

Regulatory Status Report

FERC or State Jurisdiction: FERC

AD12-12 Coordination between Natural Gas and Electricity Markets

On October 17, 2013, each Regional Transmission Organization and Independent System Operator appeared before the Commission in order to share its experiences from the summer and fall and described the progress it has made in refining existing practices to provide better coordination between the natural gas and electric industries and ensure adequate fuel supplies.

AD13-7 Centralized Capacity Markets in Regional Transmission Organizations and Independent System Operators

On October 25, 2013, FERC issued a Notice Allowing Post-Technical Conference Comments. Comments are due on December 9, 2013.

AD13-8 Market Implications of Frequency Response and Frequency Bias Setting Requirements

Parties filed comments in response to the July 18, 2013 Notice of Requests for Comments.

CE13-15 Kristen Huckaby, et al.'s Request for Critical Energy Infrastructure Information - Battelle Memorial Institute

On September 25, 2013, Steve Krak amended the original request in CE13-15 to only seek access for the following four requesters: Eric Rehberg, Jason Black, Jason McCullough, and Seyed Mansouri.

FERC issued a Notice of Intent to Release on October 1, 2013.

CE13-19 Bradley Beatty's Request for Critical Energy Infrastructure Information - Ameren UE

FERC issued a Notice of Intent to Release on October 9, 2013.

CE13-130 Randell Johnson's Request for Critical Energy Infrastructure Information - Energy Exemplar, LLC

FERC issued a Notice of Intent to Release on October 30, 2013.

CE13-133 Michael Kim's Request for Critical Energy Infrastructure Information - Iberdrola Renewables

FERC issued a Notice of Intent to Release on October 9, 2013.

CE13-135 Leah Swan's Request for Critical Energy Infrastructure Information - Energy Acuity, LLC

FERC issued a Notice of Intent to Release on October 9, 2013.

CE13-146 Robert Collier, et al.'s Request for Critical Energy Infrastructure Information - OneEnergy Renewables

FERC issued a Notice of Intent to Release on October 24, 2013.

CE13-166 Robert Cleveland's Request for Critical Energy Infrastructure Information - GL Garrad Hassan

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FERC issued a Notice of Intent to Release on October 2, 2013.

CE13-167.001

Joseph Armah, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc. (Additional Requesters)

On October 4, 2013, SPP submitted comments on this request.

CE13-168

Dawei Zhou's Request for Critical Energy Infrastructure Information - E.ON Climate and Renewable, NA

FERC issued a Notice of Intent to Release on October 3, 2013.

CE13-170

Leka Gjonaj and David V. Wheat's Request for Critical Energy Infrastructure Information - New York Public Service Commission

FERC issued a revised Notice of Intent to Release on October 4, 2013.

CE13-172

Brian Winters's Request for Critical Energy Infrastructure Information - Wisconsin Electric Power Company

FERC issued a Notice of Intent to Release on October 4, 2013.

CE13-174

Rosemarie Jorgensen, et al.'s Request for Critical Energy Infrastructure Information - Wisconsin Electric Power Company (d/b/a We Energies)

FERC issued a Notice of Intent to Release on October 10, 2013.

CE13-178

Jeremy Lin's Request for Critical Energy Infrastructure Information - PJM Interconnection, L.L.C.

On September 17, 2013, Jeremy Lin, Senior Engineer of PJM Interconnection, L.L.C., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed September 1, 2013.

On October 8, 2013, SPP submitted comments on this request.

FERC issued a Notice of Intent to Release on October 18, 2013.

CE13-179

John Kopinski's Request for Critical Energy Infrastructure Information - ITC Holdings Corp.

On September 27, 2013, John Kopinski, Senior Electrical Engineer - Planning, of ITC Holdings Corp., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 1, 2013.

On October 8, 2013, SPP submitted comments on this request.

CE13-180

Kristin Mrozinski, et al's - Request for Critical Energy Infrastructure Information - AWS Truepower, LLC

On September 25, 2013, Kristin Mrozinski, Legal Group Manager of AWS Truepower, LLC, on behalf of herself and eight others, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed September 4, 2013.

On October 11, 2013, SPP submitted comments on this request.

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- CE14-2** **Vincent Yang's Request for Critical Energy Infrastructure Information - NRG Energy**
- On September 27, 2013, Vincent Yang, Director of Transmission of NRG Energy, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 17, 2013.
- On October 24, 2013, SPP submitted comments on this request.
- FERC issued a Notice of Intent to Release on October 30, 2013.
- CE14-7** **William Ko's Request for Critical Energy Infrastructure Information on his own behalf**
- On October 10, 2013, William Ko, Energy Trader for himself, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 15, 2013.
- On October 22, 2013, SPP submitted comments on this request.
- CE14-8** **Jo Hyun Jin's Request for Critical Energy Infrastructure Information - EON Climate and Renewables**
- On October 14, 2013, Joo Hyun Jin, Management Analyst of EON Climate and Renewables, filed a FERC CEII request to obtain a copy of SPP's transmission map, as noticed October 24, 2013.
- CE14-15** **John P. Reynolds' Request for Critical Energy Infrastructure Information - Professional Asset Management, LLC**
- On October 21, 2013, John Reynolds, President of Professional Asset Management, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 28, 2013.
- EL13-84** **Kansas Municipal Energy Agency's ("KMEA") Complaint Against Sunflower Electric Power Corporation ("Sunflower"), Mid-Kansas Electric Company, LLC ("Mid-Kansas"), and SPP to Correct Sunflower's Unauthorized Registration of KMEA's Members' Load and City-Owned Generation Resources in the SPP Integrated Marketplace**
- On October 30, 2013, Kansas Municipal Energy Agency filed a Request for Rehearing of the September 30, 2013 Order.
- ER07-1069** **AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)**
- On October 28, 2013, Martha Peine filed a Petition for Appointment of Administrative Law Judge as Discovery Master in order to resolve a discovery dispute concerning informal information gathering pursuant to the Protocols to review information and workpapers supporting AEP's 2013 Annual Update to its annual transmission revenue requirements.
- On October 31, 2013, FERC issued a Notice Directing Appointment of Administrative Law Judge as Discovery Master.
- ER10-355** **American Electric Power Service Corporation ("AEP") Submission of a Formula Rate to Recover Costs of Investments in Transmission Facilities Owned by AEP Transmission Company, L.L.C. ("AEPTCo") Subsidiaries in PJM and in SPP RTO Regions**
- On October 28, 2013, Martha Peine filed a Petition for Appointment of Administrative Law

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Judge as Discovery Master in order to resolve a discovery dispute concerning informal information gathering pursuant to the Protocols to review information and workpapers supporting AEP's 2013 Annual Update to its annual transmission revenue requirements.

On October 31, 2013, FERC issued a Notice Directing Appointment of Administrative Law Judge as Discovery Master.

ER12-550

SPP Submission of Order No. 719 Compliance Filing Revising Tariff in Response to Order on Compliance Filings and Rehearing Issued in Docket Nos. ER09-1050, ER09-748, and ER09-1192

On October 17, 2013, FERC issued an order accepting SPP's August 19, 2013 Compliance Filing, to become effective March 1, 2014 as requested.

ER12-1179

SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace

On October 7, 2013, FERC issued an Order of Chief Judge Designating Settlement Judge and Schedule Settlement Conference to be held on October 10, 2013.

On October 11, 2013, Settlement Judge Sterner issued a Status Report and Notice of Upcoming Settlement Conference. A second settlement conference is scheduled for October 16, 2013.

On October 17, 2013, FERC issued a Notice of Upcoming Settlement Conference to be held on November 5, 2013.

On October 21, 2013, Nebraska Public Power District filed a Request for Rehearing of the September 20, 2013 Order.

NPPD stated the Commission should have rejected SPP's proposed revision to Section 2.2(2) of Attachment AE as non-compliant with its October 18, 2012 Order.

On October 30, 2013, SPP submitted a revised Offer of Settlement pursuant to the September 30, 2013 Order.

On October 30, 2013, Nebraska Public Power District filed a Motion for Clarification or in the Alternative, Application for Rehearing of the September 30, 2013 Order.

NPPD stated that Ordering Paragraph (D), which states that "a public hearing shall be held concerning whether GFA No. 494 should be included in Schedule 1 of the Settlement," could be construed in a manner which would not include the issues of whether Tri-State load should be treated as "out-of-market," as argued by Basin/Tri-State, or as non-participating embedded load, as argued by NPPD. NPPD requested that the Commission clarify that the hearing it ordered in the September 30 Order is intended to address all issues raised by NPPD and Basin/Tri-State relating to the appropriate treatment of Tri-State load under GFA 494.

ER12-2292

SPP Submission of Tariff Revisions to Attachment AE to Facilitate the Systematic Rather than Manual Curtailment of Non-Dispatchable Resources in the Energy Imbalance Services Market ("EIS Market") During Period of Congestion

On October 10, 2013, SPP submitted its compliance filing in response to the September 20, 2013 Order. SPP also requested a temporary limited Tariff waiver to permit a delay in the implementation of the systematic curtailment of existing Non-Dispatchable Resources (that went into Commercial Operation before October 15, 2012) from September 20, 2013 to

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September 26, 2013 that was necessary to accommodate required software system changes.

ER12-2681

Joint Application of ITC Holdings Corp. ("ITC") and Entergy Corporation ("Entergy") for Authorization of Acquisition and Disposition of Jurisdictional Transmission Facilities, Approval of Transmission Service Formula Rate and Certain Jurisdictional Agreements, and Petition for Declaratory Order on Application of Section 305(a) of the Federal Power Act (Docket Nos. ER12-2681, EC12-145, and EL12-107)

On October 18, 2013, the Joint Consumers filed a Protest in response to the September 13, 2013 Compliance Filings.

On October 18, 2013, the Organization of MISO States filed Comments in response to the September 13, 2013 Compliance Filing.

On October 31, 2013, the Midcontinent Independent System Operator, Inc. filed its Informational Report detailing the status of the negotiations regarding revisions to existing Joint Operating Agreements, or the development of new JOAs, to address loop flow concerns with SPP, Tennessee Valley Authority and Associated Electric Cooperative, Inc.

ER13-366

SPP Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements

On October 15, 2013, SPP filed a Motion for Extension of Time and Expedited Consideration. SPP requested an extension of time until August 15, 2014 to revise its Tariff to comply with the Commission's directive to "revis[e] the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally." SPP requested that the Commission act on this motion by October 23, 2013.

On October 24, 2013, FERC issued a Notice of Extension of Time, granting SPP an extension to August 15, 2014 to comply with the Commission's directive to revise the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally.

ER13-367

SPP Submission of Revisions to its Membership Agreement to Comply with Order No. 1000

On October 15, 2013, SPP filed a Motion for Extension of Time and Expedited Consideration. SPP requested an extension of time until August 15, 2014 to revise its Tariff to comply with the Commission's directive to "revis[e] the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally." SPP requested that the Commission act on this motion by October 23, 2013.

On October 24, 2013, FERC issued a Notice of Extension of Time, granting SPP an extension to August 15, 2014 to comply with the Commission's directive to revise the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally.

ER13-948

Entergy Services, Inc. ("ESI") and the Midwest Independent Transmission System Operator, Inc. ("MISO") Filing of Six Attachment O Templates to the MISO Open Access Transmission, Energy and Operating Reserve Markets Tariff to Establish Formula Rates for the Entergy Operating Companies' Recovery of Transmission Revenue Requirements

On October 18, 2013, the Organization of MISO States filed Comments in response to the September 13, 2013 Compliance Filing.

On October 31, 2013, the Midcontinent Independent System Operator, Inc. filed its

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Informational Report detailing the status of the negotiations regarding revisions to existing Joint Operating Agreements, or the development of new JOAs, to address loop flow concerns with SPP, Tennessee Valley Authority and Associated Electric Cooperative, Inc.

ER13-1872

Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 1, 2013, FERC issued an Order of Chief Judge Shortening Time to Answer to October 2, 2013, in response to the Unopposed Motion for Interim Rate Relief and Request for Expedited Action.

On October 2, 2013, Judge Wagner issued a Report of Chief Judge stating that a settlement agreement was filed on September 30, 2013. The Chief Judge will certify the settlement agreement to the Commission, if appropriate, after the period for comments expires.

On October 3, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief.

On October 9, 2013, Commission Trial Staff filed Comments Supporting Settlement.

On October 17, 2013, Commission Trial Staff filed Comments Supporting Settlement.

On October 23, 2013, FERC issued the Chief Judge's Certification of Uncontested Settlement and Waiver of Reply Comment Period.

ER13-1914

SPP Submission of Tariff Revisions to Clarify the Determination of Credits and Distribution of Credit Revenue for Creditable Upgrades

On October 7, 2013, SPP filed its response to the Commission's request for additional information issued on September 6, 2013.

On October 9, 2013, SPP filed an amendment to its proposed tariff revisions filed on July 9, 2013.

On October 9, 2013, FERC issued a letter stating that due to the October 7, 2013 Filing, the filing date for this proceeding is reset to October 7, 2013.

On October 30, 2013, the American Wind Energy Association and the Wind Coalition filed Comments in response to SPP's October 7, 2013 Filing.

The parties stated that SPP's answer to question 1 indicates that refunds to some parties who fund multiple Network Upgrades may be different than under the current methodology.

ER13-1928

Duke Energy Carolinas, LLC's and Duke Energy Progress, Inc.'s Order 1000 Interregional Filing

On October 9, 2013, Southern Company Services and other members of the Southeastern Regional Transmission Planning region filed a Motion for Leave to Answer and Answer to Protests of Order No. 1000 Interregional Compliance Filings.

ER13-1930

Louisville Gas and Electric Company's and Kentucky Utilities Company's Order 1000 Interregional Filing

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On October 9, 2013, Southern Company Services and other members of the Southeastern Regional Transmission Planning region filed a Motion for Leave to Answer and Answer to Protests of Order No. 1000 Interregional Compliance Filings.

ER13-1940

Ohio Valley Electric Corporation's Order 1000 Interregional Filing

On October 9, 2013, Southern Company Services and other members of the Southeastern Regional Transmission Planning region filed a Motion for Leave to Answer and Answer to Protests of Order No. 1000 Interregional Compliance Filings.

ER13-1941

Southern Company Services, Inc.'s Order 1000 Interregional Filing

On October 9, 2013, Southern Company Services and other members of the Southeastern Regional Transmission Planning region filed a Motion for Leave to Answer and Answer to Protests of Order No. 1000 Interregional Compliance Filings.

ER13-2031

Submission of Revisions to Bylaws and Membership Agreement to Implement Withdrawal Obligations and Revisions to Provide Greater Flexibility Regarding the Functions of Various SPP Committees Reporting to the Board of Directors

On October 4, 2013, SPP filed a Motion for Extension of Time and Request for Expedited Action. SPP requested an extension until November 1, 2013 to submit the compliance filing.

On October 16, 2013, FERC issued a Notice of Extension of Time to and including November 1, 2013 for SPP to submit its compliance filing.

ER13-2033

Submission of Tariff Revisions to Clarify Withdrawal Obligations

On October 4, 2013, SPP filed a Motion for Extension of Time and Request for Expedited Action. SPP requested an extension until November 1, 2013 to submit the compliance filing.

On October 16, 2013, FERC issued a Notice of Extension of Time to and including November 1, 2013 for SPP to submit its compliance filing.

ER13-2078

Submission of Tariff Revisions to Address the Treatment of Grandfathered Agreements ("GFAs") "Carved-Out" from the SPP Integrated Marketplace

On October 30, 2013, SPP submitted its compliance filing pursuant to the September 30, 2013 Order.

An effective date of March 1, 2014 was requested.

ER13-2091

SPP Submission of Tariff Revisions to Enhance Market Participation Eligibility Criteria, Clarify Data Sharing with Regulatory Agencies, and Clarify Bilateral Settlement Schedules Related to Certain Integrated Marketplace Rules in Anticipation of SPP's Application for Exemption of Certain Market Transactions from Provisions of the Commodity Exchange Act ("CEA") and Commodity Futures Trading Commission ("CFTC")

On October 25, 2013, SPP submitted its compliance filing pursuant to the September 30, 2013 Order.

An effective date of March 1, 2014 was requested.

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- ER13-2162** **Midcontinent Independent System Operator, Inc. ("MISO") Filing of Operations Reliability Coordination Agreement ("ORCA") to Support the Reliable Integration of the MISO South Region into the MISO Balancing Authority Area**
- On October 10, 2013, FERC issued an Order Accepting Operations Reliability Coordination Agreement, effective August 16, 2013.
- ER13-2164** **SPP Submission of Tariff Revisions to Modify the Aggregate Transmission Service Study Process (AG Study Backlog Clearing Process Tariff Revisions)**
- On October 9, 2013, the East Texas Cooperatives filed a reply in response to SPP's September 20, 2013 Answer.
- The Cooperatives stated that SPP should continue to work to improve its processes for helping customers deal with third party impacts, including considering the additional protections the Cooperatives recommended in their September 5, 2013 Comments.
- On October 11, 2013, FERC issued an Order Conditionally Accepting Tariff Revisions, effective October 12, 2013, subject to a compliance filing.
- The Commission found SPP's proposal to be just and reasonable as it will allow SPP to process its customers' transmission requests in a more efficient manner and reduce the current queue backlog SPP is facing. However, the Commission directed SPP to submit additional tariff language to clarify the calculation of make-whole payments and cost reallocation under its proposal.
- SPP's compliance filing is due on November 12, 2013.
- ER13-2170** **Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Kansas City Board of Public Utilities ("KCBPU") as Transmission Customer**
- On October 10, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2171** **Notice of Cancellation of the Meter Agent Services Agreement between Twin Eagle Resource Management, LLC ("Twin Eagle") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent**
- On October 10, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective August 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2173** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Mulvane, Kansas ("Mulvane") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On October 11, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.
- This order constitutes final agency action.

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- ER13-2177** **Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Grand River Dam Authority ("GRDA") as Network Customer and Host Transmission Owner**
- On October 11, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective June 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2181** **Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Midwest Energy, Inc. ("Midwest Energy") as Network Customer, and Midwest Energy and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners**
- On October 11, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective June 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2189** **Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between EVA WIND, LLC ("EVA Wind") as Interconnection Customer and Tri-County Electric Cooperative, Inc. ("Tri-County") as Transmission Owner**
- On October 4, 2013, FERC issued a letter order accepting the agreement, effective August 2, 2013 as requested.
- This order constitutes final agency action.
- ER13-2190** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**
- On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2197** **Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner**
- On October 10, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective June 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2211** **Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Kansas City Power & Light Company ("KCPL") as Meter Agent**
- On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

Regulatory Status Report

This order constitutes final agency action.

ER13-2214

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Osage City, Kansas ("Osage City") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2217

Meter Agent Services Agreement between Cimarron Wind Energy, LLC ("Cimarron") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2218

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2239

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2240

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2248

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1,

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2013 as requested.

This order constitutes final agency action.

ER13-2249

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2251

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2252

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2254

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2257

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2258

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1,

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2013 as requested.

This order constitutes final agency action.

ER13-2259

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2261

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2262

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2264

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2267

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2268

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1,

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2013 as requested.

This order constitutes final agency action.

ER13-2269

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2270

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2271

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2272

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2274

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2275

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as both Network Customer and Host Transmission Owner

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On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2276

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2281

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2282

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2284

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2293

EDP Renewables North America LLC ("EDP") Petition for Limited Waiver of Tariff Provision and Request for Expedited Action

On October 28, 2013, FERC issued an order granting EDP Renewables North America LLC's request for a limited waiver.

ER13-2326

Generator Interconnection Agreement ("GIA") between Buckeye Wind Energy LLC ("Buckeye Wind") as Interconnection Customer and Midwest Energy, Inc. ("Midwest") as Transmission Owner

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On October 31, 2013, FERC issued a letter order accepting the agreement, August 15, 2013 as requested.

This order constitutes final agency action.

ER13-2335

Submission of Revisions to Attachment AD of the Tariff to Amend Tariff Administration Agreement between SPP and Southwestern Power Administration ("Southwestern")

On October 30, 2013, FERC issued an order accepting the revisions Attachment AD to extend the Tariff Administration Agreement between SPP and Southwestern Power Administration.

An effective date of September 1, 2013 was granted.

ER13-2382

Joint Request of SPP and Tri-County Electric Cooperative, Inc. ("Tri-County") for Temporary, Limited Tariff Waiver to Permit SPP and Tri-County to Suspend Obligation to Implement the Annual Update to Tri-County's Annual Transmission Revenue Requirement ("ATRR")

On October 7, 2013, Occidental Permian, Ltd. filed a Motion to Intervene and Comments. Occidental stated that it does not object to a delay in the filing of the annual update, but stated that there is no "obligation" or even a right to file an annual update under the express terms of SPP's Tariff unless and until the Commission has approved the initial formula rate.

On October 7, 2013, Xcel Energy Services Inc. filed a Motion to Intervene and Comments. Xcel stated it does not believe Annual Updates can be implemented until the Commission approves the initial rate and that the waiver is unnecessary.

On October 7, 2013, the New Mexico Cooperatives filed a Motion to Intervene.

ER13-2439

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On October 17, 2013, Kansas Power Pool filed a Motion to Intervene Out-of-Time.

ER14-22

Kansas City Power & Light Company ("KCPL") and KCP&L Greater Missouri Operations Company ("KCPL-GMO") Submission of Revised Depreciation and Amortization Rates

On October 3, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed revised depreciation and amortization rates consistent with orders of the state utility commissions in Kansas and Missouri. These proposed revisions will be reflected in the transmission formula rates approved in Docket No. ER10-230 for service in SPP rate zone 6 for KCPL and rate zone 9 for KCPL-GMO.

An effective date of January 1, 2014 was requested.

ER14-27

Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Southwestern Public Service Company ("SPS") as Network Customer and Host Transmission Owner

On October 4, 2013, SPP filed a Notice of Cancellation of the NITSA and NOA between SPP as Transmission Provider and Southwestern Public Service Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1910.

Regulatory Status Report

An effective date of January 1, 2012 was requested.

ER14-51

Meter Agent Services Agreement between City of Chanute ("Chanute") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On October 8, 2013, SPP submitted an executed Meter Agent Services Agreement between City of Chanute as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2564.

An effective date of October 1, 2013 was requested.

ER14-60

Generator Interconnection Agreement ("GIA") between Rattlesnake Creek Wind Project, LLC ("Rattlesnake") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On October 8, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Rattlesnake Creek Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Second Revised 2299.

An effective date of September 26, 2013 was requested.

ER14-67

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner

On October 9, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and American Electric Power Service Corporation as both Network Customer and Host Transmission Owner, and a partially executed NOA between SPP as Transmission Provider, AEP as Network Customer, and Western Farmers Electric Cooperative as Host Transmission Owner. SPP Service Agreement No. Sixteenth Revised 1148.

AEP and WFEC have been unable to resolve a dispute over the Ellis point of delivery and Wapanucka point of delivery.

An effective date of October 1, 2013 was requested.

On October 10, 2013, American Electric Power Service Corporation filed a Motion to Intervene.

On October 30, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments.

WFEC stated:

- 1) as the host transmission owner, WFEC should control how delivery point facilities on WFEC's system are designed and configured and how their costs are allocated;
- 2) even if the Commission accepts American Electric Power's delivery point facility designs and configurations, these are directly assignable delivery point facilities;
- 3) even if the Commission treats AEP's proposed facilities as transmission, these are sponsored upgrades subject to cost allocation to the project sponsor; and
- 4) the SPP Filing contains certain language that is inaccurate or otherwise incomplete such

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that a WFEF response is required.

On October 30, 2013, American Electric Power Service Corporation filed Comments.

AEP stated:

- 1) the configuration and cost allocation associated with the Ellis and Wapanucka delivery point facilities are included in the filed NITSA and thus square before the Commission in this docket;
- 2) the delivery point configurations described in the NITSA and appended delivery point agreements are not necessary to reliably serve AEP's load for both the Ellis and Wapanucka point of deliveries ("PODs");
- 3) the Network Upgrades in the configurations for the Ellis and Wapanucka PODs contained in the proposed revised NITSA and its appendices qualify as transmission facilities that will be integrated with the SPP transmission system;
- 4) because the Network Upgrades qualify as transmission facilities that are integrated with the transmission network, the costs of such facilities must be rolled into rates; and
- 5) SPP's determination of "no significant impact" to the transmission system is not dispositive of the issue of whether delivery point facilities required to facilitate the load interconnection are transmission facilities eligible for rolled-in cost recovery.

On October 30, 2013, AEP Oklahoma Transmission Company, Inc. and AEP Southwestern Transmission Company, Inc., and the East Texas Cooperatives filed Motions to Intervene.

ER14-125

Submission of Tariff Revisions to Reflect a Change in Management of Reliability Tools

On October 18, 2013, SPP submitted tariff revisions to reflect a change in the management of reliability tools utilized by SPP in its role as the Reliability Coordinator for the SPP footprint.

An effective date of December 17, 2013 was requested.

ER14-163

Ministerial Filing to Cancel and Re-Submit the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Osage City, Kansas ("Osage City") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 23, 2013, SPP submitted a Notice of Cancellation and Re-submission of the NITSA between SPP as Transmission Provider, the City of Osage City, Kansas as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 1839.

Due to an administrative error, the original filing of the agreement was submitted under the incorrect eTariff Record ID. Therefore, SPP cancelled the agreement in the incorrect Record ID and moved the agreement to the correct Record ID.

An effective date of August 1, 2013 was requested.

ER14-167

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner

On October 23, 2013, SPP submitted an executed NITSA between SPP as Transmission

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Provider, Golden Spread Electric Cooperative, Inc. as Network Customer, as well as a NOA with American Electric Power Service Corporation as Host Transmission Owner. SPP Service Agreement No. First Revised 2142.

An effective date of January 1, 2011 was requested.

ER14-169

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On October 23, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Fourteenth Revised 1374.

An effective date of October 1, 2013 was requested.

ER14-173

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On October 24, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Twelfth Revised 2198.

An effective date of October 1, 2013 was requested.

On October 30, 2013, KPP filed a Motion to Intervene.

ER14-174

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 24, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Twelfth Revised 1636.

An effective date of October 1, 2013 was requested.

ER14-176

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 24, 2013, SPP submitted 1) an executed NITSA between SPP as Transmission Provider and KCP&L Greater Missouri Operations Company as Network Customer; 2) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner; and 3) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Seventh Revised 1765.

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An effective date of October 1, 2013 was requested.

ER14-193

Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Generation Energy, Inc. ("Generation Energy") as Interconnection Customer and Tri-County Electric Cooperative, Inc. ("Tri-County") as Transmission Owner

On October 28, 2013, SPP submitted a Notice of Cancellation of the GIA between SPP as Transmission Provider, Generation Energy, Inc. as Interconnection Customer, and Tri-County Electric Cooperative, Inc. as Transmission Owner. SPP Service Agreement No. 2513.

An effective date of January 1, 2014 was requested.

ER14-223

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and The Empire District Electric Company ("Empire") as Network Customer and Host Transmission Owner

On October 30, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and The Empire District Electric Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1630.

An effective date of October 1, 2013 was requested.

ER14-224

Designee Qualification and Novation Agreement between SPP, Kansas City Power & Light Company ("KCP&L"), KCP&L Greater Missouri Operations Company ("GMO"), and Transource Missouri, LLC ("Transource")

On October 30, 2013, SPP submitted an executed Designee Qualification and Novation Agreement between SPP, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and Transource Missouri, LLC. SPP Service Agreement No. 2626.

An effective date of October 30, 2013 was requested.

ER14-228

Submission of Tariff Revisions to Implement a Cost-Based Formula Rate for Sunflower Electric Power Corporation

On October 30, 2013, SPP submitted tariff revisions to implement a cost-based transmission formula rate for SPP member Sunflower Electric Power Corporation.

An effective date of January 1, 2014 was requested.

ER14-229

Generator Interconnection Agreement ("GIA") between Cimarron Windpower II, LLC ("Cimarron") as Interconnection Customer and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner

On October 30, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Cimarron Windpower II, LLC as Interconnection Customer, and Sunflower Electric Power Corporation as Transmission Owner. SPP Service Agreement No. Second Revised 2213.

An effective date of October 7, 2013 was requested.

ER14-236

Submission of Tariff Revisions to Incorporate Transource Missouri, LLC's Formula Rate

On October 30, 2013, SPP submitted revisions to its Tariff to incorporate the formula rate

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template and protocols for Transource Missouri, LLC.

An effective date of January 1, 2014 was requested.

RC11-6

North American Electric Reliability Corporation's ("NERC") Petition Requesting Approval of New Enforcement Mechanisms and Submittal of Initial Informational Filing Regarding NERC's Efforts to Refocus Implementation of Its Compliance Monitoring and Enforcement Program ("CMEP")

On October 31, 2013, FERC issued a data request to the SPP Regional Entity concerning the Find, Fix, Track and Report data posted during the period of October 1, 2012 through September 30, 2013. The response is due December 16, 2013.

RM01-8

Public Utility Filing Requirements for Electric Quarterly Reports ("EQR")

On October 10, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 3Q2013 EQR was extended from October 31, 2013 to a date to be determined.

RM05-5

Standards for Business Practices and Communication Protocols for Public Utilities

On October 1, 2013, the Public Utility District No. 1 of Snohomish County filed Reply Comments in response to the Notice of Proposed Rulemaking issued on July 18, 2013.

RM10-11

Notice of Proposed Rulemaking Regarding Integration of Variable Energy Resources ("VERs") (Order No. 764)

On October 15, 2013, FERC issued a Notice of Filing Procedures for Order No. 764 Electronic Compliance Filings.

RM10-12

Electricity Market Transparency Provisions of Section 220 of the Federal Power Act (Order No. 768)

On October 10, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 3Q2013 EQR was extended from October 31, 2013 to a date to be determined.

RM12-1

Transmission Planning Reliability Standards

On October 17, 2013, FERC issued Order No. 786, Final Rule approving Transmission Planning Reliability Standard TPL-001-4.

Reliability Standard TPL-001-4 introduces significant revisions and improvements by requiring annual assessments addressing near-term and long-term planning horizons for steady state, short circuit and stability conditions. Reliability Standard TPL-001-4 also includes a provision that allows a transmission planner to plan for non-consequential load loss following a single contingency by providing a blend of specific quantitative and qualitative parameters for the permissible use of planned non-consequential load loss to address bulk electric system performance issues, including firm limitations on the maximum amount of load that an entity may plan to shed, safeguards to ensure against inconsistent results and arbitrary determinations that allow for the planned non-consequential load loss, and a more specifically defined, open and transparent, verifiable, and enforceable stakeholder process.

The Commission directed NERC to modify Reliability Standard TPL-001-4 to address the

Regulatory Status Report

concern that the standard could exclude planned maintenance outages of significant facilities from future planning assessments and directed NERC to change the TPL-001-4, Requirement R1 Violation Risk Factor from medium to high.

This rule becomes effective on December 23, 2013.

RM12-3 Revisions to Electric Quarterly Report ("EQR") Filing Process (Order No. 770)

On October 10, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 3Q2013 EQR was extended from October 31, 2013 to a date to be determined.

RM13-5 Version 5 Critical Infrastructure Protection Reliability Standards

On October 1, 2013, NERC submitted a corrected Attachment 2 to its September 30, 2013 Filing.

On October 11, 2013, NERC submitted its Informational Filing regarding the CIP Version 5 Reliability Standards Implementation Study.

RM13-7 Protection System Maintenance Reliability Standard

On October 30, 2013, NERC filed an errata to its Petition for approval of proposed Reliability Standard PRC-005-2 (Protection System Maintenance).

RM13-9 Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Modified Transmission Planning Reliability Standards in the Case of System Performance Following Loss of a Single Bulk Electric System Element

On October 17, 2013, FERC issued Order No. 786, Final Rule approving Transmission Planning Reliability Standard TPL-001-4.

Reliability Standard TPL-001-4 introduces significant revisions and improvements by requiring annual assessments addressing near-term and long-term planning horizons for steady state, short circuit and stability conditions. Reliability Standard TPL-001-4 also includes a provision that allows a transmission planner to plan for non-consequential load loss following a single contingency by providing a blend of specific quantitative and qualitative parameters for the permissible use of planned non-consequential load loss to address bulk electric system performance issues, including firm limitations on the maximum amount of load that an entity may plan to shed, safeguards to ensure against inconsistent results and arbitrary determinations that allow for the planned non-consequential load loss, and a more specifically defined, open and transparent, verifiable, and enforceable stakeholder process.

The Commission directed NERC to modify Reliability Standard TPL-001-4 to address the concern that the standard could exclude planned maintenance outages of significant facilities from future planning assessments and directed NERC to change the TPL-001-4, Requirement R1 Violation Risk Factor from medium to high.

This rule becomes effective on December 23, 2013.

RM13-11 Frequency Response and Frequency Bias Setting Reliability Standard

On October 15, 2013, NERC filed Reply Comments in response to Comments regarding the Notice of Proposed Rulemaking issued on July 18, 2013.

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RR06-1

North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization ("ERO")

On October 30, 2013, NERC submitted its Analysis of NERC Standards Process Results for the Third Quarter 2013.

FERC or State Jurisdiction: State of Arkansas

09-090-U **In the Matter of the Impact of Federal Energy Regulatory Commission ("FERC") Orders 719 and 719-A in FERC Docket No. RM07-19-001 on the Regulatory Authority of the Arkansas Public Service Commission**

On October 18, 2013, SPP filed a Notice of FERC Order. FERC issued a final order in SPP's Order No. 719 compliance proceedings on October 17, 2013.

10-010-U **In the Matter of a Notice of Inquiry into Energy Efficiency ("EE NOI Docket")**

On October 16, 2013, the APSC issued Order No. 16, accepting Staff's Report and recommendations and approving Version 3.0 of the Instructions for the Arkansas Energy Efficiency Program Portfolio Annual Report (also known as the SARP) for immediate use.

10-011-U **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**

On October 1, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On October 1, 2013, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

On October 8, 2013, Jennifer Curran filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc. in response to Order No. 78.

On October 8, 2013, Hugh McDonald filed Supplemental Testimony on behalf of EAI in response to Order No. 78.

On October 8, 2013, Melinda Montgomery filed Supplemental Testimony on behalf of EAI in response to Order No. 78.

On October 8, 2013, Charles Long filed Supplemental Testimony on behalf of EAI in response to Order No. 78.

On October 31, 2013, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

10-101-R **In the Matter of the Institution of a Rulemaking to Adopt Amendments to the Commission's Rules on Conservation & Energy Efficiency ("C&EE") to Allow Self-Directed Programs for Large Consumers**

On October 3, 2013, Southwestern Electric Power Company filed Sur-Reply Comments.

On October 3, 2013, Entergy Arkansas, Inc. filed Sur-Reply Comments.

On October 3, 2013, the Arkansas Attorney General filed Sur-Reply Comments.

On October 3, 2013, Arkansas Electric Energy Consumers, Inc. and Arkansas Gas Consumers, Inc. filed Sur-Reply Comments.

On October 29, 2013, SPP filed a Motion to Be Excused from Hearing.

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On October 31, 2013, the APSC issued Order No. 32, excusing SPP, Arkansas Oklahoma Gas Corporation, Oklahoma Gas and Electric Company, and The Empire District Electric Company from the November 1, 2013 hearing.

12-008-U

In the Matter of Southwestern Electric Power Company's Petition for a Declaratory Order Finding that Installation of Environmental Controls at the Flint Creek Power Plant is in the Public Interest

On October 8, 2013, the APSC issued Order No. 16, closing this docket.

12-060-R

In the Matter of Amendments to the Arkansas Public Service Commission's Rules Concerning Meter Aggregation and Combined Billing for Net-Metering Customers

On October 1, 2013, APSC General Staff filed Reply Comments pursuant to Order No. 9.

On October 11, 2013, the APSC issued Order No. 10, adopting Staff's Standard Tariff filed on September 17, 2013.

12-069-U

In the Matter of an Application of Entergy Arkansas, Inc., Mid South Transco LLC, ITC Midsouth LLC, Transmission Company Arkansas, LLC, and ITC Holdings Corp. to Enter Transactions Resulting in a Certificate of Public Convenience and Necessity for a New Arkansas Utility to Own EAI's Electric Transmission Facilities

On October 1, 2013, the Applicants filed their monthly status report.

On October 29, 2013, Entergy filed errata pages to the Supplemental Testimony of Cameron Bready filed on July 9, 2013, and the Supplemental Rebuttal Testimony of Cameron Bready filed on August 23, 2013.

On October 31, 2013, Entergy filed an errata page to the Supplemental Rebuttal Testimony of Jay Lewis filed on August 23, 2013.

13-041-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas

On October 1, 2013, Parties filed Proposed Findings of Fact and Conclusions of Law.

On October 1, 2013, Parties filed Initial Briefs.

On October 1, 2013, the River Oaks Intervenors filed a Motion to Excuse Counsel from Further Attendance at Hearing.

On October 1, 2013, APSC General Staff filed its Response to Mitchell & Spencer Properties, LLC's Motion to Withdraw as Intervenor. Staff stated it has no objection to the Motion.

On October 1, 2013, the Allred Intervenors filed a Motion to Excuse Counsel from Further Attendance at Hearing.

On October 1, 2013, SPP filed its Post-Hearing Brief.

On October 1, 2013, SPP filed its Proposed Findings of Fact and Conclusions of Law.

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On October 7, 2013, Save the Ozarks filed its Response in Opposition to SWEPCO's Motion to Conform the Pleadings to the Proof.

On October 10, 2013, Thorncrown Chapel, Inc., the American Institute of Architects and the Arkansas Chapter, the American Institute of Architects filed their Response to Staff's Proposed Findings of Fact and Conclusions of Law. The parties stated that Staff omitted the Thorncrown Intervenors from a list of remaining intervenor participants in this proceeding.

On October 16, 2013, SPP filed its Reply Brief.

On October 16, 2013, SWEPCO filed its Reply Brief.

On October 16, 2013, Save the Ozarks filed its Reply Brief.

On October 17, 2013, SWEPCO filed its Reply to Petitioner Save the Ozarks' Response in Opposition to SWEPCO's Motion to Conform Pleadings to the Proof.

On October 18, 2013, the APSC issued Order No. 29, granting Arkansas Electric Cooperative Corporation's request to file their Findings of Fact and Conclusions of Law out of time.

FERC or State Jurisdiction: State of LA - New Orleans

UD-11-01 **Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements**

On October 1, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On October 11, 2013, Entergy filed the Joint Implementation Filing and Request for Related Approvals and Expedited Relief regarding the upcoming integration into the Midcontinent Independent System Operator, Inc.

On October 16, 2013, Entergy filed a copy of the Joint Pricing Zone Revenue Allocation Agreement filed by the Midcontinent Independent System Operator, Inc. at FERC on October 15, 2013.

On October 23, 2013, Entergy filed a Declaration Filing in Compliance with Council Resolution and Settlement. Entergy notified the Council that all of the Energy Operating Companies will integrate into the Midcontinent Independent System Operator, Inc. RTO by December 19, 2013.

On October 25, 2013, Entergy filed errata pages to the Summary of Joint Implementation and Request for Related Approvals and Expedited Relief and to the Direct Testimony of Melissa B. LeJeune filed on October 11, 2013.

UD-12-01 **Investigation of the Proposed Divestiture of the Transmission Assets of Entergy New Orleans, Inc. ("ENO") and Entergy Louisiana, LLC ("ELL") to ITC Holdings Corp.**

On October 30, 2013, the Advisors to the Council of the City of New Orleans, Air Products and Chemicals, Inc. and Entergy filed an Agreement with Respect to Amended Procedural Schedule, including a proposed procedural schedule for the remainder of this proceeding.

On October 31, 2013, the Council of the City of New Orleans issued an Order revising the procedural schedule as follows:

- December 5, 2013 - Last date to issue written discovery or take depositions;
- December 20, 2013 - Advisors/Intervenor Supplemental Surrebuttal Testimony;
- December 27, 2013 - Evidentiary hearing (paper);
- December 27, 2013 - Briefs; and
- December 30, 2013 - Hearing Officer to certify record.

FERC or State Jurisdiction: State of Missouri

EO-2013-0431

In the Matter of Entergy Arkansas, Inc.'s ("EAI") Notification of Intent to Change Functional Control of its Missouri Electric Transmission Facilities to the Midcontinent Independent System Operator, Inc. ("MISO") Regional Transmission Organization or Alternative Request to Change Functional Control and Motions for Waiver and Expedited Treatment

On October 9, 2013, the MoPSC issued a Report and Order approving EAI's migration of its Missouri transmission assets into MISO, conditioned upon the negotiation and approval of a revised Joint Operating Agreement between SPP and MISO addressing the loop flow issues and other altered flows related to the Missouri seam between SPP and MISO, and conditioned upon a requirement that Entergy and/or ITC Midsouth, LLC hold harmless non-MISO Missouri retail customers from all increased costs due to Entergy's potential transfer of functional control of its transmission assets to MISO.

Beginning June 30, 2014, and every year thereafter on or about June 30, EAI, or its successors-in-interest shall file a report with the Commission concerning its participation in MISO.

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FERC or State Jurisdiction: State of New Mexico

13-00031-UT

In the Matter of Southwestern Public Service Company's Interim Report on its Participation in the Southwest Power Pool Regional Transmission Organization

On October 18, 2013, the New Mexico Attorney General filed a Request for Rehearing and for Oral Argument.

On October 29, 2013, SPS filed its Response in Opposition to the New Mexico Attorney General's Request for Rehearing and Oral Argument.

On October 30, 2013, the NMPRC issued an Order on Attorney General's Request for Rehearing and Oral Argument.

The docket was reopened for the limited purpose of considering argument on the Attorney General's Motion for Rehearing.

Oral argument is scheduled for November 6, 2013.

FERC or State Jurisdiction: State of Texas

- 40979** **Proceeding to Track Compliance with Terms and Conditions Set Forth in the Commission's Order Issued in Docket No. 40346 and the Non-Unanimous Stipulation ("NUS") and Associated Studies Arising from the Order and/or NUS**
- On October 2, 2013, Entergy Texas, Inc. filed the Entergy System Intra-System Bill for August 2013.
- On October 15, 2013, Entergy Texas, Inc. filed its Monthly MISO Compliance Report for October 2013.
- On October 18, 2013, Entergy Texas, Inc. filed a Declaration that all of the Entergy Operating Companies will integrate into the Midcontinent Independent System Operator, Inc. by December 19, 2013.
- 41430** **Joint Report and Application of Sharyland Utilities, L.P. ("Sharyland"), Sharyland Distribution & Transmission Services, L.L.C. ("SDTS"), and Southwestern Public Service Company ("SPS") for Approval of Purchase and Sale of Facilities, for Approval of Regulatory Accounting Treatment of Gain or Sale, and for Transfer of Certain Rights**
- On October 3, 2013, SOAH Order No. 4 was issued, Granting Motion to Take Official Notice and to Admit Late-Filed Exhibit of Sharyland Utilities, L.P. The WTS Study was admitted as Sharyland Exhibit No. 10.
- On October 14, 2013, Pioneer Natural Resources USA, Inc. and Texas Industrial Energy Consumers filed Proposed Findings of Fact and Conclusions of Law on Specific Issues Only.
- On October 16, 2013, Sharyland Utilities, L.P. filed a Motion to Strike October 14, 2013 Filing by Pioneer Natural Resources USA, Inc. and Texas Industrial Energy Consumers.
- On October 17, 2013, Pioneer Natural Resources USA, Inc. and Texas Industrial Energy Consumers filed their response to Sharyland's Motion to Strike.
- On October 30, 2013, the Administrative Law Judge issued a Proposal for Decision.
- 41850** **Updated Application of Entergy Texas, Inc., ITC Holdings Corp., MidSouth Transco LLC, Transmission Company Texas, LLC, and ITC Midsouth LLC for Approval of Change of Ownership and Control of Transmission Business, Transfer of Certification of Rights and Related Relief**
- On October 3, 2013, Commission Staff filed its Response to Order No. 1. Staff stated the Applicants will promptly be filing an Application for Sale, Transfer, or Merger form and recommended that the Application be found sufficient when a completed form is filed.
- On October 8, 2013, the Applicants filed an Application for Sale, Transfer, or Merger.

FERC or State Jurisdiction: United States Court of Appeals

12-1158 **Southwest Power Pool, Inc. v. Federal Energy Regulatory Commission (Petition for Review of Orders Issued in FERC Docket No. EL11-34 Related to SPP-MISO JOA Dispute)**

On October 7, 2013, the U.S. Court of Appeals issued an Order allocating times for the oral argument to be held on October 18, 2013.

Oral argument was held on October 18, 2013.

12-1232 **South Carolina Public Service Authority v. FERC, Petition for Review of Order Nos. 1000 and 1000-A (Consolidated with 12-1233, 12-1250, 12-1276, 12-1279, 12-1280, 12-1285, 12-1290, 12-1292, 12-1293, 12-1294, 12-1296, 12-1299, 12-1300, 12-1304)**

On October 16, 2013, Intervenors in support of the Respondent filed Briefs concerning Threshold Issues, Cost Allocation, Rights of First Refusal, and a brief in support of Respondent's Brief Concerning FPA Section 211A.

On October 18, 2013, Intervenors filed a Brief in Support of Respondent's Brief Concerning Scope of Cost Allocation.

13-1181 **Nebraska Public Power District v. Federal Energy Regulatory Commission - Petition for Review of Orders in FERC Docket ER12-1179**

On October 16, 2013, the U.S. Court of Appeals issued an Order granting the motion to hold in abeyance. Respondent was directed to file status reports at 90-day intervals beginning 90 days from the date of this order. The parties were directed to file motions to govern further proceedings within 30 days of the resolution of the proceedings in SPP's Integrated Marketplace Docket No. ER12-1179.