

Regulatory Status Report

FERC or State Jurisdiction: FERC

AD10-2 Guidance on Simultaneous Transmission Import Limit Studies

On December 23, 2013, SPP submitted its Informational Filing of 2013 Simultaneous Import Limit Study for SPP Region.

AD12-16 Capacity Deliverability Across the Midwest Independent Transmission System Operator, Inc. ("MISO")/PJM Interconnection, L.L.C. ("PJM") Seam

On December 19, 2013, FERC issued an Order on Initiatives to Address Seams Issues. The Commission directed its staff to participate in the RTOs' joint and common market meetings and establish a new proceeding in Docket No. AD14-3 to reflect the broadened scope of issues contemplated by the RTOs' September 26, 2013 Informational Filing and their joint and common market initiatives.

AD14-3 Coordination Across the Midcontinent Independent System Operator, Inc. ("MISO")/PJM Interconnection, L.L.C. ("PJM") Seam

On December 19, 2013, FERC issued an Order on Initiatives to Address Seams Issues. The Commission directed its staff to participate in the RTOs' joint and common market meetings and establish a new proceeding in Docket No. AD14-3 to reflect the broadened scope of issues contemplated by the RTOs' September 26, 2013 Informational Filing and their joint and common market initiatives.

CE11-27.003 Apoorva Bansal, et al.'s Request for Critical Energy Infrastructure Information - Energy Consulting on behalf of General Electric International, Inc., Energy Consulting (Additional Requestors)

FERC issued a Notice of Intent to Release on December 5, 2013.

CE14-8 Jo Hyun Jin's Request for Critical Energy Infrastructure Information - EON Climate and Renewables

FERC issued a Notice of Intent to Release on December 5, 2013.

CE14-16 Deborah Lewis's Request for Critical Energy Infrastructure Information - RnR Engineering, LLC

FERC issued a Notice of Intent to Release on December 16, 2013.

CE14-24 Nick Underwood's Request for Critical Energy Infrastructure Information - Tower Research Capital LLC, representing Franklin Power LLC

On December 2, 2013, SPP submitted comments on this request.

CE14-027 Christopher Such's Request for Critical Energy Infrastructure Information - E.ON Climate and Renewable North America Inc.

On November 18, 2013, Christopher Such, Transmission Analyst of E.ON Climate and Renewable North America Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 5, 2013.

On December 9, 2013, SPP submitted comments on this request.

Regulatory Status Report

CE14-028 Andrew Goggins, et al's Request for Critical Energy Infrastructure Information - Climate Policy Initiative

On November 13, 2013, Andrew Goggin, Analyst of Climate Policy Initiative, on behalf of himself and 3 others, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 9, 2013.

On December 11, SPP submitted comments on this request.

CE14-029 Allison Duensing and Andrew Peebles' Request for Critical Energy Infrastructure Information - - Saracen Energy FTR LP

On November 21, 2013, Allison Duensing (General Counsel) and Andrew Peebles (V.P. Power Analytics), of Saracen Energy FTR LP, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 3, 2013.

On December 9, 2013, SPP submitted comments on this request.

CE14-030 FERC CEII Request of Keith Everhart's Request for Critical Energy Infrastructure Information - Economists Incorporated

On November 25, 2013, Keith Everhart, Energy Economist of Economists Incorporated, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 5, 2013.

On December 9, 2013, SPP submitted comments on this request.

CE14-031 John Markotic's Request for Critical Energy Infrastructure Information - Nodal Exchange

On November 25, 2013, John Markotic, Compliance Manager of Nodal Exchange, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 3, 2013.

On December 9, 2013, SPP submitted comments on this request.

CE14-034 Hahiyang Zhang's Request for Critical Energy Infrastructure Information - Nodal Exchange

On November 26, 2013, Hahiyang Zhang, Analyst of Nodal Exchange, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 5, 2013.

On December 9, 2013, SPP submitted comments on this request.

CE14-041 Matt Cooper, et al's Request for Critical Energy Infrastructure Information - Sempra US Gas and Power

On November 13, 2013, Matt Cooper, Risk and Structuring Manager of Sempra US Gas and Power, on behalf of himself and three others, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 18, 2013.

Regulatory Status Report

On December 23, 2013, SPP submitted comments on this request.

CE14-045 **Anne Claflin's Request for Critical Energy Infrastructure Information - Minnesota Pollution Control Agency**

On December 12, 2013, Anne Claflin of Minnesota Pollution Control Agency, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 23, 2013.

On December 23, 2013, SPP submitted comments on this request.

ER02-2001 **Revised Public Utility Filing Requirements for Electric Quarterly Reports**

On December 20, 2013, FERC issued a Notice of Technical Conference to be held on January 22, 2014 to demonstrate the new Electric Quarterly Report filing system announced in Order No. 770.

ER04-48 **RTO Approval Docket**

On December 18, 2013, SPP submitted an informational filing containing its annual operating budget. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.

ER07-1069 **AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)**

On December 23, 2013, American Electric Power Service Corporation filed a revised 2013 Annual Update to its annual transmission revenue requirements.

ER08-313 **Southwestern Public Service Company ("SPS") Formula Rate Case**

On December 2, 2013, Xcel Energy submitted an informational filing containing the 2014 Transmission Formula Rate Annual Update.

ER08-1338 **SPP Submission of Revised Tariff Sheets Amending Schedule 1-A of OATT in Order to Increase the Rate Cap for its Tariff Administration Service Charge**

On December 18, 2013, SPP submitted an informational filing containing its annual operating budget. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.

ER11-4105 **SPP Order No. 745 Compliance Filing Pursuant to the Final Rule Issued Concerning Demand Response Compensation in Organized Wholesale Energy Markets in Docket No. RM10-17**

On December 20, 2013, FERC issued an order accepting SPP's January 18, 2013 response to the Commission's request for additional information.

This order constitutes final agency action.

ER12-1179 **SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace**

On December 2, 2013, Xcel Energy Services Inc. filed Comments in response to the November 11, 2013 Compliance Filing. Xcel requested that SPP provide limited clarifications regarding

Regulatory Status Report

the must offer obligation, the Auction Revenue Rights allocation process, mitigated offer development, and bilateral settlement schedules.

On December 3, 2013, Golden Spread Electric Cooperative, Inc. filed Comments in support of the November 11, 2013 Compliance Filing.

On December 3, 2013, the TDU Intervenor filed a Protest in response to SPP's November 11, 2013 Compliance Filing.

The TDU Intervenor stated:

- 1) treatment of resources on outage in the day-ahead must-offer provisions must be sensible and consistent;
- 2) the Commission should require SPP to make further changes to provisions regarding manual unit commitments and make-whole payments;
- 3) SPP still has not fully complied with the Commission's requirements regarding the Bilateral Settlement Schedule provisions;
- 4) SPP should clarify the market monitor's authority to reject an incorrectly calculated mitigated offer curve; and
- 5) SPP has not demonstrated that the market monitor can consistently verify mitigated offers for demand response resources.

On December 3, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Protest in response to SPP's November 11, 2013 Compliance Filing.

The parties stated:

- 1) SPP improperly removed fuel supply limitations from the consideration of opportunity costs;
- 2) in its initial compliance filing, SPP properly included fuel supply limitation as a potential opportunity cost, the Commission conditionally accepted the provision, and the costs can be proven to be legitimate and verifiable; and
- 3) the parties support Westar Energy, Inc.'s Limited Protest and the relief requested therein related to the definition of "local reliability issue" and compliance with SPP's must offer requirement as set forth in Attachment AE.

On December 3, 2013, the American Wind Energy Association filed Comments in response to SPP's November 11, 2013 Compliance Filing.

AWEA stated:

- 1) SPP's filing does not meet the Commission's direction regarding local Transmission Operators' authority to resolve certain reliability issues;
- 2) allowing the local operator to determine what constitutes an emergency is inappropriate;
- 3) SPP has not provided sufficient detail in the tariff changes to detect present and discourage future discriminatory behavior;
- 4) SPP's proposed tariff language may allow the local transmission operator to issue an order that impacts SPP facilities; and
- 5) SPP's proposal to grant authority to the local Transmission Operators to issue instructions in emergency situations must define the phrase "time permitting."

On December 3, 2013, Westar Energy, Inc. filed a Limited Protest in response to SPP's November 11, 2013 Compliance Filing.

Westar stated:

Regulatory Status Report

- 1) the proposed definition of "local reliability issue" for make whole payments continues to be too narrow and does not comply with the Commission's Order;
- 2) the proposed tariff language in Section 2.11.1(B)(1) lacks the needed detail for the Commission to determine that compliance with the must offer obligation is just and reasonable. The Commission should require SPP to clarify that resources that are unavailable for economic reasons shall not be exempted from the must offer obligation; and
- 3) Westar supports the Protest filed by Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company requesting that the Commission require SPP to reinsert "fuel supply limitation" as a potential opportunity cost.

On December 3, 2013, American Electric Power Service Corporation filed Comments in response to SPP's November 11, 2013 Compliance Filing. AEP requested that the Commission require SPP to reinsert fuel supply limitations as a category of opportunity costs for purposes of determining mitigated offer requirements.

On December 13, 2013, SPP submitted revisions to its Tariff to add Appendix 1 to Attachment AF containing the initial list of Frequently Constrained Areas for use in the SPP Integrated Marketplace.

An effective date of March 1, 2014 was requested.

On December 17, 2013, the Southwest Power Pool Market Monitoring Unit filed a Motion to Intervene Out-of-Time, Comments and Motion to File Comments Out-of-Time.

On December 18, 2013, SPP filed a Settlement Agreement and Offer of Settlement resolving issues associated with whether Grandfathered Agreement No. 494 should be included in Schedule 1 of the settlement the Commission conditionally approved on September 30, 2013 in this proceeding.

SPP requested that the Commission approve the Settlement Agreement by February 28, 2014.

On December 18, 2013, FERC issued an order accepting the Informational Filing of Revised Readiness Metrics for Integrated Marketplace filed by SPP on November 1, 2013.

On December 19, 2013, FERC issued a Settlement Judge's Report of Filing of Offer of Settlement. Comments are due by July 7, 2014, with Reply Comments due by January 17, 2014.

On December 23, 2013, SPP filed an answer in response to comments and protests filed in response to SPP's November 2013 Compliance Filing.

SPP stated:

- 1) SPP's proposed tariff revisions governing manual commitment procedures are compliant and should be approved;
- 2) SPP's proposed compliance revisions regarding must-offer, load transfers, and bilateral contracts satisfy the Commission's directives in the September 20, 2013 Order;
- 3) SPP's proposed treatment of bilateral settlement schedules complies with the September 20 Order; and
- 4) the SPP Market Monitoring Unit's proposed Tariff modification is necessary and appropriate.

On December 27, 2013, SPP submitted documentation certifying SPP's readiness to operate and administer the Integrated Marketplace, effective March 1, 2014.

Regulatory Status Report

- ER12-1813** **The Empire District Electric Company ("Empire") Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate**
- On December 18, 2013, The Empire District Electric Company submitted its compliance filing pursuant to the November 18, 2013 Order.
- ER12-2681** **Joint Application of ITC Holdings Corp. ("ITC") and Entergy Corporation ("Entergy") for Authorization of Acquisition and Disposition of Jurisdictional Transmission Facilities, Approval of Transmission Service Formula Rate and Certain Jurisdictional Agreements, and Petition for Declaratory Order on Application of Section 305(a) of the Federal Power Act (Docket Nos. ER12-2681, EC12-145, and EL12-107)**
- On December 13, 2013, ITC Holdings Corp., et al. filed a Motion to Withdraw.
- ER12-2682** **Midwest Independent Transmission System Operator, Inc. ("MISO") Submission of Tariff Revisions Including Proposed Module B-1 to the Open Access Transmission, Energy and Operating Reserve Markets Tariff**
- On December 19, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Withdraw Filings and Termination Proceedings.
- ER13-366** **SPP Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements**
- On December 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- On December 16, 2013, LSP Power Transmission, LLC and LSP Transmission Holdings, LLC filed a Protest in response to SPP's November 15, 2013 Compliance Filing.
- LSP stated:
- 1) above a low dollar threshold, the 80% threshold is not appropriate and the only just and reasonable approach is application of Attachment Y, Section I.2.b;
 - 2) tariff language should require that the deposit be forfeited only if the Designated Transmission Owner ("DTO") cannot advance the project because of its own fault (including incompetence) or abandonment;
 - 3) project management, operations maintenance "capabilities" or engineering design should not be used as a mechanism to select a more expensive project;
 - 4) the Commission should reject criterion SPP seeks to add to the Project Management category, consideration of the "RFP respondent's plan to obtain authorization to construct transmission facilities in the state in which the Competitive Upgrade will be located";
 - 5) existence of a state right of first refusal should not be a part of a federal evaluation process;
 - 6) the Commission should confirm that the execution of any additional agreements applies to both nonincumbents and incumbents; and
 - 7) the Commission should reject the ability of the Industry Expert Panel (IEP) to disqualify bidders.
- On December 16, 2013, NextEra Energy Resources, LLC filed a Protest in response to SPP's November 15, 2013 Compliance Filing.
- NextEra stated:
- 1) SPP's justification of its 1000 point evaluation process is unpersuasive and should be rejected;

Regulatory Status Report

- 2) SPP's double consideration of whether an entity has rights-of-way in its base case scoring is inconsistent with the intent of the July 18 Order;
- 3) the Commission should direct SPP to make a further compliance filing to revise the Detailed Project Proposals (DPP) process to be applicable to any and all transmission projects that are derived from any interregional study.

On December 16, 2013, ITC Great Plains, LLC filed Comments in response to SPP's November 15, 2013 Compliance Filing.

ITC stated:

- 1) it generally supports SPP's compliance filing;
- 2) the Commission should direct SPP to establish certain additional minimum thresholds for qualification of a project as a Competitive Upgrade where a project involves a mix of rebuilt and new transmission facilities; and
- 3) the Commission should direct SPP to amend the times on the Transmission Interconnection Review Data Checklist to allow SPP to obtain more detailed reliability and operational impact information from merchant developers.

On December 16, 2013, Xcel Energy Services Inc. filed a Motion to Intervene, Comments and Limited Protest in response to SPP's November 15, 2013 Compliance Filing.

Xcel urged the Commission to approve the proposed tariff revisions, but stated it should reject SPP's proposal to provide 100 "incentive points" to a respondent that submitted a project proposal that was selected by SPP as a Competitive Upgrade.

On December 16, 2013, Duke-American Transmission Company, LLC filed a Protest and Comments in response to SPP's November 15, 2013 Compliance Filing.

Duke stated:

- 1) SPP's proposed changes to the Transmission Owner Selection Process will unduly discriminate against non-incumbent developers; and
- 2) SPP should clarify its proposed changes to Attachment Y regarding facilities that contain both rebuilds and new facilities.

ER13-367

SPP Submission of Revisions to its Membership Agreement to Comply with Order No. 1000

On December 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER13-1173

SPP Submission of Tariff Revisions to Modify Certain Aspects of the SPP Integrated Marketplace

On December 18, 2013, SPP filed a Settlement Agreement and Offer of Settlement resolving issues associated with whether Grandfathered Agreement No. 494 should be included in Schedule 1 of the settlement the Commission conditionally approved on September 30, 2013 in this proceeding.

SPP requested that the Commission approve the Settlement Agreement by February 28, 2014.

Regulatory Status Report

- ER13-1292** **Order No. 764 Compliance Filing Modifying Attachment V, Generator Interconnection Procedures and Generator Interconnection Agreement**
- On December 2, 2013, Exelon Corporation filed a Motion to Intervene.
- On December 3, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- ER13-1768** **Submission of Tariff Revisions to Attachment AN to Incorporate into the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority ("SPP BA Agreement")**
- On December 18, 2013, SPP submitted its compliance filing pursuant to the November 18, 2013 Order.
- An effective date of March 1, 2014 was requested.
- ER13-1872** **Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On December 20, 2013, SPP submitted its compliance filing in response to the November 25, 2013 Order.
- ER13-1914** **SPP Submission of Tariff Revisions to Clarify the Determination of Credits and Distribution of Credit Revenue for Creditable Upgrades**
- On December 6, 2013, FERC issued an Order Conditionally Accepting Proposed Tariff Revisions to clarify the determination of credits and distribution of credit revenue for network upgrades under the Tariff and to implement procedures to simplify and streamline the crediting process, effective September 8, 2013, subject to a compliance filing.
- In light of SPP's withdrawal of its proposed amendments to Attachment Z2, FERC directed SPP to remove references in Attachment O to the withdrawn proposal in Attachment Z2. FERC also directed SPP to review Attachments H, J, and L to assess whether its withdrawn proposal in Attachment Z2 necessitates further changes and to include these revisions in the compliance filing.
- SPP's compliance filing is due on January 6, 2014.
- ER14-27** **Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Southwestern Public Service Company ("SPS") as Network Customer and Host Transmission Owner**
- On December 3, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective January 1, 2012 as requested.
- This order constitutes final agency action.
- ER14-67** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a**

Regulatory Status Report

unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner

On December 2, 2013, Western Farmers Electric Cooperative filed an answer in response to the Answers of American Electric Power Service Corporation and the East Texas Electric Cooperatives filed on November 14, 2013, and the Answer filed by SPP on November 18, 2013.

WFEC stated:

- 1) a load connection study was not required and would not change the results of the disagreement;
- 2) service to AEP's customer behind the Wapanucka delivery point will be provided; and
- 3) the costs of the facilities that are required for service are directly assignable.

On December 6, 2013, FERC issued an Order Accepting and Suspending Transmission Service Agreement and Establishing Hearing and Settlement Judge Procedures. FERC accepted the agreement for filing, suspended the agreement for a nominal period, made it effective October 1, 2013, as requested, subject to refund, and established hearing and settlement judge procedures.

On December 12, 2013, FERC issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference. A settlement conference will be held on December 18, 2013.

On December 16, 2013, FERC issued a Notice Rescheduling Settlement Conference. The conference previously scheduled for December 18, 2013 will now be held on December 20, 2013.

On December 20, 2013, FERC issued a Notice Scheduling Settlement Conference to be held on February 4, 2014.

ER14-89

Entergy Arkansas, Inc. ("EAI") Filing of an Open Access Transmission Tariff ("Tariff") Governing Transmission and Interconnection Service Over Certain Limited Transmission Facilities Owned by EAI Located in Missouri ("Missouri Transmission Facilities")

On December 5, 2013, Ameren Services Company filed an answer in response to the Midcontinent Independent System Operator, Inc.'s November 20, 2013 Answer.

Ameren stated:

- 1) the Ameren Boot Heel load must remain in the Ameren Local Balancing Authority and the Ameren Missouri Transmission Pricing Zone, as required by the Missouri Stipulation and Agreement and the Missouri Service Agreement; and
- 2) the Commission should summarily reject those provisions of the Entergy Arkansas, Inc. filings that violate the Missouri Service Agreement.

On December 5, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed an answer in response to Entergy Arkansas, Inc.'s November 20, 2013 Answer. The parties stated that Entergy raised a number of substantive issues that are not germane to this proceeding. However, because the parties are concerned that Entergy's answer could have a prejudicial effect, the parties briefly responded to Entergy's arguments.

On December 5, 2013, Associated Electric Cooperative, Inc. filed an answer in response to the answers filed by the Midcontinent Independent System Operator, Inc., Entergy Services,

Inc., and Entergy Arkansas, Inc.

AECI stated:

- 1) it did not not waive its legal rights to challenge the 87% rate increase by failing to raise the issue in Docket No. ER13-948;
- 2) imposing an unjust and unreasonable 87% rate increase on AECI is not compelled by the need to avoid undue discrimination;
- 3) the purported benefits of taking service under the MISO Tariff do not justify an 87% rate increase; and
- 4) it is Commission policy to set unjust and unreasonable rate increases resulting from a transfer of functional control of transmission facilities to an RTO for hearing and settlement judge procedures.

On December 10, 2013, Entergy Arkansas, Inc. filed a Motion to Withdraw this tariff filing.

ER14-114

Midcontinent Independent System Operator, Inc. ("MISO") Filing of a Coordination Agreement By and Between Midcontinent Independent System Operator, Inc. and Entergy Arkansas, Inc.

On December 5, 2013, Ameren Services Company filed an answer in response to the Midcontinent Independent System Operator, Inc.'s November 20, 2013 Answer.

Ameren stated:

- 1) the Ameren Boot Heel load must remain in the Ameren Local Balancing Authority and the Ameren Missouri Transmission Pricing Zone, as required by the Missouri Stipulation and Agreement and the Missouri Service Agreement; and
- 2) the Commission should summarily reject those provisions of the Entergy Arkansas, Inc. filings that violate the Missouri Service Agreement.

On December 5, 2013, Associated Electric Cooperative, Inc. filed an answer in response to the answers filed by the Midcontinent Independent System Operator, Inc., Entergy Services, Inc., and Entergy Arkansas, Inc.

AECI stated:

- 1) it did not not waive its legal rights to challenge the 87% rate increase by failing to raise the issue in Docket No. ER13-948;
- 2) imposing an unjust and unreasonable 87% rate increase on AECI is not compelled by the need to avoid undue discrimination;
- 3) the purported benefits of taking service under the MISO Tariff do not justify an 87% rate increase; and
- 4) it is Commission policy to set unjust and unreasonable rate increases resulting from a transfer of functional control of transmission facilities to an RTO for hearing and settlement judge procedures.

On December 11, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Withdraw the filing in this proceeding.

On December 18, 2013, Ameren Services Company filed its Response in Opposition to Motion to Withdraw Filings of Midcontinent Independent System Operator, Inc.

On December 23, 2013, the Missouri Public Service Commission filed Comments in support of Ameren Services Company's Response in Opposition to Motion to Withdraw Filings of Midcontinent Independent System Operator, Inc. The MoPSC asked for clarification of

Regulatory Status Report

Section 3.1 of the Service Agreement between Ameren and MISO.

ER14-125

Submission of Tariff Revisions to Reflect a Change in Management of Reliability Tools

On December 17, 2013, FERC issued an order accepting the tariff revisions to reflect a change in the management of reliability tools utilized by SPP in its role as the Reliability Coordinator for the SPP footprint.

An effective date of December 17, 2013 was granted.

ER14-163

Ministerial Filing to Cancel and Re-Submit the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Osage City, Kansas ("Osage City") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On December 16, 2013, FERC issued a letter order accepting the Notice of Cancellation and re-submission of the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER14-167

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner

On December 16, 2013, FERC issued a letter order accepting the agreement, effective January 1, 2011 as requested.

This order constitutes final agency action.

ER14-169

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On December 16, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

ER14-173

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On December 16, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

ER14-174

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On December 17, 2013, FERC issued a letter order accepting the agreement, effective

Regulatory Status Report

October 1, 2013 as requested.

This order constitutes final agency action.

ER14-176

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On December 18, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

ER14-193

Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Generation Energy, Inc. ("Generation Energy") as Interconnection Customer and Tri-County Electric Cooperative, Inc. ("Tri-County") as Transmission Owner

On December 19, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-223

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and The Empire District Electric Company ("Empire") as Network Customer and Host Transmission Owner

On December 17, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

ER14-224

Designee Qualification and Novation Agreement between SPP, Kansas City Power & Light Company ("KCP&L"), KCP&L Greater Missouri Operations Company ("GMO"), and Transource Missouri, LLC ("Transource")

On December 17, 2013, FERC issued a letter order accepting the agreement, effective October 30, 2013 as requested.

This order constitutes final agency action.

ER14-228

Submission of Tariff Revisions to Implement a Cost-Based Formula Rate for Sunflower Electric Power Corporation

On December 19, 2013, FERC issued an order accepting the tariff revisions to implement a cost-based transmission formula rate for SPP member Sunflower Electric Power Corporation.

An effective date of January 1, 2014 was granted.

ER14-229

Generator Interconnection Agreement ("GIA") between Cimarron Windpower II, LLC ("Cimarron") as Interconnection Customer and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner

On December 18, 2013, FERC issued a letter order accepting the agreement, effective

Regulatory Status Report

October 7, 2013 as requested.

This order constitutes final agency action.

ER14-236 Submission of Tariff Revisions to Incorporate Transource Missouri, LLC's Formula Rate

On December 17, 2013, FERC issued an order accepting the tariff revisions to incorporate the formula rate template and protocols for Transource Missouri, LLC.

An effective date of January 1, 2014 was granted.

ER14-278 Submission of Tariff Revisions to Increase Administration Charge Cap in Schedule 1-A

On December 16, 2013, FERC issued an order accepting the revised tariff sheets to increase the rate cap for the Schedule 1-A Administration Service Charge from \$0.35/MWh to \$0.39/MWh.

An effective date of January 1, 2014 was granted.

ER14-283 Submission of Tariff Revisions to Reflect an Update of the Annual Values Used in the Calculation of Offer Caps

On December 17, 2013, FERC issued an order accepting the tariff revisions to reflect updates of annual values used in the calculation of offer caps for all pivotal resources in SPP's real-time energy imbalance service market.

An effective date of January 1, 2014 was granted.

ER14-416 Submission of Tariff Revisions to Modify SPP Integrated Marketplace

On December 5, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On December 5, 2013, Exelon Corporation filed a Motion to Intervene.

On December 6, 2013, the TDU Intervenors filed a Motion to Intervene.

ER14-521 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 1

On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

ER14-522 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 2

On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

ER14-523 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 3

On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the

Regulatory Status Report

cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

ER14-524 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 4

On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

ER14-526 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 5

On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

ER14-528 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 6

On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

ER14-529 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 7

On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

ER14-530 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 8

On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

ER14-531 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 9

On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

ER14-532 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 10

On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

ER14-591 Generator Interconnection Agreement ("GIA") between Lincoln Electric System ("LES") as Interconnection Customer and Transmission Owner

Regulatory Status Report

On December 10, 2013, SPP submitted an executed GIA between SPP as Transmission Provider and Lincoln Electric System as Interconnection Customer and Transmission Owner. SPP Service Agreement No. 2635.

An effective date of November 26, 2013 was requested.

On December 31, 2013, Lincoln Electric System filed a Motion to Intervene and Protest in response to SPP's December 10, 2013 Filing.

LES stated it protests SPP's proposed imposition of liability insurance requirements that are in excess of its maximum potential liability under Nebraska state law. LES stated that the insurance requirement is inconsistent with the Commission's pro forma Small Generator Interconnection Agreement.

ER14-592

Generator Interconnection Agreement ("GIA") between Oklahoma Municipal Power Authority ("OMPA") as Interconnection Customer and Oklahoma Gas and Electric Company ("OG&E") as Transmission Owner

On December 11, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Interconnection Customer, and Oklahoma Gas and Electric Company as Transmission Owner. SPP Service Agreement No. 2633.

An effective date of November 15, 2013 was requested.

On December 20, 2013, Oklahoma Municipal Power Authority filed a Motion to Intervene and Comments in support of SPP's December 11, 2013 filing.

ER14-596

Compliance Filing to Implement a Distribution Mechanism for Unreserved Penalty Revenues

On December 11, 2013, SPP submitted revisions to Section 13.7 of the Tariff to incorporate a mechanism to distribute penalty revenues collected by SPP for unreserved use of firm transmission service.

An effective date of December 11, 2013 was requested.

On December 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-617

Generator Interconnection Agreement ("GIA") between Tulsa LFG, LLC ("Tulsa LFG") as Interconnection Customer and Public Service Company of Oklahoma ("PSO") as Transmission Owner

On December 13, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Tulsa LFG, LLC as Interconnection Customer, and Public Service Company of Oklahoma as Transmission Owner. SPP Service Agreement No. First Revised 2460.

An effective date of December 12, 2013 was requested.

ER14-620

Submission of Change to Formula Rate Template for Kansas City Power & Light Company ("KCP&L") and KCP&L Greater Missouri Operations Company ("GMO")

On December 13, 2013, SPP submitted revisions to its Tariff to implement a change in the formula rate templates for Kansas City Power & Light Company and KCP&L Greater Missouri

Regulatory Status Report

Operations Company. These proposed revisions to the depreciation and amortization rates are consistent with the rate filing submitted by KCP&L and GMO in Docket No. ER14-22.

An effective date of January 1, 2014 was requested.

ER14-644

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On December 17, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Sixteenth Revised 1374.

An effective date of December 1, 2013 was requested.

ER14-662

Affected Systems' Facilities Construction Agreement between SPP as Transmission Provider, Abengoa Bioenergy Biomass of Kansas, LLC ("Abengoa") as Interconnection Customer, and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner

On December 18, 2013, SPP submitted an executed Affected Systems' Facilities Construction Agreement between SPP as Transmission Provider, Abengoa Bioenergy Biomass of Kansas, LLC as Interconnection Customer, and Sunflower Electric Power Corporation as Transmission Owner. SPP Service Agreement No. 2568.

An effective date of December 3, 2013 was requested.

ER14-781

Submission of Tariff Revisions to Modify the Generator Interconnection Procedures

On December 20, 2013, SPP submitted tariff revisions to modify its Generator Interconnection Procedures in Attachment V.

An effective date of March 1, 2014 was requested.

On December 23, 2013, the American Wind Energy Association filed a Motion to Extend the Period for Filing Comments.

ER14-788

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On December 20, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2631.

An effective date of November 2, 2013 was requested.

ER14-791

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners

On December 20, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with

Regulatory Status Report

Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation as Host Transmission Owners. SPP Service Agreement No. Fourth Revised 1534.

An effective date of December 1, 2013 was requested.

ER14-794 **Submission of a Notice of Cancellation of Comprehensive Seams Agreement Between Energy Services, Inc. and the Southwest Power Pool, Inc. (Rate Schedule No. 12)**

On December 20, 2013, SPP submits this filing to notify the Commission of the termination of the Comprehensive Seams Agreement Between Entergy Services, Inc. and SPP. SPP requests cancellation of the respective tariff sheets that constitute the Seams Agreement.

An effective date of December 19, 2013 was requested.

ER14-806 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") as Host Transmission Owner**

On December 23, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Mid-Kansas Electric Cooperative, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 1876.

An effective date of December 1, 2013 was requested.

ER14-807 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners**

On December 23, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer, as well as a NOA with Sunflower, Midwest Energy, Inc., ITC Great Plains, LLC, and Mid-Kansas Electric Company, LLC as Host Transmission Owners. SPP Service Agreement No. Fifth Revised 2028.

An effective date of December 1, 2013 was requested.

ER14-808 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On December 23, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 2415.

An effective date of December 1, 2013 was requested.

ER14-812 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Sunflower Electric Power Corporation**

Regulatory Status Report

("Sunflower") as Host Transmission Owner

On December 23, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Sunflower Electric Power Corporation as Host Transmission Owner. SPP Service Agreement No. First Revised 2463.

An effective date of December 1, 2013 was requested.

ER14-813

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner

On December 23, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Sunflower Electric Power Corporation as both Network Customer and Host Transmission Owner. SPP Service Agreement No. 2639.

An effective date of December 1, 2013 was requested.

ER14-814

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner

On December 23, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Sunflower Electric Power Corporation as both Network Customer and Host Transmission Owner. SPP Service Agreement No. 2640.

An effective date of December 1, 2013 was requested.

ER14-815

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner

On December 23, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Sunflower Electric Power Corporation as both Network Customer and Host Transmission Owner. SPP Service Agreement No. 2641.

An effective date of December 1, 2013 was requested.

ER14-844

Order No. 676-G Compliance Filing

On December 27, 2013, SPP submitted its compliance filing in response to Order No. 676-G. SPP revised Attachment R-1 of its Tariff to incorporate by reference the updated business practice standards adopted by the Wholesale Electric Quadrant of the North American Energy Standards Board.

An effective date of May 6, 2013 was requested.

ER14-859

MISO Filing of Revisions to the Joint Operating Agreement ("JOA") Between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP to Include Terms for Emergency Energy Assistance

On December 27, 2013, MISO submitted revisions to the Joint Operating Agreement Between the Midcontinent Independent System Operator, Inc. and SPP to include provisions to govern

Regulatory Status Report

emergency energy transactions between SPP and MISO.

SPP's related filing is in Docket No. ER14-863.

An effective date of March 1, 2014 was requested.

ER14-863

SPP Filing of Revisions to the Joint Operating Agreement ("JOA") Between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP to Include Terms for Emergency Energy Assistance

On December 27, 2013, SPP submitted revisions to the Joint Operating Agreement Between the Midcontinent Independent System Operator, Inc. and SPP to include provisions to govern emergency energy transactions between SPP and MISO.

An effective date of March 1, 2014 was requested.

ER14-866

Order No. 784 Compliance Filing

On December 27, 2013, SPP submitted revisions to its Tariff to adopt the Open Access Transmission Tariff Schedule 3 language required by Order No. 784 for its Integrated Marketplace Tariff.

An effective date of March 1, 2014 was requested.

RC11-6

North American Electric Reliability Corporation's ("NERC") Petition Requesting Approval of New Enforcement Mechanisms and Submittal of Initial Informational Filing Regarding NERC's Efforts to Refocus Implementation of Its Compliance Monitoring and Enforcement Program ("CMEP")

On December 16, 2013, the SPP Regional Entity submitted its response to the data request issued on October 31, 2013.

RD13-9

North American Electric Reliability Corporation ("NERC") and SPP Regional Entity ("SPP RE") Joint Petition for Approval of Proposed Regional Reliability Standard PRC-006-SPP-01 (Under Frequency Load Shedding)

On December 11, 2013, NERC filed a Notice of Withdrawal of the Joint Petition for Approval of Proposed Regional Reliability Standard PRC-006-SPP-01 (Under Frequency Load Shedding).

RM01-8

Public Utility Filing Requirements for Electric Quarterly Reports ("EQR")

On December 20, 2013, FERC issued a Notice of Technical Conference to be held on January 22, 2014 to demonstrate the new Electric Quarterly Report filing system announced in Order No. 770.

On December 27, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 4Q2013 EQR was extended from January 31, 2014 to a date to be determined.

RM10-12

Electricity Market Transparency Provisions of Section 220 of the Federal Power Act (Order No. 768)

On December 20, 2013, FERC issued a Notice of Technical Conference to be held on January 22, 2014 to demonstrate the new Electric Quarterly Report filing system announced in Order No. 770.

Regulatory Status Report

On December 27, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 4Q2013 EQR was extended from January 31, 2014 to a date to be determined.

RM12-3 Revisions to Electric Quarterly Report ("EQR") Filing Process (Order No. 770)

On December 20, 2013, FERC issued a Notice of Technical Conference to be held on January 22, 2014 to demonstrate the new Electric Quarterly Report filing system announced in Order No. 770.

On December 27, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 4Q2013 EQR was extended from January 31, 2014 to a date to be determined.

RM13-5 Version 5 Critical Infrastructure Protection Reliability Standards

On December 19, 2013, Utility Services, Inc. filed a Motion to Request Clarification of Order No. 791.

On December 20, 2013, Edison Electric Institute and the Electric Power Supply Association filed a Request for Rehearing and Motion for Clarification of Order No. 791.

On December 20, 2013, the American Public Power Association and the National Rural Electric Cooperative Association filed a Motion for Limited Clarification of Order No. 791.

RM13-7 Protection System Maintenance Reliability Standard

On December 19, 2013, FERC issued Order No. 793, Final Rule approving a revised Reliability Standard, PRC-005-2 - Protection System Maintenance, to supersede four existing Reliability Standards, PRC-005-1.1b (Transmission and Generation Protection System Maintenance and Testing), PRC-008-0 (Underfrequency Load Shedding Equipment Maintenance), PRC-011-0 (Undervoltage Load Shedding Equipment Maintenance) and PRC-017-0 (Special Protection System Maintenance and Testing).

This rule becomes effective on February 24, 2014.

RM13-12 Monitoring System Conditions - Transmission Operations Reliability Standard

On December 20, 2013, NERC filed a Motion to Defer Action on its request to approve revisions to the Transmission Operations (TOP) and Interconnection Reliability Operations and Coordination (IRO) Reliability Standards until January 31, 2015.

RM13-14 Transmission Operations Reliability Standards

On December 20, 2013, NERC filed a Motion to Defer Action on its request to approve revisions to the Transmission Operations (TOP) and Interconnection Reliability Operations and Coordination (IRO) Reliability Standards until January 31, 2015.

RM13-15 Interconnection Reliability Operations and Coordination Reliability Standards

On December 20, 2013, NERC filed a Motion to Defer Action on its request to approve revisions to the Transmission Operations (TOP) and Interconnection Reliability Operations and Coordination (IRO) Reliability Standards until January 31, 2015.

Regulatory Status Report

RM13-17

Communication of Operational Information Between Natural Gas Pipelines and Electric Transmission Operators

On December 16, 2013, the Natural Gas Supply Association, et al. filed a Request for Clarification or, in the Alternative, Rehearing of Order No. 787.

On December 16, 2013, Enable Interstate Pipelines filed a Request for Rehearing of Order No. 787.

RT04-1

RTO Approval Docket

On December 18, 2013, SPP submitted an informational filing containing its annual operating budget. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.

FERC or State Jurisdiction: State of Arkansas

08-136-U **In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas**

On December 20, 2013, SPP filed the Fall 2013 State of the Market Report pursuant to Order Nos. 2 and 25.

10-011-U **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**

On December 2, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On December 30, 2013, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

12-060-R **In the Matter of Amendments to the Arkansas Public Service Commission's Rules Concerning Meter Aggregation and Combined Billing for Net-Metering Customers**

On December 19, 2013, the APSC issued Order No. 12, directing the Secretary of the Commission to close this docket.

12-069-U **In the Matter of an Application of Entergy Arkansas, Inc., Mid South Transco LLC, ITC Midsouth LLC, Transmission Company Arkansas, LLC, and ITC Holdings Corp. to Enter Transactions Resulting in a Certificate of Public Convenience and Necessity for a New Arkansas Utility to Own EAI's Electric Transmission Facilities**

On December 2, 2013, the Joint Applicants filed a Monthly Status Report pursuant to Order No. 17.

On December 13, 2013, the Applicants filed a Notice of Withdrawal of Application.

On December 17, 2013, the APSC issued Order No. 18, directing the Secretary of the Commission to close this Docket.

Regulatory Status Report

FERC or State Jurisdiction: State of LA - New Orleans

UD-11-01 **Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements**

On December 2, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

UD-12-01 **Investigation of the Proposed Divestiture of the Transmission Assets of Entergy New Orleans, Inc. ("ENO") and Entergy Louisiana, LLC ("ELL") to ITC Holdings Corp. ("ITC")**

On December 13, 2013, Entergy and ITC notified the City Council of New Orleans that they are withdrawing their request for approval of the transmission assets divestiture.

FERC or State Jurisdiction: State of Louisiana

U-32538 **Joint Application of Entergy Louisiana, LLC, Entergy Gulf States Louisiana, L.L.C., Mid South TransCo LLC, Transmission Company Louisiana I, LLC, Transmission Company Louisiana II, LLC, ITC Holdings Corp. and ITC Midsouth LLC ("Applicants") for Approval of Change of Ownership of Electric Transmission Businesses, for Certain Cost-Recovery Adjustments, and for Related Relief**

On December 13, 2013, the Applicants filed a Notice of Withdrawal of Joint Application.

U-32675 **Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. (Collectively "Entergy") Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, for an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment**

On December 10, 2013, the LPSC issued Order No. U-32675-A, approving the Amended Implementation Agreement between Entergy and Cleco Power, LLC and the Companies' request for cost recovery through the MCRM rider with authorization to defer those costs until those riders are implemented.

On December 19, 2013, Entergy and Cleco Power, LLC filed Proposed Issues for Generic Docket Addressing the MISO Planning Resource Auction.

On December 19, 2013, Entergy filed its MISO Planning Resource Auction First Report.

Regulatory Status Report

FERC or State Jurisdiction: State of Mississippi

2012-UA-358

Joint Application for the Transfer of Ownership and Control of Entergy Mississippi, Inc.'s Transmission Facilities and Assets Together with Related Certificates, Franchises, and Other Property Rights to Transmission Company Mississippi, LLC and Approval of Subsequent Transfers of Ownership and Control

On December 10, 2013, the MPSC issued a Final Order finding that the proposed transfer is not in the public interest and the Joint Application is denied.

FERC or State Jurisdiction: State of Missouri

EO-2012-0135 **In the Matter of the Application of Kansas City Power & Light Company ("KCPL") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.**

On December 31, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Notification of changes in SPP's Schedule 1-A rates.

EO-2012-0136 **In the Matter of the Application of KCP&L Greater Missouri Operations Company ("KCPL-GMO") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.**

On December 31, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Notification of changes in SPP's Schedule 1-A rates.

EO-2013-0396 **In the Matter of the Joint Application of Entergy Arkansas, Inc., Mid South Transco LLC, Transmission Company Arkansas, LLC and ITC Midsouth LLC ("Applicants") for Approval of Transfer of Assets and Certificate of Convenience and Necessity, and Merger and, in connection therewith, Certain Other Related Transactions**

On December 13, 2013, the Joint Applicants filed a Motion for Leave to Dismiss the Application and this proceeding.

On December 24, 2013, the MoPSC issued an Order Granting Joint Applicants' Motion for Leave to Dismiss.

Regulatory Status Report

FERC or State Jurisdiction: State of New Mexico

10-00143-UT

In the Matter of the Petition of Lea County Electric Cooperative, Inc. ("LCEC") For Approval to Transfer Certain Transmission Assets Under the Southwest Power Pool, Inc. Open Access Transmission Tariff

On December 17, 2013, Lea County Electric Cooperative, Inc. filed its Interim Report regarding its participation in the SPP Regional Transmission Organization.

13-00031-UT

In the Matter of Southwestern Public Service Company's Interim Report on its Participation in the Southwest Power Pool Regional Transmission Organization

On December 10, 2013, SPP submitted its Response to Staff's First Set of Interrogatories and Request for Production of Documents.

Technical and Settlement Conferences were held on December 17, 2013.

Regulatory Status Report

FERC or State Jurisdiction: State of Texas

- 40979** **Proceeding to Track Compliance with Terms and Conditions Set Forth in the Commission's Order Issued in Docket No. 40346 and the Non-Unanimous Stipulation ("NUS") and Associated Studies Arising from the Order and/or NUS**
- Entergy Texas, Inc. continues to file compliance reports each month.
- 41430** **Joint Report and Application of Sharyland Utilities, L.P. ("Sharyland"), Sharyland Distribution & Transmission Services, L.L.C. ("SDTS"), and Southwestern Public Service Company ("SPS") for Approval of Purchase and Sale of Facilities, for Approval of Regulatory Accounting Treatment of Gain or Sale, and for Transfer of Certain Rights**
- On December 3, 2013, PUCT Staff filed a letter stating that it reiterates its agreement with the Administrative Law Judge's finding that, based on a prior Commission precedent, Preliminary Order Issue No. 3 should be deferred for determination in Sharyland's next base rate proceeding. Staff also agrees that the Commission should approve the regulatory accounting treatment of the gain on sale in accordance with the Unopposed Stipulation.
- On December 5, 2013, the Administrative Law Judge submitted a letter recommending revisions the Proposal for Decision to correct errors.
- On December 20, 2013, the PUCT issued an Order, adopting the Proposal for Decision and granting the Application.
- 41850** **Updated Application of Entergy Texas, Inc., ITC Holdings Corp., MidSouth Transco LLC, Transmission Company Texas, LLC, and ITC Midsouth LLC for Approval of Change of Ownership and Control of Transmission Business, Transfer of Certification of Rights and Related Relief**
- On December 13, 2013, the Applicants filed a Joint Motion to Withdraw Application.
- On December 20, 2013, the PUCT issued an Order of Dismissal Without Prejudice.

FERC or State Jurisdiction: United States Court of Appeals

12-1158 **Southwest Power Pool, Inc. v. Federal Energy Regulatory Commission (Petition for Review of Orders Issued in FERC Docket No. EL11-34 Related to SPP-MISO JOA Dispute)**

On December 3, 2013, the U.S. Court of Appeals issued an Opinion finding that FERC's decision was arbitrary and capricious.

On December 3, 2013, the Clerk for the U.S. Court of Appeals issued a Judgment. It was Ordered and Adjudged that the orders are vacated and remanded, in accordance with the opinion of the court.

12-1232 **South Carolina Public Service Authority v. FERC, Petition for Review of Order Nos. 1000 and 1000-A (Consolidated with 12-1233, 12-1250, 12-1276, 12-1279, 12-1280, 12-1285, 12-1290, 12-1292, 12-1293, 12-1294, 12-1296, 12-1299, 12-1300, 12-1304)**

On December 13, 2013, the Parties file their final Briefs.