

Regulatory Status Report

FERC or State Jurisdiction: FERC

10-1227 SPP Filing of FERC Form 1 and 3-Q

On November 26, 2013, SPP submitted its FERC Form 3-Q, Quarterly Financial Report, for the third quarter of 2013.

AD11-9 Inquiry into Recent Outages in Texas and the Southwest

On November 21, 2013, the Western Electricity Coordinating Council submitted its response to the September 25, 2013 letter.

On November 21, 2013, the SPP Regional Entity submitted its response to the September 25, 2013 letter.

On November 21, 2013, Texas Reliability Entity, Inc. submitted its response to the September 25, 2013 letter.

AD13-7 Centralized Capacity Markets in Regional Transmission Organizations and Independent System Operators

On November 15, 2013, the American Public Power Association, et al. filed a Motion for Extension of Time to Submit Post-Technical Conference Reply Comments.

On November 27, 2013, FERC issued a Notice of Extension of Time for submitting post-technical conference comments. Comments are now due January 8, 2014.

CE12-106.002 Apoorva Bansal, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc. (Additional Requesters)

FERC issued a Notice of Intent to Release on November 15, 2013.

CE13-155 Aleksandr Rudkevich, et al.'s Request for Critical Energy Infrastructure Information - Newton Energy Group LLC

FERC issued a Notice of Intent to Release on November 27, 2013.

CE13-159 Igor Kliakhandler's Request for Critical Energy Infrastructure Information - Intergrid Mideast Group LLC

FERC issued a Notice of Intent to Release on November 27, 2013.

CE13-161 Joseph Hayden's Request for Critical Energy Infrastructure Information - InfraREIT Capital Partners, LLC

FERC issued a Notice of Intent to Release on November 27, 2013.

CE13-163 Aranya Chakraborty, et al.'s Request for Critical Infrastructure Information - North Carolina State University

FERC issued a Notice of Intent to Release on November 27, 2013.

CE13-167 Denise Benoit, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc.

FERC issued a Notice of Intent to Release on November 18, 2013.

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- CE13-167.001** **Joseph Armah, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc. (Additional Requesters)**
- FERC issued a Notice of Intent to Release on November 18, 2013.
- CE13-180** **Kristin Mrozinski, et al's - Request for Critical Energy Infrastructure Information - AWS Truepower, LLC**
- FERC issued a Notice of Intent to Release on November 8, 2013.
- CE14-7** **William Ko's Request for Critical Energy Infrastructure Information on his own behalf**
- FERC issued a Notice of Intent to Release on November 7, 2013.
- CE14-8** **Jo Hyun Jin's Request for Critical Energy Infrastructure Information - EON Climate and Renewables**
- On October 31, 2013, SPP submitted comments on this request.
- CE14-14** **Kumar Krishnan's Request for Critical Energy Infrastructure Information - Enerlytix, LLC on behalf of Energy Capital Partners/EquiPower Resources Corp**
- On October 21, 2013, Kumar Krishnan, Managing Director of Enerlytix, LLC on behalf of Energy Capital Partners/EquiPower Resources Corp, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 4, 2013.
- On November 8, 2013, SPP submitted comments on this request.
- CE14-15** **John P. Reynolds' Request for Critical Energy Infrastructure Information - Professional Asset Management, LLC**
- On November 4, 2013, SPP submitted comments on this request.
- CE14-16** **Deborah Lewis's Request for Critical Energy Infrastructure Information - RnR Engineering, LLC**
- On October 22, 2013, Deborah Lewis, President of RnR Engineering, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 4, 2013.
- On November 8, 2013, SPP submitted comments on this request.
- CE14-24** **Nick Underwood's Request for Critical Energy Infrastructure Information - Tower Research Capital LLC, representing Franklin Power LLC**
- On November 9, 2013, Nick Underwood, Head of Operations for Tower Research Capital LLC, representing Franklin Power LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 25, 2013.
- EL13-15** **Southwestern Public Service Company ("SPS") Complaint Seeking a Finding that the Rates in SPP Zone 11 are Unjust and Unreasonable due to the Inclusion of the Costs of Facilities of Tri-County Electric Cooperative, Inc. ("Tri-County")**
- On November 15, 2013, Settlement Judge Young filed a Settlement Judge Report to the

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Commission and Chief Judge. The participants have achieved a comprehensive agreement in principle resolving all issues and are drafting a formal offer of settlement.

EL13-35

Southwestern Public Service Company ("SPS") Complaint Requesting Establishment of a January 1, 2013 Refund Effective Date and a Finding from the Commission that SPP has Violated the Federal Power Act by Implementing a 40% Increase in the Tri-County Electric Cooperative, Inc. ("Tri-County") Annual Transmission Revenue Requirement

On November 15, 2013, Settlement Judge Young filed a Settlement Judge Report to the Commission and Chief Judge. The participants have achieved a comprehensive agreement in principle resolving all issues and are drafting a formal offer of settlement.

EL13-84

Kansas Municipal Energy Agency's ("KMEA") Complaint Against Sunflower Electric Power Corporation ("Sunflower"), Mid-Kansas Electric Company, LLC ("Mid-Kansas"), and SPP to Correct Sunflower's Unauthorized Registration of KMEA's Members' Load and City-Owned Generation Resources in the SPP Integrated Marketplace

On November 26, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the September 30, 2013 Order.

ER07-1069

AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)

On November 1, 2013, American Electric Power Service Corporation filed its response to Martha Peine's October 28, 2013 Petition. AEP stated it has responded and continues to work in good faith with the Petitioner.

On November 1, 2013, FERC issued an Order of Chief Judge Appointing Discovery Master.

On November 4, 2013, FERC issued an Order of Discovery Master Initiating Discovery Procedures.

On November 15, 2013, FERC issued an Order Adopting Protective Order.

ER10-355

American Electric Power Service Corporation ("AEP") Submission of a Formula Rate to Recover Costs of Investments in Transmission Facilities Owned by AEP Transmission Company, L.L.C. ("AEPTCo") Subsidiaries in PJM and in SPP RTO Regions

On November 1, 2013, FERC issued an Order of Chief Judge Appointing Discovery Master.

On November 1, 2013, American Electric Power Service Corporation filed its response to Martha Peine's October 28, 2013 Petition. AEP stated it has responded and continues to work in good faith with the Petitioner.

On November 4, 2013, FERC issued an Order of Discovery Master Initiating Discovery Procedures.

On November 15, 2013, FERC issued an Order Adopting Protective Order.

ER12-959

SPP Submission of Revisions to OATT to Implement a Formula Rate for Transmission Service for Tri-County Electric Cooperative, Inc. ("Tri-County"), a Transmission Owner in the Southwestern Public Service Company ("SPS") Zone

On November 12, 2013, Tri-County Electric Cooperative, Inc. submitted a letter conditionally withdrawing portions of its Brief on Exceptions in reference to two Generator Interconnection

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Agreements. The Brief was filed in Docket No. ER12-959-003.

ER12-1179

SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace

On November 1, 2013, SPP submitted its Informational Filing of Revised Readiness Metrics for Integrated Marketplace.

On November 5, 2013, SPP filed an answer in response to Nebraska Public Power District's request for rehearing filed on October 21, 2013. SPP stated the Commission should deny NPPD's request because it is not aggrieved by the Commission's order within the meaning of Section 313 of the Federal Power Act and therefore lacks standing to challenge the order.

On November 6, 2013, Settlement Judge Sterner filed a Status Report in Docket No. ER12-1179-008 stating that the participants met as scheduled on November 5, 2013, and may have reached an agreement in principle and recommending that current settlement proceedings continue.

On November 11, 2013, SPP submitted its compliance filing pursuant to the September 20, 2013 Order.

An effective date of March 1, 2014 was requested.

On November 18, 2013, FERC issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On November 19, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the September 20, 2013 Order.

On November 19, 2013, SPP submitted its progress report on the launch of the Integrated Marketplace.

On November 26, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the September 30, 2013 Order.

ER12-1813

The Empire District Electric Company ("Empire") Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate

On November 18, 2013, FERC issued an order approving the Settlement and Empire's revised Tariff containing its Formula Rate, subject to the Settling Parties' filing, within 30 days of the date of this order, a revised Settlement that modifies Article VIII, paragraph 8.4, standard of review provision.

Empire's compliance filing is due on December 18, 2013.

ER12-2681

Joint Application of ITC Holdings Corp. ("ITC") and Entergy Corporation ("Entergy") for Authorization of Acquisition and Disposition of Jurisdictional Transmission Facilities, Approval of Transmission Service Formula Rate and Certain Jurisdictional Agreements, and Petition for Declaratory Order on Application of Section 305(a) of the Federal Power Act (Docket Nos. ER12-2681, EC12-145, and EL12-107)

On November 18, 2013, SPP filed Comments, Protest, and Request for Immediate Action. SPP requested that the Commission institute formal proceedings to investigate the use and compensation issues pending in this proceeding.

On November 22, 2013, FERC issued a Third Settlement Status Report. The next settlement

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conference is to be held on December 11, 2013.

ER13-366 SPP Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements

On November 15, 2013, SPP submitted its Order No. 1000 Regional Compliance Filing pursuant to the July 18, 2013 Order.

An effective date of March 30, 2014 was requested.

ER13-367 SPP Submission of Revisions to its Membership Agreement to Comply with Order No. 1000

On November 15, 2013, SPP submitted its Order No. 1000 Regional Compliance Filing pursuant to the July 18, 2013 Order.

An effective date of March 30, 2014 was requested.

ER13-742 Generator Interconnection Agreement ("GIA") between Generation Energy, Inc. ("Generation Energy") as Interconnection Customer and Tri-County Electric Cooperative, Inc. ("Tri-County") as Transmission Owner

On November 12, 2013, Tri-County Electric Cooperative, Inc. submitted a letter conditionally withdrawing portions of its Brief on Exceptions in reference to two Generator Interconnection Agreements. The Brief was filed in Docket No. ER12-959-003.

ER13-948 Entergy Services, Inc. ("ESI") and the Midwest Independent Transmission System Operator, Inc. ("MISO") Filing of Six Attachment O Templates to the MISO Open Access Transmission, Energy and Operating Reserve Markets Tariff to Establish Formula Rates for the Entergy Operating Companies' Recovery of Transmission Revenue Requirements

On November 18, 2013, SPP filed Comments, Protest, and Request for Immediate Action. SPP requested that the Commission institute formal proceedings to investigate the use and compensation issues pending in this proceeding.

On November 22, 2013, FERC issued a Third Settlement Status Report. The next settlement conference is to be held on December 11, 2013.

ER13-1173 SPP Submission of Tariff Revisions to Modify Certain Aspects of the SPP Integrated Marketplace

On November 5, 2013, SPP filed an answer in response to Nebraska Public Power District's request for rehearing filed on October 21, 2013. SPP stated the Commission should deny NPPD's request because it is not aggrieved by the Commission's order within the meaning of Section 313 of the Federal Power Act and therefore lacks standing to challenge the order.

ER13-1292 Order No. 764 Compliance Filing Modifying Attachment V, Generator Interconnection Procedures and Generator Interconnection Agreement

On November 12, 2013, SPP submitted its Order No. 764 Compliance Filing to adopt language modifying the scheduling requirements for transmission service.

An effective date of November 12, 2013 was requested for Sections 13.8 and 14.6 of the EIS Market Tariff and Attachment P. An effective date of March 1, 2014 was requested for

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Sections 13.8 and 14.6 of the Integrated Marketplace Tariff.

ER13-1768

Submission of Tariff Revisions to Attachment AN to Incorporate into the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority ("SPP BA Agreement")

On November 18, 2013, FERC issued an order conditionally accepting the tariff revisions to incorporate into Attachment AN of the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority, and related Bylaws and Membership Agreement revisions, effective March 1, 2014, subject to a compliance filing due in 30 days.

The Commission found that the Mobile-Sierra "public interest" presumption does not apply to the SPP BA Agreement because it embodies rates, terms, or conditions that are generally applicable. The Commission directed SPP to modify the provision in section 17.3 of the SPP BA Agreement that seeks to bind the Commission and third parties to the Mobile-Sierra "public interest" standard of review.

The Commission also directed SPP to include its Joint Operating Agreement with Western Area Power Administration in the definition of Governing Documents in section 2.19 of the SPP BA Agreement.

SPP's compliance filing is due on December 18, 2013.

ER13-1769

Submission of Bylaws and Membership Agreement Revisions Necessary to Facilitate the Implementation of the SPP Balancing Authority

On November 18, 2013, FERC issued an order conditionally accepting the tariff revisions to incorporate into Attachment AN of the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority, and related Bylaws and Membership Agreement revisions, effective March 1, 2014, subject to a compliance filing due in 30 days.

The Commission found that the Mobile-Sierra "public interest" presumption does not apply to the SPP BA Agreement because it embodies rates, terms, or conditions that are generally applicable. The Commission directed SPP to modify the provision in section 17.3 of the SPP BA Agreement that seeks to bind the Commission and third parties to the Mobile-Sierra "public interest" standard of review.

The Commission also directed SPP to include its Joint Operating Agreement with Western Area Power Administration in the definition of Governing Documents in section 2.19 of the SPP BA Agreement.

SPP's compliance filing is due on December 18, 2013.

ER13-1872

Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

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On November 25, 2013, FERC issued an order accepting the Offer of Settlement and Settlement Agreement.

SPP was directed to submit a compliance filing to incorporate the revised service agreement. SPP's compliance filing is due on December 26, 2013.

ER13-1914

SPP Submission of Tariff Revisions to Clarify the Determination of Credits and Distribution of Credit Revenue for Creditable Upgrades

On November 14, 2013, SPP filed an answer in response to the American Wind Energy Association's and the Wind Coalition's Comments filed on October 30, 2013.

SPP stated that the dual tracking proposed by the parties would cause an increased financial and administrative burden on SPP and is not necessary, not required under Commission regulations.

ER13-1937

Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Comply with Interregional Requirements of Order No. 1000 (SPP Rate Schedule FERC No. 9)

On November 4, 2013, SPP filed an answer in response to comments and protests filed in this proceeding.

SPP stated:

- 1) reliability projects should be included for Interregional Project consideration;
- 2) Coordinated System Plan studies should only be required when requested by MISO or SPP;
- 3) it would be unnecessary and unreasonably burdensome to fully review each and every project that any party may wish to submit;
- 4) SPP's proposed JOA provisions comply with Order No. 1000's transparency requirements; and
- 5) JOA revisions aimed at Order No. 1000 compliance need not address rate pancaking.

On November 19, 2013, Arkansas Electric Cooperative Corporation filed an answer in response to answers filed by SPP and the Midcontinent Independent System Operator, Inc. on November 4, 2013.

AECC stated that it raised its concerns regarding the effect of rate pancaking on a number of occasions during the stakeholder process.

On November 21, 2013, Duke-American Transmission Company, LLC, et al. filed an answer in response to the answers filed by SPP and the Midcontinent Independent System Operator, Inc. on November 4, 2013.

The parties stated the July 10 Compliance Filings do not fully comply with the Order No. 1000 requirements. The parties stated that the Commission should direct MISO and SPP to work with their stakeholders to develop appropriate procedures for evaluating all proposed interregional projects in accordance with Order No. 1000, and require that such procedures be submitted in a compliance filing for Commission approval.

ER13-1938

Midcontinent Independent System Operator, Inc. ("MISO") Submission of Joint Operating Agreement ("JOA") between SPP and MISO to Comply with Interregional Requirements of Order No. 1000

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On November 4, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in response to comments and protests filed in this proceeding.

MISO stated:

- 1) MISO's proposal to limit interregional projects to market efficiency projects is compliant with Order No. 1000;
- 2) Market Efficiency Projects with reliability benefits can become interregional projects;
- 3) Multi-Value Projects are not an appropriate category for interregional projects;
- 4) Coordinated System Plan studies should only be required by MISO or SPP;
- 5) it would be unnecessary and unreasonably burdensome to fully review each and every project that any party may wish to submit;
- 6) MISO's proposed JOA provisions comply with Order No. 1000's transparency requirements; and
- 7) JOA revisions aimed at Order No. 1000 compliance need not address rate pancaking.

On November 19, 2013, Arkansas Electric Cooperative Corporation filed an answer in response to answers filed by SPP and the Midcontinent Independent System Operator, Inc. on November 4, 2013.

AECC stated that it raised its concerns regarding the effect of rate pancaking on a number of occasions during the stakeholder process.

On November 21, 2013, Duke-American Transmission Company, LLC, et al. filed an answer in response to the answers filed by SPP and the Midcontinent Independent System Operator, Inc. on November 4, 2013.

The parties stated the July 10 Compliance Filings do not fully comply with the Order No. 1000 requirements. The parties stated that the Commission should direct MISO and SPP to work with their stakeholders to develop appropriate procedures for evaluating all proposed interregional projects in accordance with Order No. 1000, and require that such procedures be submitted in a compliance filing for Commission approval.

ER13-2031

Submission of Revisions to Bylaws and Membership Agreement to Implement Withdrawal Obligations and Revisions to Provide Greater Flexibility Regarding the Functions of Various SPP Committees Reporting to the Board of Directors

On November 1, 2013, SPP submitted its compliance filing pursuant to the September 19, 2013 Order.

An effective date of September 23, 2013 was requested.

On November 22, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER13-2033

Submission of Tariff Revisions to Clarify Withdrawal Obligations

On November 1, 2013, SPP submitted its compliance filing pursuant to the September 19, 2013 Order.

An effective date of September 23, 2013 was requested.

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- ER13-2091** **SPP Submission of Tariff Revisions to Enhance Market Participation Eligibility Criteria, Clarify Data Sharing with Regulatory Agencies, and Clarify Bilateral Settlement Schedules Related to Certain Integrated Marketplace Rules in Anticipation of SPP's Application for Exemption of Certain Market Transactions from Provisions of the Commodity Exchange Act ("CEA") and Commodity Futures Trading Commission ("CFTC")**
- On November 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- On November 22, 2013, FERC issued an order accepting SPP's October 25, 2013 Compliance Filing.
- ER13-2164** **SPP Submission of Tariff Revisions to Modify the Aggregate Transmission Service Study Process (AG Study Backlog Clearing Process Tariff Revisions)**
- On November 8, 2013, SPP submitted its compliance filing pursuant to the October 11, 2013 Order.
- An effective date of October 12, 2013 was requested.
- ER13-2358** **Cancellation of Tariff Sheets for Attachment L, Section VI and Corresponding Agreement for the Purpose of Distributing Revenues Associated with Upgrades to the LaCygne to Stilwell 345 kV Transmission Line**
- On November 6, 2013, FERC issued an order accepting the tariff revisions to delete Section VI of Attachment L and the corresponding Agreement of Southwest Power Pool Transmission Owners and Southwest Power Pool For The Upgrade of The LaCygne to Stilwell 345 kV Transmission Line, which is incorporated into Attachment L.
- An effective date of November 8, 2013 was granted.
- ER13-2359** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Midwest Energy, Inc. ("Midwest") as Host Transmission Owner**
- On November 6, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2382** **Joint Request of SPP and Tri-County Electric Cooperative, Inc. ("Tri-County") for Temporary, Limited Tariff Waiver to Permit SPP and Tri-County to Suspend Obligation to Implement the Annual Update to Tri-County's Annual Transmission Revenue Requirement ("ATRR")**
- On November 8, 2013, FERC issued an order granting the Joint Request for Temporary, Limited Tariff Waiver to permit SPP and Tri-County to suspend temporarily their respective obligations to implement the Annual Update to Tri-County's Annual Transmission Revenue Requirement. The waiver is granted until the issuance of the Commission's order on the Initial Decision in Docket No. ER12-959-000.

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- ER13-2430** **Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**
- On November 20, 2013, FERC issued a letter order accepting the agreement, effective September 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2433** **Notice of Cancellation of the Meter Agent Services Agreement between Occidental Power Services, Inc. ("Occidental") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**
- On November 20, 2013, FERC issued a letter order accepting the agreement, effective September 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2438** **Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**
- On November 20, 2013, FERC issued a letter order accepting the agreement, effective September 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2439** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**
- On November 18, 2013, FERC issued a letter order accepting the agreement, effective September 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2440** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On November 20, 2013, FERC issued a letter order accepting the agreement, effective September 1, 2013 as requested.
- This order constitutes final agency action.
- ER14-22** **Kansas City Power & Light Company ("KCPL") and KCP&L Greater Missouri Operations Company ("KCPL-GMO") Submission of Revised Depreciation and Amortization Rates**
- On November 18, 2013, FERC issued an order accepting the revised depreciation and amortization rates consistent with orders of the state utility commissions in Kansas and Missouri.
- An effective date of January 1, 2014 was granted.

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ER14-51 Meter Agent Services Agreement between City of Chanute ("Chanute") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On November 26, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

ER14-60 Generator Interconnection Agreement ("GIA") between Rattlesnake Creek Wind Project, LLC ("Rattlesnake") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On November 20, 2013, FERC issued a letter order accepting the agreement, effective September 26, 2013 as requested.

This order constitutes final agency action.

ER14-67 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner

On November 14, 2013, Western Farmers Electric Cooperative filed an answer in response to the comments filed by American Electric Power Service Corporation on October 30, 2013.

WFEC stated that it has fulfilled, and continues to fulfill, its Host Transmission Owner responsibilities, and AEP's arguments rely on unsupported assumptions.

On November 14, 2013, the East Texas Cooperatives filed an answer in response to the comments filed by Western Farmers Electric Cooperative on October 30, 2013.

The Cooperatives stated:

- 1) long-standing FERC precedent and SPP's Tariff both support rolled-in cost treatment for transmission owner upgrades needed to facilitate new delivery points;
- 2) the costs of the upgrades needed to facilitate the delivery points at issue in this case should be rolled-in, regardless of whether the looped or radial configuration is used; and
- 3) WFEC's positions misconstrue FERC precedent and are inconsistent with the policies embodied in the SPP Tariff.

On November 14, 2013, American Electric Power Service Corporation filed an answer in response to the comments filed by Western Farmers Electric Cooperative on October 30, 2013.

AEP stated:

- 1) in the event of a dispute, the preferred delivery point design and cost allocation of the Host Transmission Owner should be afforded no deference where the Host Transmission Owner has failed to perform a load connection study or prepare a load connection report;
- 2) WFEC's various theories supporting direct assignment of the looped delivery point facilities should be rejected as inconsistent with the SPP Tariff and Commission precedent; and
- 3) WFEC's cost estimates for the Ellis and Wapanucka delivery point facilities are grossly understated.

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On November 18, 2013, SPP filed an answer in response to comments filed in this proceeding.

SPP stated:

- 1) it has a very limited role in the Attachment AQ process when there are no significant impacts to the transmission system; and
- 2) SPP takes no position regarding the clarification of the facilities or how their costs should be allocated.

ER14-89

Entergy Arkansas, Inc. ("EAI") Filing of an Open Access Transmission Tariff ("Tariff") Governing Transmission and Interconnection Service Over Certain Limited Transmission Facilities Owned by EAI Located in Missouri ("Missouri Transmission Facilities")

On November 5, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene and Comments.

KCP&L and GMO wishes to clarify the record and stated that Entergy mischaracterizes Commission orders in related proceedings as well as several pleadings submitted by KCP&L and GMO in proceedings before FERC and the MoPSC.

On November 5, 2013, The Empire District Electric Company filed a Protest, Motion for Consolidation, Request for Rate Suspension and Request for Evidentiary Hearing.

Empire stated:

- 1) the proposed MISO post-integration regional through-and-out rate for service under the unexecuted Empire Point-to-Point service agreement has not been shown to be just and reasonable; and
- 2) Empire's existing rate under Entergy's Tariff should be grandfathered upon conversion of transmission service to service under the MISO Tariff.

On November 5, 2013, Ameren Services Company filed a Motion to Intervene and Protest.

Ameren stated:

- 1) the Commission must direct Entergy and MISO to clarify their statements concerning Ameren Missouri's boot heel load and network transmission service; and
- 2) proposed section 12A of Entergy's Missouri Tariff must either be removed or clarified.

On November 5, 2013, SPP filed a Motion to Intervene.

On November 5, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Intervene and Comments in support of the October 15, 2013 Filing.

On November 5, 2013, the Missouri Public Service Commission filed a Notice of Intervention and Conditional Protest reserving right to file a Supplemental Protest.

On November 5, 2013, Associated Electric Cooperative, Inc. filed a Motion to Intervene and Comments.

AECI stated:

- 1) Entergy's filing misrepresents through-and-out rate issues pending rehearing in ER12-2681, et al.; and
- 2) EAI misrepresents Commission precedent regarding rate increases resulting from the transfer of control of transmission assets to an RTO.

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On November 5, 2013, Missouri Joint Municipal Electric Utility Commission filed a Motion to Intervene and Comments.

MJMEUC stated it has concerns regarding:

- 1) automatic conversion to Midcontinent Independent System Operator, Inc. service;
- 2) potential rates for service under the Missouri Tariff; and
- 3) the proposed MJMEUC Network Integration Transmission Service and Network Operating Agreements.

On November 20, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in response to the Protest filed by Ameren Services Company on November 5, 2013.

On November 20, 2013, Entergy Arkansas, Inc. filed an answer in response to comments and protests filed in this proceeding.

On November 22, 2013, American Electric Power Service Corporation filed a Motion to Intervene Out-of-Time.

ER14-114

Midcontinent Independent System Operator, Inc. ("MISO") Filing of a Coordination Agreement By and Between Midcontinent Independent System Operator, Inc. and Entergy Arkansas, Inc.

On November 5, 2013, Associated Electric Cooperative, Inc. filed a Motion to Intervene.

On November 5, 2013, Ameren Services Company filed a Motion to Intervene and Protest.

Ameren stated:

- 1) the Commission must direct Entergy and MISO to clarify their statements concerning Ameren Missouri's boot heel load and network transmission service; and
- 2) proposed section 12A of Entergy's Missouri Tariff must either be removed or clarified.

On November 5, 2013, The Empire District Electric Company filed a Protest, Motion for Consolidation, Request for Rate Suspension and Request for Evidentiary Hearing.

Empire stated:

- 1) the proposed MISO post-integration regional through-and-out rate for service under the unexecuted Empire Point-to-Point service agreement has not been shown to be just and reasonable; and
- 2) Empire's existing rate under Entergy's Tariff should be grandfathered upon conversion of transmission service to service under the MISO Tariff.

On November 6, 2013, SPP filed a Motion to Intervene.

On November 6, 2013, the Missouri Public Service Commission filed a Notice of Intervention and Conditional Protest reserving right to file a Supplemental Protest.

On November 6, 2013, Entergy Services, Inc. filed a Motion to Intervene and Supporting Comments.

On November 6, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company and Missouri Joint Municipal Electric Utility Commission filed Motions to Intervene.

On November 20, 2013, Entergy Arkansas, Inc. filed an answer in response to comments and

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protests filed in this proceeding.

On November 20, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in response to the Protest filed by Ameren Services Company on November 5, 2013.

On November 22, 2013, American Electric Power Service Corporation filed a Motion to Intervene Out-of-Time.

ER14-125 Submission of Tariff Revisions to Reflect a Change in Management of Reliability Tools

On November 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene Out-of-Time.

ER14-173 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On November 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-193 Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Generation Energy, Inc. ("Generation Energy") as Interconnection Customer and Tri-County Electric Cooperative, Inc. ("Tri-County") as Transmission Owner

On November 12, 2013, Tri-County Electric Cooperative, Inc. submitted a letter conditionally withdrawing portions of its Brief on Exceptions in reference to two Generator Interconnection Agreements. The Brief was filed in Docket No. ER12-959-003.

ER14-228 Submission of Tariff Revisions to Implement a Cost-Based Formula Rate for Sunflower Electric Power Corporation

On November 20, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Joint Motion for Leave to Intervene and Comments in support of SPP's October 30, 2013 Filing.

ER14-229 Generator Interconnection Agreement ("GIA") between Cimarron Windpower II, LLC ("Cimarron") as Interconnection Customer and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner

On November 5, 2013, SPP submitted the transmittal letter that was inadvertently not included in the October 30, 2013 Filing.

On November 20, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-278 Submission of Tariff Revisions to Increase Administration Charge Cap in Schedule 1-A

On November 1, 2013, SPP submitted revisions to its Tariff to increase the rate cap for its Tariff Administration service charge.

An effective date of January 1, 2014 was requested.

On November 8, 2013, the Oklahoma Corporation Commission filed a Motion to Intervene.

On November 12, 2013, Exelon Corporation filed a Motion to Intervene.

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On November 22, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On November 22, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-283

Submission of Tariff Revisions to Reflect an Update of the Annual Values Used in the Calculation of Offer Caps

On November 1, 2013, SPP submitted revisions to its Tariff in order to reflect updates of annual values used in the calculation of offer caps for all pivotal resources in SPP's real-time energy imbalance service market.

An effective date of January 1, 2014 was requested.

ER14-344

Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and The Board of Public Utilities, Springfield, Missouri ("City Utilities") as Network Customer and Host Transmission Owner

On November 7, 2013, SPP submitted a Notice of Cancellation of the NITSA between SPP as Transmission Provider and The Board of Public Utilities, Springfield, Missouri and both Network Customer and Host Transmission Owner. SPP Service Agreement No. Sixth Revised 549.

An effective date of October 1, 2013 was requested.

ER14-391

Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On November 13, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Fourth Revised 2548.

An effective date of November 1, 2013 was requested.

ER14-399

Generator Interconnection Agreement ("GIA") between Steele Flats Wind Project, LLC ("Steele Flats") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On November 14, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Steele Flats Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. First Revised 2490.

An effective date of October 23, 2013 was requested.

ER14-401

Interconnection Agreement between Oklahoma Gas & Electric Company ("OG&E") and Southwestern Public Service Company ("SPS"), with SPP as Signatory

On November 14, 2013, SPP filed an Interconnection Agreement between Oklahoma Gas & Electric Company and Southwestern Public Service Company, with SPP as signatory. SPP Service Agreement No. 2622.

SPP requested the Commission grant an effective date of the day the order accepting the

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agreement is issued.

ER14-404 Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On November 14, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Fifteenth Revised 1374.

An effective date of November 1, 2013 was requested.

ER14-416 Submission of Tariff Revisions to Modify SPP Integrated Marketplace

On November 15, 2013, SPP submitted tariff revisions to modify, clarify, and supplemental certain aspects of the SPP Integrated Marketplace.

An effective date of March 1, 2014 was requested.

On November 20, 2013, E.ON Climate & Renewables North America, LLC filed a Motion to Intervene.

ER14-418 Submission of Tariff Revisions to Revise the Stated Rate to Accommodate the Recovery of Revenue Requirements for SPP Member City of Coffeyville

On November 18, 2013, SPP submitted tariff revisions to revise the stated rate for Coffeyville Municipal Light and Power.

Effective dates of July 1, 2013 and September 30, 2013 were requested.

ER14-438 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On November 20, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Thirteenth Revised 2198.

An effective date of November 1, 2013 was requested.

ER14-448 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners

On November 22, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Westar Energy, Inc. as Network Customer, as well as a two NOAs with Oklahoma Gas and Electric Company and Westar as Host Transmission Owners. SPP Service Agreement No. Twentieth Revised 607.

An effective date of November 1, 2013 was requested.

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- ER14-450** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On November 22, 2013, SPP submitted 1) an executed NITSA between SPP as Transmission Provider and KCP&L Greater Missouri Operations Company as Network Customer; 2) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner; and 3) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Eighth Revised 1765.
- An effective date of November 1, 2013 was requested.
- ES14-12** **Application of Southwest Power Pool, Inc. Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities**
- On November 21, 2013, SPP filed an Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities.
- RM05-5** **Standards for Business Practices and Communication Protocols for Public Utilities**
- On November 27, 2013, the North American Energy Standards Board ("NAESB") submitted a report regarding errata to Version 003 of the NAESB Wholesale Electric Quadrant standards currently the subject of the FERC Notice of Proposed Rulemaking in Docket No. RM05-5-022 issued on July 18, 2013.
- RM08-13** **Transmission Relay Loadability Reliability Standard**
- On November 13, 2013, FERC issued an Order on Compliance, accepting NERC's February 19, 2013 Compliance Filing submitted pursuant to Order Nos. 733 and 759.
- RM11-16** **Transmission Relay Loadability Reliability Standard (PRC-023-2)**
- On November 13, 2013, FERC issued an Order on Compliance, accepting NERC's February 19, 2013 Compliance Filing submitted pursuant to Order Nos. 733 and 759.
- RM13-2** **Small Generator Interconnection Agreements and Procedures**
- On November 12, 2013, the American Wind Energy Association filed Supplemental Comments.
- On November 22, 2013, FERC issued Order No. 792, Final Rule amending the pro forma Small Generator Interconnection Procedures and Small Generator Interconnection Agreement to: (1) incorporate provisions that provide an Interconnection Customer with the option of requesting from the Transmission Provider a pre-application report providing existing information about system conditions at a possible Point of Interconnection; (2) revise the 2 megawatt (MW) threshold for participation in the Fast Track Process included in section 2 of the pro forma SGIP; (3) revise the customer options meeting and the supplemental review following failure of the Fast Track screens so that the supplemental review is performed at the discretion of the Interconnection Customer and includes minimum load and other screens to determine if a Small Generating Facility may be interconnected safely and reliably; (4) revise the pro forma SGIP Facilities Study Agreement to allow the Interconnection Customer the opportunity to provide written comments to the Transmission Provider on the upgrades required for

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interconnection; (5) revise the pro forma SGIP and the pro forma SGIA to specifically include energy storage devices; and (6) clarify certain sections of the pro forma SGIP and the pro forma SGIA. The reforms should ensure interconnection time and costs for Interconnection Customers and Transmission Providers are just and reasonable and help remedy undue discrimination, while continuing to ensure safety and reliability.

This rule becomes effective 60 days after publication in the Federal Register.

The Commission requires each public utility Transmission Provider to submit a compliance filing within six months of the effective date of this Final Rule revising its SGIP and SGIA or other document(s) subject to the Commission's jurisdiction as necessary to demonstrate that it meets the requirements set forth in Order No. 792.

RM13-5 Version 5 Critical Infrastructure Protection Reliability Standards

On November 22, 2013, FERC issued Order No. 791, Final Rule approving the Version 5 Critical Infrastructure Protection Reliability Standards, CIP-002-5 through CIP-001-1, submitted by NERC.

This rule becomes effective on February 3, 2014.

RM13-8 Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Retirement of Requirements in Reliability Standards

On November 21, 2013, FERC issued Order No. 788, Final Rule approving the retirement of 34 requirements within 19 Reliability Standards identified by NERC.

This rule becomes effective 45 days after publication in the Federal Register.

RM13-12 Monitoring System Conditions - Transmission Operations Reliability Standard

On November 21, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to remand revisions to the Transmission Operations and Interconnection Reliability Operations and Coordination Reliability Standards, developed by NERC. FERC also proposed to approve NERC's proposed revisions to Reliability Standard TOP-006-3.

Comments are due 60 days after publication in the Federal Register.

RM13-14 Transmission Operations Reliability Standards

On November 21, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to remand revisions to the Transmission Operations and Interconnection Reliability Operations and Coordination Reliability Standards, developed by NERC. FERC also proposed to approve NERC's proposed revisions to Reliability Standard TOP-006-3.

Comments are due 60 days after publication in the Federal Register.

RM13-15 Interconnection Reliability Operations and Coordination Reliability Standards

On November 21, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to remand revisions to the Transmission Operations and Interconnection Reliability Operations and Coordination Reliability Standards, developed by NERC. FERC also proposed to approve NERC's proposed revisions to Reliability Standard TOP-006-3.

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Comments are due 60 days after publication in the Federal Register.

RM13-16

Generator Verification Reliability Standards

Numerous parties filed Comments in response to the September 19, 2013 Notice of Proposed Rulemaking.

RM13-17

Communication of Operational Information Between Natural Gas Pipelines and Electric Transmission Operators

On November 15, 2013, FERC issued Order No. 787, Final Rule amending the Commission's regulations to provide explicit authority to interstate natural gas pipelines and public utilities that own, operate, or control facilities used for the transmission of electric energy in interstate commerce to share non-public, operational information with each other for the purpose of promoting reliable service or operational planning on either the public utility's or pipeline's system. The revised regulations will help maintain the reliability of the pipeline and public utility transmission service by permitting transmission operators to share information with each other that they deem necessary to promote the reliability and integrity of their systems.

This Final Rule becomes effective on December 23, 2013.

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FERC or State Jurisdiction: State of Arkansas

08-135-R **In the Matter of Amendments to the Rules of Practice and Procedure ("RPPs") of the Arkansas Public Service Commission**

On November 4, 2013, APSC General Staff filed its Order No. 18 Compliance Filing, attaching markup of the current Rules of Practice and Procedure showing all changes between the current RPPs and the newly adopted RPPs set out in Attachment A to Order No. 18.

09-090-U **In the Matter of the Impact of Federal Energy Regulatory Commission ("FERC") Orders 719 and 719-A in FERC Docket No. RM07-19-001 on the Regulatory Authority of the Arkansas Public Service Commission**

On November 7, 2013, SPP, the Arkansas Attorney General's Office, Arkansas Electric Cooperative Corporation, Oklahoma Gas and Electric Company, Southwestern Electric Power Company, and The Empire District Electric Company filed a Joint Motion to Suspend Procedural Schedule and for Clarification.

The Joint Movants requested that the existing requirement to make initial filings on November 18, 2013, and reply filings shortly thereafter, be suspended pending the entry of a Commission order providing clarification and further direction regarding the Commission's inquiry in this Docket.

On November 13, 2013, the APSC issued Order No. 7 in response to the November 7, 2013 Joint Motion to Suspend Procedural Schedule and for Clarification. The Commission granted the Joint Motion and suspended the existing procedural schedule. The Commission stated it takes under advisement the request for clarification and further direction regarding the inquiry in this Docket and will provide additional guidance by subsequent order. The Commission also directed that Energy Arkansas, Inc. and the Midcontinent Independent System Operator, Inc. be made parties to this Docket.

10-011-U **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**

On November 1, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On November 25, 2013, the APSC issued Order No. 79, finding that Entergy Arkansas, Inc. and the Midcontinent Independent System Operator, Inc. are not currently in violation of Condition No. 2 of Order No. 68. EAI and MISO were directed to demonstrate in its monthly updates that EAI and MISO are moving forward with full compliance with Condition No. 2 of Order No. 68.

On November 27, 2013, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

10-101-R **In the Matter of the Institution of a Rulemaking to Adopt Amendments to the Commission's Rules on Conservation & Energy Efficiency ("C&EE") to Allow Self-Directed Programs for Large Consumers**

On November 15, 2013, the APSC issued Order No. 33, adopting the proposed amendments

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to the Conservation & Energy Efficiency Rules.

12-008-U

In the Matter of Southwestern Electric Power Company's Petition for a Declaratory Order Finding that Installation of Environmental Controls at the Flint Creek Power Plant is in the Public Interest

On November 7, 2013, Southwestern Electric Power Company filed its Application for Approval of Selection of Independent Monitor.

On November 12, 2013, Southwestern Electric Power Company filed a Report Pursuant to Order No. 14 stating that it has been precluded from commencing construction of the environmental controls until receiving an air permit from the Arkansas Department of Environmental Quality. Construction of the environmental controls will commence on or about January 1, 2014.

On November 25, 2013, Kevin Munson filed Testimony on behalf of Southwestern Electric Power Company concerning SWEPCO's compliance with the Independent Monitor requirement.

12-060-R

In the Matter of Amendments to the Arkansas Public Service Commission's Rules Concerning Meter Aggregation and Combined Billing for Net-Metering Customers

On November 4, 2013, Clark Cotten filed Compliance Testimony on behalf of APSC General Staff.

On November 6, 2013, the APSC issued Order No. 11, approving several net-metering tariffs.

12-069-U

In the Matter of an Application of Entergy Arkansas, Inc., Mid South Transco LLC, ITC Midsouth LLC, Transmission Company Arkansas, LLC, and ITC Holdings Corp. to Enter Transactions Resulting in a Certificate of Public Convenience and Necessity for a New Arkansas Utility to Own EAI's Electric Transmission Facilities

On November 1, 2013, the Joint Applicants filed a Monthly Status Report pursuant to Order No. 17.

13-041-U

In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas

On November 5, 2013, the APSC issued Order No. 30, granting Mitchell & Spencer Properties, LLC's Motion to Withdraw as Intervenor.

On November 18, 2013, the APSC issued Order No. 31, concluding the hearing in this matter, closing the record, and submitting the matter to the ALJ for determination.

13-119-U

In the Matter of the Application of Southwest Power Pool, Inc. for Authority to Issue up to \$70,000,000 in Secured or Unsecured Promissory Notes

On November 21, 2013, SPP filed an Application for Authority to Issue Indebtedness and Request for an Expedited Order.

FERC or State Jurisdiction: State of LA - New Orleans

UD-11-01 Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements

On November 1, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On November 21, 2013, the Council of the City of New Orleans adopted Resolution R-13-434, Resolution and Order Establishing Procedural Schedule with Respect to Joint Implementation Filing.

The following procedural schedule was established:
March 20, 2014 - Advisors and Intervenor Direct Testimony;
April 14, 2014 - Entergy Rebuttal Testimony;
May 19, 2014 - Evidentiary hearing; and
May 26, 2014 - Hearing Officer to certify the record.

UD-12-01 Investigation of the Proposed Divestiture of the Transmission Assets of Entergy New Orleans, Inc. ("ENO") and Entergy Louisiana, LLC ("ELL") to ITC Holdings Corp. ("ITC")

On November 25, 2013, ITC filed an Errata to the Rejoinder Testimony of Cameron Bready.

FERC or State Jurisdiction: State of Louisiana

U-32538 **Joint Application of Entergy Louisiana, LLC, Entergy Gulf States Louisiana, L.L.C., Mid South TransCo LLC, Transmission Company Louisiana I, LLC, Transmission Company Louisiana II, LLC, ITC Holdings Corp. and ITC Midsouth LLC ("Applicants") for Approval of Change of Ownership of Electric Transmission Businesses, for Certain Cost-Recovery Adjustments, and for Related Relief**

On November 8, 2013, parties filed post-hearing reply briefs.

On November 8, 2013, LPSC Staff filed its Proposed Findings of Fact.

On November 8, 2013, LPSC Staff filed its Proposed Conclusions of Law.

U-32675 **Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. (Collectively "Entergy") Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, for an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment**

On November 1, 2013, Energy filed an executed copy of the Amended Implementation Agreement between Cleco Power LLC and the Companies.

On November 4, 2013, the LPSC issued Order No. U-32675, approving the Application subject to the terms and conditions set forth in the Stipulated Agreement.

FERC or State Jurisdiction: State of Missouri

EA-2013-0098 In the Matter of the Application of Transource Missouri, LLC for a Certificate of Convenience and Necessity Authorizing it to Construct, Finance, Own, Operate, and Maintain the Iatan-Nashua and Sibley-Nebraska City Electric Transmission Projects

On November 5, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed their compliance filing regarding public outreach efforts.

On November 6, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed the third quarter 2013 report for the Sibley-Nebraska City Transmission Project.

EO-2012-0271 In the Matter of an Investigation into the Siting and Safety of a Proposed Transmission Line in Platte County, Missouri

On November 6, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed their third quarter 2013 report for the Iatan to Nashua 345 kV Transmission Project.

EO-2013-0431 In the Matter of Entergy Arkansas, Inc.'s ("EAI") Notification of Intent to Change Functional Control of its Missouri Electric Transmission Facilities to the Midcontinent Independent System Operator, Inc. ("MISO") Regional Transmission Organization or Alternative Request to Change Functional Control and Motions for Waiver and Expedited Treatment

On November 7, 2013, Entergy Arkansas, Inc. filed an Application for Rehearing of the October 9, 2013 Report and Order.

On November 12, 2013, the MoPSC issued an Order Directing Filing. Parties shall file responses to the Application for Rehearing no later than November 21, 2013.

On November 21, 2013, parties filed responses to the Application for Rehearing.

On November 25, 2013, Entergy Arkansas, Inc. filed its response to the Reply of Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company to the Application for Rehearing.

On November 26, 2013, the MoPSC issued an Order Withdrawing Report and Order in Response to Application for Rehearing.

On November 26, 2013, the MoPSC issued a Revised Report and Order, approving Entergy Arkansas, Inc.'s migration of its Missouri transmission assets into the Midcontinent Independent System Operator, Inc.

Beginning June 30, 2014, and every year thereafter on June 30, Entergy Arkansas, Inc. shall file a report with the Commission concerning its participation in the Midcontinent Independent System Operator, Inc.

The Commission concurrently opened Workshop Docket No. EW-2014-0156 to explore seams issues discussed in this Order.

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EW-2014-0156

In the Matter of an Investigation Into the Possible Methods of Mitigating Identified Harmful Effects of Entergy Joining MISO on non-MISO Missouri Utilities and Their Ratepayers and Maximizing the Benefits for Missouri Utilities and Ratepayers Along RTO and Cooperative Seams

On November 26, 2013, the MoPSC issued an Order Opening a Case to Investigate Methods of Eliminating or Mitigating the Negative Effects of the MISO/SPP Seam.

The Commission invited comments in response to questions and issues outlined in this Order.

FERC or State Jurisdiction: State of New Mexico

13-00031-UT

In the Matter of Southwestern Public Service Company's Interim Report on its Participation in the Southwest Power Pool Regional Transmission Organization

On November 4, 2013, the New Mexico Attorney General filed a Report on Position of Parties.

An oral argument was held on November 6, 2013.

On November 6, 2013, the NMPRC issued an Order Granting Attorney General's Motion for Rehearing. The Final Order issued on September 18, 2013 was vacated. The Hearing Examiner will establish an expedited procedural schedule that includes an evidentiary hearing. The Hearing Examiner's Recommended Decision shall be submitted no later than June 30, 2014.

On November 13, 2013, the NMPRC issued an Order Setting Second Pre-Hearing Conference to be held on November 19, 2013.

A pre-hearing conference was held on November 19, 2013.

On November 19, 2013, the NMPRC issued a Second Procedural Order, establishing the following schedule:

December 17, 2013 - Technical and Settlement Conferences begin at 9 AM;
December 24, 2013 - Intervention deadline;
January 31, 2014 - Settlement and supporting schedules to be filed;
January 31, 2014 - Staff and Intervenor Direct Testimony may be filed; alternatively, testimony in support of any agreement shall be filed;
February 7, 2014 - Opposition to any settlement to be filed;
February 14, 2014 - Testimony supporting opposition to any filed agreement to be filed;
February 21, 2014 - Any Rebuttal Testimony to be filed;
March 6, 2014 - Public hearing begins at 9:30 AM.

FERC or State Jurisdiction: State of Texas

- 40979** **Proceeding to Track Compliance with Terms and Conditions Set Forth in the Commission's Order Issued in Docket No. 40346 and the Non-Unanimous Stipulation ("NUS") and Associated Studies Arising from the Order and/or NUS**
- On November 20, 2013, the Midcontinent Independent System Operator, Inc. filed a Notification of FERC Order issued on November 15, 2013 accepting MISO's proposal to expand representation and voting on MISO's Advisory Committee by adding a seat to the State Regulatory Authorities sector and by dedicating that seat to a representative from MISO South.
- Entergy Texas, Inc. continues to file compliance reports each month.
- 41430** **Joint Report and Application of Sharyland Utilities, L.P. ("Sharyland"), Sharyland Distribution & Transmission Services, L.L.C. ("SDTS"), and Southwestern Public Service Company ("SPS") for Approval of Purchase and Sale of Facilities, for Approval of Regulatory Accounting Treatment of Gain or Sale, and for Transfer of Certain Rights**
- On November 15, 2013, Southwestern Public Service Company filed Exceptions to Proposal for Decision.
- On November 15, 2013, Texas Industrial Energy Consumers filed Exceptions to the Proposal for Decision.
- 41850** **Updated Application of Entergy Texas, Inc., ITC Holdings Corp., MidSouth Transco LLC, Transmission Company Texas, LLC, and ITC Midsouth LLC for Approval of Change of Ownership and Control of Transmission Business, Transfer of Certification of Rights and Related Relief**
- On November 4, 2013, the PUCT issued a Preliminary Order.
- On November 6, 2013, Intervenors filed Direct Testimony.
- On November 7, 2013, Commissioner Anderson filed a memorandum stating he would like the parties to this proceeding to address issues listed relating to the treatment of ETI's qualifying cogeneration and small power production facilities (QF) obligation in conjunction with the Transaction at the hearing scheduled on November 21-22, 2013.
- In addition, Commissioner Anderson also stated he would like the parties to also address at the hearing certain issues regarding measures that could be placed in the Commission's order.
- On November 13, 2013, PUCT Staff filed Direct Testimony.
- On November 15, 2013, the parties filed Statements of Position.
- On November 15, 2013, the Applicants filed Rebuttal Testimony.
- A hearing was held on November 21-22, 2013.

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FERC or State Jurisdiction: United States Court of Appeals

12-1232

South Carolina Public Service Authority v. FERC, Petition for Review of Order Nos. 1000 and 1000-A (Consolidated with 12-1233, 12-1250, 12-1276, 12-1279, 12-1280, 12-1285, 12-1290, 12-1292, 12-1293, 12-1294, 12-1296, 12-1299, 12-1300, 12-1304)

On November 15, 2013, the Parties filed Reply Briefs.