

FERC or State Jurisdiction: FERC

AD10-2 Guidance on Simultaneous Transmission Import Limit Studies

On January 24, 2014, SPP filed a Supplement to Informational Filing of 2013 Simultaneous Import Limit Study for SPP Region to include the Report on Market Data for Market-Based Rates for Wholesale Power Sales.

AD12-12 Coordination between Natural Gas and Electricity Markets

On January 31, 2014, the Desert Southwest Pipeline Stakeholders filed a report to discuss issues experienced in the Desert Southwest and identifies solutions to enhance coordination and promote reliability between the gas and electric industries.

AD13-7 Centralized Capacity Markets in Regional Transmission Organizations and Independent System Operators

On January 8, 2014, numerous parties filed post-technical conference comments.

EC13-100 Southwestern Public Service Company ("SPS") Application for Authorization Under Section 203 of the Federal Power Act for Disposition of Jurisdictional Facilities

On January 3, 2014, Xcel Energy Services Inc. filed a Notice of Consummation of Transaction.

EL11-34 Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP

On January 28, 2014, SPP filed a Complaint and Request for Fast Track Processing and Motion to Consolidate.

SPP submitted the Complaint for an order finding that the Midcontinent Independent System Operator, Inc. is violating the Joint Operating Agreement ("JOA") between SPP and MISO and SPP's Tariff, requiring MISO to compensate SPP for use of SPP's transmission system in accordance with the SPP Tariff. In the event the Commission does not so find, SPP alternatively requests that the Commission find 1) that the JOA is no longer just, reasonable, and not unduly discriminatory to the extent it does not provide a mechanism by which SPP may assess charges for MISO's use of the SPP transmission system to integrate the former Energy Operating Companies; and 2) that the compensation mechanism set forth herein is the just, reasonable, and not unduly discriminatory rate for MISO's use of the SPP transmission system.

SPP requested that Docket Nos. ER14-1174, EL11-34 and EL14-21 be consolidated.

EL13-15 Southwestern Public Service Company ("SPS") Complaint Seeking a Finding that the Rates in SPP Zone 11 are Unjust and Unreasonable due to the Inclusion of the Costs of Facilities of Tri-County Electric Cooperative, Inc. ("Tri-County")

On January 10, 2014, the Parties filed a Settlement Agreement and Offer of Settlement.

On January 14, 2014, Settlement Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. A settlement was filed on January 10, 2014. Initial Comments are due on January 30, 2014, with Reply Comments due on February 10, 2014.

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On January 30, 2014, the East Texas Cooperatives filed a Motion to Intervene Out-of-Time and Comments in response to the Settlement Agreement.

The Cooperatives stated:

- 1) SPP's commitment to sponsor tariff revisions is inappropriate in this context; and
- 2) FERC should not require SPP to implement the tariff revisions contained in the Settlement.

On January 30, 2014, Commission Trial Staff filed Comments Supporting Settlement Agreement.

EL13-35

Southwestern Public Service Company ("SPS") Complaint Requesting Establishment of a January 1, 2013 Refund Effective Date and a Finding from the Commission that SPP has Violated the Federal Power Act by Implementing a 40% Increase in the Tri-County Electric Cooperative, Inc. ("Tri-County") Annual Transmission Revenue Requirement

On January 10, 2014, the Parties filed a Settlement Agreement and Offer of Settlement.

On January 14, 2014, Settlement Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. A settlement was filed on January 10, 2014. Initial Comments are due on January 30, 2014, with Reply Comments due on February 10, 2014.

On January 30, 2014, Commission Trial Staff filed Comments Supporting Settlement Agreement.

On January 30, 2014, the East Texas Cooperatives filed a Motion to Intervene Out-of-Time and Comments in response to the Settlement Agreement.

The Cooperatives stated:

- 1) SPP's commitment to sponsor tariff revisions is inappropriate in this context; and
- 2) FERC should not require SPP to implement the tariff revisions contained in the Settlement.

EL13-84

Kansas Municipal Energy Agency's ("KMEA") Complaint Against Sunflower Electric Power Corporation ("Sunflower"), Mid-Kansas Electric Company, LLC ("Mid-Kansas"), and SPP to Correct Sunflower's Unauthorized Registration of KMEA's Members' Load and City-Owned Generation Resources in the SPP Integrated Marketplace

On January 14, 2014, the Kansas Municipal Energy Agency filed an Unopposed Motion to Hold Request for Rehearing in Abeyance. KMEA stated that the parties are working diligently toward finalizing a settlement document and asked that the request for rehearing be held in abeyance.

EL14-21

SPP Complaint for an Order Finding the Midcontinent Independent System Operator, Inc. ("MISO") is Violating the Joint Operating Agreement ("JOA") between SPP and MISO and the SPP Tariff and Requiring MISO to Compensate SPP for Use of SPP's Transmission System

On January 28, 2014, SPP filed a Complaint and Request for Fast Track Processing and Motion to Consolidate.

SPP submitted the Complaint for an order finding that the Midcontinent Independent System Operator, Inc. is violating the Joint Operating Agreement ("JOA") between SPP and MISO and SPP's Tariff, requiring MISO to compensate SPP for use of SPP's transmission system in accordance with the SPP Tariff. In the event the Commission does not so find, SPP alternatively requests that the Commission find 1) that the JOA is no longer just, reasonable,

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and not unduly discriminatory to the extent it does not provide a mechanism by which SPP may assess charges for MISO's use of the SPP transmission system to integrate the former Energy Operating Companies; and 2) that the compensation mechanism set forth herein is the just, reasonable, and not unduly discriminatory rate for MISO's use of the SPP transmission system.

SPP requested that Docket Nos. ER14-1174, EL11-34 and EL14-21 be consolidated.

On January 29, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On January 29, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On January 30, 2014, PJM Interconnection, L.L.C. and Wabash Valley Power Association filed Motions to Intervene.

On January 30, 2014, Missouri Public Service Commission filed a Motion to Intervene.

On January 31, 2014, American Municipal Power, Inc. and the Coalition of MISO Transmission Customers filed Motions to Intervene.

ER02-2001

Revised Public Utility Filing Requirements for Electric Quarterly Reports

On January 16, 2014, FERC issued a Supplemental Notice clarifying logistics for the January 22, 2014 Technical Conference.

ER07-1069

AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)

On January 22, 2014, Martha Peine filed a Formal Challenge to American Electric Power Service Corporation 2013 Formula Rate Annual Update to its Annual Transmission Revenue Requirement.

On January 27, 2014, FERC issued an Order of Discovery Master Concluding Discovery Procedures.

ER09-659

SPP Filing of an Executed Letter Agreement Regarding Inter-Regional Planning between SPP and Entergy Services, Inc. (Entergy Seams Agreement)

On January 31, 2014, in Docket No. ER14-1233, SPP submitted a Notice of Cancellation of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission."

SPP will also withdraw the compliance filings associated with this agreement in Docket Nos. ER12-1401 and ER14-1779.

An effective date of December 19, 2013 was requested.

ER10-355

American Electric Power Service Corporation ("AEP") Submission of a Formula Rate to Recover Costs of Investments in Transmission Facilities Owned by AEP Transmission Company, L.L.C. ("AEPTCo") Subsidiaries in PJM and in SPP RTO Regions

On January 27, 2014, FERC issued an Order of Discovery Master Concluding Discovery

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Procedures.

ER12-1179

SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace

On January 2, 2014, TDU Intervenors filed a reply in response to SPP's December 23, 2013 Answer. TDU Intervenors stated they are simply asking to make explicit what is already implicit in the Tariff, in the Commission's Order, and in SPP's Answer - that if the Market Monitor concludes that a mitigated offer submitted by a Market Participant is incorrect, the Market Monitor can reject the mitigated offer, pending dispute resolution, and direct the use of the last approved mitigated offer until the dispute has been resolved.

On January 7, 2014, the Commission Trial Staff filed Initial Comments in Support of Settlement filed on December 18, 2013 by SPP.

On January 10, 2014, FERC issued an order accepting the tariff revisions establishing the Virtual Energy Transaction Fee for virtual transactions in the Integrated Marketplace.

An effective date of March 1, 2014 was granted.

This order constitutes final agency action.

On January 21, 2014, SPP submitted its progress report on the launch of the Integrated Marketplace.

On January 22, 2014, SPP filed tariff revisions to incorporate for the Integrated Marketplace provisions required by SPP's Order No. 745 compliance proceeding.

An effective date of March 1, 2014 was requested.

On January 23, 2014, Settlement Judge Sterner filed a Certification of Uncontested Settlement.

On January 24, 2014, FERC issued an order approving SPP's October 30, 2013 Compliance Filing to revise the Offer of Settlement resolving the treatment of grandfathered agreements in SPP's Integrated Marketplace.

This order constitutes final agency action.

On January 29, 2014, FERC issued an Order on Compliance Filing, conditionally accepting SPP's November 2013 Compliance Filing, subject to an additional compliance filing.

An effective date of March 1, 2014 was granted.

SPP's compliance filing is due February 28, 2014.

ER12-1401

SPP Filing of an Executed Letter Agreement Regarding Inter-Regional Planning between SPP and Entergy Services, Inc. (Entergy Seams Agreement)

On January 31, 2014, SPP filed a Motion to Withdraw the March 30, 2012 Compliance Filing.

On January 31, 2014, in docket No. ER14-1233, SPP notified the Commission of the termination of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission originally filed in Docket

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No. ER09-659. The cancellation of the Agreement in ER09-659 rendered the March 30 Compliance Filing as moot.

ER12-1779

SPP Filing of an Executed Letter Agreement Regarding Inter-Regional Planning between SPP and Entergy Services, Inc. (Entergy Seams Agreement)

On January 31, 2014, SPP filed a Motion to Withdraw the May 14, 2012 Compliance Filing.

On January 31, 2014, in docket No. ER14-1233, SPP notified the Commission of the termination of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission originally filed in Docket No. ER09-659. The cancellation of the Agreement in ER09-659 rendered the May 14 Compliance Filing as moot.

ER12-2681

Joint Application of ITC Holdings Corp. ("ITC") and Entergy Corporation ("Entergy") for Authorization of Acquisition and Disposition of Jurisdictional Transmission Facilities, Approval of Transmission Service Formula Rate and Certain Jurisdictional Agreements, and Petition for Declaratory Order on Application of Section 305(a) of the Federal Power Act (Docket Nos. ER12-2681, EC12-145, and EL12-107)

On January 2, 2014, Settlement Judge Baten submitted the Fourth Settlement Status Report. Judge Baten recommends that the Commission grant the motion to withdraw filings and to terminate Docket No. ER12-2681-000, ER12-2681-002 and ER13-782-000. However, Judge Baten stated that Docket No. ER13-948-000 should remain docketed for further proceedings.

On January 7, 2014, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures for Certain Dockets. Settlement Judge procedures will continue in Docket No. ER13-948.

On January 7, 2014, Associated Electric Cooperative, Inc. filed a Motion to Lodge its Request for Rehearing from Docket No. ER12-2681-001 into Docket No. ER13-948-000.

ER12-2682

Midwest Independent Transmission System Operator, Inc. ("MISO") Submission of Tariff Revisions Including Proposed Module B-1 to the Open Access Transmission, Energy and Operating Reserve Markets Tariff

On January 7, 2014, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures for Certain Dockets. Settlement Judge procedures will continue in Docket No. ER13-948.

ER13-62

NorthWestern Corporation's Order No. 1000 Regional Compliance Filing

On January 14, 2014, NorthWestern Corporation submitted an informational filing regarding the status of Western Area Power Administration's analysis of regional and subregional operations alternatives, including potentially joining a regional transmission organization.

ER13-948

Entergy Services, Inc. ("ESI") and the Midwest Independent Transmission System Operator, Inc. ("MISO") Filing of Six Attachment O Templates to the MISO Open Access Transmission, Energy and Operating Reserve Markets Tariff to Establish Formula Rates for the Entergy Operating Companies' Recovery of Transmission Revenue Requirements

On January 2, 2014, Settlement Judge Baten submitted the Fourth Settlement Status Report.

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Judge Baten recommends that the Commission grant the motion to withdraw filings and to terminate Docket No. ER12-2681-000, ER12-2681-002 and ER13-782-000. However, Judge Baten stated that Docket No. ER13-948-000 should remain docketed for further proceedings.

On January 3, 2014, Associated Electric Cooperative, Inc. filed a Motion to Intervene Out-of-Time.

On January 7, 2014, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures for Certain Dockets. Settlement Judge procedures will continue in Docket No. ER13-948.

On January 7, 2014, the Joint Customers filed a Motion to Consolidate and Protest.

The Joint Customers requested that Docket Nos. ER13-948 and ER14-649 be consolidated.

On January 7, 2014, Associated Electric Cooperative, Inc. filed a Motion to Lodge its Request for Rehearing from Docket No. ER12-2681-001 into Docket No. ER13-948-000.

On January 22, 2014, Entergy Services, Inc. filed an answer in response to the Motion to Consolidate and Protest filed by the Joint Customers on January 7, 2014. Entergy stated the Commission should reject the relief requested by the Joint Customers.

On January 22, 2014, South Mississippi Electric Power Association, et al. filed a Motion to Lodge as part of the record in this proceeding the Commission "Order Conditionally Accepting Amendments, As Modified, to the Entergy System Agreement and Establishing Hearing and Settlement Judge Procedures" issued in Docket No. ER13-432-000 on December 18, 2013.

On January 22, 2014, Settlement Judge Baten submitted the Settlement Status Report. The next settlement conference is scheduled for March 12, 2014.

ER13-1173

SPP Submission of Tariff Revisions to Modify Certain Aspects of the SPP Integrated Marketplace

On January 29, 2014, FERC issued an Order on Compliance Filing, conditionally accepting SPP's November 2013 Compliance Filing, subject to an additional compliance filing.

An effective date of March 1, 2014 was granted.

SPP's compliance filing is due February 28, 2014.

ER13-1914

SPP Submission of Tariff Revisions to Clarify the Determination of Credits and Distribution of Credit Revenue for Creditable Upgrades

On January 6, 2014, SPP submitted its compliance filing in response to the December 6, 2013 Order.

An effective date of September 8, 2013 was requested.

ER13-2078

Submission of Tariff Revisions to Address the Treatment of Grandfathered Agreements ("GFAs") "Carved-Out" from the SPP Integrated Marketplace

On January 24, 2014, FERC issued an order accepting SPP's October 30, 2013 Compliance Filing to revise the Tariff regarding the carve out of grandfathered agreements in the Integrated Marketplace.

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An effective date of March 1, 2014 was granted.

This order constitutes final agency action.

ER14-67

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner

On January 13, 2014, Settlement Judge Dowd issued a Status Report. An additional settlement conference is set for February 4, 2014.

On January 15, 2014, FERC issued an Order of Chief Judge Continuing Settlement Judge Procedures.

ER14-114

Midcontinent Independent System Operator, Inc. ("MISO") Filing of a Coordination Agreement By and Between Midcontinent Independent System Operator, Inc. and Entergy Arkansas, Inc.

On January 2, 2014, the Midcontinent Independent System Operator, Inc. filed a reply in response to the Response in Opposition to Motion to Withdraw Filings and comments in support. MISO stated that the Service Agreement Section 3.1 arguments are unsupported.

On January 2, 2014, Entergy Arkansas, Inc. filed an answer in response to the Response in Opposition to Motion to Withdraw Filings and comments in support. EAI stated that the Ameren Boot Heel load is appropriately included in the EAI Transmission Pricing Zone.

On January 14, 2014, Ameren Services Company filed an answer in response to answers filed by the Midcontinent Independent System Operator, Inc. and Entergy Arkansas, Inc. on January 2, 2014.

Ameren stated:

- 1) the Commission should rule on the pricing zone issue before it grants the Motion to Withdraw; and
- 2) the Commission should rule that the Ameren Boot Heel Load is subject to AMMO Transmission Pricing Zone rates.

ER14-344

Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and The Board of Public Utilities, Springfield, Missouri ("City Utilities") as Network Customer and Host Transmission Owner

On January 6, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective October 1, 2013 as requested.

This order constitutes final agency action.

ER14-391

Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On January 6, 2014, FERC issued a letter order accepting the agreement, effective November 1, 2013 as requested.

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This order constitutes final agency action.

ER14-399

Generator Interconnection Agreement ("GIA") between Steele Flats Wind Project, LLC ("Steele Flats") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On January 10, 2014, FERC issued a letter order accepting the agreement, effective October 23, 2013 as requested.

This order constitutes final agency action.

ER14-401

Interconnection Agreement between Oklahoma Gas & Electric Company ("OG&E") and Southwestern Public Service Company ("SPS"), with SPP as Signatory

On January 13, 2014, FERC issued a letter order accepting the agreement, effective January 14, 2014.

This order constitutes final agency action.

ER14-404

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On January 10, 2014, FERC issued a letter order accepting the agreement, effective November 1, 2013 as requested.

This order constitutes final agency action.

ER14-416

Submission of Tariff Revisions to Modify SPP Integrated Marketplace

On January 29, 2014, FERC issued an order accepting tariff revisions to modify, clarify, and supplemental certain aspects of the SPP Integrated Marketplace, subject to a compliance filing to remove the word "the" between "self-committed" and "following the close of the Day-Ahead RUC" in section 8.6.7.A(2)(f) of Attachment AE.

An effective date of March 1, 2014 was granted.

SPP's compliance filing is due February 28, 2014.

ER14-418

Submission of Tariff Revisions to Revise the Stated Rate to Accommodate the Recovery of Revenue Requirements for SPP Member City of Coffeyville

On January 13, 2014, FERC issued an order accepting the tariff revisions to revise the stated rate for Coffeyville Municipal Light and Power.

Effective dates of July 1, 2013 and September 30, 2013 were granted.

This order constitutes final agency action.

ER14-438

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On January 14, 2014, FERC issued a letter order accepting the agreement, effective November

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1, 2013 as requested.

This order constitutes final agency action.

ER14-448

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners

On January 14, 2014, FERC issued a letter order accepting the agreement, effective November 1, 2013 as requested.

This order constitutes final agency action.

ER14-450

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On January 14, 2014, FERC issued a letter order accepting the agreement, effective November 1, 2013 as requested.

This order constitutes final agency action.

ER14-521

Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 1

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-522

Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 2

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-523

Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 3

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

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ER14-524 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 4

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-526 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 5

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-528 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 6

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-529 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 7

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-530 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 8

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-531 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 9

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

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On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-532

Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 10

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-591

Generator Interconnection Agreement ("GIA") between Lincoln Electric System ("LES") as Interconnection Customer and Transmission Owner

On January 20, 2014, SPP submitted an answer in response to the Motion to Intervene and Protest filed by Lincoln Electric System on December 31, 2013.

SPP stated that LES' reliance on the proforma Small Generator Interconnection Agreement is misplaced as SPP's Generator Interconnection Agreement is based on the proforma Large Generator Interconnection Agreement.

In addition, SPP stated that in an Interconnection Customer makes an Interconnection Request in which LES would be the Transmission Owner, LES would also be subject to the same requirements for excess liability insurance in Article 18.3.4 of the proforma GIA as a Transmission Owner.

On January 28, 2014, Lincoln Electric System filed an answer in response to SPP's January 20, 2014 Answer.

LES stated that SPP wrongfully claims that the requirements in 18.3.4 are not "excessive" as applied to LES. LES also stated that SPP misconstrues LES' reference to the Commission's pro forma Small Generator Interconnection Agreement.

ER14-596

Compliance Filing to Implement a Distribution Mechanism for Unreserved Penalty Revenues

On January 2, 2014, the Municipal Energy Agency of Nebraska filed a Motion to Intervene.

On January 14, 2014, FERC issued an order accepting the revisions to Section 13.7 of the Tariff to incorporate a mechanism to distribute penalty revenues collected by SPP for unreserved use of firm transmission service.

An effective date of December 11, 2013 was granted.

This order constitutes final agency action.

ER14-617

Generator Interconnection Agreement ("GIA") between Tulsa LFG, LLC ("Tulsa LFG") as Interconnection Customer and Public Service Company of Oklahoma ("PSO") as Transmission Owner

On January 13, 2014, American Electric Power Service Corporation filed a Motion to Intervene

Out-of-Time.

ER14-662

Affected Systems' Facilities Construction Agreement between SPP as Transmission Provider, Abengoa Bioenergy Biomass of Kansas, LLC ("Abengoa") as Interconnection Customer, and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner

On January 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-684

Midcontinent Independent System Operator, Inc. ("MISO") Filing of a Network Integration Transmission Service Agreement ("NITSA") with Arkansas Electric Cooperative Corporation ("AECC")

On January 2, 2014, South Mississippi Electric Power Association filed a Motion to Intervene.

On January 8, 2014, Arkansas Electric Cooperative Corporation filed a Motion to Intervene.

On January 8, 2014, the MISO Transmission Owners filed a Motion to Intervene.

On January 8, 2014, Entergy Arkansas, Inc. filed a Motion to Intervene and Comments. EAI stated it does not oppose the NITSA provided that EAI's understanding of the agreement is correct and requested that MISO confirm several things regarding the agreement.

On January 8, 2014, SPP filed a doc-less Motion to Intervene.

On January 23, 2014, SPP filed a Motion to Accept Comments Out of Time and Comments.

SPP stated:

- 1) the December 18 Filing does not accurately represent AECC's network service arrangements with SPP;
- 2) the proposed NITSA requires clarification regarding the scope of the pseudo-tie arrangements out of SPP and impacts on market operations.

On January 23, 2014, Arkansas Electric Cooperative Corporation filed an answer in response to Entergy Arkansas, Inc.'s Motion to Intervene and Comments.

AECC stated it does not oppose EAI's intervention and provided responses to EAI's comments.

ER14-781

Submission of Tariff Revisions to Modify the Generator Interconnection Procedures

On January 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On January 7, 2014, NextEra Energy Resources, LLC filed a Motion to Intervene.

On January 7, 2014, FERC issued a Notice of Extension of Time extending the comment deadline to January 17, 2014.

On January 7, 2014, E.ON Climate & Renewables North America, LLC filed a Motion to Intervene.

On January 10, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

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On January 10, 2014, Xcel Energy Services Inc. filed a Motion to Intervene.

On January 17, 2014, E.ON Climate & Renewables North America LLC filed Comments.

E.ON stated:

- 1) SPP's proposed revisions will not result in an increased use of the Feasibility and PISIS queues;
- 2) the cure period during the DISIS review period should be clarified;
- 3) the process for interconnection queue position priority needs to be clarified;
- 4) the SPP Generator Interconnection Procedures should be revised to provide that, if there are common impacts from two or more interconnection customers moving into the Interconnection Facilities Queue, an interconnection customer's deposit will be refundable if, based on the subsequent DISIS results, it chooses not to proceed to the Interconnection Facilities Queue;
- 5) the interconnection customer must be provided interest for the time value of money for any portion of the initial payment that is not expended on a timely basis;
- 6) a lower-queued project should be provided an opportunity for Limited Operation service in not being utilized by a higher-queued project;
- 7) if unused interconnection capacity is released, the interconnection customer that paid for Network Upgrades creating that capacity should be compensated by customers that use the released interconnection capacity;
- 8) SPP's GIP needs to more specifically account for phased projects;
- 9) SPP's proposed GIP revisions are incomplete: Transmission Provider accountability requirements are needed if the reformation goals SPP seek are to be successful; SPP should provide a 20% refund for each 30 day period SPP delays in providing study results beyond the dates in its GIP.

On January 17, 2014, Acciona Wind Energy USA LLC filed a Motion to Intervene and Protest.

Acciona stated:

- 1) projects with effective interconnection agreements must be able to rely on suspension provisions existing at the time the agreements were reached; and
- 2) projects in Commercial Operation should not be required to enter a revised interconnection agreement clawing back a portion of interconnection service that they have been allocated and potentially paid for by way of Network Upgrades.

On January 17, 2014, Flat Ridge 2 Wind Energy LLC filed a Motion to Intervene and Protest.

Flat Ridge stated that certain aspects of SPP's proposal as it relates to Interconnection Customers with existing Generator Interconnection Agreements are unreasonably vague and could lead to significant negative consequences for such Interconnection Customers, while providing little or no corresponding benefit to the SPP interconnection process. Flat Ridge further stated that SPP should at a minimum be required to modify its proposed Generator Interconnection Procedures and Generator Interconnection Agreement in order to provide additional clarity for existing Interconnection Customers.

On January 17, 2014, the American Wind Energy Association and the Wind Coalition filed a Motion to Intervene and Protest.

The parties stated:

- 1) SPP's proposal is incomplete and more reforms are needed in order to address interconnection problems in a way that will ensure open access as well as just and reasonable rates;

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- 2) SPP must provide additional clarification regarding interconnection customers who stay in the DISIS for more than one cycle;
- 3) requiring interconnection customers to pay for acceleration of previously identified regional Network Upgrades under Limited Operation Generator Interconnection Agreement (i.e., priority projects, Integrated Transmission Planning, etc.) is not just and reasonable;
- 4) SPP should be required to allow parties to interconnect their projects prior to the completion of upgrades that allow full deliverability, provided these projects are willing to accept deliverability limits/operating limits until those upgrades are on-line;
- 5) SPP's proposal that parties be allowed to jump a GIA in order to use existing transmission capacity adds unnecessary uncertainty to the interconnection process and must at a minimum be clarified in the Tariff;
- 6) SPP must clarify that value of DISIS results for facilities study;
- 7) SPP must provide clarification regarding Hendrix testimony when two or more DISIS customers move forward into the Facilities study;
- 8) SPP should be required to offer three DISIS cycles each year;
- 9) Transmission Owners must participate to avoid delays for interconnection customers;
- 10) SPP must resolve issues with the network refunds owed to interconnection customers as required by Attachments Z1 and Z2 of the Tariff prior to an effective date for these proposed interconnection reforms;
- 11) SPP must clarify how payment for Network Upgrades will be treated if a GIA is terminated or partially terminated prior to an interconnection customer's installation of the full amount of MW in their GIA; and
- 12) SPP should adopt a de minimis threshold for allowing increases in capacity.

On January 17, 2014, Invenergy Wind Development LLC and Invenergy Thermal Development LLC filed a Motion to Intervene and Protest.

Invenergy stated:

- 1) the Interconnection Study Milestones are not just and reasonable;
- 2) a requirement for potentially non refundable deposits upon Generator Interconnection Agreement execution is not just and reasonable;
- 3) SPP's proposal for customers to forfeit milestone payments is not just and reasonable;
- 4) the Commission should not allow SPP to eliminate non-financial milestones; and
- 5) SPP's proposal to allow generators to jump queue positions is unreasonable.

Invenergy also made suggestions of additional measures it believes will improve SPP's queue processing.

On January 17, 2014, NextEra Energy Resources, LLC filed a Protest.

NextEra stated:

- 1) an Interconnection Customer should not be involuntarily deprived of Network Upgrades that it has funded;
- 2) if SPP terminates capacity under an Interconnection Agreement, the Interconnection Customers must be immediately reimbursed for its Network Upgrade expenditures;
- 3) SPP has not justified why some Interconnection Customers would be forced to fund previous Network Upgrades while others could choose to do so and has not adequately explained cost implications of accelerating a Network Upgrade; and
- 4) SPP does not explain how its implementation of the Integrated Marketplace will affect the Generator Interconnection Process.

On January 31, 2014, Westar Energy, Inc. filed a Motion to Intervene Out-of-Time.

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- ER14-791** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners**
- On January 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- ER14-806** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") as Host Transmission Owner**
- On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- ER14-807** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners**
- On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- ER14-812** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner**
- On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- ER14-813** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner**
- On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- ER14-814** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner**
- On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- ER14-815** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner**
- On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

Regulatory Status Report

ER14-844

Order No. 676-G Compliance Filing

On January 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-859

MISO Filing of Revisions to the Joint Operating Agreement ("JOA") Between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP to Include Terms for Emergency Energy Assistance

On January 6, 2014, Exelon Corporation filed a Motion to Intervene.

On January 17, 2014, SPP filed a Motion to Intervene.

On January 17, 2014, Wisconsin Electric Power Company filed a Motion to Intervene.

ER14-862

Midcontinent Independent System Operator, Inc.'s ("MISO") Filing of Revisions to the Joint Operating Agreement ("JOA") between MISO and PJM Interconnection, L.L.C. to Provide for Coordinated Planning Associated with Requests for Incremental Auction Revenue Rights ("ARRs")

On January 6, 2014, Edison Mission Energy filed a Motion to Intervene.

On January 10, 2014, Consumers Energy Company and Exelon Corporation filed Motions to Intervene.

On January 13, 2014, Illinois Commerce Commission filed a Notice Intervention.

On January 13, 2014, NRG Companies filed a Motion to Intervene.

On January 16, 2014, SPP filed a Motion to Intervene.

On January 17, 2014, Wisconsin Electric Power Company filed a Motion to Intervene.

On January 17, 2014, the Northern Indiana Public Service Company filed a Motion to Intervene and Comments.

NIPSC stated:

- 1) broader assessment of potential impacts is necessary; and
- 2) the RTOs should undertake a more proactive approach to studies using a common model.

On January 17, 2014, Exelon Corporation filed Comments.

Exelon suggested several revisions to the Joint Operating Agreement.

ER14-863

SPP Filing of Revisions to the Joint Operating Agreement ("JOA") Between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP to Include Terms for Emergency Energy Assistance

On January 9, 2014, Exelon Corporation filed a Motion to Intervene.

On January 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-866

Order No. 784 Compliance Filing

On January 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric

Regulatory Status Report

Company, LLC filed a Motion to Intervene.

ER14-962

Interim Generator Interconnection Agreement ("Interim GIA") between Steele Flats Wind Project, LLC ("Steele Flats") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On January 8, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Steele Flats Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. 2642.

An effective date of December 13, 2013 was requested.

ER14-1002

Submission of Revisions to Bylaws to Clarify the Voting Process for Corporate Governance Committee Vacancies and to Update the Definition of the Term "Net Energy for Load"

On January 15, 2014, SPP submitted revisions to its Bylaws intended to clarify the voting process for Corporate Governance Committee vacancies and to update the definition of the term "Net Energy for Load", which is applied solely in the calculation of a Member's share of SPP's financial obligations upon withdrawal or termination from SPP.

An effective date of March 16, 2016 was requested.

On January 30, 2014, Sunflower Electric Power Corporation filed a Motion to Intervene.

ER14-1058

Generator Interconnection Agreement ("GIA") between Waverly Wind Farm, LLC ("Waverly Wind") as Interconnection Customer and Westar Energy, Inc. ("Westar") as Transmission Owner and Notice of Cancellation on Unexecuted GIA

On January 17, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Waverly Wind Farm, LLC as Interconnection Customer, and Westar Energy, Inc. as Transmission Owner. SPP Service Agreement No. 2652.

SPP also submitted a Notice of Cancellation of the unexecuted GIA between SPP, Waverly Wind and Westar originally filed in Docket No. ER13-469. SPP Service Agreement No. 2501.

An effective date of December 30, 2013 was requested.

ER14-1101

Transmission Interconnection Agreement between Oklahoma Gas and Electric Company ("OG&E") and Prairie Wind Transmission, LLC ("Prairie Wind"), with SPP as Signatory

On January 21, 2014, SPP submitted an executed Transmission Interconnection Agreement between Oklahoma Gas and Electric Company and Prairie Wind Transmission, LLC, with SPP as a signatory. SPP Service Agreement No. 2638.

SPP requested an effective date of the date of the Commission's order accepting the agreement.

ER14-1103

Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On January 21, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Westar Energy, Inc. as the

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Meter Agent. SPP Service Agreement No. Fifth Revised 2548.

An effective date of January 1, 2014 was requested.

ER14-1104

Meter Agent Services Agreement between City of Chanute ("Chanute") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On January 21, 2014, SPP submitted an executed Meter Agent Services Agreement between City of Chanute as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. First Revised 2564.

An effective date of January 1, 2014 was requested.

ER14-1105

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On January 21, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Seventeenth Revised 1374.

An effective date of January 1, 2014 was requested.

ER14-1126

Request for Recovery of Charges in Accordance with Attachment AP (Allocation of Costs Associated with Reliability Penalty Assessments)

On January 22, 2014, SPP filed a request, in accordance with Attachment AP of the Tariff, for Commission approval to allow SPP to recover the costs associated with a penalty assessed against SPP resulting from Commission approval of a Stipulation and Consent Agreement between SPP, staff of the North American Electric Reliability Corporation and the Commission's Office of Enforcement.

An effective date of July 10, 2013 was requested.

On January 24, 2014, ITC Great Plains, LLC filed a Motion to Intervene.

ER14-1163

Market Participant Agreement between SPP as Transmission Provider and AEP Energy Partners, Inc. ("AEP Energy Partners") as Customer

On January 28, 2014, SPP submitted an executed Market Participant Agreement between SPP as Transmission Provider and AEP Energy Partners, Inc. as Customer. SPP Service Agreement No. 2660.

An effective date of March 1, 2014 was requested.

ER14-1164

Market Participant Agreement between SPP as Transmission Provider and American Electric Power Service Corporation as Customer

On January 28, 2014, SPP submitted an executed Market Participant Agreement between SPP as Transmission Provider and American Electric Power Service Corporation, as agent for Public Service Company of Oklahoma and Southwestern Electric Power Company as Customer. SPP Service Agreement No. 2661.

An effective date of March 1, 2014 was requested.

Regulatory Status Report

ER14-1165 **Market Participant Agreement between SPP as Transmission Provider and Oklahoma Gas and Electric Company ("OG&E") as Customer**

On January 18, 2014, SPP submitted an executed Market Participant Agreement between SPP as Transmission Provider and Oklahoma Gas and Electric Company as Customer. SPP Service Agreement No. 2742.

An effective date of March 1, 2014 was requested.

ER14-1166 **Market Participant Agreement between SPP as Transmission Provider and Southwestern Public Service Company ("SPS") as Customer**

On January 28, 2014, SPP submitted an executed Market Participant Agreement between SPP as Transmission Provider and Southwestern Public Service Company, in its capacity as the Market Participant to be registered under the name Southwestern Public Service Co. PSE, as Customer. SPP Service Agreement No. 2757.

An effective date of March 1, 2014 was requested.

ER14-1168 **Market Participant Agreement between SPP as Transmission Provider and Westar Energy, Inc. ("Westar") as Customer**

On January 18, 2014, SPP submitted an executed Market Participant Agreement between SPP as Transmission Provider and Westar Energy, Inc. as Customer. SPP Service Agreement No. 2778.

An effective date of March 1, 2014 was requested.

ER14-1170 **Network Integration Transmission Service Agreement ("NITSA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")**

On January 28, 2014, SPP filed an executed NITSA between SPP as Transmission Provider and Arkansas Electric Cooperative Corporation as Network Customer, as well as a NOA with American Electric Power Service Corporation, as agent for Southwestern Electric Power Company, as Host Transmission Owner. SPP Service Agreement No. Fifth Revised 1518.

An effective date of January 1, 2014 was requested.

ER14-1171 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**

On January 28, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Fourteenth Revised 2198.

An effective date of January 1, 2014 was requested

On January 30, 2014, Kansas Power Pool filed a Motion to Intervene.

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ER14-1174

Unexecuted Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Midcontinent Independent System Operator, Inc. ("MISO") as Transmission Customer

On January 28, 2014, SPP submitted an unexecuted service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Midcontinent Independent System Operator, Inc. as Transmission Customer. SPP Service Agreement No. 2794.

The purpose of this agreement is to assess charges for MISO's use of SPP's Transmission System as a result of MISO's real-time energy transfers between the MISO Midwest and MISO South regions.

An effective date of January 29, 2014 was requested.

On January 28, 2014, SPP filed a Complaint and Request for Fast Track Processing and Motion to Consolidate.

SPP submitted the Complaint for an order finding that the Midcontinent Independent System Operator, Inc. is violating the Joint Operating Agreement ("JOA") between SPP and MISO and SPP's Tariff, requiring MISO to compensate SPP for use of SPP's transmission system in accordance with the SPP Tariff. In the event the Commission does not so find, SPP alternatively requests that the Commission find 1) that the JOA is no longer just, reasonable, and not unduly discriminatory to the extent it does not provide a mechanism by which SPP may assess charges for MISO's use of the SPP transmission system to integrate the former Energy Operating Companies; and 2) that the compensation mechanism set forth herein is the just, reasonable, and not unduly discriminatory rate for MISO's use of the SPP transmission system.

SPP requested that Docket Nos. ER14-1174, EL11-34 and EL14-21 be consolidated.

On January 29, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On January 29, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On January 30, 2014, PJM Interconnection, L.L.C. and Wabash Valley Power Association filed Motions to Intervene.

On January 31, 2014, Westar Energy, Inc. filed a Motion to Intervene.

ER14-1175

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner

On January 28, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Sunflower Electric Power Corporation as Host Transmission Owner. SPP Service Agreement No. 2646.

An effective date of January 1, 2014 was requested.

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- ER14-1176** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On January 28, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2655.
- An effective date of January 1, 2014 was requested.
- ER14-1177** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and KMEA and Westar Energy, Inc. ("Westar") as Host Transmission Owners**
- On January 28, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with KMEA and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. 2656.
- An effective date of January 1, 2014 was requested.
- ER14-1178** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On January 1, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2657.
- An effective date of January 1, 2014 was requested.
- ER14-1190** **Notice of Cancellation of Tariff Sheets to Remove Formula Rate Template and Revisions to Attachments H and T to Reflect a Stated Rate for Kansas Power Pool ("KPP")**
- On January 29, 2014, SPP submitted tariff revisions to remove the formula rate template for Kansas Power Pool and to reflect a stated rate for KPP.
- An effective date of December 20, 2011 was requested.
- On January 31, 2014, Kansas Power Pool filed a Motion to Intervene.
- On January 31, 2014, Westar Energy, Inc. filed a Motion to Intervene.
- ER14-1204** **Tariff Revisions to Permit Market Participation by the Western Area Power Administration ("Western")**
- On January 29, 2014, SPP submitted tariff revisions necessary to permit the Western Area Power Administration to participate in SPP's Integrated Marketplace.
- SPP requested that the Commission issue an order on this filing no later than March 15, 2014,

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so as to permit Western notice of the Commission's determination in advance of its intended April 1, 2014 participation.

An effective date of March 30, 2014 was requested.

On January 31, 2014, Westar Energy, Inc. filed a Motion to Intervene.

ER14-1209

Ministerial Filing to Correct Attachments H and T

On January 30, 2014, SPP submitted tariff revisions to make ministerial changes to Attachments H and T in order to correct administrative oversights from previous filings and to maintain consistency with the tariff revisions submitted in Docket No. ER14-1190-000, which incorporate a stated rate for Kansas Power Pool.

Multiple effective dates were requested.

ER14-1217

Submission of Changes to Southwestern Power Administration Pricing Zone Rates

On January 30, 2014, SPP submitted tariff revisions to update the rates for transmission service for the Southwestern Power Administration pricing zone under the Tariff.

An effective date of October 1, 2013 was requested. SPP also requested an effective date of January 1, 2014 for ministerial changes in order to include the rate change in the current Tariff.

ER14-1225

Submission of Rate for Lea County Electric Cooperative, Inc.

On January 30, 2014, SPP submitted tariff revisions to implement a stated rate to accommodate the recovery of revenue requirements for SPP member Lea County Electric Cooperative, Inc. to be included in the Southwestern Public Service Company Zone 11 pricing zone under the Tariff. Lea County is a transmission owning member of SPP and has transferred functional control of only certain transmission facilities to SPP for purposes of rate recovery under the Tariff.

An effective date of April 1, 2014 was requested.

On January 31, 2014, SPP filed an errata to assign another eTariff version number to the Attachment H filed in the January 30, 2014 Filing.

ER14-1228

Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent

On January 31, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Sunflower Electric Power Corporation as the Meter Agent. SPP Service Agreement No. 2796.

An effective date of January 1, 2014 was requested.

ER14-1233

Submission of Notice of Cancellation of "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission"

Regulatory Status Report

On January 31, 2014, in Docket No. ER14-1233, SPP submitted a Notice of Cancellation of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission."

SPP will also withdraw the compliance filings associated with this agreement in Docket Nos. ER12-1401 and ER14-1779.

An effective date of December 19, 2013 was requested.

ER14-1234

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners

On January 31, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Westar Energy, Inc. as Network Customer, as well as a two NOAs with Oklahoma Gas and Electric Company and Westar as Host Transmission Owners. SPP Service Agreement No. Twenty-First Revised 607.

An effective date of January 1, 2014 was requested.

ER14-1240

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Kansas City Power & Light Company ("KCPL") as Network Customer and Host Transmission Owner

On January 31, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Kansas City Power & Light Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Sixth Revised 1276.

An effective date of January 1, 2014 was requested.

ER14-1241

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners

On January 31, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer, as well as a NOA with Sunflower, Midwest Energy, Inc., ITC Great Plains, LLC, and Mid-Kansas Electric Company, LLC as Host Transmission Owners. SPP Service Agreement No. Sixth Revised 2028.

An effective date of January 1, 2014 was requested.

ES14-12

Application of Southwest Power Pool, Inc. Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities

On January 17, 2014, SPP filed a supplement to its November 21, 2013 Application.

On January 29, 2014, FERC issued an order authorizing SPP to issue long-term debt in the form of secured or unsecured promissory notes in one or more series, in an aggregate amount not to exceed \$70 million outstanding at any one time.

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This authorization is effective January 29, 2014, and terminates on January 29, 2016. SPP must file a Report of Securities Issued no later than 30 days after debt is issued.

RM01-8

Public Utility Filing Requirements for Electric Quarterly Reports ("EQR")

On January 16, 2014, FERC issued a Supplemental Notice clarifying logistics for the January 22, 2014 Technical Conference.

RM10-12

Electricity Market Transparency Provisions of Section 220 of the Federal Power Act (Order No. 768)

On January 16, 2014, FERC issued a Supplemental Notice clarifying logistics for the January 22, 2014 Technical Conference.

RM12-3

Revisions to Electric Quarterly Report ("EQR") Filing Process (Order No. 770)

On January 16, 2014, FERC issued a Supplemental Notice clarifying logistics for the January 22, 2014 Technical Conference.

RM13-2

Small Generator Interconnection Agreements and Procedures

On January 15, 2014, FERC issued an errata notice to Order No. 792 correcting references to Small Generator Interconnection Procedures (SGIP) section numbers in the order and the proforma SGIP, and to make other typographical corrections.

RM13-5

Version 5 Critical Infrastructure Protection Reliability Standards

On January 22, 2014, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 791 issued on November 22, 2013.

RM13-11

Frequency Response and Frequency Bias Setting Reliability Standard

On January 16, 2014, FERC issued Order No. 794, Final Rule approving Reliability Standard BAL-003-1 (Frequency Response and Frequency Bias Setting). Reliability Standard BAL-003-1 defines the amount of frequency response needed from balancing authorities to maintain Interconnection frequency within predefined bounds and includes requirements for the measurement and provision of frequency response. In addition, the Commission directed NERC to submit certain reports to address concerns discussed in the Final Rule.

This rule becomes effective on March 24, 2014.

RM13-12

Monitoring System Conditions - Transmission Operations Reliability Standard

On January 6, 2014, the ISO/RTO Council filed comments in support of NERC's Motion to Defer Action.

On January 6, 2014, the National Rural Electric Cooperative Association filed comments in support of NERC's Motion to Defer Action.

On January 14, 2014, FERC issued an Order on Motion to Defer Action on Notice of Proposed Rulemaking. FERC granted NERC's motion to defer action until January 31, 2015.

RM13-14

Transmission Operations Reliability Standards

On January 6, 2014, the National Rural Electric Cooperative Association filed comments in

Regulatory Status Report

support of NERC's Motion to Defer Action.

On January 6, 2014, the ISO/RTO Council filed comments in support of NERC's Motion to Defer Action.

On January 14, 2014, FERC issued an Order on Motion to Defer Action on Notice of Proposed Rulemaking. FERC granted NERC's motion to defer action until January 31, 2015.

RM13-15

Interconnection Reliability Operations and Coordination Reliability Standards

On January 6, 2014, the ISO/RTO Council filed comments in support of NERC's Motion to Defer Action.

On January 6, 2014, the National Rural Electric Cooperative Association filed comments in support of NERC's Motion to Defer Action.

On January 14, 2014, FERC issued an Order on Motion to Defer Action on Notice of Proposed Rulemaking. FERC granted NERC's motion to defer action until January 31, 2015.

RM13-17

Communication of Operational Information Between Natural Gas Pipelines and Electric Transmission Operators

On January 13, 2014, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 787 issued on November 15, 2013.

RM14-1

Reliability Standard for Geomagnetic Disturbance Operations

On January 16, 2014, FERC issued a Notice of Proposed Rulemaking proposing to approve Reliability Standard EOP-010-1 (Geomagnetic Disturbance Operations). NERC submitted the proposed Reliability Standard for Commission approval in response to a Commission directive in Order No. 779. Proposed Reliability Standard EOP-010-1 is designed to mitigate the effects of geomagnetic disturbances on the Bulk-Power System by requiring responsible entities to implement Operating Plans and Operating Procedures or Processes.

Comments are due on March 24, 2014.

RR06-1

North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization ("ERO")

On January 29, 2014, NERC submitted its Analysis of NERC Standards Process Results for the Fourth Quarter 2013.

RR09-7

NERC Three-Year Electric Reliability Organization Performance Assessment Report

On January 29, 2014, NERC submitted its Analysis of NERC Standards Process Results for the Fourth Quarter 2013.

RR14-1

North American Electric Reliability Corporation ("NERC") Petition for Approval of Proposed Revisions to the Southwest Power Pool Regional Entity ("SPP RE") Standards Development Process Manual

On January 31, 2014, FERC issued an order accepting the revised SPP Regional Entity Standards Development Process Manual submitted by NERC.

Regulatory Status Report

FERC or State Jurisdiction: State of Arkansas

- 10-011-U** **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**
- On January 2, 2014, Entergy Arkansas, Inc. and SPP filed an Update Regarding Seams Agreement. The Seams Agreement is terminated due to EAI joining the Midcontinent Independent System Operator, Inc.
- On January 2, 2014, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.
- 12-008-U** **In the Matter of Southwestern Electric Power Company's Petition for a Declaratory Order Finding that Installation of Environmental Controls at the Flint Creek Power Plant is in the Public Interest**
- On January 10, 2014, Clark Cotten filed Compliance Testimony on behalf of the APSC General Staff.
- On January 21, 2014, Southwestern Electric Power Company filed a Report Pursuant to Order No. 14 stating that it has commenced construction of the environmental controls on January 20, 2014.
- 13-041-U** **In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas**
- On January 17, 2014, the APSC issued Order No. 32, granting SWEPCO a CECPN to construct and operate (1) a new 345 kV transmission line, from the Shipe Road station to the proposed Kings River Station; and (2) a new 345 kV station on SWEPCO owned property located northwest of Berryville, Carroll County, Arkansas.
- On January 21, 2014, the APSC issued Order No. 33, an Errata Order correcting the first full paragraph on page 103 and paragraph (f) on page 115 of Order No. 32.
- 13-119-U** **In the Matter of the Application of Southwest Power Pool, Inc. for Authority to Issue up to \$70,000,000 in Secured or Unsecured Promissory Notes**
- On January 29, 2014, SPP filed a Notice of FERC Letter Order.
- 14-006-U** **In the Matter of Arkansas Electric Cooperative Corporation's ("AECC") Application for Approval to Join the Midcontinent Independent System Operator, Inc. ("MISO") Regional Transmission Organization as a Transmission-Owning Member**
- On January 15, 2014, Arkansas Electric Cooperative Corporation filed an Application for Approval to Join the Midcontinent Independent System Operator, Inc. Regional Transmission Organization as a Transmission-Owning Member.
- On January 15, 2014, Ricky Bittle filed Direct Testimony in support of the Application on behalf of Arkansas Electric Cooperative Corporation.

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FERC or State Jurisdiction: State of LA - New Orleans

UD-11-01

Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements

On January 2, 2014, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

FERC or State Jurisdiction: State of Louisiana

U-32538 **Joint Application of Entergy Louisiana, LLC, Entergy Gulf States Louisiana, L.L.C., Mid South TransCo LLC, Transmission Company Louisiana I, LLC, Transmission Company Louisiana II, LLC, ITC Holdings Corp. and ITC Midsouth LLC ("Applicants") for Approval of Change of Ownership of Electric Transmission Businesses, for Certain Cost-Recovery Adjustments, and for Related Relief**

On January 9, 2014, the Applicants filed an Amended Notice of Withdrawal and Motion to Dismiss Without Prejudice the Joint Application.

On January 22, 2014, the Louisiana Energy Users Group and Commission Staff filed a Joint Response to Amended Notice of Withdrawal and Motion to Dismiss Without Prejudice.

U-32675 **Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. (Collectively "Entergy") Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, for an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment**

On January 3, 2014, the LPSC issued a Notice of Technical Conference to be held on January 14, 2014 to discuss issues related to integration by Entergy, Cleco Power LLC and other entities that have opted to participate in the Midcontinent Independent System Operator, Inc.'s Day-2 Markets.

On January 7, 2014, LPSC Staff filed a Proposed Agenda for the January 14, 2014 Technical Conference.

FERC or State Jurisdiction: State of Missouri

EA-2013-0098

In the Matter of the Application of Transource Missouri, LLC for a Certificate of Convenience and Necessity Authorizing it to Construct, Finance, Own, Operate, and Maintain the Iatan-Nashua and Sibley-Nebraska City Electric Transmission Projects

On January 14, 2014, Kansas City Power & Light Company filed a Submission of Asset Purchase Agreement.

On January 21, 2014, the MoPSC issued an Order Directing Filing, requiring that the Supplemental Filing be re-submitted without the redaction of information that is no longer highly confidential.

On January 31, 2014, Kansas City Power & Light Company filed its Response to Order Directing Filing. KCPL submitted an un-redacted page 1 included in the Supplemental Filing.

EO-2012-0135

In the Matter of the Application of Kansas City Power & Light Company ("KCPL") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.

On January 8, 2014, the MoPSC issued a Notice Closing Case.

EO-2012-0136

In the Matter of the Application of KCP&L Greater Missouri Operations Company ("KCPL-GMO") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.

On January 8, 2014, the MoPSC issued a Notice Closing Case.

EW-2014-0156

In the Matter of an Investigation Into the Possible Methods of Mitigating Identified Harmful Effects of Entergy Joining MISO on non-MISO Missouri Utilities and Their Ratepayers and Maximizing the Benefits for Missouri Utilities and Ratepayers Along RTO and Cooperative Seams

On January 3, 2014, the MoPSC issued an Order Setting Deadline for Responses. Responses to the questions listed in the November 26, 2013 order shall be filed no later than April 1, 2014.

Regulatory Status Report

FERC or State Jurisdiction: State of New Mexico

07-00390-UT An Investigation into the Prudence of Southwestern Public Service Company's ("SPS") Participation in the SPP RTO

On January 9, 2014, SPP submitted the 2014 SPP Transmission Expansion Plan draft report in accordance with Section 15 of the Uncontested Stipulation in NMPRC Case No. 07-00390-UT.

10-00143-UT In the Matter of the Petition of Lea County Electric Cooperative, Inc. ("LCEC") For Approval to Transfer Certain Transmission Assets Under the Southwest Power Pool, Inc. Open Access Transmission Tariff

On January 9, 2014, SPP filed a Notice and Request to Amend Official Service List.

On January 9, 2014, SPP submitted the 2014 SPP Transmission Expansion Plan draft report in accordance with Section 14 of the Uncontested Stipulation in NMPRC Case No. 10-00143-UT.

Regulatory Status Report

FERC or State Jurisdiction: State of Texas

- 40979** **Proceeding to Track Compliance with Terms and Conditions Set Forth in the Commission's Order Issued in Docket No. 40346 and the Non-Unanimous Stipulation ("NUS") and Associated Studies Arising from the Order and/or NUS**
- Entergy Texas, Inc. continues to file compliance reports each month.
- 41430** **Joint Report and Application of Sharyland Utilities, L.P. ("Sharyland"), Sharyland Distribution & Transmission Services, L.L.C. ("SDTS"), and Southwestern Public Service Company ("SPS") for Approval of Purchase and Sale of Facilities, for Approval of Regulatory Accounting Treatment of Gain or Sale, and for Transfer of Certain Rights**
- On January 15, 2014, Stephen Fogel at Xcel Energy provided notice that the Proposed Transaction between Southwestern Public Service Company and Sharyland Distribution & Transmission Services, L.L.C. closed on December 30, 2013.

Regulatory Status Report

FERC or State Jurisdiction: United States Court of Appeals

13-1181

Nebraska Public Power District v. Federal Energy Regulatory Commission - Petition for Review of Orders in FERC Docket ER12-1179

On January 14, 2014, FERC filed a Status Report recommending that the Court continue to hold this appeal in abeyance.