Evening Everyone!**



**Southwest Power Pool, Inc.  
2013 Fall Compliance Forum Agenda  
October 10, 2013**



**8:00 – 12:00 SOS International Training -**

**Learning Objectives:**

- **Explain Performance Audit Objectives**
- **Understand NERC's Vision For Risk Based Compliance Programs**
- **Define And Identify Internal Controls**
- **Explain The Importance Of Good Internal Controls To Identify, Access And Correct Deficiencies In Compliance Programs**
- **Describe The Use Of A Risk Assessment For Establishing Internal Controls**
- **Discuss Utilizing A Self-Certification Process To Ensure Continuous Compliance**
- **Describe Benefits Of Incorporating Compliance Activities As Part Of A Process**

**Break**

**Learning Activities – Participants Will:**

- **Develop Internal Controls**
- **Develop A Process Map And Identify Compliance “Interface”**
  - **Based On Process Map Developed, Identify Risks And Establish Internal Controls Or Other Actions To Limit Risks**

**12:00 Boxed Lunches Available**

**Safe Travels and See You in February!**

**Feb. 24-27, 2014 – Dallas, TX**