

### **FERC or State Jurisdiction: FERC**

#### **AD10-2 Guidance on Simultaneous Transmission Import Limit Studies**

On January 24, 2014, SPP filed a Supplement to Informational Filing of 2013 Simultaneous Import Limit Study for SPP Region to include the Report on Market Data for Market-Based Rates for Wholesale Power Sales.

On February 10, 2014, SPP submitted a supplemental filing to clarify the information in Attachment 4 included in SPP's December 23, 2013 Filing.

#### **AD10-12 Increasing Market and Planning Efficiency through Improved Software**

On March 28, 2014, FERC issued a Notice of Technical Conference Increasing Real-Time and Day-Ahead Market Efficiency through Improved Software. Commission staff will convene a technical conference on June 23-25, 2014.

#### **AD10-13 Third-Party Provision of Ancillary Services; Accounting and Financial Reporting for New Electric Storage Technologies**

On February 20, 2014, FERC issued Order No. 784-A, Order Granting Clarification in Part and Denying Clarification in Part of Order No. 784.

#### **AD12-12 Coordination between Natural Gas and Electricity Markets**

On January 31, 2014, the Desert Southwest Pipeline Stakeholders filed a report to discuss issues experienced in the Desert Southwest and identifies solutions to enhance coordination and promote reliability between the gas and electric industries.

On February 4, 2014, Xcel Energy Services Inc. filed Comments proposing an enhancement to firm natural gas transportation and storage service to support electric reliability.

On March 20, 2014, Commission Staff presented its Gas-Electric Coordination Quarterly Report to the Commission.

On March 31, 2014, the New England Gas-Electric Focus Group filed its Final Report.

#### **AD13-7 Centralized Capacity Markets in Regional Transmission Organizations and Independent System Operators**

On January 8, 2014, numerous parties filed post-technical conference comments.

#### **AD14-3 Coordination Across the Midcontinent Independent System Operator, Inc. ("MISO")/PJM Interconnection, L.L.C. ("PJM") Seam**

On March 13, 2014, FERC issued a Notice of Staff Attendance at the MISO/PJM Joint Stakeholder Meeting to be held on March 21, 2014.

#### **AD14-7 Third-Party Provision of Reactive Supply and Voltage Control and Regulation and Frequency Response Services**

On February 20, 2014, FERC issued a Notice of Workshop to be held on April 22, 2014 in order to obtain input on third-party provision of reactive supply and voltage control and regulation and frequency response services.

## Regulatory Status Report

AD14-8

### **Winter 2013-2014 Operations and Market Performance in Regional Transmission Organizations ("RTOs") and Independent System Operators ("ISOs")**

On February 21, 2014, FERC issued a Notice of Technical Conference to be held on April 1, 2014, to explore the impacts of recent cold weather events on the RTOs/ISOs, and discuss actions taken to respond to those impacts.

On March 19, 2014, FERC issued a Supplemental Notice of Technical Conference, attaching the agenda for the conference.

On March 27, 2014, Commissioner Moeller issued a question to be considered in preparation for the April 1, 2014 Technical Conference and comments due on May 15, 2014.

On March 31, 2014, FERC issued a Supplemental Notice of Technical Conference to be held on April 1, 2014. Written public comments will be taken until May 15, 2014.

EC13-100

### **Southwestern Public Service Company ("SPS") Application for Authorization Under Section 203 of the Federal Power Act for Disposition of Jurisdictional Facilities**

On January 3, 2014, Xcel Energy Services Inc. filed a Notice of Consummation of Transaction.

EL11-34

### **Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP**

On January 28, 2014, SPP filed a Complaint and Request for Fast Track Processing and Motion to Consolidate.

SPP submitted the Complaint for an order finding that the Midcontinent Independent System Operator, Inc. is violating the Joint Operating Agreement ("JOA") between SPP and MISO and SPP's Tariff, requiring MISO to compensate SPP for use of SPP's transmission system in accordance with the SPP Tariff. In the event the Commission does not so find, SPP alternatively requests that the Commission find 1) that the JOA is no longer just, reasonable, and not unduly discriminatory to the extent it does not provide a mechanism by which SPP may assess charges for MISO's use of the SPP transmission system to integrate the former Energy Operating Companies; and 2) that the compensation mechanism set forth herein is the just, reasonable, and not unduly discriminatory rate for MISO's use of the SPP transmission system.

SPP requested that Docket Nos. ER14-1174, EL11-34 and EL14-21 be consolidated.

On February 13, 2014, the Louisiana Public Service Commission filed a Notice of Intervention.

On February 18, 2014, Consumers Energy Company filed a Motion to Intervene.

On February 18, 2014, Western Area Power Administration filed a Motion to Intervene and Comments in support of the Complaint and Motion to Consolidate filed by SPP.

On February 18, 2014, the Louisiana Public Service Commission filed an Answer and Protest to Complaint and to Section 205 Service Agreement Filing.

The LPSC stated that the filings seek to prevent the Midcontinent Independent System Operator, Inc. from relying on the clear terms of the existing Joint Operating Agreement between MISO and SPP and to require MISO compensate SPP for flows onto the

## Regulatory Status Report

transmission systems owned by SPP members and operated by SPP in violation of the clear terms of Section 5.2 of the JOA. LPSC also stated that SPP failed to demonstrate that the JOA is unjust and unreasonable or unduly discriminatory and should be amended.

On February 18, 2014, the ITC Companies filed a Motion to Intervene and Comment.

ITC stated it strongly encourages the Commission to go beyond a superficial analysis that merely studies power flows across the newly expanded MISO region and associated impacts to the neighboring SPP region.

On February 18, 2014, Basin Electric Power Cooperative filed a Motion to Intervene and Comments in support of the Complaint filed by SPP.

On February 18, 2014, the NRG Companies filed a Protest in response to SPP's Complaint and service agreement filing.

The NRG Companies stated:

- 1) the Complaint appears to be an impermissible attempt to bypass the Commission's consideration of the Remand Order;
- 2) granting the relief requested in SPP's Complaint raises the specter of rate pancaking; and
- 3) the success of the MISO-PJM Seam should be used as a template for the MISO-SPP Seam.

On February 18, 2014, Entergy Services, Inc. filed an Answer, Protest and Motion to Intervene in response to SPP's Complaint and service agreement filing.

Entergy stated:

- 1) MISO's interpretation of the Joint Operating Agreement is consistent with all relevant canons of contract interpretation;
- 2) the Commission should reject SPP's proposed unreserved use penalties and the non-conforming unexecuted service agreement;
- 3) SPP's Complaint in EL14-21 should be rejected; and
- 4) the Commission should deny SPP's request for a trial-type hearing and reject or suspend its penalty filing for the maximum period.

On March 5, 2014, Basin Electric Power Cooperative filed an answer in response to the Midcontinent Independent System Operator, Inc.'s February 18, 2014 Answer.

Basin Electric stated:

- 1) integration of the Integrated System into SPP does not support MISO's sharing concept;
- 2) Order No. 890 does not prohibit RTOs from taking transmission service;
- 3) Order Nos. 890 and 890-A support the application of an unreserved penalty;
- 4) MISO cannot make free use of available SPP capacity.

On March 5, 2014, SPP filed an answer in response to Answers, Protests, Comments and Motion to Dismiss filed in this proceeding.

SPP stated:

- 1) disposition of SPP's Complaint requires establishment of formal evidentiary hearings;
- 2) SPP's alternative request to revise the Joint Operating Agreement presents factual issues that are properly examined in a trial-type hearing;
- 3) the MISO service agreement should be accepted and made effective subject to refund pending the outcome of Commission deliberations on the SPP Complaint and alternative

## Regulatory Status Report

request for the Joint Operating Agreement revision; and

4) the Commission should consolidate the proceedings related to the proper interpretation of Joint Operating Agreement Section 5.2 and compensation for transmission service over SPP's transmission system arising from the MISO South integration.

On March 5, 2014, the SPP Transmission Owners filed an answer in response to Answers, Comments and Protests filed in this proceeding.

On March 18, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to various comments filed by Parties in support of SPP's Complaint filed on February 18, 2014.

MISO stated:

- 1) the SPP Parties' fundamental assumption about Section 5.2 is incorrect; and
- 2) specific arguments of the SPP Parties are in error.

On March 25, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to various filings.

MISO stated:

- 1) SPP mischaracterizes MISO's negotiation position;
- 2) an evidentiary hearing is neither required nor necessary to ascertain the meaning of Section 5.2 of the Joint Operating Agreement;
- 3) MISO does not take transmission service from SPP;
- 4) it disagrees that its Complaint is duplicative and raises no new issues, as claimed by SPP;
- 5) it does not believe that consolidating any of the proceedings with MISO's regional through-and-out rate proceeding in Docket No. EL14-19 would be in the public interest.

On March 28, 2014, FERC issued an Order on Remand and Complaints, Accepting and Suspending Service Agreement, Consolidating Proceedings and Establishing Hearing and Settlement Judge Procedures.

The Service Agreement was accepted for filing, suspended for a nominal period, subject to refund and hearing and settlement judge procedures. Hearing and settlement judge procedures were also established on the SPP Complaint, MISO Complaint, and the SPP-MISO Joint Operating Agreement Remand proceeding.

Docket Nos. ER14-1174-000, EL14-21-000, EL14-30-000 and EL11-34-002 were consolidated for purposes of settlement, hearing and discussion.

The refund effective date in Docket No. EL14-21-000 pursuant to Section 206(b) of the Federal Power Act will be January 29, 2014. The refund effective date in Docket No. EL14-30-000 will be February 18, 2014.

On March 28, 2014, the MISO Transmission Owners filed a Motion to Amend Motion to Intervene.

EL13-15

**Southwestern Public Service Company ("SPS") Complaint Seeking a Finding that the Rates in SPP Zone 11 are Unjust and Unreasonable due to the Inclusion of the Costs of Facilities of Tri-County Electric Cooperative, Inc. ("Tri-County")**

On January 10, 2014, the Parties filed a Settlement Agreement and Offer of Settlement.

## Regulatory Status Report

On January 14, 2014, Settlement Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. A settlement was filed on January 10, 2014. Initial Comments are due on January 30, 2014, with Reply Comments due on February 10, 2014.

On January 30, 2014, Commission Trial Staff filed Comments Supporting Settlement Agreement.

On January 30, 2014, the East Texas Cooperatives filed a Motion to Intervene Out-of-Time and Comments in response to the Settlement Agreement.

The Cooperatives stated:

- 1) SPP's commitment to sponsor tariff revisions is inappropriate in this context; and
- 2) FERC should not require SPP to implement the tariff revisions contained in the Settlement.

On February 3, 2014, FERC issued an Order of Chief Judge Shortening Period for Answers to file responses to the East Texas Cooperatives' Motion to Intervene Out-of-Time and Comments to February 7, 2014.

On February 6, 2014, Commission Trial Staff filed an answer in response to the East Texas Cooperatives' Motion to Intervene Out-of-Time and Comments in response to the Settlement Agreement.

Commission Trial Staff stated that the Chief Judge should reject the motion to intervene out of time and comment on the settlement agreement.

On February 7, 2014, Occidental Permian, Ltd. and Occidental Power Marketing, L.P. filed an answer in response to the East Texas Cooperatives' Motion to Intervene Out-of-Time and Comments in response to the Settlement Agreement. Occidental stated that the motion and comments should be rejected and the Settlement Agreement should be certified.

On February 7, 2014, the New Mexico Cooperatives filed an Answer and Reply Comments in response to the East Texas Cooperatives' Motion to Intervene Out-of-Time and Comments in response to the Settlement Agreement. The Cooperatives stated that the Commission should deny the motion and accept and/or approve the Settlement Agreement.

On February 7, 2014, Xcel Energy Services Inc. filed Reply Comments and Answer Opposing Motion to Intervene Out-of-Time and Comments of the East Texas Electric Cooperatives.

Xcel recommended that the Commission deny the Motion.

On February 7, 2014, SPP filed an answer in response to Motion to Intervene Out-of-Time and Comments of the East Texas Cooperatives.

SPP stated:

- 1) East Texas Cooperatives fail to meet the burden for late intervention;
- 2) the settlement is not beyond the scope of the proceeding; and
- 3) in any event, East Texas Cooperatives' Comments should be given no weight.

On February 7, 2014, Western Farmers Electric Cooperative filed an answer and reply comments in response to the East Texas Cooperatives' Motion to Intervene Out-of-Time and Comments.

WFEC requested that the Motion be denied and the Settlement Agreement be certified to the

## Regulatory Status Report

Commission for approval.

On February 10, 2014, Commission Trial Staff filed Reply Comments in response to the Motion to Intervene Out-of-Time and Comments filed by the East Texas Cooperatives.

Staff stated that contrary to the East Texas Cooperatives' comments, the Settlement Agreement by no means establishes a commitment by either SPP or the Commission to change the SPP Tariff. Instead, if and when the Commission approves the Settlement Agreement, the language proposed therein must then go through at least two levels of approval before the language can be implemented. First, the East Texas Cooperatives will have an opportunity to make its position known during the SPP stakeholder process. Second, SPP will have to make a section 205 filing for Commission approval, which will provide the East Texas Cooperatives with another opportunity to address its issues.

Staff also stated that it believes the proposed Settlement is fair, reasonable, and in the public interest, and therefore should be certified to the Commission for approval.

On February 11, 2014, Judge Wagner issued an Order of Chief Judge Denying Out-of-Time Motion to Intervene of the East Texas Cooperatives.

On February 21, 2014, Settlement Judge Young issued the Settlement Judge Certification of Uncontested Offer of Settlement.

On March 6, 2014, Judge Wagner issued an Order of Chief Judge Termination Settlement Judge Procedures.

On March 25, 2014, FERC issued an order accepting the Settlement Agreement and Offer of Settlement filed on January 10, 2014.

Consistent with section 3.5 of the Settlement, the Commission has deferred action on pending requests for rehearing and clarification, unless the Settling Parties notify the Commission that action on these requests is desired. After the final Settlement Tariff revisions are presented to the SPP Board of Directors, SPS and New Mexico Cooperatives are to withdraw their pending requests for rehearing or clarification.

EL13-35

**Southwestern Public Service Company ("SPS") Complaint Requesting Establishment of a January 1, 2013 Refund Effective Date and a Finding from the Commission that SPP has Violated the Federal Power Act by Implementing a 40% Increase in the Tri-County Electric Cooperative, Inc. ("Tri-County") Annual Transmission Revenue Requirement**

On January 10, 2014, the Parties filed a Settlement Agreement and Offer of Settlement.

On January 14, 2014, Settlement Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. A settlement was filed on January 10, 2014. Initial Comments are due on January 30, 2014, with Reply Comments due on February 10, 2014.

On January 30, 2014, the East Texas Cooperatives filed a Motion to Intervene Out-of-Time and Comments in response to the Settlement Agreement.

The Cooperatives stated:

- 1) SPP's commitment to sponsor tariff revisions is inappropriate in this context; and
- 2) FERC should not require SPP to implement the tariff revisions contained in the Settlement.

On January 30, 2014, Commission Trial Staff filed Comments Supporting Settlement

## Regulatory Status Report

Agreement.

On February 3, 2014, FERC issued an Order of Chief Judge Shortening Period for Answers to file responses to the East Texas Cooperatives' Motion to Intervene Out-of-Time and Comments to February 7, 2014.

On February 6, 2014, Commission Trial Staff filed an answer in response to the East Texas Cooperatives' Motion to Intervene Out-of-Time and Comments in response to the Settlement Agreement.

Commission Trial Staff stated that the Chief Judge should reject the motion to intervene out of time and comment on the settlement agreement.

On February 7, 2014, Western Farmers Electric Cooperative filed an answer and reply comments in response to the East Texas Cooperatives' Motion to Intervene Out-of-Time and Comments.

WFEC requested that the Motion be denied and the Settlement Agreement be certified to the Commission for approval.

On February 7, 2014, SPP filed an answer in response to Motion to Intervene Out-of-Time and Comments of the East Texas Cooperatives.

SPP stated:

- 1) East Texas Cooperatives fail to meet the burden for late intervention;
- 2) the settlement is not beyond the scope of the proceeding; and
- 3) in any event, East Texas Cooperatives' Comments should be given no weight.

On February 7, 2014, Xcel Energy Services Inc. filed Reply Comments and Answer Opposing Motion to Intervene Out-of-Time and Comments of the East Texas Electric Cooperatives.

Xcel recommended that the Commission deny the Motion.

On February 7, 2014, the New Mexico Cooperatives filed an Answer and Reply Comments in response to the East Texas Cooperatives' Motion to Intervene Out-of-Time and Comments in response to the Settlement Agreement. The Cooperatives stated that the Commission should deny the motion and accept and/or approve the Settlement Agreement.

On February 7, 2014, Occidental Permian, Ltd. and Occidental Power Marketing, L.P. filed an answer in response to the East Texas Cooperatives' Motion to Intervene Out-of-Time and Comments in response to the Settlement Agreement. Occidental stated that the motion and comments should be rejected and the Settlement Agreement should be certified.

On February 10, 2014, Commission Trial Staff filed Reply Comments in response to the Motion to Intervene Out-of-Time and Comments filed by the East Texas Cooperatives.

Staff stated that contrary to the East Texas Cooperatives' comments, the Settlement Agreement by no means establishes a commitment by either SPP or the Commission to change the SPP Tariff. Instead, if and when the Commission approves the Settlement Agreement, the language proposed therein must then go through at least two levels of approval before the language can be implemented. First, the East Texas Cooperatives will have an opportunity to make its position known during the SPP stakeholder process. Second, SPP will have to make a section 205 filing for Commission approval, which will provide the East

## Regulatory Status Report

Texas Cooperatives with another opportunity to address its issues.

Staff also stated that it believes the proposed Settlement is fair, reasonable, and in the public interest, and therefore should be certified to the Commission for approval.

On February 11, 2014, Judge Wagner issued an Order of Chief Judge Denying Out-of-Time Motion to Intervene of the East Texas Cooperatives.

On February 21, 2014, Settlement Judge Young issued the Settlement Judge Certification of Uncontested Offer of Settlement.

On March 6, 2014, Judge Wagner issued an Order of Chief Judge Termination Settlement Judge Procedures.

On March 25, 2014, FERC issued an order accepting the Settlement Agreement and Offer of Settlement filed on January 10, 2014.

Consistent with section 3.5 of the Settlement, the Commission has deferred action on pending requests for rehearing and clarification, unless the Settling Parties notify the Commission that action on these requests is desired. After the final Settlement Tariff revisions are presented to the SPP Board of Directors, SPS and New Mexico Cooperatives are to withdraw their pending requests for rehearing or clarification.

EL13-84

**Kansas Municipal Energy Agency's ("KMEA") Complaint Against Sunflower Electric Power Corporation ("Sunflower"), Mid-Kansas Electric Company, LLC ("Mid-Kansas"), and SPP to Correct Sunflower's Unauthorized Registration of KMEA's Members' Load and City-Owned Generation Resources in the SPP Integrated Marketplace**

On January 14, 2014, the Kansas Municipal Energy Agency filed an Unopposed Motion to Hold Request for Rehearing in Abeyance. KMEA stated that the parties are working diligently toward finalizing a settlement document and asked that the request for rehearing be held in abeyance.

On February 4, 2014, Kansas Municipal Energy Agency filed a Notice of Withdrawal of Request for Rehearing. On February 3, 2014, the parties executed a settlement agreement that resolves the registration matter that is subject of the Complaint and Request for Rehearing in this proceeding, as well as other matters that are the subject of litigation in Kansas state court.

EL14-19

**Section 206 Proceeding to Investigate into the Justness and Reasonableness of Midcontinent Independent System Operator, Inc.'s ("MISO") Proposed Regional Through-and-out Rate ("RTOR") for Service over the Transmission System in the MISO South Region**

On February 20, 2014, FERC issued an Order Granting In Part, Denying in Part, and Dismissing in Part Rehearing, Instituting Section 206 Proceeding, Establishing Hearing and Settlement Judge Procedures, Consolidating Proceedings and Terminating Proceedings.

The Commission denied SPP's July 22, 2013 Request for Rehearing of the June 20, 2013 Order.

The Commission found that MISO's proposed Regional Through-and-out-Rate (RTOR) for service over the transmission system in the MISO South region raises issues of material fact



## Regulatory Status Report

that cannot be resolved based on the record before the Commission, and therefore the Commission granted rehearing and will institute hearing and settlement judge procedures to address these issues. The Commission instituted a section 206 proceeding in Docket No. EL14-19, with a refund effective date. Docket No. EL14-19 was consolidated with the ongoing proceedings in Docket No. ER13-948 for purposes of settlement, hearing and decision.

On February 20, 2014, FERC issued a Notice of Institution of Section 206 Proceeding and Refund Effective Date. This proceeding is to investigate the justness and reasonableness of the Midcontinent Independent System Operator, Inc.'s proposed Regional Through-and-out Rate for service over the transmission system in the MISO South region.

On February 25, 2014, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge Philip C. Baten as the Settlement Judge to explore the possibility of settlement, discuss differences between the parties, and in general conduct the settlement negotiations.

On February 28, 2014, Mississippi Delta Energy Agency, et al. filed a Petition for Review Orders before the United States Court of Appeals requesting review of the June 20, 2013 and February 20, 2014.

Several parties have filed Motions to Intervene.

On March 11, 2014, the Missouri Public Service Commission filed Comments.

The MoPSC stated it strongly encourages the Commission to find that MISO's RTOR, as specifically applied to The Empire District Electric Company, Kansas City Power & Light Company, and KCP&L Greater Missouri Operations Company, is unjust and unreasonable. The MoPSC also suggested that the Commission investigate the benefits of eliminating the RTORs between MISO and SPP entirely.

On March 14, 2014, FERC issued an Order Setting Fourth Settlement Conference Date. The next settlement conference will be held on June 11, 2014.

On March 20, 2014, Settlement Judge Baten issued the Fifth Settlement Status Report, recommending that settlement efforts in this matter should continue. The next settlement conference is scheduled to be held on June 11, 2014.

On March 24, 2014, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and The Empire District Electric Company filed a Request for Rehearing of the February 20, 2014 Order.

EL14-21

**SPP Complaint for an Order Finding the Midcontinent Independent System Operator, Inc. ("MISO") is Violating the Joint Operating Agreement ("JOA") between SPP and MISO and the SPP Tariff and Requiring MISO to Compensate SPP for Use of SPP's Transmission System**

On January 28, 2014, SPP filed a Complaint and Request for Fast Track Processing and Motion to Consolidate.

SPP submitted the Complaint for an order finding that the Midcontinent Independent System Operator, Inc. is violating the Joint Operating Agreement ("JOA") between SPP and MISO and SPP's Tariff, requiring MISO to compensate SPP for use of SPP's transmission system in accordance with the SPP Tariff. In the event the Commission does not so find, SPP alternatively requests that the Commission find 1) that the JOA is no longer just, reasonable, and not unduly discriminatory to the extent it does not provide a mechanism by which SPP

## Regulatory Status Report

may assess charges for MISO's use of the SPP transmission system to integrate the former Energy Operating Companies; and 2) that the compensation mechanism set forth herein is the just, reasonable, and not unduly discriminatory rate for MISO's use of the SPP transmission system.

SPP requested that Docket Nos. ER14-1174, EL11-34 and EL14-21 be consolidated.

On February 7, 2014, the Illinois Commerce Commission filed a Notice of Intervention.

On February 13, 2014, the Louisiana Public Service Commission filed a Notice of Intervention.

Various parties have filed doc-less Motions to Intervene.

On February 18, 2014, Consumers Energy Company filed a Motion to Intervene.

On February 18, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments in support of the complaint and service agreement filing made by SPP in Docket Nos. EL14-21 and ER14-1174.

On February 18, 2014, Western Area Power Administration filed a Motion to Intervene and Comments in support of the Complaint and Motion to Consolidate filed by SPP.

On February 18, 2014, the NRG Companies filed a Protest in response to SPP's Complaint and service agreement filing.

The NRG Companies stated:

- 1) the Complaint appears to be an impermissible attempt to bypass the Commission's consideration of the Remand Order;
- 2) granting the relief requested in SPP's Complaint raises the specter of rate pancaking; and
- 3) the success of the MISO-PJM Seam should be used as a template for the MISO-SPP Seam.

On February 18, 2014, the MISO Transmission Owners filed a Motion to Intervene, Motion to Dismiss, and Answer in Support of Respondent.

The MISO TOs stated:

- 1) SPP has not provided any basis for abandoning the terms and the purpose of the SPP-MISO JOA;
- 2) SPP's unilateral interpretation of Section 5.2 of the JOA and D.C. Circuit Court Order cannot replace Commission precedent and reasonable interpretation; and
- 3) SPP has not authority to assess transmission charges or penalties against MISO.

On February 18, 2014, Associated Electric Cooperative, Inc., Louisville Gas and Electric Company, Kentucky Utilities Company, PowerSouth Energy Cooperative, Southern Company Services, Inc., and the Tennessee Valley Authority ("Joint Parties") filed a Motion to Intervene and Comments.

The Joint Parties stated that they believe any compensation resulting from dispatch flows should be determined based on the flows that are occurring across the systems of all the Joint Parties and SPP.

On February 18, 2014, the Midcontinent Independent System Operator, Inc. filed a answer in response to the Complaint.

## Regulatory Status Report

MISO stated it:

- 1) denies that it is violating any provision of the JOA or the SPP Tariff. MISO further denies that it is subject to the SPP Tariff;
- 2) admits the MISO South Region, including the Entergy Operating Companies, was integrated into MISO on December 19, 2013;
- 3) admits that it received SPP's unreserved use invoices and that it refused to pay them. MISO denies that these invoices are authorized under the SPP Tariff or any other filed rate;
- 4) denies that it is engaging in "free, unlimited use of SPP's transmission system";
- 5) denies that it did not offer any counterproposal to SPP's proposals during the course of negotiations regarding Section 5.2;
- 6) denies that the regulatory authority for its construction of Section 5.2 no longer exists. MISO denies that its construction produces an "absurd result."
- 7) denies that the negotiating parties intended during the negotiation of the JOA that Section 5.2 apply solely to external third parties. MISO has no knowledge of SPP's internal discussions and deliberations;
- 8) denies that the words "contract path" in Section 5.2 were intended to mean point-to-point transmission service;
- 9) denies that there was never a single occasion for MISO and SPP to share contract path capacity pursuant to Section 5.2 of the JOA. MISO further denies that the spring-summer 2009 events described in the Mallinger Affidavit did not involve actual sharing of contract path capacity under Section 5.2;
- 10) denies that the flows on SPP's system resulting MISO's market dispatch are not loop flows;
- 11) denies that it engaged in any "unreserved use" of SPP's system within the meaning of the SPP Tariff;
- 12) denies that SPP is authorized to assess unreserved use penalties on MISO;
- 13) denies that the proposed Service Agreement is just and reasonable or necessary; and
- 14) denies that SPP has suffered, or is suffering, any financial harm or other harm resulting from MISO's market flows following the integration of the MISO South Region.

On February 18, 2014, the Northern Indiana Public Service Company filed a Motion to Intervene and Comments.

NIPSCO stated that it does not take a position at this time regarding the merits of SPP's Complaint, but would like to note that the MISO South/MISO configuration creates the same type of seams issues as the PJM West/PJM Interconnection, L.L.C. configuration. NIPSCO also stated the a solution to seams problems would be transmission upgrades.

On February 18, 2014, Basin Electric Power Cooperative filed a Motion to Intervene and Comments in support of the Complaint filed by SPP.

On February 18, 2014, Xcel Energy Services Inc. filed a Motion to Intervene and Comments.

Xcel stated:

- 1) market flows can be managed through the Joint Operating Agreement and should not be compensated;
- 2) the MISO Service Agreement for unreserved use is not appropriate for congestion management between SPP and MISO; and
- 3) the Commission should act expeditiously to resolve the dispute under the JOA.

On February 18, 2014, the SPP Transmission Owners filed Comments and Supporting Evidence in support of SPP's Complaint.

## Regulatory Status Report

On February 18, 2014, the Louisiana Public Service Commission filed an Answer and Protest to Complaint and to Section 205 Service Agreement Filing.

The LPSC stated that the filings seek to prevent the Midcontinent Independent System Operator, Inc. from relying on the clear terms of the existing Joint Operating Agreement between MISO and SPP and to require MISO compensate SPP for flows onto the transmission systems owned by SPP members and operated by SPP in violation of the clear terms of Section 5.2 of the JOA. LPSC also stated that SPP failed to demonstrate that the JOA is unjust and unreasonable or unduly discriminatory and should be amended.

On February 18, 2014, the ITC Companies filed a Motion to Intervene and Comment.

ITC stated it strongly encourages the Commission to go beyond a superficial analysis that merely studies power flows across the newly expanded MISO region and associated impacts to the neighboring SPP region.

On February 18, 2014, Entergy Services, Inc. filed an Answer, Protest and Motion to Intervene in response to SPP's Complaint and service agreement filing.

Entergy stated:

- 1) MISO's interpretation of the Joint Operating Agreement is consistent with all relevant canons of contract interpretation;
- 2) the Commission should reject SPP's proposed unreserved use penalties and the non-conforming unexecuted service agreement;
- 3) SPP's Complaint in EL14-21 should be rejected; and
- 4) the Commission should deny SPP's request for a trial-type hearing and reject or suspend its penalty filing for the maximum period.

On February 19, 2014, the Missouri Public Service Commission filed Comments in response to SPP's Complaint.

MoPSC stated that if an efficient and effective agreement cannot be achieved between SPP and MISO, then it will need to consider other alternatives to ensure that Missouri citizens are not perpetually disadvantaged both in terms of transmission costs and transmission planning by sitting on an RTO seam.

On February 25, 2014, South Mississippi Electric Power Association filed a Motion to Intervene Out of Time.

On March 5, 2014, Basin Electric Power Cooperative filed an answer in response to the Midcontinent Independent System Operator, Inc.'s February 18, 2014 Answer.

Basin Electric stated:

- 1) integration of the Integrated System into SPP does not support MISO's sharing concept;
- 2) Order No. 890 does not prohibit RTOs from taking transmission service;
- 3) Order Nos. 890 and 890-A support the application of an unreserved penalty;
- 4) MISO cannot make free use of available SPP capacity.

On March 5, 2014, SPP filed an answer in response to Answers, Protests, Comments and Motion to Dismiss filed in this proceeding.

SPP stated:

## Regulatory Status Report

- 1) disposition of SPP's Complaint requires establishment of formal evidentiary hearings;
- 2) SPP's alternative request to revise the Joint Operating Agreement presents factual issues that are properly examined in a trial-type hearing;
- 3) the MISO service agreement should be accepted and made effective subject to refund pending the outcome of Commission deliberations on the SPP Complaint and alternative request for the Joint Operating Agreement revision; and
- 4) the Commission should consolidate the proceedings related to the proper interpretation of Joint Operating Agreement Section 5.2 and compensation for transmission service over SPP's transmission system arising from the MISO South integration.

On March 5, 2014, the SPP Transmission Owners filed an answer in response to Answers, Comments and Protests filed in this proceeding.

On March 14, 2014, the SPP Market Monitoring Unit filed Comments, Motion to File Comments Out-of-Time, and Motion to Intervene Out-of-Time.

The SPP MMU stated:

- 1) allowing MISO to use transmission capacity rights in excess of MISO member rights at not cost puts the RTO in a position of providing preferential treatment for its members; and
- 2) allowing MISO to provide discriminatory service just because it improves efficiency for its members (though imposing inefficiencies on its neighbors) would create a precedent that could have significant adverse consequences for the industry.

On March 18, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to various comments filed by Parties in support of SPP's Complaint filed on February 18, 2014.

MISO stated:

- 1) the SPP Parties' fundamental assumption about Section 5.2 is incorrect; and
- 2) specific arguments of the SPP Parties are in error.

On March 19, 2014, Arkansas Electric Cooperative Corporation filed an answer in response to comments filed by the Midcontinent Independent System Operator, Inc.'s Independent Market Monitor and SPP's Market Monitoring Unit.

AECC stated that given the evidence indicating that the scope and configuration of post-Entergy integration

MISO and SPP will not comply with the requirements of Order No. 2000, as set forth in AECC's March 10, 2014 Answer and Dr. Kirsch's Affidavit, the Commission should: (i) consolidate these two proceedings and the proceedings in Docket Nos. ER14-1174-000 and EL19-14-000; (ii) institute a section 206 proceeding on the proposed continuation of pancaked network transmission charges between MISO and SPP for load serving entities utilizing service across the MISO-SPP seam to meet their service obligations; and (iii) institute settlement judge procedures to facilitate development of appropriate inter-RTO arrangements between MISO and SPP and the elimination or reduction of all pancaked transmission charges between MISO and SPP.

On March 25, 2014, Entergy Services, Inc. filed an answer in response to the SPP Transmission Owners' February 18, 2014 Comments and Supporting Evidence.

On March 25, 2014, the MISO Transmission Owners filed an answer in response to various filings.

## Regulatory Status Report

The MISO TOs stated:

- 1) there is no reason to hold an evidentiary hearing;
- 2) SPP's arguments that MISO is a transmission customer are flawed;
- 3) the question of interpretation of the Joint Operating Agreement should be addressed narrowly; and
- 4) SPP's Complaint should be dismissed.

On March 25, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to various filings.

MISO stated:

- 1) SPP mischaracterizes MISO's negotiation position;
- 2) an evidentiary hearing is neither required nor necessary to ascertain the meaning of Section 5.2 of the Joint Operating Agreement;
- 3) MISO does not take transmission service from SPP;
- 4) it disagrees that its Complaint is duplicative and raises no new issues, as claimed by SPP;
- 5) it does not believe that consolidating any of the proceedings with MISO's regional through-and-out rate proceeding in Docket No. EL14-19 would be in the public interest.

On March 28, 2014, FERC issued an Order on Remand and Complaints, Accepting and Suspending Service Agreement, Consolidating Proceedings and Establishing Hearing and Settlement Judge Procedures.

The Service Agreement was accepted for filing, suspended for a nominal period, subject to refund and hearing and settlement judge procedures. Hearing and settlement judge procedures were also established on the SPP Complaint, MISO Complaint, and the SPP-MISO Joint Operating Agreement Remand proceeding.

Docket Nos. ER14-1174-000, EL14-21-000, EL14-30-000 and EL11-34-002 were consolidated for purposes of settlement, hearing and discussion.

The refund effective date in Docket No. EL14-21-000 pursuant to Section 206(b) of the Federal Power Act will be January 29, 2014. The refund effective date in Docket No. EL14-30-000 will be February 18, 2014.

**EL14-27**

### **Investigation into Independent System Operator ("ISO") and Regional Transmission Organization ("RTO") Scheduling Practices**

On March 20, 2014, FERC issued an Order Initiating Investigation into ISO and RTO Scheduling Practices and Establishing Paper Hearing Procedures.

In this order, and in Docket Nos. RM14-2 and RP14-442, the Commission is proposing interrelated actions to address certain natural gas and electric industry coordination challenges that arise, in part, from increased reliance on natural gas for electricity generation. The Commission's proposals focus primarily on the scheduling practices of interstate natural gas pipelines and electric transmission operators. The reforms proposed in this order and the two contemporaneous orders build upon the comments made during Commission staff technical conferences and in comments filed in Docket No. AD12-12-000.

In the contemporaneous Notice of Proposed Rulemaking (NOPR) in Docket No. RM14-2-000, the Commission is proposing, as relevant here, revisions to its regulations to better coordinate the scheduling of natural gas and electricity markets in light of increased reliance on natural

## Regulatory Status Report

gas for electricity generation. Many of the proposed reforms are designed to better coordinate the timeframe for scheduling interstate natural gas transportation capacity with the organized electricity markets operated by ISOs and RTOs. In this order, the Commission establishes proceedings pursuant to section 206 of the Federal Power Act (FPA) to ensure that each ISO's and RTO's scheduling, particularly its day-ahead scheduling practices, correlate with any revisions to the natural gas scheduling practices ultimately adopted by the Commission in Docket No. RM14-2-000.

Ninety days after publication of a Final Rule in Docket No. RM14-2-000 in the Federal Register, each ISO and RTO is required (1) to make a filing that proposes tariff changes to adjust the time at which the results of its day-ahead energy market and reliability unit commitment process (or equivalent) are posted to a time that is sufficiently in advance of the Timely and Evening Nomination Cycles, respectively, to allow gas-fired generators to procure natural gas supply and pipeline transportation capacity to serve their obligations, or (2) to show cause why such changes are not necessary. In their responses, each ISO and RTO must explain how its proposed scheduling modifications are sufficient for gas-fired generators to secure natural gas pipeline capacity prior to the Timely and Evening Nomination Cycles.

A refund effective date of March 20, 2014 was established. However, the Commission stated it does not expect to order refunds.

The Commission stated that it expects to issue a final order within ninety days of the filings required under this order.

EL14-30

### **Midcontinent Independent System Operator, Inc. ("MISO") Complaint Regarding Transmission Service Invoices from SPP**

On February 18, 2014, the Midcontinent Independent System Operator, Inc. filed a Complaint against SPP asking the Commission for an order directing SPP to cease issuing invoices to MISO for alleged transmission service, including unreserved use penalties, under the SPP Tariff.

MISO requested that this Complaint be consolidated with SPP's Docket Nos. EL14-21 and ER14-1174.

Several entities filed Motions to Intervene.

On March 5, 2014, the SPP Transmission Owners filed an answer in response to Answers, Comments and Protests filed in this proceeding.

On March 10, 2014, the MISO Transmission Owners filed a Motion to Intervene and Comments in Support of MISO's February 18, 2014 Filing.

On March 10, 2014, Basin Electric Power Cooperative filed a Motion to Intervene and Protest.

Basin Electric attached its pleadings filed in Docket Nos. ER14-1174 and EL14-20 and requested that the Commission dismiss the Complaint.

On March 10, 2014, Arkansas Electric Cooperative Corporation filed a Motion to Intervene and Answer to MISO's Complaint.

AECC stated:

1) pancaked charges for transmission service inhibit competition and reduce the benefits of

## Regulatory Status Report

electricity markets;

- 2) Commission policy supports elimination of pancaked transmission charges, including charges for service across RTO boundaries;
- 3) rate pancaking is the central issue in this and other pending Commission proceedings concerning the MISO-SPP seam;
- 4) AECC is required to pay significant pancaked network transmission charges to serve its member distribution cooperatives in MISO and SPP; and
- 5) the rate pancake at the seam between MISO and SPP should be eliminated as part of the larger resolution of seams issues.

On March 10, 2014, Southern Company Services, Inc., et al. filed a Motion to Intervene and Comments.

On March 10, 2014, SPP filed an answer in response to the Midcontinent Independent System Operator, Inc.'s Complaint.

SPP stated:

- 1) MISO's request for relief is already pending before the Commission; and
- 2) MISO's Complaint raises no new issues of law or fact.

On March 10, 2014, SPP submitted an errata to include attachments to Answer to Complaint.

On March 14, 2014, the SPP Market Monitoring Unit filed Comments, Motion to File Comments Out-of-Time, and Motion to Intervene Out-of-Time.

The SPP MMU stated:

- 1) allowing MISO to use transmission capacity rights in excess of MISO member rights at not cost puts the RTO in a position of providing preferential treatment for its members; and
- 2) allowing MISO to provide discriminatory service just because it improves efficiency for its members (though imposing inefficiencies on its neighbors) would create a precedent that could have significant adverse consequences for the industry.

On March 19, 2014, Arkansas Electric Cooperative Corporation filed an answer in response to comments filed by the Midcontinent Independent System Operator, Inc.'s Independent Market Monitor and SPP's Market Monitoring Unit.

AECC stated that given the evidence indicating that the scope and configuration of post-Entergy integration

MISO and SPP will not comply with the requirements of Order No. 2000, as set forth in AECC's March 10, 2014 Answer and Dr. Kirsch's Affidavit, the Commission should: (i) consolidate these two proceedings and the proceedings in Docket Nos. ER14-1174-000 and EL19-14-000; (ii) institute a section 206 proceeding on the proposed continuation of pancaked network transmission charges between MISO and SPP for load serving entities utilizing service across the MISO-SPP seam to meet their service obligations; and (iii) institute settlement judge procedures to facilitate development of appropriate inter-RTO arrangements between MISO and SPP and the elimination or reduction of all pancaked transmission charges between MISO and SPP.

On March 25, 2014, the MISO Transmission Owners filed an answer in response to various filings.

The MISO TOs stated:

- 1) there is no reason to hold an evidentiary hearing;



## Regulatory Status Report

- 2) SPP's arguments that MISO is a transmission customer are flawed;
- 3) the question of interpretation of the Joint Operating Agreement should be addressed narrowly; and
- 4) SPP's Complaint should be dismissed.

On March 25, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to various filings.

MISO stated:

- 1) SPP mischaracterizes MISO's negotiation position;
- 2) an evidentiary hearing is neither required nor necessary to ascertain the meaning of Section 5.2 of the Joint Operating Agreement;
- 3) MISO does not take transmission service from SPP;
- 4) it disagrees that its Complaint is duplicative and raises no new issues, as claimed by SPP;
- 5) it does not believe that consolidating any of the proceedings with MISO's regional through-and-out rate proceeding in Docket No. EL14-19 would be in the public interest.

On March 28, 2014, FERC issued an Order on Remand and Complaints, Accepting and Suspending Service Agreement, Consolidating Proceedings and Establishing Hearing and Settlement Judge Procedures.

The Service Agreement was accepted for filing, suspended for a nominal period, subject to refund and hearing and settlement judge procedures. Hearing and settlement judge procedures were also established on the SPP Complaint, MISO Complaint, and the SPP-MISO Joint Operating Agreement Remand proceeding.

Docket Nos. ER14-1174-000, EL14-21-000, EL14-30-000 and EL11-34-002 were consolidated for purposes of settlement, hearing and discussion.

The refund effective date in Docket No. EL14-21-000 pursuant to Section 206(b) of the Federal Power Act will be January 29, 2014. The refund effective date in Docket No. EL14-30-000 will be February 18, 2014.

### ER02-2001

#### Revised Public Utility Filing Requirements for Electric Quarterly Reports

On January 16, 2014, FERC issued a Supplemental Notice clarifying logistics for the January 22, 2014 Technical Conference.

On March 4, 2014, FERC issued a Notice of Technical Conference to be held on March 26, 2014, in order to demonstrate the new Electric Quarterly Report filing system.

### ER05-652

#### SPP Filing of Transmission Cost Allocation Tariff Revisions

On February 13, 2014, SPP filed an informational report. The report discusses: (a) SPP's assessment of the average costs of all new network upgrades identified through SPP's regional transmission cost allocation plan and SPP's efforts to work with its stakeholders and the Regional State Committee to evaluate the effectiveness and accuracy of the \$180,000/MW Safe Harbor threshold for Base Plan Upgrades; (b) information concerning the recent changes to the process regarding the unintended consequence reports required by the April 22, 2005 Order; and (c) information concerning SPP's disposition of all requests for waiver of the Base Plan criteria.

## Regulatory Status Report

ER07-1069

### **AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)**

On January 22, 2014, Martha Peine filed a Formal Challenge to American Electric Power Service Corporation 2013 Formula Rate Annual Update to its Annual Transmission Revenue Requirement.

On January 27, 2014, FERC issued an Order of Discovery Master Concluding Discovery Procedures.

On February 21, 2014, American Electric Power Service Company filed a Response to the Formal Challenge filed on January 22, 2014 by Martha Peine. AEP stated that, with a few exceptions that resulted in the overstatement of approximately \$572, which AEP agrees to remove from inclusion in the subject Formula Rate, the Commission should reject each of the challenges raised in the Formal Challenge because they represent an impermissible attack on the Formula Rate itself and are therefore outside the scope of review permitted under the Annual Update process.

ER09-659

### **SPP Filing of an Executed Letter Agreement Regarding Inter-Regional Planning between SPP and Entergy Services, Inc. (Entergy Seams Agreement)**

On January 31, 2014, in Docket No. ER14-1233, SPP submitted a Notice of Cancellation of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission."

SPP will also withdraw the compliance filings associated with this agreement in Docket Nos. ER12-1401 and ER12-1779.

An effective date of December 19, 2013 was requested.

On March 25, 2014, FERC issued an order accepting the Notice of Cancellation of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission" filed in ER14-1233, the Motion to Withdraw the March 30, 2012 Compliance Filing in ER12-1401, and the Motion to Withdraw the May 14, 2012 Compliance Filing in ER12-1779.

An effective date of December 19, 2013 was granted.

ER10-355

### **American Electric Power Service Corporation ("AEP") Submission of a Formula Rate to Recover Costs of Investments in Transmission Facilities Owned by AEP Transmission Company, L.L.C. ("AEPTCo") Subsidiaries in PJM and in SPP RTO Regions**

On January 27, 2014, FERC issued an Order of Discovery Master Concluding Discovery Procedures.

ER12-1179

### **SPP Submission of Tariff Revisions to Implement SPP Integrated Marketplace**

On January 2, 2014, TDU Intervenors filed a reply in response to SPP's December 23, 2013 Answer. TDU Intervenors stated they are simply asking to make explicit what is already implicit in the Tariff, in the Commission's Order, and in SPP's Answer - that if they Market Monitor concludes that a mitigated offer submitted by a Market Participant is incorrect, the

## Regulatory Status Report

Market Monitor can reject the mitigated offer, pending dispute resolution, and direct the use of the last approved mitigated offer until the dispute has been resolved.

On January 7, 2014, the Commission Trial Staff filed Initial Comments in Support of Settlement filed on December 18, 2013 by SPP.

On January 10, 2014, FERC issued an order accepting the tariff revisions establishing the Virtual Energy Transaction Fee for virtual transactions in the Integrated Marketplace.

An effective date of March 1, 2014 was granted.

This order constitutes final agency action.

On January 21, 2014, SPP submitted its progress report on the launch of the Integrated Marketplace.

On January 22, 2014, SPP filed tariff revisions to incorporate for the Integrated Marketplace provisions required by SPP's Order No. 745 compliance proceeding.

An effective date of March 1, 2014 was requested.

On January 23, 2014, Settlement Judge Sterner filed a Certification of Uncontested Settlement.

On January 24, 2014, FERC issued an order approving SPP's October 30, 2013 Compliance Filing to revise the Offer of Settlement resolving the treatment of grandfathered agreements in SPP's Integrated Marketplace.

This order constitutes final agency action.

On January 29, 2014, FERC issued an Order on Compliance Filing, conditionally accepting SPP's November 2013 Compliance Filing, subject to an additional compliance filing.

An effective date of March 1, 2014 was granted.

SPP's compliance filing is due February 28, 2014.

On February 18, 2014, SPP submitted an informational filing attaching materials from the North American Electric Reliability Corporation confirming SPP's certification as the Balancing Authority for the SPP Balancing Authority Area, effective March 1, 2014.

On February 20, 2014, FERC issued an order approving the Offer of Settlement and Settlement Agreement filed on December 18, 2013, which resolved issues associated with Grandfathered Agreement No. 494.

On February 21, 2014, SPP filed an errata filing to amend the list of generators located in Frequently Constrained Areas in Addendum 1 to Attachment AF.

An effective date of March 1, 2014 was requested.

On February 26, 2014, FERC issued an order accepting SPP's December 27, 2013 Compliance Filing containing the certification of readiness. The Commission also found that SPP has received the required North American Electric Reliability Corporation's certification to operate as a Balancing Authority, effective March 1, 2014. The Commission approved the

## Regulatory Status Report

start-up and operation of the Integrated Marketplace effective March 1, 2014.

On February 26, 2014, SPP submitted its compliance filing in response to the January 29, 2014 Order.

An effective date of March 1, 2014 was requested.

On February 28, 2014, FERC issued an order accepting Addendum 1 to Attachment AF, the list of Frequently Constrained Areas for the start of the Integrated Marketplace, effective March 1, 2014.

This order constitutes final agency action.

On March 18, 2014, SPP submitted formal notice of termination of the Integrated Marketplace Reversion Plan.

On March 18, 2014, the TDU Intervenors filed a Limited Protest of SPP's February 26, 2014 Compliance Filing. TDU Intervenors stated that SPP has not complied with the Commission's directive to delete a sentence in Attachment AE, Section 8.2 concerning Bilateral Settlement Schedules.

ER12-1401

### **SPP Filing of an Executed Letter Agreement Regarding Inter-Regional Planning between SPP and Entergy Services, Inc. (Entergy Seams Agreement)**

On January 31, 2014, SPP filed a Motion to Withdraw the March 30, 2012 Compliance Filing.

On January 31, 2014, in docket No. ER14-1233, SPP notified the Commission of the termination of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission" originally filed in Docket No. ER09-659. The cancellation of the Agreement in ER09-659 rendered the March 30 Compliance Filing as moot.

On March 25, 2014, FERC issued an order accepting the Notice of Cancellation of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission" filed in ER14-1233, the Motion to Withdraw the March 30, 2012 Compliance Filing in ER12-1401, and the Motion to Withdraw the May 14, 2012 Compliance Filing in ER12-1779.

An effective date of December 19, 2013 was granted.

ER12-1779

### **SPP Filing of an Executed Letter Agreement Regarding Inter-Regional Planning between SPP and Entergy Services, Inc. (Entergy Seams Agreement)**

On January 31, 2014, SPP filed a Motion to Withdraw the May 14, 2012 Compliance Filing.

On January 31, 2014, in docket No. ER14-1233, SPP notified the Commission of the termination of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission" originally filed in Docket No. ER09-659. The cancellation of the Agreement in ER09-659 rendered the May 14 Compliance Filing as moot.

## Regulatory Status Report

On March 25, 2014, FERC issued an order accepting the Notice of Cancellation of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission" filed in ER14-1233, the Motion to Withdraw the March 30, 2012 Compliance Filing in ER12-1401, and the Motion to Withdraw the May 14, 2012 Compliance Filing in ER12-1779.

An effective date of December 19, 2013 was granted.

**ER12-1813      The Empire District Electric Company ("Empire") Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate**

On February 12, 2014, The Empire District Electric Company filed an amendment to its December 18, 2013 Compliance Filing.

**ER12-2289      SPP Submission of Tariff Revisions to Implement a Cost-Based Transmission Formula Rate for SPP Member, The Empire District Electric Company ("Empire")**

On March 20, 2014, SPP submitted a compliance filing to modify Addendum 18 to Attachment H which contains the formula rate template for The Empire District Electric Company.

**ER12-2292      SPP Submission of Tariff Revisions to Attachment AE to Facilitate the Systematic Rather than Manual Curtailment of Non-Dispatchable Resources in the Energy Imbalance Services Market ("EIS Market") During Period of Congestion**

On February 20, 2014, FERC issued an Order on Compliance and Granting Limited Waiver. The Commission accepted the revised Tariff provisions and granted SPP's request for limited waiver.

An effective date of March 19, 2013 was granted.

**ER12-2681      Joint Application of ITC Holdings Corp. ("ITC") and Entergy Corporation ("Entergy") for Authorization of Acquisition and Disposition of Jurisdictional Transmission Facilities, Approval of Transmission Service Formula Rate and Certain Jurisdictional Agreements, and Petition for Declaratory Order on Application of Section 305(a) of the Federal Power Act (Docket Nos. ER12-2681, EC12-145, and EL12-107)**

On January 2, 2014, Settlement Judge Baten submitted the Fourth Settlement Status Report. Judge Baten recommends that the Commission grant the motion to withdraw filings and to terminate Docket No. ER12-2681-000, ER12-2681-002 and ER13-782-000. However, Judge Baten stated that Docket No. ER13-948-000 should remain docketed for further proceedings.

On January 7, 2014, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures for Certain Dockets. Settlement Judge procedures will continue in Docket No. ER13-948.

On January 7, 2014, Associated Electric Cooperative, Inc. filed a Motion to Lodge its Request for Rehearing from Docket No. ER12-2681-001 into Docket No. ER13-948-000.

On February 20, 2014, FERC issued an Order Granting In Part, Denying in Part, and Dismissing in Part Rehearing, Instituting Section 206 Proceeding, Establishing Hearing and Settlement Judge Procedures, Consolidating Proceedings and Terminating Proceedings.

The Commission denied SPP's July 22, 2013 Request for Rehearing of the June 20, 2013

## Regulatory Status Report

Order.

The Commission found that MISO's proposed Regional Through-and-out-Rate (RTOR) for service over the transmission system in the MISO South region raises issues of material fact that cannot be resolved based on the record before the Commission, and therefore the Commission granted rehearing and will institute hearing and settlement judge procedures to address these issues. The Commission instituted a section 206 proceeding in Docket No. EL14-19, with a refund effective date. Docket No. EL14-19 was consolidated with the ongoing proceedings in Docket No. ER13-948 for purposes of settlement, hearing and decision.

On February 28, 2014, Mississippi Delta Energy Agency, et al. filed a Petition for Review Orders before the United States Court of Appeals requesting review of the June 20, 2013 and February 20, 2014.

On March 24, 2014, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and The Empire District Electric Company filed a Request for Rehearing of the February 20, 2014 Order.

ER12-2682

### **Midwest Independent Transmission System Operator, Inc. ("MISO") Submission of Tariff Revisions Including Proposed Module B-1 to the Open Access Transmission, Energy and Operating Reserve Markets Tariff**

On January 7, 2014, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures for Certain Dockets. Settlement Judge procedures will continue in Docket No. ER13-948.

On March 24, 2014, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and The Empire District Electric Company filed a Request for Rehearing of the February 20, 2014 Order.

ER13-62

### **NorthWestern Corporation's Order No. 1000 Regional Compliance Filing**

On January 14, 2014, NorthWestern Corporation submitted an informational filing regarding the status of Western Area Power Administration's analysis of regional and subregional operations alternatives, including potentially joining a regional transmission organization.

ER13-366

### **SPP Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements**

On February 7, 2014, LSP Power Transmission, LLC and LSP Transmission Holdings, LLC filed a Motion to Lodge the attached PowerPoint presentations from SPP regarding its "High Priority" transmission studies.

On February 19, 2014, SPP filed an answer in response to various comments and protest submitted in response to SPP's November 15, 2013 Compliance Filing.

SPP stated:

- 1) it has demonstrated that its revised Transmission Owner Selection Process is just and reasonable;
- 2) its proposed clarifications regarding the classification of "rebuild" facilities are just and reasonable;
- 3) the Commission should reject collateral attacks on the July 18, 2013 Order; and
- 4) it is appropriately administering its Integrated Transmission Planning Process pending implementation of its Order No. 1000 Compliance.

## Regulatory Status Report

On February 24, 2014, SPP filed an answer in Opposition to Motion to Lodge filed by LSP Power Transmission, LLC and LSP Transmission Holdings, LLC on February 7, 2014.

SPP stated:

- 1) LSP's Motion to Lodge is contrary to Commission policy; and
- 2) the High Priority Incremental Load Study is not relevant to whether the Commission erred in accepting SPP's proposed effective date and implementation proposal.

On February 24, 2014, ITC Great Plains, LLC filed an answer in response to the Motion to Lodge filed by LSP Power Transmission, LLC and LSP Transmission Holdings, LLC. ITC stated that without taking any position with respect to the merits of LSP's pending request for rehearing in this docket, ITC submits that SPP should not approve projects identified in its 2014 High-Priority Incremental Load Study which are not reliability-driven projects needed within three years or less, or for which financial commitments would not be due by July 2015. ITC stated that approval of projects which do not meet those criteria would unduly remove projects from the competitive process required by Order No. 1000.

On February 24, 2014, Xcel Energy Services Inc. filed an Opposing Motion to Intervene Out-of-Time and Comments of LS Power Transmission, LLC and LSP Transmission Holdings, LLC.

Xcel stated:

- 1) the High Priority Study PowerPoint presentations are not relevant to LSP's Request for Rehearing;
- 2) the High Priority Study PowerPoint presentations are publicly available;
- 3) if the Commission grants the Motion to Lodge, it should offer parties an opportunity to respond to LSP Transmission Owners' assertions.

On March 4, 2014, LSP Transmission Holdings, LLC filed Supplemental Comments in order to provide information to the Commission on a competitive process in Alberta, Canada that, after determining the five most highly qualified competitors to construct and own a new 500 kV transmission line, will hold a competition between the five qualified finalists where the lowest life-cycle cost proposal will be selected from among the five competitors.

On March 21, 2014, LSP Power Transmission, LLC and LSP Transmission Holdings, LLC filed an answer in response to answers filed by Xcel Energy Services Inc., SPP, and ITC Great Plains, LLC in response to LSP's Motion to Lodge.

LSP stated:

- 1) SPP did not deny that it may seek to assign high priority projects prior to January 2015;
- 2) neither SPP nor Xcel are prejudiced by lodging the high priority studies in the captioned docket;
- 3) Xcel's attempt to limit the basis for rehearing is inappropriate;
- 4) ITC's answer goes beyond the scope of the Motion to Lodge; and
- 5) Xcel's references to customer needs does not support rejection of the Motion to Lodge.

ER13-367

### **SPP Submission of Revisions to its Membership Agreement to Comply with Order No. 1000**

On February 24, 2014, Xcel Energy Services Inc. filed an Opposing Motion to Intervene Out-of-Time and Comments of LS Power Transmission, LLC and LSP Transmission Holdings, LLC.

## Regulatory Status Report

Xcel stated:

- 1) the High Priority Study PowerPoint presentations are not relevant to LSP's Request for Rehearing;
- 2) the High Priority Study PowerPoint presentations are publicly available;
- 3) if the Commission grants the Motion to Lodge, it should offer parties an opportunity to respond to LSP Transmission Owners' assertions.

On February 24, 2014, SPP filed an answer in Opposition to Motion to Lodge filed by LSP Power Transmission, LLC and LSP Transmission Holdings, LLC on February 7, 2014.

SPP stated:

- 1) LSP's Motion to Lodge is contrary to Commission policy; and
- 2) the High Priority Incremental Load Study is not relevant to whether the Commission erred in accepting SPP's proposed effective date and implementation proposal.

ER13-469

**Unexecuted Generator Interconnection Agreement ("GIA") between Waverly Wind Farm, LLC ("Waverly Wind") as Interconnection Customer and Westar Energy, Inc. ("Westar") as Transmission Owner**

On March 10, 2014, FERC issued an order accepting SPP's May 28, 2013 Compliance Filing, effective November 14, 2012 as requested.

This order constitutes final agency action.

ER13-948

**Entergy Services, Inc. ("ESI") and the Midwest Independent Transmission System Operator, Inc. ("MISO") Filing of Six Attachment O Templates to the MISO Open Access Transmission, Energy and Operating Reserve Markets Tariff to Establish Formula Rates for the Entergy Operating Companies' Recovery of Transmission Revenue Requirements**

On January 2, 2014, Settlement Judge Baten submitted the Fourth Settlement Status Report. Judge Baten recommends that the Commission grant the motion to withdraw filings and to terminate Docket No. ER12-2681-000, ER12-2681-002 and ER13-782-000. However, Judge Baten stated that Docket No. ER13-948-000 should remain docketed for further proceedings.

On January 3, 2014, Associated Electric Cooperative, Inc. filed a Motion to Intervene Out-of-Time.

On January 7, 2014, FERC issued an Order of Chief Judge Terminating Settlement Judge Procedures for Certain Dockets. Settlement Judge procedures will continue in Docket No. ER13-948.

On January 7, 2014, the Joint Customers filed a Motion to Consolidate and Protest.

The Joint Customers requested that Docket Nos. ER13-948 and ER14-649 be consolidated.

On January 7, 2014, Associated Electric Cooperative, Inc. filed a Motion to Lodge its Request for Rehearing from Docket No. ER12-2681-001 into Docket No. ER13-948-000.

On January 22, 2014, Entergy Services, Inc. filed an answer in response to the Motion to Consolidate and Protest filed by the Joint Customers on January 7, 2014. Entergy stated the Commission should reject the relief requested by the Joint Customers.



## Regulatory Status Report

On January 22, 2014, South Mississippi Electric Power Association, et al. filed a Motion to Lodge as part of the record in this proceeding the Commission "Order Conditionally Accepting Amendments, As Modified, to the Entergy System Agreement and Establishing Hearing and Settlement Judge Procedures" issued in Docket No. ER13-432-000 on December 18, 2013.

On January 22, 2014, Settlement Judge Baten submitted the Settlement Status Report. The next settlement conference is scheduled for March 12, 2014.

On February 6, 2014, FERC issued an Order of Chief Judge Granting Motion to Lodge as part of the record in this proceeding the Commission "Order Conditionally Accepting Amendments, As Modified, to the Entergy System Agreement and Establishing Hearing and Settlement Judge Procedures" issued in Docket No. ER13-432-000 on December 18, 2013.

On February 20, 2014, FERC issued an Order Granting In Part, Denying in Part, and Dismissing in Part Rehearing, Instituting Section 206 Proceeding, Establishing Hearing and Settlement Judge Procedures, Consolidating Proceedings and Terminating Proceedings.

The Commission denied SPP's July 22, 2013 Request for Rehearing of the June 20, 2013 Order.

The Commission found that MISO's proposed Regional Through-and-out-Rate (RTOR) for service over the transmission system in the MISO South region raises issues of material fact that cannot be resolved based on the record before the Commission, and therefore the Commission granted rehearing and will institute hearing and settlement judge procedures to address these issues. The Commission instituted a section 206 proceeding in Docket No. EL14-19, with a refund effective date. Docket No. EL14-19 was consolidated with the ongoing proceedings in Docket No. ER13-948 for purposes of settlement, hearing and decision.

On February 25, 2014, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge Philip C. Baten as the Settlement Judge to explore the possibility of settlement, discuss differences between the parties, and in general conduct the settlement negotiations.

On February 28, 2014, Mississippi Delta Energy Agency, et al. filed a Petition for Review Orders before the United States Court of Appeals requesting review of the June 20, 2013 and February 20, 2014.

On March 14, 2014, FERC issued an Order Setting Fourth Settlement Conference Date. The next settlement conference will be held on June 11, 2014.

On March 20, 2014, Settlement Judge Baten issued the Fifth Settlement Status Report, recommending that settlement efforts in this matter should continue. The next settlement conference is scheduled to be held on June 11, 2014.

On March 24, 2014, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and The Empire District Electric Company filed a Request for Rehearing of the February 20, 2014 Order.

ER13-1173

### **SPP Submission of Tariff Revisions to Modify Certain Aspects of the SPP Integrated Marketplace**

On January 29, 2014, FERC issued an Order on Compliance Filing, conditionally accepting SPP's November 2013 Compliance Filing, subject to an additional compliance filing.

## Regulatory Status Report

An effective date of March 1, 2014 was granted.

SPP's compliance filing is due February 28, 2014.

On February 20, 2014, FERC issued an order approving the Offer of Settlement and Settlement Agreement filed on December 18, 2013, which resolved issues associated with Grandfathered Agreement No. 494.

ER13-1748

**Order No. 755 Compliance Filing to Adopt a Two-Part Compensation Methodology for Resources that Provide Regulation-Up and Regulation-Down Operating Reserve Products in the SPP Integrated Marketplace and Other Tariff Language**

On March 7, 2014, FERC issued a Deficiency Letter requiring additional information in order to process the filing

SPP's response is due on April 7, 2014.

ER13-1768

**Submission of Tariff Revisions to Attachment AN to Incorporate into the Tariff the Agreement Between Southwest Power Pool, Inc. and Southwest Power Pool Balancing Authority Participants Relating to the Implementation of the Southwest Power Pool Balancing Authority ("SPP BA Agreement")**

On February 7, 2014, FERC issued an order accepting SPP's December 18, 2013 Compliance Filing, effective March 1, 2014, as requested.

This order constitutes final agency action.

ER13-1819

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners**

On February 5, 2014, FERC issued an order accepting SPP's September 19, 2013 Compliance Filing.

This order constitutes final agency action.

ER13-1914

**SPP Submission of Tariff Revisions to Clarify the Determination of Credits and Distribution of Credit Revenue for Creditable Upgrades**

On January 6, 2014, SPP submitted its compliance filing in response to the December 6, 2013 Order.

An effective date of September 8, 2013 was requested.

On February 12, 2014, FERC issued an order accepting SPP's January 6, 2014 Compliance Filing.

An effective date of September 8, 2013 was granted.

This order constitutes final agency action.

## Regulatory Status Report

- ER13-2078**                    **Submission of Tariff Revisions to Address the Treatment of Grandfathered Agreements ("GFAs") "Carved-Out" from the SPP Integrated Marketplace**
- On January 24, 2014, FERC issued an order accepting SPP's October 30, 2013 Compliance Filing to revise the Tariff regarding the carve out of grandfathered agreements in the Integrated Marketplace.
- An effective date of March 1, 2014 was granted.
- This order constitutes final agency action.
- ER13-2164**                    **SPP Submission of Tariff Revisions to Modify the Aggregate Transmission Service Study Process (AG Study Backlog Clearing Process Tariff Revisions)**
- On February 12, 2014, FERC issued an order accepting SPP's November 8, 2013 Compliance Filing.
- An effective date of October 12, 2013 was granted.
- This order constitutes final agency action.
- ER14-67**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner**
- On January 13, 2014, Settlement Judge Dowd issued a Status Report. An additional settlement conference is set for February 4, 2014.
- On January 15, 2014, FERC issued an Order of Chief Judge Continuing Settlement Judge Procedures.
- On February 4, 2014, FERC issued a Notice Scheduling Settlement Conference to be held on March 26, 2014.
- On March 18, 2014, Settlement Judge Dowd issued a Status Report recommending that settlement proceedings continue. The next settlement conference is scheduled for March 26, 2014.
- On March 27, 2014, Settlement Judge Dowd issued a Notice Scheduling Settlement Conference to be held on May 7, 2014.
- ER14-114**                    **Midcontinent Independent System Operator, Inc. ("MISO") Filing of a Coordination Agreement By and Between Midcontinent Independent System Operator, Inc. and Entergy Arkansas, Inc.**
- On January 2, 2014, the Midcontinent Independent System Operator, Inc. filed a reply in response to the Response in Opposition to Motion to Withdraw Filings and comments in support. MISO stated that the Service Agreement Section 3.1 arguments are unsupported.
- On January 2, 2014, Entergy Arkansas, Inc. filed an answer in response to the Response in Opposition to Motion to Withdraw Filings and comments in support. EAI stated that the Ameren Boot Heel load is appropriately included in the EAI Transmission Pricing Zone.

## Regulatory Status Report

On January 14, 2014, Ameren Services Company filed an answer in response to answers filed by the Midcontinent Independent System Operator, Inc. and Entergy Arkansas, Inc. on January 2, 2014.

Ameren stated:

- 1) the Commission should rule on the pricing zone issue before it grants the Motion to Withdraw; and
- 2) the Commission should rule that the Ameren Boot Heel Load it subject to AMMO Transmission Pricing Zone rates.

On February 10, 2014, FERC issued an Order on Motion to Withdraw, granting MISO's motion to withdraw the filing.

ER14-308

### **New York Independent System Operator, Inc. ("NYISO") Tariff Revisions Regarding Prohibited Investments**

On January 29, 2014, PJM Interconnection, L.L.C. filed a Request for Clarification of the December 30, 2013 Order.

PJM stated it supports the Commission's Order in this docket, but asked that the Commission clarify its acceptance of the test embodied in the NYISO's code of conduct rules regarding prohibited investments by the employees and directors of Independent System Operators and Regional Transmission Organizations. Specifically, PJM requested confirmation that the accepted methodology can be applied by other ISOs/RTOs.

On February 14, 2014, SPP, the Midcontinent Independent System Operator, Inc., California Independent System Operator Corp., and New York Independent System Operator, Inc. filed a Motion to Intervene Out of Time and Joint Comments in support of PJM Interconnection, L.L.C.'s Request for Clarification of the December 30, 2013 Order.

On February 26, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the December 30, 2013 Order.

ER14-309

### **New York Independent System Operator, Inc. ("NYISO") Revisions to Independent System Operator Agreement ("ISO Agreement") Regarding Prohibited Investments**

On January 29, 2014, PJM Interconnection, L.L.C. filed a Request for Clarification of the December 30, 2013 Order.

PJM stated it supports the Commission's Order in this docket, but asked that the Commission clarify its acceptance of the test embodied in the NYISO's code of conduct rules regarding prohibited investments by the employees and directors of Independent System Operators and Regional Transmission Organizations. Specifically, PJM requested confirmation that the accepted methodology can be applied by other ISOs/RTOs.

On February 14, 2014, SPP, the Midcontinent Independent System Operator, Inc., California Independent System Operator Corp., and New York Independent System Operator, Inc. filed a Motion to Intervene Out of Time and Joint Comments in support of PJM Interconnection, L.L.C.'s Request for Clarification of the December 30, 2013 Order.

On February 26, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the December 30, 2013 Order.

## Regulatory Status Report

- ER14-344**                    **Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and The Board of Public Utilities, Springfield, Missouri ("City Utilities") as Network Customer and Host Transmission Owner**
- On January 6, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective October 1, 2013 as requested.
- This order constitutes final agency action.
- ER14-391**                    **Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**
- On January 6, 2014, FERC issued a letter order accepting the agreement, effective November 1, 2013 as requested.
- This order constitutes final agency action.
- ER14-399**                    **Generator Interconnection Agreement ("GIA") between Steele Flats Wind Project, LLC ("Steele Flats") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**
- On January 10, 2014, FERC issued a letter order accepting the agreement, effective October 23, 2013 as requested.
- This order constitutes final agency action.
- ER14-401**                    **Interconnection Agreement between Oklahoma Gas & Electric Company ("OG&E") and Southwestern Public Service Company ("SPS"), with SPP as Signatory**
- On January 13, 2014, FERC issued a letter order accepting the agreement, effective January 14, 2014.
- This order constitutes final agency action.
- ER14-404**                    **Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**
- On January 10, 2014, FERC issued a letter order accepting the agreement, effective November 1, 2013 as requested.
- This order constitutes final agency action.
- ER14-416**                    **Submission of Tariff Revisions to Modify SPP Integrated Marketplace**
- On January 29, 2014, FERC issued an order accepting tariff revisions to modify, clarify, and supplemental certain aspects of the SPP Integrated Marketplace, subject to a compliance filing to remove the word "the" between "self-committed" and "following the close of the Day-Ahead RUC" in section 8.6.7.A(2)(f) of Attachment AE.
- An effective date of March 1, 2014 was granted.
- SPP's compliance filing is due February 28, 2014.

## Regulatory Status Report

On February 25, 2014, SPP submitted its compliance filing in response to the January 29, 2014 Order.

An effective date of March 1, 2014 was requested.

**ER14-418**

**Submission of Tariff Revisions to Revise the Stated Rate to Accommodate the Recovery of Revenue Requirements for SPP Member City of Coffeyville**

On January 13, 2014, FERC issued an order accepting the tariff revisions to revise the stated rate for Coffeyville Municipal Light and Power.

Effective dates of July 1, 2013 and September 30, 2013 were granted.

This order constitutes final agency action.

**ER14-438**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**

On January 14, 2014, FERC issued a letter order accepting the agreement, effective November 1, 2013 as requested.

This order constitutes final agency action.

**ER14-448**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners**

On January 14, 2014, FERC issued a letter order accepting the agreement, effective November 1, 2013 as requested.

This order constitutes final agency action.

**ER14-450**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On January 14, 2014, FERC issued a letter order accepting the agreement, effective November 1, 2013 as requested.

This order constitutes final agency action.

**ER14-521**

**Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 1**

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

## Regulatory Status Report

**ER14-522 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 2**

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

**ER14-523 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 3**

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

**ER14-524 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 4**

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

**ER14-526 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 5**

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

**ER14-528 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 6**

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

**ER14-529 Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 7**

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

## Regulatory Status Report

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-530

**Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 8**

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-531

**Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 9**

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-532

**Ministerial Filing to Submit Notices of Cancellation for Multiple Service Agreements - Group 10**

On January 23, 2014, SPP submitted supplemental information to include the Termination Date for each Notice of Cancellation.

On January 28, 2014, FERC issued an order accepting the Notices of Cancellation.

This order constitutes final agency action.

ER14-591

**Generator Interconnection Agreement ("GIA") between Lincoln Electric System ("LES") as Interconnection Customer and Transmission Owner**

On January 20, 2014, SPP submitted an answer in response to the Motion to Intervene and Protest filed by Lincoln Electric System on December 31, 2013.

SPP stated that LES' reliance on the proforma Small Generator Interconnection Agreement is misplaced as SPP's Generator Interconnection Agreement is based on the proforma Large Generator Interconnection Agreement.

In addition, SPP stated that in an Interconnection Customer makes an Interconnection Request in which LES would be the Transmission Owner, LES would also be subject to the same requirements for excess liability insurance in Article 18.3.4 of the proforma GIA as a Transmission Owner.

On January 28, 2014, Lincoln Electric System filed an answer in response to SPP's January 20, 2014 Answer.

LES stated that SPP wrongfully claims that the requirements in 18.3.4 are not "excessive" as applied to LES. LES also stated that SPP misconstrues LES' reference to the Commission's



## Regulatory Status Report

pro forma Small Generator Interconnection Agreement.

On February 7, 2014, FERC issued an order conditionally accepting the agreement for filing to be effective November 26, 2013, as requested, subject to SPP making a compliance filing to revise Article 18.3.4 to reflect that Lincoln Electric System shall not be required to carry excess liability insurance coverage exceeding its current coverage of \$10 million for each occurrence, which already exceeds the limitation on liability established in Nebraska Revised Statute § 13-926.

SPP's compliance filing is due on March 10, 2014.

On February 14, 2014, SPP submitted its compliance filing in response to the February 7, 2014 Order.

On March 12, 2014, SPP submitted an amended compliance filing in response to the February 7, 2014 Order.

ER14-592

**Generator Interconnection Agreement ("GIA") between Oklahoma Municipal Power Authority ("OMPA") as Interconnection Customer and Oklahoma Gas and Electric Company ("OG&E") as Transmission Owner**

On February 4, 2014, FERC issued a letter order accepting the agreement, effective November 15, 2013 as requested.

This order constitutes final agency action.

ER14-596

**Compliance Filing to Implement a Distribution Mechanism for Unreserved Penalty Revenues**

On January 2, 2014, the Municipal Energy Agency of Nebraska filed a Motion to Intervene.

On January 14, 2014, FERC issued an order accepting the revisions to Section 13.7 of the Tariff to incorporate a mechanism to distribute penalty revenues collected by SPP for unreserved use of firm transmission service.

An effective date of December 11, 2013 was granted.

This order constitutes final agency action.

ER14-617

**Generator Interconnection Agreement ("GIA") between Tulsa LFG, LLC ("Tulsa LFG") as Interconnection Customer and Public Service Company of Oklahoma ("PSO") as Transmission Owner**

On January 13, 2014, American Electric Power Service Corporation filed a Motion to Intervene Out-of-Time.

On February 4, 2014, FERC issued a letter order accepting the agreement, effective December 12, 2013 as requested.

This order constitutes final agency action.

ER14-620

**Submission of Change to Formula Rate Template for Kansas City Power & Light Company ("KCP&L") and KCP&L Greater Missouri Operations Company ("GMO")**

On February 4, 2014, FERC issued an order accepting the tariff revisions to implement a

## Regulatory Status Report

change in the formula rate templates for Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company.

An effective date of January 1, 2014 was granted.

This order constitutes final agency action.

**ER14-644 Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On February 12, 2014, FERC issued a letter order accepting the agreement, effective December 1, 2013 as requested.

This order constitutes final agency action.

**ER14-662 Affected Systems' Facilities Construction Agreement between SPP as Transmission Provider, Abengoa Bioenergy Biomass of Kansas, LLC ("Abengoa") as Interconnection Customer, and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner**

On January 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On February 4, 2014, FERC issued a letter order accepting the agreement, effective December 3, 2013 as requested.

This order constitutes final agency action.

**ER14-684 Midcontinent Independent System Operator, Inc. ("MISO") Filing of a Network Integration Transmission Service Agreement ("NITSA") with Arkansas Electric Cooperative Corporation ("AECC")**

On January 2, 2014, South Mississippi Electric Power Association filed a Motion to Intervene.

On January 8, 2014, Arkansas Electric Cooperative Corporation filed a Motion to Intervene.

On January 8, 2014, the MISO Transmission Owners filed a Motion to Intervene.

On January 8, 2014, Entergy Arkansas, Inc. filed a Motion to Intervene and Comments. EAI stated it does not oppose the NITSA provided that EAI's understanding of the agreement is correct and requested that MISO confirm several things regarding the agreement.

On January 8, 2014, SPP filed a doc-less Motion to Intervene.

On January 23, 2014, SPP filed a Motion to Accept Comments Out of Time and Comments.

SPP stated:

- 1) the December 18 Filing does not accurately represent AECC's network service arrangements with SPP;
- 2) the proposed NITSA requires clarification regarding the scope of the pseudo-tie arrangements out of SPP and impacts on market operations.

On January 23, 2014, Arkansas Electric Cooperative Corporation filed an answer in response to Entergy Arkansas, Inc.'s Motion to Intervene and Comments.

## Regulatory Status Report

AECC stated it does not oppose EAI's intervention and provided responses to EAI's comments.

On February 6, 2014, American Electric Power Service Company filed a Motion to Intervene Out-of-Time.

On February 7, 2014, Southwestern Power Administration filed a Motion to Intervene with Comments Out of Time.

Southwestern requested the Commission require MISO to reformulate the proposed NITSA to provide more specificity as to which resources are to serve which loads that AECC has proposed be pseudo-tied from Southwestern into MISO.

On February 7, 2014, the Arkansas Electric Cooperative Corporation filed an Answer to SPP's Motion to Accept Comments Out of Time and Comments.

AECC stated it does not believe SPP's comments included in its Motion have identified any basis for changing the NITSA as filed, or for altering MISO's proposed implementation of the MISO Tariff to accommodate the pseudo-tie arrangement in a manner that would preserve the benefits to be realized from AECC's MISO membership and the MISO Tariff 31.3 exemption. AECC also stated that if SPP has decided that it cannot complete both the technical and legal work required to implement the pseudo-tie by June 1, 2014, for whatever work that SPP believes is outstanding, AECC respectfully requests that SPP so state forthrightly so that AECC does not lose the opportunity for its members that the proposed arrangement represents.

On February 11, 2014, Arkansas Electric Cooperative Corporation filed an answer to the Motion to Intervene and Comments Out of Time of Southwestern Power Administration.

AECC stated that Southwestern's Motion includes some statements that do not represent fully the pseudo-tie process, to date, and further appear to present obstacles to timely finalization of the pseudo-tie arrangement, which is already underway.

On February 14, 2014, Southwestern Power Administration filed a Motion for Leave to Reply, Reply and Protest.

Southwestern stated it does not object to the pseudo-tie as it has fundamentally been presented to Southwestern in various interactions with Arkansas Electric Cooperative Corporation. However, the reference to Southwestern's Federal hydroelectric resources in the NITSA was not contemplated by Southwestern, nor presented by AECC during pseudo-tie arrangement discussions. Therefore, until AECC requests such a change to the contractual arrangements with Southwestern, Southwestern cannot support the NITSA as filed.

On February 14, 2014, FERC issued an Order Accepting Service Agreement.

An effective date of December 19, 2013 was granted.

On March 19, 2014, Arkansas Electric Cooperative Corporation filed a letter addressed to FERC Secretary Bose from Arkansas Electric Cooperative Corporation regarding the Southwestern Power Administration.

AECC stated it filed this letter to notify the Commission, Southwestern and any affected or interested parties that AECC does not intend to convert points of delivery for non-federal power

## Regulatory Status Report

to points of delivery for federal power and AECC acknowledges that its existing transmission service arrangements with Southwestern were not designed to address transmission services needs in the Entergy Arkansas Balancing Authority area. AECC stated it has committed to Southwestern directly, and affirms that commitment herein, to work with Southwestern to satisfy its concerns with the pseudo-tie arrangements consistent with the Commission's February 14, 2012 approval order.

ER14-781

### **Submission of Tariff Revisions to Modify the Generator Interconnection Procedures**

On January 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On January 7, 2014, NextEra Energy Resources, LLC filed a Motion to Intervene.

On January 7, 2014, FERC issued a Notice of Extension of Time extending the comment deadline to January 17, 2014.

On January 7, 2014, E.ON Climate & Renewables North America, LLC filed a Motion to Intervene.

On January 10, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On January 10, 2014, Xcel Energy Services Inc. filed a Motion to Intervene.

On January 17, 2014, E.ON Climate & Renewables North America LLC filed Comments.

E.ON stated:

- 1) SPP's proposed revisions will not result in an increased use of the Feasibility and PISIS queues;
- 2) the cure period during the DISIS review period should be clarified;
- 3) the process for interconnection queue position priority needs to be clarified;
- 4) the SPP Generator Interconnection Procedures should be revised to provide that, if there are common impacts from two or more interconnection customers moving into the Interconnection Facilities Queue, an interconnection customer's deposit will be refundable if, based on the subsequent DISIS results, it chooses not to proceed to the Interconnection Facilities Queue;
- 5) the interconnection customer must be provided interest for the time value of money for any portion of the initial payment that is not expended on a timely basis;
- 6) a lower-queued project should be provided an opportunity for Limited Operation service in not being utilized by a higher-queued project;
- 7) if unused interconnection capacity is released, the interconnection customer that paid for Network Upgrades creating that capacity should be compensated by customers that use the released interconnection capacity;
- 8) SPP's GIP needs to more specifically account for phased projects;
- 9) SPP's proposed GIP revisions are incomplete: Transmission Provider accountability requirements are needed if the reformation goals SPP seek are to be successful; SPP should provide a 20% refund for each 30 day period SPP delays in providing study results beyond the dates in its GIP.

On January 17, 2014, Acciona Wind Energy USA LLC filed a Motion to Intervene and Protest.

Acciona stated:

- 1) projects with effective interconnection agreements must be able to rely on suspension

## Regulatory Status Report

provisions existing at the time the agreements were reached; and  
2) projects in Commercial Operation should not be required to enter a revised interconnection agreement clawing back a portion of interconnection service that they have been allocated and potentially paid for by way of Network Upgrades.

On January 17, 2014, Flat Ridge 2 Wind Energy LLC filed a Motion to Intervene and Protest.

Flat Ridge stated that certain aspects of SPP's proposal as it relates to Interconnection Customers with existing Generator Interconnection Agreements are unreasonably vague and could lead to significant negative consequences for such Interconnection Customers, while providing little or no corresponding benefit to the SPP interconnection process. Flat Ridge further stated that SPP should at a minimum be required to modify its proposed Generator Interconnection Procedures and Generator Interconnection Agreement in order to provide additional clarity for existing Interconnection Customers.

On January 17, 2014, the American Wind Energy Association and the Wind Coalition filed a Motion to Intervene and Protest.

The parties stated:

- 1) SPP's proposal is incomplete and more reforms are needed in order to address interconnection problems in a way that will ensure open access as well as just and reasonable rates;
- 2) SPP must provide additional clarification regarding interconnection customers who stay in the DISIS for more than one cycle;
- 3) requiring interconnection customers to pay for acceleration of previously identified regional Network Upgrades under Limited Operation Generator Interconnection Agreement (i.e., priority projects, Integrated Transmission Planning, etc.) is not just and reasonable;
- 4) SPP should be required to allow parties to interconnect their projects prior to the completion of upgrades that allow full deliverability, provided these projects are willing to accept deliverability limits/operating limits until those upgrades are on-line;
- 5) SPP's proposal that parties be allowed to jump a GIA in order to use existing transmission capacity adds unnecessary uncertainty to the interconnection process and must at a minimum be clarified in the Tariff;
- 6) SPP must clarify that value of DISIS results for facilities study;
- 7) SPP must provide clarification regarding Hendrix testimony when two or more DISIS customers move forward into the Facilities study;
- 8) SPP should be required to offer three DISIS cycles each year;
- 9) Transmission Owners must participate to avoid delays for interconnection customers;
- 10) SPP must resolve issues with the network refunds owed to interconnection customers as required by Attachments Z1 and Z2 of the Tariff prior to an effective date for these proposed interconnection reforms;
- 11) SPP must clarify how payment for Network Upgrades will be treated if a GIA is terminated or partially terminated prior to an interconnection customer's installation of the full amount of MW in their GIA; and
- 12) SPP should adopt a de minimis threshold for allowing increases in capacity.

On January 17, 2014, Invenergy Wind Development LLC and Invenergy Thermal Development LLC filed a Motion to Intervene and Protest.

Invenergy stated:

- 1) the Interconnection Study Milestones are not just and reasonable;
- 2) a requirement for potentially non refundable deposits upon Generator Interconnection

## Regulatory Status Report

Agreement execution is not just and reasonable;

3) SPP's proposal for customers to forfeit milestone payments is not just and reasonable;

4) the Commission should not allow SPP to eliminate non-financial milestones; and

5) SPP's proposal to allow generators to jump queue positions is unreasonable.

Inverenergy also made suggestions of additional measures it believes will improve SPP's queue processing.

On January 17, 2014, NextEra Energy Resources, LLC filed a Protest.

NextEra stated:

1) an Interconnection Customer should not be involuntarily deprived of Network Upgrades that it has funded;

2) if SPP terminates capacity under an Interconnection Agreement, the Interconnection Customers must be immediately reimbursed for its Network Upgrade expenditures;

3) SPP has not justified why some Interconnection Customers would be forced to fund previous Network Upgrades while others could choose to do so and has not adequately explained cost implications of accelerating a Network Upgrade; and

4) SPP does not explain how its implementation of the Integrated Marketplace will affect the Generator Interconnection Process.

On January 31, 2014, Westar Energy, Inc. filed a Motion to Intervene Out-of-Time.

On February 4, 2014, SPP filed an answer in response to comments and protests filed in this proceeding.

On February 28, 2014, FERC issued a Deficiency Letter requiring additional information in order to process the filing.

SPP's response is due on April 14, 2014.

ER14-788

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On February 12, 2014, FERC issued a letter order accepting the agreement, effective November 2, 2013 as requested.

This order constitutes final agency action.

ER14-791

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owners**

On January 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On February 12, 2014, FERC issued a letter order accepting the agreement, effective December 1, 2013 as requested.

This order constitutes final agency action.

## Regulatory Status Report

- ER14-794**                    **Submission of a Notice of Cancellation of Comprehensive Seams Agreement Between Energy Services, Inc. and the Southwest Power Pool, Inc. (Rate Schedule No. 12)**
- On February 12, 2014, FERC issued an order accepting the notice of termination of the Comprehensive Seams Agreement Between Entergy Services, Inc. and SPP.
- An effective date of December 19, 2013 was granted.
- This order constitutes final agency action.
- ER14-806**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") as Host Transmission Owner**
- On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- On February 18, 2014, FERC issued a letter order accepting the agreement, effective December 1, 2013 as requested.
- This order constitutes final agency action.
- ER14-807**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners**
- On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- On February 12, 2014, FERC issued a letter order accepting the agreement, effective December 1, 2013 as requested.
- This order constitutes final agency action.
- ER14-808**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On February 18, 2014, FERC issued a letter order accepting the agreement, effective December 1, 2013 as requested.
- This order constitutes final agency action.
- ER14-812**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner**
- On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

## Regulatory Status Report

On February 18, 2014, FERC issued a letter order accepting the agreement, effective December 1, 2013 as requested.

This order constitutes final agency action.

**ER14-813**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner**

On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On February 12, 2014, FERC issued a letter order accepting the agreement, effective December 1, 2013 as requested.

This order constitutes final agency action.

**ER14-814**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner**

On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On February 12, 2014, FERC issued a letter order accepting the agreement, effective December 1, 2013 as requested.

This order constitutes final agency action.

**ER14-815**

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as both Network Customer, and Host Transmission Owner**

On January 9, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On February 12, 2014, FERC issued a letter order accepting the agreement, effective December 1, 2013 as requested.

This order constitutes final agency action.

**ER14-844**

**Order No. 676-G Compliance Filing**

On January 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On March 4 2014, FERC issued an order accepting SPP's Order No. 676-G Compliance Filing revising Attachment R-1 of the Tariff to incorporate by reference the updated business practice standards adopted by the Wholesale Electric Quadrant of the North American Energy Standards Board.

An effective date of May 6, 2013 was granted.



## Regulatory Status Report

ER14-859

**MISO Filing of Revisions to the Joint Operating Agreement ("JOA") Between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP to Include Terms for Emergency Energy Assistance**

On January 6, 2014, Exelon Corporation filed a Motion to Intervene.

On January 17, 2014, SPP filed a Motion to Intervene.

On January 17, 2014, Wisconsin Electric Power Company filed a Motion to Intervene.

On February 13, 2014, MISO submitted an amendment to its revisions to the Joint Operating Agreement Between the Midcontinent Independent System Operator, Inc. and SPP to include provisions to govern emergency energy transactions between SPP and MISO.

An effective date of March 1, 2014 was requested.

On February 26, 2014, FERC issued an order accepting the revisions to the Joint Operating Agreement Between the Midcontinent Independent System Operator, Inc. and SPP to include provisions to govern emergency energy transactions between SPP and MISO.

An effective date of March 1, 2014 was granted.

This order constitutes final agency action.

ER14-862

**Midcontinent Independent System Operator, Inc.'s ("MISO") Filing of Revisions to the Joint Operating Agreement ("JOA") between MISO and PJM Interconnection, L.L.C. to Provide for Coordinated Planning Associated with Requests for Incremental Auction Revenue Rights ("ARRs")**

On January 6, 2014, Edison Mission Energy filed a Motion to Intervene.

On January 10, 2014, Consumers Energy Company and Exelon Corporation filed Motions to Intervene.

On January 13, 2014, Illinois Commerce Commission filed a Notice Intervention.

On January 13, 2014, NRG Companies filed a Motion to Intervene.

On January 16, 2014, SPP filed a Motion to Intervene.

On January 17, 2014, Wisconsin Electric Power Company filed a Motion to Intervene.

On January 17, 2014, the Northern Indiana Public Service Company filed a Motion to Intervene and Comments.

NIPSC stated:

- 1) broader assessment of potential impacts is necessary; and
- 2) the RTOs should undertake a more proactive approach to studies using a common model.

On January 17, 2014, Exelon Corporation filed Comments.

Exelon suggested several revisions to the Joint Operating Agreement.

On February 10, 2014, PJM Interconnection, L.L.C. and the Midcontinent Independent System Operator, Inc. filed a Joint Motion for Leave to Answer and Answer in response to comments

## Regulatory Status Report

filed by the Northern Indiana Public Service Company and Exelon Corporation.

On February 25, 2014, FERC issued an Order Accepting Revisions to Joint Operating Agreement to provide for coordinated planning associated with requests for Incremental Auction Revenue Rights.

An effective date of February 26, 2014 was granted.

ER14-863

**SPP Filing of Revisions to the Joint Operating Agreement ("JOA") Between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP to Include Terms for Emergency Energy Assistance**

On January 9, 2014, Exelon Corporation filed a Motion to Intervene.

On January 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On February 13, 2014, SPP submitted an amendment to its revisions to the Joint Operating Agreement Between the Midcontinent Independent System Operator, Inc. and SPP to include provisions to govern emergency energy transactions between SPP and MISO.

An effective date of March 1, 2014 was requested.

On February 26, 2014, FERC issued an order accepting the revisions to the Joint Operating Agreement Between the Midcontinent Independent System Operator, Inc. and SPP to include provisions to govern emergency energy transactions between SPP and MISO.

An effective date of March 1, 2014 was granted.

This order constitutes final agency action.

ER14-866

**Order No. 784 Compliance Filing**

On January 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-962

**Interim Generator Interconnection Agreement ("Interim GIA") between Steele Flats Wind Project, LLC ("Steele Flats") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**

On January 8, 2013, SPP submitted an executed GIA between SPP as Transmission Provider, Steele Flats Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. 2642.

An effective date of December 13, 2013 was requested.

On March 6, 2014, FERC issued a letter order accepting the agreement, effective December 13, 2013 as requested.

This order constitutes final agency action.

ER14-1002

**Submission of Revisions to Bylaws to Clarify the Voting Process for Corporate Governance Committee Vacancies and to Update the Definition of the Term "Net Energy for Load"**

## Regulatory Status Report

On January 15, 2014, SPP submitted revisions to its Bylaws intended to clarify the voting process for Corporate Governance Committee vacancies and to update the definition of the term "Net Energy for Load", which is applied solely in the calculation of a Member's share of SPP's financial obligations upon withdrawal or termination from SPP.

An effective date of March 16, 2014 was requested.

On January 30, 2014, Sunflower Electric Power Corporation filed a Motion to Intervene.

On March 7, 2014, FERC issued an order accepting SPP's revisions to its Bylaws clarifying the voting process for Corporate Governance Committee vacancies and to update the definition of the term "Net Energy for Load", which is applied solely in the calculation of a Member's share of SPP's financial obligations upon withdrawal or termination from SPP.

An effective date of March 16, 2014 was granted.

This order constitutes final agency action.

ER14-1058

**Generator Interconnection Agreement ("GIA") between Waverly Wind Farm, LLC ("Waverly Wind") as Interconnection Customer and Westar Energy, Inc. ("Westar") as Transmission Owner and Notice of Cancellation on Unexecuted GIA**

On January 17, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Waverly Wind Farm, LLC as Interconnection Customer, and Westar Energy, Inc. as Transmission Owner. SPP Service Agreement No. 2652.

SPP also submitted a Notice of Cancellation of the unexecuted GIA between SPP, Waverly Wind and Westar originally filed in Docket No. ER13-469. SPP Service Agreement No. 2501.

An effective date of December 30, 2013 was requested.

On March 11, 2014, FERC issued a letter order accepting the agreement and Notice of Cancellation, effective December 30, 2013 as requested.

This order constitutes final agency action.

ER14-1101

**Transmission Interconnection Agreement between Oklahoma Gas and Electric Company ("OG&E") and Prairie Wind Transmission, LLC ("Prairie Wind"), with SPP as Signatory**

On January 21, 2014, SPP submitted an executed Transmission Interconnection Agreement between Oklahoma Gas and Electric Company and Prairie Wind Transmission, LLC, with SPP as a signatory. SPP Service Agreement No. 2638.

SPP requested an effective date of the date of the Commission's order accepting the agreement.

On February 5, 2014, SPP submitted an errata filing to correct the name of an Interconnection Point in the agreement.

ER14-1103

**Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

## Regulatory Status Report

On January 21, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Fifth Revised 2548.

An effective date of January 1, 2014 was requested.

On March 19, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1104

### **Meter Agent Services Agreement between City of Chanute ("Chanute") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On January 21, 2014, SPP submitted an executed Meter Agent Services Agreement between City of Chanute as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. First Revised 2564.

An effective date of January 1, 2014 was requested.

On March 4, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1105

### **Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On January 21, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Seventeenth Revised 1374.

An effective date of January 1, 2014 was requested.

On March 19, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1126

### **Request for Recovery of Charges in Accordance with Attachment AP (Allocation of Costs Associated with Reliability Penalty Assessments)**

On January 22, 2014, SPP filed a request, in accordance with Attachment AP of the Tariff, for Commission approval to allow SPP to recover the costs associated with a penalty assessed against SPP resulting from Commission approval of a Stipulation and Consent Agreement between SPP, staff of the North American Electric Reliability Corporation and the Commission's Office of Enforcement.

An effective date of July 10, 2013 was requested.

On January 24, 2014, ITC Great Plains, LLC filed a Motion to Intervene.

On February 7, 2014, Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation filed a Motion to Intervene.

## Regulatory Status Report

On March 13, 2014, FERC issued an order accepting SPP's request, in accordance with Attachment AP of the Tariff, for Commission approval to allow SPP to recover the costs associated with a penalty assessed against SPP resulting from Commission approval of a Stipulation and Consent Agreement between SPP, staff of the North American Electric Reliability Corporation and the Commission's Office of Enforcement.

An effective date of July 10, 2013 was granted.

This order constitutes final agency action.

ER14-1163

### **Market Participant Agreement between SPP as Transmission Provider and AEP Energy Partners, Inc. ("AEP Energy Partners") as Customer**

On January 28, 2014, SPP submitted an executed Market Participant Agreement between SPP as Transmission Provider and AEP Energy Partners, Inc. as Customer. SPP Service Agreement No. 2660.

An effective date of March 1, 2014 was requested.

On March 27, 2014, SPP filed a Motion to Withdraw the January 28, 2014 Filing. The non-conforming agreement was replaced by a conforming agreement which will be reported solely in SPP's Electric Quarterly Report.

ER14-1164

### **Market Participant Agreement between SPP as Transmission Provider and American Electric Power Service Corporation as Customer**

On January 28, 2014, SPP submitted an executed Market Participant Agreement between SPP as Transmission Provider and American Electric Power Service Corporation, as agent for Public Service Company of Oklahoma and Southwestern Electric Power Company as Customer. SPP Service Agreement No. 2661.

An effective date of March 1, 2014 was requested.

On March 27, 2014, SPP filed a Motion to Withdraw the January 28, 2014 Filing. The non-conforming agreement was replaced by a conforming agreement which will be reported solely in SPP's Electric Quarterly Report.

ER14-1165

### **Market Participant Agreement between SPP as Transmission Provider and Oklahoma Gas and Electric Company ("OG&E") as Customer**

On January 28, 2014, SPP submitted an executed Market Participant Agreement between SPP as Transmission Provider and Oklahoma Gas and Electric Company as Customer. SPP Service Agreement No. 2742.

An effective date of March 1, 2014 was requested.

On March 18, 2014, SPP filed a Motion to Withdraw the January 18, 2014 Filing. The non-conforming agreement was replaced by a conforming agreement which will be reported solely in SPP's Electric Quarterly Report.

ER14-1166

### **Market Participant Agreement between SPP as Transmission Provider and Southwestern Public Service Company ("SPS") as Customer**

On January 28, 2014, SPP submitted an executed Market Participant Agreement between

## Regulatory Status Report

SPP as Transmission Provider and Southwestern Public Service Company, in its capacity as the Market Participant to be registered under the name Southwestern Public Service Co. PSE, as Customer. SPP Service Agreement No. 2757.

An effective date of March 1, 2014 was requested.

On March 6, 2014, SPP filed a Motion to Withdraw the January 28, 2014 Filing. The non-conforming agreement was replaced by a conforming agreement which will be reported solely in SPP's Electric Quarterly Report.

ER14-1168

**Market Participant Agreement between SPP as Transmission Provider and Westar Energy, Inc. ("Westar") as Customer**

On January 18, 2014, SPP submitted an executed Market Participant Agreement between SPP as Transmission Provider and Westar Energy, Inc. as Customer. SPP Service Agreement No. 2778.

An effective date of March 1, 2014 was requested.

On March 6, 2014, SPP filed a Motion to Withdraw the January 28, 2014 Filing. The non-conforming agreement was replaced by a conforming agreement which will be reported solely in SPP's Electric Quarterly Report.

ER14-1170

**Network Integration Transmission Service Agreement ("NITSA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner, as well as a Network Operating Agreement ("NOA")**

On January 28, 2014, SPP filed an executed NITSA between SPP as Transmission Provider and Arkansas Electric Cooperative Corporation as Network Customer, as well as a NOA with American Electric Power Service Corporation, as agent for Southwestern Electric Power Company, as Host Transmission Owner. SPP Service Agreement No. Fifth Revised 1518.

An effective date of January 1, 2014 was requested.

On February 19, 2014, Arkansas Electric Cooperative Corporation filed a Motion to Intervene.

On March 10, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1171

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**

On January 28, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Fourteenth Revised 2198.

An effective date of January 1, 2014 was requested

## Regulatory Status Report

On January 30, 2014, Kansas Power Pool filed a Motion to Intervene.

On February 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On March 10, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1174

### **Unexecuted Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Midcontinent Independent System Operator, Inc. ("MISO") as Transmission Customer**

On January 28, 2014, SPP submitted an unexecuted service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Midcontinent Independent System Operator, Inc. as Transmission Customer. SPP Service Agreement No. 2794.

The purpose of this agreement is to assess charges for MISO's use of SPP's Transmission System as a result of MISO's real-time energy transfers between the MISO Midwest and MISO South regions.

An effective date of January 29, 2014 was requested.

On January 28, 2014, SPP filed a Complaint and Request for Fast Track Processing and Motion to Consolidate.

SPP submitted the Complaint for an order finding that the Midcontinent Independent System Operator, Inc. is violating the Joint Operating Agreement ("JOA") between SPP and MISO and SPP's Tariff, requiring MISO to compensate SPP for use of SPP's transmission system in accordance with the SPP Tariff. In the event the Commission does not so find, SPP alternatively requests that the Commission find 1) that the JOA is no longer just, reasonable, and not unduly discriminatory to the extent it does not provide a mechanism by which SPP may assess charges for MISO's use of the SPP transmission system to integrate the former Energy Operating Companies; and 2) that the compensation mechanism set forth herein is the just, reasonable, and not unduly discriminatory rate for MISO's use of the SPP transmission system.

SPP requested that Docket Nos. ER14-1174, EL11-34 and EL14-21 be consolidated.

On February 13, 2014, the Louisiana Public Service Commission filed a Notice of Intervention.

On February 18, 2014, Consumers Energy Company filed a Motion to Intervene.

On February 18, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments in support of the complaint and service agreement filing made by SPP in Docket Nos. EL14-21 and ER14-1174.

Various parties have filed doc-less Motions to Intervene.

On February 18, 2014, Associated Electric Cooperative, Inc., Louisville Gas and Electric Company, Kentucky Utilities Company, PowerSouth Energy Cooperative, Southern Company

## Regulatory Status Report

Services, Inc., and the Tennessee Valley Authority ("Joint Parties") filed a Motion to Intervene and Comments.

The Joint Parties stated that they believe any compensation resulting from dispatch flows should be determined based on the flows that are occurring across the systems of all the Joint Parties and SPP.

On February 18, 2014, the MISO Transmission Owners filed a Motion to Intervene and Protest.

The MISO TOs stated that it opposes SPP's unprecedented action in trying to force the Midcontinent Independent System Operator, Inc. to become an SPP transmission customer through the unilateral drafting and filing of an unexecuted and non-conforming service agreement.

On February 18, 2014, the NRG Companies filed a Protest in response to SPP's Complaint and service agreement filing.

The NRG Companies stated:

- 1) the Complaint appears to be an impermissible attempt to bypass the Commission's consideration of the Remand Order;
- 2) granting the relief requested in SPP's Complaint raises the specter of rate pancaking; and
- 3) the success of the MISO-PJM Seam should be used as a template for the MISO-SPP Seam.

On February 18, 2014, Entergy Services, Inc. filed an Answer, Protest and Motion to Intervene in response to SPP's Complaint and service agreement filing.

Entergy stated:

- 1) MISO's interpretation of the Joint Operating Agreement is consistent with all relevant canons of contract interpretation;
- 2) the Commission should reject SPP's proposed unreserved use penalties and the non-conforming unexecuted service agreement;
- 3) SPP's Complaint in EL14-21 should be rejected; and
- 4) the Commission should deny SPP's request for a trial-type hearing and reject or suspend its penalty filing for the maximum period.

On February 18, 2014, the ITC Companies filed a Motion to Intervene and Comment.

ITC stated it strongly encourages the Commission to go beyond a superficial analysis that merely studies power flows across the newly expanded MISO region and associated impacts to the neighboring SPP region.

On February 18, 2014, the Louisiana Public Service Commission filed an Answer and Protest to Complaint and to Section 205 Service Agreement Filing.

The LPSC stated that the filings seek to prevent the Midcontinent Independent System Operator, Inc. from relying on the clear terms of the existing Joint Operating Agreement between MISO and SPP and to require MISO compensate SPP for flows onto the transmission systems owned by SPP members and operated by SPP in violation of the clear terms of Section 5.2 of the JOA. LPSC also stated that SPP failed to demonstrate that the JOA is unjust and unreasonable or unduly discriminatory and should be amended.

On February 18, 2014, Western Area Power Administration filed a Motion to Intervene and



## Regulatory Status Report

Comments in support of the Complaint and Motion to Consolidate filed by SPP.

On February 18, 2014, the SPP Transmission Owners filed Comments and Supporting Evidence in support of SPP's Complaint.

On February 18, 2014, the Midcontinent Independent System Operator, Inc. filed a Motion to Intervene and Protest.

MISO stated that the unexecuted Service Agreement should be rejected because:

- 1) it is not authorized under Section 205 of the Federal Power Act and the SPP-MISO Joint Operating Agreement;
- 2) it violates the Commission's directives and policy regarding the role of Regional Transmission Organizations in the provision of transmission service and fails to meet the legal requirements established by the Commission for the approval of non-conforming service agreements;
- 3) it contains an arbitrary and unworkable methodology for assessing transmission charges on MISO; and
- 4) it is not cost-supported and will produce a windfall to SPP and its transmission-owning members.

On February 18, 2014, Xcel Energy Services Inc. filed a Motion to Intervene and Comments.

Xcel stated:

- 1) market flows can be managed through the Joint Operating Agreement and should not be compensated;
- 2) the MISO Service Agreement for unreserved use is not appropriate for congestion management between SPP and MISO; and
- 3) the Commission should act expeditiously to resolve the dispute under the JOA.

On February 18, 2014, Basin Electric Power Cooperative filed a Motion to Intervene and Comments in support of the Complaint filed by SPP.

On February 20, 2014, Northern Indiana Public Service Company filed a Motion to Intervene Out-of-Time.

On March 5, 2014, the SPP Transmission Owners filed an answer in response to Answers, Comments and Protests filed in this proceeding.

On March 5, 2014, SPP filed an answer in response to Answers, Protests, Comments and Motion to Dismiss filed in this proceeding.

SPP stated:

- 1) disposition of SPP's Complaint requires establishment of formal evidentiary hearings;
- 2) SPP's alternative request to revise the Joint Operating Agreement presents factual issues that are properly examined in a trial-type hearing;
- 3) the MISO service agreement should be accepted and made effective subject to refund pending the outcome of Commission deliberations on the SPP Complaint and alternative request for the Joint Operating Agreement revision; and
- 4) the Commission should consolidate the proceedings related to the proper interpretation of Joint Operating Agreement Section 5.2 and compensation for transmission service over SPP's transmission system arising from the MISO South integration.

On March 5, 2014, Basin Electric Power Cooperative filed an answer in response to the

## Regulatory Status Report

Midcontinent Independent System Operator, Inc.'s February 18, 2014 Answer.

Basin Electric stated:

- 1) integration of the Integrated System into SPP does not support MISO's sharing concept;
- 2) Order No. 890 does not prohibit RTOs from taking transmission service;
- 3) Order Nos. 890 and 890-A support the application of an unreserved penalty;
- 4) MISO cannot make free use of available SPP capacity.

On March 18, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to various comments filed by Parties in support of SPP's Complaint filed on February 18, 2014.

MISO stated:

- 1) the SPP Parties' fundamental assumption about Section 5.2 is incorrect; and
- 2) specific arguments of the SPP Parties are in error.

On March 25, 2014, the MISO Transmission Owners filed an answer in response to various filings.

The MISO TOs stated:

- 1) there is no reason to hold an evidentiary hearing;
- 2) SPP's arguments that MISO is a transmission customer are flawed;
- 3) the question of interpretation of the Joint Operating Agreement should be addressed narrowly; and
- 4) SPP's Complaint should be dismissed.

On March 25, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to various filings.

MISO stated:

- 1) SPP mischaracterizes MISO's negotiation position;
- 2) an evidentiary hearing is neither required nor necessary to ascertain the meaning of Section 5.2 of the Joint Operating Agreement;
- 3) MISO does not take transmission service from SPP;
- 4) it disagrees that its Complaint is duplicative and raises no new issues, as claimed by SPP;
- 5) it does not believe that consolidating any of the proceedings with MISO's regional through-and-out rate proceeding in Docket No. EL14-19 would be in the public interest.

On March 28, 2014, FERC issued an Order on Remand and Complaints, Accepting and Suspending Service Agreement, Consolidating Proceedings and Establishing Hearing and Settlement Judge Procedures.

The Service Agreement was accepted for filing, suspended for a nominal period, subject to refund and hearing and settlement judge procedures. Hearing and settlement judge procedures were also established on the SPP Complaint, MISO Complaint, and the SPP-MISO Joint Operating Agreement Remand proceeding.

Docket Nos. ER14-1174-000, EL14-21-000, EL14-30-000 and EL11-34-002 were consolidated for purposes of settlement, hearing and discussion.

The refund effective date in Docket No. EL14-21-000 pursuant to Section 206(b) of the Federal Power Act will be January 29, 2014. The refund effective date in Docket No. EL14-30-000 will

## Regulatory Status Report

be February 18, 2014.

ER14-1175

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner**

On January 28, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Sunflower Electric Power Corporation as Host Transmission Owner. SPP Service Agreement No. 2646.

An effective date of January 1, 2014 was requested.

On February 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On March 10, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1176

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On January 28, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2655.

An effective date of January 1, 2014 was requested.

On March 10, 2014, SPP submitted an amended NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2655.

An effective date of January 1, 2014 was requested.

ER14-1177

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and KMEA and Westar Energy, Inc. ("Westar") as Host Transmission Owners**

On January 28, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with KMEA and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. 2656.

An effective date of January 1, 2014 was requested.

On March 10, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

## Regulatory Status Report

This order constitutes final agency action.

ER14-1178

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On January 28, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. 2657.

An effective date of January 1, 2014 was requested.

On March 10, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1190

**Notice of Cancellation of Tariff Sheets to Remove Formula Rate Template and Revisions to Attachments H and T to Reflect a Stated Rate for Kansas Power Pool ("KPP")**

On January 29, 2014, SPP submitted tariff revisions to remove the formula rate template for Kansas Power Pool and to reflect a stated rate for KPP.

An effective date of December 20, 2011 was requested.

On January 31, 2014, Kansas Power Pool filed a Motion to Intervene.

On January 31, 2014, Westar Energy, Inc. filed a Motion to Intervene.

On February 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On March 25, 2014, FERC issued an order accepting SPP's tariff revisions to remove the formula rate template for Kansas Power Pool and to reflect a stated rate for KPP, effective December 20, 2011.

This order constitutes final agency action.

ER14-1204

**Tariff Revisions to Permit Market Participation by the Western Area Power Administration ("Western")**

On January 29, 2014, SPP submitted tariff revisions necessary to permit the Western Area Power Administration to participate in SPP's Integrated Marketplace.

SPP requested that the Commission issue an order on this filing no later than March 15, 2014, so as to permit Western notice of the Commission's determination in advance of its intended April 1, 2014 participation.

An effective date of March 30, 2014 was requested.

On January 31, 2014, Westar Energy, Inc. filed a Motion to Intervene.

On February 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric

## Regulatory Status Report

Company, LLC filed a Motion to Intervene.

On February 19, 2014, Western Area Power Administration filed a Motion to Intervene and Comments in Support of SPP's January 29, 2014 Filing.

On February 19, 2014, Rolling Thunder I Power Partners, LLC filed a Motion to Intervene.

On February 19, 2014, Southwestern Power Administration filed a Motion to Intervene.

On February 25, 2014, Xcel Energy Services Inc. filed a Motion to Intervene.

On March 14, 2014, FERC issued an order accepting SPP's tariff revisions necessary to permit the Western Area Power Administration to participate in SPP's Integrated Marketplace.

An effective date of March 30, 2014 was granted.

This order constitutes final agency action.

### ER14-1209

#### **Ministerial Filing to Correct Attachments H and T**

On January 30, 2014, SPP submitted tariff revisions to make ministerial changes to Attachments H and T in order to correct administrative oversights from previous filings and to maintain consistency with the tariff revisions submitted in Docket No. ER14-1190-000, which incorporate a stated rate for Kansas Power Pool.

Multiple effective dates were requested.

On February 4, 2014, Kansas Power Pool filed a Motion to Intervene.

On February 18, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On March 25, 2014, FERC issued an order accepting SPP's tariff revisions to make ministerial changes to Attachments H and T in order to correct administrative oversights from previous filings and to maintain consistency with the tariff revisions submitted in Docket No. ER14-1190-000, which incorporate a stated rate for Kansas Power Pool.

Multiple effective dates were granted.

This order constitutes final agency action.

### ER14-1217

#### **Submission of Changes to Southwestern Power Administration Pricing Zone Rates**

On January 30, 2014, SPP submitted tariff revisions to update the rates for transmission service for the Southwestern Power Administration pricing zone under the Tariff.

An effective date of October 1, 2013 was requested. SPP also requested an effective date of January 1, 2014 for ministerial changes in order to include the rate change in the current Tariff.

On February 20, 2014, Southwestern Power Administration filed a Motion to Intervene.

On March 13, 2014, FERC issued an order accepting the tariff revisions to update the rates for transmission service for the Southwestern Power Administration pricing zone under the Tariff.

Effective dates of October 1, 2013 and January 1, 2014 were granted.

## Regulatory Status Report

This order constitutes final agency action.

ER14-1225

### **Submission of Rate for Lea County Electric Cooperative, Inc.**

On January 30, 2014, SPP submitted tariff revisions to implement a stated rate to accommodate the recovery of revenue requirements for SPP member Lea County Electric Cooperative, Inc. to be included in the Southwestern Public Service Company Zone 11 pricing zone under the Tariff. Lea County is a transmission owning member of SPP and has transferred functional control of only certain transmission facilities to SPP for purposes of rate recovery under the Tariff.

An effective date of April 1, 2014 was requested.

On January 31, 2014, SPP filed an errata to assign another eTariff version number to the Attachment H filed in the January 30, 2014 Filing.

On February 4, 2014, Lea County Electric Cooperative, Inc. filed a Motion to Intervene.

On February 19, 2014, Tri-County Electric Cooperative, Inc. filed a Motion to Intervene.

On February 21, 2014, Occidental Permian Ltd. filed a Motion to Intervene.

On February 21, 2014, Xcel Energy Services Inc. filed a Motion to Intervene, Comments and Limited Protest.

Xcel stated:

- 1) Lea County has not justified the applicability of a load allocator of 40.14% to certain operations and maintenance expense accounts; and
- 2) SPP and Lea County should confirm SPP will credit Point-to-Point revenues.

Xcel stated it generally supports the proposed stated rate. However, Xcel requested that the Commission require Lea County to: 1) replace its load-based O&M cost allocator with a transmission plant allocator; 2) confirm it will credit SPP Point-to-Point revenues; and 3) adjust its proposed Annual Transmission Revenue Requirement accordingly.

On March 10, 2014, Lea County Electric Cooperative, Inc. filed an answer in response to the Limited Protest filed by Xcel Energy Services Inc. on February 21, 2014.

Lea County stated:

- 1) the Commission should approve Lea County's proposed load-based allocator for transmission O&M expenses; and
- 2) Xcel's arguments regarding a credit for Point-to-Point revenues are moot because Lea County currently receives no Point-to-Point revenues.

On March 11, 2014, Western Farmers Electric Cooperative filed a Motion for Leave to Intervene Out of Time and Comments.

WFEC stated the Xcel Energy Services Inc.'s recommendation that "the Commission develop policy guidelines and analyses for determining just and reasonable DSC and TIER factors to be used for transmission rate recovery purposes" is beyond the scope of this proceeding and should be rejected.

On March 25, 2014, Xcel Energy Services Inc. filed an answer in response to Lea County

## Regulatory Status Report

Electric Cooperative, Inc.'s March 10, 2014 Answer.

Xcel stated:

- 1) the focus should be on whether Lea County has justified its use of a load-based allocator under Section 205 of the Federal Power Act; and
- 2) the conclusory and unsubstantiated statements of Lea County do not meet the burden of proof under Section 205 of the Federal Power Act.

ER14-1228

**Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent**

On January 31, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Sunflower Electric Power Corporation as the Meter Agent. SPP Service Agreement No. 2796.

An effective date of January 1, 2014 was requested.

On February 18, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On February 26, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1233

**Submission of Notice of Cancellation of "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission"**

On January 31, 2014, in Docket No. ER14-1233, SPP submitted a Notice of Cancellation of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission."

SPP will also withdraw the compliance filings associated with this agreement in Docket Nos. ER12-1401 and ER12-1779.

An effective date of December 19, 2013 was requested.

On March 25, 2014, FERC issued an order accepting the Notice of Cancellation of the "Agreement between Southwest Power Pool, Inc. and Entergy Services, Inc. to Implement Principles Governing Regional Planning in Accordance with the Regional Planning Requirements of the Federal Energy Regulatory Commission" filed in ER14-1233, the Motion to Withdraw the March 30, 2012 Compliance Filing in ER12-1401, and the Motion to Withdraw the May 14, 2012 Compliance Filing in ER12-1779.

An effective date of December 19, 2013 was granted.

ER14-1234

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOA") between SPP, Westar Energy, Inc. ("Westar") as**

## Regulatory Status Report

### **Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners**

On January 31, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Westar Energy, Inc. as Network Customer, as well as a two NOAs with Oklahoma Gas and Electric Company and Westar as Host Transmission Owners. SPP Service Agreement No. Twenty-First Revised 607.

An effective date of January 1, 2014 was requested.

On March 25, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1240

### **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Kansas City Power & Light Company ("KCPL") as Network Customer and Host Transmission Owner**

On January 31, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Kansas City Power & Light Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Sixth Revised 1276.

An effective date of January 1, 2014 was requested.

On March 26, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1241

### **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners**

On January 31, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer, as well as a NOA with Sunflower, Midwest Energy, Inc., ITC Great Plains, LLC, and Mid-Kansas Electric Company, LLC as Host Transmission Owners. SPP Service Agreement No. Sixth Revised 2028.

An effective date of January 1, 2014 was requested.

On February 18, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On March 26, 2014, FERC issued a letter order accepting the agreement, effective January 1, 2014 as requested.

This order constitutes final agency action.

ER14-1300

### **Generator Interconnection Agreement ("GIA") between Rattlesnake Creek Wind Project, LLC ("Rattlesnake") as Interconnection Customer and Nebraska Public Power**



## Regulatory Status Report

### **District ("NPPD") as Transmission Owner**

On February 10, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Rattlesnake Creek Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Third Revised 2299.

An effective date of January 17, 2014 was requested.

ER14-1303

### **Transmission Interconnection Agreement between Westar Energy, Inc. ("Westar") and Prairie Wind Transmission, LLC ("Prairie Wind"), with SPP as Signatory**

On February 11, 2014, SPP submitted an executed transmission interconnection agreement between Westar Energy, Inc. and Prairie Wind Transmission, LLC, with SPP as signatory. SPP Service Agreement No. 2797.

SPP requested an effective date of the date the Commission accepts the agreement.

On March 19, 2014, FERC issued a letter order accepting the agreement, effective April 13, 2014.

This order constitutes final agency action.

ER14-1304

### **Transmission Interconnection Agreement between ITC Great Plains, LLC ("ITC") and Prairie Wind Transmission, LLC ("Prairie Wind"), with SPP as Signatory**

On February 11, 2014, SPP submitted an executed transmission interconnection agreement between ITC Great Plains, LLC and Prairie Wind Transmission, LLC, with SPP as signatory. SPP Service Agreement No. 2795.

SPP requested an effective date of the date the Commission accepts the agreement.

On February 13, 2014, ITC Great Plains, LLC filed a Motion to Intervene.

On March 19, 2014, FERC issued a letter order accepting the agreement, effective April 13, 2014.

This order constitutes final agency action.

ER14-1305

### **Market Participant Service Agreement between Exelon Generation Company, LLC ("Exelon") as Customer and SPP as Transmission Provider**

On February 11, 2014, SPP submitted an executed Market Participant Service Agreement between SPP as Transmission Provider and Exelon Generation Company, LLC as Customer. SPP Service Agreement No. 2698.

An effective date of March 1, 2014 was requested.

On February 19, 2014, Exelon Corporation filed a Motion to Intervene.

On March 19, 2014, FERC issued a letter order accepting the agreement, effective March 1, 2014 as requested.

This order constitutes final agency action.

## Regulatory Status Report

- ER14-1344**                    **Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**
- On February 20, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Eighteenth Revised 1374.
- An effective date of February 1, 2014 was requested.
- ER14-1357**                    **Submission of Tariff Revisions regarding Credit Limits for Transmission Congestion Rights("TCRs")**
- On February 24, 2014, SPP submitted tariff revisions necessary to correct an unintended consequence of the current credit requirement calculations for transactions involving Transmission Congestion Rights.
- An effective date of May 1, 2014 was requested.
- On March 12, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- On March 18, 2014, Xcel Energy Services Inc. filed a Motion to Intervene and Comments. Xcel stated it supports Commission approval of the proposed tariff revisions and asked that the Commission issue an order no later than April 10, 2014.
- ER14-1369**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On February 26, 2014, SPP submitted 1) an executed NITSA between SPP as Transmission Provider and KCP&L Greater Missouri Operations Company as Network Customer; and 2) an executed NOA between SPP as Transmission Provider, KCPL-GMO as both Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Ninth Revised 1765.
- An effective date of February 1, 2014 was requested.
- ER14-1370**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Nemaha-Marshall Electric Cooperative ("Nemaha-Marshall") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On February 26, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Nemaha-Marshall Electric Cooperative as Network Customer, as well as a NOA with Westar Energy, Inc as Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1977.
- An effective date of February 1, 2014 was requested.
- ER14-1374**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as**

## Regulatory Status Report

### Host Transmission Owners

On February 26, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Westar Energy, Inc. as both Network Customer and Host Transmission Owner, and Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Twenty-Second Revised 607.

An effective date of February 1, 2014 was requested.

ER14-1379

### Submission of Ministerial Clean-Up Revisions for EIS Market Tariff

On February 26, 2014, SPP submitted ministerial tariff revisions to correct certain portions of Attachment AE for the EIS Market.

Multiple effective dates were requested.

On March 12, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-1393

### Unexecuted Meter Agent Services Agreement between Exelon Generation Company, LLC ("Exelon") as Market Participant and Southwestern Public Service Company ("SPS") as Meter Agent

On February 28, 2014, SPP submitted an unexecuted Meter Agent Services Agreement between Exelon Generation Company, LLC as the Market Participant and Southwestern Public Service Company as the Meter Agent. SPP Service Agreement No. 2816.

The agreement was filed unexecuted because the Parties are still negotiating the agreement and were not yet prepared to execute the agreement prior to the commencement of SPP's Integrated Marketplace.

An effective date of March 1, 2014 was requested.

On March 5, 2014, Exelon Corporation filed a Motion to Intervene.

ER14-1395

### Unexecuted Meter Agent Services Agreement between The Energy Authority ("TEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On February 28, 2014, SPP submitted an unexecuted Meter Agent Services Agreement between The Energy Authority as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2852.

The agreement was filed unexecuted because the Parties are still negotiating the agreement and were not yet prepared to execute the agreement prior to the commencement of SPP's Integrated Marketplace.

An effective date of March 1, 2014 was requested.

On March 18, 2014, SPP submitted an executed Meter Agent Services Agreement between The Energy Authority as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Substitute Original 2852.

The Agreement amends and replaces the Agreement filed on February 28, 2014 in this

## Regulatory Status Report

proceeding.

ER14-1405

**Amendments to Joint Operating Agreement ("JOA") between PJM Interconnection, L.L.C. ("PJM") and the Midcontinent Independent System Operator, Inc. ("MISO") to Account for Import and Export Transactions in the Market Flow Calculations**

On March 3, 2014, PJM Interconnection, L.L.C. submitted revisions to the Joint Operating Agreement between PJM and the Midcontinent Independent System Operator, Inc. These amendments will allow PJM and MISO to coordinate the methodologies employed by each Regional Transmission Organizations to account for import and export transactions in the Market Flow calculations.

An effective date of June 1, 2014 was requested.

On March 12, 2014, MidAmerican Energy Company filed a Motion to Intervene and Comment.

MidAmerican stated:

- 1) it believes that new language in Section 4.1 appears to conflict with existing language in that section; and
- 2) Section 19.1 contains a typographical error.

Parties filed doc-less Motions to Intervene.

On March 24, 2014, SPP filed a doc-less Motion to Intervene.

On March 24, 2014, Northern Indiana Public Service Company filed a Motion to Intervene and Comments.

NIPSCO stated it is concerned that the revisions to the Congestion Management Process perpetuate a significant and problematic source of inconsistency between the RTOs by allowing the RTOs to adopt a different RTO-specific methodology. NIPSCO also stated it remains concerned about the sufficiency of the stakeholder process in developing changes to the JOA and CMP.

ER14-1406

**Midcontinent Independent System Operator, Inc. ("MISO") Submission of Amendments to Joint Operating Agreement ("JOA") between SPP and MISO to Account for Import and Export Transactions in the Market Flow Calculations**

On March 3, 2014, the Midcontinent Independent System Operator, Inc. submitted amendments to the Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO"). These amendments will allow SPP and MISO to coordinate the methodologies employed by each Regional Transmission Organizations to account for import and export transactions in the Market Flow calculations.

An effective date of June 1, 2014 was requested.

On March 12, 2014, MidAmerican Energy Company filed a Motion to Intervene and Comment.

MidAmerican stated:

- 1) it believes that new language in Section 4.1 appears to conflict with existing language in that section; and
- 2) Section 19.1 contains a typographical error.

Parties filed doc-less Motions to Intervene.

## Regulatory Status Report

On March 24, 2014, Northern Indiana Public Service Company filed a Motion to Intervene and Comments.

NIPSCO stated it is concerned that the revisions to the Congestion Management Process perpetuate a significant and problematic source of inconsistency between the RTOs by allowing the RTOs to adopt a different RTO-specific methodology. NIPSCO also stated it remains concerned about the sufficiency of the stakeholder process in developing changes to the JOA and CMP.

On March 24, 2014, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and Nebraska Public Power District filed a Protest.

The parties stated:

- 1) MISO and SPP should use the same methodology to calculate the impact of imports and exports; and
- 2) revisions to the JOA should be considered in light of other, relevant proceedings.

On March 24, 2014, SPP filed a doc-less Motion to Intervene.

ER14-1407

**Amendments to Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Account for Import and Export Transactions in the Market Flow Calculations (SPP Rate Schedule FERC No. 9)**

On March 3, 2014, SPP submitted amendments to the Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO"). These amendments will allow SPP and MISO to coordinate the methodologies employed by each Regional Transmission Organizations to account for import and export transactions in the Market Flow calculations.

An effective date of June 1, 2014 was requested.

On March 12, 2014, MidAmerican Energy Company filed a Motion to Intervene and Comment.

MidAmerican stated:

- 1) it believes that new language in Section 4.1 appears to conflict with existing language in that section; and
- 2) Section 19.1 contains a typographical error.

On March 12, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On March 13, 2014, Exelon Corporation filed a Motion to Intervene.

Parties filed doc-less Motions to Intervene.

On March 24, 2014, Kansas City Power & Light Company, KCP&L Greater Missouri Operations Company, and Nebraska Public Power District filed a Protest.

The parties stated:

- 1) MISO and SPP should use the same methodology to calculate the impact of imports and exports; and
- 2) revisions to the JOA should be considered in light of other, relevant proceedings.

On March 24, 2014, Northern Indiana Public Service Company filed a Motion to Intervene and

## Regulatory Status Report

Comments.

NIPSCO stated it is concerned that the revisions to the Congestion Management Process perpetuate a significant and problematic source of inconsistency between the RTOs by allowing the RTOs to adopt a different RTO-specific methodology. NIPSCO also stated it remains concerned about the sufficiency of the stakeholder process in developing changes to the JOA and CMP.

ER14-1413

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners**

On March 4, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Network Customer, as well as a NOA with American Electric Power Service Corporation, Oklahoma Gas and Electric Company, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Twentieth Revised 1166.

An effective date of February 1, 2014 was requested.

On March 25, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene.

ER14-1414

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**

On March 4, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Fifteenth Revised 2198.

An effective date of February 1, 2014 was requested.

On March 6, 2014, Kansas Power Pool filed a Motion to Intervene.

On March 12, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-1415

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners**

On March 4, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer, as well as a NOA with Sunflower, Midwest Energy, Inc., ITC Great Plains, LLC, and Mid-Kansas Electric Company, LLC as Host Transmission Owners. SPP Service Agreement No. Seventh Revised 2028.

An effective date of February 1, 2014 was requested.

## Regulatory Status Report

On March 12, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-1416

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Kansas City Power & Light Company ("KCPL") as Network Customer and Host Transmission Owner**

On March 4, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Kansas City Power & Light Company as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Seventh Revised 1276.

An effective date of February 1, 2014 was requested.

ER14-1418

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and Southwestern Public Service Company ("SPS") as Host Transmission Owner**

On March 4, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Golden Spread Electric Cooperative, Inc. as Network Customer, as well as a NOA with Southwestern Public Service Company as Host Transmission Owner. SPP Service Agreement No. Third Revised 2236.

An effective date of February 1, 2014 was requested.

On March 11, 2014, Tri-County Electric Cooperative, Inc. filed a Motion to Intervene.

ER14-1423

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner**

On March 4, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and American Electric Power Service Corporation as both Network Customer and Host Transmission Owner, and a partially executed NOA between SPP as Transmission Provider, AEP as Network Customer, and Western Farmers Electric Cooperative as Host Transmission Owner. SPP Service Agreement No. Seventeenth Revised 1148.

WFEC declined to execute the NOA due to the on-going settlement proceedings in Docket No. ER14-67.

An effective date of February 1, 2014 was requested.

On March 25, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments.

WFEC requested that the Commission condition any acceptance of the agreement on the outcome of the hearing and settlement judge procedures in Docket No. ER14-67.

ER14-1447

**Meter Agent Services Agreement between Cimarron Wind Energy, LLC ("Cimarron Wind Energy") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent**

## Regulatory Status Report

On March 7, 2014, SPP submitted an executed Meter Agent Services Agreement between Cimarron Wind Energy, LLC as the Market Participant and Sunflower Electric Power Corporation as the Meter Agent. SPP Service Agreement No. 2809.

An effective date of March 1, 2014 was requested.

On March 12, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER14-1478

**Meter Agent Services Agreement between Flat Ridge 2 Wind Energy LLC ("Flat Ridge") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On March 13, 2014, SPP submitted an executed Meter Agent Services Agreement between Flat Ridge 2 Wind Energy LLC as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2817.

An effective date of March 1, 2014 was requested.

ER14-1479

**Meter Agent Services Agreement between Arkansas Electric Cooperative Corporation ("AECC") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On March 13, 2014, SPP submitted an executed Meter Agent Services Agreement between Arkansas Electric Cooperative Corporation as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2802.

An effective date of March 1, 2014 was requested.

ER14-1481

**Meter Agent Services Agreement between City of Chanute ("Chanute") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On March 13, 2014, SPP submitted an executed Meter Agent Services Agreement between City of Chanute as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2810.

An effective date of March 1, 2014 was requested.

ER14-1482

**Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On March 13, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2827.

An effective date of March 1, 2014 was requested.

ER14-1513

**Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On March 14, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2825.

An effective date of March 1, 2014 was requested.



## Regulatory Status Report

**ER14-1528**                    **Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent**

On March 19, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Sunflower Electric Power Corporation as the Meter Agent. SPP Service Agreement No. 2824.

An effective date of March 1, 2014 was requested.

On March 27, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

**ER14-1529**                    **Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Kansas City Power & Light Company ("KCPL") as Meter Agent**

On March 19, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Municipal Energy Agency as the Market Participant and Kansas City Power & Light Company as the Meter Agent. SPP Service Agreement No. 2855.

An effective date of March 1, 2014 was requested.

**ER14-1530**                    **Meter Agent Services Agreement between Midwest Energy, Inc. ("Midwest") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On March 19, 2014, SPP submitted an executed Meter Agent Services Agreement between Midwest Energy, Inc. as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2829.

An effective date of March 1, 2014 was requested.

**ER14-1534**                    **Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent**

On March 19, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Sunflower Electric Power Corporation as the Meter Agent. SPP Service Agreement No. 2826.

An effective date of March 1, 2014 was requested.

On March 27, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

**ER14-1535**                    **Meter Agent Services Agreement between Smoky Hills Wind Project II, LLC ("Smoky Hills") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On March 19, 2014, SPP submitted an executed Meter Agent Services Agreement between Smoky Hills Wind Project II, LLC as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. 2841.

An effective date of March 1, 2014 was requested.

## Regulatory Status Report

ER14-1539

### **Submission of Tariff Revisions to Update the Definition of OASIS**

On March 20, 2014, SPP submitted tariff revisions to revise the Tariff definition for OASIS to be consistent with current Federal Energy Regulatory Commission regulations.

An effective date of May 19, 2014 was requested.

ER14-1545

### **Meter Agent Services Agreement between Basin Electric Power Cooperative ("Basin") as Market Participant and Western Area Power Administration - UGPR ("WAPA") as Meter Agent**

On March 21, 2014, SPP submitted an executed Meter Agent Services Agreement between Basin Electric Power Cooperative as the Market Participant and Western Area Power Administration - UGPR as the Meter Agent. SPP Service Agreement No. 2804.

An effective date of March 1, 2014 was requested.

ER14-1580

### **Submission of Revisions to Guaranty Agreement (Attachment X, Appendix D)**

On March 25, 2014, SPP submitted tariff revisions in order to make clean-up changes to the Guaranty Agreement set forth as Appendix D to SPP's Credit Policy, Attachment X.

An effective date of May 24, 2014 was requested.

ER14-1587

### **Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Midwest Energy, Inc. ("Midwest") as Network Customer and Host Transmission Owner**

On March 26, 2014, SPP submitted a Notice of Cancellation of the NITSA and NOA between SPP as Transmission Provider and Midwest Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. 2154.

An effective date of December 1, 2013 was requested.

ER14-1590

### **Notice of Cancellation of the Agreement for Sponsor Facilities Upgrades between SPP, Redbud Energy, LP ("Redbud") and Oklahoma Gas and Electric Company ("OG&E")**

On March 26, 2014, SPP submitted a Notice of Cancellation of the Agreement for Sponsor Facilities Upgrades between SPP, Redbud Energy, LP and Oklahoma Gas and Electric Company. SPP Service Agreement No. 1187.

An effective date of March 26, 2014 was requested.

ER14-1591

### **Notice of Cancellation of the Letter Agreement between SPP as Transmission Provider, American Electric Power Service Corporation ("AEP") as Transmission Customer and AEP as agent for Southwestern Electric Power Company ("SWEPCO") as Transmission Owner**

On March 26, 2014, SPP submitted a Notice of Cancellation of the Letter Agreement between SPP as Transmission Provider, American Electric Power Service Corporation as Transmission Customer, and AEP as agent for Southwestern Electric Power Company as Transmission Owner. SPP Service Agreement No. 1649.

An effective date of March 26, 2014 was requested.

## Regulatory Status Report

- ER14-1592**      **Notice of Cancellation of Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Host Transmission Owner**
- On March 26, 2014, SPP submitted a Notice of Cancellation of the NITSA and NOA between SPP as Transmission Provider and Sunflower Electric Power Corporation as both Network Customer and Host Transmission Owner. SPP Service Agreement No. 1913.
- An effective date of December 1, 2013 is requested.
- ER14-1613**      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners**
- On March 31, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Power Pool as Network Customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. Sixteenth Revised 2198.
- An effective date of March 1, 2014 was requested.
- ER14-1628**      **Submission of Tariff Revisions to Attachment AD to Revise and Extend the Tariff Administration Agreement between SPP and Southwestern Power Administration ("Southwestern")**
- On March 31, 2014, SPP submitted a revision to Attachment AD of the Tariff in order to amend the Tariff Administration Agreement between SPP and Southwestern Power Administration.
- On February 28, 2014, SPP and Southwestern executed an amendatory agreement to extend the term through May 31, 2015, and to modify the terms of the agreement.
- SPP also proposed to remove obsolete sections from Attachment AD which contain older versions of the agreement.
- An effective date of March 1, 2014 was requested.
- ES14-12**      **Application of Southwest Power Pool, Inc. Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities**
- On January 17, 2014, SPP filed a supplement to its November 21, 2013 Application.
- On January 29, 2014, FERC issued an order authorizing SPP to issue long-term debt in the form of secured or unsecured promissory notes in one or more series, in an aggregate amount not to exceed \$70 million outstanding at any one time.
- This authorization is effective January 29, 2014, and terminates on January 29, 2016. SPP must file a Report of Securities Issued no later than 30 days after debt is issued.
- RD14-2**      **Order Approving Revised Definition of "Bulk Electric System" in the North American Electric Reliability Corporation's ("NERC") Glossary of Terms Used in Reliability Standards**

## Regulatory Status Report

On March 20, 2014, FERC issued an Order Approving Revised Definition of "Bulk Electric System" in the NERC Glossary of Terms Used in Reliability Standards.

This order is effective on April 1, 2014.

**RD14-6**

### **Reliability Standards for Physical Security Measures**

On March 7, 2014, FERC issued an Order Directing Filing of Standards. The North American Electric Reliability Corporation was directed to file proposed Reliability Standards for Physical Security Measures within 90 days of the date of the order.

Numerous parties filed Motions to Intervene.

**RM01-8**

### **Public Utility Filing Requirements for Electric Quarterly Reports ("EQR")**

On January 16, 2014, FERC issued a Supplemental Notice clarifying logistics for the January 22, 2014 Technical Conference.

On February 28, 2014, FERC issued an Order Extending and Setting Deadlines to File Electric Quarterly Reports.

New due dates are as follows:

April 1-30, 2014 - 3Q2013 EQR;

May 1-31, 2014 - 4Q2013 EQR;

June 1-30, 2014 - 1Q2014 EQR; and

July 1-31, 2014 - 2Q2014 EQR.

On March 4, 2014, FERC issued a Notice of Technical Conference to be held on March 26, 2014, in order to demonstrate the new Electric Quarterly Report filing system.

On March 10, 2014, FERC issued an Order Updating Electric Quarterly Report Data Dictionary.

On March 24, 2014, FERC issued an Errata Notice correcting the Electric Quarterly Report Data Dictionary that was included in the March 10, 2014 Order.

**RM05-5**

### **Standards for Business Practices and Communication Protocols for Public Utilities**

On February 11, 2014, FERC issued an Order Denying Rehearing of Order No. 676-G.

**RM06-16**

### **Mandatory Reliability Standards for the Bulk-Power System to Process the Proposed Reliability Standards Submitted by NERC on April 4, 2006**

NERC continues to submit quarterly compliance filings in response to Paragraph 629 of Order No. 693.

**RM10-12**

### **Electricity Market Transparency Provisions of Section 220 of the Federal Power Act (Order No. 768)**

On January 16, 2014, FERC issued a Supplemental Notice clarifying logistics for the January 22, 2014 Technical Conference.

On February 7, 2014, FERC issued a Notice of Extension of Time, extending the deadline to February 9, 2015 for Commission staff to file a Status Report concerning the use of e-Tag ID data in Electric Quarterly Reports.

## Regulatory Status Report

On February 28, 2014, FERC issued an Order Extending and Setting Deadlines to File Electric Quarterly Reports.

New due dates are as follows:  
April 1-30, 2014 - 3Q2013 EQR;  
May 1-31, 2014 - 4Q2013 EQR;  
June 1-30, 2014 - 1Q2014 EQR; and  
July 1-31, 2014 - 2Q2014 EQR.

On March 4, 2014, FERC issued a Notice of Technical Conference to be held on March 26, 2014, in order to demonstrate the new Electric Quarterly Report filing system.

On March 10, 2014, FERC issued an Order Updating Electric Quarterly Report Data Dictionary.

On March 24, 2014, FERC issued an Errata Notice correcting the Electric Quarterly Report Data Dictionary that was included in the March 10, 2014 Order.

RM11-24

### **Third-Party Provision of Ancillary Services; Accounting and Financial Reporting for New Electric Storage Technologies**

On February 20, 2014, FERC issued Order No. 784-A, Order Granting Clarification in Part and Denying Clarification in Part of Order No. 784.

RM12-3

### **Revisions to Electric Quarterly Report ("EQR") Filing Process (Order No. 770)**

On January 16, 2014, FERC issued a Supplemental Notice clarifying logistics for the January 22, 2014 Technical Conference.

On February 28, 2014, FERC issued an Order Extending and Setting Deadlines to File Electric Quarterly Reports.

New due dates are as follows:  
April 1-30, 2014 - 3Q2013 EQR;  
May 1-31, 2014 - 4Q2013 EQR;  
June 1-30, 2014 - 1Q2014 EQR; and  
July 1-31, 2014 - 2Q2014 EQR.

On March 4, 2014, FERC issued a Notice of Technical Conference to be held on March 26, 2014, in order to demonstrate the new Electric Quarterly Report filing system.

On March 10, 2014, FERC issued an Order Updating Electric Quarterly Report Data Dictionary.

On March 24, 2014, FERC issued an Errata Notice correcting the Electric Quarterly Report Data Dictionary that was included in the March 10, 2014 Order.

RM12-6

### **Petition of the North American Electric Reliability Corporation ("NERC") for Approval of a Revised Definition of "Bulk Electric System" in the NERC Glossary of Terms Used in Reliability Standards (Order No. 773)**

On February 6, 2014, FERC issued an Order Denying Rehearing of the June 13, 2013 Order Granting Extension of Time to extend the effective date of the definition of the bulk electric system and the Rules of Procedure exception process from July 1, 2013 to July 1, 2014.

**RM12-7**                    **Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Revisions to its Rules of Procedure to Adopt a Bulk Electric System Exception Procedure (Order No. 773)**

On February 6, 2014, FERC issued an Order Denying Rehearing of the June 13, 2013 Order Granting Extension of Time to extend the effective date of the definition of the bulk electric system and the Rules of Procedure exception process from July 1, 2013 to July 1, 2014.

**RM13-2**                    **Small Generator Interconnection Agreements and Procedures**

On January 15, 2014, FERC issued an errata notice to Order No. 792 correcting references to Small Generator Interconnection Procedures (SGIP) section numbers in the order and the proforma SGIP, and to make other typographical corrections.

On March 20, 2014, FERC issued Order No. 792-A, Order Clarifying Compliance Procedures. The Commission stated that a public utility Transmission Provider may submit a Federal Power Act Section 205 filing to demonstrate that either a variation that has not been previously approved by the Commission, or a previously-approved variation from the pro forma language that has been substantively affected by the reforms adopted in the Final Rule, meets one of the standards for variance provided for in the Final Rule, including independent entity variations, regional reliability variations, and variations that are "consistent with or superior to" the Final Rule.

**RM13-5**                    **Version 5 Critical Infrastructure Protection Reliability Standards**

On January 22, 2014, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 791 issued on November 22, 2013.

On February 27, 2014, FERC issued a Notice of Technical Conference to be held on April 29, 2014, in order to discuss Critical Infrastructure Protection Issues identified in Order No. 791.

On March 18, 2014, NERC filed a Request for Extension of Time to File Revisions to the Violation Risk Factors Assigned to Reliability Standards CIP-006-5, Requirement R3 and CIP-004-5, Requirement R4.

On March 19, 2014, FERC issued a Notice of Extension of Time to and including May 15, 2014 for NERC to file revisions to the Violation Risk Factors assigned to Reliability Standards CIP-006-5, Requirement R3 and CIP-004-5, Requirement R4.

On March 20, 2014, FERC issued Order No. 791-A, Order on Clarification and Rehearing, granting clarification in part and denying rehearing of Order No. 791.

**RM13-11**                    **Frequency Response and Frequency Bias Setting Reliability Standard**

On January 16, 2014, FERC issued Order No. 794, Final Rule approving Reliability Standard BAL-003-1 (Frequency Response and Frequency Bias Setting). Reliability Standard BAL-003-1 defines the amount of frequency response needed from balancing authorities to maintain Interconnection frequency within predefined bounds and includes requirements for the measurement and provision of frequency response. In addition, the Commission directed NERC to submit certain reports to address concerns discussed in the Final Rule.

This rule becomes effective on March 24, 2014.

## Regulatory Status Report

### **RM13-12 Monitoring System Conditions - Transmission Operations Reliability Standard**

On January 6, 2014, the ISO/RTO Council filed comments in support of NERC's Motion to Defer Action.

On January 6, 2014, the National Rural Electric Cooperative Association filed comments in support of NERC's Motion to Defer Action.

On January 14, 2014, FERC issued an Order on Motion to Defer Action on Notice of Proposed Rulemaking. FERC granted NERC's motion to defer action until January 31, 2015.

On February 3, 2014, Idaho Power Company filed comments in response to the Notice of Proposed Rulemaking issued on November 21, 2013.

On February 3, 2014, Bonneville Power Administration filed comments in response to the Notice of Proposed Rulemaking issued on November 21, 2013.

### **RM13-14 Transmission Operations Reliability Standards**

On January 6, 2014, the National Rural Electric Cooperative Association filed comments in support of NERC's Motion to Defer Action.

On January 6, 2014, the ISO/RTO Council filed comments in support of NERC's Motion to Defer Action.

On January 14, 2014, FERC issued an Order on Motion to Defer Action on Notice of Proposed Rulemaking. FERC granted NERC's motion to defer action until January 31, 2015.

On February 3, 2014, Idaho Power Company filed comments in response to the Notice of Proposed Rulemaking issued on November 21, 2013.

On February 3, 2014, Bonneville Power Administration filed comments in response to the Notice of Proposed Rulemaking issued on November 21, 2013.

### **RM13-15 Interconnection Reliability Operations and Coordination Reliability Standards**

On January 6, 2014, the ISO/RTO Council filed comments in support of NERC's Motion to Defer Action.

On January 6, 2014, the National Rural Electric Cooperative Association filed comments in support of NERC's Motion to Defer Action.

On January 14, 2014, FERC issued an Order on Motion to Defer Action on Notice of Proposed Rulemaking. FERC granted NERC's motion to defer action until January 31, 2015.

On February 3, 2014, Bonneville Power Administration filed comments in response to the Notice of Proposed Rulemaking issued on November 21, 2013.

On February 3, 2014, Idaho Power Company filed comments in response to the Notice of Proposed Rulemaking issued on November 21, 2013.

### **RM13-16 Generator Verification Reliability Standards**

On March 20, 2014, FERC issued Order No. 796, Final Rule approving Reliability Standards MOD-025-2 (Verification and Data Reporting of Generator Real and Reactive Power Capability and Synchronous Condenser Reactive Power Capability), MOD-026-1 (Verification of Models

## Regulatory Status Report

and Data for Generator Excitation Control System or Plant Volt/Var Control Functions), MOD-027-1 (Verification of Models and Data for Turbine/Governor and Load Control or Active Power/Frequency Control Functions), PRC-019-1 (Coordination of Generating Unit or Plant Capabilities, Voltage Regulating Controls, and Protection), and PRC-024-1 (Generator Frequency and Voltage Protective Relay Settings). The generator verification Reliability Standards help ensure that verified data is available for power system planning and operational studies by requiring the verification of generator equipment and capability needed to support Bulk-Power System reliability and promoting the coordination of important protection system settings.

This rule becomes effective on May 27, 2014.

### **RM13-17      Communication of Operational Information Between Natural Gas Pipelines and Electric Transmission Operators**

On January 13, 2014, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 787 issued on November 15, 2013.

### **RM14-1      Reliability Standard for Geomagnetic Disturbance Operations**

On January 16, 2014, FERC issued a Notice of Proposed Rulemaking proposing to approve Reliability Standard EOP-010-1 (Geomagnetic Disturbance Operations). NERC submitted the proposed Reliability Standard for Commission approval in response to a Commission directive in Order No. 779. Proposed Reliability Standard EOP-010-1 is designed to mitigate the effects of geomagnetic disturbances on the Bulk-Power System by requiring responsible entities to implement Operating Plans and Operating Procedures or Processes.

Comments are due on March 24, 2014.

Parties filed comments in response to the Notice of Proposed Rulemaking issued on January 16, 2014.

On March 24, 2014, the ISO/RTO Council filed Comments in response to the Notice of Proposed Rulemaking issued on January 16, 2014.

### **RM14-2      Coordination of the Scheduling Processes of Interstate Natural Gas Pipelines and Public Utilities**

On March 20, 2014, FERC issued a Notice of Proposed Rulemaking proposing to revise the Commission's regulations at section 284.12 to better coordinate the scheduling of natural gas and electricity markets in light of increased reliance on natural gas for electric generation, as well as to provide additional flexibility to all shippers on interstate natural gas pipelines.

The proposed revisions deal principally with revision of the operating day and scheduling practices used by interstate pipelines to schedule natural gas transportation service. These proposed revisions affect the business practices of the natural gas industry, which the industry has developed through the North American Energy Standards Board, and which the Commission has incorporated by reference into its regulations. The Commission, therefore, is providing the natural gas and electric industries with six months to reach consensus on standards, consistent with the Commission's guidance, including any revisions or modifications to the proposals provided herein.

Comments are due on November 28, 2014.



## Regulatory Status Report

- RM14-3**                    **Generator Relay Loadability and Revised Transmission Relay Loadability Reliability Standards (Docket Nos. RM13-19 and RM14-3)**
- On March 20, 2014, FERC issued a Notice of Proposed Rulemaking proposing to approve a new Reliability Standard, PRC-025-1 (Generator Relay Loadability) submitted by the North American Electric Reliability Corporation. In addition, the Commission proposes to approve Reliability Standard PRC-023-3 (Transmission Relay Loadability), also submitted by NERC, which revises a currently-effective standard pertaining to transmission relay loadability.
- Comments are due on April 28, 2014.
- RR06-1**                    **North American Electric Reliability Council and North American Electric Reliability Corporation Filing of Draft Version 5 of the Reliability Standards Development Procedure to Allow NERC to Transition to Become the Electric Reliability Organization ("ERO")**
- On January 29, 2014, NERC submitted its Analysis of NERC Standards Process Results for the Fourth Quarter 2013.
- RR09-7**                    **NERC Three-Year Electric Reliability Organization Performance Assessment Report**
- On January 29, 2014, NERC submitted its Analysis of NERC Standards Process Results for the Fourth Quarter 2013.
- RR14-1**                    **North American Electric Reliability Corporation ("NERC") Petition for Approval of Proposed Revisions to the Southwest Power Pool Regional Entity ("SPP RE") Standards Development Process Manual**
- On January 31, 2014, FERC issued an order accepting the revised SPP Regional Entity Standards Development Process Manual submitted by NERC.

### **FERC or State Jurisdiction: State of Arkansas**

**08-136-U**                    **In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas**

On March 25, 2014, SPP filed the Winter 2014 State of the Market Report pursuant to Order Nos. 2 and 25.

**10-011-U**                    **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**

On January 2, 2014, Entergy Arkansas, Inc. and SPP filed an Update Regarding Seams Agreement. The Seams Agreement is terminated due to EAI joining the Midcontinent Independent System Operator, Inc.

On January 2, 2014, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On February 3, 2014, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On February 3, 2014, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

On March 3, 2014, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

On March 31, 2014, Todd Hillman filed Compliance Testimony on behalf of the Midcontinent Independent System Operator, Inc.

**12-008-U**                    **In the Matter of Southwestern Electric Power Company's Petition for a Declaratory Order Finding that Installation of Environmental Controls at the Flint Creek Power Plant is in the Public Interest**

On January 10, 2014, Clark Cotten filed Compliance Testimony on behalf of the APSC General Staff.

On January 21, 2014, Southwestern Electric Power Company filed a Report Pursuant to Order No. 14 stating that it has commenced construction of the environmental controls on January 20, 2014.

On February 10, 2014, the APSC issued Order No. 17, accepting Southwestern Electric Power Company's selection of Garver as the Independent Monitor in connection with the installation of environmental controls at the Flint Creek generating station.

**13-041-U**                    **In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas**

On January 17, 2014, the APSC issued Order No. 32, granting SWEPCO a CECPN to

## Regulatory Status Report

construct and operate (1) a new 345 kV transmission line, from the Shipe Road station to the proposed Kings River Station; and (2) a new 345 kV station on SWEPCO owned property located northwest of Berryville, Carroll County, Arkansas.

On January 21, 2014, the APSC issued Order No. 33, an Errata Order correcting the first full paragraph on page 103 and paragraph (f) on page 115 of Order No. 32.

On March 14, 2014, SWEPCO filed a Petition for Limited Rehearing of Order Nos. 32 and 33 and Motion for Clarification of Order No. 32.

SWEPCO requested that the Commission modify these orders and find:

- 1) that there was not sufficient finding that Route 33 was unreasonable and accordingly issue a CECPN for this route; or
- 2) alternatively, that Route 33 is reasonable with modifications via the variance already authorized and upon notice to the Parties and all potentially traversed landowners, reopen the Docket for the receipt of further evidence concerning an amendment to the configuration of the route near the Pea Ridge National Military Park; and
- 3) that it should clarify four provisions of Order No. 32.

On March 18, 2014, Save the Ozarks filed a Petition for Rehearing of Order No. 32. Save the Ozarks stated that rehearing should be granted because the Commission's decision issuing a Certificate of Environmental Compatibility and Public Need to SWEPCO for Route 109 is arbitrary and unreasonable, is contrary to Arkansas and federal law, is contrary to the Arkansas and Federal constitutional guarantees of due process, is not supported by substantial evidence, and is unjust for all the reasons presented herein.

On March 21, 2014, APSC General Staff filed its Response to SWEPCO's Petition for Limited Rehearing of Order Nos. 32 and 33 and Motion for Clarification of Order No. 32.

Staff stated it agrees that the paragraphs in Order No. 32 specifically cited to by SWEPCO in its Motion should be clarified in order that SWEPCO may fully comply with the intent of the Commission by setting definitive standards for compliance and to protect the interests of the public and affected landowners to the greatest extent practicable.

Staff further stated that if the Commission is interested in obtaining evidence regarding the issues associated with Route 33 listed by SWEPCO in its Petition, Staff has no objection to granting SWEPCO's request for rehearing on those limited issues.

On March 24, 2014, Bennett Intervenors filed a response to SWEPCO's Petition for Limited Rehearing of Order Nos. 32 and 33 and Motion for Clarification of Order No. 32.

On March 24, 2014, the City of Garfield filed its response to SWEPCO's Petition for Limited Rehearing of Order Nos. 32 and 33 and Motion for Clarification of Order No. 32.

On March 24, 2014, Save the Ozarks filed its response to SWEPCO's Petition for Limited Rehearing of Order Nos. 32 and 33 and Motion for Clarification of Order No. 32.

On March 28, 2014, SWEPCO filed its Response to Save the Ozarks' Petition for Rehearing.

On March 28, 2014, SWEPCO filed a Motion to Strike or, in the Alternative, Treat Garfield's Exhibits "A" and "B" as Public Comments Rather than Evidence.

On March 28, 2014, Arkansas Electric Cooperative Corporation filed its Response to Save the Ozarks' Petition for Rehearing.

## Regulatory Status Report

On March 28, 2014, the APSC General Staff filed its Response to Save the Ozarks' Petition for Rehearing.

On March 28, 2014, SPP filed its Response to Save the Ozarks' Petition for Rehearing.

13-119-U

**In the Matter of the Application of Southwest Power Pool, Inc. for Authority to Issue up to \$70,000,000 in Secured or Unsecured Promissory Notes**

On January 29, 2014, SPP filed a Notice of FERC Letter Order.

On February 3, 2014, Marco Osorio filed Direct Testimony on behalf of APSC General Staff, recommending that the Commission approve SPP's Application.

On February 18, 2014, the APSC issued Order No. 1, approving SPP's Application for Authority to Issue Indebtedness.

14-006-U

**In the Matter of Arkansas Electric Cooperative Corporation's ("AECC") Application for Approval to Join the Midcontinent Independent System Operator, Inc. ("MISO") Regional Transmission Organization as a Transmission-Owning Member**

On January 15, 2014, Arkansas Electric Cooperative Corporation filed an Application for Approval to Join the Midcontinent Independent System Operator, Inc. Regional Transmission Organization as a Transmission-Owning Member.

On January 15, 2014, Ricky Bittle filed Direct Testimony in support of the Application on behalf of Arkansas Electric Cooperative Corporation.

On March 17, 2014, the Midcontinent Independent System Operator, Inc. filed a Petition to Intervene.

On March 17, 2014, Arkansas Electric Cooperative Corporation filed comments stating it fully supports the Midcontinent Independent System Operator, Inc.'s Petition to Intervene.

On March 18, 2014, APSC General Staff filed its Response to Midcontinent Independent System Operator, Inc.'s Petition to Intervene. Staff stated it does not oppose MISO's Petition to Intervene.

On March 18, 2014, Diana Brenske filed Direct Testimony on behalf of the Staff of the Arkansas Public Service Commission.

On March 19, 2014, APSC General Staff and Arkansas Electric Cooperative Corporation filed a Joint Motion to Submit Docket for Commission Approval on the Evidentiary Record Without a Hearing.

On March 21, 2014, the APSC issued Order No. 1, granting the Midcontinent Independent System Operator, Inc.'s Petition to Intervene. MISO comments or testimony is due no later than April 4, 2014. The Commission denied the Joint Motion to Submit Docket for Commission Approval on the Evidentiary Record Without a Hearing and found that it is in the public interest to conduct an evidentiary hearing. The hearing is set for April 16, 2014.

On March 26, 2014, the Parties filed a Joint Motion to Present Witnesses as a Panel.



## Regulatory Status Report

**FERC or State Jurisdiction: State of Kansas**

**11-PWTE-600-MIS**

**In the Matter of the Application of Prairie Wind Transmission, LLC for a Siting Permit for the Construction of a Double Circuit 345 kV Transmission Line in Sedgwick, Sumner, Harper, and Barber Counties, Kansas**

Prairie Wind Transmission, LLC continues to submit quarterly reports on the Progress of the Wichita to Woodward 345 kV Transmission Project.

***FERC or State Jurisdiction: State of LA - New Orleans***

**UD-11-01**

**Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements**

On January 2, 2014, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On February 3, 2014, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On March 3, 2014, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

### *FERC or State Jurisdiction: State of Louisiana*

**U-32148**                    **Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, For an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment**

On March 3, 2014, the Midcontinent Independent System Operator, Inc. filed a report regarding the status of negotiations with SPP to resolve outstanding issues related to the Joint Operating Agreement.

**U-32538**                    **Joint Application of Entergy Louisiana, LLC, Entergy Gulf States Louisiana, L.L.C., Mid South TransCo LLC, Transmission Company Louisiana I, LLC, Transmission Company Louisiana II, LLC, ITC Holdings Corp. and ITC Midsouth LLC ("Applicants") for Approval of Change of Ownership of Electric Transmission Businesses, for Certain Cost-Recovery Adjustments, and for Related Relief**

On January 9, 2014, the Applicants filed an Amended Notice of Withdrawal and Motion to Dismiss Without Prejudice the Joint Application.

On January 22, 2014, the Louisiana Energy Users Group and Commission Staff filed a Joint Response to Amended Notice of Withdrawal and Motion to Dismiss Without Prejudice.

On February 4, 2014, the LPSC issued Order Number U-32538, Order of Dismissal. The LPSC ordered that this proceeding be dismissed with prejudice, but with a reservation of rights to Entergy to bring a later request before the Commission for approval of the transfer of ownership of current Entergy holding companies from Entergy Corporation to a wholly-owned holding company subsidiary of Entergy Corporation.

**U-32675**                    **Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. (Collectively "Entergy") Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, for an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment**

On January 3, 2014, the LPSC issued a Notice of Technical Conference to be held on January 14, 2014 to discuss issues related to integration by Entergy, Cleco Power LLC and other entities that have opted to participate in the Midcontinent Independent System Operator, Inc.'s Day-2 Markets.

On January 7, 2014, LPSC Staff filed a Proposed Agenda for the January 14, 2014 Technical Conference.

On February 3, 2014, Commission Staff filed a Report on January 14, 2014 MISO Integration Technical Conference.



**FERC or State Jurisdiction: State of Missouri**

**EA-2013-0098**      **In the Matter of the Application of Transource Missouri, LLC for a Certificate of Convenience and Necessity Authorizing it to Construct, Finance, Own, Operate, and Maintain the Iatan-Nashua and Sibley-Nebraska City Electric Transmission Projects**

On January 14, 2014, Kansas City Power & Light Company filed a Submission of Asset Purchase Agreement.

On January 21, 2014, the MoPSC issued an Order Directing Filing, requiring that the Supplemental Filing be re-submitted without the redaction of information that is no longer highly confidential.

On January 23, 2014, Kansas City Power & Light Company filed its Response to Order Directing Filing. KCPL submitted an un-redacted page 1 included in the Supplemental Filing.

On February 12, 2014, Kansas City Power & Light Company filed the fourth quarter 2013 report for the Sibley-Nebraska City Transmission Project.

**EO-2012-0135**      **In the Matter of the Application of Kansas City Power & Light Company ("KCPL") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.**

On January 8, 2014, the MoPSC issued a Notice Closing Case.

**EO-2012-0136**      **In the Matter of the Application of KCP&L Greater Missouri Operations Company ("KCPL-GMO") for Authority to Extend the Transfer of Functional Control of Certain Transmission Assets to the Southwest Power Pool, Inc.**

On January 8, 2014, the MoPSC issued a Notice Closing Case.

**EO-2012-0271**      **In the Matter of an Investigation into the Siting and Safety of a Proposed Transmission Line in Platte County, Missouri**

On February 12, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed their fourth quarter 2013 report for the Iatan to Nashua 345 kV Transmission Project.

**EW-2014-0156**      **In the Matter of an Investigation Into the Possible Methods of Mitigating Identified Harmful Effects of Entergy Joining MISO on non-MISO Missouri Utilities and Their Ratepayers and Maximizing the Benefits for Missouri Utilities and Ratepayers Along RTO and Cooperative Seams**

On January 3, 2014, the MoPSC issued an Order Setting Deadline for Responses. Responses to the questions listed in the November 26, 2013 order shall be filed no later than April 1, 2014.

On March 17, 2014, The Empire District Electric Company, Kansas City Power & Light Company, and KCP&L Greater Missouri Operations Company filed a Joint Motion to Extend Time for Filing.

On March 18, 2014, the MoPSC issued an Order Granting Joint Motion to Extend Time for Filing. The deadline for filing comments is now July 1, 2014.



## Regulatory Status Report

**FERC or State Jurisdiction: State of New Mexico**

**07-00390-UT                    An Investigation into the Prudence of Southwestern Public Service Company's ("SPS") Participation in the SPP RTO**

On January 9, 2014, SPP submitted the 2014 SPP Transmission Expansion Plan draft report in accordance with Section 15 of the Uncontested Stipulation in NMPRC Case No. 07-00390-UT.

**10-00143-UT                    In the Matter of the Petition of Lea County Electric Cooperative, Inc. ("LCEC") For Approval to Transfer Certain Transmission Assets Under the Southwest Power Pool, Inc. Open Access Transmission Tariff**

On January 9, 2014, SPP filed a Notice and Request to Amend Official Service List.

On January 9, 2014, SPP submitted the 2014 SPP Transmission Expansion Plan draft report in accordance with Section 14 of the Uncontested Stipulation in NMPRC Case No. 10-00143-UT.

**13-00031-UT                    In the Matter of Southwestern Public Service Company's Interim Report on its Participation in the Southwest Power Pool Regional Transmission Organization**

On January 31, 2014, the Parties filed an Unopposed Stipulation.

On January 31, 2014, William Grant filed Direct Testimony Supporting Unopposed Stipulation on behalf of Southwestern Public Service Company.

On January 31, 2014, Southwestern Public Service Company filed a Motion Regarding Hearing on Unopposed Stipulation to 1) provide a copy of the Unopposed Stipulation entered into by the parties; 2) provide notice of the Stipulation's provision regarding admission into evidence of the parties direct testimony and supplemental direct testimony; and 3) request that SPS Ruth Sakya be excused from the hearing on the Stipulation or permitted to appear telephonically.

On January 31, 2014, Doug Gegax filed Direct Testimony in Support of Uncontested Stipulation on behalf of the New Mexico Attorney General.

On January 31, 2014, Bruno Carrara, P.E. filed Testimony in Support of Stipulation on behalf of the NMPRC Utility Division.

On January 31, 2014, Charles Gunter filed Direct Testimony in Support of Unopposed Stipulation on behalf of the NMPRC Utility Division.

On February 25, 2014, SPP filed its Motion Regarding Request for Permission for SPP Witness Carl A. Monroe to Appear Telephonically at the Hearing on the Unopposed Stipulation in this case.

On February 26, 2014, the Hearing Examiner issued an Order Granting Telephonic Appearance allowing Carl A. Monroe to appear by phone at the March 6, 2014 Hearing.

A hearing was held on March 6, 2014.

On March 10, 2014, Southwestern Public Service Company filed an Unopposed Motion for Administrative Notice of the October 8, 2013 SPP Regional Cost Allocation Review Report and admitting the report into the evidentiary record in this proceeding.



## Regulatory Status Report

**FERC or State Jurisdiction: State of Texas**

- 40979**                    **Proceeding to Track Compliance with Terms and Conditions Set Forth in the Commission's Order Issued in Docket No. 40346 and the Non-Unanimous Stipulation ("NUS") and Associated Studies Arising from the Order and/or NUS**
- Entergy Texas, Inc. continues to file compliance reports each month.
- 41430**                    **Joint Report and Application of Sharyland Utilities, L.P. ("Sharyland"), Sharyland Distribution & Transmission Services, L.L.C. ("SDTS"), and Southwestern Public Service Company ("SPS") for Approval of Purchase and Sale of Facilities, for Approval of Regulatory Accounting Treatment of Gain or Sale, and for Transfer of Certain Rights**
- On January 15, 2014, Stephen Fogel at Xcel Energy provided notice that the Proposed Transaction between Southwestern Public Service Company and Sharyland Distribution & Transmission Services, L.L.C. closed on December 30, 2013.

## Regulatory Status Report

***FERC or State Jurisdiction: United States Court of Appeals***

**13-1181**

**Nebraska Public Power District v. Federal Energy Regulatory Commission - Petition for Review of Orders in FERC Docket ER12-1179**

On January 14, 2014, FERC filed a Status Report recommending that the Court continue to hold this appeal in abeyance.