

FERC or State Jurisdiction: FERC

10-1227 SPP Filing of FERC Form 1 and 3-Q

On August 29, 2013, SPP submitted its FERC Form 3-Q, Quarterly Financial Report, for the second quarter of 2013.

On August 30, 2013, SPP submitted a revised FERC Form 3-Q, Quarterly Financial Report, for the second quarter of 2013.

AD10-13 Third-Party Provision of Ancillary Services; Accounting and Financial Reporting for New Electric Storage Technologies

On July 18, 2013, FERC issued Order No. 784, revising certain aspects of the Commission's current market-based rate regulations, ancillary services requirements under the pro forma open access transmission tariff, and accounting and reporting requirements.

Specifically, the Commission is revising Part 35 of its regulations to reflect reforms to its Avista policy governing the sale of ancillary services at market-based rates to public utility transmission providers. The Commission is also requiring each public utility transmission provider to add to its OATT Schedule 3 a statement that it will take into account the speed and accuracy of regulation resources in its determination of reserve requirements for Regulation and Frequency Response service, including as it reviews whether a self-supplying customer has made "alternative comparable arrangements" as required by the Schedule. The final rule also requires each public utility transmission provider to post certain Area Control Error data as described in the final rule. Finally, the Commission is revising the accounting and reporting requirements under its Uniform System of Accounts for public utilities and licensees and its forms, statements, and reports, contained in FERC Form No. 1, Annual Report of Major Electric Utilities, Licensees and Others, FERC Form No. 1-F, Annual Report for Nonmajor Public Utilities and Licensees, and FERC Form No. 3-Q, Quarterly Financial Report of Electric Utilities, Licensees, and Natural Gas Companies, to better account for and report transactions associated with the use of energy storage devices in public utility operations.

This rule becomes effective on November 27, 2013.

On August 16, 2013, Southern California Edison Company filed a Motion for Clarification of Order No. 784.

On August 19, 2013, the Edison Electric Institute filed a Request for Clarification of Order No. 784.

On August 19, 2013, WSPP Inc. filed a Motion for Clarification of Order No. 784.

On August 22, 2013, Powerex Corp. filed a Motion for Clarification of Order No. 784.

On September 16, 2013, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 784.

AD11-9 Inquiry into Recent Outages in Texas and the Southwest

On September 25, 2013, FERC issued a letter requesting the Texas Reliability Entity, Western Electricity Coordinating Council, and SPP Regional Entity to provide responses to the enclosed request for data and documents.

Responses are due on November 25, 2013.

AD12-12

Coordination between Natural Gas and Electricity Markets

On July 2, 2013, the Electric Reliability Council of Texas filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 2, 2013, SPP filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 2, 2013, California Independent System Operator Corporation filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 3, 2013, PJM Interconnection, L.L.C. filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 3, 2013, Midcontinent Independent System Operator, Inc. filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 5, 2013, ISO New England Inc. filed responses to the follow-up questions regarding the RTO/ISO presentations to the Commission on May 16, 2013.

On July 8, 2013, American Forest & Paper Association filed Post-Technical Conference Comments in response to issues addressed at the April 25, 2013 Technical Conference.

On July 16, 2013, the Natural Gas Supply Association filed Comments in response to the April 25, 2013 Technical Conference.

On July 29, 2013, Tennessee Valley Authority filed its Response to the Comments of the Natural Gas Supply Association filed on July 16, 2013.

On September 19, 2013, Commission Staff presented its Gas-Electric Coordination Quarterly Report to the Commission.

AD12-16

Capacity Deliverability Across the Midwest Independent Transmission System Operator, Inc. ("MISO")/PJM Interconnection, L.L.C. ("PJM") Seam

On September 26, 2013, PJM Interconnection, L.L.C. and the Midcontinent Independent System Operator, Inc. submitted an informational filing to provide a description of, and schedule for, Joint and Common Market initiatives.

AD13-6

Reliability Technical Conference

A technical conference to discuss policy issues related to the reliability of the Bulk-Power System was held on July 9, 2013.

On August 8, 2013, Daniel Skaar, President and CEO of Midwest Reliability Organization, filed comments on the Reliability Technical Conference held on July 9, 2013.

On August 8, 2013, the Edison Electric Institute filed Post-Technical Conference Comments.

On August 8, 2013, the Midcontinent Independent System Operator, Inc. filed Post-Technical Conference Comments.

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- AD13-7** **Centralized Capacity Markets in Regional Transmission Organizations and Independent System Operators**
- On July 19, 2013, FERC issued a Supplemental Notice of Technical Conference, attaching a Preliminary Agenda.
- On August 23, 2013, FERC issued a Supplemental Notice of Technical Conference to be held on September 25, 2013. The final agenda was provided.
- On September 24, 2013, FERC issued a Supplemental Notice of Technical Conference, attaching an updated final agenda.
- On September 25, 2013, a technical conference was held in order to consider how current centralized capacity market rules and structures are supporting the procurement and retention of resources necessary to meet future reliability and operational needs.
- AD13-8** **Market Implications of Frequency Response and Frequency Bias Setting Requirements**
- On July 18, 2013, FERC issued a Notice of Requests for Comments. The Commission stated it seeks comment on the potential market and commercial impacts of certain of the requirements of the proposed Reliability Standard in Docket No. RM13-11.
- On September 25, 2013, FERC issued a Notice of Extension of Time to and including October 18, 2013 for filing comments.
- CE09-119.005** **Apoorya Bansal, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc. (Additional Requestors)**
- On August 30, 2013, SPP received an email from Denise Benoit, Senior Contract Manager at General Electric International, Inc. providing notification of a request to add thirty-seven requesters to the original FERC CEII group request for all FERC Form No. 715 Data in CE09-119.
- On September 10, 2013, SPP submitted comments on this request.
- CE11-27.003** **Apoorya Bansal, et al.'s Request for Critical Energy Infrastructure Information - Energy Consulting on behalf of General Electric International, Inc., Energy Consulting (Additional Requestors)**
- On August 30, 2013, Denise Benoit, Senior Contract Manager for GE Energy Consulting, requested that thirty-four additional requesters be added to the original request in CE11-27.
- On September 9, 2013, SPP submitted comments on this request.
- CE11-27.004** **Request of Joseph Armalt, et al. for Critical Energy Infrastructure Information - GE Energy Consulting. (Additional Requestors)**
- On September 17, 2013, Denise Benoit, Senior Contract Manager of Energy Consulting on Behalf of General Electric International, Inc., on behalf of four additional requestors, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed DATE.
- On September 27, 2013, SPP submitted comments on this request.
- CE12-89.002** **Jing Gu, et al.'s Request for Critical Energy Infrastructure Information - EDF Trading North America (Additional Requestors)**

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On July 9, 2013, SPP submitted comments on this request.

FERC issued a letter approving these additional requesters on July 31, 2013.

CE12-98 David Wheat's Request for Critical Energy Infrastructure Information - New York State Department of Public Service

FERC issued a Notice of Intent to Release on July 11, 2013.

CE12-106.002 Apoorva Bansal, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc. (Additional Requesters)

On August 30, 2013, Denise Benoit, Senior Contract Manager for GE Energy Consulting, requested that twenty-six additional requesters be added to the original request in CE12-106.

On September 9, 2013, SPP submitted comments on this request.

CE12-106.003 Joseph Armalt, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc. (Additional Requesters)

On 9/17/2013, Denise Benoit, Senior Contract Manager of General Electric International, Inc., filed a FERC CEII request on behalf of four additional requestors to obtain a copy of all FERC Form No. 715 data, as noticed September 19, 2013.

On September 27, 2013, SPP submitted comments on this request.

CE12-125 Kaete Biediger's Request for Critical Energy Infrastructure Information - Vitol, Inc.

FERC issued a Notice of Intent to Release on July 2, 2013.

CE12-139 Julia Sohnen's Request for Critical Energy Infrastructure Information - Graduate Student, University of California Davis

FERC issued a Notice of Intent to Release on September 16, 2013.

CE13-2 Kyo Kelly's Request for Critical Energy Infrastructure Information - Southern Company

FERC issued a Notice of Intent to Release on July 1, 2013.

CE13-38 Dr. Qiming Chen's Request for Critical Energy Infrastructure Information - Self

FERC issued a Notice of Intent to Release on August 22, 2013.

CE13-40 Kenney Kam's Request for Critical Energy Infrastructure Information - Vitol, Inc.

FERC issued a Notice of Intent to Release on September 4, 2013.

CE13-40.001 Marcel de Hoog, et al's Request for Critical Energy Infrastructure Information - Vitol, Inc. (Additional Requesters)

FERC issued a Notice of Intent to Release on September 4, 2013.

CE13-62 Richard Hunt, et al.'s Request for Critical Energy Infrastructure Information - Ventyx, an ABB Company

FERC issued a Notice of Intent to Release on July 12, 2013.

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- CE13-103 William Babcock's Request for Critical Infrastructure Information - PA Consulting Group**
- FERC issued a Notice of Intent to Release on September 16, 2013.
- CE13-103.001 Michelle Arawwawela, et al.'s Request for Critical Infrastructure Information - PA Consulting Group (Additional Requesters)**
- FERC issued a Notice of Intent to Release on September 16, 2013.
- CE13-103.002 Chao Mao's Request for Critical Infrastructure Information - PA Consulting Group (Additional Requester)**
- On June 25, 2013, William Babcock, Managing Consultant of PA Consulting Group, requested that Chao Ma be considered and included with his initial request in CE13-103, as noticed July 1, 2013.
- On July 10, 2013, SPP submitted comments on this request.
- FERC issued a Notice of Intent to Release on September 16, 2013.
- CE13-110 Casey Roberts' Request for Critical Energy Infrastructure Information - Sierra Club**
- FERC issued a Notice of Intent to Release on July 9, 2013.
- CE13-111 Rajat Deb et al.'s Request for Critical Infrastructure Information - LCG Consulting**
- FERC issued a corrected Notice of Intent to Release on July 1, 2013.
- CE13-112 Donnavan Leavitt, et al.'s Request for Critical Energy Infrastructure Information - EPIS, Inc.**
- FERC issued a Notice of Intent to Release on July 9, 2013.
- CE13-112.001 Rahul Dhal's Request for Critical Energy Infrastructure Information - EPIS, Inc. (Additional Requester)**
- On September 4, 2013, James Platt, Counsel for EPIS, Inc., requested that Rahul Dhal be added to the original request in CE13-112.
- On September 11, 2013, SPP submitted comments on this request.
- CE13-114 Sheryll Harrold, et al.'s Request for Critical Energy Infrastructure Information - Downes Associates, Inc.**
- FERC issued a Notice of Intent to Release on July 9, 2013.
- CE13-115 Teresa Ives, et al.'s Request for Critical Infrastructure Information - Electric Power Engineers, Inc.**
- FERC issued a Notice of Intent to Release on July 2, 2013.
- CE13-119 Judah Rose, et al.'s Request for Critical Infrastructure Information - ICF International, Inc.**
- FERC issued a Notice of Intent to Release on August 7, 2013.

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- CE13-120** **Pierre Tournois, et al.'s Request for Critical Infrastructure Information - Durable Electric Power, LLC**
- FERC issued a Notice of Intent to Release on July 11, 2013.
- CE13-126** **Neil Huber, et al.'s Request for Critical Energy Infrastructure Information - XO Energy, LLC**
- FERC issued a Notice of Intent to Release on July 3, 2013.
- CE13-134** **Adam Mummert, et al.'s Request for Critical Energy Infrastructure Information - Burns & McDonnell, Inc.**
- On July 1, 2013, SPP submitted comments on this request.
- FERC issued a Notice of Intent to Release on August 16, 2013.
- CE13-136** **Ching Yee Janny Chen-Read, et al.'s Request for Critical Energy Infrastructure Information - Siemens Industry, Inc.**
- On August 5, 2013, Ching Yee Janny Chen-Read, Senior Administrative Functional Support of Siemens Industry, Inc. filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed August 5, 2013.
- On August 12, 2013, SPP submitted comments on this request.
- FERC issued a Notice of Intent to Release on August 22, 2013.
- CE13-137** **Mark Mirabito's Request for Critical Energy Infrastructure Information - NTE Solutions**
- On July 2, 2013, SPP submitted comments on this request.
- CE13-137.001** **Garrett Weeks, et al.'s Request for Critical Energy Infrastructure Information - NTE Solutions (Additional Requesters)**
- On July 15, 2013, SPP submitted comments on this request.
- CE13-141** **Shawn Szydlik's Request for Critical Energy Infrastructure Information - SAIC Energy, Environment & Infrastructure, LLC**
- On June 28, 2013, Shawn Szydlik, Transmission Planning Engineer of SAIC Energy, Environment & Infrastructure, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed July 1, 2013.
- On July 10, 2013, SPP submitted comments on this request.
- CE13-143** **William Baker's Request for Critical Energy Infrastructure Information - Power Grid Engineering**
- On July 18, 2013, SPP submitted comments on this request.
- FERC issued a Notice of Intent to Release on July 31, 2013.
- CE13-146** **Robert Collier, et al.'s Request for Critical Energy Infrastructure Information - OneEnergy Renewables**
- On July 9, 2013, Robert Collier, Intern of OneEnergy Renewables, filed a FERC CEII request

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on behalf of himself and six additional requestors to obtain a copy of all FERC Form No. 715 data, as noticed July 23, 2013.

On July 30, 2013, SPP submitted comments on this request.

CE13-151

Evan Estes' Request for Critical Energy Infrastructure Information - NextEra Energy Transmission

On July 17, 2013, Evan Estes, Project Development Manager of NextEra Energy Transmission, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed July 23, 2013.

On July 30, 2013, SPP submitted comments on this request.

CE13-154

Tanya Bodell, et al.'s Request for Critical Energy Infrastructure Information - Energyzt Analytics, LLC

On July 15, 2013, Tanya Bodell, et al, President & CEO of Energyzt Analytics, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed August 21, 2013.

On August 28, 2013, SPP submitted comments on this request.

FERC issued a Notice of Intent to Release on September 27, 2013.

CE13-155

Aleksandr Ruckevich, et al.'s Request for Critical Energy Infrastructure Information - Newton Energy Group LLC

On August 8, 2013, Aleksandr Ruckevich, et al., President of Newton Energy Group LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed August 8, 2013.

On August 12, 2013, SPP submitted comments on this request.

CE13-159

Igor Kliakhandler's Request for Critical Energy Infrastructure Information - Intergrid Mideast Group LLC

On August 7, 2013, Igor Kliakhandler, President for Intergrid Mideast Group LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed August 26, 2013.

On September 3, 2013, SPP submitted comments on this request.

CE13-161

Joseph Hayden's Request for Critical Energy Infrastructure Information - InfraREIT Capital Partners, LLC

On August 13, 2013, Joseph Hayden, Managing Director of InfraREIT Capital Partners, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed August 26, 2013.

On September 4, 2013, SPP submitted comments on this request.

CE13-163

Aranya Chakraborty, et al.'s Request for Critical Infrastructure Information - North Carolina State University

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On August 12, 2013, Aranya Chakraborty, Assistant Professor of North Carolina State University, filed a FERC CEII request on behalf of himself and Kat Sico, Graduate Student Research Assistant, to obtain a copy of all FERC Form No. 715 data, as noticed August 26, 2013

On September 4, 2013, SPP submitted comments on this request.

CE13-166 Robert Cleveland's Request for Critical Energy Infrastructure Information - GL Garrad Hassan

On August 19, 2013, Robert Cleveland, Senior Project Manager of GL Garrad Hassan, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed August 27, 2013.

On September 5, 2013, SPP submitted comments on this request.

CE13-167 Denise Benoit, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc.

On August 26, 2013, Denise Benoit, Senior Contract Manager for General Electric International, Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed August 26, 2013.

On September 3, 2013, SPP submitted comments on this request.

CE13-167.001 Joseph Armah, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc. (Additional Requesters)

Denise Benoit, Senior Contracts Manager for General Electric International, Inc., has requested that four additional requesters be considered and included with the initial submittal in CE13-167, as noticed to SPP on September 27, 2013.

CE13-168 Dawei Zhou's Request for Critical Energy Infrastructure Information - E.ON Climate and Renewable, NA

On August 19, 2013, Dawei Zhou, Senior Director of E.ON Climate and Renewable, NA, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed August 27, 2013.

On September, 4, 2013, SPP submitted comments on this request.

CE13-170 Leka Gjonaj and David V. Wheat's Request for Critical Energy Infrastructure Information - New York Public Service Commission

On August 27, 2013, Leka Gjonaj (Supervisor) and David Wheat (Principal), of New York State Public Service Commission, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed September 6, 2013.

On September 13, 2013, SPP submitted comments on this request.

FERC issued a Notice of Intent to Release on September 27, 2013.

CE13-172 Brian Winters's Request for Critical Energy Infrastructure Information - Wisconsin Electric Power Company

On August 29, 2013, Brian Winters, Attorney representing Wisconsin Electric Power

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Company, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed September 13, 2013.

On September 20, 2013, SPP submitted comments on this request.

CE13-174

Rosemarie Jorgensen, et al.'s Request for Critical Energy Infrastructure Information - Wisconsin Electric Power Company (d/b/a We Energies)

On August 22, 2013, Rosemarie Jorgensen, Legal Assistant for Wisconsin Electric Power Company (d/b/a We Energies), filed a FERC CEII request for herself and five additional requesters to obtain a copy of all FERC Form No. 715 data, as noticed September 13, 2013.

On September 20, 2013, SPP submitted comments on this request.

EC13-100

Southwestern Public Service Company ("SPS") Application for Authorization Under Section 203 of the Federal Power Act for Disposition of Jurisdictional Facilities

On August 14, 2013, FERC issued an Order Authorizing Disposition of Jurisdictional Facilities.

EL11-34

Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP

On July 31, 2013, the U.S. Court of Appeals issued an Order scheduling oral argument to be held on October 18, 2013 in Case No. 12-1158.

EL12-59

Complaint of Golden Spread Electric Cooperative, Inc. ("Golden Spread") Against Southwestern Public Service Company ("SPS") Regarding Rate of Return on Common Equity ("ROE") Input Value for the Formula Rate Applicable to the Replacement Power Sales Agreement ("RPSA")

On August 8, 2013, Southwestern Public Service Company filed an answer in response to the Complaint filed by Golden Spread Electric Cooperative, Inc. on July 19, 2013. To the extent the Commission does not dismiss the Complaint or the Complaint filed by Golden Spread in EL12-59, SPS stated it agrees with Golden Spread that the Commission should consolidate Docket Nos. EL13-78 and EL12-59, as there are common issues of law and fact, and consolidation will result in greater administrative efficiency.

On August 8, 2013, Tri-County Electric Cooperative, Inc. filed a Motion to Intervene and Comments in Support of Complaint and Motion to Consolidate Docket Nos. EL12-59 and EL13-78.

On August 23, 2013, Golden Spread Electric Cooperative, Inc. filed its Reply to Motion to Dismiss and Opposition to Paper Hearing.

On September 5, 2013, Southwestern Public Service Company filed an answer in response to Golden Spread Electric Cooperative, Inc.'s August 23, 2013 Answer.

SPS stated:

- 1) the Return on Common Equity ("ROE") established for a utility must reflect the utility's risk;
- 2) Golden Spread has not shown, and cannot show, that SPS' current ROEs lead to unjust and unreasonable rates; and
- 3) public policy considerations warrant a rejection of the Complaint.

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- EL13-15** **Southwestern Public Service Company ("SPS") Complaint Seeking a Finding that the Rates in SPP Zone 11 are Unjust and Unreasonable due to the Inclusion of the Costs of Facilities of Tri-County Electric Cooperative, Inc. ("Tri-County")**
- On July 19, 2013, Settlement Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. Conference calls were held on June 6 and July 11, 2013. A formal settlement conference will be held on August 20, 2013.
- On July 19, 2013, Settlement Judge Young issued an Order Scheduling Settlement Conference to be held on August 20, 2013.
- On September 17, 2013, Settlement Judge Young filed a Settlement Judge Report to the Commission and Chief Judge. A formal settlement conference was held on August 20, 2013. SPP provided redlined tariff language addressing the remaining unresolved issues on September 10, 2013. A conference call to discuss the language is scheduled for September 20, 2013. The participants will provide a status report by September 24, 2013.
- EL13-35** **Southwestern Public Service Company ("SPS") Complaint Requesting Establishment of a January 1, 2013 Refund Effective Date and a Finding from the Commission that SPP has Violated the Federal Power Act by Implementing a 40% Increase in the Tri-County Electric Cooperative, Inc. ("Tri-County") Annual Transmission Revenue Requirement**
- On July 19, 2013, Settlement Judge Young issued an Order Scheduling Settlement Conference to be held on August 20, 2013.
- On July 19, 2013, Settlement Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. Conference calls were held on June 6 and July 11, 2013. A formal settlement conference will be held on August 20, 2013.
- On September 17, 2013, Settlement Judge Young filed a Settlement Judge Report to the Commission and Chief Judge. A formal settlement conference was held on August 20, 2013. SPP provided redlined tariff language addressing the remaining unresolved issues on September 10, 2013. A conference call to discuss the language is scheduled for September 20, 2013. The participants will provide a status report by September 24, 2013.
- EL13-84** **Kansas Municipal Energy Agency's ("KMEA") Complaint Against Sunflower Electric Power Corporation ("Sunflower"), Mid-Kansas Electric Company, LLC ("Mid-Kansas"), and SPP to Correct Sunflower's Unauthorized Registration of KMEA's Members' Load and City-Owned Generation Resources in the SPP Integrated Marketplace**
- On August 7, 2013, Kansas Municipal Energy Agency filed a Complaint against Sunflower, Mid-Kansas, and SPP to correct Sunflower's unauthorized registration of KMEA's members' load and city-owned generation resources in the SPP Integrated Marketplace. KMEA stated that Sunflower's continuing refusal to de-register the load of KMEA's member cities and its unauthorized registration of the KMEA members' generation resources are blocking KMEA's registration as the Market Participant responsible for managing this load and these resources in SPP's forthcoming Integrated Marketplace.
- KMEA requested that the Commission shorten the response time to this Complaint to ten days and issue an order no later than September 20, 2013.
- On August 12, 2013, FERC issued a Notice of Complaint, establishing a comment date of August 22, 2013.

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On August 22, 2013, Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation filed an answer in response to the Complaint.

On August 22, 2013, SPP filed an answer in response to the Complaint.

SPP stated:

- 1) although not privy to the disputed contract described in the Complaint, SPP's market-related efforts and activities are directly affected due to the need to register all market assets; and
- 2) to ensure full accountability of all load and resources, SPP will recognize Sunflower as the Market Participant for the EMP2 Cities Load and KMEA as the Market Participant for the EMP2 Cities generation resources until the Firm Energy and Load Following Agreement dispute is resolved.

On September 6, 2013, Kansas Municipal Energy Agency filed an answer in response to answers filed in this proceeding on August 22, 2013.

KMEA stated:

- 1) the Commission has exclusive jurisdiction over SPP's activities related to the administration and implementation of the SPP Tariff, including SPP market registration issues;
- 2) the Commission can review the Load Flowing Agreement ("LFA"), if necessary, to determine the registration issue;
- 3) the Commission has reviewed non-jurisdictional agreements for the purpose of implementing jurisdictional RTO tariffs;
- 4) Mid-Kansas Electric Company, LLC bears the burden of proving that KMEA is not the appropriate party to register as the Market Participant for the EMP2 Cities' assets;
- 5) the LFA provides no express right to Mid-Kansas to register in the Integrated Marketplace;
- 6) KMEA manages, controls, schedules, tags and delivers the EMP2 Cities' load and resources today;
- 7) the "Product" provided for in the LFA is limited in scope and does not come close to approaching a relinquishment of control over the EMP2 Cities' load and resources;
- 8) the ministerial act of "reporting by Mid-Kansas under the LFA today cannot reasonably be read to provide Mid-Kansas with "registration" rights in the Integrated Marketplace; and
- 9) contrary to Mid-Kansas' claim, it was Mid-Kansas, and not the EMP2 Cities, who terminated the prior services agreements in 2007.

On September 12, 2013, Mid-Kansas Electric Company and Sunflower Electric Power Corporation filed an answer in response to KMEA's September 6, 2013 Answer.

The parties stated that there is no longer a need for the Commission to direct SPP to register the EMP2 Generation.

On September 17, 2013, Kansas Municipal Energy Agency filed an answer in response to the answer filed by Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation on September 12, 2013.

KMEA requested that the Commission grant the Complaint and direct SPP to accept KMEA's registration as the Market Participant for the EMP2 Cities' load and generation Resources.

On September 30, 2013, FERC issued an Order Dismissing Complaint.

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- ER05-1065** **Entergy Services' New Proposal for an Independent Coordinator of Transmission ("ICT") and Extension of the ICT's Initial Term**
- On July 16, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the May 16, 2013 Order.
- ER06-451** **SPP Real-Time Energy Imbalance Service ("EIS") Market**
- On September 13, 2013, SPP filed an informational status report concerning its incorporation of demand-side resources into its Energy Imbalance Services Market and transmission planning process.
- ER07-1069** **AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)**
- On July 15, 2013, AEP submitted a revised 2013 Annual Update to its annual transmission revenue requirements.
- ER09-35** **Tallgrass Transmission, LLC ("Tallgrass") Formula and Incentive Rate Case**
- On September 30, 2013, Tallgrass Transmission LLC submitted an informational filing concerning project status.
- ER09-36** **Prairie Wind Transmission, LLC ("Prairie Wind") Formula and Incentive Rate Case**
- On August 19, 2013, Prairie Wind Transmission, LLC submitted a revised Annual Update informational filing.
- ER12-480** **Midwest Independent Transmission System Operator, Inc. ("MISO") and the MISO Transmission Owners ("MISO TOs") Filing to Amend Open Access Transmission, Energy and Operating Reserve Markets Tariff Provisions on Allocation of Network Upgrade Costs in Connection with Transition and Integration of Entergy Corporation and its Operating Companies**
- On July 11, 2013, FERC issued an Order on Rehearing and Compliance.
- FERC directed MISO to submit a compliance filing to revise Attachment MM to explain how it will determine the Applicable Total MVP Revenue Requirement, including each MVP usage rate determination method described in sections 4(a)i through 4(a)vi. MISO was also directed to make a few minor revisions in its compliance filing.
- MISO's compliance filing is due on August 12, 2013.
- On August 12, 2013, the Midcontinent Independent System Operator, Inc. and the MISO Transmission Owners submitted their compliance filing pursuant to the July 11, 2013 Order, regarding the transition and integration of Entergy Corporation and its Operating Companies into MISO.
- On September 3, 2013, Arkansas Public Service Commission filed a Notice of Intervention.
- ER12-550** **SPP Submission of Order No. 719 Compliance Filing Revising Tariff in Response to Order on Compliance Filings and Rehearing Issued in Docket Nos. ER09-1050, ER09-748, and ER09-1192**
- On July 18, 2013, FERC issued an Order on Compliance Filing. FERC conditionally accepted

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SPP's December 17, 2012 Compliance Filing, effective December 17, 2012 and March 1, 2014.

FERC directed SPP to revise Section 4.1.2.1(1)(a) of Attachment AE to permit demand response resources associated with controllable load to use the Submitted Methodology, provided that the resource possesses near real-time measurement and verification capability that is compliant with NAESB measurement and verification standards, as incorporated by reference in Commission regulations.

FERC further directed SPP to revise Section 4.1.2.1(1)(a) to provide that, where the market participant is offering a demand response resource under a retail tariff provision that includes near real-time measurement and verification terms, the market participant may use the Submitted Methodology, so long as the methodology under the retail program is compliant with NAESB measurement and verification standards, as incorporated by reference in Commission regulations.

After SPP has gained a year of experience using the Calculated and Submitted Methodologies in the Integrated Marketplace, FERC will require SPP to include, in its informational report 15 months after launch of the Integrated Marketplace, a discussion of 1) the extent to which market participants have utilized the Submitted Methodology; 2) the extent to which market participants have utilized the Calculated Methodology; 3) whether any market participants have found that the Calculated Methodology has not produced an accurate estimation of their demand reduction; 4) whether SPP and its stakeholders have considered developing a third, customized baseline calculation and measurement methodology in cases where the Calculated Methodology has produced inaccurate estimates; and 5) whether SPP has encountered any other problems implementing or applying methodologies.

SPP's compliance filing is due on August 19, 2013.

On August 19, 2013, SPP submitted its compliance filing pursuant to Order No. 719 and 719-A, and the Commission's July 18, 2013 Order issued in this proceeding.

ER12-959

SPP Submission of Revisions to OATT to Implement a Formula Rate for Transmission Service for Tri-County Electric Cooperative, Inc. ("Tri-County"), a Transmission Owner in the Southwestern Public Service Company ("SPS") Zone

On July 8, 2013, FERC issued an Order of Chief Judge Continuing Suspension of Phase II Proceedings. Xcel Energy Services Inc. was directed to file a report within 30 days of the Commission's ruling on the Initial Decision indicating whether or not further Phase II settlement discussions are necessary.

ER12-1179

SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace

On July 3, 2013, SPP filed an answer in opposition to Nebraska Public Power District's Motion for Clarification, or in the Alternative Rehearing filed on June 19, 2013. SPP stated that the Commission should deny NPPD's request for clarification or rehearing, confirm that the June 6, 2013 Order's reference to MISO's initial, vacated financial rights allocations to partial paths is only a suggested course for the parties to consider, and, to the extent necessary, clarify that the vacated MISO process is not precedent for any contested resolution of the issues in this case.

A settlement conference was held on July 9-10, 2013 to discuss grandfathered agreements.

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On July 11, 2013, FERC issued a Notice of Upcoming Settlement Conferences. The next settlement conference is scheduled for July 15, 2013. This is in addition to the conferences previously scheduled for July 16, 23, and 24. The settlement conference previously scheduled for July 17 was cancelled.

On July 16, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the June 6, 2013 Order.

A settlement conference was held on July 16-17, 2013 to discuss grandfathered agreements.

A settlement conference was held on July 23-24, 2013 to discuss grandfathered agreements.

On July 31, 2013, in Docket No. ER13-2078, SPP submitted tariff revisions to address the treatment of grandfathered agreements that are "carved-out" from the SPP Integrated Marketplace. This filing was submitted concurrently with an Offer of Settlement in Docket No. ER12-1179 that, if accepted by the Commission, would resolve all remaining issues concerning the identification of those GFAs that will be subject to the rates, charges, benefits, terms, and conditions of the Integrated Marketplace, and those GFAs that instead are eligible for "carve-out" from the Integrated Marketplace.

An effective date of March 1, 2014 was requested.

On July 31, 2013, SPP submitted an Offer of Settlement and related documents to resolve outstanding issues concerning the treatment of grandfathered agreements in the SPP Integrated Marketplace.

SPP requested that the Commission approve the settlement by October 31, 2013.

On August 1, 2013, Settlement Judge Sterner submitted the Final Report of Settlement Judge, recommending that settlement judge procedures be terminated. An Offer of Settlement was filed on July 31, 2013, which purports to resolve all issues outstanding concerning the treatment of grandfathered agreements in the SPP Integrated Marketplace.

On August 1, 2013, SPP submitted a correction to the Offer of Settlement filed on July 31, 2013.

On August 5, 2013, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

On August 8, 2013, SPP filed a letter confirming SPP's proposed treatment of grandfathered agreement No. 494, pursuant to the June 6, 2013 Order. This GFA is an agreement between Nebraska Public Power District and Tri-State Generation and Transmission Association, Inc., for which Basin Electric Power Cooperative acts as the market participant for Tri-State. SPP's proposal to carve out GFA 494 provides the relief that the parties to the GFA sought in their protests to the Integrated Marketplace filing.

On August 20, 2013, Commission Trial Staff filed Settlement Comments. Staff recommended that the Commission approve the Settlement, without amendment or clarification, by October 1, 2013.

On August 20, 2013, Basin Electric Power Cooperative and Tri-State Generation and Transmission Association, Inc. filed Initial Comments Opposing Offer of Settlement. The Cooperatives requested that the Commission reject the portion of the Settlement Offer concerning grandfathered agreement ("GFA") 494, and determine instead that the GFA is

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outside of the SPP Integrated Marketplace and not susceptible to transmission-related charges associated with the Integrated Marketplace, including charges for congestion and marginal losses.

On August 20, 2013, Nebraska Public Power District filed Comments in Support of Offer of Settlement.

On August 30, 2013, SPP filed Reply Comments in response to comments filed concerning the Offer of Settlement.

SPP stated the Offer of Settlement reasonably resolves all issues concerning which grandfathered agreements are eligible for carve-out treatment in the SPP Integrated Marketplace, including the carve-out eligibility of GFA 494, and should be approved.

On August 30, 2013, Nebraska Public Power District filed Reply Comments concerning the Offer of Settlement.

NPPD stated:

- 1) there are no facts in dispute relevant to the Commission's resolution of the issue whether Tri-State load should be treated "out-of-market" or as "non-participating embedded load";
- 2) the undisputed facts support NPPD's position that the Tri-State Load should be treated as non-participating embedded load; and
- 3) the facts also appear to support the Cooperatives' position that Tri-State load should be treated out-of-market.

On August 30, 2013, Commission Trial Staff filed Reply Comments concerning the Offer of Settlement. Trial Staff stated it still recommends approval of the Settlement. However, Trial Staff asked that the Commission remove GFA 494 from the Settlement and treat Tri-State's load as non-participating, embedded load.

On August 30, 2013, Basin Electric Power Cooperative and Tri-State Generation and Transmission Association, Inc. filed Reply Comments concerning the Offer of Settlement.

The Cooperatives requested that the Commission accept NPPD's comments as an alternative basis on which to conclude that GFA 494 is excluded of the Integrated Marketplace. Absent such a determination, the Cooperatives requested a Commission determination that GFA 494 is eligible for carve-out from the market and that the Integrated Marketplace costs associated with GFA 494 will fall to NPPD as the SPP Transmission Owner, rather than to the Tri-State load.

On September 10, 2013, SPP filed a Motion for Leave to File Further Reply Comments. SPP requested that the Commission accept the Offer of Settlement as filed and reject the requests and modifications proposed by Staff, Nebraska Public Power District and the Cooperatives.

On September 16, 2013, Nebraska Public Power District filed an answer in opposition to SPP's Motion for Leave to File Further Reply Comments.

NPPD stated:

- 1) GFA 494 is not a joint transmission ownership agreement, as claimed by SPP;
- 2) SPP has mischaracterized and misapplied the Commission's holding in the Resale Power Group of Iowa, Inc. case; and
- 3) the transfer of functional control of NPPD's NETS facilities to SPP is subject to the rights and obligations of NPPD and Tri-State under GFA 494.

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On September 20, 2013, FERC issued an Order on Compliance Filing and Proposed Tariff Revisions.

SPP's compliance filing is due on November 19, 2013. SPP was directed to:

- 1) clarify section 2.11.1 of Attachment AE and clearly delineate (a) what the screening process for verification of the day-ahead must-offer requirement entails, and (b) how the Market Monitor will conduct this screening process, particularly the Market Monitor's responsibility in regard to verification and the values the Market Monitor is comparing when making its determination; SPP must also include conforming changes to section 3.9 of Attachment AF to be consistent with section 2.11.1 of Attachment AE (P 39);
- 2) remove sections 3.9.A(2) and 3.9.A(3) from Attachment AF (P 41);
- 3) revise the Tariff in section 2.11.1 of Attachment AE to allowed load transfers and/or bilateral contracts to count toward must-offer obligations; clarify the net resource capacity definition in section 2.11.1 of Attachment AE to account for the full range of firm purchases subject to the day-ahead must-offer obligation (P 50);
- 4) revise the Tariff to provide that wholesale customers may be aggregated into a larger demand response resource, including any associated aggregation requirements (P 55);
- 5) revise section 2.8(2)(a) of Attachment AE to state that end-use customers may be aggregated into a single dispatchable or block demand response resource behind an aggregated price node containing multiple electrically equivalent points, in accordance with section 2.2(2) of Attachment AE; revise sections 4.1.2.1(1) and 4.1.2.1(2) of Attachment AE, which contain demand response provisions, to reflect the aggregated price node option specified in section 2.2(2), and to make any additional related Tariff revisions, as necessary; SPP was also directed to assess whether additional revisions are necessary to section 2.2(3) of Attachment AE to accommodate the revision made to section 2.2(2) in the March 2013 Filing (P 63);
- 6) submit an explanation of its methodology for determining SPP's output forecasts for dispatchable Variable Energy Resources ("VERs"), its meteorological data requirements for VERs, and corresponding Tariff revisions; SPP was also directed to explain why and how its data requirements for dispatchable VERs that execute Generator Interconnection Agreements ("GIA") on or after June 16, 2013, are consistent with the pro forma GIA revisions that were conditionally accepted in that order (P 82);
- 7) include Tariff revisions to use SPP's output forecast, rather than the maximum output limit submitted by a wind-powered VER, in the event that the limit is not updated, is not submitted, or exceeds the resource's physical operating limit in the real-time market and not in the Resource Unit Commitment ("RUC") processes (P 83);
- 8) remove all proposed Tariff provisions that (a) allow a local transmission operator to directly commit resources in situations outside of emergency situations, and (b) allow a local transmission operator to directly commit resources that affect the facilities modeled by SPP, including the transmission system; SPP must also submit Tariff revisions that limit manual commitments made by local transmission operators to "Emergency Conditions," as defined in the Tariff (P 108);
- 9) revise the Tariff to require SPP, the local transmission operator, and the owner of the generator to establish operating guides to address known and recurring reliability issues that are associated with manual commitments; SPP should explain the bases for its manual commitments, when the commitments will be made, and how SPP will determine which units to commit (P 110);
- 10) submit Tariff revisions that: (a) apply identical factors to SPP for assessing whether manual commitments made by SPP are discriminatory, as are applied to local transmission operators; and (b) clarify that the Market Monitor will review the manual commitments made by both SPP and the local transmission operators (P 111);
- 11) revise the Tariff to provide that the Commission's Office of Enforcement or successor

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- organization is to be notified of any suspected discrimination (P 113);
- 12) submit a compliance filing to remove "or local transmission operator" from section 6.1.2(3) of Attachment AE (P 115);
- 13) revise section 8.6.5 of Attachment AE to limit RUC make whole payment eligibility in cases where a resource is committed by a local transmission operator (in a manner consistent with the discussion in PP 108-114) to cases where the local transmission operator commits the resource to address an emergency-related reliability issue on facilities not monitored by SPP (P 118);
- 14) provide a clear definition of "Settlement Area"; remove the phrase "will be determined" the first time it appears in the sentence in the third sentence of section 8.6.7 of Attachment AE; in section 8.6.7(A)(1), move the phrase "to address a Local Reliability Issue" after the phrase "excluding make whole payments made to Resources committed" in order to clarify that all commitments to address local reliability issues are excluded from the system-wide RUC make whole payment distribution (P 129);
- 15) explain why SPP assumes that all Out-of-Merit Energy ("OOME") payment amounts pertain to Local Reliability Issues and could not possibly pertain to reliability issues affecting the Transmission System; and if OOME payment amounts could pertain to reliability issues affecting the Transmission System, revise the Tariff so that local OOME payment amounts are included in local allocations and regional OOME payment amounts are included in regional allocations (P 130);
- 16) provide more specific information in the Tariff regarding what constitutes a "Local Reliability Issue" and on what basis SPP will make its commitment decisions to address Local Reliability Issues (P 132);
- 17) remove virtual energy bids from the RUC make whole payment cost allocation methodology (P 137);
- 18) add the words "or reduce output of" in between "provide" and "energy" in section 6.4.1.1(7) of Attachment AE (P 146);
- 19) provide additional justification with regard to proposed sections 4.1.2.4(2)(a) and 4.1.2.5(5)(a) of Attachment AE in the March 2013 Filing, which specify that dispatchable and non-dispatchable VERs for which SPP is calculating an output forecast are not eligible to receive RUC make whole payments; FERC found that SPP has failed to support its proposal that these resources be ineligible to recover their variable costs if, for example, SPP issues a curtailment instruction to the resource (i.e., SPP has not demonstrated why a VER should be ineligible to recover any revenues that it may otherwise have received had it not been curtailed) (P 147);
- 20) revise section 8.6.6(1) of Attachment AE, which governs OOME manual dispatch instructions to generators that are instructed to increase production but that result in an under-recovery, to move the phrase "multiplied by the OOME MW" in the first sentence to the end of that sentence (P 150);
- 21) refine the definition of "economic operating point" in section 8.6.6(1) of Attachment AE; FERC stated that for purposes of calculating the cap on compensation for under recovery in section 8.6.6(1) of Attachment AE, the difference in the energy offer curve and LMP should be multiplied by the difference between the (a) lesser of the actual resource output or the resource's OOME manual dispatch instruction MW, and (b) the MW output at which the energy offer curve first exceeds the LMP (P 151);
- 22) submit an alternative proposal for refunding marginal loss surpluses (P 158);
- 23) revise section 8.3.4.2 of Attachment AE to modify SPP's methodology for calculating prices during shortage events (P 169);
- 24) revise the definitions of regulation-down and regulation-up such that they do not preclude otherwise-qualified resources from providing regulation-down and regulation-up service (P 173);
- 25) revise the Tariff so that transmission customers with rights to roll over their agreement will be able to obtain ARRs in the Annual Allocation Process without requiring them to give more

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than one year notice (P 179);

26) submit a compliance filing that provides that Transmission Congestion Rights ("TCR") auctions will also be subject to mitigation, as needed (P 181);

27) modify section 7.1.3(1) to make clarifying revisions to the nomination cap; SPP was also directed to revise section 7.1.3(3) to conform to the revised Tariff provisions for section 7.1.3(1) so that the nomination cap will also apply to the Auction Revenue Rights ("ARRs") nomination caps for grandfathered agreements ("GFAs") (P 196);

28) explain whether point-to-point transmission customers subject to re-dispatch during a peak period (e.g., summer) should be entitled to off-peak ARR during the peak period with the overloads (P 198);

29) revise the Tariff in relation to Bilateral Settlement Schedules (PP 221-227);

30) modify section 2.14.5 of the Tariff to sufficiently explain the process for determining which Reserve Zone to assign a registered External Dynamic Resource during the registration process (P 239);

31) modify section 3.1 of Attachment AF so that local market power is found when at least one of the following conditions are met: (1) the resource is located in a Frequently Constrained Area, as defined in Section 3.1.1, and one or more of the transmission constraints that define the Frequently Constrained Areas is binding or the Reserve Zone that defines the area is binding; (2) the resource is not in a Frequently Constrained Area and (a) has a Resource-to-Load-Distribution factor less than or equal to negative five percent relative to a binding transmission constraint, or (b) is in a binding Reserve Zone; (3) the resource is manually committed by the Resource Provider or selected for commitment by a local transmission operator in the Day-Ahead or Intra-day RUC processes (P 259);

32) remove the word "caps" from the following phrase in section 3.7 of Attachment AF: "After an initial market solution is computed with no mitigation measures caps in place...." (P 261);

33) modify the last sentence in section 3.1.1 of Attachment AF to clarify that any designation or change in designation for Frequently Constrained Areas is subject to prior approval by the Commission; modify that last sentence of section 3.1.1 so that it reads: "Any new or modifications to existing Frequently Constrained Areas are subject to prior Commission approval." (P 272);

34) modify section 3.1.1.1 of Attachment AF to provide that a supplier is pivotal in relation to the energy output or provision of operating reserves by "any or some of its resources jointly" rather than by "any of its resources"; SPP must address whether and how a demand response resource can be determined to be a pivotal supplier under section 3.1.1.1 given that it is unclear how each of the conditions therein applies to demand response resources; SPP must address the applicability of each of the provisions under 3.1.1.1 to demand response resources as potential pivotal suppliers (P 273);

35) modify section 3.2(A)(2) of Attachment AF to refer to section 3.2(A)(1) (P 274);

36) provide examples that show how mitigation of affiliated resources would occur given the pivotal supplier designation and given the language in section 3.2.2 that SPP proposes to remove in Attachment AF (P 276);

37) revise the Tariff regarding certain aspects of the mitigated offer proposals, especially surrounding the calculation of opportunity costs (PP 295-304);

38) explain how certain costs that are to be used in the development of mitigated offers, including fuel costs, fuel-related costs (e.g., emissions costs), opportunity costs, variable operations and maintenance ("VOM") costs, and start-up and no-load costs, will be consistently developed by market participants (P 321);

39) provide in the Tariff, consistent with its Market Protocols, that if a market participant submits a dispute over its mitigated offer, the previously approved mitigated offer is used until the dispute is resolved; propose language for the Commission to review that establishes any additional measures that will occur if and when the dispute is resolved in the market participant's favor such as what will occur with respect to market settlements that have

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occurred while the disputed mitigated offers were in effect, and explain the proposed approach (P 322);

40) submit Tariff revisions specifying that the Market Monitor will verify that the resource offer has been modified to an acceptable level, such that the amended sentence reads: "Mitigation measures will remain in place until such time that the Market Participant demonstrates the validity of the Resource Offer parameter or the Market Participant notifies the Market Monitor that the Resource Offer parameter has been changed to a value that is within the tolerance band as described above, and the Market Monitor has verified that this change has occurred" (P 323);

41) submit a compliance filing to demonstrate whether SPP's monitoring and mitigation measures for economic withholding, physical withholding, unavailability of facilities and/or uneconomic production are appropriate for dispatchable and/or non-dispatchable VERs and under which circumstances; address how these measures would be applied; and file any tariff revisions necessary to provide these clarifications (P 326);

42) further clarify and revise the Tariff regarding the proposal for monitoring and mitigating demand response resources (PP 335-340);

43) revise the Tariff to limit the reporting of non-mitigation after a pre-offer consultation occurs under section 3.8(B) of Attachment AF, to instances when the resulting offer violates both the conduct and impact threshold (P 361);

44) provide that the screen for uneconomic production will include not only the existing criteria in

section 3.6 of Attachment AF, and to insert language in section 4.6.1 of Attachment AG providing that it will monitor for uneconomic production being accomplished (1) via the energy offer where the incremental energy offer price for the resource is less than 50 percent of the applicable reference level and (2) via time-based or other resource offer parameters (non-time and non-dollar based), including in situations when the resource has a positive Resource-to-Load Distribution Factor; SPP also must clarify that the language (which addresses economic withholding) in Attachment AF section 3.2B which reads "An Energy Offer below \$25/MWh will not be subject to mitigation measures" by adding the clause "for economic withholding" such that it reads "An Energy Offer below \$25/MWh will not be subject to mitigation measures for economic withholding." (P 369);

45) remove certain conditions from the determination of physical withholding that is reported to the Commission (P 379);

46) remove specific conditions from the reporting of potential physical withholding by transmission facilities in section 4.6.4.2 of Attachment AG and add to the determination of physical withholding of transmission facilities in section 4.6.4.2 that the Market Monitor will also identify a pattern of scheduling outages resulting in increased market costs compared to an alternative and lower cost impact outage schedule (P 380);

47) further explain the proposed definition of "electrically similar as any settlement location that fails the divergence test" under section 4.6.3 of Attachment AG, and propose modification to section 4 of Attachment AF that would implement SPP's intention (P 383);

48) clarify the first sentence of section 4.5 of Attachment AG to read: "The [M]arket [M]onitor shall monitor Markets and Services for the exercise of transmission market power by...." (P 391);

49) modify section 4.5 of Attachment AG to remove language associated with referrals of perceived market design flaws to the Office of Enforcement (while retaining the language on referral of instances of suspected market power exercise) (P 392);

50) modify the Readiness Plan to provide its Market Monitor implementation plan to ensure that the Market Monitor has access to sufficient market data, resources, and personnel to carry out its functions in the Integrated Market; SPP must include a timeline that ensures that appropriate operations, staff, and resources are in place for the Market Monitor by the

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Integrated Marketplace's proposed effective date (P 400);
51) explain how the transition of functional responsibilities will not adversely affect reliability, in a compliance filing due 60 days after the date of this order (P 402); and
52) revise the Reversion Plan to clarify that the window for invoking its plan will start again upon the restart of the Integrated Marketplace (P 403).

SPP is required to include in its informational report due 15 months after commencement of the Integrated Marketplace:

- 1) whether SPP's ten percent forecasting error has had a disproportionate impact on smaller load-serving entities; whether expressing the acceptable forecasting error as a percentage deviation and as a minimum MW absolute error is warranted based on market observations; and, if so, a possible MW value for this minimum absolute error (P 40, n. 39);
- 2) report on whether the penalty provisions in section 3.9 of Attachment AF have ensured that sufficient resources are available to cover the load and operating reserve obligations of load-serving entities, as well as the extent to which the Market Monitor has had to assess penalties under section 3.9 during the first year of market operations (P 42);
- 3) the number of registered aggregated demand response resources in the Integrated Marketplace; SPP should also report on its experience with any problems relating to the aggregated price node concept specified in section 2.2(2) of Attachment AE (for both demand response and non-conforming load) (P 64);
- 4) analysis of whether dispatchable VERs may reliably provide regulation-up and/or contingency reserves (P 80);
- 5) an analysis addressing whether the Uninstructed Resource Deviation tolerance band continues to be appropriate based on actual operating experience (P 93);
- 6) report on and discuss any shortage conditions and resulting prices that have occurred, overall demand response participation, and to provide analysis of how its shortage pricing provisions have impacted the entry and exit of demand response and other supply resources (P 170); and
- 7) report on the conduct and impact thresholds; SPP must address whether the conduct and impact thresholds for the various products and under the various circumstances (i.e. non-Frequently Constrained Areas, Frequently Constrained Areas, and where there are manual commitments as described in section 3.1(4)) appropriately identify conduct that needs to be mitigated (P 357).

Additionally, SPP was directed to

- 1) document its progress toward launch of the Integrated Marketplace by filing informational reports with the Commission every 60 days from the date of this order (P 402); and
- 2) file a certification of readiness 60 days prior to market launch (P 402).

FERC rejected SPP's proposed revisions to section 3.1.1 of Attachment AE regarding removing oversight authority from the SPP Board of Directors for the establishment, modification, or deletion of a Market Hub. FERC also found that SPP has not supported including these procedures in its Market Protocols, rather than in its Tariff.

On September 23, 2013, SPP submitted tariff revisions to submit and justify its Virtual Energy Transaction Fee proposed in the Integrated Marketplace Filing consistent with the October 18, 2012 Order in Docket No. ER12-1179.

SPP requested that the Commission issue an order on this filing by November 18, 2013.

On September 30, 2013, FERC issued an Order Conditionally Approving Settlement in Part, Establishing Further Hearing and Settlement Judge Procedures in Part and Denying Request

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for Rehearing.

The Commission conditionally approved in part the offer of settlement filed on July 31, 2013. However, the Commission severed and established hearing and settlement judge procedures as to the issue concerning whether grandfathered agreement ("GFA") 494 should be included in Schedule 1 of the Settlement.

The Commission found that with the exception of the issue concerning GFA No. 494, the Settlement resolves all other outstanding issues concerning the treatment of GFAs in the Integrated Marketplace.

The Commission denied in part and dismissed as moot in part Nebraska Public Power Districts's June 19, 2013 Motion for Clarification, or in the Alternative Rehearing.

SPP was directed to submit a compliance filing to include a revised settlement agreement reflecting a revision to the standard of review provision. SPP's compliance filing is due on October 30, 2013.

ER12-1813

The Empire District Electric Company ("Empire") Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate

On July 3, 2013, Commission Trial Staff filed Comments Opposing Settlement Agreement.

On July 15, 2013, The Empire District Electric Company filed Reply Comments in Support of Stipulation and Settlement Agreement.

On July 16, 2013, FERC issued a Report of Contested Settlement.

On July 19, 2013, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures.

ER12-2289

SPP Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate for SPP Member, The Empire District Electric Company ("Empire")

On July 3, 2013, Commission Trial Staff filed Comments Opposing Settlement Agreement.

ER12-2292

SPP Submission of Tariff Revisions to Attachment AE to Facilitate the Systematic Rather than Manual Curtailment of Non-Dispatchable Resources in the Energy Imbalance Services Market ("EIS Market") During Period of Congestion

On September 20, 2013, FERC issued an Order on Rehearing, Compliance Filing and Waivers.

The Commission denied Acciona Wind Energy USA LLC's request for rehearing.

The Commission granted SPP's request for temporary limited waiver filed on February 13, 2013, to delay implementation of systematic and automated curtailment rules, contained in Section 4.3 of Attachment AE, for new non-dispatchable resources that became commercially operable on or after October 15, 2012.

The Commission granted SPP's request for waiver filed on March 18, 2013 in Docket No. ER13-1123. SPP requested a waiver to delay implementation of systematic and automated curtailment rules for the Ensign Wind resource for the period from March 19, 2013 to June 1,

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2013.

The Commission accepted SPP's March 1, 2013 Compliance Filing, effective March 19, 2013, subject to a further compliance filing. The Commission conditionally accepted the revisions to Sections 4.3(i) and 5.5(f). The Commission noted that there are several incorrect references to October 15, 2013 as the effective date for systematic and automated curtailment of existing non-dispatchable resources. The September 20, 2013 Order ordered the systematic and automated curtailment of existing non-dispatchable resources to be effective on year form the date of the September 20 Order (i.e. September 20, 2013). SPP was directed to modify the date.

Section 4.3(i)(ii) was conditionally accepted, subject to a compliance filing, to exempt from its systematic and automated curtailment procedures wind-powered non-dispatchable resources that began commercial operations before October 15, 2012 and executed interconnection agreements on or before May 21, 2011.

SPP's compliance filing is due on October 21, 2013.

ER12-2681

Joint Application of ITC Holdings Corp. ("ITC") and Entergy Corporation ("Entergy") for Authorization of Acquisition and Disposition of Jurisdictional Transmission Facilities, Approval of Transmission Service Formula Rate and Certain Jurisdictional Agreements, and Petition for Declaratory Order on Application of Section 305(a) of the Federal Power Act (Docket Nos. ER12-2681, EC12-145, and EL12-107)

A settlement conference was held on July 16, 2013.

On July 17, 2013, ITC Holdings Corp. and Entergy Services, Inc. filed a Joint Motion for Extension of Time and Request for Expedited Action. ITC and Entergy requested an extension to September 13, 2013 to submit the compliance filing required by Paragraph 104 of the June 20, 2013 Order.

On July 17, 2013, FERC issued an Order Setting Second Settlement Conference Date and Procedural Schedule.

The following schedule was established:

July 31, 2013 - Intervenors to issue first set of discovery requests;
August 12, 2013 - Entergy to provide its populated formula template;
August 14, 2013 - Applicants to respond to first set of discovery requests;
September - First technical conference to be held during the last two weeks in September;
October - Second technical conference to be held the week of October 14, 2013;
November 1, 2013 - ITC to provide its populated formula template;
December 11, 2013 - Second settlement conference to be held.

On July 19, 2013, the Administrative Law Judge filed the First Settlement Status Report. The first settlement conference was held on July 16, 2013. The next settlement conference will be held on December 11, 2013.

Several Parties requested rehearing of the June 20, 2013 Order.

On July 22, 2013, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company and The Empire District Electric Company filed a Request for Rehearing and Clarification of the June 20, 2013 Order.

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On July 22, 2013, the Southwest Power Pool Transmission Owners filed a Request for Clarification and Motion for Settlement Judge Proceedings or, in the Alternative, Request for Rehearing of the June 20, 2013 Order.

On July 22, 2013, SPP filed a Request for Rehearing of the June 20, 2013 Order.

SPP stated:

- 1) the Commission's failure to provide a meaningful response to SPP's parallel flow and other operational/economic concerns is legal error; and
- 2) the Commission's attempt to distinguish Commonwealth is unreasoned and illogical.

On July 25, 2013, FERC issued a Notice of Extension of Time, granting an extension to September 13, 2013 for the Parties to file their compliance filing pursuant to the June 20, 2013 Order.

On July 29, 2013, Associated Electric Cooperative, Inc. filed an answer in response to the request for rehearing and clarification filed by Arkansas Electric Cooperative Corporation and by Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company and The Empire District Electric Company. AECI stated it would like to clarify the record by indicating that it supports the requests for rehearing.

On August 5, 2013, FERC issued an Order of Chief Judge Continuing Settlement Judge Procedures. A settlement conference was held on July 16, 2013. The next settlement conference is scheduled for December 11, 2013.

On August 6, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in response to the request for clarification and motion for settlement judge proceedings filed by the SPP Transmission Owners.

MISO stated:

- 1) the SPP TOs motion for settlement judge proceedings should be denied;
- 2) the "hold harmless" issue has been resolved by the Attachment O Order; and
- 3) renegotiation of the Joint Operating Agreement is not a prerequisite to the closing of the merger transaction.

On August 9, 2013, Southwestern Electric Cooperative, Inc. filed a Notice of Withdrawal of Filings.

On August 19, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the June 20, 2013 Order.

On September 13, 2013, Entergy Services, Inc. filed its compliance filing pursuant to the June 20, 2013 Order.

On September 13, 2013, ITC Holdings Corp. filed its compliance filing pursuant to the June 20, 2013 Order.

On September 19, 2013, FERC issued a Second Settlement Status Report. A settlement conference was held on July 16, 2013. The next settlement conference is scheduled for December 11, 2013.

On September 26, 2013, FERC issued a Notice of Extension of Time until October 18, 2013 to file comments in response to the September 13, 2013 compliance filing.

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ER12-2682

Midwest Independent Transmission System Operator, Inc. ("MISO") Submission of Tariff Revisions Including Proposed Module B-1 to the Open Access Transmission, Energy and Operating Reserve Markets Tariff

On July 22, 2013, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company and The Empire District Electric Company filed a Request for Rehearing and Clarification of the June 20, 2013 Order.

On July 22, 2013, the Southwest Power Pool Transmission Owners filed a Request for Clarification and Motion for Settlement Judge Proceedings or, in the Alternative, Request for Rehearing of the June 20, 2013 Order.

On July 22, 2013, the Midcontinent Independent System Operator, Inc. submitted its Compliance Filing pursuant to the June 20, 2013 Order.

On August 6, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in response to the request for clarification and motion for settlement judge proceedings filed by the SPP Transmission Owners.

MISO stated:

- 1) the SPP TOs motion for settlement judge proceedings should be denied;
- 2) the "hold harmless" issue has been resolved by the Attachment O Order; and
- 3) renegotiation of the Joint Operating Agreement is not a prerequisite to the closing of the merger transaction.

On August 9, 2013, Southwestern Power Administration filed a Motion to Intervene and Protest. SPA stated that referencing ITC Arkansas, LLC as a party to Contract No. 522 is incorrect and that any coordination between Entergy Arkansas, Inc. and ITC Arkansas, LLC is outside the scope of Contract No. 522.

On August 9, 2013, Southwestern Electric Cooperative, Inc. filed a Notice of Withdrawal of Filings.

On August 19, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the June 20, 2013 Order.

On August 21, 2013, LSP Power Transmission, LLC and LSP Transmission Holdings, LLC filed a Protest in response to the July 2, 2013 Compliance Filing.

ER13-62

NorthWestern Corporation's Order No. 1000 Regional Compliance Filing

On July 8, 2013, FERC issued an Order Denying Partial Waiver, Granting Extension of Time in Part and Denying Rehearing.

NorthWestern's request for waiver was denied. The request for extension of time was granted in part. NorthWestern was directed to submit an informational filing regarding the status of Western's analysis of alternatives no later than 14 days after Western announces the results of the analysis or January 14, 2014.

ER13-366

SPP Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements

On July 18, 2013, FERC issued an Order on Compliance Filing, accepting SPP's compliance

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filing, subject to another compliance filing.

The Commission found that the scope of the transmission planning region, the description of facilities that will be subject to the requirements of Order No. 1000, and the enrollment process specified in SPP's filing comply with the requirements of Order No. 1000.

The Commission found that the Integrated Transmission Planning process complies with the comparability principle and the other planning related requirements of Order No. 1000. The Commission also found that the ITP process complies with the requirements of Order No. 1000 because it outlines the process by which SPP evaluates, in consultation with its stakeholders, alternative transmission solutions that might meet the needs of the transmission planning region more efficiently or cost-effectively than transmission solutions identified by individual public utility transmission providers in their local transmission planning processes.

The Commission found that SPP's ITP provisions, in conjunction with the proposed revisions in SPP's compliance filing, partially comply with the provisions of Order No. 1000 addressing transmission needs driven by public policy requirements. The Commission directed SPP to file a further compliance filing to revise its Tariff to include clear, transparent procedures for identifying transmission needs driven by public policy requirements in its regional transmission planning process that allow stakeholders an opportunity to provide input and offer proposals regarding the transmission needs driven by public policy requirements. The Commission also directed SPP to file a further compliance filing to include in its Tariff a just and reasonable and not unduly discriminatory process through which the public utility transmission provider will identify those transmission needs driven by public policy requirements for which transmission solutions will be evaluated.

The Commission found that, since SPP evaluates transmission needs driven by public policy requirements throughout the ITP process just as it evaluates transmission needs driven by reliability or economic concerns, SPP complies with the evaluation requirement of Order No. 1000.

The Commission disagreed with SPP's argument that the right of first refusal provision in Section 3.3 of the Membership Agreement is subject to a Mobile-Sierra presumption.

The Commission accepted SPP's proposal to eliminate federal rights of first refusal for Highway facilities as consistent with the requirements of Order No. 1000. However, the Commission found that SPP's proposal to maintain a federal right of first refusal for Byway facilities does not comply with the requirement in Order No. 1000 to eliminate from Commission-jurisdictional tariffs and agreements provisions that establish a federal right of first refusal for an incumbent transmission owner with respect to transmission facilities selected in a regional transmission plan for purposes of cost allocation. Therefore, the Commission directed SPP to submit a compliance filing revising the definition of Competitive Upgrades to include Byway facilities.

The Commission found that SPP's proposal to treat a new transmission facility whose costs are allocated entirely to a single multi-transmission owner pricing zone within SPP as if its costs were allocated to a pricing zone with a single transmission owner complies with the requirements of Order No. 1000.

The Commission found that SPP's proposal to allow an incumbent transmission owner to maintain a federal right of first refusal for any new transmission facility built on a right-of-way with existing transmission facilities is not permitted by Order No. 1000. Therefore, the

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Commission directed SPP to submit a compliance filing to remove the proposed language related to rights-of-way in Section I.1.c of Attachment Y.

The Commission stated that Order No. 1000 does not permit a public utility transmission provider to add a federal right of first refusal for a new transmission facility based on state law. Accordingly, the Commission directed SPP to submit a compliance filing to remove the proposed language references relevant laws in Section I.1.d of Attachment Y.

The Commission found that SPP's proposal to maintain a federal right of first refusal for a rebuild of an existing transmission facility partially complies with Order No. 1000. The Commission directed SPP to submit a compliance filing to provide a definition of "rebuild" that is consistent with the clarification in SPP's answer filed on February 19, 2013.

The Commission found that SPP's proposed federal right of first refusal for transmission projects needed to address reliability needs in a shortened time frame partially complies with Order No. 1000. To retain a federal right of first refusal for Competitive Upgrades, including Highway and Byway facilities, needed to address reliability in a shortened time frame, the Commission directed SPP to submit a compliance filing revising its provisions consistent with the five criteria as outlined in Paragraph 196 of this Order.

The Commission found that SPP's exclusion of Service Upgrades that result from requests for transmission service from the proposed definition of Competitive Upgrades does not comply with Order No. 1000. The Commission directed SPP to submit a compliance filing revising the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally.

The Commission directed SPP to revise its definition of Competitive Upgrades to clarify that for a transmission facility to be classified as a local project: 1) it must be located solely within a public utility transmission provider's retail distribution service territory or footprint; and 2) it must not be elected in a regional transmission plan for purposes of cost allocation.

The Commission found that SPP's proposed qualification criteria provisions partially comply with the requirements of Order No. 1000. Therefore, the Commission directed SPP to submit a compliance filing to remove from the qualification criteria the requirement that an entity demonstrate its ability to comply with the applicable local, state, and federal requirements. SPP was also directed to revise its Tariff to state that the application fee for the qualification process must be paid by both nonincumbent transmission developers and incumbent transmission owners. Alternatively, SPP may further explain why it is not unduly discriminatory to require nonincumbent transmission developers to pay the application fee.

The Commission found that SPP's proposed language regarding the information requirements for submitting bids partially complies with the requirements of Order No. 1000. The Commission directed SPP to submit a compliance filing with revisions that: 1) establish a precise dollar amount, or a formula for establishing that dollar amount, of the initial fee that a prospective transmission developer must submit with its bid; 2) clarify how it will calculate the actual costs associated with the Request for Proposals process for purposes of determining whether each Request for Proposals respondent must take additional payments or will receive refunds; and 3) provide interest on any bid fees that are refunded to a transmission developer. The Commission also directed SPP to revise its Tariff to remove the unduly discriminatory financial strength provision that applies to only incumbent transmission developers and allows them to demonstrate their financial strength simply by being the incumbent utility.

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The Commission directed SPP to make a compliance filing to 1) revise its evaluation process to reflect greater weighting of costs in evaluating transmission developer bids in order to better reflect "the relative efficiency or cost-effectiveness of [any proposed transmission] solution," or explain and justify why its proposed weighting of costs in the evaluation process complies with the requirements of Order No. 1000; 2) either explain what basis the industry expert panel would use if it were to not to recommend to the Board a bid with the highest score, including how such a decision will be made in a transparent manner, or to remove any OATT language that allows the point system to be disregarded by the industry expert panel when it makes its recommendation; and 3) clarify what is expected, in terms of demonstration of access to capital, when a transmission developer is accepting responsibilities as a Designated Transmission Owner, and to further describe why such requirements are appropriate and not unduly discriminatory.

The Commission directed SPP to submit a compliance filing to 1) include a list of factors that it will consider when determining if a transmission project selected in the regional transmission plan for purposes of cost allocation is significantly delayed; and 2) clarify that it has an established cost bandwidth for determining when the reevaluation of a transmission project is necessary.

The Commission found that the proposed tariff provisions addressing cost allocation for nonincumbent transmission developer projects comply with the requirements of Order No. 1000. The Commission also found that SPP's Transmission Owner Selection Process complies with the Order No. 1000 requirement that any nonincumbent transmission developer of a transmission facility selected in the regional transmission plan have an opportunity comparable to that of an incumbent transmission developer to allocate the cost of such transmission facility through a regional cost allocation method(s).

The Commission found that SPP's Balanced Portfolio and Highway/Byway regional cost allocation methods partially comply with the six regional cost allocation principles of Order No. 1000. The Commission directed SPP to submit a compliance filing revising its OATT to provide for identification of the consequences of a transmission facility selected in the regional transmission plan for purposes of cost allocation for other planning regions. SPP must also address in the further compliance filing whether the SPP region has agreed to bear the costs associated with any required upgrades in another transmission planning region and, if so, how such costs will be allocated within the SPP transmission planning region.

An effective date of March 30, 2014 was granted.

SPP's compliance filing is due on November 15, 2013.

On July 22, 2013, FERC issued an errata notice correcting the citation number to 144 FERC ¶ 61,059 for the July 18, 2013 Order on Compliance Filings.

On August 19, 2013, SPP filed a Request for Rehearing of the July 18, 2013 Order.

SPP stated:

- 1) the Commission erred in rejecting SPP's Mobile-Sierra arguments;
- 2) the Commission erred in ordering SPP to eliminate existing rights of first refusal for Byway facilities;
- 3) the Commission erred in ordering SPP to include Service Upgrades in the definition of Competitive Upgrades; and
- 4) the Commission's mandate that SPP remove language that references relevant law is

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arbitrary and capricious.

On August 19, 2013, LSP Power Transmission, LLC and LSP Transmission Holdings, LLC filed a Request for Clarification and Rehearing of the July 18, 2013 Order.

On August 19, 2013, Oklahoma Gas & Electric Company filed a Request for Rehearing of the July 18, 2013 Order.

On September 17, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the July 18, 2013 Order.

ER13-367

SPP Submission of Revisions to its Membership Agreement to Comply with Order No. 1000

On July 18, 2013, FERC issued an Order on Compliance Filing, accepting SPP's compliance filing, subject to another compliance filing.

The Commission found that the scope of the transmission planning region, the description of facilities that will be subject to the requirements of Order No. 1000, and the enrollment process specified in SPP's filing comply with the requirements of Order No. 1000.

The Commission found that the Integrated Transmission Planning process complies with the comparability principle and the other planning related requirements of Order No. 1000. The Commission also found that the ITP process complies with the requirements of Order No. 1000 because it outlines the process by which SPP evaluates, in consultation with its stakeholders, alternative transmission solutions that might meet the needs of the transmission planning region more efficiently or cost-effectively than transmission solutions identified by individual public utility transmission providers in their local transmission planning processes.

The Commission found that SPP's ITP provisions, in conjunction with the proposed revisions in SPP's compliance filing, partially comply with the provisions of Order No. 1000 addressing transmission needs driven by public policy requirements. The Commission directed SPP to file a further compliance filing to revise its Tariff to include clear, transparent procedures for identifying transmission needs driven by public policy requirements in its regional transmission planning process that allow stakeholders an opportunity to provide input and offer proposals regarding the transmission needs driven by public policy requirements. The Commission also directed SPP to file a further compliance filing to include in its Tariff a just and reasonable and not unduly discriminatory process through which the public utility transmission provider will identify those transmission needs driven by public policy requirements for which transmission solutions will be evaluated.

The Commission found that, since SPP evaluates transmission needs driven by public policy requirements throughout the ITP process just as it evaluates transmission needs driven by reliability or economic concerns, SPP complies with the evaluation requirement of Order No. 1000.

The Commission disagreed with SPP's argument that the right of first refusal provision in Section 3.3 of the Membership Agreement is subject to a Mobile-Sierra presumption.

The Commission accepted SPP's proposal to eliminate federal rights of first refusal for Highway facilities as consistent with the requirements of Order No. 1000. However, the Commission found that SPP's proposal to maintain a federal right of first refusal for Byway facilities does not comply with the requirement in Order No. 1000 to eliminate from

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Commission-jurisdictional tariffs and agreements provisions that establish a federal right of first refusal for an incumbent transmission owner with respect to transmission facilities selected in a regional transmission plan for purposes of cost allocation. Therefore, the Commission directed SPP to submit a compliance filing revising the definition of Competitive Upgrades to include Byway facilities.

The Commission found that SPP's proposal to treat a new transmission facility whose costs are allocated entirely to a single multi-transmission owner pricing zone within SPP as if its costs were allocated to a pricing zone with a single transmission owner complies with the requirements of Order No. 1000.

The Commission found that SPP's proposal to allow an incumbent transmission owner to maintain a federal right of first refusal for any new transmission facility built on a right-of-way with existing transmission facilities is not permitted by Order No. 1000. Therefore, the Commission directed SPP to submit a compliance filing to remove the proposed language related to rights-of-way in Section I.1.c of Attachment Y.

The Commission stated that Order No. 1000 does not permit a public utility transmission provider to add a federal right of first refusal for a new transmission facility based on state law. Accordingly, the Commission directed SPP to submit a compliance filing to remove the proposed language references relevant laws in Section I.1.d of Attachment Y.

The Commission found that SPP's proposal to maintain a federal right of first refusal for a rebuild of an existing transmission facility partially complies with Order No. 1000. The Commission directed SPP to submit a compliance filing to provide a definition of "rebuild" that is consistent with the clarification in SPP's answer filed on February 19, 2013.

The Commission found that SPP's proposed federal right of first refusal for transmission projects needed to address reliability needs in a shortened time frame partially complies with Order No. 1000. To retain a federal right of first refusal for Competitive Upgrades, including Highway and Byway facilities, needed to address reliability in a shortened time frame, the Commission directed SPP to submit a compliance filing revising its provisions consistent with the five criteria as outlined in Paragraph 196 of this Order.

The Commission found that SPP's exclusion of Service Upgrades that result from requests for transmission service from the proposed definition of Competitive Upgrades does not comply with Order No. 1000. The Commission directed SPP to submit a compliance filing revising the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally.

The Commission directed SPP to revise its definition of Competitive Upgrades to clarify that for a transmission facility to be classified as a local project: 1) it must be located solely within a public utility transmission provider's retail distribution service territory or footprint; and 2) it must not be elected in a regional transmission plan for purposes of cost allocation.

The Commission found that SPP's proposed qualification criteria provisions partially comply with the requirements of Order No. 1000. Therefore, the Commission directed SPP to submit a compliance filing to remove from the qualification criteria the requirement that an entity demonstrate its ability to comply with the applicable local, state, and federal requirements. SPP was also directed to revise its Tariff to state that the application fee for the qualification process must be paid by both nonincumbent transmission developers and incumbent

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transmission owners. Alternatively, SPP may further explain why it is not unduly discriminatory to require nonincumbent transmission developers to pay the application fee.

The Commission found that SPP's proposed language regarding the information requirements for submitting bids partially complies with the requirements of Order No. 1000. The Commission directed SPP to submit a compliance filing with revisions that: 1) establish a precise dollar amount, or a formula for establishing that dollar amount, of the initial fee that a prospective transmission developer must submit with its bid; 2) clarify how it will calculate the actual costs associated with the Request for Proposals process for purposes of determining whether each Request for Proposals respondent must take additional payments or will receive refunds; and 3) provide interest on any bid fees that are refunded to a transmission developer. The Commission also directed SPP to revise its Tariff to remove the unduly discriminatory financial strength provision that applies to only incumbent transmission developers and allows them to demonstrate their financial strength simply by being the incumbent utility.

The Commission directed SPP to make a compliance filing to 1) revise its evaluation process to reflect greater weighting of costs in evaluating transmission developer bids in order to better reflect "the relative efficiency or cost-effectiveness of [any proposed transmission] solution," or explain and justify why its proposed weighting of costs in the evaluation process complies with the requirements of Order No. 1000; 2) either explain what basis the industry expert panel would use if it were to not to recommend to the Board a bid with the highest score, including how such a decision will be made in a transparent manner, or to remove any OATT language that allows the point system to be disregarded by the industry expert panel when it makes its recommendation; and 3) clarify what is expected, in terms of demonstration of access to capital, when a transmission developer is accepting responsibilities as a Designated Transmission Owner, and to further describe why such requirements are appropriate and not unduly discriminatory.

The Commission directed SPP to submit a compliance filing to 1) include a list of factors that it will consider when determining if a transmission project selected in the regional transmission plan for purposes of cost allocation is significantly delayed; and 2) clarify that it has an established cost bandwidth for determining when the reevaluation of a transmission project is necessary.

The Commission found that the proposed tariff provisions addressing cost allocation for nonincumbent transmission developer projects comply with the requirements of Order No. 1000. The Commission also found that SPP's Transmission Owner Selection Process complies with the Order No. 1000 requirement that any nonincumbent transmission developer of a transmission facility selected in the regional transmission plan have an opportunity comparable to that of an incumbent transmission developer to allocate the cost of such transmission facility through a regional cost allocation method(s).

The Commission found that SPP's Balanced Portfolio and Highway/Byway regional cost allocation methods partially comply with the six regional cost allocation principles of Order No. 1000. The Commission directed SPP to submit a compliance filing revising its OATT to provide for identification of the consequences of a transmission facility selected in the regional transmission plan for purposes of cost allocation for other planning regions. SPP must also address in the further compliance filing whether the SPP region has agreed to bear the costs associated with any required upgrades in another transmission planning region and, if so, how such costs will be allocated within the SPP transmission planning region.

An effective date of March 30, 2014 was granted.

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SPP's compliance filing is due on November 15, 2013.

On August 19, 2013, Oklahoma Gas & Electric Company filed a Request for Rehearing of the July 18, 2013 Order.

On August 19, 2013, LSP Power Transmission, LLC and LSP Transmission Holdings, LLC filed a Request for Clarification and Rehearing of the July 18, 2013 Order.

On August 19, 2013, SPP filed a Request for Rehearing of the July 18, 2013 Order.

SPP stated:

- 1) the Commission erred in rejecting SPP's Mobile-Sierra arguments;
- 2) the Commission erred in ordering SPP to eliminate existing rights of first refusal for Byway facilities;
- 3) the Commission erred in ordering SPP to include Service Upgrades in the definition of Competitive Upgrades; and
- 4) the Commission's mandate that SPP remove language that references relevant law is arbitrary and capricious.

On September 17, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the July 18, 2013 Order.

ER13-665

Midwest Independent Transmission System Operator, Inc. Submission of Tariff Revisions to Revise Provisions on Long-Term Transmission Rights ("LTTRs") and Auction Revenue Rights ("ARRs") to Address Integration of Second Planning Area

On September 16, 2013, FERC issued an order accepting MISO's April 29, 2013 Compliance Filing, effective March 29, 2013.

ER13-878

SPP Submission of Tariff Revisions to Attachment AE, Section 1.2.2(g) to Allocate Energy Imbalance Service ("EIS") Market Charges and Credits Associated with the Generation of an Involuntarily Registered Qualifying Facility ("QF") to the Host Utility with Purchase Obligation under Public Utility Regulatory Polices Act of 1978 ("PURPA")

On July 12, 2013, FERC issued an order accepting SPP's April 22, 2013 Compliance Filing.

An effective date of April 1, 2012 was granted.

This order constitutes final agency action.

ER13-948

Entergy Services, Inc. ("ESI") and the Midwest Independent Transmission System Operator, Inc. ("MISO") Filing of Six Attachment O Templates to the MISO Open Access Transmission, Energy and Operating Reserve Markets Tariff to Establish Formula Rates for the Entergy Operating Companies' Recovery of Transmission Revenue Requirements

A settlement conference was held on July 16, 2013.

On July 17, 2013, FERC issued an Order Setting Second Settlement Conference Date and Procedural Schedule.

The following schedule was established:

July 31, 2013 - Intervenors to issue first set of discovery requests;

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August 12, 2013 - Entergy to provide its populated formula template;
August 14, 2013 - Applicants to respond to first set of discovery requests;
September - First technical conference to be held during the last two weeks in September;
October - Second technical conference to be held the week of October 14, 2013;
November 1, 2013 - ITC to provide its populated formula template;
December 11, 2013 - Second settlement conference to be held.

On July 17, 2013, ITC Holdings Corp. and Entergy Services, Inc. filed a Joint Motion for Extension of Time and Request for Expedited Action. ITC and Entergy requested an extension to September 13, 2013 to submit the compliance filing required by Paragraph 104 of the June 20, 2013 Order.

On July 19, 2013, the Administrative Law Judge filed the First Settlement Status Report. The first settlement conference was held on July 16, 2013. The next settlement conference will be held on December 11, 2013.

On July 22, 2013, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company and The Empire District Electric Company filed a Request for Rehearing and Clarification of the June 20, 2013 Order.

On July 22, 2013, the Southwest Power Pool Transmission Owners filed a Request for Clarification and Motion for Settlement Judge Proceedings or, in the Alternative, Request for Rehearing of the June 20, 2013 Order.

On July 22, 2013, SPP filed a Request for Rehearing of the June 20, 2013 Order.

SPP stated:

- 1) the Commission's failure to provide a meaningful response to SPP's parallel flow and other operational/economic concerns is legal error; and
- 2) the Commission's attempt to distinguish Commonwealth is unreasoned and illogical.

Several Parties requested rehearing of the June 20, 2013 Order.

On July 25, 2013, FERC issued a Notice of Extension of Time, granting an extension to September 13, 2013 for the Parties to file their compliance filing pursuant to the June 20, 2013 Order.

On August 5, 2013, FERC issued an Order of Chief Judge Continuing Settlement Judge Procedures. A settlement conference was held on July 16, 2013. The next settlement conference is scheduled for December 11, 2013.

On August 6, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in response to the request for clarification and motion for settlement judge proceedings filed by the SPP Transmission Owners.

MISO stated:

- 1) the SPP TOs motion for settlement judge proceedings should be denied;
- 2) the "hold harmless" issue has been resolved by the Attachment O Order; and
- 3) renegotiation of the Joint Operating Agreement is not a prerequisite to the closing of the merger transaction.

On August 19, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the June 20, 2013 Order.

Regulatory Status Report

On September 19, 2013, FERC issued a Second Settlement Status Report. A settlement conference was held on July 16, 2013. The next settlement conference is scheduled for December 11, 2013.

ER13-1032

Settlement Agreement Between Southwest Power Pool, Inc. ("SPP") and Municipal Energy Agency of Nebraska ("MEAN") Resolving All Issues Relating to the Dispute between SPP and MEAN

On August 8, 2013, FERC issued an order accepting the Settlement Agreement Between Southwest Power Pool, Inc. and Municipal Energy Agency of Nebraska.

ER13-1123

Petition for Waiver of Tariff Provisions Accepted in Docket No. ER12-2292 for Ensign Wind

On September 20, 2013, FERC issued an Order on Rehearing, Compliance Filing and Waivers.

The Commission denied Acciona Wind Energy USA LLC's request for rehearing.

The Commission granted SPP's request for temporary limited waiver filed on February 13, 2013, to delay implementation of systematic and automated curtailment rules, contained in Section 4.3 of Attachment AE, for new non-dispatchable resources that became commercially operable on or after October 15, 2012.

The Commission granted SPP's request for waiver filed on March 18, 2013 in Docket No. ER13-1123. SPP requested a waiver to delay implementation of systematic and automated curtailment rules for the Ensign Wind resource for the period from March 19, 2013 to June 1, 2013.

The Commission accepted SPP's March 1, 2013 Compliance Filing, effective March 19, 2013, subject to a further compliance filing. The Commission conditionally accepted the revisions to Sections 4.3(i) and 5.5(f). The Commission noted that there are several incorrect references to October 15, 2013 as the effective date for systematic and automated curtailment of existing non-dispatchable resources. The September 20, 2013 Order ordered the systematic and automated curtailment of existing non-dispatchable resources to be effective on year form the date of the September 20 Order (i.e. September 20, 2013). SPP was directed to modify the date.

Section 4.3(i)(ii) was conditionally accepted, subject to a compliance filing, to exempt from its systematic and automated curtailment procedures wind-powered non-dispatchable resources that began commercial operations before October 15, 2012 and executed interconnection agreements on or before May 21, 2011.

SPP's compliance filing is due on October 21, 2013.

ER13-1173

SPP Submission of Tariff Revisions to Modify Certain Aspects of the SPP Integrated Marketplace

On September 20, 2013, FERC issued an Order on Compliance Filing and Proposed Tariff Revisions in Docket Nos. ER12-1179 and ER13-1173.

SPP's compliance filing is due on November 19, 2013.

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ER13-1495 **Generator Interconnection Agreement ("GIA") between Cottonwood Wind Project, LLC ("Cottonwood") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**

On July 10, 2013, FERC issued a letter order accepting the agreement, effective April 18, 2013 as requested.

This order constitutes final agency action.

ER13-1533 **Executed Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On July 10, 2013, FERC issued a letter order accepting the agreement, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1543 **Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Hays Wind LLC ("Hays Wind") as Interconnection Customer and Midwest Energy, Inc. ("Midwest") as Transmission Owner**

On July 10, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective April 28, 2013 as requested.

This order constitutes final agency action.

ER13-1568 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Northeast Texas Electric Cooperative, Inc. ("NTEC") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner**

On July 10, 2013, FERC issued a letter order accepting the agreement, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1569 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Tex-La Electric Cooperative of Texas, Inc. ("Tex-La") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner**

On July 10, 2013, FERC issued a letter order accepting the agreement, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1571 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On August 14, 2013, FERC issued a letter order accepting the agreement, effective May 1, 2013 as requested.

Regulatory Status Report

This order constitutes final agency action.

ER13-1572

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, East Texas Electric Cooperative, Inc. ("ETEC") as Network Customer, and American Electric Power Company ("AEP") as Host Transmission Owner

On July 10, 2013, FERC issued a letter order accepting the agreement, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1584

Submission of Revisions to Attachment AD of the Tariff to Amend Tariff Administration Agreement between SPP and Southwestern Power Administration ("Southwestern")

On July 24, 2013, FERC issued an order accepting SPP's tariff revisions to amend the Tariff Administration Agreement between SPP and Southwestern Power Administration, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1629

Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Rock Creek Wind Project, LLC ("Rock Creek") as Interconnection Customer and KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Transmission Owner

On July 24, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective May 20, 2013 as requested.

This order constitutes final agency action.

ER13-1635

Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On July 30, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

ER13-1719

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and Southwestern Public Service Company ("SPS") as Host Transmission Owner

On August 14, 2013, FERC issued a letter order accepting the agreement, effective July 1, 2011 as requested.

This order constitutes final agency action.

ER13-1737

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

Regulatory Status Report

On August 20, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

ER13-1748

Order No. 755 Compliance Filing to Adopt a Two-Part Compensation Methodology for Resources that Provide Regulation-Up and Regulation-Down Operating Reserve Products in the SPP Integrated Marketplace and Other Tariff Language

On July 12, 2013, Beacon Power, LLC filed a Motion to Intervene.

On July 12, 2013, the Electricity Storage Association filed a Motion to Intervene and Comments in support of SPP's Order No. 755 Compliance Filing.

On July 12, 2013, the East Texas Cooperatives filed a Motion to Intervene.

On August 1, 2013, SPP filed an answer to the comments filed by the Electricity Storage Association filed on July 12, 2013. SPP requested that the Commission reject ESA's comments that exceed the scope of this proceeding and find that SPP's proposal complies with Order No. 755.

ER13-1765

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Nemaha-Marshall Electric Cooperative ("Nemaha-Marshall") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On August 21, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

ER13-1768

Submission of Tariff Revisions to Attachment AN to Incorporate into the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority ("SPP BA Agreement")

On July 9, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.

On July 16, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On July 18, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene Out-of-Time.

On August 9, 2013, SPP submitted City of Independence, Missouri's signature page to the SPP Balancing Authority Agreement.

ER13-1769

Submission of Bylaws and Membership Agreement Revisions Necessary to Facilitate the Implementation of the SPP Balancing Authority

On July 9, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.

On July 16, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

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On July 18, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene Out-of-Time.

ER13-1772

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On August 15, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

ER13-1780

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On August 21, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

ER13-1807

Baseline Electronic Tariff Filing for the Joint Operating Agreement ("JOA") Between Southwest Power Pool, Inc. and Midcontinent Independent System Operator, Inc. ("MISO") (SPP Rate Schedule FERC No. 9)

On July 16, 2013, Ameren Services Company filed a Motion to Intervene.

On July 24, 2013, FERC issued an order accepting the baseline electronic tariff filing of the Joint Operating Agreement between SPP and the Midcontinent Independent System Operator, Inc., effective June 27, 2013 as requested.

This order constitutes final agency action.

ER13-1809

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On July 11, 2013, Kansas Power Pool filed a Motion to Intervene.

On July 18, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 20, 2013, FERC issued a letter order accepting the agreement, effective May 1, 2013 as requested.

This order constitutes final agency action.

ER13-1819

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners

On July 18, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company,

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LLC filed a Joint Motion to Intervene and Comments.

Sunflower and Mid-Kansas requested that the Commission direct SPP in a compliance filing revise the agreement to simply refer to Wholesale Distribution Service being under agreements regulated by the Kansas Corporation Commission.

On August 2, 2013, SPP filed a Motion for Leave to Answer and Answer in response to the Joint Motion to Intervene and Comments filed by Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC on July 18, 2013.

On August 23, 2013, FERC issued an order conditionally accepting the agreement, effective June 1, 2013, subject to a compliance filing to remove Appendix 4 to the NITSA, Service and Operating Agreement, and to revise section 8.9 of Attachment 1 of the NITSA to state that Wholesale Distribution Service is provided pursuant to local agreements regulated by the Kansas Corporation Commission.

SPP's compliance filing is due on September 23, 2013.

On September 19, 2013, Southwest Power Pool, Inc., submitted its Compliance Filing pursuant to the August 23, 2013 Order.

ER13-1832

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On July 11, 2013, Kansas Power Pool filed a Motion to Intervene.

On July 19, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 20, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

ER13-1840

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOAs") between SPP, Western Farmers Electric Cooperative ("WFEC") as Network Customer and Host Transmission Owner, and American Electric Power ("AEP") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners

On July 2, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.

On August 20, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

ER13-1841

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners

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On August 20, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

ER13-1862

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On July 2, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.

On August 20, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

ER13-1864

Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Include Market-to-Market ("M2M") Terms and Conditions (SPP Rate Schedule FERC No. 9)

On July 10, 2013, Exelon Corporation filed a Motion to Intervene.

On July 16, 2013, the Kansas City, Kansas Board of Public Utilities filed a Motion to Intervene.

On July 16, 2013, The Empire District Electric Company filed a Motion to Intervene.

On July 17, 2013, American Electric Power Service Corporation and Xcel Energy Services Inc. filed Motions to Intervene.

On July 18, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company, and Lincoln Electric System filed Motions to Intervene.

On July 19, 2013, Ameren Services Company, Calpine Corporation, East Texas Cooperatives, ITC Holdings Corp., et al., Nebraska Public Power District, Omaha Public Power District, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC, Westar Energy, Inc., Wisconsin Electric Power Company, WPPI Energy filed Motions to Intervene.

On July 19, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Intervene and Protest.

MISO stated:

- 1) SPP's proposed restrictions on the creation of new market-to-market flowgates should be rejected; and
- 2) the effective date of the Interregional Coordination Process should not be tied to dispute resolution.

On July 19, 2013, MidAmerican Energy Company filed a Motion to Intervene and Protest.

MidAmerican limited its protest to one aspect of SPP's proposal, the proposed language in Sections 3.1.13 and 8.1.4 of the Interregional Coordination Process. MidAmerican requested that the language in these sections be rejected.

Regulatory Status Report

On July 19, 2013, PJM Interconnection, L.L.C. filed a Motion to Intervene and Comments in support of SPP's proposal to include the proposed Attachment 2, provisions that preclude the designation of new market-to-market Flowgates outside of mutually agreed upon scheduling timeframes.

On July 19, 2013, Exelon Corporation filed Comments in support of SPP's filing, particularly with respect to its proposal that it and the Midcontinent Independent System Operator, Inc. may only establish new flowgates if mutually agreed upon.

On July 19, 2013, Potomac Economics, the Midcontinent Independent System Operator, Inc.'s Independent Market Monitor, filed a Motion to Intervene and Protest.

Potomac stated that the proposed Joint Operating Agreement between MISO and PJM Interconnection, L.L.C. has two significant flaws that should not be replicated in the SPP-MISO JOA. These flaws relate to the interface pricing requirements and the market-to-market resettlement provision proposed in Section 8.1.2.

On July 19, 2013, Northern Indiana Public Service Company filed a Motion to Intervene and Protest.

NIPSCO requested that the Commission direct SPP to revise the Interregional Coordination Process to strike Sections 3.1.13 and 8.1.4.

On July 19, 2013, the Southwest Power Pool Transmission Owners filed a Protest.

The SPP TOs stated:

- 1) unless the Commission requires SPP to address compensation for the use of the SPP Transmission System in this docket, SPP TOs will be left with no effective avenue to address their concerns;
- 2) the Commission should require SPP to clarify and, if necessary, renegotiate, portions of the Interregional Coordination Process where the plain language could be interpreted in a manner in which no compensation is required;
- 3) the Commission should institute settlement judge proceedings and a hearing in order to resolve the uncertainties described in this Protest and ensure that the market-to-market procedures are just and reasonable; and
- 4) accompanying amendments to the JOA itself related to limitations on claims should be clarified.

On July 25, 2013, City Utilities of Springfield, Missouri filed a Motion to Intervene Out-of-Time.

On August 5, 2013, SPP filed an answer in response to comments and protests submitted in this proceeding.

SPP stated:

- 1) SPP's proposed provisions limiting the designation of temporary flowgates as new M2M flowgates are just and reasonable and should be approved;
- 2) SPP's requested effective date for implementation of the proposed M2M process is consistent with Commission directives; and
- 3) nothing in the M2M filing was intended or should be construed as a limitation on SPP or its Transmission Owners' rights to pursue compensation and use issues.

On August 5, 2013, the SPP Transmission Owners filed an answer in response to comments and protests submitted in this proceeding.

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The SPP TOs stated:

- 1) the MISO Independent Market Monitor's comments bolster the SPP TOs' concern that firm flow entitlements improperly create rights to use the SPP transmission system without accompanying compensation;
- 2) the MISO protest indicates that MISO does not intend to resolve these issues in a timely manner;
- 3) Exelon's comments demonstrate the frequency that M2M flowgates may be created and therefore potential complications associated with future market resettlement; and
- 4) the Commission should disregard the MISO Independent Market Monitor's one-sided attack on Joint Operating Agreement Sections 3.1 and 8.1.4.

On August 6, 2013, Entergy Services, Inc. filed a Motion for Leave to Intervene Out of Time and Answer in response to the Protest filed by the SPP Transmission Owners.

Entergy stated:

- 1) the Commission has not "shunted" loop flow issues around or played a "game" with the SPP TOs; and
- 2) the SPP TOs' assertions are misleading or incorrect.

On August 8, 2013, Arkansas Electric Cooperative Corporation filed a Motion to Intervene Out-of-Time.

On August 9, 2013, the Midwest ISO Transmission Owners filed a Motion for Leave to Intervene Out of Time.

On August 19, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in response to SPP's and the SPP Transmission Owners' answers filed on August 5, 2013.

MISO stated:

- 1) SPP and its supporters have not demonstrated the need for proposed Interregional Coordination Process ("ICP") Sections 3.1.13 and 8.1.4;
- 2) market-to-market coordination under the ICP resolves the seam issues between MISO and SPP; and
- 3) the SPP Transmission Owners' collateral attacks on the ICP are misplaced; there is no basis for evidentiary hearing and/or settlement judge proceedings.

On August 20, 2013, NRG Companies filed a Motion to Intervene Out-of-Time.

On August 21, 2013, SPP Transmission Owners filed an answer in response to the answers filed by Entergy Services, Inc. and the Midcontinent Independent System Operator, Inc. The SPP TOs stated that the Entergy and MISO answers contain several misleading or inaccurate statements regarding material facts and the record.

On August 21, 2013, SPP filed an answer in response to the answers filed by Entergy Services, Inc. and the Midcontinent Independent System Operator, Inc.

SPP stated:

- 1) contrary to ESI's and MISO's claims, the loop flow compensation issue has not yet been resolved; and
- 2) ESI's characterizations of the parties' JOA negotiations are not credible.

On September 6, 2013, Entergy Services, Inc. filed an answer in response to the answer filed

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by the SPP Transmission Owners on August 21, 2013.

Entergy stated the SPP TO's contention that the Commission's "traditional" policy on loop flow compensation does not apply to the Operating Companies' integration into the Midcontinent Independent System Operator, Inc. because that integration will allegedly produce "intentional" flows on the SPP transmission system.

ER13-1869

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners

On July 1, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer, as well as a NOA with Sunflower, Midwest Energy, Inc., ITC Great Plains, LLC, and Mid-Kansas Electric Company, LLC as Host Transmission Owners. SPP Service Agreement No. Fourth Revised 2028.

An effective date of June 1, 2013 was requested.

On July 22, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 20, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

ER13-1872

Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On July 1, 2013, SPP submitted an unexecuted NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a partially executed NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2562.

SPP submitted the agreements on an unexecuted basis, due to time constraints, because KMEA and Westar have been unable to finalize Appendix 4.

An effective date of June 1, 2013 was requested.

On July 24, 2013, the City of Eudora, Kansas filed a Motion to Intervene Out-of-Time and Protest.

Eudora protested the wholesale distribution service charge (WDSC) contained in the proposed service agreement. Eudora requested that the Commission reject the filing and require Westar and SPP to submit complete cost information to support the proposed WDSC.

On August 30, 2013, FERC issued an Order Accepting and Suspending Service Agreement and Establishing Hearing and Settlement Judge Procedures.

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The Commission accepted the agreement for filing, suspended it for a nominal period, made it effective June 1, 2013, as requested, subject to refund, and established hearing and settlement judge procedures.

On September 3, 2013, Westar Energy, Inc. filed a Motion to Intervene Out-of-Time.

On September 5, 2013, the City of Eudora filed an Unopposed Motion to Hold Settlement Proceedings and Appointment of a Settlement Judge in Abeyance. The Parties are finalizing a settlement agreement that the Parties anticipate filing with the Commission before September 30, 2013.

On September 6, 2013, FERC issued an Order of Chief Judge Holding Settlement Proceedings and Appointment of Settlement Judge in Abeyance. The appointment of a settlement judge and initiation of settlement judge procedures are held in abeyance until September 30, 2013, pending the filing of the settlement documents with the Commission. If a settlement is not filed on or before September 30, 2013, Eudora was directed to file a report on the status of the settlement negotiations.

On September 19, 2013, FERC issued an Order of Chief Judge Granting Motion to Intervene Out-of-Time of Westar Energy, Inc.

On September 30, 2013, the City of Eudora, Kansas filed an Unopposed Motion for Interim Rate Relief and Request for Expedited Action.

On September 30, 2013, the City of Eudora, Kansas and Westar Energy, Inc. filed an Offer of Settlement and Settlement Agreement that will resolve all issues before the Commission in this proceeding.

ER13-1914

SPP Submission of Tariff Revisions to Clarify the Determination of Credits and Distribution of Credit Revenue for Creditable Upgrades

On July 9, 2013, SPP submitted tariff revisions to clarify the determination of credits and distribution of credit revenue for Creditable Upgrades under the Tariff, and include provisions that are designed to simplify and streamline the crediting process.

An effective date of September 8, 2013 was requested.

On July 19, 2013, Westar Energy, Inc. and Western Farmers Electric Cooperative filed Motions to Intervene.

On July 25, 2013, Manitoba Hydro filed a Motion to Intervene and Comments in support of SPP's filing.

Manitoba stated:

- 1) the proposed revisions simplify the SPP Tariff crediting process and conform to the Commission's Pricing Policy Statement; and
- 2) the proposed revisions were developed through a comprehensive stakeholder process.

On July 23, 2013, Oklahoma Gas and Electric Company filed a Motion to Intervene.

On July 30, 2013, the TDU Intervenors filed a Motion to Intervene and Comments.

The TDU Intervenors stated:

- 1) SPP's proposed addition to Attachment Z1 is unclear;

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- 2) SPP has not justified the differences in distribution methodology for credits for different types of upgrades; and
- 3) SPP must be required to justify and/or modify several aspects of Section III of Attachment Z2.

On July 30, 2013, the American Wind Energy Association and the Wind Coalition filed a Motion to Intervene and Protest.

The parties stated:

- 1) SPP has not provided sufficient detail in its filing to determine whether it is just and reasonable; and
- 2) SPP should provide additional detail regarding its proposal in a supplemental filing.

On July 30, 2013, the East Texas Cooperatives, Golden Spread Electric Cooperative, Inc., and Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed Motions to Intervene.

On August 14, 2013, SPP filed an answer in response to comments and protests submitted in this proceeding.

SPP stated:

- 1) the Protesters' challenges to existing Tariff procedures should be rejected outright; and
- 2) the Protesters do not properly understand the mechanics of SPP's proposed Tariff modifications.

On August 27, 2013, Westar Energy, Inc. filed Comments in support of SPP's August 14, 2013 Answer.

On September 6, 2013, FERC issued a Deficiency Letter requiring additional information in order to process the filing. SPP's response is due on October 7, 2013.

ER13-1928

Duke Energy Carolinas, LLC's and Duke Energy Progress, Inc.'s Order 1000 Interregional Filing

On July 10, 2013, Duke Energy Carolinas, LLC and Duke Energy Progress, Inc. submitted their Order 1000 interregional compliance filing.

Numerous parties filed Motions to Intervene.

On September 9, 2013, SPP filed a Motion for Leave to Intervene.

On September 9, 2013, the Midwest TDUs filed a Motion to Intervene.

On September 9, 2013, Natural Resources Defense Council, Inc., Sierra Club, Southern Environmental Law Center and Sustainable FERC Project filed a Motion to Intervene and Protest in SPP's, MISO's, SERTP's and PJM's Order No. 1000 Interregional Compliance dockets.

The parties stated that the proposals (1) do not include a formal procedure for joint identification and evaluation; (2) lack procedures for harmonizing differences in data between regions; (3) do not provide details for data exchange procedures and may arbitrarily limit exchange; and (4) lack sufficient transparency.

In addition, the parties stated that the cost allocation provisions: (a) fail to include an actual

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interregional cost allocation method and (b) fail to satisfy Order No. 1000's first cost allocation principle that costs must be allocated in a manner roughly commensurate with benefits.

On September 24, 2013, the American Wind Energy Association, Wind on the Wires and The Wind Coalition filed a Motion for Leave to File Comments Out of Time and Comments concerning the SERTP-RTO Order No. 1000 interregional compliance filings.

The parties stated that the proposed coordination provisions and interregional cost allocation approaches do not meet the Commission's requirements.

ER13-1930

Louisville Gas and Electric Company's and Kentucky Utilities Company's Order 1000 Interregional Filing

On July 10, 2013, Louisville Gas and Electric Company and Kentucky Utilities Company filed their Order No. 1000 interregional compliance filing.

On September 9, 2013, Natural Resources Defense Council, Inc., Sierra Club, Southern Environmental Law Center and Sustainable FERC Project filed a Motion to Intervene and Protest in SPP's, MISO's, SERTP's and PJM's Order No. 1000 Interregional Compliance dockets.

The parties stated that the proposals (1) do not include a formal procedure for joint identification and evaluation; (2) lack procedures for harmonizing differences in data between regions; (3) do not provide details for data exchange procedures and may arbitrarily limit exchange; and (4) lack sufficient transparency.

In addition, the parties stated that the cost allocation provisions: (a) fail to include an actual interregional cost allocation method and (b) fail to satisfy Order No. 1000's first cost allocation principle that costs must be allocated in a manner roughly commensurate with benefits.

On September 9, 2013, the Indiana Office of Utility Consumer Counselor filed a Motion to Intervene.

On September 9, 2013, SPP filed a Motion for Leave to Intervene.

On September 9, 2013, the Midwest TDUs filed a Motion to Intervene.

Numerous parties filed Motions to Intervene.

On September 24, 2013, the American Wind Energy Association, Wind on the Wires and The Wind Coalition filed a Motion for Leave to File Comments Out of Time and Comments concerning the SERTP-RTO Order No. 1000 interregional compliance filings.

The parties stated that the proposed coordination provisions and interregional cost allocation approaches do not meet the Commission's requirements.

ER13-1937

Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Comply with Interregional Requirements of Order No. 1000 (SPP Rate Schedule FERC No. 9)

On July 10, 2013, SPP submitted revisions to the Joint Operating Agreement between SPP and the Midcontinent Independent System Operator, Inc. to address the interregional coordination and cost allocation requirements of Order No. 1000.

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SPP requested an effective date to coincide with the effective date of its Order No. 1000 regional Tariff provisions. In its regional compliance filing, SPP requested an effective date of March 30 following the Commission's acceptance of such filing.

On July 29, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Intervene.

On August 7, 2013, FERC issued a Notice Granting an Extension of Time to Submit Comments on Interregional Compliance Filings. An extension of time was granted to and including September 9, 2013 to intervene and submit comments for the Order No. 1000 interregional compliance filings referenced in this notice.

On August 22, 2013, Florida Public Service Commission filed a Notice of Intervention.

On August 26, 2013, International Transmission Company filed a Motion to Intervene and Comment in response to SPP's and the Midcontinent Independent System Operator, Inc.'s Joint Operating Agreement Order No. 1000 Interregional compliance filings.

ITC stated that only SPP's proposal fully complies with the cost allocation principles of Order No. 1000, and as such, the Commission should adopt that methodology for the MISO-SPP interregional projects.

On August 27, 2013, Florida Public Service Commission filed a Notice of Correction stating that certain proceedings were inadvertently included in its Notice of Intervention filed on August 22, 2013. FPSC withdrew its intervention from SPP's Docket Nos. ER13-1937 and ER13-1939.

On September 9, 2013, the Organization of MISO States filed a Notice of Intervention and Comments.

OMS stated that regarding MISO's regional cost allocation change for reliability projects and the impacts on interregional transmission planning and cost allocation, there are two options:

- 1) Option 1: MISO's proposed changes to the JOA and Cost Allocation Methodology regarding reliability projects should be accepted; and
- 2) Option 2: MISO and SPP should have an Interregional Project Type specifically focused on reliability issues.

OMS stated that other issues are:

- 1) use of the term "transmission issue" throughout the JOA needs to be addressed;
- 2) lack of clarity in either MISO's and SPP's filing about whether or not cost overruns on projects would be shared equally; and
- 3) OMS recommends that MISO clarify how the proposed interregional cost allocation with SPP will be applicable during the Entergy Integration in MISO.

On September 9, 2013, the Midcontinent Independent System Operator, Inc. filed a Protest.

MISO stated:

- 1) Market Efficiency Projects eligibility criteria under MISO's Tariff properly frame MISO's consideration of potential interregional projects with SPP; and
- 2) MISO's regional compliance filing addresses the process for identifying potential impacts on other transmission planning regions.

On September 9, 2013, the Midwest TDUs filed a Motion to Intervene.

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On September 9, 2013, the Indiana Utility Regulatory Commission filed a Notice of Intervention and Protest.

The IURC stated it protests the lack of interregional reliability projects and interregional cost allocation for such projects along the MISO and SPP seam. The IURC also protested the ineligibility of MISO baseline reliability projects to be interregional transmission projects. The IURC stated it supports the broader interregional project criteria proposed by SPP.

On September 9, 2013, Xcel Energy Services Inc. filed a Motion to Intervene and Limited Protest.

Xcel stated that it generally supports the proposals for compliance with the requirements of Order No. 1000 relating to interregional activities between SPP and MISO, two items raised in the SPP filings are of particular concern: SPP's proposed allocation of the SPP portion of interregional projects; and SPP's attempts to force MISO to change its regional planning process to consider alternative benefits for development of interregional transmission projects. Xcel requested that the Commission require SPP to modify these aspects of the proposed revisions to the Joint Operating Agreement and SPP Tariff through a compliance filing.

On September 9, 2013, the Indiana Office of Utility Consumer Counselor filed a Motion to Intervene.

On September 9, 2013, Arkansas Electric Cooperative Corporation filed a Motion to Intervene and Protest.

AECC stated:

- 1) MISO's proposal to limit facilities designated as approved interregional projects to market efficiency projects fails to comply with Order No. 1000; and
- 2) neither MISO nor SPP proposes a solution to the problems posed by rate pancaking between the RTOs.

On September 9, 2013, the SPP Transmission Owners filed Comments.

The SPP TOs stated:

- 1) MISO's proposal would unduly restrict interregional planning;
- 2) MISO's proposal unduly limits assessment of benefits of interregional projects; and
- 3) SPP's proposed provision 9.3.3.4.1 ensures compliance with Interregional Cost Allocation Principle 4.

On September 9, 2013, the East Texas Cooperatives filed a Motion to Intervene, Supporting Comments and Protest.

The Cooperatives stated:

- 1) they support SPP's compliance filing; and
- 2) unlike SPP's proposal, MISO unnecessarily restricts the scope of interregional projects contrary to the requirements of Order No. 1000.

On September 9, 2013, Duke-American Transmission Company, LLC, American Transmission Company LLC and Duke Energy Transmission Holding Company, LLC filed a Protest and Comments.

The parties stated:

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- 1) the Commission should require MISO and SPP to establish a required minimum frequency for conducting coordinated system plan studies; and
- 2) the proposed JOA revisions should require the RTOs to study all proposed interregional transmission projects.

On September 9, 2013, the MISO Transmission Owners filed a Motion to Intervene and Protest.

The MISO TOs stated:

- 1) SPP's proposal would create conflicts with MISO's Tariff;
- 2) MISO's proposal provides valuable clarity and consistency; and
- 3) a tie-line based approach would be preferable to SPP's proposal.

On September 9, 2013, Climate & Energy Project and Sustainable FERC Project filed a Motion to Intervene and Protest.

The parties stated that the coordination provisions:

- 1) lack a procedure to harmonize models, assumptions and data for purposes of interregional coordination;
- 2) lack sufficient transparency requirements, including a requirement for MISO and SPP to post all relevant data on their web sites and a commitment to provide sufficient status updates;
- 3) limit the opportunities for conducting an interregional study; and
- 4) impose a high burden on third parties who identify potential transmission issues for study.

On September 9, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments.

WFEC stated that the Commission should find that (1) SPP's proposal satisfies the interregional requirements of Order No. 1000, and (2) MISO's proposal is incomplete and therefore non-compliant with Order No. 1000 due to MISO's (a) apparent oversight of the reliability and public policy benefits of potential interregional projects, (b) failure to adopt metrics that would allow for the quantification of such benefits (Interregional Cost Allocation Principle 1), and (c) failure to address the impacts of interregional projects on neighboring regions (Interregional Cost Allocation Principle 4).

Numerous parties filed doc-less Motions to Intervene.

On September 24, 2013, the American Wind Energy Association, Wind on the Wires and The Wind Coalition filed a Motion for Leave to File Comments Out of Time and Comments in SPP's and MISO's Order No. 1000 interregional compliance dockets.

The parties stated:

- 1) the compliance filing proposed coordination provisions largely address the Commission's requirements;
- 2) MISO's proposed interregional cost allocation does not meet the requirements of Order No. 1000;
- 3) SPP's proposed interregional cost allocation generally meets Order No. 1000's cost allocation requirements; and
- 4) the lack of agreement between MISO and SPP regions on proposed cost allocation requires additional strong direction from the Commission.

On September 24, 2013, SPP filed an answer in response to Protests filed by the Midcontinent Independent System Operator, Inc. and the MISO Transmission Owners on

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September 9, 2013.

SPP stated:

- 1) the Protesters mischaracterize the merits of SPP's proposal to allocate the costs of interregional transmission projects commensurate with the benefits; and
- 2) SPP's proposal is superior to the tie-line methodology proposed by the MISO TOs.

ER13-1938

Midcontinent Independent System Operator, Inc. ("MISO") Submission of Joint Operating Agreement ("JOA") between SPP and MISO to Comply with Interregional Requirements of Order No. 1000

On July 10, 2013, the Midcontinent Independent System Operator, Inc. submitted revisions to the Joint Operating Agreement between SPP and MISO to address the interregional coordination and cost allocation requirements of Order No. 1000.

MISO requested an effective date to coincide with the effective date of SPP's Order No. 1000 regional Tariff provisions. In its regional compliance filing, SPP requested an effective date of March 30 following the Commission's acceptance of such filing.

On August 7, 2013, FERC issued a Notice Granting an Extension of Time to Submit Comments on Interregional Compliance Filings. An extension of time was granted to and including September 9, 2013 to intervene and submit comments for the Order No. 1000 interregional compliance filings referenced in this notice.

On August 26, 2013, International Transmission Company filed a Motion to Intervene and Comment in response to SPP's and the Midcontinent Independent System Operator, Inc.'s Joint Operating Agreement Order No. 1000 Interregional compliance filings.

ITC stated that only SPP's proposal fully complies with the cost allocation principles of Order No. 1000, and as such, the Commission should adopt that methodology for the MISO-SPP interregional projects.

On September 9, 2013, the Indiana Office of Utility Consumer Counselor filed a Motion to Intervene.

On September 9, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments.

WFEC stated that the Commission should find that (1) SPP's proposal satisfies the interregional requirements of Order No. 1000, and (2) MISO's proposal is incomplete and therefore non-compliant with Order No. 1000 due to MISO's (a) apparent oversight of the reliability and public policy benefits of potential interregional projects, (b) failure to adopt metrics that would allow for the quantification of such benefits (Interregional Cost Allocation Principle 1), and (c) failure to address the impacts of interregional projects on neighboring regions (Interregional Cost Allocation Principle 4).

On September 9, 2013, the MISO Transmission Owners filed a Motion to Intervene and Comments in support of MISO's July 10, 2013 Filing.

On September 9, 2013, the SPP Transmission Owners filed Comments.

The SPP TOs stated:

- 1) MISO's proposal would unduly restrict interregional planning;

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- 2) MISO's proposal unduly limits assessment of benefits of interregional projects; and
- 3) SPP's proposed provision 9.3.3.4.1 ensures compliance with Interregional Cost Allocation Principle 4.

On September 9, 2013, Climate & Energy Project and Sustainable FERC Project filed a Motion to Intervene and Protest.

The parties stated that the coordination provisions:

- 1) lack a procedure to harmonize models, assumptions and data for purposes of interregional coordination;
- 2) lack sufficient transparency requirements, including a requirement for MISO and SPP to post all relevant data on their web sites and a commitment to provide sufficient status updates;
- 3) limit the opportunities for conducting an interregional study; and
- 4) impose a high burden on third parties who identify potential transmission issues for study.

On September 9, 2013, Duke-American Transmission Company, LLC, American Transmission Company LLC and Duke Energy Transmission Holding Company, LLC filed a Protest and Comments.

The parties stated:

- 1) the Commission should require MISO and SPP to establish a required minimum frequency for conducting coordinated system plan studies; and
- 2) the proposed JOA revisions should require the RTOs to study all proposed interregional transmission projects.

On September 9, 2013, the East Texas Cooperatives filed a Motion to Intervene, Supporting Comments and Protest.

The Cooperatives stated:

- 1) they support SPP's compliance filing; and
- 2) unlike SPP's proposal, MISO unnecessarily restricts the scope of interregional projects contrary to the requirements of Order No. 1000.

On September 9, 2013, the Midwest TDUs filed a Motion to Intervene.

On September 9, 2013, Arkansas Electric Cooperative Corporation filed a Motion to Intervene and Protest.

AECC stated:

- 1) MISO's proposal to limit facilities designated as approved interregional projects to market efficiency projects fails to comply with Order No. 1000; and
- 2) neither MISO nor SPP proposes a solution to the problems posed by rate pancaking between the RTOs.

On September 9, 2013, the Indiana Utility Regulatory Commission filed a Notice of Intervention and Protest.

The IURC stated it protests the lack of interregional reliability projects and interregional cost allocation for such projects along the MISO and SPP seam. The IURC also protested the ineligibility of MISO baseline reliability projects to be interregional transmission projects. The IURC stated it supports the broader interregional project criteria proposed by SPP.

On September 9, 2013, SPP filed a Motion for Leave to Intervene and Comments.

Regulatory Status Report

On September 9, 2013, the Organization of MISO States filed a Notice of Intervention and Comments.

OMS stated that regarding MISO's regional cost allocation change for reliability projects and the impacts on interregional transmission planning and cost allocation, there are two options:

- 1) Option 1: MISO's proposed changes to the JOA and Cost Allocation Methodology regarding reliability projects should be accepted; and
- 2) Option 2: MISO and SPP should have an Interregional Project Type specifically focused on reliability issues.

OMS stated that other issues are:

- 1) use of the term "transmission issue" throughout the JOA needs to be addressed;
- 2) lack of clarity in either MISO's and SPP's filing about whether or not cost overruns on projects would be shared equally; and
- 3) OMS recommends that MISO clarify how the proposed interregional cost allocation with SPP will be applicable during the Entergy Integration in MISO.

Numerous parties filed doc-less Motions to Intervene.

On September 24, 2013, the American Wind Energy Association, Wind on the Wires and The Wind Coalition filed a Motion for Leave to File Comments Out of Time and Comments in SPP's and MISO's Order No. 1000 interregional compliance dockets.

The parties stated:

- 1) the compliance filing proposed coordination provisions largely address the Commission's requirements;
- 2) MISO's proposed interregional cost allocation does not meet the requirements of Order No. 1000;
- 3) SPP's proposed interregional cost allocation generally meets Order No. 1000's cost allocation requirements; and
- 4) the lack of agreement between MISO and SPP regions on proposed cost allocation requires additional strong direction from the Commission.

ER13-1939

SPP Submission of Tariff Revisions to Comply with Order No. 1000 Interregional Coordination and Cost Allocation Requirements

On July 10, 2013, SPP submitted revisions to its Tariff to comply with Order No. 1000's requirements for interregional coordination and cost allocation.

SPP requested an effective date for all Tariff revisions submitted herein which is coincident to the effective date the Commission ultimately approves for SPP's Order No. 1000 regional compliance. In its regional compliance filing, SPP requested an effective date of March 30 following the Commission's acceptance of such filing. For Addendum 4 to Attachment O, SPP requested an effective date of the later of March 30 the year after Commission acceptance of SPP's regional planning process, or January 1, 2015.

On August 7, 2013, FERC issued a Notice Granting an Extension of Time to Submit Comments on Interregional Compliance Filings. An extension of time was granted to and including September 9, 2013 to intervene and submit comments for the Order No. 1000 interregional compliance filings referenced in this notice.

On August 22, 2013, Florida Public Service Commission filed a Notice of Intervention.

Regulatory Status Report

On August 27, 2013, Florida Public Service Commission filed a Notice of Correction stating that certain proceedings were inadvertently included in its Notice of Intervention filed on August 22, 2013. FPSC withdrew its intervention from SPP's Docket Nos. ER13-1937 and ER13-1939.

On September 6, 2013, Tennessee Valley Authority filed a Motion for Leave to Intervene.

On September 6, 2013, the North Carolina Utilities Commission filed a Notice of Intervention.

On September 9, 2013, the Midwest TDUs filed a Motion to Intervene.

On September 9, 2013, Xcel Energy Services Inc. filed a Motion to Intervene and Limited Protest.

Xcel stated that it generally supports the proposals for compliance with the requirements of Order No. 1000 relating to interregional activities between SPP and MISO, two items raised in the SPP filings are of particular concern: SPP's proposed allocation of the SPP portion of interregional projects; and SPP's attempts to force MISO to change its regional planning process to consider alternative benefits for development of interregional transmission projects. Xcel requested that the Commission require SPP to modify these aspects of the proposed revisions to the Joint Operating Agreement and SPP Tariff through a compliance filing.

On September 9, 2013, Arkansas Electric Cooperative Corporation filed a Motion to Intervene and Protest.

AECC stated:

- 1) MISO's proposal to limit facilities designated as approved interregional projects to market efficiency projects fails to comply with Order No. 1000; and
- 2) neither MISO nor SPP proposes a solution to the problems posed by rate pancaking between the RTOs.

On September 9, 2013, the SERTP Sponsors filed a Protest, requesting that the Commission reject SPP's proposed Sections 1.3.2 and 2.1.B and, instead, accept the SERTP's proposed versions.

On September 9, 2013, the MISO Transmission Owners filed a Motion to Intervene and Protest.

The MISO TOs stated:

- 1) SPP's proposal would create conflicts with MISO's Tariff;
- 2) MISO's proposal provides valuable clarity and consistency; and
- 3) a tie-line based approach would be preferable to SPP's proposal.

On September 9, 2013, Climate & Energy Project and Sustainable FERC Project filed a Motion to Intervene and Protest.

The parties stated that the coordination provisions:

- 1) lack a procedure to harmonize models, assumptions and data for purposes of interregional coordination;
- 2) lack sufficient transparency requirements, including a requirement for MISO and SPP to post all relevant data on their web sites and a commitment to provide sufficient status updates;
- 3) limit the opportunities for conducting an interregional study; and
- 4) impose a high burden on third parties who identify potential transmission issues for study.

Regulatory Status Report

On September 9, 2013, Natural Resources Defense Council, Inc., Sierra Club, Southern Environmental Law Center and Sustainable FERC Project filed a Motion to Intervene and Protest in SPP's, MISO's, SERTP's and PJM's Order No. 1000 Interregional Compliance dockets.

The parties stated that the proposals (1) do not include a formal procedure for joint identification and evaluation; (2) lack procedures for harmonizing differences in data between regions; (3) do not provide details for data exchange procedures and may arbitrarily limit exchange; and (4) lack sufficient transparency.

In addition, the parties stated that the cost allocation provisions: (a) fail to include an actual interregional cost allocation method and (b) fail to satisfy Order No. 1000's first cost allocation principle that costs must be allocated in a manner roughly commensurate with benefits.

On September 9, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments.

WFEC stated that it generally supports the SPP Filing, including SPP's request for waiver of the Order No. 1000 interregional requirements as they relate to SPP's seam with SERTP and, in the event the Commission denies the waiver request, SPP's conditional proposals on stakeholder participation and joint evaluation of interregional projects. However, WFEC stated it disagrees with part of SPP's proposed allocation of the costs of interregional projects selected through the joint evaluation process on a region-wide basis. WFEC believes the region-wide cost allocation should be limited to only those "Highway" (300 kV and above) facilities for which regional cost allocation is justified.

On September 9, 2013, the Indiana Office of Utility Consumer Counselor filed a Motion to Intervene.

Numerous parties filed doc-less Motions to Intervene.

On September 24, 2013, the American Wind Energy Association, Wind on the Wires and The Wind Coalition filed a Motion for Leave to File Comments Out of Time and Comments concerning the SERTP-RTO Order No. 1000 interregional compliance filings.

The parties stated that the proposed coordination provisions and interregional cost allocation approaches do not meet the Commission's requirements.

On September 24, 2013, the American Wind Energy Association, Wind on the Wires and The Wind Coalition filed a Motion for Leave to File Comments Out of Time and Comments in SPP's and MISO's Order No. 1000 interregional compliance dockets.

The parties stated:

- 1) the compliance filing proposed coordination provisions largely address the Commission's requirements;
- 2) MISO's proposed interregional cost allocation does not meet the requirements of Order No. 1000;
- 3) SPP's proposed interregional cost allocation generally meets Order No. 1000's cost allocation requirements; and
- 4) the lack of agreement between MISO and SPP regions on proposed cost allocation requires additional strong direction from the Commission.

Regulatory Status Report

On September 24, 2013, SPP filed an answer in response to the Protest filed by the SERTP Sponsors filed on September 9, 2013.

SPP stated:

- 1) the SERTP Protest misinterprets the Waiver Request and reinforces the need for Commission clarification on the matter; and
- 2) the SERTP Protest misinterprets SPP's Testimony.

ER13-1940

Ohio Valley Electric Corporation's Order 1000 Interregional Filing

On July 10, 2013, Ohio Valley Electric Corporation submitted its Order No. 1000 interregional compliance filing.

On September 9, 2013, the Indiana Office of Utility Consumer Counselor filed a Motion to Intervene.

On September 9, 2013, Natural Resources Defense Council, Inc., Sierra Club, Southern Environmental Law Center and Sustainable FERC Project filed a Motion to Intervene and Protest in SPP's, MISO's, SERTP's and PJM's Order No. 1000 Interregional Compliance dockets.

The parties stated that the proposals (1) do not include a formal procedure for joint identification and evaluation; (2) lack procedures for harmonizing differences in data between regions; (3) do not provide details for data exchange procedures and may arbitrarily limit exchange; and (4) lack sufficient transparency.

In addition, the parties stated that the cost allocation provisions: (a) fail to include an actual interregional cost allocation method and (b) fail to satisfy Order No. 1000's first cost allocation principle that costs must be allocated in a manner roughly commensurate with benefits.

On September 9, 2013, SPP filed a Motion for Leave to Intervene.

Numerous parties filed Motions to Intervene.

On September 24, 2013, the American Wind Energy Association, Wind on the Wires and The Wind Coalition filed a Motion for Leave to File Comments Out of Time and Comments concerning the SERTP-RTO Order No. 1000 interregional compliance filings.

The parties stated that the proposed coordination provisions and interregional cost allocation approaches do not meet the Commission's requirements.

ER13-1941

Southwestern Company Services, Inc.'s Order 1000 Interregional Filing

On July 10, 2013, Southern Company Services, Inc., acting as agent for Alabama Power Company, Georgia Power Company, Gulf Power Company, and Mississippi Power Company, submitted its Order No. 1000 interregional compliance filing.

Numerous parties filed Motions to Intervene.

On September 9, 2013, the Midwest TDUs filed a Motion to Intervene.

On September 9, 2013, SPP filed a Motion for Leave to Intervene.

On September 9, 2013, Natural Resources Defense Council, Inc., Sierra Club, Southern

Regulatory Status Report

Environmental Law Center and Sustainable FERC Project filed a Motion to Intervene and Protest in SPP's, MISO's, SERTP's and PJM's Order No. 1000 Interregional Compliance dockets.

The parties stated that the proposals (1) do not include a formal procedure for joint identification and evaluation; (2) lack procedures for harmonizing differences in data between regions; (3) do not provide details for data exchange procedures and may arbitrarily limit exchange; and (4) lack sufficient transparency.

In addition, the parties stated that the cost allocation provisions: (a) fail to include an actual interregional cost allocation method and (b) fail to satisfy Order No. 1000's first cost allocation principle that costs must be allocated in a manner roughly commensurate with benefits.

On September 24, 2013, the American Wind Energy Association, Wind on the Wires and The Wind Coalition filed a Motion for Leave to File Comments Out of Time and Comments concerning the SERTP-RTO Order No. 1000 interregional compliance filings.

The parties stated that the proposed coordination provisions and interregional cost allocation approaches do not meet the Commission's requirements.

ER13-1990

Submission of Tariff Revisions to Clarify Attachment T References for Balanced Portfolio Reallocation

On July 17, 2013, SPP submitted revisions to its Tariff to modify Attachment T to clarify that rates for Point-to-Point Transmission Service specified in Attachment T shall be adjusted to recognize Zonal Annual Transmission Revenue Requirements which are reallocated pursuant to the Balanced Portfolio in accordance with Section IV.A of Attachment J.

An effective date of September 30, 2013 was requested.

On July 18, 2013, SPP submitted an errata filing to correct the requested effective date to September 30, 2013 in the July 17, 2013 Transmittal Letter.

On August 1, 2013, SPP submitted an errata filing to correct Westar Energy, Inc.'s Rate Sheet for Point-To-Point Transmission Service, which was contained in the July 17 and July 18 Filings in this proceeding.

On August 7, 2013, the East Texas Cooperatives filed a Motion to Intervene.

On September 23, 2013, FERC issued an order accepting the tariff revisions to modify Attachment T to clarify that rates for Point-to-Point Transmission Service specified in Attachment T shall be adjusted to recognize Zonal Annual Transmission Revenue Requirements which are reallocated pursuant to the Balanced Portfolio in accordance with Section IV.A of Attachment J.

On September 24, 2013, FERC issued an errata to the September 23, 2013 Letter Order in order to correct the effective date to September 30, 2013.

ER13-1996

Submission of Tariff Revisions to Attachment T to Update Stated Point-to-Point Transmission Rates for City Utilities of Springfield, Missouri and Notification of Implementation of Year-Two Reallocation of Revenue Requirements Pursuant to Attachments J and O for the Balanced Portfolio

On July 19, 2013, SPP submitted revisions to its Tariff to Attachment T to properly reflect the

Regulatory Status Report

stated Point-to-Point Transmission Service rates for City Utilities of Springfield, Missouri. Additionally, SPP notified the Commission of the implementation of the year-two reallocation of revenue requirements in accordance with the Balanced Portfolio process pursuant to Attachments J and O of the Tariff.

An effective date of October 1, 2013 was requested.

On July 26, 2013, Midwest Energy, Inc. filed a Motion to Intervene.

On August 2, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.

On August 7, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 8, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On August 8, 2013, Mid-Kansas Electric Company, LLC, Midwest Energy, Inc., Sunflower Electric Power Corporation, and Western Farmers Electric Cooperative filed comments in response to SPP's July 19, 2013 Filing.

The Parties stated whereas they are not protesting SPP's continued implementation of the Balanced Portfolio process, they do wish to be on record that they continue to have concerns, not yet addressed by SPP, with implementation of the Balanced Portfolio process, the resulting adverse impacts to transmission-owning utilities in the SPP region and the promised "unintended consequences" review.

The Parties also stated that they have attempted to recreate SPP's original cost/benefit modeling for implementing the Balanced Portfolio process. The Parties stated that while SPP's staff have cooperated with them in this endeavor, SPP staff have been unable to locate the inputs to its analysis, making replication of such analysis impossible.

On August 9, 2013, the City of Springfield, Missouri filed a Motion to Intervene.

On August 23, 2013, SPP filed an answer in response to comments submitted in this proceeding.

To clarify, SPP stated it has responded, and will respond, to any direction provided by SPP's Market and Operations Policy Committee or Board of Directors regarding any review of the Balanced Portfolio pursuant to Attachment J, Section IV.B of the Tariff.

SPP also reiterated its commitment to work with its stakeholders to provide substantive information and data relative to the Balanced Portfolio.

On September 30, 2013, FERC issued an order accepting the Tariff revisions to Attachment T to properly reflect the stated Point-to-Point Transmission Service rates for City Utilities of Springfield, Missouri, and to implement the year-two reallocation of revenue requirements in accordance with the Balanced Portfolio process pursuant to Attachments J and O of the Tariff.

An effective date of October 1, 2013 was granted.

FERC also noted that it expects SPP to uphold its commitment to respond to inquiries and issues pertaining to the implementation of the Balanced Portfolio process on an individual

Regulatory Status Report

member basis and, as appropriate, through the general stakeholder process.

ER13-2011

Generator Interconnection Agreement ("GIA") between Broken Bow Wind II, LLC ("Broken Bow") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On July 23, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Broken Bow Wind II, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Second Revised 2220.

An effective date of June 24, 2013 was requested.

On September 16, 2013, FERC issued a letter order accepting the agreement, effective June 24, 2013 as requested.

This order constitutes final agency action.

ER13-2025

Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On July 25, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Second Revised 2548.

An effective date of July 1, 2013 was requested.

On September 18, 2013, FERC issued a letter order accepting the agreement, effective July 1, 2013 as requested.

This order constitutes final agency action.

ER13-2028

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On July 25, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Twelfth Revised 1374.

An effective date of July 1, 2013 was requested.

On September 18, 2013, FERC issued a letter order accepting the agreement, effective July 1, 2013 as requested.

This order constitutes final agency action.

ER13-2031

Submission of Revisions to Bylaws and Membership Agreement to Implement Withdrawal Obligations and Revisions to Provide Greater Flexibility Regarding the Functions of Various SPP Committees Reporting to the Board of Directors

On July 25, 2013, SPP submitted revisions to its Bylaws and Membership Agreement to establish financial responsibility for members that withdraw from SPP for the costs of transmission facilities approved for construction prior to the withdrawal.

SPP submitted related revisions to its Tariff in Docket No. ER13-2033.

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SPP also proposed revisions to its Bylaws and Membership Agreement to provide SPP and its Board of Directors with greater flexibility regarding the functions of various SPP committees reporting to the Board of Directors, and made other minor corrections and clarifications.

An effective date of September 23, 2013 was requested.

On August 14, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Intervene.

On August 15, 2013, Xcel Energy Services Inc. filed a Motion to Intervene and Comments.

Xcel stated it believes the Commission could clarify the expectations to be applied in administering certain aspects of the proposed Membership Agreement and Bylaws.

Xcel stated:

- 1) SPP's proposed two-year withdrawal notice period may unduly impose out-of-cycle planning costs on withdrawing members; and
- 2) the accounting for withdrawal deposits should be clarified.

On August 15, 2013, Nebraska Public Power District and Western Farmers Electric Cooperative filed Motions to Intervene.

On August 15, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On August 20, 2013, Eric Skrmetta, of the LPSC, submitted a letter to the FERC Commissioners requesting that FERC refrain from making any decision on this tariff filing until the various State Commissions have had the opportunity to determine whether to formally Intervene or to file comments.

On August 30, 2013, SPP filed an answer in response to comments filed by Xcel Energy Services Inc.

SPP stated:

- 1) Xcel's concerns regarding the formation and filing of withdrawal agreements do not require any further Commission action;
- 2) SPP's proposed two-year notice requirement is just and reasonable; and
- 3) the proposed withdrawal fee and deposit are just and reasonable as proposed.

On September 19, 2013, FERC issued an Order on Proposed Revisions to Tariff, Bylaws, and Membership Agreement. The Commission conditionally accepted the proposed revisions for filing, effective September 23, 2013, subject to SPP filing additional revisions.

The Commission found that the proposed revisions are unclear regarding whether non-transmission owning members would be obligated for costs of transmission facilities approved before their withdrawal. SPP was directed to file revisions to clarify that the withdrawal obligation for the costs of transmission facilities is limited to transmission owning members.

SPP's compliance filing is due on October 21, 2013.

On September 26, 2013, Chairman Wellinghoff responded to Eric Skrmetta's August 20, 2013

letter.

ER13-2033

Submission of Tariff Revisions to Clarify Withdrawal Obligations

On July 25, 2013, SPP submitted revisions to its Tariff to clarify the potential responsibility of members that withdraw from SPP for certain penalty costs incurred by SPP prior to the member's withdrawal.

SPP submitted related revisions to its Bylaws and Membership Agreement in Docket No. ER13-2031.

An effective date of September 23, 2013 was requested.

On August 9, 2013, Exelon Corporation filed a Motion to Intervene.

On August 14, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Intervene.

On August 15, 2013, Nebraska Public Power District and Western Farmers Electric Cooperative filed Motions to Intervene.

On August 15, 2013, Xcel Energy Services Inc. filed a Motion to Intervene and Comments.

Xcel stated it believes the Commission could clarify the expectations to be applied in administrating certain aspects of the proposed Membership Agreement and Bylaws.

Xcel stated:

- 1) SPP's proposed two-year withdrawal notice period may unduly impose out-of-cycle planning costs on withdrawing members; and
- 2) the accounting for withdrawal deposits should be clarified.

On August 20, 2013, Eric Skrmetta, of the LPSC, submitted a letter to the FERC Commissioners requesting that FERC refrain from making any decision on this tariff filing until the various State Commissions have had the opportunity to determine whether to formally Intervene or to file comments.

On August 30, 2013, SPP filed an answer in response to comments filed by Xcel Energy Services Inc.

SPP stated:

- 1) Xcel's concerns regarding the formation and filing of withdrawal agreements do not require any further Commission action;
- 2) SPP's proposed two-year notice requirement is just and reasonable; and
- 3) the proposed withdrawal fee and deposit are just and reasonable as proposed.

On September 19, 2013, FERC issued an Order on Proposed Revisions to Tariff, Bylaws, and Membership Agreement. The Commission conditionally accepted the proposed revisions for filing, effective September 23, 2013, subject to SPP filing additional revisions.

The Commission found that the proposed revisions are unclear regarding whether non-transmission owning members would be obligated for costs of transmission facilities approved before their withdrawal. SPP was directed to file revisions to clarify that the withdrawal obligation for the costs of transmission facilities is limited to transmission owning members.

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SPP's compliance filing is due on October 21, 2013.

ER13-2039

SPP Submission of Tariff Revisions to Update the Transmission Line Loss Factor for Westar Energy, Inc.

On July 26, 2013, SPP submitted revisions to its Tariff to incorporate an updated transmission line loss factor for Westar Energy, Inc., which is a transmission owner and pricing zone under the SPP Tariff. The purpose of this filing is to incorporate into Attachment M of the SPP Tariff the loss factor for Westar accepted by the Commission on June 27, 2013 in Docket No. ER12-909-000, et al.

An effective date of September 1, 2012 was requested.

On September 16, 2013, FERC issued an order accepting the Tariff revisions to incorporate an updated transmission line loss factor for Westar Energy, Inc., which is a transmission owner and pricing zone under the SPP Tariff.

An effective date of September 1, 2012 was granted.

ER13-2041

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Nemaha-Marshall Electric Cooperative ("Nemaha-Marshall") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On July 26, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Nemaha-Marshall Electric Cooperative as Network Customer, as well as a NOA with Westar Energy, Inc as Host Transmission Owner. SPP Service Agreement No. Third Revised 1977.

An effective date of June 27, 2013 was requested.

On September 5, 2013, FERC issued a letter order accepting the agreement, effective June 27, 2013 as requested.

This order constitutes final agency action.

ER13-2042

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On July 26, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1886.

An effective date of June 27, 2013 was requested.

On August 1, 2013, SPP filed an amended NITSA and NOA to correct an inadvertent error contained in the July 26, 2013 Filing.

An effective date of June 27, 2013 was requested.

On September 25, 2013, FERC issued a letter order accepting the agreement, effective June

Regulatory Status Report

27, 2013 as requested.

This order constitutes final agency action.

ER13-2048

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kaw Valley Electric Cooperative ("Kaw Valley") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On July 29, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kaw Valley Electric Cooperative, as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 1976.

An effective date of June 27, 2013 was requested.

On September 23, 2013, FERC issued a letter order accepting the agreement, effective June 27, 2013 as requested.

This order constitutes final agency action.

ER13-2056

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On July 30, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Ninth Revised 2198.

An effective date of July 1, 2013 was requested.

On August 9, 2013, Kansas Power Pool filed a Motion to Intervene.

On September 20, 2013, FERC issued a letter order accepting the agreement, effective July 1, 2013 as requested.

This order constitutes final agency action.

ER13-2061

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Associated Electric Cooperative, Inc. ("AECI") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner

On July 30, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Associated Electric Cooperative, Inc. as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Ninth Revised 1154.

An effective date of July 1, 2013 was requested.

On September 24, 2013, FERC issued a letter order accepting the agreement, effective July 1, 2013 as requested.

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This order constitutes final agency action.

ER13-2067

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On July 31, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Network Customer, as well as a NOA with American Electric Power Service Corporation, Oklahoma Gas and Electric Company, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Nineteenth Revised 1166.

An effective date of July 1, 2013 was requested.

On August 2, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene.

On September 24, 2013, FERC issued a letter order accepting the agreement, effective July 1, 2013 as requested.

This order constitutes final agency action.

ER13-2068

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On July 31, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2571.

An effective date of July 1, 2013 was requested.

On September 23, 2013, FERC issued a letter order accepting the agreement, effective July 1, 2013 as requested.

This order constitutes final agency action.

ER13-2070

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner

On July 31, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Arkansas Electric Cooperative Corporation as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Third Revised 2158.

An effective date of July 1, 2013 was requested.

On September 24, 2013, FERC issued a letter order accepting the agreement, effective July 1, 2013 as requested.

Regulatory Status Report

This order constitutes final agency action.

ER13-2078

Submission of Tariff Revisions to Address the Treatment of Grandfathered Agreements ("GFAs") "Carved-Out" from the SPP Integrated Marketplace

On July 31, 2013, in Docket No. ER13-2078, SPP submitted tariff revisions to address the treatment of grandfathered agreements that are "carved-out" from the SPP Integrated Marketplace. This filing was submitted concurrently with an Offer of Settlement in Docket No. ER12-1179 that, if accepted by the Commission, would resolve all remaining issues concerning the identification of those GFAs that will be subject to the rates, charges, benefits, terms, and conditions of the Integrated Marketplace, and those GFAs that instead are eligible for "carve-out" from the Integrated Marketplace.

An effective date of March 1, 2014 was requested.

On August 12, 2013, Midwest Energy, Inc. filed a Motion to Intervene.

On August 16, 2013, American Electric Power Service Corporation filed a Motion to Intervene.

On August 16, 2013, American Electric Power Service Corporation filed a Motion to Intervene.

On August 20, 2013, Xcel Energy Services Inc. filed a Motion to Intervene.

On August 21, 2013, the East Texas Cooperatives and Westar Energy, Inc. filed Motions to Intervene.

On August 21, 2013, Midwest Energy, Inc., Westar Energy, Inc., and American Electric Power Service Corporation filed Comments in support of SPP's July 31, 2013 Filing.

On August 21, 2013, Basin Electric Power Cooperative and Tri-State Generation and Transmission Association, Inc. filed a Motion to Intervene and Protest.

The parties stated:

- 1) SPP's proposed procedures for carve-out election improperly modify the terms of non-jurisdictional GFAs; and
- 2) SPP's proposal to recover congestion costs from carved-out GFAs through localized uplift charge would unilaterally modify the GFAs.

On August 21, 2013, Nebraska Public Power District filed a Motion to Intervene and Protest.

NPPD stated:

- 1) the Commission should reject SPP's proposal to allocate congestion and marginal loss costs associated with carved-out GFAs to the zone where the GFA is located;
- 2) the Commission should require recovery of costs associated with carved-out GFAs from all loads in the SPP Region on a load ratio share basis; and
- 3) the Commission should reject SPP's proposal to recover GFA administrative costs from GFA "responsible entities".

On August 21, 2013, Lincoln Electric System filed a Motion to Intervene and Protest.

LES stated:

- 1) the SPP GFA proposal is not equitable and is generally designed to dissuade GFA holders from electric GFA carve-out treatment;

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- 2) the SPP GFA proposal appears to allocate congestion costs back to the load served under the carve-out GFAs in breach of the Settlement and the purpose of a carve-out;
- 3) it is unjust and unreasonable to charge the GFAs' uplift only to loads in the transmission zones associated with the GFAs. Uplift charges should be socialized throughout SPP because all loads in the SPP footprint system benefited from the expansion of the SPP RTO in 2009, which ultimately resulted in the carve-out GFAs at issue; and
- 4) Transmission Owners should not automatically be the GFA party designated to elect carve-out treatment or name the Responsible Entity.

On August 26, 2013, Omaha Public Power District filed a Motion to Intervene.

On September 5, 2013, SPP filed an answer in response to comments and protests filed in this proceeding.

SPP stated that the data it will require from GFA Responsible Entities under the Tariff is the data that SPP needs to ensure that the GFA Carve Out is accurately, and appropriately, calculated.

On September 6, 2013, Midwest Energy, Inc., Westar Energy, Inc., and American Electric Power Service Corporation filed a reply to the August 21, 2013 protests of Nebraska Public Power District, Lincoln Electric System, and Basin Electric Cooperative and Tri-State Generation and Transmission Association, Inc.

The parties stated:

- 1) the protesting parties have not shown that zonal allocation of uplift charges is unjust and unreasonable;
- 2) the protesting parties have not shown that the Tariff provisions assigning administrative costs to GFA Responsible Entities are unjust and unreasonable; and
- 3) the protesting parties' procedural objections are without merit.

On September 13, 2013, Nebraska Public Power District filed an answer in opposition to the motion for leave to file an answer of Midwest Energy, Inc., Westar Energy, Inc., and American Electric Power Service Corporation filed on September 6, 2013.

NPPD stated that the Parties' allegations that NPPD has failed to take steps to convert all of its existing transmission agreements into the Integrated Marketplace, and that NPPD has been on notice to do so since at least 2009, are unsupported and untrue.

On September 13, 2013, Basin Electric Power Cooperative and Tri-State Generation and Transmission Association, Inc. filed an answer in response to Midwest Energy, Inc., et al.'s September 6, 2013 Answer.

The parties requested that the Commission direct SPP to modify its tariff to provide that 1) the right to elect carve-out treatment falls to the GFA load rather than the Transmission Owner providing service under the GFA and 2) the Transmission Owner cannot assign financial responsibility for Integrated Marketplace costs to its GFA counterparty under a carved out GFA.

On September 18, 2013, Lincoln Electric System filed an answer in response to the reply filed by Midwest Energy, Inc., Westar Energy, Inc., and American Electric Power Service Corporation on September 6, 2013.

LES stated:

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- 1) the SPP GFA proposal and the non-Nebraskan Transmission Owners' argument would largely negate that very purpose of carving out eligible GFAs;
- 2) the alleged contribution of Nebraskan loads to the congestion and marginal losses represented in the uplift is disputed in this case; and
- 3) contrary to the non-Nebraskan Transmission Owners' claim, LES does not have the burden of proving that the SPP GFA proposal is unjust and unreasonable.

On September 30, 2013, FERC issued an Order Conditionally Accepting Tariff Revisions, subject to a compliance filing, effective March 1, 2014.

SPP was directed to include in its Compliance Filing:

- 1) revised Tariff provisions governing the designation of the GFA Responsible Entity;
- 2) revised scheduling and reporting requirements; and
- 3) additional justification and Tariff revisions related to the proposal to uplift the costs of the GFA carve-out.

The Commission rejected SPP's proposal to separately charge for administrative costs associated with carved-out GFAs.

SPP's compliance filing is due on October 30, 2013.

ER13-2091

SPP Submission of Tariff Revisions to Enhance Market Participation Eligibility Criteria, Clarify Data Sharing with Regulatory Agencies, and Clarify Bilateral Settlement Schedules Related to Certain Integrated Marketplace Rules in Anticipation of SPP's Application for Exemption of Certain Market Transactions from Provisions of the Commodity Exchange Act ("CEA") and Commodity Futures Trading Commission ("CFTC")

On August 1, 2013, SPP submitted revisions to Attachments X and AE of its Tariff regarding minimum participation criteria set forth in SPP's credit policy, the sharing of Confidential Information relating to SPP's Integrated Marketplace, and the use of Bilateral Settlement Schedules in the Integrated Marketplace in anticipation of SPP's application for exemption of certain market transactions from the provisions of the Commodity Exchange Act and Commodity Futures Trading Commission.

An effective date of March 1, 2014 was requested.

SPP requested that the Commission issue an order on this filing no later than October 1, 2013, so that the rules will be in place in time for certain pre-market implementation activities, including initial allocation of Auction Revenue Rights and Transmission Congestion Rights.

On August 22, 2013, the East Texas Cooperatives filed a Motion to Intervene.

On September 30, 2013, FERC issued an Order Conditionally Accepting Tariff Revisions, subject to a compliance filing, to be effective March 1, 2014 as requested.

The Commission directed SPP to modify its phrase in section 11.2(3) of Attachment AE to state "from a source other than the Commission or its staff or the CFTC or its staff".

SPP's compliance filing is due on October 30, 2013.

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ER13-2162

Midcontinent Independent System Operator, Inc. ("MISO") Filing of Operations Reliability Coordination Agreement ("ORCA") to Support the Reliable Integration of the MISO South Region into the MISO Balancing Authority Area

On August 15, 2013, the Midcontinent Independent System Operator, Inc. filed a proposed Operations Reliability Coordination Agreement. MISO entered into the agreement with several neighboring utilities and/or transmission service providers to support the reliable integration of the MISO South Region into the MISO Balancing Authority Area.

Several parties filed Motions to Intervene.

On September 5, 2013, Entergy Services, Inc. filed a Motion to Intervene and Supporting Comments.

On September 5, 2013, the SPP Transmission Owners filed Comments (or in the Alternative, Protest).

The SPP TOs stated whereas they generally do not oppose the filing, they request that the Commission confirm their understanding that the Agreement does not confer any use rights to MISO and that the agreement between SPP and MISO in Section 1(c) of the Agreement that the Agreement and the Agreement Filing cannot be used as evidence in any proceeding related to Joint Operating Agreement issues does not apply to the SPP TOs.

On September 20, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in response to Comments (or in the Alternative, Protest) of the Southwest Power Pool Transmission Owners.

MISO stated it opposed the SPP TOs' clarification requests and asked FERC that they be rejected.

ER13-2164

SPP Submission of Tariff Revisions to Modify the Aggregate Transmission Service Study Process (AG Study Backlog Clearing Process Tariff Revisions)

On August 15, 2013, SPP submitted tariff revisions to revise the Aggregate Transmission Service Study process to allow SPP to close out the backlog of transmission service studies in conjunction with the implementation of the Aggregate Transmission Service Study improvements.

An effective date of October 12, 2013 was requested.

On August 26, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On August 29, 2013, Calpine Corporation and Exelon Corporation filed Motions to Intervene.

On September 5, 2013, Dogwood Energy LLC and Westar Energy, Inc. filed Motions to Intervene.

On September 5, 2013, the East Texas Cooperatives filed a Motion to Intervene and Comments.

The Cooperatives stated that they generally support the proposal and SPP's ongoing efforts to improve the Aggregate Transmission Service Study Process. However, the Cooperatives also stated:

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- 1) the proposed third party impact provisions in SPP's backlog clearing proposal should be further improved;
- 2) other aspects of SPP's backlog clearing proposal should be further clarified.

On September 9, 2013, Xcel Energy Services Inc. filed a Motion to Intervene.

On September 20, 2013, SPP submitted an answer in response to comments filed by the East Texas Cooperatives in this proceeding.

SPP stated:

- 1) the revised Aggregate Transmission Service Study ("ATSS") process for transmission service requests with third-party impacts gives an eligible customer options for proceeding in the ATSS process; and
- 2) the Cooperatives characterization of the revised ATSS process for transmission service requests with third-party impacts is misplaced.

SPP also responded to various other comments included in the Cooperatives' September 5, 2013 Comments.

ER13-2170

Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Kansas City Board of Public Utilities ("KCBPU") as Transmission Customer

On August 16, 2013, SPP submitted an executed service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Kansas City Board of Public Utilities as Transmission Customer. SPP Service Agreement No. Second Revised 2041.

An effective date of August 1, 2013 was requested.

ER13-2171

Notice of Cancellation of the Meter Agent Services Agreement between Twin Eagle Resource Management, LLC ("Twin Eagle") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent

On August 16, 2013, SPP submitted a Notice of Cancellation of the Meter Agent Services Agreement between Twin Eagle Resource Management, LLC as the Market Participant and Sunflower Electric Power Corporation as the Meter Agent. SPP Service Agreement No. 2462.

An effective date of August 1, 2013 was requested.

ER13-2173

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Mulvane, Kansas ("Mulvane") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On August 16, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, City of Mulvane, Kansas as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 1997.

An effective date of August 1, 2013 was requested.

On September 3, 2013, Kansas Power Pool filed a Motion to Intervene.

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ER13-2174

SPP's Order 719 Compliance Filing

On August 16, 2013, SPP submitted its compliance filing pursuant to Order No. 719 and 719-A, and the Commission's July 18, 2013 Order issued in Docket No. ER12-550.

On August 19, 2013, SPP submitted a Motion to Withdraw the filing submitted in Docket No. ER13-2174. Due to an administrative error, the filing was made in a new docket instead of the existing Docket No. ER12-550.

ER13-2177

Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Grand River Dam Authority ("GRDA") as Network Customer and Host Transmission Owner

On August 16, 2013, SPP submitted a Notice of Cancellation of the NITSA between SPP as Transmission Provider and Grand River Dam Authority as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1641.

The agreement was replaced and superseded by a new service agreement, Fifth Revised 1641, which will be reported solely in SPP's electric quarterly report.

An effective date of June 1, 2013 was requested.

ER13-2181

Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Midwest Energy, Inc. ("Midwest Energy") as Network Customer, and Midwest Energy and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners

On August 16, 2013, SPP submitted a Notice of Cancellation of the NITSA between SPP as Transmission Provider, Midwest Energy, Inc. as Network Customer, as well as a NOA with Midwest Energy, Inc. and Sunflower Electric Power Corporation as Host Transmission Owners. SPP Service Agreement No. First Revised 2023.

The agreement was replaced and superseded by a new service agreement, Second Revised 2023, which will be reported solely in SPP's electric quarterly report.

An effective date of June 1, 2013 was requested.

ER13-2189

Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between EVA WIND, LLC ("EVA Wind") as Interconnection Customer and Tri-County Electric Cooperative, Inc. ("Tri-County") as Transmission Owner

On August 19, 2013, SPP submitted a Notice of Cancellation of the GIA between SPP as Transmission Provider, EVA WIND, LLC as Interconnection Customer, and Tri-County Electric Cooperative, Inc. as Transmission Owner. SPP Service Agreement No. 2509.

An effective date of August 2, 2013 was requested.

ER13-2190

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On August 19, 2013, SPP submitted an executed NITSA between SPP as Transmission

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Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Tenth Revised 2198.

An effective date of August 1, 2013 was requested.

On September 3, 2013, Kansas Power Pool filed a Motion to Intervene.

ER13-2197

Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner

On August 19, 2013, SPP submitted a Notice of Cancellation of the NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Sunflower Electric Power Corporation as Host Transmission Owner. SPP Service Agreement No. 1914.

An effective date of June 1, 2013 was requested.

ER13-2198

Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Midwest Energy, Inc. ("Midwest") as Host Transmission Owner

On August 20, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Midwest Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 1637.

The agreement was replaced and superseded by a new agreement, First Revised 1637, which will be reported solely in SPP's electric quarterly report.

An effective date of June 1, 2013 was requested.

On August 21, 2013, SPP submitted a Motion to Withdraw the Notice of Cancellation.

ER13-2211

Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Kansas City Power & Light Company ("KCPL") as Meter Agent

On August 21, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Kansas City Power & Light Company as the Meter Agent. SPP Service Agreement No. First Revised 2479.

An effective date of August 1, 2013 was requested.

ER13-2214

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Osage City, Kansas ("Osage City") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On August 21, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, the City of Osage City, Kansas as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 1839.

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An effective date of August 1, 2013 was requested.

ER13-2217

Meter Agent Services Agreement between Cimarron Wind Energy, LLC ("Cimarron") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent

On August 31, 2013, SPP submitted an executed Meter Agent Services Agreement between Cimarron Wind Energy, LLC as the Market Participant and Sunflower Electric Power Corporation as the Meter Agent. SPP Service Agreement No. 2541.

An effective date of August 1, 2013 was requested.

ER13-2218

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On August 21, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Eleventh Revised 1636.

An effective date of August 1, 2013 was requested.

ER13-2239

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 26, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1883.

An effective date of August 1, 2013 was requested.

ER13-2240

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 26, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1884.

An effective date of August 1, 2013 was requested.

ER13-2248

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 26, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1885.

An effective date of August 1, 2013 was requested.

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- ER13-2249** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On August 26, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1887.
- An effective date of August 1, 2013 was requested.
- ER13-2251** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On August 27, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1888.
- An effective date of August 1, 2013 was requested.
- ER13-2252** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On August 27, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1890.
- An effective date of August 1, 2013 was requested.
- ER13-2254** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On August 27, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1889.
- An effective date of August 1, 2013 was requested.
- ER13-2257** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On August 27, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1891.
- An effective date of August 1, 2013 was requested.
- ER13-2258** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

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On August 27, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1892.

An effective date of August 1, 2013 was requested.

ER13-2259

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 27, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1893.

An effective date of August 1, 2013 was requested.

ER13-2261

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 27, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1894.

An effective date of August 1, 2013 was requested.

ER13-2262

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 27, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1896.

An effective date of August 1, 2013 was requested.

ER13-2264

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 27, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1895.

An effective date of August 1, 2013 was requested.

ER13-2267

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 28, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 2045.

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An effective date of August 1, 2013 was requested.

ER13-2268

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 28, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1978.

An effective date of August 28, 2013 was requested.

ER13-2269

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 28, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 1897.

An effective date of August 1, 2013 was requested.

ER13-2270

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 28, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 2066.

An effective date of August 1, 2013 was requested.

ER13-2271

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 28, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 2390.

An effective date of August 1, 2013 was requested.

ER13-2272

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 28, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 2166.

An effective date of August 1, 2013 was requested.

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- ER13-2274** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On August 28, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. First Revised 2439.
- An effective date of August 1, 2013 was requested.
- ER13-2275** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as both Network Customer and Host Transmission Owner**
- On August 28, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 2491.
- An effective date of August 1, 2013 was requested.
- ER13-2276** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On August 28, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. First Revised 2415.
- An effective date of August 1, 2013 was requested.
- ER13-2281** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On August 29, 2013, SPP submitted 1) an executed NITSA between SPP as Transmission Provider and KCP&L Greater Missouri Operations Company as Network Customer; 2) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner; and 3) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Sixth Revised 1765.
- An effective date of August 1, 2013 was requested.
- ER13-2282** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

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On August 29, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. First Revised 2551.

An effective date of August 1, 2013 was requested.

ER13-2284

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On August 29, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 2065.

An effective date of August 1, 2013 was requested.

ER13-2293

EDP Renewables North America LLC ("EDP") Petition for Limited Waiver of Tariff Provision and Request for Expedited Action

On August 30, 2013, EDP Renewables North America LLC filed a Petition for Limited Waiver of Tariff Provision and Request for Expedited Action.

EDP requested a limited waiver of the 15-day payment requirement to obtain an extension for the commencement of firm point-to-point transmission service set forth in section 17.7 of SPP's Tariff.

On September 12, 2013, SPP filed a Motion to Intervene and Comments.

ER13-2326

Generator Interconnection Agreement ("GIA") between Buckeye Wind Energy LLC ("Buckeye Wind") as Interconnection Customer and Midwest Energy, Inc. ("Midwest") as Transmission Owner

On September 6, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Buckeye Wind Energy LLC as Interconnection Customer, and Midwest Energy, Inc. as Transmission Owner. SPP Service Agreement No. 2573.

An effective date of August 15, 2013 was requested.

ER13-2335

Submission of Revisions to Attachment AD of the Tariff to Amend Tariff Administration Agreement between SPP and Southwestern Power Administration ("Southwestern")

On September 6, 2013, SPP submitted a revision to Attachment AD of its Tariff to extend the Tariff Administration Agreement between SPP and Southwestern Power Administration.

An effective date of September 1, 2013 was requested.

On September 27, 2013, Southwestern Power Administration filed a Motion to Intervene.

ER13-2358

Cancellation of Tariff Sheets for Attachment L, Section VI and Corresponding Agreement for the Purpose of Distributing Revenues Associated with Upgrades to the LaCygne to Stilwell 345 kV Transmission Line

On September 9, 2013, SPP submitted revisions to its Tariff to delete Section VI of

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Attachment L and the corresponding Agreement of Southwest Power Pool Transmission Owners and Southwest Power Pool For The Upgrade of The LaCygne to Stilwell 345 kV Transmission Line, which is incorporated into Attachment L. These Tariff sections constitute an exception to the provisions of Attachment L for the purpose of distributing revenues associated with upgrades to the LaCygne to Stilwell 345 kV line. As the LaCygne to Stilwell 345 kV line has been fully funded, Section VI and the incorporated Agreement are obsolete.

An effective date of November 8, 2013 was requested.

ER13-2359

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Midwest Energy, Inc. ("Midwest") as Host Transmission Owner

On September 9, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Midwest Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. First Revised 1637.

An effective date of June 1, 2013 was requested.

ER13-2382

Joint Request of SPP and Tri-County Electric Cooperative, Inc. ("Tri-County") for Temporary, Limited Tariff Waiver to Permit SPP and Tri-County to Suspend Obligation to Implement the Annual Update to Tri-County's Annual Transmission Revenue Requirement ("ATRR")

On September 16, 2013, SPP and Tri-County Electric Cooperative, Inc. filed a Joint Request for Temporary, Limited Tariff Waiver to permit SPP and Tri-County to suspend temporarily their respective obligations to implement the Annual Update to Tri-County's Annual Transmission Revenue Requirement.

ER13-2405

Submission of Tariff Revisions to Implement a Virtual Transaction Fee

On September 19, 2013, SPP submitted tariff revisions to submit and justify its Virtual Energy Transaction Fee proposed in the Integrated Marketplace Filing consistent with the October 18, 2012 Order in Docket No. ER12-1179.

SPP requested that the Commission issue an order on this filing by November 18, 2013.

On September 23, 2013, SPP filed a Motion to Withdraw its September 19, 2013 Filing. Due to an administrative error, the Filing was submitted in the SPP eTariff system in a new docket, rather than in existing Docket No. ER12-1179.

ER13-2430

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On September 24, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Thirteenth Revised 1374.

An effective date of September 1, 2013 was requested.

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- ER13-2433** **Notice of Cancellation of the Meter Agent Services Agreement between Occidental Power Services, Inc. ("Occidental") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**
- On September 24, 2013, SPP submitted a Notice of Cancellation of the Meter Agent Services Agreement between Occidental Power Services, Inc. as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 1668.
- An effective date of September 1, 2013 was requested.
- ER13-2438** **Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**
- On September 24, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Third Revised 2548.
- An effective date of September 1, 2013 was requested.
- ER13-2439** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**
- On September 24, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Eleventh Revised 2198.
- An effective date of September 1, 2013 was requested.
- ER13-2440** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On September 25, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2606.
- An effective date of September 1, 2013 was requested.
- ES13-20** **Application of Southwest Power Pool, Inc. Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities**
- On July 11, 2013, SPP filed its Report of Securities Issues pursuant to Section 34.10 of the Commission's Regulations.
- RM01-5** **Electronic Tariff Filings**
- On July 17, 2013, FERC issued a Notice of Effective Date of Revised Company Registration Requirements.
- Beginning on August 5, 2013, the Commission will implement the new company registration

Regulatory Status Report

format for eTariff and Electric Quarterly Report filings. After August 5, filings requiring the use of a Company Identifier (CID) number cannot be made until the filer either has registered using the new format or transitioned its existing company registration to the new format. The Commission urges companies to register or complete the transition process prior to making a filing to avoid the potential for registration problems the day of the filing.

On August 2, 2013, FERC issued a Notice Regarding Effective Date of the Company Registration changes. The changes will now go into effect on August 12, 2013, instead of August 5, 2013.

RM05-5

Standards for Business Practices and Communication Protocols for Public Utilities

On July 18, 2013, FERC issued a Notice of Proposed Rulemaking proposing to incorporate by reference in its regulations Version 003 of the Standards for Business Practices and Communication Protocols for Public Utilities adopted by the Wholesale Electric Quadrant of the North American Energy Standards Board. These standards modify NAESB's WEQ Version 002 and Version 002.1 Standards.

Comments are due on September 24, 2013.

Numerous entities filed comments in response to the Notice of Proposed Rulemaking issued on July 18, 2013.

On September 24, 2013, the ISO/RTO Council filed comments in response to the Notice of Proposed Rulemaking issued on July 18, 2013.

The IRC stated the Commission:

- 1) should clarify that, as expressly provided for in the Version 003 NAESB Standards, capacity on the original path is released for resale when a Transmission Provider confirms a redirect request;
- 2) should clarify that Transmission Providers will have twenty four months after the adoption of the new NAESB Standards to develop and implement necessary OASIS functionality;
- 3) should confirm that NAESB's proposed smart grid-related standards will not impose enforceable compliance mandates on ISOs/RTOs;
- 4) should establish a twenty four month implementation period for NAESB's proposed public key infrastructure standards; and
- 5) should attach substantial weight to NAESB statements regarding the applicability of standards when considering future ISO/RTO exemption requests.

RM06-16

Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006

On August 28, 2013, NERC submitted its second quarter 2013 compliance filing in response to Paragraph 629 of Order No. 693.

RM10-11

Notice of Proposed Rulemaking Regarding Integration of Variable Energy Resources ("VERs") (Order No. 764)

On September 19, 2013, FERC issued Order No. 764-B, Order on Clarification and Rehearing. The Commission granted in part and denied in part the requests for clarification, and denied the requests for rehearing of Order No. 764-A.

Regulatory Status Report

RM10-23 **Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities**

On July 8, 2013, FERC issued a Notice Granting an Extension of Time to Submit Interregional Compliance Filings.

FERC granted SPP's and Midcontinent Independent System Operator, Inc.'s request for a 120-day extension of time to submit their interregional compliance filings with NorthWestern Corporation and the Mid-Continent Area Power Pool.

On July 31, 2013, WIRES filed a report entitled "The Benefits of Electric Transmission: Identifying and Analyzing the Value of Investments" prepared by The Brattle Group.

RM11-11 **Version 4 Critical Infrastructure Protection Reliability Standards (CIP-002-4 through CIP-009-4)**

On July 18, 2013, the American Public Power Association, et al. filed a Request for Delay of Compliance Deadline for complying with Version 4 of the Critical Infrastructure Protection Reliability Standards, currently scheduled to become effective on April 1, 2014, pending action in a rulemaking proceeding on a proposed Version 5 of the CIP Reliability Standards.

On July 24, 2013, FERC issued a Notice Extending Comment Period to August 5, 2013 to file comments in response to the July 18, 2013 Request for Delay of Compliance Deadline.

Several Parties filed comments in response to the July 18, 2013 Request for Delay of Compliance Deadline.

On August 5, 2013, the ISO/RTO Council filed Comments in Support of Emergency Request for Delay of Compliance Deadline.

On August 12, 2013, FERC issued an Order Granting Extension of Time to comply with the Version 4 CIP Reliability Standards. The compliance deadline was extended from April 1, 2014 to October 1, 2014.

RM11-24 **Third-Party Provision of Ancillary Services; Accounting and Financial Reporting for New Electric Storage Technologies**

On July 18, 2013, FERC issued Order No. 784, revising certain aspects of the Commission's current market-based rate regulations, ancillary services requirements under the pro forma open access transmission tariff, and accounting and reporting requirements.

Specifically, the Commission is revising Part 35 of its regulations to reflect reforms to its Avista policy governing the sale of ancillary services at market-based rates to public utility transmission providers. The Commission is also requiring each public utility transmission provider to add to its OATT Schedule 3 a statement that it will take into account the speed and accuracy of regulation resources in its determination of reserve requirements for Regulation and Frequency Response service, including as it reviews whether a self-supplying customer has made "alternative comparable arrangements" as required by the Schedule. The final rule also requires each public utility transmission provider to post certain Area Control Error data as described in the final rule. Finally, the Commission is revising the accounting and reporting requirements under its Uniform System of Accounts for public utilities and licensees and its forms, statements, and reports, contained in FERC Form No. 1, Annual Report of Major Electric Utilities, Licensees and Others, FERC Form No. 1-F, Annual Report for Nonmajor

Regulatory Status Report

Public Utilities and Licensees, and FERC Form No. 3-Q, Quarterly Financial Report of Electric Utilities, Licensees, and Natural Gas Companies, to better account for and report transactions associated with the use of energy storage devices in public utility operations.

This rule becomes effective on November 27, 2013.

On August 16, 2013, Southern California Edison Company filed a Motion for Clarification of Order No. 784.

On August 19, 2013, WSPP Inc. filed a Motion for Clarification of Order No. 784.

On August 19, 2013, the Edison Electric Institute filed a Request for Clarification of Order No. 784.

On August 22, 2013, Powerex Corp. filed a Motion for Clarification of Order No. 784.

On September 16, 2013, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 784.

RM12-3

Revisions to Electric Quarterly Report ("EQR") Filing Process (Order No. 770)

On July 12, 2013, FERC issued a Notice of Availability of Sandbox Electronic Test Site.

On July 17, 2013, FERC issued a Notice of Effective Date of Revised Company Registration Requirements.

Beginning on August 5, 2013, the Commission will implement the new company registration format for eTariff and Electric Quarterly Report filings. After August 5, filings requiring the use of a Company Identifier (CID) number cannot be made until the filer either has registered using the new format or transitioned its existing company registration to the new format. The Commission urges companies to register or complete the transition process prior to making a filing to avoid the potential for registration problems the day of the filing.

On August 2, 2013, FERC issued a Notice Regarding Effective Date of the Company Registration changes. The changes will now go into effect on August 12, 2013, instead of August 5, 2013.

On August 23, 2013, FERC issued a Notice of Extended Availability of Sandbox Electronic Test Site. The site will be available until September 15, 2013.

On September 13, 2013, FERC issued a Notice of Extended Availability of Sandbox Electric Test Site.

RM12-4

Revisions to Reliability Standard for Transmission Vegetation Management

On July 12, 2013, NERC submitted its Compliance Filing pursuant to Order No. 777 to 1) provide a detailed description of NERC's plan to conduct testing to develop empirical data regarding the flashover distances between conductors and vegetation; 2) modify the Violation Risk Factor for Requirement R2; and 3) confirm NERC has posted guidance materials for NERC Reliability Standard FAC-003-2 to its website.

On September 4, 2013, FERC issued an order accepting NERC's July 12, 2013 Compliance Filing.

Regulatory Status Report

RM12-6 **Petition of the North American Electric Reliability Corporation ("NERC") for Approval of a Revised Definition of "Bulk Electric System" in the NERC Glossary of Terms Used in Reliability Standards (Order No. 773)**

On July 9, 2013, Pacific Northwest Generating Cooperative and Northwest Requirements Utilities filed a Request for Rehearing of the June 13, 2013 Order.

On August 7, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the June 13, 2013 Order Granting Extension of Time to extend the effective date of the definition of the bulk electric system and the Rules of Procedure exception process from July 1, 2013 to July 1, 2014.

On August 30, 2013, FERC issued an Order on Rehearing and Clarification, denying rehearing and clarification of Order No. 773-A.

RM12-7 **Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Revisions to its Rules of Procedure to Adopt a Bulk Electric System Exception Procedure (Order No. 773)**

On July 9, 2013, Pacific Northwest Generating Cooperative and Northwest Requirements Utilities filed a Request for Rehearing of the June 13, 2013 Order.

On August 7, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the June 13, 2013 Order Granting Extension of Time to extend the effective date of the definition of the bulk electric system and the Rules of Procedure exception process from July 1, 2013 to July 1, 2014.

On August 30, 2013, FERC issued an Order on Rehearing and Clarification, denying rehearing and clarification of Order No. 773-A.

RM12-16 **Generator Requirements at the Transmission Interface**

On July 9, 2013, NERC filed Reply Comments in response to comments submitted in response to the Notice of Proposed Rulemaking issued on April 18, 2013.

On September 19, 2013, FERC issued Order No. 785, Final Rule approving Reliability Standards FAC-001-1 (Facility Connection Requirements), FAC-003-3 (Transmission Vegetation Management), PRC-004-2.1a (Analysis and Mitigation of Transmission and Generation Protection System Misoperations), and PRC-005-1.1b (Transmission and Generation Protection System Maintenance and Testing).

The Commission also approved the related Violation Risk Factors and Violation Severity Levels, as well as the implementation plan and effective dates proposed by NERC.

This rule becomes effective on November 25, 2013.

RM12-19 **Revisions to Modeling, Data, and Analysis Reliability Standard**

On July 18, 2013, FERC issued Order No. 782, Final Rule approving Modeling, Data, and Analysis (MOD) Reliability Standard MOD-028-2. The Commission found that the proposed Reliability Standard represents an improvement over the currently-effective standard, MOD-028-1 because the proposed Reliability Standard clarifies the timing and frequency of Total Transfer Capability calculations needed for Available Transfer Capability calculations.

Regulatory Status Report

The rule becomes effective on September 27, 2013.

RM12-22

Reliability Standards for Geomagnetic Disturbances ("GMDs") (Order No. 779)

On July 16, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the May 16, 2013 Order.

On August 8, 2013, FERC issued an Order Denying Rehearing of Order No. 779.

RM13-2

Small Generator Interconnection Agreements and Procedures

On July 3, 2013, Solar Energy Industries Association filed Reply Comments in response to comments filed regarding the Notice of Proposed Rulemaking issued on January 17, 2013.

On August 12, 2013, the National Rural Electric Cooperative Association, et al. filed Reply Comments in response to comments filed regarding the Notice of Proposed Rulemaking issued on January 17, 2013.

RM13-5

Version 5 Critical Infrastructure Protection Reliability Standards

On July 18, 2013, the American Public Power Association, et al. filed a Request for Delay of Compliance Deadline for complying with Version 4 of the Critical Infrastructure Protection Reliability Standards, currently scheduled to become effective on April 1, 2014, pending action in a rulemaking proceeding on a proposed Version 5 of the CIP Reliability Standards.

On July 24, 2013, FERC issued a Notice Extending Comment Period to August 5, 2013 to file comments in response to the July 18, 2013 Request for Delay of Compliance Deadline.

Several Parties filed comments in response to the July 18, 2013 Request for Delay of Compliance Deadline.

On August 5, 2013, the ISO/RTO Council filed Comments in Support of Emergency Request for Delay of Compliance Deadline.

On August 12, 2013, FERC issued an Order Granting Extension of Time to comply with the Version 4 CIP Reliability Standards. The compliance deadline was extended from April 1, 2014 to October 1, 2014.

On September 30, 2013, NERC submitted an errata to the proposed defined terms and Violation Security Levels.

RM13-6

Electric Reliability Organization Interpretation of Specific Requirements of the Disturbance Control Performance Standard

Several entities filed Comments in response to the Notice of Proposed Rulemaking issued on May 16, 2013.

On July 8, 2013, the ISO/RTO Council filed Comments in response to the Notice of Proposed Rulemaking issued on May 16, 2013.

The IRC stated:

- 1) the interpretation is within the permissible scope because it clarifies the requirements in Reliability Standard BAL-002-1 rather than changing the requirements and therefore should be accepted; and
- 2) the Commission should accept the interpretation because it results in sound reliable

operation of the Bulk Power System.

RM13-7

Protection System Maintenance Reliability Standard

On July 18, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to approve a revised Reliability Standard, PRC-005-2 - Protection System Maintenance, to supersede four existing Reliability Standards, PRC-005-1.1b (Transmission and Generation Protection System Maintenance and Testing), PRC-008-0 (Underfrequency Load Shedding Equipment Maintenance), PRC-011-0 (Undervoltage Load Shedding Equipment Maintenance) and PRC-017-0 (Special Protection System Maintenance and Testing). In addition, the Commission seeks clarification and comment on three aspects of the proposed Reliability Standard and proposes to modify one violation security level.

Comments are due on September 23, 2013.

Numerous entities filed comments in response to the Notice of Proposed Rulemaking issued on July 18, 2013.

RM13-8

Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Retirement of Requirements in Reliability Standards

On August 27, 2013, several entities filed comments in response to the Notice of Proposed Rulemaking issued on June 20, 2013.

On August 27, 2013, the ISO/RTO Council filed Comments in response to the Notice of Proposed Rulemaking issued on June 20, 2013.

The IRC stated it strongly supports the proposal in the NOPR to retire the identified Reliability Standards requirements and to withdraw the identified reliability directives.

RM13-11

Frequency Response and Frequency Bias Setting Reliability Standard

On July 18, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to approve Reliability Standard BAL-003-1 (Frequency Response and Frequency Bias Setting) which defines the necessary amount of frequency response needed for reliable operations for each Balancing Authority within an Interconnection.

Comments are due on September 27, 2013.

On September 27, 2013, parties filed comments in response to the Notice of Proposed Rulemaking issued on July 18, 2013.

On September 27, 2013, the ISO/RTO Council filed comments in response to the Notice of Proposed Rulemaking issued on July 18, 2013.

The IRC discussed the following issues:

- 1) use of the "median" in determining the frequency response measure;
- 2) determination of interconnection frequency response obligation;
- 3) methods for obtaining frequency response;
- 4) premature withdrawal of primary frequency response;
- 5) light-load case study; and
- 6) assignment of Violation Risk Factors and Violation Severity Levels.

RM13-16**Generator Verification Reliability Standards**

On September 19, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to approve the following Reliability Standards that were submitted to the Commission for approval by the North American Electric Reliability Corporation: MOD-025-2 (Verification and Data Reporting of Generator Real and Reactive Power Capability and Synchronous Condenser Reactive Power Capability), MOD-026-1 (Verification of Models and Data for Generator Excitation Control System or Plant Volt/Var Control Functions), MOD-027-1 (Verification of Models and Data for Turbine/Governor and Load Control or Active Power/Frequency Control Functions), PRC-019-1 (Coordination of Generating Unit or Plant Capabilities, Voltage Regulating Controls, and Protection), and PRC-024-1 (Generator Frequency and Voltage Protective Relay Settings). The proposed generator verification Reliability Standards help ensure that verified data is available for power system planning and operational studies by requiring the verification of generator equipment needed to support Bulk-Power System reliability and enhance coordination of important protection system settings.

The Commission proposed to approve, with modifications, the associated implementation plans, violation risk factors and violation severity levels. The Commission also proposed to approve the retirement of existing Reliability Standards MOD-024-1 (Verification of Generator Gross and Net Real Power Capability) and MOD-025-1 (Verification of Generator Gross and Net Reactive Power Capability) prior to the effective date of MOD-025-2.

Comments are due on November 25, 2013.

RM13-17**Communication of Operational Information Between Natural Gas Pipelines and Electric Transmission Operators**

On July 18, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to revise Parts 38 and 284 of the Commission's regulations to provide explicit authority to interstate natural gas pipelines and public utilities that own, operate, or control facilities used for the transmission of electric energy in interstate commerce to share non-public, operational information with each other for the purpose of promoting reliable service or operational planning on either the public utility's or pipeline's system.

Comments are due on August 26, 2013.

On August 26, 2013, the ISO/RTO Council filed Comments in response to the Notice of Proposed Rulemaking issued on July 18, 2013.

The IRC stated:

- 1) the IRC supports the examples of non-public operational information provided in the NOPR;
- 2) generators should provide the electric transmission operator with information regarding the potential disruption of their fuel; and
- 3) three way communication is not appropriate and may be difficult to implement.

Several entities filed comments in response to the Notice of Proposed Rulemaking issued on July 18, 2013.

RR06-1**North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization ("ERO")**

Regulatory Status Report

On July 30, 2013, NERC submitted its Analysis of NERC Standards Process Results for the Second Quarter 2013.

RR09-7

NERC Three-Year Electric Reliability Organization Performance Assessment Report

On July 30, 2013, NERC submitted its Analysis of NERC Standards Process Results for the Second Quarter 2013.

RR13-8

North American Electric Reliability Corporation ("NERC") Petition for Approval of Amendments to Exhibit B to the Delegation Agreement with Southwest Power Pool, Inc. - Amendments to Southwest Power Pool, Inc.'s Bylaws

On August 9, 2013, NERC submitted its Petition for Approval of Amendments to Exhibit B of the Delegation Agreement with Southwest Power Pool, Inc. - Amendments to Southwest Power Pool, Inc.'s Bylaws. The amendments to the Bylaws, which do not affect SPP Regional Entity's functions, were filed in Docket Nos. ER13-1769 and ER13-2031.

On September 24, 2013, FERC issued an order accepting the revisions to the Delegation Agreement between NERC and SPP.

FERC or State Jurisdiction: State of Arkansas

08-135-R In the Matter of Amendments to the Rules of Practice and Procedure ("RPPs") of the Arkansas Public Service Commission

On September 18, 2013, the APSC issued Order No. 18, adopting the revised Rules of Practice and Procedure and Minimum Filing Requirements set out in Attachment A and C to this Order.

The Commission directed Staff to prepare and file within 45 days a markup of the current RPPs showing all changes between the current RPPs and newly adopted RPPs set out in Attachment A to this Order.

The RPPs and MFRs as adopted will become effective January 1, 2014.

Effective January 1, 2014, the Secretary of the Commission was directed to reserve Docket No. 14-001-A for the purpose of issuing administrative orders regarding matters not addressed by the Commission's other Rules, including the newly revised RPPs.

08-136-U In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On July 17, 2013, SPP filed the June 2013 State of the Market Report pursuant to Order No. 2.

On August 19, 2013, SPP filed the July 2013 State of the Market Report pursuant to Order No. 2.

On September 4, 2013, SPP filed a Request for Permission to File Quarterly State of the Market Reports in Lieu of Monthly State of the Market Reports.

On September 17, 2013, SPP filed the August 2013 State of the Market Report pursuant to Order No. 2.

On September 17, 2013, the APSC issued Order No. 25, approving SPP's Request for Permission to File Quarterly State of the Market Reports in Lieu of Monthly State of the Market Reports.

10-010-U In the Matter of a Notice of Inquiry into Energy Efficiency ("EE NOI Docket")

On September 27, 2013, APSC General Staff filed its Report and Recommendation on Energy Efficiency Reporting Needs Based on its Participation in the Reporting Needs Working Group.

10-011-U In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets

On July 1, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On August 1, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On August 12, 2013, Entergy Arkansas, Inc. filed a Motion for Authorization to Participate in

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MISO Planning Resource Auction. EAI requested that the Commission grant its Motion to Participate in the MISO Planning Resource Auction for the partial planning year of 2013, and to participate on an on-going basis beginning with the annual auction to take place in April 2014 for the 2014-2015 MISO planning year by October 4, 2013.

On August 12, 2013, H. Matt Wolf filed Supplemental Direct Testimony on behalf of Entergy Arkansas, Inc. in support of EAI's Motion for Authorization to Participate in MISO Planning Resource Auction.

On August 21, 2013, the APSC General Staff filed its Response to Entergy Arkansas, Inc.'s Motion for Authorization to Participate in MISO Planning Resource Auction. Staff stated it supports the Motion and recommended that EAI file annual monitoring reports.

On August 22, 2013, the Arkansas Attorney General's Office filed its Response to EAI's Motion for Authorization to Participate in MISO's Planning Resource Auction.

On September 3, 2013, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

On September 3, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On September 18, 2013, the APSC issued Order No. 77, granting EAI's Motion for Authorization to Participate in MISO Planning Resource Auction. EAI was directed to file an annual report of its participation in MISO's Planning Resource Auction by June 30 each year, beginning on June 30, 2015.

On September 18, 2013, the APSC issued Order No. 78, directing EAI and the Midcontinent Independent System Operator, Inc. to appear and show cause why the Commission should not find EAI and MISO are in violation of Condition No. 2 of Order No. 68. The Commission found, as a preliminary matter, that EAI's apparent intention to engage in joint transmission planning with the other Entergy Operating Companies appears to be in violation of Conditions 2(a) and 2(b) of Order No. 68.

EAI's and MISO's response is due on October 8, 2013.

10-100-R

In the Matter of a Rulemaking to Adopt an Evaluation, Measurement, and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for Conservation and Energy Efficiency Programs ("C&EE Rules")

On August 30, 2013, the Parties filed a Joint Motion to Approve Technical Reference Manual Version 3.0 and Waiver of Hearing.

On August 30, 2013, Matthew Klucher filed Supporting Testimony on behalf of the APSC General Staff.

On August 30, 2013, Dr. Katherine Johnson filed Supplemental Testimony on behalf of the APSC General Staff.

On September 12, 2013, the APSC issued Order No. 18, approving and adopting Technical Reference Manual 3.0 for use in Arkansas jurisdictional utility energy efficiency programs.

10-101-R

In the Matter of the Institution of a Rulemaking to Adopt Amendments to the Commission's Rules on Conservation & Energy Efficiency ("C&EE") to Allow Self-Directed Programs for Large Consumers

Regulatory Status Report

On July 8, 2013, the APSC issued Order No. 29, finding that it is necessary to establish a uniform Affidavit for use by those entities identified in (c)(1)(A) of Act 253 and to amend its Rules of Conservation and Energy Efficiency Programs consistent with Act 253.

APSC Staff shall file the proposed amendments by August 16, 2013. Reply Comments are due September 13, 2013. Sur-Reply Comments are due October 4, 2013. A public hearing is to be held on November 1, 2013.

On July 23, 2013, the APSC issued Order No. 30, designating Cynthia Uhrynowycz as Presiding Officer.

On August 6, 2013, APSC General Staff filed its response to Order No. 29. Staff submitted its proposed amendments to the S-D Rules.

On September 4, 2013, the APSC issued Order No. 31. The Commission ordered and directed that the initial Act 253 uniform Notice and Affidavit, adopted by Order No. 29, is hereby rescinded and shall not be used by any Act 253 entity. The amended Act 253 uniform Notice and Affidavit was adopted for use effective immediately.

Parties filed Reply Comments in response to Staff's proposed amendments to the S-D Rules.

On September 24, 2013, the APSC General Staff filed Sur-Reply Comments concerning the proposed amendments to Section 11 of the Rules for Conservation and Energy Efficiency Programs governing the self-direct option. Staff attached a blackline version of Section 11 incorporating clarifications.

11-165-U

In the Matter of the Application of Midwest Independent Transmission Operator, Inc. ("MISO") for a Certificate of Public Convenience and Necessity for the Limited Purpose of Managing and Coordinating the Use of Certain Transmission Facilities Located Within the State of Arkansas

On July 9, 2013, the APSC issued Order No. 4, granting the Midcontinent Independent System Operator, Inc.'s Application for Certificate of Public Convenience and Necessity for specific and limited purposes as set forth in the Order. MISO was directed to file an annual report on Auction Revenue Rights on March 31 of each year for the preceding calendar year.

On September 25, 2013, the APSC issued Order No. 5, closing this docket.

12-008-U

In the Matter of Southwestern Electric Power Company's Petition for a Declaratory Order Finding that Installation of Environmental Controls at the Flint Creek Power Plant is in the Public Interest

On July 10, 2013, the APSC issued Order No. 14, granting SWEPCO's Petition for Declaratory Order.

SWEPCO was directed to file written notice in this Docket when construction commences and prior to any period of time in which the Flint Creek Plant is to be out of service due to the retrofit project including an estimate of the time of the outage and a description of measures and the cost of those measures to provide reliable service during the planned outage.

On August 1, 2013, Southwestern Electric Power Company filed a Motion for Clarification of Order No. 14. SWEPCO requested clarification regarding the Commission's intentions in its employment of an Independent Monitor.

Regulatory Status Report

On August 2, 2013, APSC General Staff filed its Response to Motion for Clarification filed by SWEPCO on August 1, 2013. Staff stated it supports the clarification as requested by SWEPCO.

On August 20, 2013, the APSC issued Order No. 15, clarifying that the intent of Order No. 14 is that SWEPCO employ the Independent Monitor, but that the selection of the Independent Monitor should be approved by the Commission with input from Staff.

12-060-R

In the Matter of Amendments to the Arkansas Public Service Commission's Rules Concerning Meter Aggregation and Combined Billing for Net-Metering Customers

On September 3, 2013, the APSC issued Order No. 7, adopting the attached rule amendments.

Jurisdictional electric utilities are to file any tariff amendments necessary to comply with the amended rule on or before noon on September 20, 2013. Staff shall testify, and other parties may testify, regarding the compliance of those tariffs with the rules on or before noon on October 18, 2013.

On September 13, 2013, the APSC issued Order No. 8, directing Staff to file proposed conforming revisions to the Standard Net-Metering Tariff and any necessary revisions to the Standard Net Metering Agreement by September 17, 2013.

On September 16, 2013, the Joint Utilities filed a Motion to Extend Deadline for Filing of Net Metering Tariff Amendments.

On September 17, 2013, General Staff filed its Response to Order No. 8. Staff filed proposed revisions to the Standard Net-Metering Tariff.

On September 17, 2013, General Staff filed its Response to the Motion to Extend the Deadline for Filing Tariff Amendments. Staff does not oppose the extension of the September 20, 2013 compliance filing deadline.

On September 19, 2013, the APSC issued Order No. 9, granting the Motion to extend the compliance filing deadline. Parties may file comments regarding Staff's proposed standard tariff on or before noon on September 25, 2013. Compliance tariffs shall be filed on or before noon of the tenth day following the date of an order approving a standard tariff. Staff shall file testimony regarding the compliance of filed tariffs on or before noon of the twentieth day following the date compliance tariffs are filed.

On September 25, 2013, parties filed Comments pursuant to Order No. 9.

12-069-U

In the Matter of an Application of Entergy Arkansas, Inc., Mid South Transco LLC, ITC Midsouth LLC, Transmission Company Arkansas, LLC, and ITC Holdings Corp. to Enter Transactions Resulting in a Certificate of Public Convenience and Necessity for a New Arkansas Utility to Own EAI's Electric Transmission Facilities

On July 1, 2013, the Applicants filed a Response to Arkansas Electric Cooperative Corporation's Motion to Reschedule the July 9 Evidentiary Hearing.

On July 2, 2013, the APSC issued Order No. 14, finding that the public interest will be served by cancellation of the July 9 evidentiary hearing and the extension of the existing procedural schedule in order to develop a more complete record on the Mitigation Plan proposed by the Applicants. The Commission directed that the parties work together and propose a mutually

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agreeable procedural schedule no later than July 12, 2013.

On July 9, 2013, the Applicants filed Supplemental Testimony.

On July 12, 2013, Arkansas Electric Cooperative Corporation filed its Response to Order No. 14 Regarding the Revised Procedural Schedule.

On July 12, 2013, the Parties filed a Joint Motion to Establish Procedural Schedule.

On July 17, 2013, APSC General Staff filed a Reply to Arkansas Electric Cooperative Corporation's Response to Order No. 14. Staff stated it objects to a hearing being held during the week of September 9, 2013.

On July 19, 2013, the Applicants filed a Reply to Arkansas Electric Cooperative Corporation's Response to Order No. 14. The Applicants requested that the Commission issue an order adopting the schedule set forth in the Joint Motion to Establish Procedural Schedule filed on July 12, 2013.

On July 26, 2013, the APSC issued Order No. 15 setting the following procedural schedule:

August 2, 2013 - Staff/Intervenor Discovery on Rate Mitigation Commitments shall conclude;
August 15, 2013 - Staff/Intervenor Supplemental Testimony shall be filed by noon;
August 23, 2013 - Applicant Supplemental Rebuttal Testimony shall be filed by 3 PM;
August 28, 2013 - Settlement Agreement, if any, shall be filed by noon; and
September 4, 2013 - Evidentiary hearing begins at 8:30 AM, and continuing, as necessary, on September 5, 10 and 11.

On August 12, 2013, the City Water and Light Plant of the City of Jonesboro filed a Limited Appearance and Verified Statement of Position.

On August 14, 2013, Arkansas Electric Energy Consumers filed a Motion to Suspend Procedural Schedule until such time as the new Texas application is determined and the Applicants demonstrate that the new Texas provisions are equivalent to the Applicants' proposals for Arkansas.

On August 15, 2013, Randall Falkenberg filed Supplemental Testimony on behalf of Arkansas Electric Energy Consumers.

On August 15, 2013, Parties filed Supplemental Testimony on Rate Mitigation.

On August 15, 2013, Entegra Power Group LLC filed a Limited Appearance.

On August 15, 2013, the Arkansas Attorney General's Office filed its Response to Arkansas Electric Energy Consumers' Motion to Suspend Procedural Schedule.

On August 15, 2013, the APSC General Staff filed a Response to Arkansas Electric Energy Consumers' Motion to Suspend Procedural Schedule.

On August 16, 2013, Arkansas Electric Cooperative Corporation filed its Response to Arkansas Electric Energy Consumers' Motion to Suspend Procedural Schedule.

On August 16, 2013, Arkansas Cities filed its Response to Arkansas Electric Energy Consumers' Motion to Suspend Procedural Schedule.

On August 20, 2013, the APSC issued Order No. 16, setting the deadline to noon on August

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22, 2013 to file responses to Arkansas Electric Energy Consumers' Motion to Suspend Procedural Schedule.

On August 21, 2013, the Applicants filed a Response to Arkansas Electric Energy Consumers' Motion to Suspend Procedural Schedule.

On August 23, 2013, the Applicants filed Supplemental Rebuttal Testimony.

On August 23, 2013, the APSC issued Order No. 17, finding that the suspension of the existing procedural schedule and postponement of the scheduled September 4 evidentiary hearing is in the public interest. The Applicants were directed to work with the parties to reach an agreement on a revised procedural schedule. The Applicants were also directed to file monthly status reports, beginning October 1, detailing the status of their related applications in each of the other Entergy retail regulatory jurisdictions.

On August 30, 2013, Entergy filed an errata sheet listing corrections to the Supplemental Rebuttal Testimony of Theodore H. Bunting, Jr., filed on August 23, 2013.

13-041-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas

On July 8, 2013, Byron Bradshaw filed a Motion to Withdraw as Intervenor.

On July 8, 2013, Jan Seth as Trustee of the Jan L. and Judith F. Seth Family Trust filed a Motion to Withdraw as Intervenor.

On July 8, 2013, Chiggertick LLP filed a Motion to Withdraw as Intervenor.

On July 10, 2013, the APSC issued Order No. 13, providing rules of procedure and conduct for the public comment hearings to be held in Eureka Springs and Rogers.

Public comment hearings were held on July 15-16, 2013.

On July 19, 2013, SWEPCO filed Rebuttal Testimony.

On July 31, 2013, Mayor Larry Smith filed Rebuttal Testimony on behalf of the City of Cave Springs.

On July 31, 2013, Mayor Larry Smith filed corrected Rebuttal Testimony on behalf of the City of Cave Springs.

On August 5, 2013, the Commission provided an index of public comments.

On August 5, 2013, the Honorable Laura Hamilton filed Surrebuttal Testimony on behalf of the City of Garfield, Arkansas.

On August 5, 2013, Mayor Frank Hackler filed Surrebuttal Testimony on behalf of the town of Gateway, Arkansas.

On August 5, 2013, the APSC issued Order No. 14, finding that several Parties filing petitions for limited appearance have failed to properly file a verified petition and to include a certificate of service on all parties as required by the Rules of Practice and Procedure. If these Parties

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do not file an amended petition by August 16, 2013, the petition will be designated as public comment.

On August 5, 2013, the Dupps Intervenors filed a Motion to be Excused from Hearing.

On August 6, 2013, Cynthia Coughlin filed Surrebuttal Testimony on behalf of the Coughlin Intervenors.

On August 6, 2013, Bill Neighbors filed Surrebuttal Testimony on behalf of Neighbors' Trust and Trustees.

On August 7, 2013, numerous parties filed Surrebuttal Testimony.

On August 7, 2013, Lanny Nickell filed Surrebuttal Testimony on behalf of SPP.

On August 7, 2013, Reinsvold Intervenors filed a Motion to Remove Routes 62, 86 and 91 from the List of Considered Routes.

On August 8, 2013, SPP filed an objection to Save the Ozarks' Second Data Request.

On August 8, 2013, Southwestern Public Service Company filed an objection to Garfield's and Gateway's Second Data Request.

On August 8, 2013, Southwestern Electric Power Company filed an objection to Save the Ozarks' Third Data Request.

On August 8, 2013, the APSC issued Order No. 15, ordering SWEPCO to respond by close of business on Monday, August 12, 2013, to the Motion to Remove Routes 62, 86 and 91 from the List of Considered Routes filed by the Reinsvold Intervenors.

On August 8, 2013, Susana Development Corporation filed a Motion to Withdraw as Intervenor.

On August 9, 2013, SWEPCO filed its Response to Motion to Dismiss filed by Danos Intervenors.

On August 9, 2013, Thorncrown Chapel, Inc., et al. filed a Motion to be Excused from Hearing.

On August 9, 2013, the APSC issued Order No. 17, granting Susana Development Corporation's Motion to Withdraw as Intervenor.

On August 9, 2013, SWEPCO filed its Response to Reinsvold's Motion to Remove Routes 62, 86 & 91 from Further Consideration. SWEPCO stated it has no objection to the removal of Routes 62, 86 and 91 from further consideration at the evidentiary hearing, and therefore requests an Order declaring that these routes are no longer under consideration.

On August 9, 2013, the APSC issued Order No. 18, outlining the procedures to be used at the hearing to commence on August 26, 2013. A pre-hearing conference is scheduled for 9:30 am on Friday, August 16, 2013.

On August 9, 2013, Charles E. Crawford as Trustee of the Charles E. Crawford Revocable Trust filed a Motion to Withdraw as Intervenor.

On August 9, 2013, James Morrissey filed an amendment to the Petition for Limited Appearance.

On August 9, 2013, Cherilyn Morrissey filed an amendment to the Petition for Limited

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Appearance.

On August 9, 2013, the APSC issued Order No. 16, granting the motions to withdraw as Intervenors filed by Byron Bradshaw, Jan Seth as Trustee of the Jan L. and Judith F. Seth Family Trust and Chiggertick LLP.

On August 12, 2013, Danos Intervenors filed their Response to SWEPCO's Response to Danos Petitioners' Motion to Dismiss.

On August 12, 2013, Bentonville/Bella Vista Trailblazers Association, Inc. filed a Motion to be Excused from Further Proceedings.

On August 12, 2013, Cindy Springs, LLC filed a Motion to be Excused from Further Proceedings.

On August 12, 2013, the APSC issued Order No. 19, granting Charles E. Crawford as Trustee of the Charles E. Crawford Revocable Trust's Motion to Withdraw as Intervenor.

On August 12, 2013, the APSC issued Order No. 20, granting the Motion to Remove Routes 62, 86, and 91 from the List of Considered Routes filed by the Reinsvold Intervenors.

On August 13, 2013, the City of Bentonville, Arkansas filed a Motion to be Excused from Further Proceedings.

On August 13, 2013, the Reinsvold Intervenors filed a Motion to Withdraw as Intervenors.

On August 13, 2013, the APSC General Staff filed a Motion for Clarification of Order No. 20, requesting clarification addressing whether the removal from consideration of Routes 62, 86 and 91 moots the standing of the intervenors whose direct interest is impacted by the removed routes and if so, whether those intervenors would be allowed to resume their intervention should the removed routes become viable for consideration.

On August 14, 2013, the APSC issued Order No. 21, granting Reinsvold Intervenors' Motion to Withdraw as Intervenor.

On August 14, 2013, Dupps Intervenors filed a Motion to Withdraw as Intervenors.

On August 14, 2013, Eagle's Bluff Limited Partnership 1 filed a Motion to Withdraw as an Intervenor.

On August 14, 2013, Vancon, LLC filed a Motion to Withdraw as Intervenor.

On August 15, 2013, the City of Bentonville filed a Motion to Withdraw as Intervenor.

On August 15, 2013, Bentonville/Bella Vista Trailblazers Association, Inc. filed a Motion to Withdraw as Intervenor.

On August 15, 2013, Cindy Springs, LLC filed a Motion to Withdraw as Intervenor.

On August 15, 2013, Neighbors Trust and Trustees filed a Motion to Excuse Witness from Hearing.

On August 15, 2013, the APSC issued Order No. 22, granting the motions to withdraw as intervenor filed by Dupps Intervenors, Eagle's Bluff Limited Partnership 1, and Vancon, LLC.

On August 15, 2013, the APSC issued Order No. 23, accepting the motions to withdraw as

Regulatory Status Report

intervenor filed by the City of Bentonville, Bentonville/Bella Vista Trailblazers Association, Inc. and Cindy Springs, LLC.

A prehearing conference was held on August 16, 2013.

On August 16, 2013, the Jackson Petitioners filed a Motion to Excuse Witness from Hearing.

On August 16, 2013, the Stettmeier Intervenors filed a Motion to Withdraw as Intervenors.

On August 16, 2013, Save the Ozarks filed a Motion to Dismiss or for Summary Judgment, or in the Alternative for Continuance.

On August 16, 2013, Save the Ozarks filed a Motion to Compel Responses to Discovery Requests served upon SWEPCO and SPP.

On August 16, 2013, Save the Ozarks filed copies of the data requests to SWEPCO and SPP referenced in the Motion to Compel.

On August 19, 2013, the APSC issued Order No. 24, granting the Motion to Withdraw as Intervenors filed by Kenneth and Deborah Stettmeier.

On August 19, 2013, the Honorable Laura Hamilton filed an errata sheet to correct the Direct Testimony filed on behalf of the City of Garfield, Arkansas.

On August 20, 2013, the APSC issued Order No. 25, updating the order the parties will appear at the evidentiary hearing beginning on August 26, 2013.

On August 21, 2013, SWEPCO filed Sur-Surrebuttal Testimony.

On August 22, 2013, SPP filed its Response to Motion to Compel of Save the Ozarks.

On August 23, 2013, the Jackson Petitioners filed an Amended Motion to Excuse Witness from Hearing.

On August 23, 2013, the River Oaks Intervenors filed a Motion to Excuse Witness from Hearing.

On August 23, 2013, SWEPCO filed its Response to Save the Ozarks' Motion to Compel Responses to Discovery Requests.

On August 23, 2013, the APSC issued Order No. 26, granting the Amended Motion to Excuse Witnesses from Hearing filed by the Jackson Petitioners.

On August 23, 2013, Thomas and Sarah Allred filed a Motion to Excuse Witnesses from Hearing.

On August 23, 2013, SWEPCO filed its Response to Save the Ozarks' Motion to Dismiss or for Summary Judgment, or in the Alternative for Continuance.

On August 29, 2013, SPP filed errata pages to the Direct Testimony of Lanny Nickell filed on June 28, 2013 and the Surrebuttal Testimony of Lanny Nickell filed on August 7, 2013.

An evidentiary hearing was held August 26-30, 2013.

On September 11, 2013, the APSC issued Order No. 27.

SWEPCO and Save the Ozarks were directed to file initial briefs no later than noon on October 1, 2013, with Reply Briefs due by noon on October 16, 2013. All other parties may file briefs if

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they deem it appropriate and necessary.

All parties were directed to file Proposed Findings of Fact and Conclusions of Law by noon on October 1, 2013. An electronic version of the document shall be submitted to Connie Griffin on CD-ROM and in Microsoft Word format.

On September 13, 2013, the APSC issued Order No. 28, denying Save the Ozarks' Motion to Compel.

On September 20, 2013, Wal-Mart Real Estate Business Trust filed a letter to clarify its position regarding the proposed transmission line across the approximately 126 acre tract in Benton County, Arkansas under contract with Mitchell & Spencer Properties LLC. Wal-Mart disputed any assertions by any party in the record in this matter which may be interpreted as a joint submittal request to the Commission for a transmission line on the Mitchell Tract.

On September 26, 2013, SWEPCO filed a Motion to Conform the Pleadings to the Proof Pursuant to Rule 2.09 and Brief in Support.

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FERC or State Jurisdiction: State of Kansas

11-PWTE-600-MIS **In the Matter of the Application of Prairie Wind Transmission, LLC for a Siting Permit for the Construction of a Double Circuit 345 kV Transmission Line in Sedgwick, Sumner, Harper, and Barber Counties, Kansas**

On August 15, 2013, Prairie Wind Transmission, LLC submitted its Quarterly Report on the Progress of the Wichita to Woodward 345 kV Transmission Project.

13-ITCE-677-MIS **In the Matter of the Application of ITC Great Plains, LLC and Mid-Kansas Electric Company, LLC for a Siting Permit for the Construction of a 345 kV Transmission Line in Cloud and Ottawa Counties, Kansas**

On July 3, 2013, ITC Great Plains, LLC and Mid-Kansas Electric Company, LLC filed a Motion to Modify Procedural Schedule.

On July 9, 2013, Michael Wegner, P.E. filed Testimony in Response to Comments at June 3, 2013 Public Hearing on behalf of the KCC.

On July 9, 2013, the KCC issued an Order Granting Motion to Modify Procedural Schedule. The evidentiary hearing is rescheduled to be held on August 6, 2013.

On July 16, 2013, Alan Myers filed Rebuttal Testimony in response to Staff's Responses to Public Hearing Comments.

On July 23, 2013, the Parties filed a Joint List of Disputed Issues.

A prehearing conference was held on July 30, 2013.

An evidentiary hearing was held on August 6, 2013.

On August 19, 2013, ITC Great Plains, LLC, Mid-Kansas Electric Company, LLC and KCC Staff filed a Notice of Filing of Proposed Order Granting Siting Permit.

On August 27, 2013, the KCC issued an Order Granting Siting Permit.

The Commission directed the Applicants to submit quarterly reports detailing the progress and costs of the project and a final report once construction is complete.

On September 9, 2013, ITC Great Plains, LLC and Mid-Kansas Electric Company, LLC filed a Petition for Clarification of the August 27, 2013 Order Granting Siting Permit. The Applicants stated that paragraph 12 of the Order inaccurately states that the project will continue until spring 2014. The expected in-service date is in 2016.

On September 17, 2013, the KCC issued an Amended Order Granting Siting Permit. The Commission granted the Applicants' Joint Application for a siting permit to construct an electric transmission line with certain proposed route modifications approved in this Order.

13-WSEE-676-MIS **In the Matter of the Application of Westar Energy, Inc. for a Siting Permit for the Construction of a 345 kV Transmission Line in Saline and Ottawa Counties, Kansas**

On July 2, 2013, Michael Wegner, P.E. filed Testimony in Response to Comments at June 5, 2013 Public Hearing on behalf of the KCC.

On July 12, 2013, Salvatore Falcone filed Rebuttal Testimony on behalf of Westar Energy, Inc.

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A prehearing conference was held on July 24, 2013.

On July 24, 2013, Westar Energy, Inc. and Commission Staff filed a Joint List of Disputed Issues.

On July 30, 2013, Michael Wegner, P.E. filed Supplemental Testimony on behalf of the KCC.

An evidentiary hearing was held on August 7, 2013.

On August 20, 2013, Westar Energy, Inc. and the KCC Staff filed a Notice of Filing of Joint Proposed Order Granting Siting Permit.

On August 29, 2013, the KCC issued an Order Approving Siting Application.

Westar was directed to provide quarterly updates to the Commission on the status of the project.

FERC or State Jurisdiction: State of LA - New Orleans

UD-11-01 **Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements**

On July 1, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On August 1, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On September 3, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

UD-12-01 **Investigation of the Proposed Divestiture of the Transmission Assets of Entergy New Orleans, Inc. ("ENO") and Entergy Louisiana, LLC ("ELL") to ITC Holdings Corp.**

On July 2, 2013, ITC Holdings Corp. and Entergy Corporation filed a copy of the Form 8-K filed with the Securities Exchange Commission, which states that the merger is expected to close on December 31, 2013.

On July 2, 2013, ITC Holdings Corp. filed Objections to the Advisors' 9th Set of Data Requests.

On July 10, 2013, Applicants filed Rejoinder Testimony.

On July 15, 2013, Air Products and Chemicals, Inc. filed Surrebuttal Testimony.

On July 15, 2013, the Advisors filed Surrebuttal Testimony.

An evidentiary hearing was held in July 2013.

On July 24, 2013, James Proctor submitted an Errata to his Surrebuttal Testimony filed on July 15, 2013.

On August 2, 2013, ITC Holdings Corp. and ITC Midsouth LLC filed a Motion to Strike Portions of the Direct and Surrebuttal Testimonies of James R. Dauphinais.

On August 7, 2013, ITC Holdings Corp. and ITC Midsouth LLC filed a Memorandum in Opposition to the Joint Motion to Strike filed by the Advisors and Air Products.

On August 8, 2013, Entergy filed its Opposition to the Joint Motion to Strike Rebuttal Testimony filed by the Advisors to the City of New Orleans and Air Products and Chemicals, Inc.

On August 12, 2013, the Applicants filed Rejoinder Testimony.

On August 14, 2013, ITC Holdings Corp. and ITC Midsouth, LLC filed a Reply to Air Products and Chemicals, Inc.'s Opposition to ITC's Motion to Strike Portions of Mr. Dauphinais' testimonies.

On August 20, 2013, the Advisors and Air Products & Chemicals, Inc. filed a Joint Motion to Suspend Procedural Schedule.

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On August 27, 2013, the Applicants filed a Joint Response to Advisors' and Air Products' Motion to Suspend the Procedural Schedule.

On August 30, 2013, Entergy submitted an errata page to the Rejoinder Testimony of Theodore H. Bunting that was filed on August 12, 2013.

On September 24, 2013, Entergy submitted a copy of the Updated Application filed before the Public Utility Commission of Texas.

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FERC or State Jurisdiction: State of Louisiana

U-32148

Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, For an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment

On September 4, 2013, the Midcontinent Independent System Operator, Inc. filed an updated report to the LPSC concerning the status of negotiations between MISO and SPP related to resolution of outstanding issues related to the Joint Operating Agreement.

U-32538

Joint Application of Entergy Louisiana, LLC, Entergy Gulf States Louisiana, L.L.C., Mid South TransCo LLC, Transmission Company Louisiana I, LLC, Transmission Company Louisiana II, LLC, ITC Holdings Corp. and ITC Midsouth LLC ("Applicants") for Approval of Change of Ownership of Electric Transmission Businesses, for Certain Cost-Recovery Adjustments, and for Related Relief

A status conference and oral argument were held on July 10, 2013.

On July 11, 2013, the LPSC issued a Report of July 10, 2013 Status Conference and Oral Argument, Notice of Change of Location of Hearing, and Notice of Pre-hearing Conference.

A pre-hearing conference was held on July 15, 2013.

On July 17, 2013, the Applicants filed a Motion for Immediate Review of Interlocutory Ruling and Incorporated Supporting Memorandum in accordance with Rule 57 of the LPSC's Rules of Practice and Procedure.

The Applicants moved the full Commission's review and reverse certain rulings made by the Honorable Valerie Seal Meiners, Chief Administrative Law Judge on July 10, 2013, that excluded from the record of this proceeding certain evidence of benefits flowing from the proposed ITC Transaction and rate mitigation commitments made by the ITC and the Companies to ensure that customers receive the benefits of the transaction. The Applicants stated that hearings in the matter have already commenced, and if these rulings are not reversed at the July 31 Business and Executive session of the Commission, the Applicants will suffer irreparable and irreversible harm.

A hearing was held on July 16-19, 22, 2013.

On July 24, 2013, the Applicants filed a Joint Motion for Oral Argument on Motion for Immediate Review of Interlocutory Filing.

On July 29, 2013, LPSC Staff filed its Opposition to Motion for Immediate Review of Interlocutory Ruling.

On July 29, 2013, Occidental Chemical Corporation filed its Opposition to Motion for Immediate Review of Interlocutory Ruling.

On July 30, 2013, LPSC Staff filed a Referral to Commissioners for Review Pursuant to Rule 57.

On August 8, 2013, the Applicants and LPSC Staff filed a Joint Motion to Amend Procedural Schedule.

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On August 9, 2013, the LPSC issued a Ruling on Joint Motion to Amend Procedural Schedule. Post Hearing Initial Briefs are now due on August 26, 2013.

At the August 21, 2013 Open Session, the Commission voted to suspend the briefing and the remainder of the procedural schedule indefinitely pending receipt and consideration of whatever filing the Applicants choose to make before the Public Utility Commission of Texas. Entergy shall lodge with this Commission a copy of any such filing as well as copies of orders issued by Entergy's other retail regulators regarding the proposed Transaction as soon as that filing is made or those orders are received. Within one week of the receipt of the filing in Texas, by the LPSC Staff and Intervenors in Docket U-32538, the parties are directed to request a status conference with the presiding Administrative Law Judge to reset the remainder of the procedural schedule.

On September 24, 2013, the Applicants filed notice of the Updated Application filed before the Public Utility Commission of Texas.

U-32675

Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. (Collectively "Entergy") Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, for an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment

On July 24, 2013, Jay Lewis, Nicholas Owens, and Patrick Cicio filed Rebuttal Testimony on behalf of Entergy.

On September 6, 2013, the Parties filed a Joint Motion to Continue Hearing.

On September 6, 2013, the LPSC issued a Ruling on Joint Motion to Continue Hearing. The hearing to begin on September 10 was continued to September 19.

On September 17, 2013, the LPSC issued a Notice of Continuance of September 19, 2013 Hearing Date and Notice of Revised Schedule. The hearing scheduled to begin on September 19 was continued to October 1. Parties were directed to file a Joint Pre-Hearing Statement by September 25, 2013.

On September 25, 2013, the Parties filed a Joint Pre-Hearing Statement.

On September 27, 2013, the Parties filed a Joint Motion to Schedule Hearing on Proposed Uncontested Stipulated Settlement and for Waiver of Rule 6 E Notice Period.

On September 27, 2013, the LPSC issued a Ruling on Joint Motion to Schedule Hearing on Proposed Uncontested Stipulated Settlement and for Waiver of Rule 6 Notice Period. The October 1, 2013 hearing will be converted to an Uncontested Stipulated Settlement Hearing.

FERC or State Jurisdiction: State of Mississippi

2012-UA-358

Joint Application for the Transfer of Ownership and Control of Entergy Mississippi, Inc.'s Transmission Facilities and Assets Together with Related Certificates, Franchises, and Other Property Rights to Transmission Company Mississippi, LLC and Approval of Subsequent Transfers of Ownership and Control

On July 8, 2013, MPSC Staff filed its reply to the Applicants' Brief on Jurisdictional Issues.

On July 19, 2013, the Applicants filed Rebuttal Testimony.

On July 23, 2013, the MPSC issued an Order Cancelling Hearing that was to be held on August 6-8, 2013.

On July 31, 2013, the Applicants filed a Brief in Response to Staff Reply Brief on Jurisdictional Issues.

On July 31, 2013, certain parties filed a Joint Stipulation of Parties Agreeing to an Abbreviated Proceeding Pursuant to Rule 15.101.3 of the Rules of Practice and Procedure.

On August 1, 2013, the MPSC issued a Second Amended Scheduling Order.

On August 2, 2013, Scott Hempling and Seth Parker filed Surrebuttal Testimony on behalf of the Mississippi Public Utilities Staff.

On August 9, 2013, the Applicants filed Sur-Surrebuttal Testimony.

On August 13, 2013, the Mississippi Public Utilities Staff filed a Motion to Strike the Rebuttal Testimony of Christopher Kapfer.

On August 15, 2013, the Attorney General's Office filed its Post-Hearing Brief.

On August 16, 2013, the MPSC issued a Third Amended Scheduling Order.

On August 26, 2013, the Applicants, jointly with the Mississippi Public Utilities Staff, filed a Joint Motion to Suspend Procedural Schedule.

On August 28, 2013, the MPSC issued a Fourth Amended Scheduling Order.

On August 28, 2013, Parties filed Post-Hearing Briefs.

On August 28, 2013, the MPSC issued a Proposed Order.

On September 25, 2013, the Applicants filed a Joint Response to Staff's Recommended Conditions.

On September 25, 2013, Parties filed Reply Briefs.

On September 25, 2013, Seth Parker filed Surrebuttal Testimony on behalf of the Mississippi Public Utilities Staff.

FERC or State Jurisdiction: State of Missouri

EA-2013-0098

In the Matter of the Application of Transource Missouri, LLC for a Certificate of Convenience and Necessity Authorizing it to Construct, Finance, Own, Operate, and Maintain the Iatan-Nashua and Sibley-Nebraska City Electric Transmission Projects

On July 1, 2013, the MoPSC issued an Order scheduling a brief procedural conference to be held on July 2, 2013.

On July 3, 2013, the MoPSC issued an Order directing Staff to file a supplemental procedural schedule reflecting the results of the conference held on July 2, 2013.

On July 9, 2013, Staff filed a Supplemental Procedural Schedule in Response to Order Directing Filing.

On July 10, 2013, Staff filed its First Order Supplementing Record to enter into evidence the Applicant's Supplemental Filing and attachments containing information on the final route for the proposed Sibley-Nebraska transmission line.

On July 19, 2013, the Parties filed a Second Joint Proposed Order and Joint Proposed Consent Order Approving Unanimous Stipulation and Agreement.

On July 19, 2013, the Parties filed Joint Suggestions of the Signatories in Support of an Order by the Commission Approving the Unanimous Stipulation and Agreement.

On August 7, 2013, the MoPSC issued a Report and Order, approving disposition by settlement, granting the applications, and incorporating the proposed conditions and terms. The Order is effective September 6, 2013.

EO-2012-0269

In the Matter of The Empire District Electric Company's ("Empire") Submission of Its Interim Report Regarding Participation in the Southwest Power Pool, Inc.

On July 10, 2013, Bary Warren filed Direct Testimony on behalf of Empire.

On August 2, 2013, the MoPSC issued a Notice Regarding Settlement Conference to be held on August 6, 2013.

A settlement conference was held on August 6, 2013.

On August 16, 2013, the Parties filed a Joint Motion for Amendment of Procedural Schedule.

On August 19, 2013, the MoPSC issued an Order Granting Motion to Amend Procedural Schedule.

On August 26, 2013, The Empire District Electric Company filed a Motion to Suspend Procedural Schedule.

On August 27, 2013, the MoPSC issued an Order Granting Motion to Suspend Procedural Schedule and Cancelling Evidentiary Hearing.

On August 28, 2013, The Empire District Electric Company filed a Motion for Approval of Stipulation and Agreement.

On September 11, 2013, the MoPSC issued an Order Granting Motion for Approval of Unanimous Stipulation and Agreement, effective October 11, 2013.

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Empire's continued participation in SPP shall continue on an interim basis through August 1, 2019.

No later than May 1, 2018, Empire shall file a pleading accompanied by a study ("2018 Interim Report"). comparing the costs and estimated benefits of its participation in SPP.

EO-2012-0271

In the Matter of an Investigation into the Siting and Safety of a Proposed Transmission Line in Platte County, Missouri

On August 2, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed their Response to Order Directing Filing issued on March 14, 2012. The Companies submitted their second quarter 2013 report for the Iatan to Nashua 345 kV Transmission Project.

EO-2013-0396

In the Matter of the Joint Application of Entergy Arkansas, Inc., Mid South Transco LLC, Transmission Company Arkansas, LLC and ITC Midsouth LLC ("Applicants") for Approval of Transfer of Assets and Certificate of Convenience and Necessity, and Merger and, in connection therewith, Certain Other Related Transactions

On July 12, 2013, Parties filed Initial Briefs.

July 15, 2013, MoPSC Staff filed its Brief.

On August 2, 2013, Parties filed Reply Briefs.

On August 5, 2013, MoPSC Staff filed its Reply Brief.

On August 20, 2013, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and The Empire District Electric Company filed a Joint Request for Administrative Notice and Motion to Delay Commission Decision.

On August 21, 2013, the MoPSC issued an Order Directing Filing. Response are due on August 30, 2013 to the Joint Request for Administrative Notice and Motion to Delay Commission Decision.

On August 27, 2013, MoPSC Staff filed its Response to August 21, 2013 Order Directing Filing.

On August 30, 2013, the Applicants filed their Response to Intervenors' Joint Request for Administrative Notice and Motion to Delay Commission Decision.

On September 10, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Reply to Response of Entergy Arkansas, Inc. to Motion to Delay Action.

FERC or State Jurisdiction: State of New Mexico

13-00031-UT

In the Matter of Southwestern Public Service Company's Interim Report on its Participation in the Southwest Power Pool Regional Transmission Organization

On July 31, 2013, the NMPRC issued an Initial Order Commencing Proceeding, Designating Hearing Examiner and Establishing Basic Procedural Schedule.

On July 31, 2013, the NMPRC issued an Order Setting Pre-hearing Conference to be held on August 8, 2013.

A pre-hearing conference was held on August 8, 2013.

On August 22, 2013, the NMPRC issued a Procedural Order.

The following procedural schedule was established:

August 30, 2013 - SPS to file supplemental information regarding projected savings and benefits on an annual basis as required in the Certification of Stipulation in Case 07-00390-UT, and whether there have been any service reliability changes as a result of SPS' participation in the SPP RTO;

September 30, 2013 - Intervention deadline;

November 6, 2013 - Any settlement and required supporting schedules;

November 12, 2013 - Opposition to any settlement;

November 19, 2013 - Staff/Intervenor Direct Testimony;

November 26, 2013 - Testimony supporting opposition to any filed agreement;

December 9, 2013 - Rebuttal Testimony;

December 19, 2013 - Public hearing to begin at 9:30 AM.

On August 23, 2013, the New Mexico Attorney General filed a Motion to Modify Basic Procedural Schedule. The NMAG requested that the Commission modify its Initial Order Commencing Proceeding, Designating Hearing Examiner and Establishing Basic Procedural Schedule by removing the deadline for a Recommended Decision in Ordering Paragraphs C and 7.

On August 30, 2013, William Grant filed Supplemental Direct Testimony on behalf of Southwestern Public Service Company, in order to provide supplemental information regarding projected savings and benefits on an annual basis as required in the Certification of Stipulation in Case 07-00390-UT, and whether there have been any service reliability changes as a result of SPS' participation in the SPP RTO.

On September 18, 2013, the NMPRC issued a Final Order authorizing SPS to participate in the SPP RTO on a permanent basis, subject to the same terms and conditions as set out in the Final Order in Case No. 07-00390-UT. The procedural schedule has been vacated.

Regulatory Status Report

FERC or State Jurisdiction: State of Texas

37344 Information Related to the Entergy Regional State Committee ("ERSC")

On August 6, 2013, the Midcontinent Independent System Operator, Inc. provided a letter to Commissioner Anderson, of the Entergy Regional State Committee, stating that MISO will add a seat to the state regulatory authorities sector of the MISO Advisory Committee. The additional seat will be reserved for a commissioner from the Entergy region.

40979 Proceeding to Track Compliance with Terms and Conditions Set Forth in the Commission's Order Issued in Docket No. 40346 and the Non-Unanimous Stipulation ("NUS") and Associated Studies Arising from the Order and/or NUS

On July 2, 2013, Entergy Texas, Inc. filed the Entergy System Intra-System Bill for May 2013.

On July 15, 2013, Entergy Texas, Inc. filed its Monthly MISO Compliance Report for July 2013.

On July 15, 2013, Entergy Texas, Inc. filed its Bi-Monthly MISO Compliance Report for July 2013.

On August 2, 2013, Entergy Texas, Inc. filed the Entergy System Intra-System Bill for June 2013.

On August 6, 2013, PUCT Staff filed a copy of the Entergy Texas, Inc. Transition Study Final Report.

On August 15, 2013, Entergy Texas, Inc. filed its Monthly MISO Compliance Report for August 2013.

On August 21, 2013, Entergy Texas, Inc. filed Comments Regarding Entergy System Agreement Transition Study Performed by Liberty Consulting Group.

On August 28, 2013, Commissioner Anderson filed a memo regarding John Bear's letter indicating that the Midcontinent Independent System Operator, Inc. will add a seat to the state regulatory authorities sector of the MISO Advisory Committee. The additional seat will be a voting seat reserved for a commissioner from the MISO South Region.

On September 3, 2013, Entergy Texas, Inc. filed the Entergy System Intra-System Bill for July 2013.

On September 16, 2013, Entergy Texas, Inc. filed its Bi-Monthly MISO Compliance Report for September 2013.

On September 16, 2013, Entergy Texas, Inc. filed its Monthly MISO Compliance Report for September 2013.

On September 17, 2013, the Midcontinent Independent System Operator, Inc. filed confirmation of the FERC filing in Docket No. ER13-2385 which contains proposed revisions to the Transmission Owners Agreement to expand the state regulatory authorities section for the MISO Advisory Committee.

41223 Application of Entergy Texas, Inc., ITC Holdings Corp., MidSouth Transco LLC, Transmission Company Texas, LLC, and ITC Midsouth LLC ("Applicants") for Approval

Regulatory Status Report

of Change of Ownership and Control of Transmission Business, Transfer of Certification of Rights, Certain Cost Recovery Approvals, and Related Relief

On July 9, 2013, SOAH filed its Proposal for Decision, recommending that the Applicant be denied.

On July 22, 2013. Parties filed Exceptions to the Proposal for Decision issued on July 9, 2013.

Several Parties filed Replies to Exceptions to the Proposal for Decision.

On July 29, 2013, Parties filed replies to Exceptions to the Proposal for Decision.

On July 31, 2013, the State Office of Administrative Hearings submitted a letter stating it has reviewed the exceptions filed by the parties to the Proposal for Decision and would like to clarify various matters for the benefit of the Commission.

The Applicants withdrew their Application at the August 9, 2013 Open Meeting.

On August 9, 2013, Commissioner Anderson filed a memorandum outlining conditions to be met in order for the Application to be approved at the open meeting.

On August 15, 2013, the PUCT issued an Order of Dismissal Without Prejudice.

41430

Joint Report and Application of Sharyland Utilities, L.P. ("Sharyland"), Sharyland Distribution & Transmission Services, L.L.C. ("SDTS"), and Southwestern Public Service Company ("SPS") for Approval of Purchase and Sale of Facilities, for Approval of Regulatory Accounting Treatment of Gain or Sale, and for Transfer of Certain Rights

A technical conference was held on July 1, 2013.

On July 19, 2013, Southwestern Public Service Company filed an Errata to its Prefiled Testimony.

On August 2, 2013, SPP filed a letter advising the Administrative Law Judge and the Parties that SPP and SPS have reached an agreement in principle to settle the issues raised by SPP in this proceeding. Therefore, SPP will not be providing Direct Testimony.

On August 2, 2013, Pioneer Natural Resources USA, Inc. filed its Statement of Position.

On August 2, 2013, Nathan Benedict filed Direct Testimony on behalf of the Office of Public Utility Counsel.

On August 2, 2013, Steven Carver filed Direct Testimony on behalf of Alliance of Xcel Municipalities.

On August 9, 2013, PUCT Staff filed Direct Testimony.

On August 16, 2013, Alice Jackson filed Rebuttal Testimony on behalf of Southwestern Public Service Company.

On August 16, 2013, Sharyland filed Rebuttal Testimony.

On August 19, 2013, SPP filed a Notice of Stipulation and Settlement Agreement entered into by SPP and Southwestern Public Service Company.

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On August 22, 2013, Texas Industrial Energy Consumers filed a Motion to Strike portions of Alice Jackson's Rebuttal Testimony filed on behalf of Southwestern Public Service Company.

On August 22, 2013, Alliance of Xcel Municipalities filed a Motion to Strike Rebuttal Testimony of Alice K. Jackson.

On August 26, 2013, Southwestern Public Service Company filed an Errata to its Prefiled Rebuttal Testimony.

On August 27, 2013, SPP filed its Statement of Position.

On August 28, 2013, Oncor Electric Delivery Company, LLC filed its Statement of Position.

On August 28, 2013, Texas Industrial Energy Consumers filed its Statement of Position.

On August 28, 2013, Commission Staff filed its Statement of Position.

On August 28, 2013, Sharyland filed a copy of ERCOT's August 27, 2013 update of the West Texas Sensitivity Study presented at the ERCOT meeting yesterday. This study will address the need for the SPS facilities that are subject of this proceeding.

On August 28, 2013, Alliance of Xcel Municipalities filed its Statement of Position.

On August 28, 2013, the Office of Public Utility Counsel filed its Statement of Position.

On August 29, 2013, Southwestern Public Service Company filed its Response to the Motions to Strike Rebuttal Testimony of Alice K. Jackson.

On August 30, 2013, SPP and SPS filed an Amended Stipulation and Settlement Agreement.

On August 30, 2013, SPP filed an Amended Statement of Position.

A hearing was held on September 3, 2013.

On September 13, 2013, parties filed Initial Briefs.

On September 20, 2013, parties filed Reply Briefs.

On September 20, 2013, the parties filed an Unopposed Stipulation.

41850

Updated Application of Entergy Texas, Inc., ITC Holdings Corp., MidSouth Transco LLC, Transmission Company Texas, LLC, and ITC Midsouth LLC for Approval of Change of Ownership and Control of Transmission Business, Transfer of Certification of Rights and Related Relief

On September 23, 2013, Entergy Texas, Inc., ITC Holdings Corp., MidSouth Transco LLC, Transmission Company Texas, LLC, and ITC Midsouth LLC filed an Updated Application for Approval of Change of Ownership and Control of Transmission Business, Transfer of Certification of Rights and Related Relief.

On September 23, 2013, the Applicants filed a Motion to Convene Prehearing Conference and to Establish Schedule for Hearing before the Commission.

On September 25, 2013, the PUCT issued Order No. 1, Requiring Staff Comments/Recommendation, Scheduling Prehearing Conference, and Adopting Protective Order.

Regulatory Status Report

A prehearing conference is to be held on October 7, 2013.

FERC or State Jurisdiction: United States Court of Appeals

- 12-1158** **Southwest Power Pool, Inc. v. Federal Energy Regulatory Commission (Petition for Review of Orders Issued in FERC Docket No. EL11-34 Related to SPP-MISO JOA Dispute)**
- On July 31, 2013, the U.S. Court of Appeals issued an Order scheduling oral argument to be held on October 18, 2013 in Case No. 12-1158.
- 12-1232** **South Carolina Public Service Authority v. FERC, Petition for Review of Order Nos. 1000 and 1000-A (Consolidated with 12-1233, 12-1250, 12-1276, 12-1279, 12-1280, 12-1285, 12-1290, 12-1292, 12-1293, 12-1294, 12-1296, 12-1299, 12-1300, 12-1304)**
- On July 15, 2013, the U.S. Court of Appeals issued an Order requiring the parties to file new motions to govern future proceedings in these cases on or before September 13, 2013.
- On September 25, 2013, FERC filed its Brief.
- 13-1143** **Xcel Energy Services Inc. v. Federal Energy Regulatory Commission (Petition for Review of Orders issued in FERC Docket No. ER12-959)**
- On August 23, 2013, the U.S. Court of Appeals issued an Order granting the Motion to Dismiss.
- 13-1181** **Nebraska Public Power District v. Federal Energy Regulatory Commission - Petition for Review of Orders in FERC Docket ER12-1179**
- On July 2, 2013, FERC filed a Motion to Dismiss Petition for Review or, in the Alternative, to Hold Appeal in Abeyance in U.S. Court of Appeals Case No. 13-1181.
- On July 12, 2013, Nebraska Public Power District filed an answer in response to FERC's Motion to Dismiss filed on July 2, 2013.
- On July 17, 2013, FERC filed its Reply to Petitioner's Answer to Respondent's Motion to Dismiss Appeal or Alternatively, Hold it in Abeyance.