

### **FERC or State Jurisdiction: FERC**

#### **10-1227 SPP Filing of FERC Form 1 and 3-Q**

On November 26, 2013, SPP submitted its FERC Form 3-Q, Quarterly Financial Report, for the third quarter of 2013.

#### **AD10-2 Guidance on Simultaneous Transmission Import Limit Studies**

On December 23, 2013, SPP submitted its Informational Filing of 2013 Simultaneous Import Limit Study for SPP Region.

#### **AD11-9 Inquiry into Recent Outages in Texas and the Southwest**

On November 21, 2013, the Western Electricity Coordinating Council submitted its response to the September 25, 2013 letter.

On November 21, 2013, the SPP Regional Entity submitted its response to the September 25, 2013 letter.

On November 21, 2013, Texas Reliability Entity, Inc. submitted its response to the September 25, 2013 letter.

#### **AD12-12 Coordination between Natural Gas and Electricity Markets**

On October 17, 2013, each Regional Transmission Organization and Independent System Operator appeared before the Commission in order to share its experiences from the summer and fall and described the progress it has made in refining existing practices to provide better coordination between the natural gas and electric industries and ensure adequate fuel supplies.

#### **AD12-16 Capacity Deliverability Across the Midwest Independent Transmission System Operator, Inc. ("MISO")/PJM Interconnection, L.L.C. ("PJM") Seam**

On December 19, 2013, FERC issued an Order on Initiatives to Address Seams Issues. The Commission directed its staff to participate in the RTOs' joint and common market meetings and establish a new proceeding in Docket No. AD14-3 to reflect the broadened scope of issues contemplated by the RTOs' September 26, 2013 Informational Filing and their joint and common market initiatives.

#### **AD13-7 Centralized Capacity Markets in Regional Transmission Organizations and Independent System Operators**

On October 25, 2013, FERC issued a Notice Allowing Post-Technical Conference Comments. Comments are due on December 9, 2013.

On November 15, 2013, the American Public Power Association, et al. filed a Motion for Extension of Time to Submit Post-Technical Conference Reply Comments.

On November 27, 2013, FERC issued a Notice of Extension of Time for submitting post-technical conference comments. Comments are now due January 8, 2014.

#### **AD13-8 Market Implications of Frequency Response and Frequency Bias Setting Requirements**

Parties filed comments in response to the July 18, 2013 Notice of Requests for Comments.

## Regulatory Status Report

**AD14-3**                      **Coordination Across the Midcontinent Independent System Operator, Inc. ("MISO")/PJM Interconnection, L.L.C. ("PJM") Seam**

On December 19, 2013, FERC issued an Order on Initiatives to Address Seams Issues. The Commission directed its staff to participate in the RTOs' joint and common market meetings and establish a new proceeding in Docket No. AD14-3 to reflect the broadened scope of issues contemplated by the RTOs' September 26, 2013 Informational Filing and their joint and common market initiatives.

**CE11-27.003**              **Apoorya Bansal, et al.'s Request for Critical Energy Infrastructure Information - Energy Consulting on behalf of General Electric International, Inc., Energy Consulting (Additional Requesters)**

FERC issued a Notice of Intent to Release on December 5, 2013.

**CE12-106.002**            **Apoorya Bansal, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc. (Additional Requesters)**

FERC issued a Notice of Intent to Release on November 15, 2013.

**CE13-15**                    **Kristen Huckaby, et al.'s Request for Critical Energy Infrastructure Information - Battelle Memorial Institute**

On September 25, 2013, Steve Krak amended the original request in CE13-15 to only seek access for the following four requesters: Eric Rehberg, Jason Black, Jason McCullough, and Seyed Mansouri.

FERC issued a Notice of Intent to Release on October 1, 2013.

**CE13-19**                    **Bradley Beatty's Request for Critical Energy Infrastructure Information - Ameren UE**

FERC issued a Notice of Intent to Release on October 9, 2013.

**CE13-130**                 **Randell Johnson's Request for Critical Energy Infrastructure Information - Energy Exemplar, LLC**

FERC issued a Notice of Intent to Release on October 30, 2013.

**CE13-133**                 **Michael Kim's Request for Critical Energy Infrastructure Information - Iberdrola Renewables**

FERC issued a Notice of Intent to Release on October 9, 2013.

**CE13-135**                 **Leah Swan's Request for Critical Energy Infrastructure Information - Energy Acuity, LLC**

FERC issued a Notice of Intent to Release on October 9, 2013.

**CE13-146**                 **Robert Collier, et al.'s Request for Critical Energy Infrastructure Information - OneEnergy Renewables**

FERC issued a Notice of Intent to Release on October 24, 2013.

**CE13-155**                 **Aleksandr Rudkevich, et al.'s Request for Critical Energy Infrastructure Information - Newton Energy Group LLC**

FERC issued a Notice of Intent to Release on November 27, 2013.

## Regulatory Status Report

- CE13-159**      **Igor Kliakhandler's Request for Critical Energy Infrastructure Information - Intergrid Mideast Group LLC**
- FERC issued a Notice of Intent to Release on November 27, 2013.
- CE13-161**      **Joseph Hayden's Request for Critical Energy Infrastructure Information - InfraREIT Capital Partners, LLC**
- FERC issued a Notice of Intent to Release on November 27, 2013.
- CE13-163**      **Aranya Chakraborty, et al.'s Request for Critical Infrastructure Information - North Carolina State University**
- FERC issued a Notice of Intent to Release on November 27, 2013.
- CE13-166**      **Robert Cleveland's Request for Critical Energy Infrastructure Information - GL Garrad Hassan**
- FERC issued a Notice of Intent to Release on October 2, 2013.
- CE13-167**      **Denise Benoit, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc.**
- FERC issued a Notice of Intent to Release on November 18, 2013.
- CE13-167.001**      **Joseph Armah, et al.'s Request for Critical Energy Infrastructure Information - General Electric International, Inc. (Additional Requesters)**
- On October 4, 2013, SPP submitted comments on this request.
- FERC issued a Notice of Intent to Release on November 18, 2013.
- CE13-168**      **Dawei Zhou's Request for Critical Energy Infrastructure Information - E.ON Climate and Renewable, NA**
- FERC issued a Notice of Intent to Release on October 3, 2013.
- CE13-170**      **Leka Gjonaj and David V. Wheat's Request for Critical Energy Infrastructure Information - New York Public Service Commission**
- FERC issued a revised Notice of Intent to Release on October 4, 2013.
- CE13-172**      **Brian Winters's Request for Critical Energy Infrastructure Information - Wisconsin Electric Power Company**
- FERC issued a Notice of Intent to Release on October 4, 2013.
- CE13-174**      **Rosemarie Jorgensen, et al.'s Request for Critical Energy Infrastructure Information - Wisconsin Electric Power Company (d/b/a We Energies)**
- FERC issued a Notice of Intent to Release on October 10, 2013.
- CE13-178**      **Jeremy Lin's Request for Critical Energy Infrastructure Information - PJM Interconnection, L.L.C.**
- On September 17, 2013, Jeremy Lin, Senior Engineer of PJM Interconnection, L.L.C., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed September 1,

## Regulatory Status Report

2013.

On October 8, 2013, SPP submitted comments on this request.

FERC issued a Notice of Intent to Release on October 18, 2013.

**CE13-179**

**John Kopinski's Request for Critical Energy Infrastructure Information - ITC Holdings Corp.**

On September 27, 2013, John Kopinski, Senior Electrical Engineer - Planning, of ITC Holdings Corp., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 1, 2013.

On October 8, 2013, SPP submitted comments on this request.

**CE13-180**

**Kristin Mrozinski, et al's - Request for Critical Energy Infrastructure Information - AWS Truepower, LLC**

On September 25, 2013, Kristin Mrozinski, Legal Group Manager of AWS Truepower, LLC, on behalf of herself and eight others, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed September 4, 2013.

On October 11, 2013, SPP submitted comments on this request.

FERC issued a Notice of Intent to Release on November 8, 2013.

**CE14-2**

**Vincent Yang's Request for Critical Energy Infrastructure Information - NRG Energy**

On September 27, 2013, Vincent Yang, Director of Transmission of NRG Energy, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 17, 2013.

On October 24, 2013, SPP submitted comments on this request.

FERC issued a Notice of Intent to Release on October 30, 2013.

**CE14-7**

**William Ko's Request for Critical Energy Infrastructure Information on his own behalf**

On October 10, 2013, William Ko, Energy Trader for himself, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 15, 2013.

On October 22, 2013, SPP submitted comments on this request.

FERC issued a Notice of Intent to Release on November 7, 2013.

**CE14-8**

**Jo Hyun Jin's Request for Critical Energy Infrastructure Information - EON Climate and Renewables**

On October 14, 2013, Joo Hyun Jin, Management Analyst of EON Climate and Renewables, filed a FERC CEII request to obtain a copy of SPP's transmission map, as noticed October 24, 2013.

On October 31, 2013, SPP submitted comments on this request.

FERC issued a Notice of Intent to Release on December 5, 2013.

## Regulatory Status Report

- CE14-14 Kumar Krishnan's Request for Critical Energy Infrastructure Information - Enerlytix, LLC on behalf of Energy Capital Partners/EquiPower Resources Corp**
- On October 21, 2013, Kumar Krishnan, Managing Director of Enerlytix, LLC on behalf of Energy Capital Partners/EquiPower Resources Corp, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 4, 2013.
- On November 8, 2013, SPP submitted comments on this request.
- CE14-15 John P. Reynolds' Request for Critical Energy Infrastructure Information - Professional Asset Management, LLC**
- On October 21, 2013, John Reynolds, President of Professional Asset Management, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed October 28, 2013.
- On November 4, 2013, SPP submitted comments on this request.
- CE14-16 Deborah Lewis's Request for Critical Energy Infrastructure Information - RnR Engineering, LLC**
- On October 22, 2013, Deborah Lewis, President of RnR Engineering, LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 4, 2013.
- On November 8, 2013, SPP submitted comments on this request.
- FERC issued a Notice of Intent to Release on December 16, 2013.
- CE14-24 Nick Underwood's Request for Critical Energy Infrastructure Information - Tower Research Capital LLC, epresenting Franklin Power LLC**
- On November 9, 2013, Nick Underwood, Head of Operations for Tower Research Capital LLC, representing Franklin Power LLC, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed November 25, 2013.
- On December 2, 2013, SPP submitted comments on this request.
- CE14-027 Christopher Such's Request for Critical Energy Infrastructure Information - E.ON Climate and Renewable North America Inc.**
- On November 18, 2013, Christopher Such, Transmission Analyst of E.ON Climate and Renewable North America Inc., filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 5, 2013.
- On December 9, 2013, SPP submitted comments on this request.
- CE14-028 Andrew Goggins, et al's Request for Critical Energy Infrastructure Information - Climate Policy Initiative**
- On November 13, 2013, Andrew Goggin, Analyst of Climate Policy Initiative, on behalf of himself and 3 others, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 9, 2013.
- On December 11, SPP submitted comments on this request.

## Regulatory Status Report

- CE14-029**                    **Allison Duensing and Andrew Peebles' Request for Critical Energy Infrastructure Information - Saracen Energy FTR LP**
- On November 21, 2013, Allison Duensing (General Counsel) and Andrew Peebles (V.P. Power Analytics), of Saracen Energy FTR LP, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 3, 2013.
- On December 9, 2013, SPP submitted comments on this request.
- CE14-030**                    **FERC CEII Request of Keith Everhart's Request for Critical Energy Infrastructure Information - Economists Incorporated**
- On November 25, 2013, Keith Everhart, Energy Economist of Economists Incorporated, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 5, 2013.
- On December 9, 2013, SPP submitted comments on this request.
- CE14-031**                    **John Markotic's Request for Critical Energy Infrastructure Information - Nodal Exchange**
- On November 25, 2013, John Markotic, Compliance Manager of Nodal Exchange, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 3, 2013.
- On December 9, 2013, SPP submitted comments on this request.
- CE14-034**                    **Hahiyang Zhang's Request for Critical Energy Infrastructure Information - Nodal Exchange**
- On November 26, 2013, Hahiyang Zhang, Analyst of Nodal Exchange, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 5, 2013.
- On December 9, 2013, SPP submitted comments on this request.
- CE14-040**                    **John Flynn, et al's Request for Critical Energy Infrastructure Information - Nalcor Energy**
- On December 11, 2103, John Flynn, Sr. System Planning Engineer, of Nalcor Energy, on behalf of himself and six additional requesters, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 30, 2013
- CE14-041**                    **Matt Cooper, et al's Request for Critical Energy Infrastructure Information - Sempra US Gas and Power**
- On November 13, 2013, Matt Cooper, Risk and Structuring Manager of Sempra US Gas and Power, on behalf of himself and three others, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 18, 2013.
- On December 23, 2013, SPP submitted comments on this request.

## Regulatory Status Report

- CE14-044**      **John Gasbarro, et al's Request for Critical Energy Infrastructure Information - Cogentrix Energy Power Management, LLC**
- On December 12, 2013, John Gasbarro of Cogentrix Energy Power Management, LLC, filed on behalf of himself and three additional requestors, a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 30, 2013.
- CE14-045**      **Anne Claflin's Request for Critical Energy Infrastructure Information - Minnesota Pollution Control Agency**
- On December 12, 2013, Anne Claflin of Minnesota Pollution Control Agency, filed a FERC CEII request to obtain a copy of all FERC Form No. 715 data, as noticed December 23, 2013.
- On December 23, 2013, SPP submitted comments on this request.
- EL13-15**      **Southwestern Public Service Company ("SPS") Complaint Seeking a Finding that the Rates in SPP Zone 11 are Unjust and Unreasonable due to the Inclusion of the Costs of Facilities of Tri-County Electric Cooperative, Inc. ("Tri-County")**
- On November 15, 2013, Settlement Judge Young filed a Settlement Judge Report to the Commission and Chief Judge. The participants have achieved a comprehensive agreement in principle resolving all issues and are drafting a formal offer of settlement.
- EL13-35**      **Southwestern Public Service Company ("SPS") Complaint Requesting Establishment of a January 1, 2013 Refund Effective Date and a Finding from the Commission that SPP has Violated the Federal Power Act by Implementing a 40% Increase in the Tri-County Electric Cooperative, Inc. ("Tri-County") Annual Transmission Revenue Requirement**
- On November 15, 2013, Settlement Judge Young filed a Settlement Judge Report to the Commission and Chief Judge. The participants have achieved a comprehensive agreement in principle resolving all issues and are drafting a formal offer of settlement.
- EL13-84**      **Kansas Municipal Energy Agency's ("KMEA") Complaint Against Sunflower Electric Power Corporation ("Sunflower"), Mid-Kansas Electric Company, LLC ("Mid-Kansas"), and SPP to Correct Sunflower's Unauthorized Registration of KMEA's Members' Load and City-Owned Generation Resources in the SPP Integrated Marketplace**
- On October 30, 2013, Kansas Municipal Energy Agency filed a Request for Rehearing of the September 30, 2013 Order.
- On November 26, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the September 30, 2013 Order.
- ER02-2001**      **Revised Public Utility Filing Requirements for Electric Quarterly Reports**
- On December 20, 2013, FERC issued a Notice of Technical Conference to be held on January 22, 2014 to demonstrate the new Electric Quarterly Report filing system announced in Order No. 770.
- ER07-1069**      **AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)**
- On October 28, 2013, Martha Peine filed a Petition for Appointment of Administrative Law Judge as Discovery Master in order to resolve a discovery dispute concerning informal



## Regulatory Status Report

information gathering pursuant to the Protocols to review information and workpapers supporting AEP's 2013 Annual Update to its annual transmission revenue requirements.

On October 31, 2013, FERC issued a Notice Directing Appointment of Administrative Law Judge as Discovery Master.

On November 1, 2013, American Electric Power Service Corporation filed its response to Martha Peine's October 28, 2013 Petition. AEP stated it has responded and continues to work in good faith with the Petitioner.

On November 1, 2013, FERC issued an Order of Chief Judge Appointing Discovery Master.

On November 4, 2013, FERC issued an Order of Discovery Master Initiating Discovery Procedures.

On November 15, 2013, FERC issued an Order Adopting Protective Order.

On December 23, 2013, American Electric Power Service Corporation filed a revised 2013 Annual Update to its annual transmission revenue requirements.

ER08-313

### **Southwestern Public Service Company ("SPS") Formula Rate Case**

On December 2, 2013, Xcel Energy submitted an informational filing containing the 2014 Transmission Formula Rate Annual Update.

ER08-1338

### **SPP Submission of Revised Tariff Sheets Amending Schedule 1-A of OATT in Order to Increase the Rate Cap for its Tariff Administration Service Charge**

On December 18, 2013, SPP submitted an informational filing containing its annual operating budget. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.

ER10-355

### **American Electric Power Service Corporation ("AEP") Submission of a Formula Rate to Recover Costs of Investments in Transmission Facilities Owned by AEP Transmission Company, L.L.C. ("AEPTCo") Subsidiaries in PJM and in SPP RTO Regions**

On October 28, 2013, Martha Peine filed a Petition for Appointment of Administrative Law Judge as Discovery Master in order to resolve a discovery dispute concerning informal information gathering pursuant to the Protocols to review information and workpapers supporting AEP's 2013 Annual Update to its annual transmission revenue requirements.

On October 31, 2013, FERC issued a Notice Directing Appointment of Administrative Law Judge as Discovery Master.

On November 1, 2013, American Electric Power Service Corporation filed its response to Martha Peine's October 28, 2013 Petition. AEP stated it has responded and continues to work in good faith with the Petitioner.

On November 1, 2013, FERC issued an Order of Chief Judge Appointing Discovery Master.

On November 4, 2013, FERC issued an Order of Discovery Master Initiating Discovery Procedures.

On November 15, 2013, FERC issued an Order Adopting Protective Order.



## Regulatory Status Report

**ER11-4105**                    **SPP Order No. 745 Compliance Filing Pursuant to the Final Rule Issued Concerning Demand Response Compensation in Organized Wholesale Energy Markets in Docket No. RM10-17**

On December 20, 2013, FERC issued an order accepting SPP's May 2, 2012 Compliance Filing and the January 18, 2013 response to the Commission's request for additional information.

This order constitutes final agency action.

**ER12-550**                    **SPP Submission of Order No. 719 Compliance Filing Revising Tariff in Response to Order on Compliance Filings and Rehearing Issued in Docket Nos. ER09-1050, ER09-748, and ER09-1192**

On October 17, 2013, FERC issued an order accepting SPP's August 19, 2013 Compliance Filing, to become effective March 1, 2014 as requested.

**ER12-959**                    **SPP Submission of Revisions to OATT to Implement a Formula Rate for Transmission Service for Tri-County Electric Cooperative, Inc. ("Tri-County"), a Transmission Owner in the Southwestern Public Service Company ("SPS") Zone**

On November 12, 2013, Tri-County Electric Cooperative, Inc. submitted a letter conditionally withdrawing portions of its Brief on Exceptions in reference to two Generator Interconnection Agreements. The Brief was filed in Docket No. ER12-959-003.

**ER12-1179**                    **SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace**

On October 7, 2013, FERC issued an Order of Chief Judge Designating Settlement Judge and Schedule Settlement Conference to be held on October 10, 2013.

On October 11, 2013, Settlement Judge Sterner issued a Status Report and Notice of Upcoming Settlement Conference. A second settlement conference is scheduled for October 16, 2013.

On October 17, 2013, FERC issued a Notice of Upcoming Settlement Conference to be held on November 5, 2013.

On October 21, 2013, Nebraska Public Power District filed a Request for Rehearing of the September 20, 2013 Order.

NPPD stated the Commission should have rejected SPP's proposed revision to Section 2.2(2) of Attachment AE as non-compliant with its October 18, 2012 Order.

On October 30, 2013, SPP submitted a revised Offer of Settlement pursuant to the September 30, 2013 Order.

On October 30, 2013, Nebraska Public Power District filed a Motion for Clarification or in the Alternative, Application for Rehearing of the September 30, 2013 Order.

NPPD stated that Ordering Paragraph (D), which states that "a public hearing shall be held concerning whether GFA No. 494 should be included in Schedule 1 of the Settlement," could be construed in a manner which would not include the issues of whether Tri-State load should be treated as "out-of-market," as argued by Basin/Tri-State, or as non-participating embedded load, as argued by NPPD. NPPD requested that the Commission clarify that the hearing it

## Regulatory Status Report

ordered in the September 30 Order is intended to address all issues raised by NPPD and Basin/Tri-State relating to the appropriate treatment of Tri-State load under GFA 494.

On November 1, 2013, SPP submitted its Informational Filing of Revised Readiness Metrics for Integrated Marketplace.

On November 5, 2013, SPP filed an answer in response to Nebraska Public Power District's request for rehearing filed on October 21, 2013. SPP stated the Commission should deny NPPD's request because it is not aggrieved by the Commission's order within the meaning of Section 313 of the Federal Power Act and therefore lacks standing to challenge the order.

On November 6, 2013, Settlement Judge Sterner filed a Status Report in Docket No. ER12-1179-008 stating that the participants met as scheduled on November 5, 2013, and may have reached an agreement in principle and recommending that current settlement proceedings continue.

On November 11, 2013, SPP submitted its compliance filing pursuant to the September 20, 2013 Order.

An effective date of March 1, 2014 was requested.

On November 18, 2013, FERC issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On November 19, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the September 20, 2013 Order.

On November 19, 2013, SPP submitted its progress report on the launch of the Integrated Marketplace.

On November 26, 2013, FERC issued an Order Granting Rehearing for Further Consideration of the September 30, 2013 Order.

On December 2, 2013, Xcel Energy Services Inc. filed Comments in response to the November 11, 2013 Compliance Filing. Xcel requested that SPP provide limited clarifications regarding the must offer obligation, the Auction Revenue Rights allocation process, mitigated offer development, and bilateral settlement schedules.

On December 3, 2013, the TDU Intervenors filed a Protest in response to SPP's November 11, 2013 Compliance Filing.

The TDU Intervenors stated:

- 1) treatment of resources on outage in the day-ahead must-offer provisions must be sensible and consistent;
- 2) the Commission should require SPP to make further changes to provisions regarding manual unit commitments and make-whole payments;
- 3) SPP still has not fully complied with the Commission's requirements regarding the Bilateral Settlement Schedule provisions;
- 4) SPP should clarify the market monitor's authority to reject an incorrectly calculated mitigated offer curve; and
- 5) SPP has not demonstrated that the market monitor can consistently verify mitigated offers for demand response resources.

On December 3, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri

## Regulatory Status Report

Operations Company filed a Protest in response to SPP's November 11, 2013 Compliance Filing.

The parties stated:

- 1) SPP improperly removed fuel supply limitations from the consideration of opportunity costs;
- 2) in its initial compliance filing, SPP properly included fuel supply limitation as a potential opportunity cost, the Commission conditionally accepted the provision, and the costs can be proven to be legitimate and verifiable; and
- 3) the parties support Westar Energy, Inc.'s Limited Protest and the relief requested therein related to the definition of "local reliability issue" and compliance with SPP's must offer requirement as set forth in Attachment AE.

On December 3, 2013, the American Wind Energy Association filed Comments in response to SPP's November 11, 2013 Compliance Filing.

AWEA stated:

- 1) SPP's filing does not meet the Commission's direction regarding local Transmission Operators' authority to resolve certain reliability issues;
- 2) allowing the local operator to determine what constitutes an emergency is inappropriate;
- 3) SPP has not provided sufficient detail in the tariff changes to detect present and discourage future discriminatory behavior;
- 4) SPP's proposed tariff language may allow the local transmission operator to issue an order that impacts SPP facilities; and
- 5) SPP's proposal to grant authority to the local Transmission Operators to issue instructions in emergency situations must define the phrase "time permitting."

On December 3, 2013, Westar Energy, Inc. filed a Limited Protest in response to SPP's November 11, 2013 Compliance Filing.

Westar stated:

- 1) the proposed definition of "local reliability issue" for make whole payments continues to be too narrow and does not comply with the Commission's Order;
- 2) the proposed tariff language in Section 2.11.1(B)(1) lacks the needed detail for the Commission to determine that compliance with the must offer obligation is just and reasonable. The Commission should require SPP to clarify that resources that are unavailable for economic reasons shall not be exempted from the must offer obligation; and
- 3) Westar supports the Protest filed by Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company requesting that the Commission require SPP to reinsert "fuel supply limitation" as a potential opportunity cost.

On December 3, 2013, American Electric Power Service Corporation filed Comments in response to SPP's November 11, 2013 Compliance Filing. AEP requested that the Commission require SPP to reinsert fuel supply limitations as a category of opportunity costs for purposes of determining mitigated offer requirements.

On December 3, 2013, Golden Spread Electric Cooperative, Inc. filed Comments in support of the November 11, 2013 Compliance Filing.

On December 13, 2013, SPP submitted revisions to its Tariff to add Appendix 1 to Attachment AF containing the initial list of Frequently Constrained Areas for use in the SPP Integrated Marketplace.

## Regulatory Status Report

An effective date of March 1, 2014 was requested.

On December 17, 2013, the Southwest Power Pool Market Monitoring Unit ("MMU") filed a Motion to Intervene Out-of-Time, Comments and Motion to File Comments Out-of-Time. The MMU suggested revisions to Attachment AF, Section 3.1 to address Westar Energy, Inc.'s concerns around mitigation.

On December 18, 2013, FERC issued an order accepting the Informational Filing of Revised Readiness Metrics for Integrated Marketplace filed by SPP on November 1, 2013.

On December 18, 2013, SPP filed a Settlement Agreement and Offer of Settlement resolving issues associated with whether Grandfathered Agreement No. 494 should be included in Schedule 1 of the settlement the Commission conditionally approved on September 30, 2013 in this proceeding.

SPP requested that the Commission approve the Settlement Agreement by February 28, 2014.

On December 19, 2013, FERC issued a Settlement Judge's Report of Filing of Offer of Settlement. Comments are due by July 7, 2014, with Reply Comments due by January 17, 2014.

On December 23, 2013, SPP filed an answer in response to comments and protests filed in response to SPP's November 2013 Compliance Filing.

SPP stated:

- 1) SPP's proposed tariff revisions governing manual commitment procedures are compliant and should be approved;
- 2) SPP's proposed compliance revisions regarding must-offer, load transfers, and bilateral contracts satisfy the Commission's directives in the September 20, 2013 Order;
- 3) SPP's proposed treatment of bilateral settlement schedules complies with the September 20 Order; and
- 4) the SPP Market Monitoring Unit's proposed Tariff modification is necessary and appropriate.

On December 27, 2013, SPP submitted documentation certifying SPP's readiness to operate and administer the Integrated Marketplace, effective March 1, 2014.

ER12-1813

### **The Empire District Electric Company ("Empire") Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate**

On November 18, 2013, FERC issued an order approving the Settlement and Empire's revised Tariff containing its Formula Rate, subject to the Settling Parties' filing, within 30 days of the date of this order, a revised Settlement that modifies Article VIII, paragraph 8.4, standard of review provision.

Empire's compliance filing is due on December 18, 2013.

On December 18, 2013, The Empire District Electric Company submitted its compliance filing pursuant to the November 18, 2013 Order.

ER12-2292

### **SPP Submission of Tariff Revisions to Attachment AE to Facilitate the Systematic Rather than Manual Curtailment of Non-Dispatchable Resources in the Energy Imbalance Services Market ("EIS Market") During Period of Congestion**

## Regulatory Status Report

On October 10, 2013, SPP submitted its compliance filing in response to the September 20, 2013 Order. SPP also requested a temporary limited Tariff waiver to permit a delay in the implementation of the systematic curtailment of existing Non-Dispatchable Resources (that went into Commercial Operation before October 15, 2012) from September 20, 2013 to September 26, 2013 that was necessary to accommodate required software system changes.

ER12-2681

**Joint Application of ITC Holdings Corp. ("ITC") and Entergy Corporation ("Entergy") for Authorization of Acquisition and Disposition of Jurisdictional Transmission Facilities, Approval of Transmission Service Formula Rate and Certain Jurisdictional Agreements, and Petition for Declaratory Order on Application of Section 305(a) of the Federal Power Act (Docket Nos. ER12-2681, EC12-145, and EL12-107)**

On October 18, 2013, the Joint Consumers filed a Protest in response to the September 13, 2013 Compliance Filings.

On October 18, 2013, the Organization of MISO States filed Comments in response to the September 13, 2013 Compliance Filing.

On October 31, 2013, the Midcontinent Independent System Operator, Inc. filed its Informational Report detailing the status of the negotiations regarding revisions to existing Joint Operating Agreements, or the development of new JOAs, to address loop flow concerns with SPP, Tennessee Valley Authority and Associated Electric Cooperative, Inc.

On November 18, 2013, SPP filed Comments, Protest, and Request for Immediate Action. SPP requested that the Commission institute formal proceedings to investigate the use and compensation issues pending in this proceeding.

On November 22, 2013, FERC issued a Third Settlement Status Report. The next settlement conference is to be held on December 11, 2013.

On December 13, 2013, ITC Holdings Corp., et al. filed a Motion to Withdraw.

ER12-2682

**Midwest Independent Transmission System Operator, Inc. ("MISO") Submission of Tariff Revisions Including Proposed Module B-1 to the Open Access Transmission, Energy and Operating Reserve Markets Tariff**

On December 19, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Withdraw Filings and Termination Proceedings.

ER13-366

**SPP Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements**

On October 15, 2013, SPP filed a Motion for Extension of Time and Expedited Consideration. SPP requested an extension of time until August 15, 2014 to revise its Tariff to comply with the Commission's directive to "revis[e] the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally." SPP requested that the Commission act on this motion by October 23, 2013.

On October 24, 2013, FERC issued a Notice of Extension of Time, granting SPP an extension to August 15, 2014 to comply with the Commission's directive to revise the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally.

On November 15, 2013, SPP submitted its Order No. 1000 Regional Compliance Filing pursuant to the July 18, 2013 Order.

## Regulatory Status Report

An effective date of March 30, 2014 was requested.

On December 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On December 16, 2013, LSP Power Transmission, LLC and LSP Transmission Holdings, LLC filed a Protest in response to SPP's November 15, 2013 Compliance Filing.

LSP stated:

- 1) above a low dollar threshold, the 80% threshold is not appropriate and the only just and reasonable approach is application of Attachment Y, Section I.2.b;
- 2) tariff language should require that the deposit be forfeited only if the Designated Transmission Owner ("DTO") cannot advance the project because of its own fault (including incompetence) or abandonment;
- 3) project management, operations maintenance "capabilities" or engineering design should not be used as a mechanism to select a more expensive project;
- 4) the Commission should reject criterion SPP seeks to add to the Project Management category, consideration of the "RFP respondent's plan to obtain authorization to construct transmission facilities in the state in which the Competitive Upgrade will be located";
- 5) existence of a state right of first refusal should not be a part of a federal evaluation process;
- 6) the Commission should confirm that the execution of any additional agreements applies to both nonincumbents and incumbents; and
- 7) the Commission should reject the ability of the Industry Expert Panel (IEP) to disqualify bidders.

On December 16, 2013, NextEra Energy Resources, LLC filed a Protest in response to SPP's November 15, 2013 Compliance Filing.

NextEra stated:

- 1) SPP's justification of its 1000 point evaluation process is unpersuasive and should be rejected;
- 2) SPP's double consideration of whether an entity has rights-of-way in its base case scoring is inconsistent with the intent of the July 18 Order;
- 3) the Commission should direct SPP to make a further compliance filing to revise the Detailed Project Proposals (DPP) process to be applicable to any and all transmission projects that are derived from any interregional study.

On December 16, 2013, ITC Great Plains, LLC filed Comments in response to SPP's November 15, 2013 Compliance Filing.

ITC stated:

- 1) it generally supports SPP's compliance filing;
- 2) the Commission should direct SPP to establish certain additional minimum thresholds for qualification of a project as a Competitive Upgrade where a project involves a mix of rebuilt and new transmission facilities; and
- 3) the Commission should direct SPP to amend the times on the Transmission Interconnection Review Data Checklist to allow SPP to obtain more detailed reliability and operational impact information from merchant developers.

On December 16, 2013, Xcel Energy Services Inc. filed a Motion to Intervene, Comments and Limited Protest in response to SPP's November 15, 2013 Compliance Filing.



## Regulatory Status Report

Xcel urged the Commission to approve the proposed tariff revisions, but stated it should reject SPP's proposal to provide 100 "incentive points" to a respondent that submitted a project proposal that was selected by SPP as a Competitive Upgrade.

On December 16, 2013, Duke-American Transmission Company, LLC filed a Protest and Comments in response to SPP's November 15, 2013 Compliance Filing.

Duke stated:

- 1) SPP's proposed changes to the Transmission Owner Selection Process will unduly discriminate against non-incumbent developers; and
- 2) SPP should clarify its proposed changes to Attachment Y regarding facilities that contain both rebuilds and new facilities.

ER13-367

### **SPP Submission of Revisions to its Membership Agreement to Comply with Order No. 1000**

On October 15, 2013, SPP filed a Motion for Extension of Time and Expedited Consideration. SPP requested an extension of time until August 15, 2014 to revise its Tariff to comply with the Commission's directive to "revis[e] the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally." SPP requested that the Commission act on this motion by October 23, 2013.

On October 24, 2013, FERC issued a Notice of Extension of Time, granting SPP an extension to August 15, 2014 to comply with the Commission's directive to revise the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally.

On November 15, 2013, SPP submitted its Order No. 1000 Regional Compliance Filing pursuant to the July 18, 2013 Order.

An effective date of March 30, 2014 was requested.

On December 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER13-742

### **Generator Interconnection Agreement ("GIA") between Generation Energy, Inc. ("Generation Energy") as Interconnection Customer and Tri-County Electric Cooperative, Inc. ("Tri-County") as Transmission Owner**

On November 12, 2013, Tri-County Electric Cooperative, Inc. submitted a letter conditionally withdrawing portions of its Brief on Exceptions in reference to two Generator Interconnection Agreements. The Brief was filed in Docket No. ER12-959-003.

ER13-948

### **Entergy Services, Inc. ("ESI") and the Midwest Independent Transmission System Operator, Inc. ("MISO") Filing of Six Attachment O Templates to the MISO Open Access Transmission, Energy and Operating Reserve Markets Tariff to Establish Formula Rates for the Entergy Operating Companies' Recovery of Transmission Revenue Requirements**

On October 18, 2013, the Organization of MISO States filed Comments in response to the September 13, 2013 Compliance Filing.

On October 31, 2013, the Midcontinent Independent System Operator, Inc. filed its Informational Report detailing the status of the negotiations regarding revisions to existing Joint



## Regulatory Status Report

Operating Agreements, or the development of new JOAs, to address loop flow concerns with SPP, Tennessee Valley Authority and Associated Electric Cooperative, Inc.

On November 18, 2013, SPP filed Comments, Protest, and Request for Immediate Action. SPP requested that the Commission institute formal proceedings to investigate the use and compensation issues pending in this proceeding.

On November 22, 2013, FERC issued a Third Settlement Status Report. The next settlement conference is to be held on December 11, 2013.

ER13-1173

### **SPP Submission of Tariff Revisions to Modify Certain Aspects of the SPP Integrated Marketplace**

On November 5, 2013, SPP filed an answer in response to Nebraska Public Power District's request for rehearing filed on October 21, 2013. SPP stated the Commission should deny NPPD's request because it is not aggrieved by the Commission's order within the meaning of Section 313 of the Federal Power Act and therefore lacks standing to challenge the order.

On December 18, 2013, SPP filed a Settlement Agreement and Offer of Settlement resolving issues associated with whether Grandfathered Agreement No. 494 should be included in Schedule 1 of the settlement the Commission conditionally approved on September 30, 2013 in this proceeding.

SPP requested that the Commission approve the Settlement Agreement by February 28, 2014.

ER13-1292

### **Order No. 764 Compliance Filing**

On November 12, 2013, SPP submitted its Order No. 764 Compliance Filing to adopt language modifying the scheduling requirements for transmission service.

An effective date of November 12, 2013 was requested for Sections 13.8 and 14.6 of the EIS Market Tariff and Attachment P. An effective date of March 1, 2014 was requested for Sections 13.8 and 14.6 of the Integrated Marketplace Tariff.

On December 2, 2013, Exelon Corporation filed a Motion to Intervene.

On December 3, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER13-1768

### **Submission of Tariff Revisions to Attachment AN to Incorporate into the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority ("SPP BA Agreement")**

On November 18, 2013, FERC issued an order conditionally accepting the tariff revisions to incorporate into Attachment AN of the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority, and related Bylaws and Membership Agreement revisions, effective March 1, 2014, subject to a compliance filing due in 30 days.

The Commission found that the Mobile-Sierra "public interest" presumption does not apply to the SPP BA Agreement because it embodies rates, terms, or conditions that are generally

## Regulatory Status Report

applicable. The Commission directed SPP to modify the provision in section 17.3 of the SPP BA Agreement that seeks to bind the Commission and third parties to the Mobile-Sierra "public interest" standard of review.

The Commission also directed SPP to include its Joint Operating Agreement with Western Area Power Administration in the definition of Governing Documents in section 2.19 of the SPP BA Agreement.

SPP's compliance filing is due on December 18, 2013.

On December 18, 2013, SPP submitted its compliance filing pursuant to the November 18, 2013 Order.

An effective date of March 1, 2014 was requested.

ER13-1769

### **Submission of Bylaws and Membership Agreement Revisions Necessary to Facilitate the Implementation of the SPP Balancing Authority**

On November 18, 2013, FERC issued an order conditionally accepting the tariff revisions to incorporate into Attachment AN of the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority, and related Bylaws and Membership Agreement revisions, effective March 1, 2014, subject to a compliance filing due in 30 days.

The Commission found that the Mobile-Sierra "public interest" presumption does not apply to the SPP BA Agreement because it embodies rates, terms, or conditions that are generally applicable. The Commission directed SPP to modify the provision in section 17.3 of the SPP BA Agreement that seeks to bind the Commission and third parties to the Mobile-Sierra "public interest" standard of review.

The Commission also directed SPP to include its Joint Operating Agreement with Western Area Power Administration in the definition of Governing Documents in section 2.19 of the SPP BA Agreement.

SPP's compliance filing is due on December 18, 2013.

ER13-1872

### **Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 1, 2013, FERC issued an Order of Chief Judge Shortening Time to Answer to October 2, 2013, in response to the Unopposed Motion for Interim Rate Relief and Request for Expedited Action.

On October 2, 2013, Judge Wagner issued a Report of Chief Judge stating that a settlement agreement was filed on September 30, 2013. The Chief Judge will certify the settlement agreement to the Commission, if appropriate, after the period for comments expires.

On October 3, 2013, FERC issued an Order of Chief Judge Granting Motion for Interim Rate Relief.

## Regulatory Status Report

On October 9, 2013, Commission Trial Staff filed Comments Supporting Settlement.

On October 23, 2013, FERC issued the Chief Judge's Certification of Uncontested Settlement and Waiver of Reply Comment Period.

On November 25, 2013, FERC issued an order accepting the Offer of Settlement and Settlement Agreement.

SPP was directed to submit a compliance filing to incorporate the revised service agreement. SPP's compliance filing is due on December 26, 2013.

On December 20, 2013, SPP submitted its compliance filing in response to the November 25, 2013 Order.

ER13-1914

### **SPP Submission of Tariff Revisions to Clarify the Determination of Credits and Distribution of Credit Revenue for Creditable Upgrades**

On October 7, 2013, SPP filed its response to the Commission's request for additional information issued on September 6, 2013.

On October 9, 2013, SPP filed an amendment to its proposed tariff revisions filed on July 9, 2013.

On October 9, 2013, FERC issued a letter stating that due to the October 7, 2013 Filing, the filing date for this proceeding is reset to October 7, 2013.

On October 30, 2013, the American Wind Energy Association and the Wind Coalition filed Comments in response to SPP's October 7, 2013 Filing.

The parties stated that SPP's answer to question 1 indicates that refunds to some parties who fund multiple Network Upgrades may be different than under the current methodology.

On November 14, 2013, SPP filed an answer in response to the American Wind Energy Association's and the Wind Coalition's Comments filed on October 30, 2013.

SPP stated that the dual tracking proposed by the parties would cause an increased financial and administrative burden on SPP and is not necessary, not required under Commission regulations.

On December 6, 2013, FERC issued an Order Conditionally Accepting Proposed Tariff Revisions to clarify the determination of credits and distribution of credit revenue for network upgrades under the Tariff and to implement procedures to simplify and streamline the crediting process, effective September 8, 2013, subject to a compliance filing.

In light of SPP's withdrawal of its proposed amendments to Attachment Z2, FERC directed SPP to remove references in Attachment O to the withdrawn proposal in Attachment Z2. FERC also directed SPP to review Attachments H, J, and L to assess whether its withdrawn proposal in Attachment Z2 necessitates further changes and to include these revisions in the compliance filing.

SPP's compliance filing is due on January 6, 2014.

## Regulatory Status Report

ER13-1928

### **Duke Energy Carolinas, LLC's and Duke Energy Progress, Inc.'s Order 1000 Interregional Filing**

On October 9, 2013, Southern Company Services and other members of the Southeastern Regional Transmission Planning region filed a Motion for Leave to Answer and Answer to Protests of Order No. 1000 Interregional Compliance Filings.

ER13-1930

### **Louisville Gas and Electric Company's and Kentucky Utilities Company's Order 1000 Interregional Filing**

On October 9, 2013, Southern Company Services and other members of the Southeastern Regional Transmission Planning region filed a Motion for Leave to Answer and Answer to Protests of Order No. 1000 Interregional Compliance Filings.

ER13-1937

### **Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Comply with Interregional Requirements of Order No. 1000 (SPP Rate Schedule FERC No. 9)**

On November 4, 2013, SPP filed an answer in response to comments and protests filed in this proceeding.

SPP stated:

- 1) reliability projects should be included for Interregional Project consideration;
- 2) Coordinated System Plan studies should only be required when requested by MISO or SPP;
- 3) it would be unnecessary and unreasonably burdensome to fully review each and every project that any party may wish to submit;
- 4) SPP's proposed JOA provisions comply with Order No. 1000's transparency requirements; and
- 5) JOA revisions aimed at Order No. 1000 compliance need not address rate pancaking.

On November 19, 2013, Arkansas Electric Cooperative Corporation filed an answer in response to answers filed by SPP and the Midcontinent Independent System Operator, Inc. on November 4, 2013.

AECC stated that it raised its concerns regarding the effect of rate pancaking on a number of occasions during the stakeholder process.

On November 21, 2013, Duke-American Transmission Company, LLC, et al. filed an answer in response to the answers filed by SPP and the Midcontinent Independent System Operator, Inc. on November 4, 2013.

The parties stated the July 10 Compliance Filings do not fully comply with the Order No. 1000 requirements. The parties stated that the Commission should direct MISO and SPP to work with their stakeholders to develop appropriate procedures for evaluating all proposed interregional projects in accordance with Order No. 1000, and require that such procedures be submitted in a compliance filing for Commission approval.

ER13-1938

### **Midcontinent Independent System Operator, Inc. ("MISO") Submission of Joint Operating Agreement ("JOA") between SPP and MISO to Comply with Interregional Requirements of Order No. 1000**

On November 4, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in

## Regulatory Status Report

response to comments and protests filed in this proceeding.

MISO stated:

- 1) MISO's proposal to limit interregional projects to market efficiency projects is compliant with Order No. 1000;
- 2) Market Efficiency Projects with reliability benefits can become interregional projects;
- 3) Multi-Value Projects are not an appropriate category for interregional projects;
- 4) Coordinated System Plan studies should only be required by MISO or SPP;
- 5) it would be unnecessary and unreasonably burdensome to fully review each and every project that any party may wish to submit;
- 6) MISO's proposed JOA provisions comply with Order No. 1000's transparency requirements; and
- 7) JOA revisions aimed at Order No. 1000 compliance need not address rate pancaking.

On November 19, 2013, Arkansas Electric Cooperative Corporation filed an answer in response to answers filed by SPP and the Midcontinent Independent System Operator, Inc. on November 4, 2013.

AEECC stated that it raised its concerns regarding the effect of rate pancaking on a number of occasions during the stakeholder process.

On November 21, 2013, Duke-American Transmission Company, LLC, et al. filed an answer in response to the answers filed by SPP and the Midcontinent Independent System Operator, Inc. on November 4, 2013.

The parties stated the July 10 Compliance Filings do not fully comply with the Order No. 1000 requirements. The parties stated that the Commission should direct MISO and SPP to work with their stakeholders to develop appropriate procedures for evaluating all proposed interregional projects in accordance with Order No. 1000, and require that such procedures be submitted in a compliance filing for Commission approval.

**ER13-1940**

### **Ohio Valley Electric Corporation's Order 1000 Interregional Filing**

On October 9, 2013, Southern Company Services and other members of the Southeastern Regional Transmission Planning region filed a Motion for Leave to Answer and Answer to Protests of Order No. 1000 Interregional Compliance Filings.

**ER13-1941**

### **Southern Company Services, Inc.'s Order 1000 Interregional Filing**

On October 9, 2013, Southern Company Services and other members of the Southeastern Regional Transmission Planning region filed a Motion for Leave to Answer and Answer to Protests of Order No. 1000 Interregional Compliance Filings.

**ER13-2031**

### **Submission of Revisions to Bylaws and Membership Agreement to Implement Withdrawal Obligations and Revisions to Provide Greater Flexibility Regarding the Functions of Various SPP Committees Reporting to the Board of Directors**

On October 4, 2013, SPP filed a Motion for Extension of Time and Request for Expedited Action. SPP requested an extension until November 1, 2013 to submit the compliance filing.

On October 16, 2013, FERC issued a Notice of Extension of Time to and including November 1, 2013 for SPP to submit its compliance filing.

On November 1, 2013, SPP submitted its compliance filing pursuant to the September 19,

2013 Order.

An effective date of September 23, 2013 was requested.

On November 22, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

**ER13-2033**

**Submission of Tariff Revisions to Clarify Withdrawal Obligations**

On October 4, 2013, SPP filed a Motion for Extension of Time and Request for Expedited Action. SPP requested an extension until November 1, 2013 to submit the compliance filing.

On October 16, 2013, FERC issued a Notice of Extension of Time to and including November 1, 2013 for SPP to submit its compliance filing.

On November 1, 2013, SPP submitted its compliance filing pursuant to the September 19, 2013 Order.

An effective date of September 23, 2013 was requested.

**ER13-2078**

**Submission of Tariff Revisions to Address the Treatment of Grandfathered Agreements ("GFAs") "Carved-Out" from the SPP Integrated Marketplace**

On October 30, 2013, SPP submitted its compliance filing pursuant to the September 30, 2013 Order.

An effective date of March 1, 2014 was requested.

**ER13-2091**

**SPP Submission of Tariff Revisions to Enhance Market Participation Eligibility Criteria, Clarify Data Sharing with Regulatory Agencies, and Clarify Bilateral Settlement Schedules Related to Certain Integrated Marketplace Rules in Anticipation of SPP's Application for Exemption of Certain Market Transactions from Provisions of the Commodity Exchange Act ("CEA") and Commodity Futures Trading Commission ("CFTC")**

On October 25, 2013, SPP submitted its compliance filing pursuant to the September 30, 2013 Order.

An effective date of March 1, 2014 was requested.

On November 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On November 22, 2013, FERC issued an order accepting SPP's October 25, 2013 Compliance Filing.

**ER13-2162**

**Midcontinent Independent System Operator, Inc. ("MISO") Filing of Operations Reliability Coordination Agreement ("ORCA") to Support the Reliable Integration of the MISO South Region into the MISO Balancing Authority Area**

On October 10, 2013, FERC issued an Order Accepting Operations Reliability Coordination Agreement, effective August 16, 2013.

## Regulatory Status Report

ER13-2164

### **SPP Submission of Tariff Revisions to Modify the Aggregate Transmission Service Study Process (AG Study Backlog Clearing Process Tariff Revisions)**

On October 9, 2013, the East Texas Cooperatives filed a reply in response to SPP's September 20, 2013 Answer.

The Cooperatives stated that SPP should continue to work to improve its processes for helping customers deal with third party impacts, including considering the additional protections the Cooperatives recommended in their September 5, 2013 Comments.

On October 11, 2013, FERC issued an Order Conditionally Accepting Tariff Revisions, effective October 12, 2013, subject to a compliance filing.

The Commission found SPP's proposal to be just and reasonable as it will allow SPP to process its customers' transmission requests in a more efficient manner and reduce the current queue backlog SPP is facing. However, the Commission directed SPP to submit additional tariff language to clarify the calculation of make-whole payments and cost reallocation under its proposal.

SPP's compliance filing is due on November 12, 2013.

On November 8, 2013, SPP submitted its compliance filing pursuant to the October 11, 2013 Order.

An effective date of October 12, 2013 was requested.

ER13-2170

### **Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Kansas City Board of Public Utilities ("KCBPU") as Transmission Customer**

On October 10, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2171

### **Notice of Cancellation of the Meter Agent Services Agreement between Twin Eagle Resource Management, LLC ("Twin Eagle") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent**

On October 10, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2173

### **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Mulvane, Kansas ("Mulvane") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 11, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.



## Regulatory Status Report

- ER13-2177**      **Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Grand River Dam Authority ("GRDA") as Network Customer and Host Transmission Owner**
- On October 11, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective June 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2181**      **Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Midwest Energy, Inc. ("Midwest Energy") as Network Customer, and Midwest Energy and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners**
- On October 11, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective June 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2189**      **Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between EVA WIND, LLC ("EVA Wind") as Interconnection Customer and Tri-County Electric Cooperative, Inc. ("Tri-County") as Transmission Owner**
- On October 4, 2013, FERC issued a letter order accepting the agreement, effective August 2, 2013 as requested.
- This order constitutes final agency action.
- ER13-2190**      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**
- On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2197**      **Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner**
- On October 10, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective June 1, 2013 as requested.
- This order constitutes final agency action.
- ER13-2211**      **Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Kansas City Power & Light Company ("KCPL") as Meter Agent**
- On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

## Regulatory Status Report

This order constitutes final agency action.

ER13-2214

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Osage City, Kansas ("Osage City") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2217

**Meter Agent Services Agreement between Cimarron Wind Energy, LLC ("Cimarron") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2218

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2239

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2240

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2248

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1,

## Regulatory Status Report

2013 as requested.

This order constitutes final agency action.

ER13-2249

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2251

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2252

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2254

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2257

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2258

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1,

## Regulatory Status Report

2013 as requested.

This order constitutes final agency action.

ER13-2259

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2261

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2262

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2264

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2267

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2268

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1,

## Regulatory Status Report

2013 as requested.

This order constitutes final agency action.

**ER13-2269**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 16, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

**ER13-2270**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

**ER13-2271**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

**ER13-2272**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

**ER13-2274**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

**ER13-2275**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as both Network Customer and Host Transmission Owner**

## Regulatory Status Report

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2276

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2281

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2282

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2284

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On October 22, 2013, FERC issued a letter order accepting the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER13-2293

**EDP Renewables North America LLC ("EDP") Petition for Limited Waiver of Tariff Provision and Request for Expedited Action**

On October 28, 2013, FERC issued an order granting EDP Renewables North America LLC's request for a limited waiver.

ER13-2326

**Generator Interconnection Agreement ("GIA") between Buckeye Wind Energy LLC ("Buckeye Wind") as Interconnection Customer and Midwest Energy, Inc. ("Midwest") as Transmission Owner**

## Regulatory Status Report

On October 31, 2013, FERC issued a letter order accepting the agreement, August 15, 2013 as requested.

This order constitutes final agency action.

**ER13-2335**      **Submission of Revisions to Attachment AD of the Tariff to Amend Tariff Administration Agreement between SPP and Southwestern Power Administration ("Southwestern")**

On October 30, 2013, FERC issued an order accepting the revisions Attachment AD to extend the Tariff Administration Agreement between SPP and Southwestern Power Administration.

An effective date of September 1, 2013 was granted.

**ER13-2358**      **Cancellation of Tariff Sheets for Attachment L, Section VI and Corresponding Agreement for the Purpose of Distributing Revenues Associated with Upgrades to the LaCygne to Stilwell 345 kV Transmission Line**

On November 6, 2013, FERC issued an order accepting the tariff revisions to delete Section VI of Attachment L and the corresponding Agreement of Southwest Power Pool Transmission Owners and Southwest Power Pool For The Upgrade of The LaCygne to Stilwell 345 kV Transmission Line, which is incorporated into Attachment L.

An effective date of November 8, 2013 was granted.

**ER13-2359**      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Midwest Energy, Inc. ("Midwest") as Host Transmission Owner**

On November 6, 2013, FERC issued a letter order accepting the agreement, effective June 1, 2013 as requested.

This order constitutes final agency action.

**ER13-2382**      **Joint Request of SPP and Tri-County Electric Cooperative, Inc. ("Tri-County") for Temporary, Limited Tariff Waiver to Permit SPP and Tri-County to Suspend Obligation to Implement the Annual Update to Tri-County's Annual Transmission Revenue Requirement ("ATRR")**

On October 7, 2013, Occidental Permian, Ltd. filed a Motion to Intervene and Comments. Occidental stated that it does not object to a delay in the filing of the annual update, but stated that there is no "obligation" or even a right to file an annual update under the express terms of SPP's Tariff unless and until the Commission has approved the initial formula rate.

On October 7, 2013, Xcel Energy Services Inc. filed a Motion to Intervene and Comments. Xcel stated it does not believe Annual Updates can be implemented until the Commission approves the initial rate and that the waiver is unnecessary.

On October 7, 2013, the New Mexico Cooperatives filed a Motion to Intervene.

On November 8, 2013, FERC issued an order granting the Joint Request for Temporary, Limited Tariff Waiver to permit SPP and Tri-County to suspend temporarily their respective obligations to implement the Annual Update to Tri-County's Annual Transmission Revenue Requirement. The waiver is granted until the issuance of the Commission's order on the Initial



## Regulatory Status Report

Decision in Docket No. ER12-959-000.

ER13-2430

**Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On November 20, 2013, FERC issued a letter order accepting the agreement, effective September 1, 2013 as requested.

This order constitutes final agency action.

ER13-2433

**Notice of Cancellation of the Meter Agent Services Agreement between Occidental Power Services, Inc. ("Occidental") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On November 20, 2013, FERC issued a letter order accepting the agreement, effective September 1, 2013 as requested.

This order constitutes final agency action.

ER13-2438

**Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On November 20, 2013, FERC issued a letter order accepting the agreement, effective September 1, 2013 as requested.

This order constitutes final agency action.

ER13-2439

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**

On October 17, 2013, Kansas Power Pool filed a Motion to Intervene Out-of-Time.

On November 18, 2013, FERC issued a letter order accepting the agreement, effective September 1, 2013 as requested.

This order constitutes final agency action.

ER13-2440

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On November 20, 2013, FERC issued a letter order accepting the agreement, effective September 1, 2013 as requested.

This order constitutes final agency action.

ER14-22

**Kansas City Power & Light Company ("KCPL") and KCP&L Greater Missouri Operations Company ("KCPL-GMO") Submission of Revised Depreciation and Amortization Rates**

On October 3, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed revised depreciation and amortization rates consistent with orders of the state utility commissions in Kansas and Missouri. These proposed revisions will be

## Regulatory Status Report

reflected in the transmission formula rates approved in Docket No. ER10-230 for service in SPP rate zone 6 for KCPL and rate zone 9 for KCPL-GMO.

An effective date of January 1, 2014 was requested.

On November 18, 2013, FERC issued an order accepting the revised depreciation and amortization rates consistent with orders of the state utility commissions in Kansas and Missouri.

An effective date of January 1, 2014 was granted.

ER14-27

**Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Southwestern Public Service Company ("SPS") as Network Customer and Host Transmission Owner**

On October 4, 2013, SPP filed a Notice of Cancellation of the NITSA and NOA between SPP as Transmission Provider and Southwestern Public Service Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1910.

An effective date of January 1, 2012 was requested.

On December 3, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective January 1, 2012 as requested.

This order constitutes final agency action.

ER14-51

**Meter Agent Services Agreement between City of Chanute ("Chanute") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On October 8, 2013, SPP submitted an executed Meter Agent Services Agreement between City of Chanute as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2564.

An effective date of October 1, 2013 was requested.

On November 26, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

ER14-60

**Generator Interconnection Agreement ("GIA") between Rattlesnake Creek Wind Project, LLC ("Rattlesnake") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**

On October 8, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Rattlesnake Creek Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Second Revised 2299.

An effective date of September 26, 2013 was requested.

On November 20, 2013, FERC issued a letter order accepting the agreement, effective September 26, 2013 as requested.

## Regulatory Status Report

This order constitutes final agency action.

ER14-67

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner**

On October 9, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and American Electric Power Service Corporation as both Network Customer and Host Transmission Owner, and a partially executed NOA between SPP as Transmission Provider, AEP as Network Customer, and Western Farmers Electric Cooperative as Host Transmission Owner. SPP Service Agreement No. Sixteenth Revised 1148.

AEP and WFEC have been unable to resolve a dispute over the Ellis point of delivery and Wapanucka point of delivery.

An effective date of October 1, 2013 was requested.

On October 10, 2013, American Electric Power Service Corporation filed a Motion to Intervene.

On October 30, 2013, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments.

WFEC stated:

- 1) as the host transmission owner, WFEC should control how delivery point facilities on WFEC's system are designed and configured and how their costs are allocated;
- 2) even if the Commission accepts American Electric Power's delivery point facility designs and configurations, these are directly assignable delivery point facilities;
- 3) even if the Commission treats AEP's proposed facilities as transmission, these are sponsored upgrades subject to cost allocation to the project sponsor; and
- 4) the SPP Filing contains certain language that is inaccurate or otherwise incomplete such that a WFEC response is required.

On October 30, 2013, American Electric Power Service Corporation filed Comments.

AEP stated:

- 1) the configuration and cost allocation associated with the Ellis and Wapanucka delivery point facilities are included in the filed NITSA and thus square before the Commission in this docket;
- 2) the delivery point configurations described in the NITSA and appended delivery point agreements are not necessary to reliably serve AEP's load for both the Ellis and Wapanucka point of deliveries ("PODs");
- 3) the Network Upgrades in the configurations for the Ellis and Wapanucka PODs contained in the proposed revised NITSA and its appendices qualify as transmission facilities that will be integrated with the SPP transmission system;
- 4) because the Network Upgrades qualify as transmission facilities that are integrated with the transmission network, the costs of such facilities must be rolled into rates; and
- 5) SPP's determination of "no significant impact" to the transmission system is not dispositive of the issue of whether delivery point facilities required to facilitate the load interconnection are transmission facilities eligible for rolled-in cost recovery.

On October 30, 2013, AEP Oklahoma Transmission Company, Inc. and AEP Southwestern

## Regulatory Status Report

Transmission Company, Inc., and the East Texas Cooperatives filed Motions to Intervene.

On November 14, 2013, Western Farmers Electric Cooperative filed an answer in response to the comments filed by American Electric Power Service Corporation on October 30, 2013.

WFEC stated that it has fulfilled, and continues to fulfill, its Host Transmission Owner responsibilities, and AEP's arguments rely on unsupported assumptions.

On November 14, 2013, the East Texas Cooperatives filed an answer in response to the comments filed by Western Farmers Electric Cooperative on October 30, 2013.

The Cooperatives stated:

- 1) long-standing FERC precedent and SPP's Tariff both support rolled-in cost treatment for transmission owner upgrades needed to facilitate new delivery points;
- 2) the costs of the upgrades needed to facilitate the delivery points at issue in this case should be rolled-in, regardless of whether the looped or radial configuration is used; and
- 3) WFEC's positions misconstrue FERC precedent and are inconsistent with the policies embodied in the SPP Tariff.

On November 14, 2013, American Electric Power Service Corporation filed an answer in response to the comments filed by Western Farmers Electric Cooperative on October 30, 2013.

AEP stated:

- 1) in the event of a dispute, the preferred delivery point design and cost allocation of the Host Transmission Owner should be afforded no deference where the Host Transmission Owner has failed to perform a load connection study or prepare a load connection report;
- 2) WFEC's various theories supporting direct assignment of the looped delivery point facilities should be rejected as inconsistent with the SPP Tariff and Commission precedent; and
- 3) WFEC's cost estimates for the Ellis and Wapanucka delivery point facilities are grossly understated.

On November 18, 2013, SPP filed an answer in response to comments filed in this proceeding.

SPP stated:

- 1) it has a very limited role in the Attachment AQ process when there are no significant impacts to the transmission system; and
- 2) SPP takes no position regarding the clarification of the facilities or how their costs should be allocated.

On December 2, 2013, Western Farmers Electric Cooperative filed an answer in response to the Answers of American Electric Power Service Corporation and the East Texas Electric Cooperatives filed on November 14, 2013, and the Answer filed by SPP on November 18, 2013.

WFEC stated:

- 1) a load connection study was not required and would not change the results of the disagreement;
- 2) service to AEP's customer behind the Wapanucka delivery point will be provided; and
- 3) the costs of the facilities that are required for service are directly assignable.

On December 6, 2013, FERC issued an Order Accepting and Suspending Transmission Service Agreement and Establishing Hearing and Settlement Judge Procedures. FERC accepted the agreement for filing, suspended the agreement for a nominal period, made it

## Regulatory Status Report

effective October 1, 2013, as requested, subject to refund, and established hearing and settlement judge procedures.

On December 12, 2013, FERC issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference. A settlement conference will be held on December 18, 2013.

On December 16, 2013, FERC issued a Notice Rescheduling Settlement Conference. The conference previously scheduled for December 18, 2013 will now be held on December 20, 2013.

On December 20, 2013, FERC issued a Notice Scheduling Settlement Conference to be held on February 4, 2014.

ER14-89

**Entergy Arkansas, Inc. ("EAI") Filing of an Open Access Transmission Tariff ("Tariff") Governing Transmission and Interconnection Service Over Certain Limited Transmission Facilities Owned by EAI Located in Missouri ("Missouri Transmission Facilities")**

On October 15, 2013, Entergy Arkansas, Inc. filed a Tariff governing transmission and interconnection service over certain limited transmission facilities owned by EAI that are located in Missouri.

This filing is necessary because, due to a recent order issued by the Missouri Public Service Commission, EAI will not transfer functional control of its Missouri Transmission Facilities to the Midcontinent Independent System Operator, Inc. at this time.

An effective date of December 19, 2013 was requested.

On October 17, 2013, The Empire District Electric Company filed a Motion to Intervene.

On October 21, 2013, International Transmission Company, et al. filed a Motion to Intervene.

On November 5, 2013, SPP filed a Motion to Intervene.

On November 5, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Intervene and Comments in support of the October 15, 2013 Filing.

On November 5, 2013, the Missouri Public Service Commission filed a Notice of Intervention and Conditional Protest reserving right to file a Supplemental Protest.

On November 5, 2013, Associated Electric Cooperative, Inc. filed a Motion to Intervene and Comments.

AECI stated:

- 1) Entergy's filing misrepresents through-and-out rate issues pending rehearing in ER12-2681, et al.; and
- 2) EAI misrepresents Commission precedent regarding rate increases resulting from the transfer of control of transmission assets to an RTO.

On November 5, 2013, Missouri Joint Municipal Electric Utility Commission filed a Motion to Intervene and Comments.

MJMEUC stated it has concerns regarding:

## Regulatory Status Report

- 1) automatic conversion to Midcontinent Independent System Operator, Inc. service;
- 2) potential rates for service under the Missouri Tariff; and
- 3) the proposed MJMEUC Network Integration Transmission Service and Network Operating Agreements.

On November 5, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene and Comments.

KCP&L and GMO wishes to clarify the record and stated that Entergy mischaracterizes Commission orders in related proceedings as well as several pleadings submitted by KCP&L and GMO in proceedings before FERC and the MoPSC.

On November 5, 2013, The Empire District Electric Company filed a Protest, Motion for Consolidation, Request for Rate Suspension and Request for Evidentiary Hearing.

Empire stated:

- 1) the proposed MISO post-integration regional through-and-out rate for service under the unexecuted Empire Point-to-Point service agreement has not been shown to be just and reasonable; and
- 2) Empire's existing rate under Entergy's Tariff should be grandfathered upon conversion of transmission service to service under the MISO Tariff.

On November 5, 2013, Ameren Services Company filed a Motion to Intervene and Protest.

Ameren stated:

- 1) the Commission must direct Entergy and MISO to clarify their statements concerning Ameren Missouri's boot heel load and network transmission service; and
- 2) proposed section 12A of Entergy's Missouri Tariff must either be removed or clarified.

On November 20, 2013, Entergy Arkansas, Inc. filed an answer in response to comments and protests filed in this proceeding.

On November 20, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in response to the Protest filed by Ameren Services Company on November 5, 2013.

On November 22, 2013, American Electric Power Service Corporation filed a Motion to Intervene Out-of-Time.

On December 5, 2013, Ameren Services Company filed an answer in response to the Midcontinent Independent System Operator, Inc.'s November 20, 2013 Answer.

Ameren stated:

- 1) the Ameren Boot Heel load must remain in the Ameren Local Balancing Authority and the Ameren Missouri Transmission Pricing Zone, as required by the Missouri Stipulation and Agreement and the Missouri Service Agreement; and
- 2) the Commission should summarily reject those provisions of the Entergy Arkansas, Inc. filings that violate the Missouri Service Agreement.

On December 5, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed an answer in response to Entergy Arkansas, Inc.'s November 20, 2013 Answer. The parties stated that Entergy raised a number of substantive issues that are not germane to this proceeding. However, because the parties are concerned that Entergy's answer could have a prejudicial effect, the parties briefly responded to Entergy's arguments.

## Regulatory Status Report

On December 5, 2013, Associated Electric Cooperative, Inc. filed an answer in response to the answers filed by the Midcontinent Independent System Operator, Inc., Entergy Services, Inc., and Entergy Arkansas, Inc.

AECI stated:

- 1) it did not not waive its legal rights to challenge the 87% rate increase by failing to raise the issue in Docket No. ER13-948;
- 2) imposing an unjust and unreasonable 87% rate increase on AECI is not compelled by the need to avoid undue discrimination;
- 3) the purported benefits of taking service under the MISO Tariff do not justify an 87% rate increase; and
- 4) it is Commission policy to set unjust and unreasonable rate increases resulting from a transfer of functional control of transmission facilities to an RTO for hearing and settlement judge procedures.

On December 10, 2013, Entergy Arkansas, Inc. filed a Motion to Withdraw this tariff filing.

ER14-114

**Midcontinent Independent System Operator, Inc. ("MISO") Filing of a Coordination Agreement By and Between Midcontinent Independent System Operator, Inc. and Entergy Arkansas, Inc.**

On October 16, 2013, the Midcontinent Independent System Operator, Inc. filed a Coordination Agreement By and Between Midcontinent Independent System Operator, Inc. and Entergy Arkansas, Inc.

This filing was made in conjunction with Entergy's October 15, 2013 submission of a Tariff in Docket No. ER14-89 to address the interim status of certain defined transmission facilities that Entergy owns and operates in Missouri.

An effective date of December 19, 2013 was requested.

On October 18, 2013, The Empire District Electric Company filed a Motion to Intervene.

On November 5, 2013, Ameren Services Company filed a Motion to Intervene and Protest.

Ameren stated:

- 1) the Commission must direct Entergy and MISO to clarify their statements concerning Ameren Missouri's boot heel load and network transmission service; and
- 2) proposed section 12A of Entergy's Missouri Tariff must either be removed or clarified.

On November 5, 2013, The Empire District Electric Company filed a Protest, Motion for Consolidation, Request for Rate Suspension and Request for Evidentiary Hearing.

Empire stated:

- 1) the proposed MISO post-integration regional through-and-out rate for service under the unexecuted Empire Point-to-Point service agreement has not been shown to be just and reasonable; and
- 2) Empire's existing rate under Entergy's Tariff should be grandfathered upon conversion of transmission service to service under the MISO Tariff.

On November 5, 2013, Associated Electric Cooperative, Inc. filed a Motion to Intervene.

On November 6, 2013, SPP filed a Motion to Intervene.



## Regulatory Status Report

On November 6, 2013, the Missouri Public Service Commission filed a Notice of Intervention and Conditional Protest reserving right to file a Supplemental Protest.

On November 6, 2013, Entergy Services, Inc. filed a Motion to Intervene and Supporting Comments.

On November 6, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company and Missouri Joint Municipal Electric Utility Commission filed Motions to Intervene.

On November 20, 2013, Entergy Arkansas, Inc. filed an answer in response to comments and protests filed in this proceeding.

On November 20, 2013, the Midcontinent Independent System Operator, Inc. filed an answer in response to the Protest filed by Ameren Services Company on November 5, 2013.

On November 22, 2013, American Electric Power Service Corporation filed a Motion to Intervene Out-of-Time.

On December 5, 2013, Ameren Services Company filed an answer in response to the Midcontinent Independent System Operator, Inc.'s November 20, 2013 Answer.

Ameren stated:

- 1) the Ameren Boot Heel load must remain in the Ameren Local Balancing Authority and the Ameren Missouri Transmission Pricing Zone, as required by the Missouri Stipulation and Agreement and the Missouri Service Agreement; and
- 2) the Commission should summarily reject those provisions of the Entergy Arkansas, Inc. filings that violate the Missouri Service Agreement.

On December 5, 2013, Associated Electric Cooperative, Inc. filed an answer in response to the answers filed by the Midcontinent Independent System Operator, Inc., Entergy Services, Inc., and Entergy Arkansas, Inc.

AECI stated:

- 1) it did not waive its legal rights to challenge the 87% rate increase by failing to raise the issue in Docket No. ER13-948;
- 2) imposing an unjust and unreasonable 87% rate increase on AECI is not compelled by the need to avoid undue discrimination;
- 3) the purported benefits of taking service under the MISO Tariff do not justify an 87% rate increase; and
- 4) it is Commission policy to set unjust and unreasonable rate increases resulting from a transfer of functional control of transmission facilities to an RTO for hearing and settlement judge procedures.

On December 11, 2013, the Midcontinent Independent System Operator, Inc. filed a Motion to Withdraw the filing in this proceeding.

On December 18, 2013, Ameren Services Company filed its Response in Opposition to Motion to Withdraw Filings of Midcontinent Independent System Operator, Inc.

On December 23, 2013, the Missouri Public Service Commission filed Comments in support of Ameren Services Company's Response in Opposition to Motion to Withdraw Filings of Midcontinent Independent System Operator, Inc. The MoPSC asked for clarification of Section 3.1 of the Service Agreement between Ameren and MISO.

## Regulatory Status Report

ER14-125

### **Submission of Tariff Revisions to Reflect a Change in Management of Reliability Tools**

On October 18, 2013, SPP submitted tariff revisions to reflect a change in the management of reliability tools utilized by SPP in its role as the Reliability Coordinator for the SPP footprint.

An effective date of December 17, 2013 was requested.

On November 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene Out-of-Time.

On December 17, 2013, FERC issued an order accepting the tariff revisions to reflect a change in the management of reliability tools utilized by SPP in its role as the Reliability Coordinator for the SPP footprint.

An effective date of December 17, 2013 was granted.

ER14-163

### **Ministerial Filing to Cancel and Re-Submit the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Osage City, Kansas ("Osage City") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 23, 2013, SPP submitted a Notice of Cancellation and Re-submission of the NITSA between SPP as Transmission Provider, the City of Osage City, Kansas as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 1839.

Due to an administrative error, the original filing of the agreement was submitted under the incorrect eTariff Record ID. Therefore, SPP cancelled the agreement in the incorrect Record ID and moved the agreement to the correct Record ID.

An effective date of August 1, 2013 was requested.

On December 16, 2013, FERC issued a letter order accepting the Notice of Cancellation and re-submission of the agreement, effective August 1, 2013 as requested.

This order constitutes final agency action.

ER14-167

### **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner**

On October 23, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Golden Spread Electric Cooperative, Inc. as Network Customer, as well as a NOA with American Electric Power Service Corporation as Host Transmission Owner. SPP Service Agreement No. First Revised 2142.

An effective date of January 1, 2011 was requested.

On December 16, 2013, FERC issued a letter order accepting the agreement, effective January 1, 2011 as requested.

## Regulatory Status Report

This order constitutes final agency action.

ER14-169

**Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On October 23, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Fourteenth Revised 1374.

An effective date of October 1, 2013 was requested.

On December 16, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

ER14-173

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**

On October 24, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Twelfth Revised 2198.

An effective date of October 1, 2013 was requested.

On October 30, 2013, KPP filed a Motion to Intervene.

On November 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On December 16, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

ER14-174

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 24, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Twelfth Revised 1636.

An effective date of October 1, 2013 was requested.

On December 17, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

## Regulatory Status Report

ER14-176

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On October 24, 2013, SPP submitted 1) an executed NITSA between SPP as Transmission Provider and KCP&L Greater Missouri Operations Company as Network Customer; 2) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner; and 3) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Seventh Revised 1765.

An effective date of October 1, 2013 was requested.

On December 18, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

ER14-193

**Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Generation Energy, Inc. ("Generation Energy") as Interconnection Customer and Tri-County Electric Cooperative, Inc. ("Tri-County") as Transmission Owner**

On October 28, 2013, SPP submitted a Notice of Cancellation of the GIA between SPP as Transmission Provider, Generation Energy, Inc. as Interconnection Customer, and Tri-County Electric Cooperative, Inc. as Transmission Owner. SPP Service Agreement No. 2513.

An effective date of January 1, 2014 was requested.

On November 12, 2013, Tri-County Electric Cooperative, Inc. submitted a letter conditionally withdrawing portions of its Brief on Exceptions in reference to two Generator Interconnection Agreements. The Brief was filed in Docket No. ER12-959-003.

On December 19, 2013, FERC issued a letter order accepting the Notice of Cancellation, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-223

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and The Empire District Electric Company ("Empire") as Network Customer and Host Transmission Owner**

On October 30, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and The Empire District Electric Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1630.

An effective date of October 1, 2013 was requested.

On December 17, 2013, FERC issued a letter order accepting the agreement, effective October 1, 2013 as requested.

This order constitutes final agency action.

## Regulatory Status Report

**ER14-224**                      **Designee Qualification and Novation Agreement between SPP, Kansas City Power & Light Company ("KCP&L"), KCP&L Greater Missouri Operations Company ("GMO"), and Transource Missouri, LLC ("Transource")**

On October 30, 2013, SPP submitted an executed Designee Qualification and Novation Agreement between SPP, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and Transource Missouri, LLC. SPP Service Agreement No. 2626.

An effective date of October 30, 2013 was requested.

On December 17, 2013, FERC issued a letter order accepting the agreement, effective October 30, 2013 as requested.

This order constitutes final agency action.

**ER14-228**                      **Submission of Tariff Revisions to Implement a Cost-Based Formula Rate for Sunflower Electric Power Corporation**

On October 30, 2013, SPP submitted tariff revisions to implement a cost-based transmission formula rate for SPP member Sunflower Electric Power Corporation.

An effective date of January 1, 2014 was requested.

On November 20, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Joint Motion for Leave to Intervene and Comments in support of SPP's October 30, 2013 Filing.

On December 19, 2013, FERC issued an order accepting the tariff revisions to implement a cost-based transmission formula rate for SPP member Sunflower Electric Power Corporation.

An effective date of January 1, 2014 was granted.

**ER14-229**                      **Generator Interconnection Agreement ("GIA") between Cimarron Windpower II, LLC ("Cimarron") as Interconnection Customer and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner**

On October 30, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Cimarron Windpower II, LLC as Interconnection Customer, and Sunflower Electric Power Corporation as Transmission Owner. SPP Service Agreement No. Second Revised 2213.

An effective date of October 7, 2013 was requested.

On November 5, 2013, SPP submitted the transmittal letter that was inadvertently not included in the October 30, 2013 Filing.

On November 20, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On December 18, 2013, FERC issued a letter order accepting the agreement, effective October 7, 2013 as requested.

This order constitutes final agency action.

## Regulatory Status Report

**ER14-236**                      **Submission of Tariff Revisions to Incorporate Transource Missouri, LLC's Formula Rate**

On October 30, 2013, SPP submitted revisions to its Tariff to incorporate the formula rate template and protocols for Transource Missouri, LLC.

An effective date of January 1, 2014 was requested.

On December 17, 2013, FERC issued an order accepting the tariff revisions to incorporate the formula rate template and protocols for Transource Missouri, LLC.

An effective date of January 1, 2014 was granted.

**ER14-278**                      **Submission of Tariff Revisions to Increase Administration Charge Cap in Schedule 1-A**

On November 1, 2013, SPP submitted revisions to its Tariff to increase the rate cap for its Tariff Administration service charge.

An effective date of January 1, 2014 was requested.

On November 8, 2013, the Oklahoma Corporation Commission filed a Motion to Intervene.

On November 12, 2013, Exelon Corporation filed a Motion to Intervene.

On November 22, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On November 22, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On December 16, 2013, FERC issued an order accepting the revised tariff sheets to increase the rate cap for the Schedule 1-A Administration Service Charge from \$0.35/MWh to \$0.39/MWh.

An effective date of January 1, 2014 was granted.

**ER14-283**                      **Submission of Tariff Revisions to Reflect an Update of the Annual Values Used in the Calculation of Offer Caps**

On November 1, 2013, SPP submitted revisions to its Tariff in order to reflect updates of annual values used in the calculation of offer caps for all pivotal resources in SPP's real-time energy imbalance service market.

An effective date of January 1, 2014 was requested.

On December 17, 2013, FERC issued an order accepting the tariff revisions to reflect updates of annual values used in the calculation of offer caps for all pivotal resources in SPP's real-time energy imbalance service market.

An effective date of January 1, 2014 was granted.

**ER14-344**                      **Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and The Board of Public Utilities, Springfield, Missouri ("City Utilities") as Network Customer and Host Transmission Owner**

## Regulatory Status Report

On November 7, 2013, SPP submitted a Notice of Cancellation of the NITSA between SPP as Transmission Provider and The Board of Public Utilities, Springfield, Missouri and both Network Customer and Host Transmission Owner. SPP Service Agreement No. Sixth Revised 549.

An effective date of October 1, 2013 was requested.

**ER14-391 Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On November 13, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Fourth Revised 2548.

An effective date of November 1, 2013 was requested.

**ER14-399 Generator Interconnection Agreement ("GIA") between Steele Flats Wind Project, LLC ("Steele Flats") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**

On November 14, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Steele Flats Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. First Revised 2490.

An effective date of October 23, 2013 was requested.

**ER14-401 Interconnection Agreement between Oklahoma Gas & Electric Company ("OG&E") and Southwestern Public Service Company ("SPS"), with SPP as Signatory**

On November 14, 2013, SPP filed an Interconnection Agreement between Oklahoma Gas & Electric Company and Southwestern Public Service Company, with SPP as signatory. SPP Service Agreement No. 2622.

SPP requested the Commission grant an effective date of the day the order accepting the agreement is issued.

**ER14-404 Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On November 14, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Fifteenth Revised 1374.

An effective date of November 1, 2013 was requested.

**ER14-416 Submission of Tariff Revisions to Modify SPP Integrated Marketplace**

On November 15, 2013, SPP submitted tariff revisions to modify, clarify, and supplemental certain aspects of the SPP Integrated Marketplace.

An effective date of March 1, 2014 was requested.

On November 20, 2013, E.ON Climate & Renewables North America, LLC filed a Motion to Intervene.



## Regulatory Status Report

On December 5, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On December 5, 2013, Exelon Corporation filed a Motion to Intervene.

On December 6, 2013, the TDU Intervenors filed a Motion to Intervene.

ER14-418

**Submission of Tariff Revisions to Revise the Stated Rate to Accommodate the Recovery of Revenue Requirements for SPP Member City of Coffeyville**

On November 18, 2013, SPP submitted tariff revisions to revise the stated rate for Coffeyville Municipal Light and Power.

Effective dates of July 1, 2013 and September 30, 2013 were requested.

ER14-438

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**

On November 20, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Thirteenth Revised 2198.

An effective date of November 1, 2013 was requested.

ER14-448

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners**

On November 22, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Westar Energy, Inc. as Network Customer, as well as a two NOAs with Oklahoma Gas and Electric Company and Westar as Host Transmission Owners. SPP Service Agreement No. Twentieth Revised 607.

An effective date of November 1, 2013 was requested.

ER14-450

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On November 22, 2013, SPP submitted 1) an executed NITSA between SPP as Transmission Provider and KCP&L Greater Missouri Operations Company as Network Customer; 2) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner; and 3) an executed NOA between SPP as Transmission Provider, KCPL-GMO as Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Eighth Revised 1765.

An effective date of November 1, 2013 was requested.

## Regulatory Status Report

- ER14-521 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 1**
- On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.
- ER14-522 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 2**
- On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.
- ER14-523 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 3**
- On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.
- ER14-524 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 4**
- On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.
- ER14-526 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 5**
- On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.
- ER14-528 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 6**
- On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.
- ER14-529 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 7**
- On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.
- ER14-530 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 8**
- On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.

## Regulatory Status Report

- ER14-531**                    **Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 9**
- On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.
- ER14-532**                    **Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 10**
- On December 4, 2013, SPP submitted ministerial filings to notify the Commission of the cancellation of several service agreements due to an inadvertent error in SPP's eTariff system. An effective date of December 1, 2013 was requested.
- ER14-591**                    **Generator Interconnection Agreement ("GIA") between Lincoln Electric System ("LES") as Interconnection Customer and Transmission Owner**
- On December 10, 2013, SPP submitted an executed GIA between SPP as Transmission Provider and Lincoln Electric System as Interconnection Customer and Transmission Owner. SPP Service Agreement No. 2635.
- An effective date of November 26, 2013 was requested.
- On December 31, 2013, Lincoln Electric System filed a Motion to Intervene and Protest in response to SPP's December 10, 2013 Filing.
- LES stated it protests SPP's proposed imposition of liability insurance requirements that are in excess of its maximum potential liability under Nebraska state law. LES stated that the insurance requirement is inconsistent with the Commission's pro forma Small Generator Interconnection Agreement.
- ER14-592**                    **Generator Interconnection Agreement ("GIA") between Oklahoma Municipal Power Authority ("OMPA") as Interconnection Customer and Oklahoma Gas and Electric Company ("OG&E") as Transmission Owner**
- On December 11, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Interconnection Customer, and Oklahoma Gas and Electric Company as Transmission Owner. SPP Service Agreement No. 2633.
- An effective date of November 15, 2013 was requested.
- On December 20, 2013, Oklahoma Municipal Power Authority filed a Motion to Intervene and Comments in support of SPP's December 11, 2013 filing.
- ER14-596**                    **Compliance Filing to Implement a Distribution Mechanism for Unreserved Penalty Revenues**
- On December 11, 2013, SPP submitted revisions to Section 13.7 of the Tariff to incorporate a mechanism to distribute penalty revenues collected by SPP for unreserved use of firm transmission service.
- An effective date of December 11, 2013 was requested.
- On December 13, 2013, Sunflower Electric Power Corporation and Mid-Kansas Electric

## Regulatory Status Report

Company, LLC filed a Motion to Intervene.

ER14-617

**Generator Interconnection Agreement ("GIA") between Tulsa LFG, LLC ("Tulsa LFG") as Interconnection Customer and Public Service Company of Oklahoma ("PSO") as Transmission Owner**

On December 13, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Tulsa LFG, LLC as Interconnection Customer, and Public Service Company of Oklahoma as Transmission Owner. SPP Service Agreement No. First Revised 2460.

An effective date of December 12, 2013 was requested.

ER14-620

**Submission of Change to Formula Rate Template for Kansas City Power & Light Company ("KCP&L") and KCP&L Greater Missouri Operations Company ("GMO")**

On December 13, 2013, SPP submitted revisions to its Tariff to implement a change in the formula rate templates for Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company. These proposed revisions to the depreciation and amortization rates are consistent with the rate filing submitted by KCP&L and GMO in Docket No. ER14-22.

An effective date of January 1, 2014 was requested.

ER14-644

**Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On December 17, 2013, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Sixteenth Revised 1374.

An effective date of December 1, 2013 was requested.

ER14-662

**Affected Systems' Facilities Construction Agreement between SPP as Transmission Provider, Abengoa Bioenergy Biomass of Kansas, LLC ("Abengoa") as Interconnection Customer, and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner**

On December 18, 2013, SPP submitted an executed Affected Systems' Facilities Construction Agreement between SPP as Transmission Provider, Abengoa Bioenergy Biomass of Kansas, LLC as Interconnection Customer, and Sunflower Electric Power Corporation as Transmission Owner. SPP Service Agreement No. 2568.

An effective date of December 3, 2013 was requested.

ER14-684

**Midcontinent Independent System Operator, Inc. ("MISO") Filing of a Network Integration Transmission Service Agreement ("NITSA") with Arkansas Electric Cooperative Corporation ("AECC")**

On December 18, 2013, Midcontinent Independent System Operator, Inc. filed a Network Integration Transmission Service Agreement with Arkansas Electric Cooperative Corporation containing non-conforming language regarding load to be pseudo-tied out of the SPP and Southwestern Power Administration into the MISO region.

An effective date of December 19, 2013 was requested.

## Regulatory Status Report

- ER14-781**                      **Submission of Tariff Revisions to Modify the Generator Interconnection Procedures**
- On December 20, 2013, SPP submitted tariff revisions to modify its Generator Interconnection Procedures in Attachment V.
- An effective date of March 1, 2014 was requested.
- On December 23, 2013, the American Wind Energy Association filed a Motion to Extend the Period for Filing Comments.
- ER14-788**                      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On December 20, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2631.
- An effective date of November 2, 2013 was requested.
- ER14-791**                      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners**
- On December 20, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation as Host Transmission Owners. SPP Service Agreement No. Fourth Revised 1534.
- An effective date of December 1, 2013 was requested.
- ER14-794**                      **Submission of a Notice of Cancellation of Comprehensive Seams Agreement Between Energy Services, Inc. and the Southwest Power Pool, Inc. (Rate Schedule No. 12)**
- On December 20, 2013, SPP submits this filing to notify the Commission of the termination of the Comprehensive Seams Agreement Between Entergy Services, Inc. and SPP. SPP requests cancellation of the respective tariff sheets that constitute the Seams Agreement.
- An effective date of December 19, 2013 was requested.
- ER14-806**                      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") as Host Transmission Owner**
- On December 23, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Mid-Kansas Electric Cooperative, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 1876.
- An effective date of December 1, 2013 was requested.

## Regulatory Status Report

- ER14-807**      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners**
- On December 23, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer, as well as a NOA with Sunflower, Midwest Energy, Inc., ITC Great Plains, LLC, and Mid-Kansas Electric Company, LLC as Host Transmission Owners. SPP Service Agreement No. Fifth Revised 2028.
- An effective date of December 1, 2013 was requested.
- ER14-808**      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On December 23, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 2415.
- An effective date of December 1, 2013 was requested.
- ER14-812**      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner**
- On December 23, 2013, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Sunflower Electric Power Corporation as Host Transmission Owner. SPP Service Agreement No. First Revised 2463.
- An effective date of December 1, 2013 was requested.
- ER14-813**      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner**
- On December 23, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Sunflower Electric Power Corporation as both Network Customer and Host Transmission Owner. SPP Service Agreement No. 2639.
- An effective date of December 1, 2013 was requested.
- ER14-814**      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner**
- On December 23, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Sunflower Electric Power Corporation as both Network Customer



## Regulatory Status Report

and Host Transmission Owner. SPP Service Agreement No. 2640.

An effective date of December 1, 2013 was requested.

ER14-815

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner**

On December 23, 2013, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Sunflower Electric Power Corporation as both Network Customer and Host Transmission Owner. SPP Service Agreement No. 2641.

An effective date of December 1, 2013 was requested.

ER14-844

**Order No. 676-G Compliance Filing**

On December 27, 2013, SPP submitted its compliance filing in response to Order No. 676-G. SPP revised Attachment R-1 of its Tariff to incorporate by reference the updated business practice standards adopted by the Wholesale Electric Quadrant of the North American Energy Standards Board.

An effective date of May 6, 2013 was requested.

ER14-859

**MISO Filing of Revisions to the Joint Operating Agreement ("JOA") Between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP to Include Terms for Emergency Energy Assistance**

On December 27, 2013, MISO submitted revisions to the Joint Operating Agreement Between the Midcontinent Independent System Operator, Inc. and SPP to include provisions to govern emergency energy transactions between SPP and MISO.

SPP's related filing is in Docket No. ER14-863.

An effective date of March 1, 2014 was requested.

ER14-863

**SPP Filing of Revisions to the Joint Operating Agreement ("JOA") Between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP to Include Terms for Emergency Energy Assistance**

On December 27, 2013, SPP submitted revisions to the Joint Operating Agreement Between the Midcontinent Independent System Operator, Inc. and SPP to include provisions to govern emergency energy transactions between SPP and MISO.

An effective date of March 1, 2014 was requested.

ER14-866

**Order No. 784 Compliance Filing**

On December 27, 2013, SPP submitted revisions to its Tariff to adopt the Open Access Transmission Tariff Schedule 3 language required by Order No. 784 for its Integrated Marketplace Tariff.

An effective date of March 1, 2014 was requested.



## Regulatory Status Report

**ES14-12 Application of Southwest Power Pool, Inc. Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities**

On November 21, 2013, SPP filed an Application Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities.

**RC11-6 North American Electric Reliability Corporation's ("NERC") Petition Requesting Approval of New Enforcement Mechanisms and Submittal of Initial Informational Filing Regarding NERC's Efforts to Refocus Implementation of Its Compliance Monitoring and Enforcement Program ("CMEP")**

On October 31, 2013, FERC issued a data request to the SPP Regional Entity concerning the Find, Fix, Track and Report data posted during the period of October 1, 2012 through September 30, 2013. The response is due December 16, 2013.

On December 16, 2013, the SPP Regional Entity submitted its response to the data request issued on October 31, 2013.

**RD13-9 North American Electric Reliability Corporation ("NERC") and SPP Regional Entity ("SPP RE") Joint Petition for Approval of Proposed Regional Reliability Standard PRC-006-SPP-01 (Under Frequency Load Shedding)**

On December 11, 2013, NERC filed a Notice of Withdrawal of the Joint Petition for Approval of Proposed Regional Reliability Standard PRC-006-SPP-01 (Under Frequency Load Shedding).

**RM01-8 Public Utility Filing Requirements for Electric Quarterly Reports ("EQR")**

On October 10, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 3Q2013 EQR was extended from October 31, 2013 to a date to be determined.

On December 20, 2013, FERC issued a Notice of Technical Conference to be held on January 22, 2014 to demonstrate the new Electric Quarterly Report filing system announced in Order No. 770.

On December 27, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 4Q2013 EQR was extended from January 31, 2014 to a date to be determined.

**RM05-5 Standards for Business Practices and Communication Protocols for Public Utilities**

On October 1, 2013, the Public Utility District No. 1 of Snohomish County filed Reply Comments in response to the Notice of Proposed Rulemaking issued on July 18, 2013.

On November 27, 2013, the North American Energy Standards Board ("NAESB") submitted a report regarding errata to Version 003 of the NAESB Wholesale Electric Quadrant standards currently the subject of the FERC Notice of Proposed Rulemaking in Docket No. RM05-5-022 issued on July 18, 2013.

**RM08-13 Transmission Relay Loadability Reliability Standard**

On November 13, 2013, FERC issued an Order on Compliance, accepting NERC's February 19, 2013 Compliance Filing submitted pursuant to Order Nos. 733 and 759.

## Regulatory Status Report

**RM10-11 Notice of Proposed Rulemaking Regarding Integration of Variable Energy Resources ("VERs") (Order No. 764)**

On October 15, 2013, FERC issued a Notice of Filing Procedures for Order No. 764 Electronic Compliance Filings.

**RM10-12 Electricity Market Transparency Provisions of Section 220 of the Federal Power Act (Order No. 768)**

On October 10, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 3Q2013 EQR was extended from October 31, 2013 to a date to be determined.

On December 20, 2013, FERC issued a Notice of Technical Conference to be held on January 22, 2014 to demonstrate the new Electric Quarterly Report filing system announced in Order No. 770.

On December 27, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 4Q2013 EQR was extended from January 31, 2014 to a date to be determined.

**RM11-16 Transmission Relay Loadability Reliability Standard (PRC-023-2)**

On November 13, 2013, FERC issued an Order on Compliance, accepting NERC's February 19, 2013 Compliance Filing submitted pursuant to Order Nos. 733 and 759.

**RM12-1 Transmission Planning Reliability Standards**

On October 17, 2013, FERC issued Order No. 786, Final Rule approving Transmission Planning Reliability Standard TPL-001-4.

Reliability Standard TPL-001-4 introduces significant revisions and improvements by requiring annual assessments addressing near-term and long-term planning horizons for steady state, short circuit and stability conditions. Reliability Standard TPL-001-4 also includes a provision that allows a transmission planner to plan for non-consequential load loss following a single contingency by providing a blend of specific quantitative and qualitative parameters for the permissible use of planned non-consequential load loss to address bulk electric system performance issues, including firm limitations on the maximum amount of load that an entity may plan to shed, safeguards to ensure against inconsistent results and arbitrary determinations that allow for the planned non-consequential load loss, and a more specifically defined, open and transparent, verifiable, and enforceable stakeholder process.

The Commission directed NERC to modify Reliability Standard TPL-001-4 to address the concern that the standard could exclude planned maintenance outages of significant facilities from future planning assessments and directed NERC to change the TPL-001-4, Requirement R1 Violation Risk Factor from medium to high.

This rule becomes effective on December 23, 2013.

**RM12-3 Revisions to Electric Quarterly Report ("EQR") Filing Process (Order No. 770)**

On October 10, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 3Q2013 EQR was extended from October 31, 2013 to a date to be determined.

## Regulatory Status Report

On December 20, 2013, FERC issued a Notice of Technical Conference to be held on January 22, 2014 to demonstrate the new Electric Quarterly Report filing system announced in Order No. 770.

On December 27, 2013, FERC issued an Order Extending Deadline to File Electric Quarterly Reports. The deadline to file the 4Q2013 EQR was extended from January 31, 2014 to a date to be determined.

### RM13-2

#### **Small Generator Interconnection Agreements and Procedures**

On November 12, 2013, the American Wind Energy Association filed Supplemental Comments.

On November 22, 2013, FERC issued Order No. 792, Final Rule amending the pro forma Small Generator Interconnection Procedures and Small Generator Interconnection Agreement to: (1) incorporate provisions that provide an Interconnection Customer with the option of requesting from the Transmission Provider a pre-application report providing existing information about system conditions at a possible Point of Interconnection; (2) revise the 2 megawatt (MW) threshold for participation in the Fast Track Process included in section 2 of the pro forma SGIP; (3) revise the customer options meeting and the supplemental review following failure of the Fast Track screens so that the supplemental review is performed at the discretion of the Interconnection Customer and includes minimum load and other screens to determine if a Small Generating Facility may be interconnected safely and reliably; (4) revise the pro forma SGIP Facilities Study Agreement to allow the Interconnection Customer the opportunity to provide written comments to the Transmission Provider on the upgrades required for interconnection; (5) revise the pro forma SGIP and the pro forma SGIA to specifically include energy storage devices; and (6) clarify certain sections of the pro forma SGIP and the pro forma SGIA. The reforms should ensure interconnection time and costs for Interconnection Customers and Transmission Providers are just and reasonable and help remedy undue discrimination, while continuing to ensure safety and reliability.

This rule becomes effective on February 3, 2014.

The Commission requires each public utility Transmission Provider to submit a compliance filing within six months of the effective date of this Final Rule revising its SGIP and SGIA or other document(s) subject to the Commission's jurisdiction as necessary to demonstrate that it meets the requirements set forth in Order No. 792.

### RM13-5

#### **Version 5 Critical Infrastructure Protection Reliability Standards**

On October 1, 2013, NERC submitted a corrected Attachment 2 to its September 30, 2013 Filing.

On October 11, 2013, NERC submitted its Informational Filing regarding the CIP Version 5 Reliability Standards Implementation Study.

On November 22, 2013, FERC issued Order No. 791, Final Rule approving the Version 5 Critical Infrastructure Protection Reliability Standards, CIP-002-5 through CIP-001-1, submitted by NERC.

This rule becomes effective on February 3, 2014.

On December 19, 2013, Utility Services, Inc. filed a Motion to Request Clarification of Order

## Regulatory Status Report

No. 791.

On December 20, 2013, Edison Electric Institute and the Electric Power Supply Association filed a Request for Rehearing and Motion for Clarification of Order No. 791.

On December 20, 2013, the American Public Power Association and the National Rural Electric Cooperative Association filed a Motion for Limited Clarification of Order No. 791.

RM13-7

### **Protection System Maintenance Reliability Standard**

On October 30, 2013, NERC filed an errata to its Petition for approval of proposed Reliability Standard PRC-005-2 (Protection System Maintenance).

On December 19, 2013, FERC issued Order No. 793, Final Rule approving a revised Reliability Standard, PRC-005-2 - Protection System Maintenance, to supersede four existing Reliability Standards, PRC-005-1.1b (Transmission and Generation Protection System Maintenance and Testing), PRC-008-0 (Underfrequency Load Shedding Equipment Maintenance), PRC-011-0 (Undervoltage Load Shedding Equipment Maintenance) and PRC-017-0 (Special Protection System Maintenance and Testing).

This rule becomes effective on February 24, 2014.

RM13-8

### **Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Retirement of Requirements in Reliability Standards**

On November 21, 2013, FERC issued Order No. 788, Final Rule approving the retirement of 34 requirements within 19 Reliability Standards identified by NERC.

This rule becomes effective on January 21, 2014.

RM13-9

### **Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Modified Transmission Planning Reliability Standards in the Case of System Performance Following Loss of a Single Bulk Electric System Element**

On October 17, 2013, FERC issued Order No. 786, Final Rule approving Transmission Planning Reliability Standard TPL-001-4.

Reliability Standard TPL-001-4 introduces significant revisions and improvements by requiring annual assessments addressing near-term and long-term planning horizons for steady state, short circuit and stability conditions. Reliability Standard TPL-001-4 also includes a provision that allows a transmission planner to plan for non-consequential load loss following a single contingency by providing a blend of specific quantitative and qualitative parameters for the permissible use of planned non-consequential load loss to address bulk electric system performance issues, including firm limitations on the maximum amount of load that an entity may plan to shed, safeguards to ensure against inconsistent results and arbitrary determinations that allow for the planned non-consequential load loss, and a more specifically defined, open and transparent, verifiable, and enforceable stakeholder process.

The Commission directed NERC to modify Reliability Standard TPL-001-4 to address the concern that the standard could exclude planned maintenance outages of significant facilities from future planning assessments and directed NERC to change the TPL-001-4, Requirement R1 Violation Risk Factor from medium to high.

## Regulatory Status Report

This rule becomes effective on December 23, 2013.

### **RM13-11 Frequency Response and Frequency Bias Setting Reliability Standard**

On October 15, 2013, NERC filed Reply Comments in response to Comments regarding the Notice of Proposed Rulemaking issued on July 18, 2013.

On December 30, 2013, NERC submitted its informational filing containing the Annual Analysis of Frequency Response for the administration and support of proposed Reliability Standard BAL-003-1 - Frequency Response and Frequency Bias Setting report.

### **RM13-12 Monitoring System Conditions - Transmission Operations Reliability Standard**

On November 21, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to remand revisions to the Transmission Operations and Interconnection Reliability Operations and Coordination Reliability Standards, developed by NERC. FERC also proposed to approve NERC's proposed revisions to Reliability Standard TOP-006-3.

Comments are due on February 3, 2014.

On December 20, 2013, NERC filed a Motion to Defer Action on its request to approve revisions to the Transmission Operations (TOP) and Interconnection Reliability Operations and Coordination (IRO) Reliability Standards until January 31, 2015.

### **RM13-14 Transmission Operations Reliability Standards**

On November 21, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to remand revisions to the Transmission Operations and Interconnection Reliability Operations and Coordination Reliability Standards, developed by NERC. FERC also proposed to approve NERC's proposed revisions to Reliability Standard TOP-006-3.

Comments are due on February 3, 2014.

On December 20, 2013, NERC filed a Motion to Defer Action on its request to approve revisions to the Transmission Operations (TOP) and Interconnection Reliability Operations and Coordination (IRO) Reliability Standards until January 31, 2015.

### **RM13-15 Interconnection Reliability Operations and Coordination Reliability Standards**

On November 21, 2013, FERC issued a Notice of Proposed Rulemaking, proposing to remand revisions to the Transmission Operations and Interconnection Reliability Operations and Coordination Reliability Standards, developed by NERC. FERC also proposed to approve NERC's proposed revisions to Reliability Standard TOP-006-3.

Comments are due on February 3, 2014.

On December 20, 2013, NERC filed a Motion to Defer Action on its request to approve revisions to the Transmission Operations (TOP) and Interconnection Reliability Operations and Coordination (IRO) Reliability Standards until January 31, 2015.

### **RM13-16 Generator Verification Reliability Standards**

Numerous parties filed Comments in response to the September 19, 2013 Notice of Proposed Rulemaking.

RM13-17

### **Communication of Operational Information Between Natural Gas Pipelines and Electric Transmission Operators**

On November 15, 2013, FERC issued Order No. 787, Final Rule amending the Commission's regulations to provide explicit authority to interstate natural gas pipelines and public utilities that own, operate, or control facilities used for the transmission of electric energy in interstate commerce to share non-public, operational information with each other for the purpose of promoting reliable service or operational planning on either the public utility's or pipeline's system. The revised regulations will help maintain the reliability of the pipeline and public utility transmission service by permitting transmission operators to share information with each other that they deem necessary to promote the reliability and integrity of their systems.

This Final Rule becomes effective on December 23, 2013.

On December 16, 2013, the Natural Gas Supply Association, et al. filed a Request for Clarification or, in the Alternative, Rehearing of Order No. 787.

On December 16, 2013, Enable Interstate Pipelines filed a Request for Rehearing of Order No. 787.

RR06-1

### **North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization ("ERO")**

On October 30, 2013, NERC submitted its Analysis of NERC Standards Process Results for the Third Quarter 2013.

RR14-1

### **North American Electric Reliability Corporation ("NERC") Petition for Approval of Proposed Revisions to the Southwest Power Pool Regional Entity ("SPP RE") Standards Development Process Manual**

On December 20, 2013, NERC submitted its Petition for Approval of Proposed Revisions to the Southwest Power Pool Regional Entity Standards Development Process Manual.

## Regulatory Status Report

### **FERC or State Jurisdiction: State of Arkansas**

**08-135-R**                    **In the Matter of Amendments to the Rules of Practice and Procedure ("RPPs") of the Arkansas Public Service Commission**

On November 4, 2013, APSC General Staff filed its Order No. 18 Compliance Filing, attaching markup of the current Rules of Practice and Procedure showing all changes between the current RPPs and the newly adopted RPPs set out in Attachment A to Order No. 18.

**08-136-U**                    **In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas**

On December 20, 2013, SPP filed the Fall 2013 State of the Market Report pursuant to Order Nos. 2 and 25.

**09-090-U**                    **In the Matter of the Impact of Federal Energy Regulatory Commission ("FERC") Orders 719 and 719-A in FERC Docket No. RM07-19-001 on the Regulatory Authority of the Arkansas Public Service Commission**

On October 18, 2013, SPP filed a Notice of FERC Order. FERC issued a final order in SPP's Order No. 719 compliance proceedings on October 17, 2013.

On November 7, 2013, SPP, the Arkansas Attorney General's Office, Arkansas Electric Cooperative Corporation, Oklahoma Gas and Electric Company, Southwestern Electric Power Company, and The Empire District Electric Company filed a Joint Motion to Suspend Procedural Schedule and for Clarification.

The Joint Movants requested that the existing requirement to make initial filings on November 18, 2013, and reply filings shortly thereafter, be suspended pending the entry of a Commission order providing clarification and further direction regarding the Commission's inquiry in this Docket.

On November 13, 2013, the APSC issued Order No. 7 in response to the November 7, 2013 Joint Motion to Suspend Procedural Schedule and for Clarification. The Commission granted the Joint Motion and suspended the existing procedural schedule. The Commission stated it takes under advisement the request for clarification and further direction regarding the inquiry in this Docket and will provide additional guidance by subsequent order. The Commission also directed that Energy Arkansas, Inc. and the Midcontinent Independent System Operator, Inc. be made parties to this Docket.

**10-010-U**                    **In the Matter of a Notice of Inquiry into Energy Efficiency ("EE NOI Docket")**

On October 16, 2013, the APSC issued Order No. 16, accepting Staff's Report and recommendations and approving Version 3.0 of the Instructions for the Arkansas Energy Efficiency Program Portfolio Annual Report (also known as the SARP) for immediate use.

**10-011-U**                    **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**

On October 1, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.



## Regulatory Status Report

On October 1, 2013, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

On October 8, 2013, Jennifer Curran filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc. in response to Order No. 78.

On October 8, 2013, Hugh McDonald filed Supplemental Testimony on behalf of EAI in response to Order No. 78.

On October 8, 2013, Melinda Montgomery filed Supplemental Testimony on behalf of EAI in response to Order No. 78.

On October 8, 2013, Charles Long filed Supplemental Testimony on behalf of EAI in response to Order No. 78.

On October 31, 2013, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

On November 1, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On November 25, 2013, the APSC issued Order No. 79, finding that Entergy Arkansas, Inc. and the Midcontinent Independent System Operator, Inc. are not currently in violation of Condition No. 2 of Order No. 68. EAI and MISO were directed to demonstrate in its monthly updates that EAI and MISO are moving forward with full compliance with Condition No. 2 of Order No. 68.

On November 27, 2013, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

On December 2, 2013, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On December 30, 2013, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

10-101-R

**In the Matter of the Institution of a Rulemaking to Adopt Amendments to the Commission's Rules on Conservation & Energy Efficiency ("C&EE") to Allow Self-Directed Programs for Large Consumers**

On October 3, 2013, Southwestern Electric Power Company filed Sur-Reply Comments.

On October 3, 2013, Entergy Arkansas, Inc. filed Sur-Reply Comments.

On October 3, 2013, the Arkansas Attorney General filed Sur-Reply Comments.

On October 3, 2013, Arkansas Electric Energy Consumers, Inc. and Arkansas Gas Consumers, Inc. filed Sur-Reply Comments.

On October 29, 2013, SPP filed a Motion to Be Excused from Hearing.

On October 31, 2013, the APSC issued Order No. 32, excusing SPP, Arkansas Oklahoma Gas Corporation, Oklahoma Gas and Electric Company, and The Empire District Electric Company from the November 1, 2013 hearing.

On November 15, 2013, the APSC issued Order No. 33, adopting the proposed amendments

## Regulatory Status Report

to the Conservation & Energy Efficiency Rules.

12-008-U

**In the Matter of Southwestern Electric Power Company's Petition for a Declaratory Order Finding that Installation of Environmental Controls at the Flint Creek Power Plant is in the Public Interest**

On October 8, 2013, the APSC issued Order No. 16, closing this docket.

On November 7, 2013, Southwestern Electric Power Company filed its Application for Approval of Selection of Independent Monitor.

On November 12, 2013, Southwestern Electric Power Company filed a Report Pursuant to Order No. 14 stating that it has been precluded from commencing construction of the environmental controls until receiving an air permit from the Arkansas Department of Environmental Quality. Construction of the environmental controls will commence on or about January 1, 2014.

On November 25, 2013, Kevin Munson filed Testimony on behalf of Southwestern Electric Power Company concerning SWEPCO's compliance with the Independent Monitor requirement.

12-060-R

**In the Matter of Amendments to the Arkansas Public Service Commission's Rules Concerning Meter Aggregation and Combined Billing for Net-Metering Customers**

On October 1, 2013, APSC General Staff filed Reply Comments pursuant to Order No. 9.

On October 11, 2013, the APSC issued Order No. 10, adopting Staff's Standard Tariff filed on September 17, 2013.

On November 4, 2013, Clark Cotten filed Compliance Testimony on behalf of APSC General Staff.

On November 6, 2013, the APSC issued Order No. 11, approving several net-metering tariffs.

On December 19, 2013, the APSC issued Order No. 12, directing the Secretary of the Commission to close this docket.

12-069-U

**In the Matter of an Application of Entergy Arkansas, Inc., Mid South Transco LLC, ITC Midsouth LLC, Transmission Company Arkansas, LLC, and ITC Holdings Corp. to Enter Transactions Resulting in a Certificate of Public Convenience and Necessity for a New Arkansas Utility to Own EAI's Electric Transmission Facilities**

On October 1, 2013, the Applicants filed their monthly status report.

On October 29, 2013, Entergy filed errata pages to the Supplemental Testimony of Cameron Bready filed on July 9, 2013, and the Supplemental Rebuttal Testimony of Cameron Bready filed on August 23, 2013.

On October 31, 2013, Entergy filed an errata page to the Supplemental Rebuttal Testimony of Jay Lewis filed on August 23, 2013.

On November 1, 2013, the Joint Applicants filed a Monthly Status Report pursuant to Order No. 17.

On December 2, 2013, the Joint Applicants filed a Monthly Status Report pursuant to Order No. 17.

## Regulatory Status Report

13-041-U

On December 13, 2013, the Applicants filed a Notice of Withdrawal of Application.

On December 17, 2013, the APSC issued Order No. 18, directing the Secretary of the Commission to close this Docket.

**In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas**

On October 1, 2013, Parties filed Proposed Findings of Fact and Conclusions of Law.

On October 1, 2013, Parties filed Initial Briefs.

On October 1, 2013, the River Oaks Intervenors filed a Motion to Excuse Counsel from Further Attendance at Hearing.

On October 1, 2013, APSC General Staff filed its Response to Mitchell & Spencer Properties, LLC's Motion to Withdraw as Intervenor. Staff stated it has no objection to the Motion.

On October 1, 2013, the Allred Intervenors filed a Motion to Excuse Counsel from Further Attendance at Hearing.

On October 1, 2013, SPP filed its Post-Hearing Brief.

On October 1, 2013, SPP filed its Proposed Findings of Fact and Conclusions of Law.

On October 7, 2013, Save the Ozarks filed its Response in Opposition to SWEPCO's Motion to Conform the Pleadings to the Proof.

On October 10, 2013, Thorncrown Chapel, Inc., the American Institute of Architects and the Arkansas Chapter, the American Institute of Architects filed their Response to Staff's Proposed Findings of Fact and Conclusions of Law. The parties stated that Staff omitted the Thorncrown Intervenors from a list of remaining intervenor participants in this proceeding.

On October 16, 2013, SPP filed its Reply Brief.

On October 16, 2013, SWEPCO filed its Reply Brief.

On October 16, 2013, Save the Ozarks filed its Reply Brief.

On October 17, 2013, SWEPCO filed its Reply to Petitioner Save the Ozarks' Response in Opposition to SWEPCO's Motion to Conform Pleadings to the Proof.

On October 18, 2013, the APSC issued Order No. 29, granting Arkansas Electric Cooperative Corporation's request to file their Findings of Fact and Conclusions of Law out of time.

On November 5, 2013, the APSC issued Order No. 30, granting Mitchell & Spencer Properties, LLC's Motion to Withdraw as Intervenor.

On November 18, 2013, the APSC issued Order No. 31, concluding the hearing in this matter, closing the record, and submitting the matter to the ALJ for determination.

## Regulatory Status Report

13-119-U

**In the Matter of the Application of Southwest Power Pool, Inc. for Authority to Issue up to \$70,000,000 in Secured or Unsecured Promissory Notes**

On November 21, 2013, SPP filed an Application for Authority to Issue Indebtedness and Request for an Expedited Order.

### **FERC or State Jurisdiction: State of LA - New Orleans**

#### **UD-11-01 Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements**

On October 1, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On October 11, 2013, Entergy filed the Joint Implementation Filing and Request for Related Approvals and Expedited Relief regarding the upcoming integration into the Midcontinent Independent System Operator, Inc.

On October 16, 2013, Entergy filed a copy of the Joint Pricing Zone Revenue Allocation Agreement filed by the Midcontinent Independent System Operator, Inc. at FERC on October 15, 2013.

On October 23, 2013, Entergy filed a Declaration Filing in Compliance with Council Resolution and Settlement. Entergy notified the Council that all of the Energy Operating Companies will integrate into the Midcontinent Independent System Operator, Inc. RTO by December 19, 2013.

On October 25, 2013, Entergy filed errata pages to the Summary of Joint Implementation and Request for Related Approvals and Expedited Relief and to the Direct Testimony of Melissa B. LeJeune filed on October 11, 2013.

On November 1, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On November 21, 2013, the Council of the City of New Orleans adopted Resolution R-13-434, Resolution and Order Establishing Procedural Schedule with Respect to Joint Implementation Filing.

The following procedural schedule was established:  
March 20, 2014 - Advisors and Intervenor Direct Testimony;  
April 14, 2014 - Entergy Rebuttal Testimony;  
May 19, 2014 - Evidentiary hearing; and  
May 26, 2014 - Hearing Officer to certify the record.

On December 2, 2013, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

#### **UD-12-01 Investigation of the Proposed Divestiture of the Transmission Assets of Entergy New Orleans, Inc. ("ENO") and Entergy Louisiana, LLC ("ELL") to ITC Holdings Corp. ("ITC")**

On October 30, 2013, the Advisors to the Council of the City of New Orleans, Air Products and Chemicals, Inc. and Entergy filed an Agreement with Respect to Amended Procedural Schedule, including a proposed procedural schedule for the remainder of this proceeding.

On October 31, 2013, the Council of the City of New Orleans issued an Order revising the procedural schedule as follows:  
December 5, 2013 - Last date to issue written discovery or take depositions;

## Regulatory Status Report

December 20, 2013 - Advisors/Intervenor Supplemental Surrebuttal Testimony;  
December 27, 2013 - Evidentiary hearing (paper);  
December 27, 2013 - Briefs; and  
December 30, 2013 - Hearing Officer to certify record.

On November 25, 2013, ITC filed an Errata to the Rejoinder Testimony of Cameron Bready.

On December 13, 2013, Entergy and ITC notified the City Council of New Orleans that they are withdrawing their request for approval of the transmission assets divestiture.

**FERC or State Jurisdiction: State of Louisiana**

U-32538

**Joint Application of Entergy Louisiana, LLC, Entergy Gulf States Louisiana, L.L.C., Mid South TransCo LLC, Transmission Company Louisiana I, LLC, Transmission Company Louisiana II, LLC, ITC Holdings Corp. and ITC Midsouth LLC ("Applicants") for Approval of Change of Ownership of Electric Transmission Businesses, for Certain Cost-Recovery Adjustments, and for Related Relief**

On October 1, 2013, the Applicants filed a Motion for Status Conference.

On October 2, 2013, the LPSC issued a Ruling on Motion for Status Conference. A conference was scheduled for October 14, 2013.

On October 15, 2013, the LPSC issued a Report of October 14, 2013 Status Conference.

On October 17, 2013, the Applicants filed a Motion for Clarification. The Report of October 14, 2013 Status Conference did not address the timing of the parties' submission of proposed findings of fact and conclusions of law.

On October 18, 2013, the LPSC issued a Ruling on Motion for Clarification, setting the deadline for post-hearing reply briefs and proposed findings of fact and conclusions of law.

On October 21, 2013, parties filed post-hearing briefs.

On November 8, 2013, parties filed post-hearing reply briefs.

On November 8, 2013, LPSC Staff filed its Proposed Findings of Fact.

On November 8, 2013, LPSC Staff filed its Proposed Conclusions of Law.

On December 13, 2013, the Applicants filed a Notice of Withdrawal of Joint Application.

U-32675

**Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. (Collectively "Entergy") Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, for an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment**

A hearing was held on October 1, 2013.

On October 17, 2013, Entergy submitted an informational filing attaching the Joint Pricing Zone Revenue Allocation Agreement.

On October 29, 2013, Entergy submitted its compliance filing in accordance with the directive issued on October 18, 2013 at the Business and Executive Session. Entergy submitted an unexecuted version of the Implementation Agreement between Cleco Power LLC and the Companies.

On November 1, 2013, Entergy filed an executed copy of the Amended Implementation Agreement between Cleco Power LLC and the Companies.

On November 4, 2013, the LPSC issued Order No. U-32675, approving the Application subject to the terms and conditions set forth in the Stipulated Agreement.

On December 10, 2013, the LPSC issued Order No. U-32675-A, approving the Amended



## Regulatory Status Report

Implementation Agreement between Energy and Cleco Power, LLC and the Companies' request for cost recovery through the MCRM rider with authorization to defer those costs until those riders are implemented.

On December 19, 2013, Entergy and Cleco Power, LLC filed Proposed Issues for Generic Docket Addressing the MISO Planning Resource Auction.

On December 19, 2013, Entergy filed its MISO Planning Resource Auction First Report.

***FERC or State Jurisdiction: State of Mississippi***

**2012-UA-358**

**Joint Application for the Transfer of Ownership and Control of Entergy Mississippi, Inc.'s Transmission Facilities and Assets Together with Related Certificates, Franchises, and Other Property Rights to Transmission Company Mississippi, LLC and Approval of Subsequent Transfers of Ownership and Control**

On October 14, 2013, the Applicants filed a Joint Motion for Continuance of this matter.

On December 10, 2013, the MPSC issued a Final Order finding that the proposed transfer is not in the public interest and the Joint Application is denied.

**FERC or State Jurisdiction: State of Missouri**

- EA-2013-0098**      **In the Matter of the Application of Transource Missouri, LLC for a Certificate of Convenience and Necessity Authorizing it to Construct, Finance, Own, Operate, and Maintain the Iatan-Nashua and Sibley-Nebraska City Electric Transmission Projects**
- On November 5, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed their compliance filing regarding public outreach efforts.
- On November 6, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed the third quarter 2013 report for the Sibley-Nebraska City Transmission Project.
- EO-2012-0135**      **In the Matter of the Application of Kansas City Power & Light Company ("KCPL") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.**
- On December 31, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Notification of changes in SPP's Schedule 1-A rates.
- EO-2012-0136**      **In the Matter of the Application of KCP&L Greater Missouri Operations Company ("KCPL-GMO") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.**
- On December 31, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Notification of changes in SPP's Schedule 1-A rates.
- EO-2012-0271**      **In the Matter of an Investigation into the Siting and Safety of a Proposed Transmission Line in Platte County, Missouri**
- On November 6, 2013, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed their third quarter 2013 report for the Iatan to Nashua 345 kV Transmission Project.
- EO-2013-0396**      **In the Matter of the Joint Application of Entergy Arkansas, Inc., Mid South Transco LLC, Transmission Company Arkansas, LLC and ITC Midsouth LLC ("Applicants") for Approval of Transfer of Assets and Certificate of Convenience and Necessity, and Merger and, in connection therewith, Certain Other Related Transactions**
- On December 13, 2013, the Joint Applicants filed a Motion for Leave to Dismiss the Application and this proceeding.
- On December 24, 2013, the MoPSC issued an Order Granting Joint Applicants' Motion for Leave to Dismiss.
- EO-2013-0431**      **In the Matter of Entergy Arkansas, Inc.'s ("EAI") Notification of Intent to Change Functional Control of its Missouri Electric Transmission Facilities to the Midcontinent Independent System Operator, Inc. ("MISO") Regional Transmission Organization or Alternative Request to Change Functional Control and Motions for Waiver and Expedited Treatment**
- On October 9, 2013, the MoPSC issued a Report and Order approving EAI's migration of its Missouri transmission assets into MISO, conditioned upon the negotiation and approval of a revised Joint Operating Agreement between SPP and MISO addressing the loop flow issues

## Regulatory Status Report

and other altered flows related to the Missouri seam between SPP and MISO, and conditioned upon a requirement that Entergy and/or ITC Midsouth, LLC hold harmless non-MISO Missouri retail customers from all increased costs due to Entergy's potential transfer of functional control of its transmission assets to MISO.

Beginning June 30, 2014, and every year thereafter on or about June 30, EAI, or its successors-in-interest shall file a report with the Commission concerning its participation in MISO.

On November 7, 2013, Entergy Arkansas, Inc. filed an Application for Rehearing of the October 9, 2013 Report and Order.

On November 12, 2013, the MoPSC issued an Order Directing Filing. Parties shall file responses to the Application for Rehearing no later than November 21, 2013.

On November 21, 2013, parties filed responses to the Application for Rehearing.

On November 25, 2013, Entergy Arkansas, Inc. filed its response to the Reply of Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company to the Application for Rehearing.

On November 26, 2013, the MoPSC issued an Order Withdrawing Report and Order in Response to Application for Rehearing.

On November 26, 2013, the MoPSC issued a Revised Report and Order, approving Entergy Arkansas, Inc.'s migration of its Missouri transmission assets into the Midcontinent Independent System Operator, Inc.

Beginning June 30, 2014, and every year thereafter on June 30, Energy Arkansas, Inc. shall file a report with the Commission concerning its participation in the Midcontinent Independent System Operator, Inc.

The Commission concurrently opened Workshop Docket No. EW-2014-0156 to explore seams issues discussed in this Order.

**EW-2014-0156**

**In the Matter of an Investigation Into the Possible Methods of Mitigating Identified Harmful Effects of Entergy Joining MISO on non-MISO Missouri Utilities and Their Ratepayers and Maximizing the Benefits for Missouri Utilities and Ratepayers Along RTO and Cooperative Seams**

On November 26, 2013, the MoPSC issued an Order Opening a Case to Investigate Methods of Eliminating or Mitigating the Negative Effects of the MISO/SPP Seam.

The Commission invited comments in response to questions and issues outlined in this Order.

## Regulatory Status Report

### **FERC or State Jurisdiction: State of New Mexico**

10-00143-UT

**In the Matter of the Petition of Lea County Electric Cooperative, Inc. ("LCEC") For Approval to Transfer Certain Transmission Assets Under the Southwest Power Pool, Inc. Open Access Transmission Tariff**

On December 17, 2013, Lea County Electric Cooperative, Inc. filed its Compliance Report regarding its participation in the SPP Regional Transmission Organization.

13-00031-UT

**In the Matter of Southwestern Public Service Company's Interim Report on its Participation in the Southwest Power Pool Regional Transmission Organization**

On October 18, 2013, the New Mexico Attorney General filed a Request for Rehearing and for Oral Argument.

On October 29, 2013, SPS filed its Response in Opposition to the New Mexico Attorney General's Request for Rehearing and Oral Argument.

On October 30, 2013, the NMPRC issued an Order on Attorney General's Request for Rehearing and Oral Argument.

The docket was reopened for the limited purpose of considering argument on the Attorney General's Motion for Rehearing.

Oral argument is scheduled for November 6, 2013.

On November 4, 2013, the New Mexico Attorney General filed a Report on Position of Parties.

An oral argument was held on November 6, 2013.

On November 6, 2013, the NMPRC issued an Order Granting Attorney General's Motion for Rehearing. The Final Order issued on September 18, 2013 was vacated. The Hearing Examiner will establish an expedited procedural schedule that includes an evidentiary hearing. The Hearing Examiner's Recommended Decision shall be submitted no later than June 30, 2014.

On November 13, 2013, the NMPRC issued an Order Setting Second Pre-Hearing Conference to be held on November 19, 2013.

A pre-hearing conference was held on November 19, 2013.

On November 19, 2013, the NMPRC issued a Second Procedural Order, establishing the following schedule:

December 17, 2013 - Technical and Settlement Conferences begin at 9 AM;  
December 24, 2013 - Intervention deadline;  
January 31, 2014 - Settlement and supporting schedules to be filed;  
January 31, 2014 - Staff and Intervenor Direct Testimony may be filed; alternatively, testimony in support of any agreement shall be filed;  
February 7, 2014 - Opposition to any settlement to be filed;  
February 14, 2014 - Testimony supporting opposition to any filed agreement to be filed;  
February 21, 2014 - Any Rebuttal Testimony to be filed;  
March 6, 2014 - Public hearing begins at 9:30 AM.

## Regulatory Status Report

On December 10, 2013, SPP submitted its Response to Staff's First Set of Interrogatories and Request for Production of Documents.

Technical and Settlement Conferences were held on December 17, 2013.

**FERC or State Jurisdiction: State of Texas****40979 Proceeding to Track Compliance with Terms and Conditions Set Forth in the Commission's Order Issued in Docket No. 40346 and the Non-Unanimous Stipulation ("NUS") and Associated Studies Arising from the Order and/or NUS**

On October 2, 2013, Entergy Texas, Inc. filed the Entergy System Intra-System Bill for August 2013.

On October 15, 2013, Entergy Texas, Inc. filed its Monthly MISO Compliance Report for October 2013.

On October 18, 2013, Entergy Texas, Inc. filed a Declaration that all of the Entergy Operating Companies will integrate into the Midcontinent Independent System Operator, Inc. by December 19, 2013.

On November 20, 2013, the Midcontinent Independent System Operator, Inc. filed a Notification of FERC Order issued on November 15, 2013 accepting MISO's proposal to expand representation and voting on MISO's Advisory Committee by adding a seat to the State Regulatory Authorities sector and by dedicating that seat to a representative from MISO South.

Entergy Texas, Inc. continues to file compliance reports each month.

**41430 Joint Report and Application of Sharyland Utilities, L.P. ("Sharyland"), Sharyland Distribution & Transmission Services, L.L.C. ("SDTS"), and Southwestern Public Service Company ("SPS") for Approval of Purchase and Sale of Facilities, for Approval of Regulatory Accounting Treatment of Gain or Sale, and for Transfer of Certain Rights**

On October 3, 2013, SOAH Order No. 4 was issued, Granting Motion to Take Official Notice and to Admit Late-Filed Exhibit of Sharyland Utilities, L.P. The WTS Study was admitted as Sharyland Exhibit No. 10.

On October 14, 2013, Pioneer Natural Resources USA, Inc. and Texas Industrial Energy Consumers filed Proposed Findings of Fact and Conclusions of Law on Specific Issues Only.

On October 16, 2013, Sharyland Utilities, L.P. filed a Motion to Strike October 14, 2013 Filing by Pioneer Natural Resources USA, Inc. and Texas Industrial Energy Consumers.

On October 17, 2013, Pioneer Natural Resources USA, Inc. and Texas Industrial Energy Consumers filed their response to Sharyland's Motion to Strike.

On October 30, 2013, the Administrative Law Judge issued a Proposal for Decision.

On November 15, 2013, Southwestern Public Service Company filed Exceptions to Proposal for Decision.

On November 15, 2013, Texas Industrial Energy Consumers filed Exceptions to the Proposal for Decision.

On December 3, 2013, PUCT Staff filed a letter stating that it reiterates its agreement with the Administrative Law Judge's finding that, based on a prior Commission precedent, Preliminary Order Issue No. 3 should be deferred for determination in Sharyland's next base rate proceeding. Staff also agrees that the Commission should approve the regulatory accounting



## Regulatory Status Report

treatment of the gain on sale in accordance with the Unopposed Stipulation.

On December 5, 2013, the Administrative Law Judge submitted a letter recommending revisions the Proposal for Decision to correct errors.

On December 20, 2013, the PUCT issued an Order, adopting the Proposal for Decision and granting the Application.

41850

**Updated Application of Entergy Texas, Inc., ITC Holdings Corp., MidSouth Transco LLC, Transmission Company Texas, LLC, and ITC Midsouth LLC for Approval of Change of Ownership and Control of Transmission Business, Transfer of Certification of Rights and Related Relief**

On October 3, 2013, Commission Staff filed its Response to Order No. 1. Staff stated the Applicants will promptly be filing an Application for Sale, Transfer, or Merger form and recommended that the Application be found sufficient when a completed form is filed.

On October 8, 2013, the Applicants filed an Application for Sale, Transfer, or Merger.

On November 4, 2013, the PUCT issued a Preliminary Order.

On November 6, 2013, Intervenors filed Direct Testimony.

On November 7, 2013, Commissioner Anderson filed a memorandum stating he would like the parties to this proceeding to address issues listed relating to the treatment of ETI's qualifying cogeneration and small power production facilities (QF) obligation in conjunction with the Transaction at the hearing scheduled on November 21-22, 2013.

In addition, Commissioner Anderson also stated he would like the parties to also address at the hearing certain issues regarding measures that could be placed in the Commission's order.

On November 13, 2013, PUCT Staff filed Direct Testimony.

On November 15, 2013, the parties filed Statements of Position.

On November 15, 2013, the Applicants filed Rebuttal Testimony.

A hearing was held on November 21-22, 2013.

On December 13, 2013, the Applicants filed a Joint Motion to Withdraw Application.

On December 20, 2013, the PUCT issued an Order of Dismissal Without Prejudice.

### **FERC or State Jurisdiction: United States Court of Appeals**

- 12-1158**                      **Southwest Power Pool, Inc. v. Federal Energy Regulatory Commission (Petition for Review of Orders Issued in FERC Docket No. EL11-34 Related to SPP-MISO JOA Dispute)**
- On October 7, 2013, the U.S. Court of Appeals issued an Order allocating times for the oral argument to be held on October 18, 2013.
- Oral argument was held on October 18, 2013.
- On December 3, 2013, the U.S. Court of Appeals issued an Opinion finding that FERC's decision was arbitrary and capricious.
- On December 3, 2013, the Clerk for the U.S. Court of Appeals issued a Judgment. It was Ordered and Adjudged that the orders are vacated and remanded, in accordance with the opinion of the court.
- 12-1232**                      **South Carolina Public Service Authority v. FERC, Petition for Review of Order Nos. 1000 and 1000-A (Consolidated with 12-1233, 12-1250, 12-1276, 12-1279, 12-1280, 12-1285, 12-1290, 12-1292, 12-1293, 12-1294, 12-1296, 12-1299, 12-1300, 12-1304)**
- On October 16, 2013, Intervenors in support of the Respondent filed Briefs concerning Threshold Issues, Cost Allocation, Rights of First Refusal, and a brief in support of Respondent's Brief Concerning FPA Section 211A.
- On October 18, 2013, Intervenors filed a Brief in Support of Respondent's Brief Concerning Scope of Cost Allocation.
- On November 15, 2013, the Parties filed Reply Briefs.
- On December 13, 2013, the Parties file their final Briefs.
- 13-1181**                      **Nebraska Public Power District v. Federal Energy Regulatory Commission - Petition for Review of Orders in FERC Docket ER12-1179**
- On October 16, 2013, the U.S. Court of Appeals issued an Order granting the motion to hold in abeyance. Respondent was directed to file status reports at 90-day intervals beginning 90 days from the date of this order. The parties were directed to file motions to govern further proceedings within 30 days of the resolution of the proceedings in SPP's Integrated Marketplace Docket No. ER12-1179.