

### **FERC or State Jurisdiction: FERC**

#### **10-1227 SPP Filing of FERC Form 1 and 3-Q**

On August 29, 2014, SPP submitted its FERC Form 3-Q, Quarterly Financial Report, for the second quarter of 2014.

#### **AD11-9 Inquiry into Recent Outages in Texas and the Southwest**

On September 26, 2014, FERC issued a letter requesting Northeast Power Coordinating Council, Inc., ReliabilityFirst Corporation, SERC Reliability Corporation, SPP Regional Entity, Texas Reliability Entity and Western Electricity Coordinating Council to provide responses to the enclosed request for data and documents.

Responses are due on November 25, 2014.

#### **AD12-12 Coordination between Natural Gas and Electricity Markets**

On September 18, 2014, Commission Staff presented its Gas-Electric Coordination Quarterly Report to the Commission.

#### **AD14-9 Reliability Technical Conference**

On July 15, 2014, parties filed post-technical conference comments.

#### **AD14-14 Price Formation in Energy and Ancillary Services Markets Operated by Regional Transmission Organizations ("RTOs") and Independent System Operators ("ISOs")**

On July 9, 2014, FERC issued a Notice of Workshop to be held on September 8, 2014 to discuss the technical, operational and market issues that give rise to uplift payments and the levels of transparency. The workshop will also preview the scope of the remaining price formation topics of offer price mitigation and price caps, scarcity and shortage pricing, and operator actions that affect price

On August 14, 2014, FERC issued a Supplemental Notice of Workshop on Price Formation and Issuance of Report on Uplift in RTO and ISO Markets.

On August 21, 2014, FERC issued its Staff Analysis of Uplift in RTO and ISO Markets.

On September 5, 2014, FERC issued a Notice of Workshop to be held on October 28, 2014 to address technical, operational, and market issues related to offer price mitigation and offer price caps, and scarcity and shortage pricing in energy and ancillary services markets operated by RTOs and ISOs.

On September 8, 2014, a workshop was held to discuss the technical, operational and market issues that give rise to uplift payments and the levels of transparency.

#### **AD14-15 RTO/ISO Performance Metrics**

On August 26, 2014, FERC issued a comment request concerning a revised, previously approved information collection, FERC-922, Performance Metrics for ISOs, RTOs and Regions Outside ISOs and RTOs.

Comments are due on November 3, 2014.

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On August 26, 2014, Commission Staff filed a report on Common Metrics to provide a platform for review of Independent System Operator, Regional Transmission Organization and utility performance.

The Common Metrics Report provides two components for a performance review, as follows: (1) an analysis of the metrics data to confirm that the data provided by ISOs, RTOs and utilities in regions outside ISO and RTO markets are consistent with the definitions of the common metrics; and (2) an evaluation and confirmation that the common metrics are measuring the same activities and have the same meaning across the industry.

EL11-34

### **Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP**

On July 21, 2014, FERC issued an order denying the Motion to Stay Effectiveness of Service Agreement Pending Decision on Rehearing filed by the MISO Transmission Owners on April 28, 2014.

A settlement conference was held on August 21, 2014.

On August 22, 2014, FERC issued an Order Scheduling Settlement Conference to be held on October 23, 2014.

On August 22, 2014, Settlement Judge Cintron filed a Settlement Judge Report, recommending that settlement procedures continue. A settlement conference was held on August 21, 2014. The next settlement conference is scheduled for October 23, 2014.

EL12-59

### **Complaint of Golden Spread Electric Cooperative, Inc. ("Golden Spread") Against Southwestern Public Service Company ("SPS") Regarding Rate of Return on Common Equity ("ROE") Input Value for the Formula Rate Applicable to the Replacement Power Sales Agreement ("RPSA")**

On July 1, 2014, Judge Wagner issued an Order of Chief Judge Appointing Settlement Judge and Scheduling Settlement Conference.

A settlement conference is scheduled for July 15, 2014.

On July 15, 2014, Settlement Judge Glazer issued a Notice Establishing Procedural Schedule. The deadline for the first counter-offer is July 22, 2014. The deadline for the second counter-offer is August 1, 2014. The Second Settlement conference is scheduled for August 5, 2014.

On July 21, 2014, Xcel Energy Services Inc. filed a Request for Rehearing of the June 19, 2013 orders issued in Docket Nos. EL12-59 and EL13-78.

Xcel stated:

- 1) the Commission should grant rehearing and explain that the hearing and settlement judge procedures were established by the Commission acting on its own Motion under Section 206(b) of the Federal Power Act; and
- 2) the Commission erred in setting the Complaint in Docket No. EL13-78-000 for hearing and settlement judge procedures.

On July 29, 2014, Judge Glazer filed a status report recommending that the settlement proceeding continue.. The following procedural schedule was established at the July 15, 2014

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settlement conference:

July 22, 2014 - Deadline for First Counter-Offer;  
August 1, 2014 - Deadline for Second Counter-Offer; and  
August 5, 2014 - Second Settlement Conference.

On August 5, 2014, Judge Glazer issued a Notice Establishing Procedural Schedule as follows:

August 29, 2014 - Deadline for SPS counter-offer;  
September 16, 2014 - Third Settlement Conference.

On August 20, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the June 19, 2014 Orders.

On September 15, 2014, Judge Glazer issued a Notice Rescheduling Settlement Conference. The conference scheduled for September 16, 2014 was rescheduled to September 29, 2014.

On September 19, 2014, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On September 26, 2014, Settlement Judge Glazer issued a Report recommending that the settlement proceeding continue. The next settlement conference is scheduled for September 29, 2014.

On September 30, 2014, Settlement Judge Glazer issued a Notice Establishing Procedural Schedule. The following schedule was established:

October 1, 2014 - Date for Southwestern Public Service Company to provide counter-offer in writing;  
October 10, 2014 - Date for Complainants and Intervenors to accept or provide counter-offer;  
November 18, 2014 - Next settlement conference (if necessary).

EL14-19

### **Section 206 Proceeding to Investigate into the Justness and Reasonableness of Midcontinent Independent System Operator, Inc.'s ("MISO") Proposed Regional Through-and-out Rate ("RTOR") for Service over the Transmission System in the MISO South Region**

On July 22, 2014, Settlement Judge Baten issued the Seventh Settlement Status Report, recommending that settlement efforts in this matter should continue. The next settlement conference is scheduled to be held on October 1, 2014.

On September 22, 2014, Settlement Judge Baten issued the Eighth Settlement Status Report, recommending that settlement efforts in this matter should continue. The next settlement conference is scheduled to be held on October 1, 2014.

EL14-21

### **SPP Complaint for an Order Finding the Midcontinent Independent System Operator, Inc. ("MISO") is Violating the Joint Operating Agreement ("JOA") between SPP and MISO and the SPP Tariff and Requiring MISO to Compensate SPP for Use of SPP's Transmission System**

On July 21, 2014, FERC issued an order denying the Motion to Stay Effectiveness of Service Agreement Pending Decision on Rehearing filed by the MISO Transmission Owners on April 28, 2014.

A settlement conference was held on August 21, 2014.

On August 22, 2014, FERC issued an Order Scheduling Settlement Conference to be held on

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October 23, 2014.

On August 22, 2014, Settlement Judge Cintron filed a Settlement Judge Report, recommending that settlement procedures continue. A settlement conference was held on August 21, 2014. The next settlement conference is scheduled for October 23, 2014.

EL14-30

### **Midcontinent Independent System Operator, Inc. ("MISO") Complaint Regarding Transmission Service Invoices from SPP**

On July 21, 2014, FERC issued an order denying the Motion to Stay Effectiveness of Service Agreement Pending Decision on Rehearing filed by the MISO Transmission Owners on April 28, 2014.

A settlement conference was held on August 21, 2014.

On August 22, 2014, FERC issued an Order Scheduling Settlement Conference to be held on October 23, 2014.

On August 22, 2014, Settlement Judge Cintron filed a Settlement Judge Report, recommending that settlement procedures continue. A settlement conference was held on August 21, 2014. The next settlement conference is scheduled for October 23, 2014.

EL14-38

### **Sunflower Electric Power Corporation ("Sunflower") Complaint Against Kansas Municipal Energy Agency ("KMEA") and SPP Alleging that the KMEA/Garden City Supply Arrangement is Defective under the Commission's and SPP's Rules**

On July 17, 2014, FERC issued an Order on Complaint, denying the Complaint filed by Sunflower Electric Power Corporation on April 9, 2014.

On August 15, 2014, Sunflower Electric Power Corporation filed a Request for Rehearing or, in the Alternative, Clarification of the July 17, 2014 Order.

Sunflower stated:

- 1) the Commission erred in finding that Sunflower failed to meet its burden of proof to demonstrate KMEA lacked sufficient firm resources to serve the garden city load;
- 2) the Commission erred in determining that the KMEA/Garden City supply arrangement did not violate the SPP Tariff and applicable rules;
- 3) the Commission erred in finding that KMEA and SPP did not violate NERC rules respecting dynamic scheduling requirements and that Sunflower has not substantiated SPP or KMEA were responsible to effectuate the dynamic transfer consistent with applicable requirements;
- 4) the Commission erred in failing to find that Sunflower is entitled to compensation; and
- 5) the Commission erred in failing to address Sunflower's showing that the deficient KMEA service to Garden City persists and poses serious reliability problems.

On September 15, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the July 17, 2014 Order on Complaint.

EL14-49

### **Petition for Declaratory Order Seeking the Commission's Confirmation that Acceptance of a Notice of Termination of a Point-to-Point Transmission Service Agreement Does Not Preclude a Transmission Provider from Seeking Contract Damages for Breach of the Service Agreement**

On July 9, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene.

On July 9, 2014, SPP filed a Motion to Intervene, Protest, and Answer. SPP filed a motion to

## Regulatory Status Report

intervene and protest in response to AES Shady Point, LLC's Petition for Declaratory Order filed on June 9, 2014 in Docket No. EL14-65. SPP also answered AES' motion to intervene and protest in Docket No. EL14-49.

SPP stated:

- 1) the Commission should grant the unopposed SPP Petition;
- 2) the Commission should deny AES' Petition as the matters it raises do not require the Commission's special expertise; and
- 3) if the Commission elects to address the AES Petition, it should find that the SPP Tariff does not prohibit SPP from seeking the full unpaid contract price under the AES Agreement.

On July 24, 2014, AES Shady Point, LLC filed an answer in response to SPP's July 9, 2014 Protest and Answer.

AES stated:

- 1) the Commission should exercise its primary jurisdiction to interpret the SPP Tariff; and
- 2) the Commission should grant AES' Petition.

EL14-57

**City of Hastings, Nebraska and City of Grand Island, Nebraska ("Complainants") Complaint Charging SPP with Violating the Federal Power Act by Demanding that Complainants Purchase Transmission Service that is Not Required by the Tariff and Demanding that Complainants Pay Unreserved Use Penalties that Are Not Permitted Under the Tariff**

On September 18, 2014, FERC issued an Order Denying Complaint.

EL14-65

**AES Shady Point, LLC's ("AES") Petition for Declaratory Order Asking the Commission to Determine that SPP's Tariff Prohibits SPP from Recovering Damages in the Form of Lost Revenues from AES**

On July 9, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments.

WFEC requested that the Commission deny the relief requested by AES in its Petition, stating that the determination sought by AES would effectively grant it the ability to default on its contract without risk of damages.

On July 9, 2014, SPP filed a Motion to Intervene, Protest, and Answer. SPP filed a motion to intervene and protest in response to AES Shady Point, LLC's Petition for Declaratory Order filed on June 9, 2014 in Docket No. EL14-65. SPP also answered AES' motion to intervene and protest in Docket No. EL14-49.

SPP stated:

- 1) the Commission should grant the unopposed SPP Petition;
- 2) the Commission should deny AES' Petition as the matters it raises do not require the Commission's special expertise; and
- 3) if the Commission elects to address the AES Petition, it should find that the SPP Tariff does not prohibit SPP from seeking the full unpaid contract price under the AES Agreement.

On July 24, 2014, AES Shady Point, LLC filed an answer in response to SPP's July 9, 2014 Protest and Answer.

AES stated:

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- 1) the Commission should exercise its primary jurisdiction to interpret the SPP Tariff; and
- 2) the Commission should grant AES' Petition.

EL14-73

### **Section 206 Proceeding Directing The Empire District Electric Company ("Empire") to File Revisions to its Formula Rate Protocols**

On July 17, 2014, FERC issued an Order on Formula Rate Protocols, directing The Empire District Electric Company to file revisions to the formula rate protocols or show cause why it should not be required to do so.

On July 17, 2014, FERC issued a Notice of Institution of Section 206 Proceeding and Refund Effective Date.

The refund effective date is July 23, 2014.

On August 8, 2014, SPP filed a Motion to Intervene.

On August 8, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 12, 2014, the Missouri Public Service Commission filed a Motion to Intervene.

On August 19, 2014, the Cities of Monett, Mount Vernon, and Lockwood, Missouri and Chetopa, Kansas filed a Motion to Intervene.

EL14-74

### **Section 206 Proceeding Directing Kansas City Power & Light Company ("KCP&L") to File Revisions to its Formula Rate Protocols**

On July 17, 2014, FERC issued an Order on Formula Rate Protocols, directing Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company to file revisions to their formula rate protocols or show cause why they should not be required to do so.

On July 17, 2014, FERC issued a Notice of Institution of Section 206 Proceeding and Refund Effective Date.

The refund effective date is July 23, 2014.

On August 8, 2014, SPP filed a Motion to Intervene.

On August 8, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 12, 2014, the Missouri Public Service Commission filed a Motion to Intervene.

On August 19, 2014, the City of Independence, Missouri filed a Motion to Intervene.

On August 22, 2014, Missouri Joint Municipal Electric Utility Commission filed a Motion to Intervene.

EL14-75

### **Section 206 Proceeding Directing KCP&L Greater Missouri Operations Company ("KCP&L-GMO") to File Revisions to its Formula Rate Protocols**

On July 17, 2014, FERC issued an Order on Formula Rate Protocols, directing Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company to file revisions to their formula rate protocols or show cause why they should not be required to do so.

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On July 17, 2014, FERC issued a Notice of Institution of Section 206 Proceeding and Refund Effective Date.

The refund effective date is July 23, 2014.

On August 8, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 8, 2014, SPP filed a Motion to Intervene.

On August 12, 2014, the Missouri Public Service Commission filed a Motion to Intervene.

On August 19, 2014, the City of Independence, Missouri filed a Motion to Intervene.

On August 22, 2014, Missouri Joint Municipal Electric Utility Commission filed a Motion to Intervene.

EL14-77

### **Section 206 Proceeding Directing Westar Energy, Inc. ("Westar") to File Revisions to its Formula Rate Protocols**

On July 17, 2014, FERC issued an Order on Formula Rate Protocols, directing Westar Energy, Inc. to file revisions to the formula rate protocols or show cause why it should not be required to do so.

On July 17, 2014, FERC issued a Notice of Institution of Section 206 Proceeding and Refund Effective Date.

The refund effective date is July 23, 2014.

On August 8, 2014, SPP filed a Motion to Intervene.

On August 8, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 12, 2014, the Missouri Public Service Commission filed a Motion to Intervene.

On August 14, 2014, the United States Department of Defense and all other Federal Agencies filed a Motion for Leave to Intervene.

On August 19, 2014, Kansas Power Pool filed a Motion to Intervene.

On August 21, 2014, Kansas Electric Power Cooperative, Inc. filed a Motion to Intervene and Limited Comments.

On August 22, 2014, Missouri Joint Municipal Electric Utility Commission filed a Motion to Intervene.

EL14-81

### **Golden Spread Electric Cooperative, Inc. ("Golden Spread") and Sharyland Utilities, L.P. ("Sharyland") Petition for Declaratory Order Concerning Jurisdiction Over Transmission Interconnection Facilities that Would Deliver Power from the Antelope Elk Energy Center Located in the Electric Reliability Council of Texas ("ERCOT")**

On July 3, 2014, Golden Spread Electric Cooperative, Inc. and Sharyland Utilities, L.P. filed a Petition for Declaratory Order requesting that the Commission disclaim jurisdiction over: 1) transmission interconnection facilities that would deliver power from the Antelope Elk Energy

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Center located entirely within the State of Texas to the Electric Reliability Council of Texas electric grid; and 2) transmission sales of energy over the Transmission Interconnection Facilities.

On July 9, 2014, FERC issued a Notice of Petition for Declaratory Order. Comment date is August 4, 2014.

On August 1, 2014, Xcel Energy Services Inc. filed a Motion to Intervene and Request for 14-Day Extension of Time to File Comments.

On August 4, 2014, SPP filed a doc-less Motion to Intervene.

On August 4, 2014, Tri-County Electric Cooperative, Inc. filed a doc-less Motion to Intervene.

On August 4, 2014, the Public Utility Commission of Texas filed a Notice of Intervention.

On August 4, 2014, the Electric Reliability Council of Texas, Inc. filed a Motion to Intervene.

On August 7, 2014, FERC granted Xcel Energy Services Inc.'s extension request to file comments. Comments are due on August 18, 2014.

On August 18, 2014, Xcel Energy Services Inc. filed Comments on behalf of Southwestern Public Service Company ("SPS").

Xcel stated that because the potential reliability and Integrated Marketplace economic concerns can be addressed with SPP independent of the Commission's review of the jurisdictional issues presented by the Petition, and Golden Spread and SPS can collaboratively work to develop the necessary operational protocols prior to the interconnection and commencement of operations, SPS does not object to the relief sought by Petitioners.

On September 2, 2014, Golden Spread Electric Cooperative, Inc. filed an answer in response to the Comments filed by Xcel Energy Services Inc. on August 18, 2014.

Golden Spread stated:

- 1) the Petition is unopposed and should be granted;
- 2) it will coordinate with SPS to address necessary operational protocols and processes prior to commencement of operations; and
- 3) Xcel's other concerns are beyond the scope of this proceeding and either already have been or may be addressed in the SPP collaborative process

EL14-85

**Eric S. Morris Complaint Against SPP for Violating FERC Order No. 890 at Paragraph 864 and FERC Order No. 890-A at Paragraph 472; Potentially Failing to Properly Implement and Enforce its Tariff at Sections 13.7(c) and 14.5; and if Complaints are Verified, then Most Likely Violating 18 C.F.R. § 1c.2 (Prohibition of Electric Energy Market Manipulation)**

On July 30, 2014, Eric S. Morris filed a Complaint against SPP for (a) violating FERC Order No. 890 at paragraph 864 and FERC Order No. 890-A at paragraph 472, (b) potentially failing to properly implement and enforce its Tariff at sections 13.7(c) and 14.5, and (c) if complaints (a) and (b) are verified, then most likely violating 18 CFR § 1c.2 (Prohibition of electric energy market manipulation).

On July 31, 2014, FERC issued a Notice of Complaint. Comments are due on August 20, 2014.



## Regulatory Status Report

On August 20, 2014, SPP filed an answer in response to the Complaint.

SPP stated:

- 1) the Commission should dismiss the Complaint for failure to comply with the basic requirements for filing a Complaint; and
- 2) the Complaint is unsupported and should be denied.

ER09-548

### **ITC Great Plains, LLC's Application for Acceptance of a Formula Rate to Establish a Revenue Requirement for Transmission Service Over Facilities that ITC Will Own in the SPP Region**

On September 2, 2014, the Kansas Corporation Commission filed a letter requesting that the Commission issue an order ruling upon the May 20, 2013 Compliance Filing.

On September 3, 2014, ITC Great Plains, LLC filed a letter requesting that the Commission issue an order ruling upon the May 20, 2013 Compliance Filing.

ER12-1179

### **Submission of Tariff Revisions to Implement SPP Integrated Marketplace**

On July 11, 2014, SPP filed a Motion for Clarification. SPP requested clarification that the Commission's intent as expressed in Integrated Marketplace Orders was that costs associated with manual commitments to address Local Reliability Issues should be allocated locally, regardless of whether the make whole payments are allocated in accordance with the Day-Ahead Make Whole Payment Distribution Amount provisions of Section 8.5.10 of Attachment AE or the Reliability Unit Commitment Make Whole Payment Distribution Amount provisions set forth in Section 8.6.7.

On July 17, 2014, SPP submitted its compliance filing in response to the June 19, 2014 Order.

On August 11, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the June 19, 2014 Order.

On September 10, 2014, FERC issued an order accepting SPP's July 17, 2014 Compliance Filing.

An effective date of March 1, 2014 was granted.

This order constitutes final agency action.

ER12-1402

### **SPP Submission of Tariff Revisions to Section VII.8(b) of Attachment O**

On July 2, 2014, FERC issued an order accepting the compliance filing revising Attachment O, Section VII.8(b) of the Tariff submitted on July 31, 2012, in compliance with the February 29, 2012 Order issued in Docket Nos. ER09-659 and EL12-2. FERC also accepted the errata filing submitted on April 29, 2014 to revise Attachment O, Section VII to include language previously accepted by the Commission.

An effective date of July 31, 2012 was granted.

This order constitutes final agency action.

ER12-2366

### **SPP Submission of Tariff Revisions to Modify Section VII.8(b) of Attachment O in Compliance with Order issued in ER09-659 and EL12-2**

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On July 2, 2014, FERC issued an order accepting the compliance filing revising Attachment O, Section VII.8(b) of the Tariff submitted on July 31, 2012, in compliance with the February 29, 2012 Order issued in Docket Nos. ER09-659 and EL12-2. FERC also accepted the errata filing submitted on April 29, 2014 to revise Attachment O, Section VII to include language previously accepted by the Commission.

An effective date of July 31, 2012 was granted.

This order constitutes final agency action.

ER13-62

### **NorthWestern Corporation's Order No. 1000 Regional Compliance Filing**

On August 14, 2014, FERC issued an order granting NorthWestern Corporation's motion for extension of time until January 2, 2015 to make a further compliance filing so that NorthWestern can meet the regional compliance requirements of Order No. 1000 through its anticipated integration into SPP in October 2015.

On September 15, 2014, NorthWestern Corporation filed its First Interim Informational Report. NorthWestern stated it currently expects that an October 2015 date for integrating with SPP is achievable, subject to future regulatory approvals.

ER13-366

### **Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements**

On August 15, 2014, SPP submitted tariff revisions to comply with the Commission's directive in the July 18, 2013 Order to revise the definition of Competitive Upgrades to include Service Upgrades whose costs are allocated regionally.

An effective date of January 1, 2015 was requested.

On September 5, 2014, South Central Municipal-Cooperative Network, LLC filed a Motion to Intervene.

ER13-948

### **Entergy Services, Inc. ("ESI") and the Midwest Independent Transmission System Operator, Inc. ("MISO") Filing of Six Attachment O Templates to the MISO Open Access Transmission, Energy and Operating Reserve Markets Tariff to Establish Formula Rates for the Entergy Operating Companies' Recovery of Transmission Revenue Requirements**

On July 22, 2014, Settlement Judge Baten issued the Seventh Settlement Status Report, recommending that settlement efforts in this matter should continue. The next settlement conference is scheduled to be held on October 1, 2014.

On September 22, 2014, Settlement Judge Baten issued the Eighth Settlement Status Report, recommending that settlement efforts in this matter should continue. The next settlement conference is scheduled to be held on October 1, 2014.

ER13-1173

### **SPP Submission of Tariff Revisions to Modify Certain Aspects of the SPP Integrated Marketplace**

On July 11, 2014, SPP filed a Motion for Clarification. SPP requested clarification that the Commission's intent as expressed in Integrated Marketplace Orders was that costs associated with manual commitments to address Local Reliability Issues should be allocated locally, regardless of whether the make whole payments are allocated in accordance with the

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Day-Ahead Make Whole Payment Distribution Amount provisions of Section 8.5.10 of Attachment AE or the Reliability Unit Commitment Make Whole Payment Distribution Amount provisions set forth in Section 8.6.7.

ER13-1748

**Order No. 755 Compliance Filing to Adopt a Two-Part Compensation Methodology for Resources that Provide Regulation-Up and Regulation-Down Operating Reserve Products in the SPP Integrated Marketplace and Other Tariff Language**

On July 21, 2014, SPP submitted its compliance filing in response to the June 19, 2014 Order.

On August 1, 2014, SPP amended its July 21, 2014 compliance filing submitted its response to Order No. 755 and the June 19, 2014 Order.

An effective date of March 1, 2015 was requested.

On August 1, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

ER13-1864

**Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Include Market-to-Market ("M2M") Terms and Conditions (SPP Rate Schedule FERC No. 9)**

On July 11, 2014, FERC issued an Order Establishing Technical Conference. FERC directed Commission Staff to convene a technical conference to explore the following issues regarding the Market-to-Market protocols: (1) the implementation of Interface Bus Pricing (proposed section 2); (2) the creation of Market-to-Market flowgates (proposed sections 3.1.13 and 8.1.4); and (3) the deferred implementation of a Day-Ahead Firm Flow Entitlement exchange process (proposed section 4). The Commission will address the entire Market-to-Market protocols proposal in a future order(s) following the technical conference.

On July 24, 2014, Missouri Joint Municipal Electric Utility Commission filed a Motion to Intervene.

On August 11, 2014, Southern Company Services, Inc. filed a Motion to Intervene Out-of-Time.

On August 11, 2014, FERC issued a Notice of Technical Conference to be held on September 22, 2014.

The purpose of this technical conference is to explore the following issues regarding the Market-to-Market protocols: (1) the implementation of Interface Bus Pricing (proposed tariff section 2); (2) the creation of Market-to-Market flowgates (proposed sections 3.1.13 and 8.1.4); and (3) the deferred implementation of a Day-Ahead Firm Flow Entitlement exchange process (proposed section 4). A subsequent notice providing a more detailed description of the topics to be discussed will be issued in advance of the technical conference.

On August 29, 2014, FERC issued a Supplemental Notice of Technical Conference to be held on September 22, 2014. Post technical conference comments are due October 7, 2014. Reply comments are due October 22, 2014.

On September 17, 2014, Dynegy Inc. filed a Motion to Intervene Out-of-Time.

A technical conference was held on September 22, 2014.

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ER14-67

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner**

On July 17, 2014, Settlement Judge Dowd filed a Report of Settlement Status, recommending that settlement proceedings be continued.

On July 18, 2014, Settlement Judge Dowd issued a Notice Cancelling Settlement Conference to be held on July 22, 2014.

On September 24, 2014, Settlement Judge Dowd filed a Status Report, recommending that settlement proceedings be continued with the understanding that the participants will call the undersigned on or about October 15, 2014 with an updated report on their progress toward a settlement.

ER14-781

**Submission of Tariff Revisions to Modify the Generator Interconnection Procedures**

On July 14, 2014, SPP submitted its compliance filing in response to the June 13, 2014 Order.

On July 14, 2014, E.ON Climate & Renewables North America LLC filed a Request for Rehearing of the June 13, 2014 Order.

On July 15, 2014, the American Wind Energy Association and the Wind Coalition filed a Request for Clarification and/or Rehearing of the June 13, 2014 Order.

On August 4, 2014, E.ON Climate & Renewables North America LLC filed Comments in response to SPP's July 14, 2014 Compliance Filing.

E.ON stated that the transition provision requires conditions, and that the interest provision requires further detail.

On August 4, 2014, the American Wind Energy Association and the Wind Coalition filed Comments in response to SPP's July 14, 2014 Compliance Filing.

The parties stated while SPP has modified some language in its Tariff consistent with the Commission's June 13, 2014 Order, SPP overlooked similar language in the Generator Interconnection Agreement which must also be revised regarding the treatment of GIA deposits pursuant to the June 13 Order.

The parties requested clarification on the proposed language regarding interest rates on deposits.

On August 4, 2014, Tri Global Energy, LLC filed a Motion to Intervene and Protest in response to SPP's July 14, 2014 Compliance Filing.

Tri Global stated that the Commission should direct SPP to include a reasonable cure period for missed Appendix B milestones, and any proposal to reform a pre-existing Generator Interconnection Agreement must depend on assessment of the milestone's materiality.

On August 11, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the June 13, 2014 Order.

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On August 20, 2014, SPP filed an answer in response to the Request for Clarification and/or Rehearing of the American Wind Energy Association and the Wind Coalition.

On August 26, 2014, SPP filed an answer in response to comments and protests filed in response to the July 14, 2014 Compliance Filing.

SPP responded to comments regarding milestone payments, interest, and the transitions to the revised Generator Interconnection Procedures.

On September 2, 2014, the American Wind Energy Association and the Wind Coalition filed an answer in response to SPP's August 26, 2014 Answer.

**ER14-866**

### **Order No. 784 Compliance Filing**

On August 5, 2014, FERC issued an order accepting SPP's tariff revisions to adopt the Open Access Transmission Tariff Schedule 3 language required by Order No. 784 for its Integrated Marketplace Tariff.

An effective date of March 1, 2014 was granted.

This order constitutes final agency action.

**ER14-1174**

### **Unexecuted Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Midcontinent Independent System Operator, Inc. ("MISO") as Transmission Customer**

On July 21, 2014, FERC issued an order denying the Motion to Stay Effectiveness of Service Agreement Pending Decision on Rehearing filed by the MISO Transmission Owners on April 28, 2014.

A settlement conference was held on August 21, 2014.

On August 22, 2014, FERC issued an Order Scheduling Settlement Conference to be held on October 23, 2014.

On August 22, 2014, Settlement Judge Cintron filed a Settlement Judge Report, recommending that settlement procedures continue. A settlement conference was held on August 21, 2014. The next settlement conference is scheduled for October 23, 2014.

**ER14-1175**

### **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner**

On July 17, 2014, FERC issued an Order on Rehearing, denying Sunflower Electric Power Corporation's Request for Rehearing of the March 10, 2014 Order.

**ER14-1225**

### **Submission of Rate for Lea County Electric Cooperative, Inc.**

On July 8, 2014, FERC issued an order approving the Unopposed Stipulation and Offer of Settlement. SPP was directed to submit a compliance filing in eTariff format no later than August 7, 2014, in order to incorporate the rates from the settlement into the Tariff.

On August 6, 2014, SPP submitted its compliance filing to incorporate the rates from the Unopposed Stipulation and Offer of Settlement into the Tariff.

## Regulatory Status Report

An effective date of April 1, 2014 was requested.

ER14-1405

**Amendments to Joint Operating Agreement ("JOA") between PJM Interconnection, L.L.C. ("PJM") and the Midcontinent Independent System Operator, Inc. ("MISO") to Account for Import and Export Transactions in the Market Flow Calculations**

On July 25, 2014, FERC issued an order accepting revisions to the Congestion Management Process and Change Management Process found in the Joint Operating Agreement ("JOA") between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP and in the JOA between MISO and PJM Interconnection, L.L.C. The Commission conditionally accepted the revisions to Article XIX, Change Management Process, of the SPP-MISO JOA subject to the outcome of the proceedings in Docket No. ER13-1864.

An effective date of August 8, 2014 was granted.

ER14-1406

**Midcontinent Independent System Operator, Inc. ("MISO") Submission of Amendments to Joint Operating Agreement ("JOA") between SPP and MISO to Account for Import and Export Transactions in the Market Flow Calculations**

On July 25, 2014, FERC issued an order accepting revisions to the Congestion Management Process and Change Management Process found in the Joint Operating Agreement ("JOA") between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP and in the JOA between MISO and PJM Interconnection, L.L.C. The Commission conditionally accepted the revisions to Article XIX, Change Management Process, of the SPP-MISO JOA subject to the outcome of the proceedings in Docket No. ER13-1864.

An effective date of August 8, 2014 was granted.

ER14-1407

**Amendments to Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Account for Import and Export Transactions in the Market Flow Calculations (SPP Rate Schedule FERC No. 9)**

On July 25, 2014, FERC issued an order accepting revisions to the Congestion Management Process and Change Management Process found in the Joint Operating Agreement ("JOA") between the Midcontinent Independent System Operator, Inc. ("MISO") and SPP and in the JOA between MISO and PJM Interconnection, L.L.C. The Commission conditionally accepted the revisions to Article XIX, Change Management Process, of the SPP-MISO JOA subject to the outcome of the proceedings in Docket No. ER13-1864.

An effective date of August 8, 2014 was granted.

On July 30, 2014, FERC issued an Errata Notice correcting certain language in the order issued on July 25, 2014.

ER14-1653

**Submission of Tariff Revisions to Modify SPP Integrated Marketplace**

On July 1, 2014, SPP submitted responses to the Commission's May 30, 2014 letter requesting additional information in order to process the April 3, 2014 filing.

On July 2, 2014, SPP filed a Motion to Accept Filing Out of Time.

On July 23, 2014, Southern Company Services, Inc. filed a Protest in response to SPP's Submission of Response to Request for Additional Information submitted on July 1, 2014.

## Regulatory Status Report

Southern stated that its Protest remains valid and the deficiencies noted by Commission Staff have not been addressed sufficiently to justify acceptance.

On August 29, 2014, FERC issued an Order Conditionally Accepting Tariff Revisions.

The Commission found SPP's tariff revisions to Attachments AE and AO relating to pseudo-tie arrangements to be just and reasonable and accepted them.

The Commission found the other proposed tariff revisions to be just and reasonable and conditionally accepted them, subject to a compliance filing where SPP is to remove the reference to the Transmission Congestion Rights (TCR) duration in Article 5A.7 of Attachment X.

Effective dates of March 1, 2014 and May 1, 2014 were granted.

SPP's compliance filing is due on September 29, 2014.

On September 30, 2014, SPP submitted its compliance filing in response to the August 29, 2014 Order.

ER14-1736

### **Midcontinent Independent System Operator, Inc. ("MISO") Filing of Tariff Revisions to Recover Certain Costs Paid by the Transmission Provider**

On July 1, 2014, Judge Wagner issued an Order of Chief Judge Appointing Settlement Judge and Scheduling Settlement Conference.

A settlement conference is scheduled for July 8, 2014.

On July 9, 2014, Settlement Judge Sterner issued a Notice Scheduling Settlement Conferences for August 20, 2014 and September 23, 2014.

On July 16, 2014, the Midcontinent Independent System Operator, Inc. filed a Request for Clarification or, in the Alternative, Rehearing of the June 16, 2014 Order.

On July 16, 2014, the Midcontinent Independent System Operator, Inc. submitted a compliance filing pursuant to the June 16, 2014 Order.

On July 29, 2014, Settlement Judge Sterner issued a Status Report recommending that current settlement proceedings continue. Additional settlement conferences are scheduled to be held on August 20, 2014 and September 23, 2014.

On July 31, 2014, the Organization of MISO States filed an answer in response to the Request for Clarification filed by Midcontinent Independent System Operator, Inc. on July 16, 2014.

OMS requested that (a) the Commission not grant the "alternative" request in Section II.A of MISO's Request for Clarification and (b) direct MISO to follow-through on its prior commitment to remove unjust and unreasonable Tariff language applicable to Transmission Service Agreements that do not exist.

On July 31, 2014, the MISO Transmission Owners filed an answer in response to the Request for Clarification filed by Midcontinent Independent System Operator, Inc. on July 16, 2014.

The MISO TOs requested that the Commission deny MISO's alternative request that it be

## Regulatory Status Report

relieved of the obligation to pay refunds at the conclusion of this proceeding.

On July 31, 2014, Mississippi Public Service Commission filed an answer in response to the Request for Clarification filed by Midcontinent Independent System Operator, Inc. on July 16, 2014.

The MPSC requested that the Commission deny MISO's request to (i) defer collection of the revenue needed to pay SPP transmission charges; (ii) not pay refunds to MISO customers if the cost allocation mechanism in this docket changes as a result of hearing or settlement; and (iii) collect all charges imposed by SPP including non-reservation penalties and interest charges for failure to timely pay SPP transmission invoices.

On July 31, 2014, SPP filed an answer in response to the Request for Clarification filed by Midcontinent Independent System Operator, Inc. on July 16, 2014.

SPP stated that MISO's request should be denied because it would allow it to continue to withhold payment required under the SPP Service Agreement and the March 28, 2014 Order issued in Docket No. ER14-1174, et al.

On August 6, 2014, the Council of the City of New Orleans filed Comments in response to the July 16, 2014 Filing. The Council stated that MISO's proposed Tariff language to implement the cost recovery mechanism is too broad and should allow for recovery of invoices only from SPP under the SPP Service Agreement.

On August 6, 2014, the Wisconsin TDUs filed a Motion to Intervene, Protest and Request for Consolidation and Other Relief in Docket Nos. ER14-2445 and ER14-1736.

The TDUs stated that the Commission should direct MISO to correct its hurdle rate as described in this pleading. The TDUs also stated to the extent MISO's filing is not required to be revised to address all flaws identified, the filing should be suspended, made effective, subject to refund, hearing, and settlement judge procedures, and consolidated with Docket No. ER14-1736.

On August 12, 2014, the Midcontinent Independent System Operator, Inc. filed an answer the certain answers recently filed in response to MISO's July 16, 2014 Request for Clarification.

MISO stated that SPP made an incorrect assertion that MISO's Clarification Request impermissibly seeks to change the effective date of the Tariff provisions conditionally accepted by the June 16 Order.

MISO also stated that it will submit an amended proposal in a future compliance filing to allow recovery of only invoices propounded by SPP as a result of the SPP Service Agreement accepted in Docket No. ER14-1174 at the conclusion of settlement and/or hearing processes.

On August 13, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the June 16, 2014 Order.

On August 14, 2014, Settlement Judge Sterner issued a Notice Cancelling Settlement Conference scheduled for August 20, 2014. The next settlement conference will proceed as scheduled on September 23, 2014.

On August 27, 2014, FERC issued an Order of Chief Judge Granting Motion to Intervene Out-of-Time filed by the Arkansas Cities.



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On September 19, 2014, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On September 24, 2014, Settlement Judge Sterner issued a Status Report, recommending that settlement proceedings continue. An additional settlement conference is scheduled for January 13, 2015.

ER14-1928

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner**

On July 8, 2014, FERC issued a letter order accepting the agreement, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-1937

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner**

On July 8, 2014, FERC issued a letter order accepting the agreement, effective June 1, 2014 as requested.

FERC also granted SPP's waiver of the requirements of Attachment AR in the SPP Tariff in order to utilize the results of the Delivery Point Transfer Study to modify Service Agreement No. 1518 to reflect only the load served exclusively from its SPP resources and to issue Service Agreement No. 2888 to include the pseudo-tie load served exclusively from its Entergy resources.

This order constitutes final agency action.

ER14-1938

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner**

On July 8, 2014, FERC issued a letter order accepting the agreement, effective June 1, 2014 as requested.

FERC also granted SPP's waiver of the requirements of Attachment AR in the SPP Tariff in order to utilize the results of the Delivery Point Transfer Study to modify Service Agreement No. 1518 to reflect only the load served exclusively from its SPP resources and to issue Service Agreement No. 2888 to include the pseudo-tie load served exclusively from its Entergy resources.

This order constitutes final agency action.

ER14-1965

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

## Regulatory Status Report

On July 9, 2014, FERC issued a letter order accepting the agreement, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-1967

**Notice of Cancellation of the Meter Agent Services Agreement between Municipal Energy Agency of Nebraska ("MEAN") as both Market Participant and Meter Agent**

On July 8, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective February 1, 2011 as requested.

This order constitutes final agency action.

ER14-1968

**Notice of Cancellation of the Meter Agent Services Agreement between Lincoln Electric System ("LES") as Market Participant and Meter Agent**

On July 8, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective January 1, 2011 as requested.

This order constitutes final agency action.

ER14-1990

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Chanute, KS ("Chanute") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On July 8, 2014, FERC issued a letter order accepting the agreement, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-1993

**Tariff Revisions to Clarify Methodology for Quantifying Real Power Losses**

On July 16, 2014, SPP filed a Motion to Defer Action in this proceeding.

On July 18, 2014, FERC issued a Notice of Deferral of Action of the May 20, 2014 Filing.

On September 12, 2014, SPP submitted an amendment to the proposed tariff revisions submitted on May 20, 2014. SPP seeks to withdraw the proposed language modifying Section III of Attachment M.

An effective date of July 19, 2014 was requested.

ER14-2004

**Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Ninnescah Wind, LLC ("Ninnescah") as Interconnection Customer and Mid Kansas Electric Power Company ("MKEC") as Transmission Owner**

On July 16, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective April 6, 2014 as requested.

This order constitutes final agency action.

ER14-2008

**Notice of Cancellation of the Letter Agreement between Southwestern Public Service Company ("SPS") and Golden Spread Electric Cooperative, Inc. ("Golden Spread")**

## Regulatory Status Report

On July 8, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective March 22, 2007 as requested.

This order constitutes final agency action.

ER14-2009

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**

On August 6, 2014, FERC issued a letter order accepting the agreement, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-2030

**Affected Systems' Facilities Construction Agreement ("FCA") Between SPP as Transmission Provider, Pleasant Hill Wind Energy, LLC ("Pleasant Hill") as Interconnection Customer, and Southwestern Public Service Company ("SPS") as Transmission Owner**

On July 17, 2014, FERC issued a letter order accepting the agreement, effective April 30, 2014 as requested.

This order constitutes final agency action.

ER14-2031

**Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Owl Feather Ware Bonnet, LLC ("Owl Feather") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**

On July 17, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective April 29, 2014 as requested.

This order constitutes final agency action.

ER14-2059

**Midcontinent Independent System Operator, Inc. ("MISO") Filing of Proposed Modifications to Section 3.3 of Attachment 3 (Emergency Energy Transactions) of the Joint Operating Agreement ("JOA") between MISO and SPP**

On July 28, 2014, FERC issued an order accepting the revisions to Section 3.3 of Attachment 3 of the Joint Operating Agreement between MISO and SPP. The changes will allow MISO to recover costs invoiced to MISO as a transmission customer under the SPP Service Agreement in the event that MISO market flows exceed the existing contract path capacity limit of 1,000 MW between the MISO Midwest Region and the MISO South Region in order to provide emergency energy assistance to SPP.

An effective date of May 30, 2014 was granted.

FERC also accepted SPP's correction to the metadata for Attachment 5.1 of Attachment 3 of the JOA, effective March 1, 2014.

ER14-2062

**Proposed Modifications to Section 3.3 of Attachment 3 (Emergency Energy Transactions) of the Joint Operating Agreement ("JOA") between Midcontinent Independent System Operator, Inc. ("MISO") and SPP**

## Regulatory Status Report

On July 28, 2014, FERC issued an order accepting the revisions to Section 3.3 of Attachment 3 of the Joint Operating Agreement between MISO and SPP. The changes will allow MISO to recover costs invoiced to MISO as a transmission customer under the SPP Service Agreement in the event that MISO market flows exceed the existing contract path capacity limit of 1,000 MW between the MISO Midwest Region and the MISO South Region in order to provide emergency energy assistance to SPP.

An effective date of May 30, 2014 was granted.

FERC also accepted SPP's correction to the metadata for Attachment 5.1 of Attachment 3 of the JOA, effective March 1, 2014.

ER14-2065

**Designee Qualification and Novation Agreement between ITC Great Plains, LLC ("ITC"), Mid-Kansas Electric Company, LLC ("Mid-Kansas"), and SPP**

On July 22, 2014, FERC issued a letter order accepting the agreement, effective July 29, 2014 as requested.

This order constitutes final agency action.

ER14-2081

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOAs") between SPP, Western Farmers Electric Cooperative ("WFEC") as Network Customer and Host Transmission Owner, and American Electric Power ("AEP") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners**

On July 25, 2014, FERC issued a letter order accepting the agreement, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-2104

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners**

On July 22, 2014, FERC issued a letter order accepting the agreement, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-2107

**Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner**

On July 1, 2014, American Electric Power Service Corporation filed a Limited Protest. AEP requested that the Commission direct SPP to modify the NITSA so that the facilities associated with the establishment of the Benteler and City Lake delivery points are listed in Section 8.11 of Attachment 1 of the NITSA which is the same section of the attachment in which the facilities associated with other delivery points, Ellis and Wapanucka, are listed.

## Regulatory Status Report

On July 16, 2014, SPP filed an answer in response to the Limited Protest filed by American Electric Power Service Corporation on July 1, 2014.

On August 6, 2014, FERC issued a letter order conditionally accepting the agreement, effective May 1, 2014, subject to the outcome of the ongoing hearing and settlement judge procedures in Docket No. ER14-67-000, and subject to a compliance filing.

FERC directed SPP to submit a compliance filing to list the new delivery points at Benteler and City Lake in section 8.11 of Attachment 1 of the NITSA.

FERC clarified that SPP should provide, in future filings, a marked version showing any additions and deletions to a rate schedule, tariff, or service agreement currently on file, in accordance with 18 C.F.R. § 35.10(b). FERC stated in this proceeding, SPP failed to mark by either highlighting, background shading, bold text, or underlined text the new Benteler and City Lake delivery points in accordance with the regulations. FERC further clarified that non-conforming deviations from the pro forma service agreement should also be marked. SPP is to adopt this blacklining approach in its compliance filing in this proceeding.

SPP's compliance filing is due on September 5, 2014.

On September 5, 2014, SPP submitted its compliance filing in response to the August 6, 2014 Order.

On September 16, 2014, SPP re-submitted the Transmittal Letter in this proceeding to request that the Commission accept the filing subject to the outcome of Docket No. ER14-67.

ER14-2120

**Transmission Interconnection Agreement between Westar Energy, Inc., Kansas Gas and Electric Company (collectively "Westar") and Mid-Kansas Electric Company, LLC ("Mid-Kansas"), with SPP as Signatory**

On July 31, 2014, FERC issued a letter order accepting the agreement, effective August 5, 2014 as requested.

This order constitutes final agency action.

ER14-2121

**Submission of Tariff Revisions Modifying Attachment O, Section III.8.b.iv to Clarify the Confidentiality of Information Provided to SPP in a Detail Project Proposal ("DPP")**

On August 4, 2014, FERC issued an order accepting the tariff revisions to modify Section III.8.b.iv of Attachment O to clarify the confidentiality of information provided to SPP in a detailed project proposal.

An effective date of August 4, 2014 was granted.

This order constitutes final agency action.

ER14-2122

**Generator Interconnection Agreement ("GIA") between Waverly Wind Farm, LLC ("Waverly Wind") as Interconnection Customer and Westar Energy, Inc. ("Westar") as Transmission Owner**

On July 31, 2014, FERC issued a letter order accepting the agreement, effective May 16, 2014 as requested.

## Regulatory Status Report

This order constitutes final agency action.

ER14-2123

**Notice of Cancellation of the Large Generator Interconnection Agreement ("LGIA") between Happy Hereford Wind, LLC ("Happy Hereford") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner**

On August 4, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective May 26, 2014 as requested.

This order constitutes final agency action.

ER14-2162

**Submission of Tariff Revisions to Update Index of Grandfathered Agreements**

On July 29, 2014, FERC issued an order accepting the revisions to Attachment W to update the Index of Grandfathered Agreements.

An effective date of August 11, 2014 was granted.

This order constitutes final agency action.

ER14-2177

**Meter Agent Services Agreement between Kansas Municipal Energy Agency ("KMEA") as Market Participant and Sunflower Electric Power Corporation ("Sunflower") as Meter Agent**

On August 6, 2014, FERC issued a letter order accepting the agreement, effective June 1, 2014 as requested.

This order constitutes final agency action.

ER14-2184

**Notice of Cancellation of the Ancillary Services Agreement and Distribution Facilities among SPP as Transmission Provider, Kansas Power Pool ("KPP") as Transmission Customer, and Westar Energy, Inc. ("Westar") as Transmission Owner**

On July 29, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective March 1, 2014 as requested.

This order constitutes final agency action.

ER14-2217

**Generator Interconnection Agreement ("GIA") between Steele Flats Wind Project, LLC ("Steele Flats") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner and Notices of Cancellation**

On August 6, 2014, FERC issued a letter order accepting the agreement, effective May 23, 2014 as requested.

FERC also accepted the Notices of Cancellation of the prior Steele Flats' GIA and Interim GIA. SPP Service Agreement Nos. 2642 and First Revised 2490.

This order constitutes final agency action.

ER14-2219

**Submission of Tariff Modifications to Reconcile the Tariff with Previous Commission Actions**

On August 6, 2014, FERC issued an order accepting non-substantive "clean-up" revisions to

## Regulatory Status Report

SPP's Tariff to effectuate a general clean-up filing to comport the Tariff with previous Commission actions.

Effective dates of March 1, March 30 and April 1, 2014 were granted.

This order constitutes final agency action.

ER14-2226

**Agreement Establishing a Pseudo-Tie Electrical Interconnection Point Among Arkansas Electric Cooperative Corporation ("AECC"), Entergy Arkansas, Inc. ("EAI") and SPP**

On July 10, 2014, Arkansas Electric Cooperative Corporation filed a Motion to Intervene.

On August 19, 2014, FERC issued a letter order accepting the agreement, effective June 1, 2014 as requested, subject to the outcome of the proceeding in Docket No. ER14-1653.

ER14-2229

**Notice of Cancellation of the Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Transmission Customer**

On August 6, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-2230

**Notice of Cancellation of the Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Midwest Energy, Inc. ("Midwest") as Transmission Customer**

On August 6, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-2231

**Notice of Cancellation of the Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Kansas City Power & Light Company ("KCPL") as Transmission Customer**

On August 6, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-2302

**Notice of Cancellation of the Affected Systems' Facilities Construction Agreement between SPP as Transmission Provider, Abengoa Bioenergy Biomass of Kansas, LLC ("Abengoa") as Interconnection Customer, and Sunflower Electric Power Corporation ("Sunflower") as Transmission Owner**

On July 1, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 19, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective May 23, 2014 as requested.

This order constitutes final agency action.

## Regulatory Status Report

ER14-2346

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Mid-Kansas Electric Company, LLC ("MKEC") as Host Transmission Owner**

On July 1, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Mid-Kansas Electric Cooperative, Inc. as Host Transmission Owner. SPP Service Agreement No. Third Revised 1876.

An effective date of June 1, 2014 was requested.

On July 2, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 26, 2014, FERC issued a letter order accepting the agreement, effective June 1, 2014 as requested.

This order constitutes final agency action.

ER14-2348

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Midwest Energy, Inc. ("Midwest") and Westar Energy, Inc. ("Westar") as Host Transmission Owners**

On July 1, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Midwest Energy, Inc. and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. 2900.

An effective date of May 1, 2014 was requested.

On August 26, 2014, FERC issued a letter order accepting the agreement, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-2349

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Gas and Electric Company ("OG&E") as Network Customer, and OG&E, American Electric Power Service Corporation ("AEP"), and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners**

On July 1, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Gas and Electric Company as Network Customer, as well as a NOA with OG&E, American Electric Power Service Corporation, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Eighth Revised 1313.

An effective date of May 1, 2014 was requested.

On July 9, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene.

On August 26, 2014, FERC issued a letter order accepting the agreement, effective May 1, 2014 as requested.



## Regulatory Status Report

This order constitutes final agency action.

ER14-2363

### **Southwestern Public Service Company's ("SPS") Request for Waiver of the Provisions in Schedules 1A and 11 of SPP's Open Access Transmission Tariff ("Tariff")**

On July 3, 2014, Southwestern Public Service Company filed a Request for Waiver of the provisions in Schedules 1A and 11 of SPP's Tariff that require SPP to bill SPS for 2014 activities based on the 2013 coincident peak loads of Sharyland Utilities, L.P., which physically disconnected from the SPP system and the Eastern Interconnection and migrated to the Electric Reliability Council of Texas at the end of 2013.

On July 24, 2014, Sharyland Utilities, L.P. filed a Motion to Intervene and Comments in support of the July 3, 2014 Filing.

On July 24, 2014, SPP filed a Motion to Intervene and Comments in response to the July 3, 2014 Filing.

SPP stated that in determining whether to grant SPS' requested waiver, the Commission should find that the SPP Tariff and the Sharyland Network Integration Transmission Service Agreement ("NITSA") require SPP to assess SPS Schedule 1.A and Schedule 11 charges in 2014 because such charges are based on Sharyland load figures for 2013 and because the NITSA has not been terminated by its terms, and consider that other SPP customers will be adversely affected by any waiver. In the event that the Commission grants the requested waiver, it should limit the waiver to SPS' specific request that the Commission waive "the SPP Tariff with respect to the 2014 Schedule 1A and 11 charges to SPS to the extent they assess costs to SPS based on Sharyland load that is not connected to SPS or SPP in 2014" and clarify that the waiver does not impact any other obligations SPS or Sharyland may have to SPP as a result of the Sharyland load migration to the Electric Reliability Council of Texas.

On August 8, 2014, Southwestern Public Service Company filed an answer in response to SPP's comments filed on July 24, 2014.

SPS stated:

- 1) SPP's comments do not dispute the appropriateness of a Waiver; and
- 2) SPP's Transmission Owners and Transmission Customers have recognized significant savings from Sharyland's ERCOT transition.

ER14-2381

### **Generator Interconnection Agreement ("GIA") between Cottonwood Wind Project, LLC ("Cottonwood") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**

On July 8, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Cottonwood Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Second Revised 2252.

An effective date of June 10, 2014 was requested.

On July 9, 2014, NextEra Energy Resources, LLC filed a Motion to Intervene.

On September 3, 2014, FERC issued a letter order accepting the agreement, effective June 10, 2014 as requested.

This order constitutes final agency action.

## Regulatory Status Report

ER14-2384

**Generator Interconnection Agreement ("GIA") between Broken Bow Wind II, LLC ("Broken Bow") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**

On July 9, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Broken Bow Wind II, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Third Revised 2220.

An effective date of June 12, 2014 was requested.

On September 3, 2014, FERC issued a letter order accepting the agreement, effective June 12, 2014 as requested.

This order constitutes final agency action.

ER14-2395

**Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent**

On July 10, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. First Revised 2827.

An effective date of June 1, 2014 was requested.

On September 4, 2014, FERC issued a letter order accepting the agreement, effective June 1, 2014 as requested.

This order constitutes final agency action.

ER14-2399

**Submission of Tariff Revisions to Attachment AE for the Integrated Marketplace**

On July 10, 2014, SPP submitted revisions to Attachment AE of its Tariff, which contains bidding, offering, and dispatching responsibilities of the Transmission Provider and Market Participants relating to the Integrated Marketplace and sets forth the operation, pricing and settlement of the Day-Ahead Market, Real-Time Balancing Market and the Transmission Congestion Rights Market.

An effective date of September 8, 2014 was requested.

On July 24, 2014, TDU Intervenors filed a Motion to Intervene.

On July 30, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 27, 2014, SPP submitted an amended filing. SPP corrected a formatting issue in Attachment AE, Section 6.4.1.1 and submitted supplemental information regarding the proposed addition to Attachment AE, Section 4.1.2.1(3)(c) which allows SPP to establish an hourly baseline for a Demand Response Load when the Market Participant fails to provide such Demand Response Load.

On September 19, 2014, SPP filed a Motion for Deferral of Commission Action in order to develop further Tariff revisions through the SPP stakeholder process.

## Regulatory Status Report

ER14-2445

### **Midcontinent Independent System Operator, Inc. ("MISO") Submission of Tariff Revisions to Modify the Demand Curves Associated with Sub-Regional Power Balance Constraints ("SRPBC")**

On July 16, 2014, the Midcontinent Independent System Operator, Inc. submitted tariff revisions to modify the demand curves associated with Sub-Regional Power Balance Constraints. MISO stated the revisions proposed in the filing are expected to provide greater economic efficiency in managing flows consistent with the limits in applicable seams agreement, coordination agreements, transmissions service agreements, or operating procedures.

MISO stated it has observed that, by restricting intra-regional flows to a 1,000 MW dispatch flow limit, it may incur redispatch costs that potentially exceed the cost of the transmission charges under the SPP Service Agreement filed in Docket No. ER14-1174. Reviewing market results from the first three months of operations utilizing the SRPBC, MISO stated a clear case can be made that net production costs savings can be attained by allowing flows to exceed the 1,000 MW dispatch flow limit when redispatch costs exceed expected transmission service costs under the SPP Service Agreement.

MISO stated in order to ensure that these net savings are realized, it proposes to modify the SRPBC Demand Curve to effectively provide a market price signal for flows above 1,000 MW. MISO stated this price signal will be manifested in the Locational Marginal Price of the importing sub region such that those Market Participants benefiting from flows exceeding the 1,000 MW dispatch flow limit will be assessed the expected SPP Transmission Service costs through market congestion charges.

MISO proposed to add a "hurdle rate" to the economic dispatch on both the Day-Ahead and Real-Time markets equal to the expected incremental cost of transmission service charges under the SPP Service Agreement.

An effective date of July 17, 2014 was requested.

On July 23, 2014, SPP filed a doc-less Motion to Intervene.

Several parties filed motions to intervene.

On August 5, 2014, the Organization of MISO Stated filed a Notice of Intervention and Comments in support of the July 16, 2014 Filing.

On August 6, 2014, the Louisiana Public Service Commission filed Comments in support of the July 16, 2014 Filing.

On August 6, 2014, the Public Service Commission of Wisconsin filed a Motion to Intervene and Comments.

The PSCW stated that the MISO filing was not preceded by the necessary debate among stakeholders within MISO and likely needs a broader forum for the appropriate consideration of the fundamental issues it raises. Any FERC approval of the use of the proposed tariff, "hurdle rate approach" should be limited to this situation and temporary because simultaneous with this filed tariff disputants are negotiating a confidential settlement that could directly affect the outcome of this proposed tariff filing if approved. The proposed tariff filed here should terminate once settlement between MISO and SPP is effectuated by a FERC order.

## Regulatory Status Report

On August 6, 2014, the MISO Transmission Owners filed a Motion to Intervene and Comments in support of the July 16, 2014 Filing, but also offered suggestions on how to improve the tariff language and mechanism proposed.

On August 6, 2014, FERC issued a Notice of Extension of Time extending the comment date to August 11, 2014.

On August 6, 2014, the Wisconsin TDUs filed a Motion to Intervene, Protest and Request for Consolidation and Other Relief in Docket Nos. ER14-2445 and ER14-1736.

The TDUs stated that the Commission should direct MISO to correct its hurdle rate as described in this pleading. The TDUs also stated to the extent MISO's filing is not required to be revised to address all flaws identified, the filing should be suspended, made effective, subject to refund, hearing, and settlement judge procedures, and consolidated with Docket No. ER14-1736.

On August 6, 2014, the Mississippi Public Service Commission filed a Protest.

MPSC requested that FERC (i) direct MISO to maximize flows between the North and South consistent with MISO's existing SCED software (that recognizes actual physical transmission constraints) consistent with the ORCA limitations, (ii) reject the Hurdle Rate Proposal as unjust and unreasonable, (iii) direct MISO to recover SPP transmission charges directly from MISO customers rather than by artificially modifying the LMP software, and (iv) direct MISO to reserve non-firm point-to-point transmission service under the SPP Tariff and SPP Service Agreement (to avoid non-reservation penalty charges), and timely pay SPP invoices (to avoid interest charges), or be prohibited from recovering easily-avoided penalties and interest charges.

On August 11, 2014, Entergy Services, Inc. filed a Motion to Intervene and Comments in support of MISO's July 16, 2014 Filing.

On August 11, 2014, Xcel Energy Services Inc. filed a Motion to Intervene and Comments.

Xcel stated that it generally supports the proposed Tariff changes.

On August 11, 2014, the Council of the City of New Orleans filed Comments.

The Council stated it supports MISO's efforts to increase the real-time energy transfers between MISO North and Central regions and the MISO South region above the current 1,000 MW limit when savings from doing so exceed the incremental charges incurred for the transmission service. However, the Council stated that the proposed modified SRPBC needs further refinements to provide an adequate remedy for the over- and under-recovery of congestion revenues. The Council also stated that MISO needs to do more to reduce the amount of the proposed "hurdle rate" by minimizing the level of charges invoiced by SPP to MISO going forward.

On August 20, 2014, Potomac Economics filed a Motion to Intervene Out-of-Time and Comments in support of MISO's July 16, 2014 Filing.

On August 21, 2014, the Public Utility Commission of Texas filed a Protest and Comments.

The PUCT stated:

1) the hurdle-rate concept improves the current Sub-Regional Power Balance Constraint

## Regulatory Status Report

demand curve;

- 2) modifications are necessary to ensure proper distribution of hurdle-rate revenues; and
- 3) the hurdle rate should only be used to allow economic dispatch of generation within MISO.

On August 26, 2014, the MISO Transmission Owners filed an answer in response to the pleadings filed by Wisconsin TDUs and the Mississippi Public Service Commission.

On August 26, 2014, the Arkansas Public Service Commission filed Limited Comments and Protest. The APSC stated it supports the hurdle rate concept, but urges the Commission not to accept MISO's proposed distribution plan for the hurdle rate revenues.

On August 29, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to comments and protests filed in this proceeding.

On September 3, 2014, the Mississippi Public Service Commission filed an answer in response to various filings in this proceeding.

The MPSC stated:

- 1) MISO's Answer mischaracterizes the MPSC's concerns with the hurdle rate proposal;
- 2) the MISO Transmission Owners are incorrect; non-reservation penalties and interest charges for failing to pay SPP transmission invoices are squarely within the scope of this proceeding;
- 3) FERC should reject the Wisconsin TDU's proposal to more than double the hurdle rate, which would likely eliminate MISO North-South flows in excess of the contract path limit; and
- 4) Entergy's split-the-difference approach will not convert the hurdle rate proposal into a just and reasonable rate, and its "collateral attack" challenge to the MPSC Protest should fail.

On September 8, 2014, the Wisconsin TDUs filed an answer in response to MISO's August 29, 2014 Answer.

On September 12, 2014, FERC issued a letter finding the filing is deficient and requesting additional information in order to process the filing. MISO's response is due on October 13, 2014.

**ER14-2553**

### **Order No. 681 Compliance Filing to Implement Long-Term Congestion Rights ("LTCRs")**

On July 31, 2014, SPP submitted its Order No. 681 Compliance Filing to implement long-term financial transmission rights that are to be referred to as Long-Term Congestion Rights in the context of the Integrated Marketplace.

An effective date of February 1, 2015 was requested.

On August 1, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 6, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On August 13, 2014, Municipal Energy Agency of Nebraska and Southern Company Services, Inc. filed Motions to Intervene.

On August 21, 2014, Flat Ridge 2 Wind Energy LLC filed a Motion to Intervene.

On August 21, 2014, the TDU Intervenors filed a Motion to Intervene and Protest.

## Regulatory Status Report

The parties stated that they believe that SPP's proposed long-term congestion rights provisions are structured in such a way that load serving entities will not have sufficient opportunity to protect themselves with respect to their baseload resources. In addition, the parties stated that SPP's proposal fails to clearly require SPP to plan for the continued feasibility of long-term congestion rights that have been allocated and the Commission should require SPP to modify its proposal to rectify these and other shortcomings discussed in this Protest.

On August 21, 2014, Boston Energy Trading and Marketing LLC filed a Motion to Intervene.

On August 21, 2014, Boston Energy Trading and Marketing LLC filed a Protest.

Boston Energy Trading stated that independent investors funding "market participant funded upgrades" are notably excluded from the universe of customers eligible to receive long-term congestion rights under SPP's proposal and should be rejected because this aspect of the proposal does not comply with the spirit or plain language of Order No. 681.

On August 28, 2014, Arkansas Electric Cooperative Corporation filed a Motion to Intervene Out-of-Time.

On September 8, 2014, SPP filed an answer in response to protests filed in this proceeding.

SPP stated:

- 1) its LTCR design is appropriately structured to meet the reasonable needs of all load serving entities ("LSEs") and provide for the continued feasibility of LTCRs; and
- 2) Boston Energy Trading and Marketing LLC's protest seeks preferential treatment for Non-LSEs.

SPP also responded to the bulleted list of technical, procedural or typographical issues contained in the TDU Intervenors' protest.

On September 23, 2014, TDU Intervenors filed an answer in response to SPP's September 8, 2014 Answer.

TDU Intervenors stated the Commission should require SPP to modify its proposed LTCR provisions to (1) ensure that LSEs will be able to obtain LTCRs sufficient to cover their baseload needs, consistent with the Long-Term Rights Rule, (2) expressly require SPP to plan its transmission system that ensures the continued feasibility of awarded LTCRs, and (3) clarify and correct various other drafting issues, all as discussed herein and in TDU Intervenors' protest.

On September 23, 2014, Boston Energy Trading and Marketing LLC filed an answer in response to SPP's September 8, 2014 Answer.

BETM stated:

- 1) it is not seeking preferential treatment;
- 2) a different form of compensation and type of revenue source is warranted;
- 3) collateral estoppel does not prevent the Commission from rejecting SPP's proposal; and
- 4) SPP's proposal and answer creates a perverse (and misleading) requirement to reserve firm transmission service with no intent to use the transmission system.

## Regulatory Status Report

**ER14-2555**      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner**

On July 31, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Arkansas Electric Cooperative Corporation as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Fourth Revised 2158.

An effective date of July 1, 2014 was requested.

On August 5, 2014, Arkansas Electric Cooperative Corporation filed a Motion to Intervene.

On August 22, 2014, SPP submitted an amended, executed NITSA between SPP as Transmission Provider, Arkansas Electric Cooperative Corporation as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Fourth Revised 2158.

An effective date of July 1, 2014 was requested.

**ER14-2570**      **Order No. 792 Compliance Filing (Small Generator Interconnection Procedures and Agreement)**

On August 1, 2014, SPP submitted tariff revisions to comply with Order No. 792 which revised the Commission's Small Generator Interconnection Procedures and Agreement.

An effective date of August 1, 2014 was requested.

On August 5, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On August 22, 2014, E.ON Climate & Renewables North America LLC filed a Motion to Intervene.

**ER14-2600**      **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners**

On August 5, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Network Customer, as well as a NOA with American Electric Power Service Corporation, Oklahoma Gas and Electric Company, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Twenty-First Revised 1166.

An effective date of July 1, 2014 was requested.

On August 12, 2014, Oklahoma Municipal Power Authority filed a Motion to Intervene.

On August 20, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene.

## Regulatory Status Report

ER14-2675

**Generator Interconnection Agreement ("GIA") between Waverly Wind Farm, LLC ("Waverly Wind") as Interconnection Customer and Westar Energy, Inc. ("Westar") as Transmission Owner**

On August 19, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Waverly Wind Farm, LLC as Interconnection Customer, and Westar Energy, Inc. as Transmission Owner. SPP Service Agreement No. Second Revised 2652.

An effective date of July 31, 2014 was requested.

ER14-2684

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Associated Electric Cooperative, Inc. ("AECI") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner**

On August 21, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Associated Electric Cooperative, Inc. as Network Customer, as well as a NOA with Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Tenth Revised 1154.

An effective date of July 1, 2014 was requested.

ER14-2739

**Generator Interconnection Agreement ("GIA") between Pawnee Wind Farm, LLC ("Pawnee Wind") as Interconnection Customer and Omaha Public Power District ("OPPD") as Transmission Owner**

On August 29, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Pawnee Wind Farm, LLC as Interconnection Customer, and Omaha Public Power District as Transmission Owner. SPP Service Agreement No. 2899.

An effective date of June 12, 2014 was requested.

On September 12, 2014, SPP re-submitted the Transmittal Letter in this proceeding to request that the Commission accept the filing subject to the outcome of Docket No. ER14-781.

ER14-2753

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners**

On September 2, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Sunflower Electric Power Corporation as Network Customer, as well as a NOA with Sunflower, Midwest Energy, Inc., ITC Great Plains, LLC, and Mid-Kansas Electric Company, LLC as Host Transmission Owners. SPP Service Agreement No. Ninth Revised 2028.

An effective date of August 1, 2014 was requested.

On September 3, 2014, ITC Great Plains, LLC filed a Motion to Intervene.

On September 3, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.



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ER14-2770

**Generator Interconnection Agreement ("GIA") between Steele Flats Wind Project, LLC ("Steele Flats") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**

On September 4, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Steele Flats Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Substitute Original 2893.

The GIA was updated to include the proforma terms and conditions approved by the Commission in Docket No. ER14-781.

An effective date of May 23, 2014 was requested.

On September 12, 2014, SPP re-submitted the Transmittal Letter in this proceeding to request that the Commission accept the filing subject to the outcome of Docket No. ER14-781.

ER14-2849

**Generator Interconnection Agreement ("GIA") between Cottonwood II Wind Project, LLC ("Cottonwood") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**

On September 11, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Cottonwood II Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. 2953.

An effective date of September 2, 2014 was requested.

ER14-2850

**Submission of Tariff Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")**

On September 11, 2014, SPP submitted revisions to its Tariff, Bylaws and Membership Agreement in order to facilitate the decision of Western Area Power Administration - Upper Great Plains Region, Basin Electric Power Cooperative, and Heartland Consumers Power District, which together jointly own and operate the Integrated System, to join SPP as Transmission Owning Members, to place their respective transmission facilities under the functional control of SPP, and to begin taking transmission service under the Tariff.

The Tariff revisions are in Docket No. ER14-2850. The Bylaws and Membership Agreement revisions are in Docket No. ER14-2851.

An effective date of October 1, 2015 was requested for the Tariff revisions. An effective date of November 10, 2014 was requested for the Bylaws and Membership Agreement revisions.

On September 16, 2014, the State Corporation Commission of the State of Kansas ("KCC") filed a Motion for Extension of Time to Respond to Filings for the Integration of its Parties into the Southwest Power Pool. The KCC requested an extension to October 22, 2014.

On September 26, 2014, FERC issued a Notice of Extension of Time extending the comment due date to October 9, 2014.

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**ER14-2851**                    **Submission of Bylaws and Membership Agreement ("Governing Documents") Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")**

On September 11, 2014, SPP submitted revisions to its Tariff, Bylaws and Membership Agreement in order to facilitate the decision of Western Area Power Administration - Upper Great Plains Region, Basin Electric Power Cooperative, and Heartland Consumers Power District, which together jointly own and operate the Integrated System, to join SPP as Transmission Owning Members, to place their respective transmission facilities under the functional control of SPP, and to begin taking transmission service under the Tariff.

The Tariff revisions are in Docket No. ER14-2850. The Bylaws and Membership Agreement revisions are in Docket No. ER14-2851.

An effective date of October 1, 2015 was requested for the Tariff revisions. An effective date of November 10, 2014 was requested for the Bylaws and Membership Agreement revisions.

On September 16, 2014, the State Corporation Commission of the State of Kansas ("KCC") filed a Motion for Extension of Time to Respond to Filings for the Integration of its Parties into the Southwest Power Pool. The KCC requested an extension to October 22, 2014.

On September 26, 2014, FERC issued a Notice of Extension of Time extending the comment due date to October 9, 2014.

**ER14-2852**                    **Westar Energy, Inc.'s ("Westar") Compliance Filing Revising Formula Rate Protocols**

On September 11, 2014, Westar Energy, Inc. submitted a compliance filing revising its formula rate protocols pursuant to the order issued in Docket No. EL14-77.

An effective date of March 1, 2015 was requested.

On September 16, 2014, SPP filed a doc-less Motion to Intervene.

On September 30, 2014, the State Corporation Commission of the State of Kansas filed a Motion for Extension of Time to Respond to Filing.

**ER14-2859**                    **Notice of Cancellation of Nine Network Integration Transmission Service Agreements ("NITSAs") and Network Operating Agreements ("NOAs") between SPP as Transmission Provider and Kansas Municipal Energy Agency ("KMEA") as Network Customer**

On September 12, 2014, SPP submitted Notices of Cancellation of nine NITSAs and NOAs between SPP as Transmission Provider and Kansas Municipal Energy Agency as Network Customer. The agreements were replaced and superseded by SPP Service Agreement No. 2900 filed in Docket No. ER14-2348.

An effective date of May 1, 2014 was requested.

**ER14-2870**                    **Ministerial Filing to Update Attachment AD with Exhibit 1 to the Tariff Administration Agreement between SPP and Southwestern Power Administration ("Southwestern")**

On September 12, 2014, SPP submitted a ministerial filing to include an exhibit to Attachment

## Regulatory Status Report

AD of the Tariff. Attachment AD contains the Tariff Administration Agreement between SPP and Southwestern Power Administration. Exhibit 1 contains the SPP Criteria referenced in the Agreement.

An effective date of March 1, 2014 was requested.

ER14-2882

### **The Empire District Electric Company's ("Empire") Compliance Filing Revising Formula Rate Protocols**

On September 15, 2014, The Empire District Electric Company submitted a compliance filing revising its formula rate protocols pursuant to the order issued in Docket No. ER14-73.

An effective date of April 1, 2015 was requested.

On September 16, 2014, SPP filed a doc-less Motion to Intervene.

On September 30, 2014, the State Corporation Commission of the State of Kansas filed a Motion for Extension of Time to Respond to Filing.

ER14-2884

### **Kansas City Power & Light Company's ("KCP&L") and KCP&L Greater Missouri Operations Company ("GMO") Compliance Filing Revising Formula Rate Protocols**

On September 15, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company submitted a compliance filing revising formula rate protocols pursuant to the order issued in Docket Nos. EL14-74 and EL14-75.

An effective date of March 1, 2015 was requested.

On September 16, 2014, SPP filed a doc-less Motion to Intervene.

On September 30, 2014, the State Corporation Commission of the State of Kansas filed a Motion for Extension of Time to Respond to Filing.

ER14-2887

### **Submission of Tariff Revisions to Modify Section 2.2 and Attachment F to Clarify Reservation Priority for Existing Firm Service Customers**

On September 16, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company filed a Motion to Intervene.

On September 16, 2014, SPP submitted tariff revisions to modify Section 2.2 and Attachment F to clarify reservation priority for existing firm service customers.

An effective date of December 1, 2014 was requested.

ER14-2891

### **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner**

On September 16, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and American Electric Power Service Corporation as Network Customer and Host Transmission Owner. SPP Service Agreement No. First Revised 1606.

An effective date of June 20, 2014 was requested.

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**ER14-2910**                    **Notice of Cancellation of the Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Calpine Energy Services, L.P. ("Calpine") as Transmission Customer**

On September 18, 2014, SPP submitted a Notice of Cancellation for the Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Calpine Energy Services, L.P. as Transmission Customer. SPP Service Agreement No. 2027.

An effective date of August 1, 2014 was requested.

On September 30, 2014, Calpine Energy Services, L.P. filed a Motion to Intervene.

**ER14-2927**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner**

On September 23, 2014, SPP submitted an executed NITSA and partially executed NOA between SPP as Transmission Provider, American Electric Power Service Corporation as both Network Customer and Host Transmission Owner, and Western Farmers Electric Cooperative as Host Transmission Owner. SPP Service Agreement No. Nineteenth Revised 1148.

An effective date of August 1, 2014 was requested.

On September 25, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene.

**RM05-5**                    **Standards for Business Practices and Communication Protocols for Public Utilities**

On September 18, 2014, FERC issued Order No. 676-H revising its regulations to incorporate by reference, with certain enumerated exceptions, the latest version (Version 003) of the Standards for Business Practices and Communication Protocols for Public Utilities adopted by the Wholesale Electric Quadrant (WEQ) of the North American Energy Standards Board (NAESB) as mandatory enforceable requirements. These standards update NAESB's WEQ Version 002 and Version 002.1 Standards to reflect policy determinations made by the Commission in the Order Nos. 890 series of orders and other orders. In addition, the Commission is listing informationally, as guidance, NAESB's Smart Grid Standards (WEQ-016 through WEQ-020) in Part 2 of the Commission's regulations.

This rule will become effective on October 24, 2014.

Public Utilities are to submit a compliance filing by December 1, 2014 to incorporate by reference Version 003 of the Standards for Business Practices and Communication Protocols for Public Utilities adopted by the Wholesale Electric Quadrant of the North American Energy Standards Board.

**RM10-23**                    **Transmission Planning and Cost Allocation by Transmission Owning and Operating Public Utilities**

On August 15, 2014, the U.S. Court of Appeals issued an opinion denying the petitions for review.

**RM12-1**                    **Transmission Planning Reliability Standards**

On August 29, 2014, NERC submitted proposed revisions to various Violation Risk Factors

## Regulatory Status Report

and Violation Severity Levels associated with certain Transmission Planning Reliability Standards, Generator Verification Reliability Standards, and Frequency Response and Frequency Bias Setting Reliability Standards for FERC approval.

### **RM13-2 Small Generator Interconnection Agreements and Procedures**

On September 19, 2014, FERC issued an Errata Notice to correct the omission of certain text and to make other typographical corrections to the pro forma Small Generator Interconnection Procedures set forth in Appendix C of Order No. 792.

### **RM13-5 Version 5 Critical Infrastructure Protection Reliability Standards**

On July 10, 2014, FERC issued a letter order approving NERC's May 15, 2014 filing of proposed revisions to certain Violation Risk Factors and Violation Security Levels assigned to certain Critical Infrastructure Protection Reliability Standards.

This order constitutes final agency action.

### **RM13-7 Protection System Maintenance Reliability Standard**

On July 23, 2014, FERC issued a Notice of Filing of NERC's proposed revision to a Violation Security Level assigned to Requirement R1 in Projection System Maintenance Reliability Standard PRC-005-2 filed on June 4, 2014. Comment deadline is August 13, 2014.

On August 25, 2014, FERC issued an order accepting NERC's June 4, 2014 filing which proposed a revision to a Violation Security Level assigned to Requirement R1 in Projection System Maintenance Reliability Standard PRC-005-2.

### **RM13-9 Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Modified Transmission Planning Reliability Standards in the Case of System Performance Following Loss of a Single Bulk Electric System Element**

On August 29, 2014, NERC submitted proposed revisions to various Violation Risk Factors and Violation Severity Levels associated with certain Transmission Planning Reliability Standards, Generator Verification Reliability Standards, and Frequency Response and Frequency Bias Setting Reliability Standards for FERC approval.

### **RM13-11 Frequency Response and Frequency Bias Setting Reliability Standard**

On August 29, 2014, NERC submitted proposed revisions to various Violation Risk Factors and Violation Severity Levels associated with certain Transmission Planning Reliability Standards, Generator Verification Reliability Standards, and Frequency Response and Frequency Bias Setting Reliability Standards for FERC approval.

### **RM13-12 Monitoring System Conditions - Transmission Operations Reliability Standard**

On July 1, 2014, NERC submitted its Quarterly Status Report for the second quarter of 2014.

### **RM13-14 Transmission Operations Reliability Standards**

On July 1, 2014, NERC submitted its Quarterly Status Report for the second quarter of 2014.

### **RM13-15 Interconnection Reliability Operations and Coordination Reliability Standards**

On July 1, 2014, NERC submitted its Quarterly Status Report for the second quarter of 2014.

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RM13-16

### **Generator Verification Reliability Standards**

On August 29, 2014, NERC submitted proposed revisions to various Violation Risk Factors and Violation Severity Levels associated with certain Transmission Planning Reliability Standards, Generator Verification Reliability Standards, and Frequency Response and Frequency Bias Setting Reliability Standards for FERC approval.

RM13-17

### **Communication of Operational Information Between Natural Gas Pipelines and Electric Transmission Operators**

On August 27, 2014, National Fuel Gas Distribution Corporation filed a Motion for Clarification of Order Nos. 787 and 787-A.

RM14-1

### **Reliability Standard for Geomagnetic Disturbance Operations (Order No. 797)**

On July 21, 2014, the Foundation of Resilient Societies filed a Request for Rehearing of Order No. 797.

On August 18, 2014, the Foundation of Resilient Societies filed Supplemental Information Supporting Request for Rehearing of Order No. 797.

On August 20, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the June 19, 2014 Order.

RM14-2

### **Coordination of the Scheduling Processes of Interstate Natural Gas Pipelines and Public Utilities**

On September 29, 2014, the North American Energy Standards Board (NAESB) submitted a report in response to the NOPR issued on March 20, 2014. The purpose of the report is to inform the Commission of the modifications to the NAESB Wholesale Gas Quadrant (WGQ) Business Practice Standards specific to the NOPR. The modifications were identified at the direction of the NAESB Board of Directors, and are responsive to the Commission's request to develop an alternative to the Commission's proposal in the NOPR. The modified NAESB WGQ Business Practice Standards propose revisions to the nomination timeline that result in three intra-day nomination cycles in addition to the timely and evening nomination cycles. The nomination cycles are not dependent upon a specific start time to the gas day and are implementable with whichever time the Commission chooses as a start of the gas day.

RM14-3

### **Order No. 799 - Generator Relay Loadability and Revised Transmission Relay Loadability Reliability Standards (Docket Nos. RM13-19 and RM14-3)**

On July 17, 2014, FERC issued Order No. 799, Final Rule approving new Reliability Standard PRC-025-1 (Generator Relay Loadability) and Reliability Standard PRC-023-3 (Transmission Relay Loadability).

This rule becomes effective September 22, 2014.

RM14-7

### **Modeling, Data, and Analysis Reliability Standards**

Parties filed comments in response to the Notice of Proposed Rulemaking.

RM14-8

### **Protection System Maintenance Reliability Standard**

On July 17, 2014, FERC issued a Notice of Proposed Rulemaking, proposing to approve a revised Reliability Standard, PRC-005-3 (Protection System and Automatic Reclosing

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Maintenance).

In addition, the Commission proposes to approve one new definition and six revised definitions referenced in the proposed Reliability Standard, the assigned violation risk factors and violation severity levels, and NERC's proposed implementation plan. Consistent with Order No. 758, the proposed Reliability Standard requires applicable entities to test and maintain certain autoreclosing relays as part of a protection system maintenance program. The Commission also proposes to direct NERC to submit a report based on actual performance data, and simulated system conditions from planning assessments, two years after the effective date of the proposed standard, which addresses whether the proposed Reliability Standard applies to an appropriate set of autoreclosing relays that can affect Bulk-Power System reliability. Further, the Commission proposes to direct NERC to modify the proposed Reliability Standard to include maintenance and testing of supervisory relays.

Comments are due on September 29, 2014.

Parties filed comments in response to the Notice of Proposed Rulemaking issued on July 17, 2014.

**RM14-11**      **Open Access and Priority Rights on Interconnection Customer's Interconnection Facilities**

Parties filed comments in response to the Notice of Proposed Rulemaking.

**RM14-12**      **Notice of Proposed Rulemaking Proposing to Approve Reliability Standard MOD-031-1 - Demand and Energy Data Developed by the North American Electric Reliability Corporation ("NERC")**

On September 18, 2014, FERC issued a Notice of Proposed Rulemaking proposing to approve Demand and Energy Data Reliability Standard MOD-031-1 developed by NERC.

Comments are due on December 1, 2014.

**RM14-13**      **Notice of Proposed Rulemaking Proposing to Approve Reliability Standards COM-001-2 (Communications) and COM-002-4 (Operating Personnel Communications Protocols) Developed by the North American Electric Reliability Corporation ("NERC")**

On September 18, 2014, FERC issued a Notice of Proposed Rulemaking proposing to approve Reliability Standards COM-001-2 (Communications) and COM-002-4 (Operating Personnel Communications Protocols) developed by NERC.

Comments are due on December 1, 2014.

**RM14-14**      **Refinements to Policies and Procedures for Market-Based Rates for Wholesale Sales of Electric Energy, Capacity and Ancillary Services by Public Utilities**

Parties filed comments in response to the Notice of Proposed Rulemaking issued on June 19, 2014.

**RM14-15**      **Physical Security Reliability Standard**

On July 17, 2014, FERC issued a Notice of Proposed Rulemaking, proposing to approve Reliability Standard CIP-014-1 (Physical Security).

## Regulatory Status Report

The purpose of proposed Reliability Standard CIP-014-1 is to enhance physical security measures for the most critical Bulk-Power System facilities and thereby lessen the overall vulnerability of the Bulk-Power System against physical attacks. The Commission proposes to approve Reliability Standard CIP-014-1. In addition, the Commission proposes to direct NERC to develop two modifications to the physical security Reliability Standard and seeks comment on other issues.

Comments are due September 8, 2014. Reply Comments are due September 22, 2014.

Parties filed comments in response to the Notice of Proposed Rulemaking.

Parties filed Reply Comments.



## Regulatory Status Report

### **FERC or State Jurisdiction: State of Arkansas**

**08-136-U**                    **In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas**

On July 16, 2014, SPP filed the Spring 2014 State of the Market Report pursuant to Order Nos. 2 and 25.

**10-011-U**                    **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**

On July 1, 2014, Todd Hillman filed Compliance Testimony on behalf of Midcontinent Independent System Operator, Inc.

On August 1, 2014, Todd Hillman filed Compliance Testimony on behalf of Midcontinent Independent System Operator, Inc.

On September 2, 2014, Todd Hillman filed Compliance Testimony on behalf of Midcontinent Independent System Operator, Inc.

On September 22, 2014, Kurtis Castleberry filed Supplemental Direct Testimony on behalf of EAI.

On September 30, 2014, Todd Hillman filed Compliance Testimony on behalf of Midcontinent Independent System Operator, Inc.

**10-100-R**                    **In the Matter of a Rulemaking to Adopt an Evaluation, Measurement, and Verification Protocol and Propose EM&V Amendments to the Commission's Rules for Conservation and Energy Efficiency Programs ("C&EE Rules")**

On August 29, 2014, Matthew S. Klucher filed Supporting Testimony on behalf of APSC General Staff.

On August 29, 2014, Dr. Katherine Johnson filed Supplemental Testimony on behalf of APSC General Staff.

On August 29, 2014, APSC General Staff, et al. filed a Joint Motion to Approve Technical Reference Manual Version 4.0 and Waiver of Hearing.

On September 16, 2014, the APSC issued Order No. 20, approving and adopting Technical Reference Manual Version 4.0 for use in computing and evaluating 2014 Energy Efficiency results.

**11-165-U**                    **In the Matter of the Application of Midwest Independent Transmission Operator, Inc. ("MISO") for a Certificate of Public Convenience and Necessity ("CECPN") for the Limited Purpose of Managing and Coordinating the Use of Certain Transmission Facilities Located Within the State of Arkansas**

On September 2, 2014, Midcontinent Independent System Operator, Inc., Entergy Arkansas, Inc., the Attorney General of Arkansas, and APSC General Staff filed a Joint Waiver of Hearing, waiving their rights to a hearing on MISO's Application for an amendment to its

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Certificate of Public Convenience and Necessity.

On September 3, 2014, the APSC issued Order No. 6, granting MISO's Amendment to Its Certificate of Public Convenience and Necessity to allow MISO to assert operational control of Arkansas Electric Cooperative Corporation's electric transmission facilities.

12-008-U

**In the Matter of Southwestern Electric Power Company's Petition for a Declaratory Order Finding that Installation of Environmental Controls at the Flint Creek Power Plant is in the Public Interest**

SWEPSCO continues to file the Monthly Report of Independent Monitor concerning the Flint Creek Power Plant environmental retrofit project pursuant to the requirements of Order No. 14.

13-041-U

**In the Matter of the Application of Southwestern Electric Power Company ("SWEPSCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas**

On July 3, 2014, SWEPSCO filed its response to Order No. 36. SWEPSCO stated that SPP intends to conduct the requested evaluation in parallel with its Integrated Transmission Plan process for 2015, which SPP believes will best achieve the comprehensive study results ordered by the Commission. SPP anticipates the evaluation will be complete at the end of January 2015. SWEPSCO committed to filing an official Notice of Completion of the SPP evaluation in this docket within 7 days of SPP's presentation of final study results.

On July 30, 2014, Save the Ozarks filed its comments in response to SWEPSCO's July 3, 2014 letter. STO suggested that the Commission deny the current Application and close this proceeding so that SWEPSCO may re-apply to the Commission for whatever new project, if any, SWEPSCO concludes in the future is supported by the results of its completed studies.

13-119-U

**In the Matter of the Application of Southwest Power Pool, Inc. for Authority to Issue up to \$70,000,000 in Secured or Unsecured Promissory Notes**

On July 21, 2014, the APSC issued Order No. 2, closing this docket.

14-006-U

**In the Matter of Arkansas Electric Cooperative Corporation's ("AECC") Application for Approval to Join the Midcontinent Independent System Operator, Inc. ("MISO") Regional Transmission Organization as a Transmission-Owning Member**

On July 25, 2014, the APSC issued Order No. 4, denying AECC's Petition for Rehearing related to the annual reporting requirements. The APSC granted clarification and provided five categories of information that should be included in AECC's annual report.

On September 18, 2014, the APSC issued Order No. 5, closing this docket.

**FERC or State Jurisdiction: State of Kansas**

**11-PWTE-600-MIS**      **In the Matter of the Application of Prairie Wind Transmission, LLC for a Siting Permit for the Construction of a Double Circuit 345 kV Transmission Line in Sedgwick, Sumner, Harper, and Barber Counties, Kansas**

Prairie Wind Transmission, LLC continues to submit quarterly reports on the Progress of the Wichita to Woodward 345 kV Transmission Project.

**14-SPPE-563-SHO**      **In the Matter of the General Investigation of Southwest Power Pool, Inc. to Show Cause Why the Costs Associated With the Proposed Membership of Western Area Power Administration - Upper Great Plains Region, Basin Electric Power Cooperative, and Heartland Consumers Power District ("Integrated System") are in the Public Interest of Kansas Electric Retail Customers**

On July 1, 2014, the Prehearing Officer issued an Order Granting Southwest Power Pool, Inc.'s Motion for Extension of Time. SPP's response to the Show Cause Order is due by July 30, 2014.

On July 9, 2014, Kansas Electric Power Cooperative, Inc. filed a Petition to Intervene.

On July 11, 2014, the Prehearing Officer issued an Order Granting Petition of Kansas Electric Power Cooperative, Inc. to Intervene.

On July 30, 2014, Carl Monroe filed Direct Testimony on behalf of Southwest Power Pool, Inc. to explain the benefits of the Integrated System joining SPP and the benefits to the SPP Zones located in Kansas.

***FERC or State Jurisdiction: State of LA - New Orleans***

**UD-11-01**

**Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements**

On July 1, 2014, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On August 1, 2014, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On September 2, 2014, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

### **FERC or State Jurisdiction: State of Louisiana**

**R-33253**                    **Rulemaking Docket Regarding the United States Environmental Protection Agency's ("EPA") Proposed Rule on Carbon Dioxide Emissions from Existing Fossil-Fuel Fired Electric Generating Units under Section 111(d) of the Clean Air Act**

On July 25, 2014, the LPSC issued a Notice of Request for Specific Comments to be submitted by August 25, 2014.

On August 11, 2014, SPP filed a Petition for Intervention Out-of-Time.

On August 25, 2014, certain parties filed comments in response to the Commission's questions.

On September 11, 2014, the LPSC issued a Notice of Opportunity for Reply Comments. Reply Comments are due on September 24, 2014.

On September 19, 2014, Sierra Club filed a request for extension of time to file comment on the Responses to Specific Questions provided by parties in this proceeding.

On September 25, 2014, the LPSC issued a Notice of Extension of Deadline to File Comments. Reply Comments are due on October 8, 2014.

On September 26, 2014, the LPSC issued a notice approving the requests for late intervention filed by the American Coalition for Clean Coal Electricity, Southwest Power Pool, Inc., and the Alliance for Affordable Energy.

**U-32148**                    **Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, For an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment**

On September 4, 2014, the Midcontinent Independent System Operator, Inc. filed a report regarding the status of negotiations with SPP to resolve outstanding issues related to the Joint Operating Agreement.

**U-32675**                    **Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. (Collectively "Entergy") Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, for an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment**

On July 10, 2014, the LPSC issued a Notice of Technical Conference Regarding MISO to be held on July 29, 2014. The discussion topics on the agenda included Locational Marginal Prices, Financial Transmission Rights and Auction Revenue Rights, MISO Resource Adequacy, MISO Transmission Planning, Operations Event Communication Protocols, and MISO Benefits.



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### **FERC or State Jurisdiction: State of Missouri**

- EA-2013-0098**      **In the Matter of the Application of Transource Missouri, LLC for a Certificate of Convenience and Necessity Authorizing it to Construct, Finance, Own, Operate, and Maintain the Iatan-Nashua and Sibley-Nebraska City Electric Transmission Projects**
- On July 2, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed the first quarter 2014 report for the Sibley-Nebraska City Transmission Project.
- EO-2012-0271**      **In the Matter of an Investigation into the Siting and Safety of a Proposed Transmission Line in Platte County, Missouri**
- On July 2, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed the first quarter 2014 report for the Iatan to Nashua 345 kV Transmission Project.
- EW-2012-0065**      **In the Matter of an Investigation of the Cost to Missouri's Electric Utilities Resulting from Compliance with Federal Environmental Regulations (Environmental Protection Agency or "EPA")**
- On July 2, 2014, the MoPSC issued an Order Scheduling a Workshop Meeting and Directing Response.
- A workshop meeting is scheduled for August 18, 2014, and will address the cost of compliance with the EPA's recently published proposed state-specific rate-based goals for carbon dioxide emissions for existing fossil fuel-fired electric generating units.. Each of Missouri's investor-owned electric utilities, including Union Electric Company, d/b/a Ameren Missouri, The Empire District Electric Company, and Kansas City Power & Light Company/KCP&L Greater Missouri Operations Company, shall make presentations at the meeting to explain how they are responding to the EPA's proposed regulation.
- On July 30, 2014, the MoPSC issued an Order Directing Responses to Certain Questions.
- The Commission directed Union Electric Company, d/b/a Ameren Missouri, The Empire District Electric Company, Kansas City Power & Light Company, and KCP&L Greater Missouri Operations Company to be prepared to discuss, or present on these questions at the August 18, 2014 workshop. In addition, they shall file written responses to these questions no later than August 25, 2014.
- On August 6, 2014, the MoPSC issued an Order Directing Responses to Additional Questions.
- The Commission directed Union Electric Company, d/b/a Ameren Missouri, The Empire District Electric Company, Kansas City Power & Light Company, and KCP&L Greater Missouri Operations Company to be prepared to discuss, or present on these questions at the August 18, 2014 workshop. In addition, they shall file written responses to these questions no later than August 25, 2014.
- On August 15, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion for Protective Order and Motion to Expedited Treatment.
- A workshop was held on August 18, 2014.

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On August 18, 2014, the MoPSC issued a Protective Order.

On August 22, 2014, the MoPSC issued an Order Establishing Time to Respond to Filings. Parties may file responses to the answers to certain questions, submitted in response to the Order Directing Responses to Certain Questions issued on July 30, 2014, and the Order Directing Response to Additional Questions issued on August 6, 2014, no later than September 2, 2014.

On August 25, 2014, certain parties filed responses to questions posed by the Commission in the Orders issued on July 30 and August 6, 2014.

On August 28, 2014, the Sierra Club filed a Motion for Extension of Time to Respond to Comments.

On August 29, 2014, the MoPSC issued an Order Extending Time to Respond to Filings. Responses to the written submissions filed on August 25, 2014 are due on September 9, 2014.

On September 2, 2014, Ameren Missouri filed a Request for Additional Time to Submit Replies.

On September 3, 2014, the MoPSC issued an Order Further Extending Time to Respond to Filings. Responses to the written submissions filed on August 25, 2014 are due on September 16, 2014.

On September 16, 2014, several parties filed comments in response to comments filed on August 25, 2014.

On September 16, 2014, SPP filed comments in response to comments filed on August 25, 2014.

EW-2014-0156

**In the Matter of an Investigation Into the Possible Methods of Mitigating Identified Harmful Effects of Entergy Joining MISO on non-MISO Missouri Utilities and Their Ratepayers and Maximizing the Benefits for Missouri Utilities and Ratepayers Along RTO and Cooperative Seams**

On July 1, 2014, SPP filed Comments in Response to the Commission's Questions Identified in its Order Opening an Investigation into Seams issued on November 26, 2013.

Several parties filed Comments in response to the questions posed in the November 26, 2013 Order.



**FERC or State Jurisdiction: State of Texas**

**40979 Proceeding to Track Compliance with Terms and Conditions Set Forth in the Commission's Order Issued in Docket No. 40346 and the Non-Unanimous Stipulation ("NUS") and Associated Studies Arising from the Order and/or NUS**

Entergy Texas, Inc. continues to file compliance reports each month.

**42636 Commission Comments on Proposed EPA Rule on Greenhouse Gas Emissions for Existing Generating Units**

On July 3, 2014, the PUCT issued a Public Notice of Workshop to be held on August 15, 2014 to discuss the proposed EPA Rule on Greenhouse Gas Emissions for Existing Generating Units.

On July 30, 2014, the PUCT issued a Public Notice of Change of Address for Workshop to be held on August 15, 2014.

On July 30, 2014, the PUCT issued an agenda for the workshop to be held on August 15, 2014.

On August 1, 2014, Kristin Whitley of the Competitive Markets Division at the PUCT filed a memo regarding the workshop to be held on August 15, 2014. Parties listed as panel members were requested to file presentation materials by August 20, 2014. All interested parties were requested to file comments by September 5, 2014.

On August 13, 2014, the PUCT issued a Revised Workshop Agenda.

A workshop was held on August 15, 2014.

On September 5, 2014, numerous parties filed post-workshop comments.

### ***FERC or State Jurisdiction: United States Court of Appeals***

**12-1232**                      **South Carolina Public Service Authority v. FERC, Petition for Review of Order Nos. 1000 and 1000-A (Consolidated with 12-1233, 12-1250, 12-1276, 12-1279, 12-1280, 12-1285, 12-1290, 12-1292, 12-1293, 12-1294, 12-1296, 12-1299, 12-1300, 12-1304)**

On August 15, 2014, the U.S. Court of Appeals issued an opinion denying the petitions for review.

On September 29, 2014, the Large Public Power Council filed a Petition for Rehearing En Banc.

**14-1053**                      **Southwest Power Pool, Inc. v. Federal Energy Regulatory Commission - Petition for Review of the June 20, 2013 and February 20, 2014 Orders issued in Docket Nos. ER12-2681, et al.**

On August 5, 2014, the parties filed a Status Report. The parties stated the settlement process initiated in the June 20, 2013 Order issued in FERC Docket Nos. ER12-2681 and ER13-948 continues.