

FERC or State Jurisdiction: FERC

10-1227 SPP Filing of FERC Form 1 and 3-Q

On November 25, 2014, SPP submitted its FERC Form 3-Q, Quarterly Financial Report, for the third quarter of 2014.

AD11-9 Inquiry into Recent Outages in Texas and the Southwest

On November 12, 2014, the SPP Regional Entity submitted its response to FERC's September 26, 2014 request for follow up information regarding the Joint Report of FERC and the North American Reliability Corporation on the February 2011 Southwest Cold Weather Event, and the 2014 Polar Vortex Event (January 6-8, 2014), and the 2014 Winter Storm Event (January 17-29, 2014).

On November 20, 2014, Texas Reliability Entity, Inc. submitted its responses to the September 26, 2014 Request for Follow-up Actions in Response to the 2011 and 2014 Joint FERC - NERC Report.

On November 25, 2014, ReliabilityFirst Corporation submitted its responses to the September 26, 2014 Request.

On November 25, 2014, SERC Reliability Corporation submitted its responses to the September 26, 2014 Request.

On November 25, 2014, Northeast Power Coordinating Council, Inc. submitted its responses to the September 26, 2014 Request.

On December 3, 2014, Western Electricity Coordinating Council submitted its responses to the September 26, 2014 Request.

AD12-12 Coordination between Natural Gas and Electricity Markets

On December 18, 2014, Commission Staff presented its Gas-Electric Coordination Quarterly Report to the Commission.

AD13-7 Centralized Capacity Markets in Regional Transmission Organizations and Independent System Operators

On November 20, 2014, FERC issued an Order on Technical Conferences. The Commission directed each RTO/ISO to file a report on the status of its efforts to address market and system performance associated with fuel assurance issues. After the reports are filed, there will be a 30-day public comment period.

The report should:

- 1) describe the nature of fuel assurance concerns specific to the region;
- 2) describe the comprehensive strategy or strategies the RTO/ISO has implemented or plans to implement to address market and system performance in light of each of its fuel assurance concerns; and
- 3) detail the specific programs and mechanisms that the RTO/ISO will use to carry out its strategies.

The report is due no later than February 18, 2015.

Regulatory Status Report

AD14-8

Winter 2013-2014 Operations and Market Performance in Regional Transmission Organizations ("RTOs") and Independent System Operators ("ISOs")

On October 16, 2014, Commission Staff presented its report on Commission and Industry Actions Relevant to Winter 2013-14 Weather Events.

On November 20, 2014, FERC issued an Order on Technical Conferences. The Commission directed each RTO/ISO to file a report on the status of its efforts to address market and system performance associated with fuel assurance issues. After the reports are filed, there will be a 30-day public comment period.

The report should:

- 1) describe the nature of fuel assurance concerns specific to the region;
- 2) describe the comprehensive strategy or strategies the RTO/ISO has implemented or plans to implement to address market and system performance in light of each of its fuel assurance concerns; and
- 3) detail the specific programs and mechanisms that the RTO/ISO will use to carry out its strategies.

The report is due no later than February 18, 2015.

AD14-14

Price Formation in Energy and Ancillary Services Markets Operated by Regional Transmission Organizations ("RTOs") and Independent System Operators ("ISOs")

On October 10, 2014, FERC issued a Notice of Workshop to be held on December 9, 2014 to address technical, operational, and market issues related to operator actions in energy and ancillary services markets operated by RTOs and ISOs.

On October 10, 2014, FERC issued a Supplemental Notice of Workshop to be held on October 28, 2014. The agenda and list of participants were included.

On October 21, 2014, FERC issued a Notice of Issuance of Reports on Shortage Pricing and Energy Offer Mitigation in RTO and ISO Markets.

On October 28, 2014, a workshop was held to address technical, operational, and market issues related to offer price mitigation and offer price caps, and scarcity and shortage pricing in energy and ancillary services markets operated by RTOs and ISOs.

On October 29, 2014, the Electric Power Supply Association filed a report titled "Price Formation in ISOs and RTOs Principles and Improvements".

On November 20, 2014, FERC issued a Supplemental Notice of Workshop on Operator Actions in RTO and ISO Markets to be held on December 9, 2014. The agenda and list of participants for the workshop were attached.

On December 4, 2014, FERC issued a Notice of Issuance of Report on Operator Actions in RTO and ISO Markets.

On December 9, 2014, a workshop was held to address technical, operational, and market issues related to operator actions in energy and ancillary services markets operated by RTOs and ISO.

Regulatory Status Report

AD14-15

RTO/ISO Performance Metrics

Several parties submitted comments in response to FERC's comment request concerning a revised, previously approved information collection, FERC-922, Performance Metrics for ISOs, RTOs and Regions Outside ISOs and RTOs issued on August 26, 2014.

On November 3, 2014, the ISO/RTO Council filed Comments in response to FERC's comment request concerning a revised, previously approved information collection, FERC-922, Performance Metrics for ISOs, RTOs and Regions Outside ISOs and RTOs issued on August 26, 2014.

The IRC stated it supports reporting on the 30 Common Metrics identified by Commission Staff in the Metrics Report and reiterated by the Commission in its Comment Request. The IRC stated it does not oppose the collection and reporting on those metrics identified by the Commission as "Other Metrics" on a periodic basis.

The IRC recommended that the Commission continue to accept a coordinated response from the jurisdictional IRC members on a periodic basis. The IRC also recommended that the Commission not prescribe a specific format for the report. The IRC proposed to submit a coordinated response from the jurisdictional IRC members in the same format that has been used previously, i.e., a single report for all the regions with separate sections to allow each ISO/RTO to highlight factors of importance to its respective region.

The IRC requested that the Commission accept the next IRC report from the jurisdictional IRC members as an update to prior submittals. As noted, these prior submittals addressed ISO and RTO performance data for the periods of 2005 through 2009 and 2006 through 2010. Given that the jurisdictional IRC members have previously submitted an updated report for the 2006-2010 period, a further report from the jurisdictional ISOs/RTOs for the 2008 through 2010 period is not needed and should not be required. The IRC proposed, instead, to provide data for the 2010-2014 period. The IRC proposed to submit such an update report in August, 2015.

AD15-4

Technical Conference on Environmental Regulations and Electric Reliability, Wholesale Electricity Markets, and Energy Infrastructure (To Discuss Implications of Compliance Approaches to the Clean Power Plan Proposed Rule issued by the Environmental Protection Agency ("EPA"))

On December 9, 2014, FERC issued a Notice of Technical Conferences to be held to discuss implications of compliance approaches to the Clean Power Plan proposed rule, issued by the EPA on June 2, 2014. The Commission will hold a National Overview technical conference on February 19, 2015. Following the National Overview technical conference, the Commission will hold three regional technical conferences on dates to be announced.

AD15-5

Available Transfer Capability ("ATC") Standards for Wholesale Electric Transmission Services

On December 30, 2014, FERC issued a Notice of Workshop to be held on March 5, 2015 to discuss actions the Commission could take to ensure that transmission providers continue to calculate and post available transfer capability in a manner that ensures nondiscriminatory access to wholesale electric transmission services.

EL11-34

Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP

Regulatory Status Report

On October 21, 2014, Judge Cintron filed a Settlement Judge Report, recommending that settlement procedures continue. The next settlement conference is scheduled for October 23, 2014.

A settlement conference was held on October 23, 2014.

On October 27, 2014, Judge Cintron issued an Order Scheduling Settlement Conference to be held on November 20, 2014.

On November 7, 2014, the Midcontinent Independent System Operator, Inc. filed a Motion for Expedited Consideration of MISO's Request for Rehearing filed on April 11, 2014.

On November 13, 2014, the Wisconsin TDUs filed an answer in support of the Midcontinent Independent System Operator, Inc.'s Motion for Expedited Consideration filed on November 7, 2014.

On November 17, 2014, SPP filed an Answer Opposing Motion for Expedited Consideration filed by the Midcontinent Independent System Operator, Inc. on November 7, 2014.

SPP stated:

- 1) MISO's Motion is procedurally infirm, lacks substantive support, and complains of injury of MISO's own making;
- 2) SPP's Service Agreement Filing and its 206 Complaint are independent and seek legally distinct relief; and
- 3) action on rehearing would disrupt settlement negotiations.

A settlement conference was held on November 20, 2014.

On November 21, 2014, the SPP Transmission Owners filed an answer opposing the Midcontinent Independent System Operator, Inc.'s November 7, 2014 Motion for Expedited Consideration and the Wisconsin TDU's November 13, 2014 Answer.

The SPP TOs stated:

- 1) the Commission should reject MISO's request to reject the service agreement as duplicative to SPP's Section 206 Complaint;
- 2) any harmful impacts to the MISO Market are due to MISO's choice to adopt an unnecessary Hurdle Rate - not the SPP Service Agreement;
- 3) the Wisconsin TDU's arguments in support of MISO improperly blame the SPP Service Agreement for the results of MISO's voluntary acts; and
- 4) the SPP Service Agreement does not force MISO to inappropriately "subsidize" SPP's expansion, nor is it a "reallocation" of the sunk costs of the SPP Transmission System.

On November 24, 2014, Judge Cintron issued an Order Scheduling Settlement Conference to be held on January 29, 2015.

On November 25, 2014, the Organization of MISO States filed an answer in support of MISO's Motion for Expedited Consideration.

On December 11, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to SPP's and the SPP Transmission Owners' answers opposing MISO's November 7, 2014 Motion for Expedited Consideration.

On December 15, 2014, Judge Cintron issued a Settlement Judge Report recommending that settlement procedures remain in place. The next settlement conference is scheduled for

Regulatory Status Report

January 29, 2015.

EL12-59

Complaint of Golden Spread Electric Cooperative, Inc. ("Golden Spread") Against Southwestern Public Service Company ("SPS") Regarding Rate of Return on Common Equity ("ROE") Input Value for the Formula Rate Applicable to the Replacement Power Sales Agreement ("RPSA")

On October 20, 2014, Complainants filed a Complaint Requesting Fast Track Processing and Motion to Consolidate this proceeding with Docket Nos. EL13-78 and EL12-59.

This Complaint involves the input value for rate of return on common equity in the formula rate applicable to the Replacement Power Sales Agreements pursuant to which SPS sells capacity and energy to Golden Spread and the New Mexico Cooperatives, and the formula rate applicable to determination of the SPS Annual Transmission Revenue Requirement within the Xcel Energy, Inc. and SPP Open Access Transmission Tariffs.

On November 12, 2014, Tri-County Electric Cooperative, Inc. filed a Motion to Intervene and Comments in support of the Complaint filed on October 20, 2014 in Docket No. EL15-8.

On November 18, 2014, Judge Glazer submitted a status report recommending that the settlement judge procedure be terminated and that a presiding judge be appointed.

On November 26, 2014, Judge Wagner issued an Order of Chief Judge Terminating Settlement Judge Procedures, Designating Presiding Administrative Law Judge, and Establishing Initial Decision Deadline. The initial decision is due by November 25, 2015.

On November 28, 2014, Golden Spread Electric Cooperative, Inc. filed an answer in response to Southwestern Public Service Company's Motion to Dismiss filed on November 12, 2014 in Docket No. EL15-8.

On December 3, 2014, Judge Dowd issued an Order Scheduling Prehearing Conference to be held on December 10, 2014.

On December 11, 2014, FERC issued an Order Establishing Procedural Schedule.

On December 11, 2014, FERC issued an Order Establishing Rules for Conduct of Hearing.

On December 11, 2014, Xcel Energy Services Inc. filed a Motion for Adoption of a Protective Order.

On December 19, 2014, the parties filed a Preliminary Joint Statement of Issues.

On December 23, 2014, FERC issued an Order Adopting Protective Order.

EL14-19

Section 206 Proceeding to Investigate into the Justness and Reasonableness of Midcontinent Independent System Operator, Inc.'s ("MISO") Proposed Regional Through-and-out Rate ("RTOR") for Service over the Transmission System in the MISO South Region

On November 24, 2014, Settlement Judge Baten issued the Ninth Settlement Status Report, recommending that settlement efforts in this matter should continue. If a settlement is not reached by January 16, 2015, the settlement judge will recommend termination of settlement proceedings.

Regulatory Status Report

EL14-21

SPP Complaint for an Order Finding the Midcontinent Independent System Operator, Inc. ("MISO") is Violating the Joint Operating Agreement ("JOA") between SPP and MISO and the SPP Tariff and Requiring MISO to Compensate SPP for Use of SPP's Transmission System

On October 21, 2014, Judge Cintron filed a Settlement Judge Report, recommending that settlement procedures continue. The next settlement conference is scheduled for October 23, 2014.

On October 27, 2014, Judge Cintron issued an Order Scheduling Settlement Conference to be held on November 20, 2014.

On November 7, 2014, the Midcontinent Independent System Operator, Inc. filed a Motion for Expedited Consideration of MISO's Request for Rehearing filed on April 11, 2014.

On November 13, 2014, the Wisconsin TDUs filed an answer in support of the Midcontinent Independent System Operator, Inc.'s Motion for Expedited Consideration filed on November 7, 2014.

On November 17, 2014, SPP filed an Answer Opposing Motion for Expedited Consideration filed by the Midcontinent Independent System Operator, Inc. on November 7, 2014.

SPP stated:

- 1) MISO's Motion is procedurally infirm, lacks substantive support, and complains of injury of MISO's own making;
- 2) SPP's Service Agreement Filing and its 206 Complaint are independent and seek legally distinct relief; and
- 3) action on rehearing would disrupt settlement negotiations.

A settlement conference was held on November 20, 2014.

On November 21, 2014, the SPP Transmission Owners filed an answer opposing the Midcontinent Independent System Operator, Inc.'s November 7, 2014 Motion for Expedited Consideration and the Wisconsin TDU's November 13, 2014 Answer.

The SPP TOs stated:

- 1) the Commission should reject MISO's request to reject the service agreement as duplicative to SPP's Section 206 Complaint;
- 2) any harmful impacts to the MISO Market are due to MISO's choice to adopt an unnecessary Hurdle Rate - not the SPP Service Agreement;
- 3) the Wisconsin TDU's arguments in support of MISO improperly blame the SPP Service Agreement for the results of MISO's voluntary acts; and
- 4) the SPP Service Agreement does not force MISO to inappropriately "subsidize" SPP's expansion, nor is it a "reallocation" of the sunk costs of the SPP Transmission System.

On November 24, 2014, Judge Cintron issued an Order Scheduling Settlement Conference to be held on January 29, 2015.

On November 25, 2014, the Organization of MISO States filed an answer in support of MISO's Motion for Expedited Consideration.

On December 11, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to SPP's and the SPP Transmission Owners' answers opposing MISO's November 7, 2014 Motion for Expedited Consideration.

Regulatory Status Report

EL14-30

On December 15, 2014, Judge Cintron issued a Settlement Judge Report recommending that settlement procedures remain in place. The next settlement conference is scheduled for January 29, 2015.

Midcontinent Independent System Operator, Inc. ("MISO") Complaint Regarding Transmission Service Invoices from SPP

On October 21, 2014, Judge Cintron filed a Settlement Judge Report, recommending that settlement procedures continue. The next settlement conference is scheduled for October 23, 2014.

On October 27, 2014, Judge Cintron issued an Order Scheduling Settlement Conference to be held on November 20, 2014.

On November 7, 2014, the Midcontinent Independent System Operator, Inc. filed a Motion for Expedited Consideration of MISO's Request for Rehearing filed on April 11, 2014.

On November 13, 2014, the Wisconsin TDUs filed an answer in support of the Midcontinent Independent System Operator, Inc.'s Motion for Expedited Consideration filed on November 7, 2014.

On November 17, 2014, SPP filed an Answer Opposing Motion for Expedited Consideration filed by the Midcontinent Independent System Operator, Inc. on November 7, 2014.

SPP stated:

- 1) MISO's Motion is procedurally infirm, lacks substantive support, and complains of injury of MISO's own making;
- 2) SPP's Service Agreement Filing and its 206 Complaint are independent and seek legally distinct relief; and
- 3) action on rehearing would disrupt settlement negotiations.

A settlement conference was held on November 20, 2014.

On November 21, 2014, the SPP Transmission Owners filed an answer opposing the Midcontinent Independent System Operator, Inc.'s November 7, 2014 Motion for Expedited Consideration and the Wisconsin TDU's November 13, 2014 Answer.

The SPP TOs stated:

- 1) the Commission should reject MISO's request to reject the service agreement as duplicative to SPP's Section 206 Complaint;
- 2) any harmful impacts to the MISO Market are due to MISO's choice to adopt an unnecessary Hurdle Rate - not the SPP Service Agreement;
- 3) the Wisconsin TDU's arguments in support of MISO improperly blame the SPP Service Agreement for the results of MISO's voluntary acts; and
- 4) the SPP Service Agreement does not force MISO to inappropriately "subsidize" SPP's expansion, nor is it a "reallocation" of the sunk costs of the SPP Transmission System.

On November 24, 2014, Judge Cintron issued an Order Scheduling Settlement Conference to be held on January 29, 2015.

On November 25, 2014, the Organization of MISO States filed an answer in support of MISO's Motion for Expedited Consideration.

On December 11, 2014, the Midcontinent Independent System Operator, Inc. filed an answer

Regulatory Status Report

in response to SPP's and the SPP Transmission Owners' answers opposing MISO's November 7, 2014 Motion for Expedited Consideration.

On December 15, 2014, Judge Cintron issued a Settlement Judge Report recommending that settlement procedures remain in place. The next settlement conference is scheduled for January 29, 2015.

EL14-73

Section 206 Proceeding Directing The Empire District Electric Company ("Empire") to File Revisions to its Formula Rate Protocols

On October 27, 2014, the Missouri Public Service Commission filed a Notice of Intervention and Comments.

The MoPSC stated that the Commission should not take a "one size fits all" approach to evaluation of the SPP Transmission Owner's Tariffs. The MoPSC also stated that it objects to Empire's changes that go beyond what is necessary for compliance with the Commission's July 17, 2014 Order issued in EL14-73. The MoPSC suggested several proposed changes to the protocols.

On November 12, 2014, The Empire District Electric Company filed an answer in response to the pleadings filed by the Missouri Public Service Commission and Kansas Corporation Commission on October 27, 2014.

EL14-74

Section 206 Proceeding Directing Kansas City Power & Light Company ("KCP&L") to File Revisions to its Formula Rate Protocols

On October 27, 2014, the Missouri Public Service Commission filed a Notice of Intervention and Comments.

The MoPSC stated that the Commission should not take a "one size fits all" approach to evaluation of the SPP Transmission Owner's Tariffs. The MoPSC suggested several proposed changes to the protocols.

On November 10, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed an answer in response to the pleadings filed by the Kansas Corporation Commission and Missouri Public Service Commission on October 27, 2014.

EL14-75

Section 206 Proceeding Directing KCP&L Greater Missouri Operations Company ("KCP&L-GMO") to File Revisions to its Formula Rate Protocols

On October 27, 2014, the Missouri Public Service Commission filed a Notice of Intervention and Comments.

The MoPSC stated that the Commission should not take a "one size fits all" approach to evaluation of the SPP Transmission Owner's Tariffs. The MoPSC suggested several proposed changes to the protocols.

On November 10, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed an answer in response to the pleadings filed by the Kansas Corporation Commission and Missouri Public Service Commission on October 27, 2014.

EL14-77

Section 206 Proceeding Directing Westar Energy, Inc. ("Westar") to File Revisions to its Formula Rate Protocols

Regulatory Status Report

On October 2, 2014, Kansas Electric Power Cooperative, Inc. filed a Protest. KEPCO requested that the Commission (i) reject the Westar Compliance Filing to the extent that it seeks to make changes to the protocols that diminish the rights of affected parties, including those changes noted in the body of this pleading, as such filing both violates the FERC Show Cause Order and Federal Power Act Section 205; (ii) cure the unduly discriminatory and preferential treatment of affected parties (in the current and filed protocols) by providing that affected parties and Westar must be afforded equal time to detect errors and have them corrected; and (iii) make such other changes as may be warranted by the facts and circumstances noted herein.

On October 21, 2014, the Missouri Public Service Commission filed a Notice of Intervention and Comments. The MoPSC requested that the Commission require Westar to make changes proposed in these Comments.

On October 30, 2014, Westar Energy, Inc. filed an answer in response to the protest filed by Kansas Electric Power Cooperative, Inc. filed on October 2, 2014.

EL14-81

Golden Spread Electric Cooperative, Inc. ("Golden Spread") and Sharyland Utilities, L.P. ("Sharyland") Petition for Declaratory Order Concerning Jurisdiction Over Transmission Interconnection Facilities that Would Deliver Power from the Antelope Elk Energy Center Located in the Electric Reliability Council of Texas ("ERCOT")

On October 3, 2014, FERC issued an Order Granting Petition for Declaratory Order.

The Commission ordered:

Petitioners' petition for a declaratory order disclaiming jurisdiction over the transmission and interconnection facilities between the Antelope Elk Energy Center and the ERCOT grid, as well as the transmission and sales of electric energy over those facilities, was granted, as discussed in the body the order. The utilities in ERCOT that are not currently public utilities under the FPA will not become public utilities as a result of the interconnection of the Antelope Elk Energy Center with the ERCOT grid, as discussed in the body of the order. Golden Spread may operate the Antelope Elk Energy Center as a switchable generation resource between SPP and ERCOT without jeopardizing the jurisdictional status quo, as discussed in the body of the order.

EL14-85

Eric S. Morris Complaint Against SPP for Violating FERC Order No. 890 at Paragraph 864 and FERC Order No. 890-A at Paragraph 472; Potentially Failing to Properly Implement and Enforce its Tariff at Sections 13.7(c) and 14.5; and if Complaints are Verified, then Most Likely Violating 18 C.F.R. § 1c.2 (Prohibition of Electric Energy Market Manipulation)

On December 5, 2014, FERC issued an Order Dismissing Complaint.

EL15-8

Golden Spread Electric Cooperative, Inc. ("Golden Spread"), Central Valley Electric Cooperative, Inc. ("Central Valley"), Farmers' Electric Cooperative, Inc. ("Farmers"), Lea County Electric Cooperative, Inc. ("Lea County"), Roosevelt County Electric Cooperative, Inc. ("Roosevelt") and the West Texas Municipal Power Agency ("WTMPA") (collectively the "Complainants") Complaint Against Southwestern Public Service Company ("SPS") Involving the Input Value for Rate of Return on Common Equity ("ROE") in the Formula Rate Applicable to the Replacement Power Sales Agreements Pursuant to which SPS Sells Capacity and Energy to the Complainants and the Formula Rate Applicable to Determination of the SPS Annual Transmission Revenue Requirement ("ATRR")

Regulatory Status Report

On October 20, 2014, Complainants filed a Complaint Requesting Fast Track Processing and Motion to Consolidate this proceeding with Docket Nos. EL13-78 and EL12-59.

This Complaint involves the input value for rate of return on common equity in the formula rate applicable to the Replacement Power Sales Agreements pursuant to which SPS sells capacity and energy to Golden Spread and the New Mexico Cooperatives, and the formula rate applicable to determination of the SPS Annual Transmission Revenue Requirement within the Xcel Energy, Inc. and SPP Open Access Transmission Tariffs.

On October 21, 2014, FERC issued a Notice of Complaint.

On October 22, 2014, Southwestern Public Service Company filed a Motion for Extension of Time to file its response to the Complaint.

On October 22, 2014, FERC issued an Errata Notice Extending Comment Date to November 12, 2014.

On November 6, 2014, SPP filed a doc-less Motion to Intervene.

On November 12, 2014, Occidental Permian Ltd. filed a Motion to Intervene.

On November 12, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments in support of the Complaint.

On November 12, 2014, Tri-County Electric Cooperative, Inc. filed a Motion to Intervene and Comments in support of the Complaint filed on October 20, 2014 in Docket No. EL15-8.

On November 12, 2014, Southwestern Public Service Company filed an answer in response to the Complaint.

SPS stated:

- 1) the Commission should deny the Complaint because Complainants have failed to meet their burden of proof;
- 2) the Complainants' quantification of transmission rate effects is overstated; and
- 3) the Complainants' requests for fast track processing and consolidation of this proceeding with Docket No. EL12-59 and EL13-78 should be denied.

On November 28, 2014, Golden Spread Electric Cooperative, Inc. filed an answer in response to Southwestern Public Service Company's Motion to Dismiss filed on November 12, 2014 in Docket No. EL15-8.

On December 10, 2014, Southwestern Public Service Company filed an answer in response to Golden Spread Electric Cooperative, Inc.'s November 28, 2014 Answer.

ER07-1069

AEP Filing of Revised Pro-forma Tariff Sheets to Update AEP's Transmission Service Rates and Institute a Formula Rate (AEP Formula Rate Case)

On December 8, 2014, AEP submitted its revised 2014 Annual Update to recalculate its annual transmission revenue requirements.

ER08-313

Southwestern Public Service Company ("SPS") Formula Rate Case

On December 1, 2014, Southwestern Public Service Company submitted an informational filing containing the 2015 Transmission Formula Rate Annual Update.

Regulatory Status Report

ER08-1338

SPP Submission of Revised Tariff Sheets Amending Schedule 1-A of OATT in Order to Increase the Rate Cap for its Tariff Administration Service Charge

On December 8, 2014, SPP submitted an informational filing containing its annual operating budget. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.

ER12-480

Midwest Independent Transmission System Operator, Inc. ("MISO") and the MISO Transmission Owners ("MISO TOs") Filing to Amend Open Access Transmission, Energy and Operating Reserve Markets Tariff Provisions on Allocation of Network Upgrade Costs in Connection with Transition and Integration of Entergy Corporation and its Operating Companies

On October 22, 2014, FERC issued an order partially accepting the August 12, 2013 Compliance Filing. The parties were directed to submit a compliance filing by November 21, 2014, to revise Attachment MM of the Tariff.

On November 21, 2014, the Midcontinent Independent System Operator, Inc. submitted its compliance filing in response to the October 22, 2014 Order.

On December 23, 2014, the Midcontinent Independent System Operator, Inc. filed an informational report on its progress in achieving comparability between the First Planning Area and Second Planning Area.

ER12-959

Submission of Tariff Revisions to Implement a Formula Rate for Transmission Service for Tri-County Electric Cooperative, Inc. ("Tri-County"), a Transmission Owner in the Southwestern Public Service Company ("SPS") Zone

On October 16, 2014, FERC issued an Order Denying Rehearing and Accepting Compliance Filing, Subject to a Further Compliance Filing.

FERC denied requests for rehearing of the February 21, 2013 Order.

FERC accepted SPP's March 19, 2013 Compliance Filing, instituting Tri-County's voluntary commitment to pay refunds of the difference between the proposed rate and the rate ultimately determined by the Commission to be just and reasonable in the hearing in this proceeding, subject to a further compliance filing.

The Commission stated that in view of its determination in the order being issued concurrently that none of Tri-County's facilities are eligible for rolled-in-rate recovery from SPP's Zone 11 transmission customers, the Commission requires SPP to 1) cease collecting Tri-County's ATRR, effective the date of this order; 2) pass through refunds received from Tri-County's refund commitment, with interest, back to February 22, 2013, and submit a refund report within 45 days thereafter; and 3) submit a further compliance filing to remove from the Tariff the tariff sheets under which SPP has been collecting Tri-County's ATRR.

SPP's compliance filing is due no later than November 17, 2014.

On October 16, 2014, FERC issued Opinion No. 535, Opinion and Order on Initial Decision.

The Commission affirmed the Initial Decision with respect to the Presiding Judge's findings that Tri-County's facilities are not "Transmission Facilities" under Attachment AI of SPP's Tariff or transmission facilities under the Commission's seven factor test, and that none of

Regulatory Status Report

Tri-County's facilities therefore are eligible to be rolled into SPP's Zone 11 ATRR.

On October 30, 2014, SPP submitted its compliance filing in response to the October 16, 2014 Order, which required SPP to 1) cease collecting Tri-County's Annual Transmission Revenue Requirement ("ATRR"), effective October 16, 2014; 2) pass through refunds received from Tri-County's refund commitment, with interest, back to February 22, 2013, and submit a refund report within 45 days thereafter; and 3) submit a further compliance filing to remove from the Tariff the tariff sheets under which SPP has been collecting Tri-County's ATRR.

An effective date of October 16, 2014 was requested.

On December 5, 2014, FERC issued an order accepting SPP's October 30, 2014 Compliance Filing removing Tri-County's Annual Transmission Revenue Requirement from SPP's Tariff.

An effective date of October 16, 2014 was granted.

This order constitutes final agency action.

On December 15, 2014, Xcel Energy Services Inc. filed a Petition for Review before the United States Court of Appeals in Case No. 14-1282. Xcel seeks review of the March 30, 2012, February 21, 2013, and October 16, 2014 Orders issued in Docket No. ER12-959.

On December 19, 2014, the U.S. Court of Appeals issued an Order setting the deadlines for submission of documents in Case No. 14-1282.

ER12-1179

Submission of Tariff Revisions to Implement SPP Integrated Marketplace

On October 29, 2014, SPP filed a Motion for Extension of Time. In light of the D.C. Circuit's vacatur of Order No. 745 in *ESPA v FERC*, SPP requests an extension of time until 120 days after the Commission acts on remand to submit the compliance filings required by the April 1, 2014 Order.

On November 21, 2014, FERC issued a Notice of Extension of Time granting SPP's October 29, 2014 Motion for Extension of Time. The deadline for submitting the compliance items required by the April 1, 2014 Order is extended to 120 days after the Commission acts on the remand of Order No. 745.

On December 18, 2014, FERC issued an Order on Motion for Clarification.

FERC granted SPP's motion for clarification. FERC reiterated that the cost of all manual resource commitments implemented to address Local Reliability Issues should be allocated locally, consistent with the principle of cost causation. FERC also clarified that it was its intention in the June 2014 Compliance Order that SPP revise the provisions of its Tariff so that the costs of all manual resource commitments, including those made by SPP and the transmission operators in the day-ahead market, to serve a Local Reliability Issue, would be allocated locally. Therefore, consistent with this clarification, FERC directed SPP to submit a compliance filing that revises the Tariff provisions to allocate locally the cost of all manual resource commitments that address a Local Reliability Issue.

SPP's compliance filing is due on January 20, 2015.

ER13-62

NorthWestern Corporation's Order No. 1000 Regional Compliance Filing

On November 13, 2014, NorthWestern Corporation filed its Second Interim Informational

Regulatory Status Report

ER13-366

Report. NorthWestern stated its efforts to join SPP with an October 2015 integration date continue to progress and that it still believes the integration date is achievable.

Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements

On October 16, 2014, FERC issued an Order on Rehearing and Compliance.

The Commission granted in part and denied in part the requests for rehearing.

The Commission affirmed the finding in the First Compliance Order that, because Byway facilities are selected as part of SPP's regional transmission planning process and a portion of the cost of Byway facilities is allocated regionally, SPP must eliminate the federal right of first refusal for Byway facilities to comply with Order No. 1000.

On rehearing, SPP and Oklahoma Gas and Electric Company disagreed with the Commission's finding that SPP must remove Tariff provisions that require SPP to consider state law and rights-of-way at two early stages of the competitive solicitation process. On reconsideration, the Commission agreed and granted the requests for rehearing with respect to these provisions. SPP is to restore the provisions as proposed in its First Compliance Filing.

The Commission granted rehearing and found that exclusion of Service Upgrades from the proposed definition of Competitive Upgrades is consistent with Order No. 1000. The Commission agreed that Service Upgrades should not be included in the definition of Competitive Upgrades. The Commission found that SPP's Aggregate Study process is not an Order No. 1000 regional transmission planning process. The Commission found that Service Upgrades are not selected in the regional transmission plan for purposes of cost allocation and are not identified in a regional transmission planning process as the more efficient or cost-effective solution to regional transmission needs. SPP was directed to remove the proposed revisions to Attachment Y to incorporate Service Upgrades into SPP's Transmission Owner Selection Process.

The Commission found that SPP's November 15, 2013 Compliance Filing partially complies with the directives in the First Compliance Order. The Commission accepted SPP's Second Compliance Filing to be effective March 30, 2014, subject to a further compliance filing.

SPP's compliance filing should also address the following issues:

- 1) a revised definition of merchant transmission developer to remove the provision requiring that a merchant transmission developer not intend to transfer functional control over its transmission facilities to the transmission provider;
- 2) a modified definition of upgrades so that only the replacement of part of an existing transmission facility can be considered an upgrade;
- 3) include the reference, "[a]s determined in accordance with Section I.2 of this Attachment Y," at the beginning of section I.1 of the Tariff; and
- 4) revise the Tariff to specify that the Industry Expert Panel will only consider the quantitative cost impact of material on hand, assets on hand, and rights-of-way ownership, control, or acquisition when evaluating a bid under the rate analysis category, and therefore, restore related provisions in Attachment Y.

SPP's compliance filing is due on December 15, 2014.

On November 17, 2014, LS Power Transmission, LLC and LSP Transmission Holdings, LLC filed a Request for Rehearing of the October 16, 2014 Order.

Regulatory Status Report

On December 15, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the October 16, 2014 Order.

On December 15, 2014, Oklahoma Gas and Electric Company filed a Petition for Review before the U.S. Court of Appeals in Case No. 14-1281. OG&E requested review of the July 18, 2013 and October 16, 2014 Orders issued in Docket Nos. ER13-366 and ER13-367.

On December 15, 2014, SPP submitted its compliance filing in response to the October 16, 2014 Order.

On December 16, 2014, the U.S. Court of Appeals issued an Order setting deadlines for submission of documents in Case No. 14-1281.

ER13-367

Submission of Revisions to its Membership Agreement to Comply with Order No. 1000

On October 16, 2014, FERC issued an Order on Rehearing and Compliance.

The Commission granted in part and denied in part the requests for rehearing.

The Commission affirmed the finding in the First Compliance Order that, because Byway facilities are selected as part of SPP's regional transmission planning process and a portion of the cost of Byway facilities is allocated regionally, SPP must eliminate the federal right of first refusal for Byway facilities to comply with Order No. 1000.

On rehearing, SPP and Oklahoma Gas and Electric Company disagreed with the Commission's finding that SPP must remove Tariff provisions that require SPP to consider state law and rights-of-way at two early stages of the competitive solicitation process. On reconsideration, the Commission agreed and granted the requests for rehearing with respect to these provisions. SPP is to restore the provisions as proposed in its First Compliance Filing.

The Commission granted rehearing and found that exclusion of Service Upgrades from the proposed definition of Competitive Upgrades is consistent with Order No. 1000. The Commission agreed that Service Upgrades should not be included in the definition of Competitive Upgrades. The Commission found that SPP's Aggregate Study process is not an Order No. 1000 regional transmission planning process. The Commission found that Service Upgrades are not selected in the regional transmission plan for purposes of cost allocation and are not identified in a regional transmission planning process as the more efficient or cost-effective solution to regional transmission needs. SPP was directed to remove the proposed revisions to Attachment Y to incorporate Service Upgrades into SPP's Transmission Owner Selection Process.

The Commission found that SPP's November 15, 2013 Compliance Filing partially complies with the directives in the First Compliance Order. The Commission accepted SPP's Second Compliance Filing to be effective March 30, 2014, subject to a further compliance filing.

SPP's compliance filing should also address the following issues:

- 1) a revised definition of merchant transmission developer to remove the provision requiring that a merchant transmission developer not intend to transfer functional control over its transmission facilities to the transmission provider;
- 2) a modified definition of upgrades so that only the replacement of part of an existing transmission facility can be considered an upgrade;
- 3) include the reference, "[a]s determined in accordance with Section I.2 of this Attachment

Regulatory Status Report

Y," at the beginning of section I.1 of the Tariff; and

4) revise the Tariff to specify that the Industry Expert Panel will only consider the quantitative cost impact of material on hand, assets on hand, and rights-of-way ownership, control, or acquisition when evaluating a bid under the rate analysis category, and therefore, restore related provisions in Attachment Y.

SPP's compliance filing is due on December 15, 2014.

On November 17, 2014, LS Power Transmission, LLC and LSP Transmission Holdings, LLC filed a Request for Rehearing of the October 16, 2014 Order.

On December 15, 2014, Oklahoma Gas and Electric Company filed a Petition for Review before the U.S. Court of Appeals in Case No. 14-1281. OG&E requested review of the July 18, 2013 and October 16, 2014 Orders issued in Docket Nos. ER13-366 and ER13-367.

On December 15, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the October 16, 2014 Order.

On December 16, 2014, the U.S. Court of Appeals issued an Order setting deadlines for submission of documents in Case No. 14-1281.

ER13-948

Entergy Services, Inc. ("ESI") and the Midwest Independent Transmission System Operator, Inc. ("MISO") Filing of Six Attachment O Templates to the MISO Open Access Transmission, Energy and Operating Reserve Markets Tariff to Establish Formula Rates for the Entergy Operating Companies' Recovery of Transmission Revenue Requirements

On November 24, 2014, Settlement Judge Baten issued the Ninth Settlement Status Report, recommending that settlement efforts in this matter should continue. If a settlement is not reached by January 16, 2015, the settlement judge will recommend termination of settlement proceedings.

ER13-1173

SPP Submission of Tariff Revisions to Modify Certain Aspects of the SPP Integrated Marketplace

On December 18, 2014, FERC issued an Order on Motion for Clarification.

FERC granted SPP's motion for clarification. FERC reiterated that the cost of all manual resource commitments implemented to address Local Reliability Issues should be allocated locally, consistent with the principle of cost causation. FERC also clarified that it was its intention in the June 2014 Compliance Order that SPP revise the provisions of its Tariff so that the costs of all manual resource commitments, including those made by SPP and the transmission operators in the day-ahead market, to serve a Local Reliability Issue, would be allocated locally. Therefore, consistent with this clarification, FERC directed SPP to submit a compliance filing that revises the Tariff provisions to allocate locally the cost of all manual resource commitments that address a Local Reliability Issue.

SPP's compliance filing is due on January 20, 2015.

ER13-1864

Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Include Market-to-Market ("M2M") Terms and Conditions (SPP Rate Schedule FERC No. 9)

On October 1, 2014, Electric Power Supply Association filed a Motion to Intervene

Regulatory Status Report

Out-of-Time.

On October 7, 2014, parties filed Initial Post-Technical Conference Comments.

On October 7, 2014, SPP filed Initial Post-Technical Conference Comments.

SPP stated:

- 1) the Commission should approve deferred implementation of Day-Ahead Firm Flow Entitlement adjustment and settlement mechanism;
- 2) SPP anticipates near-term resolution of the parties' disagreement on procedures for designation of new Market-to-Market flowgates; and
- 3) the Independent Market Monitor's Protest offers no credible basis to reject SPP's interface pricing methodology.

On October 22, 2014, the Midcontinent Independent System Operator, Inc. filed Post-Technical Conference Reply Comments. MISO proposed new language to the Joint Operating Agreement to address the creation of Market-to-Market flowgates. MISO requested that the Commission direct SPP to submit this language in a compliance filing within 30 days of the Commission's order accepting the Market-to-Market protocols effective March 1, 2015.

On October 22, 2014, PJM Interconnection, L.L.C. filed Post-Technical Conference Reply Comments.

PJM stated that it continues to support utilizing a common interface definition, which allows each RTO to calculate losses and congestion using its own model. PJM stated it is willing to discuss an alternative hybrid proposal that 1) implements a common interface at the RTOs' seam for market-to-market flowgates; and 2) allows for the use of either the same common interface or separate interface definition, such as a static common interface, for non-market-to-market flowgates.

PJM also stated that based on its extensive and ongoing analysis, temporary market-to-market flowgates on the PJM-MISO seam due to, among other causes, scheduled transmission outages has been a contributor to a trend of increased PJM Financial Transmission Rights ("FTR") underfunding within PJM. As PJM stated in its initial comments, market-to-market flowgates added to the market-to-market process with short notice can be tantamount to adding a brand new constraint to the model that was not monitored in the FTR auctions. These short-notice additions make it difficult for PJM to accurately predict day-ahead market conditions, which in turn exposes PJM to FTR revenue inadequacy.

On October 22, 2014, SPP filed Post-Technical Conference Reply Comments.

SPP stated:

- 1) no party considers implementation of a Firm Flow Entitlement adjustment mechanism necessary to the start up of Market-to-Market coordination;
- 2) SPP and MISO have reached an agreement on revised flowgate designation procedures;
- 3) the complex issue of interface pricing should be left to the parties to investigate and, as necessary, develop revised price calculations.

ER14-67

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner

Regulatory Status Report

On November 7, 2014, Judge Dowd issued a Notice Scheduling Settlement Conference to be held on November 19, 2014.

A settlement conference was held on November 19, 2014.

On November 21, 2014, Judge Dowd issued a Status Report recommending that the current settlement proceedings continue with the understanding that the participants will submit a formal settlement package by December 31, 2014.

ER14-1174

Unexecuted Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Midcontinent Independent System Operator, Inc. ("MISO") as Transmission Customer

On October 21, 2014, Judge Cintron filed a Settlement Judge Report, recommending that settlement procedures continue. The next settlement conference is scheduled for October 23, 2014.

On October 27, 2014, Judge Cintron issued an Order Scheduling Settlement Conference to be held on November 20, 2014.

On November 7, 2014, the Midcontinent Independent System Operator, Inc. filed a Motion for Expedited Consideration of MISO's Request for Rehearing filed on April 11, 2014.

On November 13, 2014, the Wisconsin TDUs filed an answer in support of the Midcontinent Independent System Operator, Inc.'s Motion for Expedited Consideration filed on November 7, 2014.

On November 17, 2014, SPP filed an Answer Opposing Motion for Expedited Consideration filed by the Midcontinent Independent System Operator, Inc. on November 7, 2014.

SPP stated:

- 1) MISO's Motion is procedurally infirm, lacks substantive support, and complains of injury of MISO's own making;
- 2) SPP's Service Agreement Filing and its 206 Complaint are independent and seek legally distinct relief; and
- 3) action on rehearing would disrupt settlement negotiations.

A settlement conference was held on November 20, 2014.

On November 21, 2014, the SPP Transmission Owners filed an answer opposing the Midcontinent Independent System Operator, Inc.'s November 7, 2014 Motion for Expedited Consideration and the Wisconsin TDU's November 13, 2014 Answer.

The SPP TOs stated:

- 1) the Commission should reject MISO's request to reject the service agreement as duplicative to SPP's Section 206 Complaint;
- 2) any harmful impacts to the MISO Market are due to MISO's choice to adopt an unnecessary Hurdle Rate - not the SPP Service Agreement;
- 3) the Wisconsin TDU's arguments in support of MISO improperly blame the SPP Service Agreement for the results of MISO's voluntary acts; and
- 4) the SPP Service Agreement does not force MISO to inappropriately "subsidize" SPP's expansion, nor is it a "reallocation" of the sunk costs of the SPP Transmission System.

On November 24, 2014, Judge Cintron issued an Order Scheduling Settlement Conference to

Regulatory Status Report

be held on January 29, 2015.

On November 25, 2014, the Organization of MISO States filed an answer in support of MISO's Motion for Expedited Consideration.

On December 11, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to SPP's and the SPP Transmission Owners' answers opposing MISO's November 7, 2014 Motion for Expedited Consideration.

On December 15, 2014, Judge Cintron issued a Settlement Judge Report recommending that settlement procedures remain in place. The next settlement conference is scheduled for January 29, 2015.

ER14-1225

Submission of Rate for Lea County Electric Cooperative, Inc.

On December 5, 2014, FERC issued an order accepting SPP's August 6, 2014 Compliance Filing.

An effective date of April 1, 2014 was granted.

This order constitutes final agency action.

ER14-1653

Submission of Tariff Revisions to Modify SPP Integrated Marketplace

On November 20, 2014, FERC issued an order accepting SPP's September 30, 2014 Compliance Filing, effective March 1, 2014 and May 1, 2014 as requested.

This order constitutes final agency action.

ER14-1736

Midcontinent Independent System Operator, Inc. ("MISO") Filing of Tariff Revisions to Recover Certain Costs Paid by the Transmission Provider

On November 21, 2014, Judge Sterner issued a Status Report recommending that the current settlement proceedings continue.

On November 21, 2014, Judge Sterner issued a Notice of Settlement Conference to be held on January 13, 2015.

ER14-1993

Tariff Revisions to Clarify Methodology for Quantifying Real Power Losses

On November 3, 2014, FERC issued an order accepting the tariff revisions to provide additional clarity to the Tariff with regard to real power loss responsibility of transmission customers.

An effective date of July 19, 2014 was granted.

This order constitutes final agency action.

ER14-2107

Unexecuted Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner

On November 13, 2014, FERC issued an order accepting SPP's September 5, 2014 Compliance Filing.

Regulatory Status Report

This order constitutes final agency action.

ER14-2399

Submission of Tariff Revisions to Attachment AE for the Integrated Marketplace

On October 29, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene Out-of-Time.

On November 25, 2014, SPP submitted an amended tariff filing revising Attachment AE, Section 7.1.1.

An effective date of September 8, 2014 was requested.

ER14-2445

Midcontinent Independent System Operator, Inc. ("MISO") Submission of Tariff Revisions to Modify the Demand Curves Associated with Sub-Regional Power Balance Constraints ("SRPBC")

On October 14, 2014, the Midcontinent Independent System Operator, Inc. submitted its response to the September 12, 2014 Deficiency Letter.

On November 4, 2014, the Mississippi Public Service Commission filed a Protest in response to MISO's October 14, 2014 Deficiency Response.

The MPSC requested that FERC direct MISO to 1) immediately begin reserving transmission service on SPP's system to avoid non-reservation penalties; 2) eliminate the Hurdle Rate; 3) pay SPP's invoices to avoid further interest charges; and 4) maximize energy flows between MISO North and South up to the ORCA 2,000 MW limit as directed by Security Constrained Economic Dispatch to improve efficiency and reduce MISO-wide production costs.

On November 4, 2014, Entergy Services, Inc. filed Comments in support of MISO's October 14, 2014 Deficiency Response.

On November 4, 2014, the Wisconsin TDUs filed a Protest in response to MISO's October 14, 2014 Deficiency Response. The Wisconsin TDUs stated that the Commission should direct MISO to correct its hurdle rate as described in this Protest and in its initial Protest and its Answer.

On November 19, 2014, Arkansas Electric Cooperative Corporation filed an answer in response to the answer filed by the Wisconsin TDUs on November 4, 2014.

On November 19, 2014, the Midcontinent Independent System Operator, Inc. filed an answer in response to protests filed in this proceeding.

MISO stated:

- 1) RTOs do not take transmission service;
- 2) the determination of the Hurdle Rate correctly includes the total dispatch flow; and
- 3) the proposal is just and reasonable with cost-causation principles.

On November 25, 2014, the Wisconsin TDUs filed an answer in response to the answers filed on November 19, 2014 by the Midcontinent Independent System Operator, Inc. and Arkansas Electric Cooperative Corporation.

On December 12, 2014, FERC issued an Order Conditionally Accepting Tariff Revisions, effective July 17, 2014, as requested, subject to a compliance filing.

Regulatory Status Report

The Commission found that MISO's proposed method of calculating the hurdle rate will undermine MISO's objective of allowing flows over 1,000 MW only when production cost savings exceed the potential SPP transmission charges. Accordingly, the Commission will require MISO to modify its calculations for the initial hurdle rate and adjustments to the hurdle rate, and to clarify several aspects of the proposal.

MISO was directed to submit an informational filing on the impact of the hurdle rate twelve months after the acceptance of the compliance filing in this proceeding, and a second informational filing twelve months thereafter that discusses the impact of the hurdle rate on market participants.

ER14-2553

Order No. 681 Compliance Filing to Implement Long-Term Congestion Rights ("LTCRs")

On October 28, 2014, FERC issued an Order Conditionally Accepting Tariff Revisions, Subject to Compliance Filing.

The Commission found that SPP complied with Guidelines 1, 2, 4, 6, and 7 found in Order No. 681.

The Commission directed SPP to include in its compliance filing:

- 1) propose a process for offering LTCRs for transmission upgrades to "any party". In addition, if SPP intends to offer transmission revenue credits under Attachment Z2 as an option in addition to offering LTCRs for participant-funded upgrades, SPP must address in its compliance filing how the provision of Attachment Z2 revenue credits will work alongside the provision of LTCRs for participant-funded upgrades in a way that is just and reasonable and consistent with Order No. 681 (Guideline 3);
- 2) revisions to the proposal to allow load serving entities to nominate candidate LTCRs prior to the performance of a simultaneous feasibility test to determine the availability of the nominated LTCRs (Guideline 5);
- 3) demonstrate that SPP's current transmission planning process provides for the continue feasibility of LTCRs or, alternatively, submit Tariff revisions to include such a provision in SPP's planning process;
- 4) revise the Tariff to include the clarification that the term Network Integration Transmission Service Candidate LTCRs should appear in section 7.1.3(1) instead of Candidate LTCRs, and include parallel language, concerning the transfer of LTCRs to account for wholesale load shifts between transmission customers, in subsections 7.1.3(2), (3), and (4);
- 5) include revisions SPP proposed in its Answer to section 7.2 and 7.2.1.

The proposed revisions were conditionally accepted, to become effective on February 1, 2015, subject to a compliance filing due no later than November 28, 2014.

On November 14, 2014, SPP filed a Motion for Extension of Time to Make Compliance Filing. SPP requested an extension of time until and including January 30, 2015 to submit the compliance filing required by the October 28, 2014 Order.

On November 21, 2014, the TDU Intervenors filed a Request for Rehearing of the October 28, 2014 Order.

The parties stated:

- 1) the Commission should grant rehearing to enable Load Serving Entities to have a meaningful opportunity to secure LTCRs for their baseload needs; and
- 2) the Commission should grant the requested relief promptly, to ensure that it is implemented

Regulatory Status Report

for the initial LTCR allocation.

On November 25, 2014, FERC issued a Notice of Extension of Time until January 30, 2015 for SPP to submit its compliance filing in response to the October 28, 2014 Order.

On November 28, 2014, SPP filed a Request for Rehearing and/or Clarification of the October 28, 2014 Order.

SPP stated:

- 1) the Commission's erroneous conclusion that parties investing in system upgrades must purchase transmission service in order to benefit from SPP's prevailing Attachment Z2 crediting methodology is arbitrary and capricious, is unsupported by substantial evidence, departs from established precedent, and is not the result of reasoned decision making;
- 2) the Commission erred by failing to acknowledge and address the complications and potential harm to SPP's transmission customers that may result if an LTCR is made available for participant-funded upgrades at the expense of Auction Revenue Rights/Transmission Congestion Rights; and
- 3) by directing SPP to re-justify an existing Tariff provision that the Commission previously has accepted as just and reasonable, the Commission has engaged in arbitrary and capricious decision making by failing to explain its departure from precedent that found the existing Tariff provision to be just and reasonable.

On November 28, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Request for Rehearing of the October 28, 2014 Order.

KCPL stated:

- 1) the Commission erred by directing SPP to justify an existing, accepted provision in Attachment Z2 that was not subject to review in these proceedings; and
- 2) the Commission erred by finding that SPP's proposal did not comply with Order No. 681's Guideline 3.

On December 22, 2014, FERC issued an Order Granting Rehearing for Further Consideration of the October 28, 2014 Order.

ER14-2555

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner

On October 15, 2014, FERC issued a letter order accepting the agreement, effective July 1, 2014 as requested.

This order constitutes final agency action.

ER14-2570

Order No. 792 Compliance Filing (Small Generator Interconnection Procedures and Agreement)

On October 8, 2014, SPP submitted an amendment to its Order No. 792 Compliance Filing to correct a reference in Section 14.1 of Attachment V.

ER14-2600

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation

Regulatory Status Report

("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On October 2, 2014, FERC issued a letter order accepting the agreement, effective July 1, 2014 as requested.

This order constitutes final agency action.

ER14-2675

Generator Interconnection Agreement ("GIA") between Waverly Wind Farm, LLC ("Waverly Wind") as Interconnection Customer and Westar Energy, Inc. ("Westar") as Transmission Owner

On October 6, 2014, FERC issued a letter order accepting the agreement, effective July 31, 2014 as requested.

This order constitutes final agency action.

ER14-2684

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Associated Electric Cooperative, Inc. ("AECI") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner

On October 15, 2014, FERC issued a letter order accepting the agreement, effective July 1, 2014 as requested.

This order constitutes final agency action.

ER14-2739

Generator Interconnection Agreement ("GIA") between Pawnee Wind Farm, LLC ("Pawnee Wind") as Interconnection Customer and Omaha Public Power District ("OPPD") as Transmission Owner

On October 21, 2014, FERC issued a letter order accepting the agreement, effective June 12, 2014 as requested.

This order constitutes final agency action.

ER14-2753

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Sunflower Electric Power Corporation ("Sunflower") as Network Customer and Sunflower, Midwest Energy, Inc. ("Midwest"), ITC Great Plains, Inc. ("ITC"), and Mid-Kansas Electric Company, LLC ("Mid-Kansas") as Host Transmission Owners

On October 28, 2014, FERC issued a letter order accepting the agreement, effective August 1, 2014 as requested.

This order constitutes final agency action.

ER14-2770

Generator Interconnection Agreement ("GIA") between Steele Flats Wind Project, LLC ("Steele Flats") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On October 28, 2014, FERC issued a letter order accepting the agreement, effective May 23, 2014 as requested.

Regulatory Status Report

This order constitutes final agency action.

ER14-2849

Generator Interconnection Agreement ("GIA") between Cottonwood II Wind Project, LLC ("Cottonwood") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On November 4, 2014, FERC issued a letter order accepting the agreement, effective September 2, 2014 as requested.

This order constitutes final agency action.

ER14-2850

Submission of Tariff Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")

On October 2, 2014, Nebraska Public Power District filed a Motion to Intervene and Protest.

NPPD stated it supports the inclusion of the IS Parties as new Transmission Owner-Members of SPP, and that its Protest is limited to SPP's failure to provide Western-UGP's Statutory Load Obligation located in SPP's existing zones the same "Federal Service Exemption" available to Statutory Load Obligations located in the new Zone 19 and points external to the SPP system. NPPD also stated it protests SPP's proposal to limit access to the "Co-Supplier" tariff revisions to co-suppliers of preference customers located in the new Zone 19 and to co-suppliers at points external to the SPP system. NPPD further stated that it fully supports the approval of the Federal Service Exemption and Co-Supplier provisions and requests the Commission to make clear such provisions will be made available in NPPD Zone 17.

Numerous parties filed Motions to Intervene.

On October 8, 2014, Heartland Consumers Power District filed a Motion to Intervene and Comments in support of SPP's September 11, 2014 Filing.

On October 9, 2014, Western Area Power Administration filed a Motion to Intervene and Comments in Support of SPP's September 11, 2014 Filing.

On October 9, 2014, Montana Consumer Counsel filed a Motion to Intervene and Protest. MCC requested that the Commission require SPP and the IS Parties to analyze, quantify and demonstrate remediation of any adverse impact of their proposed migration from the Midcontinent Independent System Operator, Inc. to SPP on wholesale and transmission costs affecting the retail costs of Montana retail electric customers.

On October 9, 2014, Montana-Dakota Utilities Co. filed a Motion to Intervene and Comments. MDU requested that the Commission confirm that Western UGP's and Basin Electric's use of MDU's transmission system would allow MDU to receive credits under Section 30.9 of SPP's Tariff, without the imposition of any new requirements or conditions not supported by the existing SPP Tariff or which are otherwise intended to compel MDU to effectively become a transmission owning member of SPP for all or part of its system.

On October 9, 2014, Basin Electric Power Cooperative filed a Motion to Intervene and Comments in support of SPP's September 11, 2014 Filing.

Regulatory Status Report

On October 9, 2014, Otter Tail Power Company filed a Motion to Intervene and Comments.

Otter Tail requested that the Commission condition its approval of SPP's proposed tariff revisions on a requirement that SPP will: (i) not assess Otter Tail any new charges for serving Otter Tail's load within the expanded SPP footprint as it concerns the Integrated Transmission System jointly developed and owned by Otter Tail and Central Power Electric Cooperative; and (ii) provide for service to Otter Tail's native load customers within the Western Balancing Authority without exposure to discriminatory SPP pricing.

On October 9, 2014, Missouri River Energy Services filed a Motion for Leave to Intervene, Provisional Request for Technical Conference, and Protest.

MRES stated:

- 1) the proposal will significantly disrupt long standing transmission and power supply arrangements and require appropriate accommodations;
- 2) grandfathered agreement 496 should be converted from an exempt to a carved-out transaction;
- 3) the Co-Supply arrangement must be amended to accommodate the possibility of two Co-Suppliers at a delivery point;
- 4) the IS Parties' integration into SPP will cause differential rate impacts on IS customers and will cause a timing dilemma for potential requests for SPP Network Integration Transmission Service ("NITS");
- 5) the Upper Missouri Zone requires additional detail;
- 6) the IS integration perpetuates pancaked rates for MRES and its members;
- 7) MRES must be permitted to use its maximum SPP NITS load for purposes of meeting its Midcontinent Independent System Operator, Inc.'s must offer requirement if MRES retains MISO load as part of its SPP NITS; and
- 8) the Commission should affirmatively condition any approval of SPP's revisions to its Governing Documents on the changes proposed herein.

On October 9, 2014, the Public Utility Commission of Texas filed Comments.

The PUCT stated that SPP has not provided sufficient evidence to support its claim that the proposal to facilitate the membership of the IS is supported by all stakeholders or that the proposal is in the public interest. The PUCT requested that the Commission order SPP to revise the tariff language so that the membership of the IS does not result in inequitable impacts to existing members of SPP and ratepayers within the SPP footprint, including Texas. Should the Commission approve the proposed filings, the PUCT recommended that such action be taken with particular note that the process used for integrating the IS and the changes to SPP's governing documents, including the tariffs, are specific to these facts and should not be viewed as precedential for future membership proposals.

On October 9, 2014, the North Dakota Public Service Commission filed a Notice of Intervene and Comments. NDPSC stated that it supports the comments filed by Montana-Dakota Utilities Co. and Otter Tail Power Company because of the significant impact the planned integration could have on the utility customers of MDU and Otter Tail located in North Dakota and whose retail rates are under the jurisdiction of the NDPSC.

On October 9, 2014, the Municipal Energy Agency of Nebraska filed a Motion to Intervene and Comments.

MEAN stated it supports the integration of the IS into SPP, but seeks certain clarifications

Regulatory Status Report

and minor modifications with respect to SPP's filing:

- 1) use of the Federal Service Exemption Transfer Point by preference customers within SPP but outside of the Upper Missouri Zone should be clarified;
- 2) SPP must similarly facilitate arrangements for preference customers located outside of SPP;
- 3) MEAN's deliveries to Rockford, Iowa should be accommodated; and
- 4) SPP should be required to clarify when it will start processing transmission service requests that would utilize the IS facilities.

On October 9, 2014, the State Corporation Commission of the State of Kansas filed a Motion to Intervene and to Consolidate Proceedings and Protest.

The KCC stated that the proposed Tariff changes are unjust, unreasonable, and unduly discriminatory, in part, because SPP has not demonstrated that these Tariff changes will result in just and reasonable rates, particularly for Kansas ratepayers for the following reasons:

- 1) SPP has not submitted sufficient worksheets and supporting explanations to justify that the proposed Tariff language and cost allocation methodologies in the Filings are just and reasonable;
- 2) the Filings propose unduly discriminatory Tariff provisions which would result in unjust, unreasonably, and unduly discriminatory transmission rates for the IS Members, as compared with all other SPP members;
- 3) the Filings propose unduly discriminatory Federal Service Exemption Tariff provisions for Western-UGP which would unjustly and unreasonably: (a) exempt Western-UGP from cost allocation for third party transmission facilities; and (b) grant Western-UGP unjust, unreasonable, and unduly preferential rights to use the entire SPP transmission system; and (c) establish Western-UGP as an inequitable "free rider"; and
- 4) the proposed Tariff changes would establish inequitable precedent that entities desiring to join an RTO can negotiate "sweetheart deals" with an RTO in exchange for reducing the RTO's administrative rates.

On October 9, 2014, South Dakota Public Utilities Commission filed a Motion to Intervene and Comments.

The SDPUC stated that it supports Montana-Dakota Utilities Co.'s proposal that Western-UGP's and Basin Electric's use of MDU's transmission system should be appropriately accounted for either through credits for Network Customer owned transmission facilities pursuant to Section 30.9 of SPP's Tariff or some similar crediting mechanism or exemption/exception.

The SDPUC stated it also supports the comments of Otter Tail Power Company requesting protections to Otter Tail's customers from the assessment charges under SPP's Tariff.

On October 9, 2014, Midcontinent Independent System Operator, Inc. filed a Motion to Intervene and Limited Protest.

MISO stated:

- 1) the proposed regional cost allocation for Basin Electric's projects is unjust and unreasonable because it is inconsistent with SPP's cost allocation methodology;
- 2) the proposed inclusion of Basin Electric's Schedule 2 projects in regional cost allocation is contrary to the Commission's cost causation precedent; and
- 3) to the extent the Commission decides to accept SPP's proposal, it should clarify such acceptance would not prejudice the issue of whether any of Basin Electric's upgrade costs can

Regulatory Status Report

be passed through to MISO under the SPP Service Agreement.

On October 22, 2014, Entergy Services, Inc. filed a Motion to File Limited Protest Out of Time and Limited Protest.

Entergy stated it fully supports and adopts the arguments raised in the Protest filed by the Midcontinent Independent System Operator, Inc.

Entergy also stated it protests the proposed use of a flexible new type of Network Integration Transmission Service to allow Basin Electric and Heartland to serve Western-UGP's load. Entergy stated that SPP does not offer to MISO, on comparable terms and conditions, the flexibility proposed for Basin Electric and Heartland to designate and undesignate loads on a daily basis and thereby minimize MISO's transmission charges.

On October 24, 2014, SPP filed an answer in response to comments and protests filed in this proceeding.

SPP stated:

- 1) criticisms of SPP or the stakeholder process in the development of the proposal are unfounded and not based on factual evidence;
- 2) the Commission should reject Midcontinent Independent System Operator, Inc.'s ("MISO") and Entergy Services, Inc.'s ("Entergy") attempts to inject issues that are beyond the scope of this proceeding;
- 3) SPP's proposed treatment of certain Basin Electric transmission projects is consistent with SPP's current and past practices and is just and reasonable;
- 4) contrary to MISO and Entergy, SPP's proposed clarification of the Basin Upgrades as Base Plan Upgrades is consistent with the Commission's cost causation precedent;
- 5) non-SPP Market Participants are not entitled to be held harmless or to a seams mitigation procedure;
- 6) the Co-Supply arrangement is narrowly construed to preserve the status quo within the IS Parties' footprint;
- 7) the Federal Service Exemption is constructed to allow Western-UGP to meet its Statutory Load Obligations within the RTO construct and design of the Integrated Marketplace;
- 8) rate pancaking is outside the scope of this proceeding;
- 9) Missouri River Energy Services' request for carve out status for grandfathered agreement #496 should be rejected as already settled in a previous docket;
- 10) the North and South Dakota Commission's comments will be resolved by future action on Montana-Dakota Utilities Co.'s requests;
- 11) concerns about details of IS Parties' transmission service or speculative issues need not be addressed in the September 11 Filing.

SPP also addressed Montana-Dakota Utilities Co.'s concerns regarding receipt of SPP Network Integration Transmission Service, SPP Membership and Section 30.9 credits.

On October 24, 2014, Nebraska Public Power District filed an answer in response to the protests filed by the Kansas Corporation Commission and Missouri River Energy Services.

NPPD stated:

- 1) the Federal Service Exemption is not unduly discriminatory but should be extended to all SPP Zones; and
- 2) the Co-Supplier Tariff provision should be extended to all SPP Zones.

Regulatory Status Report

On October 24, 2014, Heartland Consumers Power District filed an answer in response to comments and protests.

Heartland stated it has reviewed all the comments and protests submitted and continues to maintain that all SPP's proposed revisions to the Tariff and Governing Documents are just and reasonable. Heartland requested that the Commission accept SPP's filings to allow the IS Parties sufficient time to transition into SPP.

Heartland also stated its full support for the arguments and requests for relief in SPP's Answer and urges the Commission to adopt them.

On October 24, 2014, Basin Electric Power Cooperative filed an answer in response to comments and protests.

Basin Electric stated:

- 1) the Commission should accept SPP's answer and accept SPP's filings as initially submitted;
- 2) the Commission should dismiss the issues raised in Otter Tail Power Company's Comments; and
- 3) Montana-Dakota's concerns relating to the interconnection and common use agreement are valid.

On October 24, 2014, Western Area Power Administration filed an answer in response to comments and protests.

Western stated it supports SPP's Answer.

Western addressed several issues that were protested which are critical to enabling Western-UGP to join SPP. Namely, Western-UGP's authority, the Federal Service Exemption, Schedule 11 Region-wide charges, Congestion and Loss charges, Co-Supply, Operating withing the Upper Missouri Zone, Comparable Benefit, and the SPP Stakeholder Process.

On October 29, 2014, Minnesota Public Utilities Commission filed a Motion to File Comments Out of Time and Comments in support of Otter Tail Power Company's comments filed on October 9, 2014.

On November 4, 2014, the Organization of MISO States filed Comments and Motion for Intervention Out-of-Time.

The OMS stated that it supports the request by the Midcontinent Independent System Operator, Inc. asking that the Commission confirm that its acceptance of the proposed integration terms in the instant dockets will not prejudice the decision whether MISO should be subject to Schedule 11 costs from SPP under these or any other circumstances.

On November 4, 2014, Montana-Dakota Utilities Co. filed an answer in response to the answers filed by SPP, Western-UGP, and Basin Electric on October 24, 2014.

MDU requested that the Commission 1) confirm its eligibility for Section 30.9 credits for qualifying facilities under the SPP Tariff consistent with the discussion set forth in its October 9 Comments; and 2) request that SPP provide periodic updates regarding the resolution of issues related to Section 30.9 credits.

Regulatory Status Report

On November 5, 2014, Missouri River Energy Services filed an answer in response to SPP's October 24, 2014 Answer.

MRES stated:

- 1) SPP fails to acknowledge changed circumstances that require carved-out treatment for GFA # 496;
- 2) given confirmation from SPP that MRES will be permitted to reduce the amount of SPP Network Integration Transmission Service ("NITS") before the integration date, MRES will withdraw the request for a 2016 date to reduce its SPP NITS amount after evaluating the Upper Missouri Zone Annual Transmission Revenue Requirement; and
- 3) SPP must clarify the Co-Supply arrangement does not obligate Co-Suppliers to purchase SPP NITS for Co-Supplied load off of the SPP network for MRES member distribution loads in the Midcontinent Independent System Operator, Inc.

On November 7, 2014, Otter Tail Power Company filed an answer in response to the answers filed by SPP, Basin Electric and Western-UGP.

Otter Tail stated:

- 1) the claims made in the answers need to be supported by clear Tariff language or agreements;
- 2) the answers rely on incorrect assumptions about the composition and operation of the Integrated Transmission System ("ITS") belonging to Otter Tail and Central Power Electric Cooperative, Inc.; and
- 3) the risks to Otter Tail's customers arise from the integrated nature of the ITS, not from contractual arrangements.

On November 7, 2014, Western Farmers Electric Cooperative filed an answer in response to SPP's September 11, 2014 Filing and October 24, 2014 Answer.

WFEC stated that it supports Nebraska Public Power District's Protest to extend the applicability of the proposed Federal Service Exemption and Co-Supply Arrangement to all preference customers located in SPP's existing zones.

On November 10, 2014, Municipal Energy Agency of Nebraska filed an answer in response to SPP's October 24, 2014 Answer.

MEAN stated:

- 1) the Commission should require SPP to confirm that a) MEAN will be able to utilize the Federal Service Exemption ("FSE") Transfer Point as the source settlement location for purposes of Auction Revenue Rights/Transmission Congestion Rights with respect to deliveries of Western-UGP preference energy, and will not be exposed to additional congestion risk by being required to use individual resource settlement locations within the Upper Missouri Zone; b) the FSE Transfer Point will consist of the Western-UGP hydro generation that produces the preference energy, and only those generating resources; and c) the FSE Transfer Point will be electrically equivalent to the existing WAUE interface point; and
- 2) the Commission should require SPP to work with MEAN to resolve the other matters raised in MEAN's comments.

On November 10, 2014, the Kansas Corporation Commission filed an answer in response to the October 24, 2014 Answers filed by SPP and Western-UGP.

The KCC stated:

Regulatory Status Report

- 1) the proposed discriminatory provisions would establish dangerous precedent;
- 2) the Answers attempt to obfuscate the extent of discrimination;
- 3) SPP attempts to conceal the extent of discrimination by obfuscating the true issues in the filings;
- 4) labeling a subsidy as a "sunk cost" does not change the fact that the Federal Service Exemption and the exemption of costs for highway transmission facilities that have been constructed prior to October 1, 2015 will result in undue discrimination against SPP's existing Members; and
- 5) SPP unsuccessfully attempts to justify the filings' proposed discrimination by addressing cost recovery for existing transmission facilities.

On November 10, 2014, FERC issued an order conditionally accepting in part, rejecting in part, and accepting and suspending in part for a nominal period, to become effective as requested, subject to refund, SPP's proposed revisions to the Tariff, Bylaws and Membership Agreement, and establishing hearing and settlement judge procedures. Docket Nos. ER14-2850 and ER14-2851 were consolidated for purposes of settlement, hearing and decision.

An effective date of November 10, 2014, subject to refund, was granted for the changes to the Bylaws and Membership Agreement. An effective date of October 1, 2015, subject to refund, was granted for the changes to the Tariff.

The Commission accepted the proposed Federal Service Exemption for the delivery of energy from Western-UGP resources to its Statutory Load Obligations.

The Commission found that the proposed Co-Supply Arrangement is just and reasonable.

The Commission accepted SPP's base plan upgrade and regional cost sharing proposal and found it to be just, reasonable, and not unduly discriminatory.

The Commission rejected SPP's proposal to revise Schedule 12 of the Tariff to specify that SPP will not assess Schedule 12 charges to transmission service provided to Western-UGP for its Statutory Load Obligations. Because all of the transmission service provided to Western-UGP under the Tariff is reflected in 18 C.F.R. § 382.201(a), when Western-UGP is billed directly by FERC for power sales under 18 C.F.R. § 382.201(d), it is possible that a double assessment of FERC costs may occur for these transactions. The Commission stated that Western-UGP could request a waiver of 18 C.F.R. § 382.201(d), instead of 18 C.F.R. § 382.201(a), for any Western-UGP transactions over SPP's system.

The Commission found that certain seams issues raised by Missouri River Energy Services, Montana-Dakota Utilities Co., Montana Consumer Counsel, Municipal Energy Agency of Nebraska, Otter Tail Power Company, and the North Dakota and South Dakota Commissions cannot be resolved based on the record before the Commission and are more appropriately addressed through hearing and settlement judge procedures. The Commission found that the perpetuation of pancaked transmission rates between the IS System and the Midcontinent Independent System Operator, Inc., and more generally between SPP and MISO, are beyond the scope of this proceeding. The Commission also stated it will not include in the hearing and settlement judge procedures the issues raised by Otter Tail and MEAN concerning certain facilities since those facilities have not yet transferred to SPP.

The Commission conditionally accepted SPP's proposed revisions to the Generator Interconnection Procedures in Attachment V of the Tariff, subject to a compliance filing due in 30 days. SPP is to correct the incorrect reference to the Federal Tort Claims Act within

Regulatory Status Report

Article 18.1 in both the Western-UPG Generator Interconnection Agreement ("GIA") and Interim GIA. In addition, Article 11.8.3 in the Western-UGP GIA and Interim GIA specifies that, if an advance payment exceeds actual costs, the transmission provider will provide refund without interest. Similarly, Section 8.6.1 of Attachment V specifies that if the costs incurred for an environmental review study are less than the estimated costs, Western-UGP will refund the difference, without interest, to the Interconnection Customer. SPP is to include in its compliance filing 1) confirmation that the lack of interest payment is due to Federal limitations associated with the Antideficiency Act and modify Article 12.4 in the Western-UGP GIA and Interim GIA to specify that Western-UGP will not pay interest on funds associated with billing disputes; or 2) a revision to Article 11.8.3 in the Western-UGP GIA and Interim GIA, as well as Section 8.6.1 of Attachment V, to provide for accrued interest, consistent with Article 12.4 in the Western-UGP GIA and Interim GIA. The acceptance of the revisions to Attachment V were further conditioned on SPP providing more information on the merger of Western-UGP's interconnection study queue with SPP's interconnection queue in SPP's compliance filing.

The Commission directed SPP to provide more information on the merger of the IS Parties and SPP long-term transmission service study and generator interconnection study queues in the compliance filing due in 30 days. SPP is to provide 1) information detailing the transition process for both study queues; 2) a timeline for the transitions, including estimated dates for completion of various studies; and 3) Tariff revisions to implement the transition processes, as needed.

The Commission accepted the revisions to the Bylaws and Membership Agreement that included revisions to the Members Committee, Corporate Governance Committee, and withdrawal obligations. However, the Commission directed SPP to incorporate the Membership Agreement Amendments for Western-UGP, Basin Electric and Heartland as part of the Membership Agreement in SPP's compliance filing.

SPP's compliance filing is due on December 10, 2014.

On November 19, 2014, Judge Wagner issued an order designating Deputy Chief Judge Bobbie J. McCartney as the settlement judge and scheduling a settlement conference for December 2, 2014.

A settlement conference was held on December 2, 2014.

On December 3, 2014, SPP filed an Unopposed Motion for Adoption of Protective Order, Request for Waiver of Answer Period, and Request for Expedited Consideration.

On December 4, 2014, Judge Wagner issued an Order of Chief Judge Adopting Protective Order and Waiving Answer Period.

On December 8, 2014, Judge McCartney filed a Status Report recommending that the settlement judge procedures continue. The next settlement conference is scheduled for January 20, 2015.

On December 8, 2014, Judge McCartney issued a Notice Scheduling Settlement Conference to be held on January 20, 2015.

On December 10, 2014, the Kansas Corporation Commission filed a Request for Rehearing of the November 10, 2014 Order.

The KCC stated:

Regulatory Status Report

- 1) the November 10 Order is supported by neither substantial evidence nor reasoned decision-making insofar as it concludes that SPP's regional cost sharing proposal is just, reasonable, and not unduly discriminatory; and
- 2) the Federal Service Exemption approved by the November 10 Order is unjust and unreasonable and should, at a minimum, have been set for an evidentiary hearing.

On December 10, 2014, SPP filed a Request for Clarification and Rehearing of the November 10, 2014 Order.

SPP requested clarification on the following:

- 1) the issues set for hearing and settlement are the specific seams issues discussed in the November 10 Order;
- 2) SPP's application of unrevised Tariff Section 30.9 is not included in the scope of issues set for settlement and/or hearing; and
- 3) the September 11 Filing requested two additional seats for investor-owned utilities to be added to the Members Committee.

If the Commission does not grant rehearing, in the alternative, SPP requested rehearing on the following issues:

- 1) protestors' concerns regarding Section 30.9 transmission facilities credits are premature and outside the scope of this proceeding; and
- 2) SPP requested two additional seats on the Members Committee, not one as stated by the Commission.

In its request for rehearing, SPP stated that hold harmless provisions and seams mitigation for non-SPP market participants should have been specifically excluded from the scope of issues set for hearing and settlement.

SPP requested expedited consideration of the request for clarification and rehearing to help the parties narrow the scope of their ongoing settlement negotiations and achieve a timely settlement.

On December 10, 2014, the Midcontinent Independent System Operator, Inc. filed a Request for Rehearing of the November 10, 2014 Order.

MISO stated:

- 1) permitting SPP to include Basin Electric's Schedule 2 Projects in regional cost allocation violates the Commission's cost causation precedent, is unduly discriminatory and is not supported by substantial evidence;
- 2) proposed regional cost allocation for Basin Electric's Projects is unjust and unreasonable because it is inconsistent with SPP's cost allocation methodology; and
- 3) the Commission erred by not setting for evidentiary hearing the issue of inclusion in SPP's regional cost allocation of Basin Electric's Schedule 2 Projects.

On December 10, 2014, Otter Tail Power Company filed a Motion for Clarification and Request for Rehearing of the November 10, 2014 Order.

Otter Tail stated:

- 1) the Commission should clarify that Otter Tail's concerns that are not exclusive to Central Power Electric Cooperative membership are not excluded from the hearing and settlement judge procedures;
- 2) the Commission should clarify that elimination of "rate pancaking" does not preclude

Regulatory Status Report

demonstrations of lack of just and reasonable rates that arise from new seams;
3) the Commission erred by accepting SPP's proposed Network Upgrades without giving due consideration or responding in a meaningful way to the facts and circumstances presented; and
4) the Commission erred by dismissing concerns over duplicative rates that will be a direct result of the integration proposed in this proceeding.

On December 10, 2014, SPP submitted its compliance filing in response to the November 10, 2014 Order.

Effective dates of October 1, 2015 and November 10, 2014 were requested.

On December 16, 2014, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On December 22, 2014, Montana-Dakota Utilities Co. filed an answer in response to SPP's Request for Clarification and Rehearing filed on December 10, 2014.

MDU stated:

- 1) SPP's application of its Tariff to address seams issues was appropriately set for Settlement and Hearing Procedures; and
- 2) the seams issues raised by MDU and set for Settlement and Hearing are broader than the Tariff Section 30.9 credit issue.

On December 24, 2014, Western Area Power Administration filed an answer in response to the Kansas Corporation Commission's Request for Rehearing filed on December 10, 2014.

Western stated that the KCC's argument on rehearing refuses to acknowledge and accept the Congressional mandate paving the way for Western-UGP to join an RTO and the requirements to join consistent with statutory authorities, obligations and limitations, and existing contracts; and that the Federal Service Exemption is narrowly defined.

On December 29, 2014, SPP filed an answer in response to the requests for rehearing filed on December 10, 2014 by the Kansas Corporation Commission, the Midcontinent Independent System Operator, Inc., and Otter Tail Power Company.

SPP stated:

- 1) the issues identified by MISO and Otter Tail are under consideration in separate, ongoing proceedings and are not appropriately addressed here;
- 2) the Commission correctly concluded that SPP's proposed cost allocation and "need-by-date" approach are just and reasonable;
- 3) the Commission should reject Otter Tail's request for rehearing regarding the payment of transmission service to separate RTOs; and
- 4) contrary to the KCC's rehearing request, the Commission's approval of the Federal Service Exemption was appropriate under relevant law and precedent.

On December 31, 2014, Basin Electric Power Cooperative filed an answer in response to the requests for rehearing filed by the Midcontinent Independent System Operator, Inc. and Otter Tail Power Company on December 10, 2014.

Basin Electric stated:

- 1) the November 10 Order is based on substantial evidence; and
- 2) the Commission determined that SPP's proposal is consistent with Commission cost

Regulatory Status Report

causation precedent.

ER14-2851

Submission of Bylaws and Membership Agreement ("Governing Documents") Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")

Numerous parties filed Motions to Intervene.

On October 2, 2014, Nebraska Public Power District filed a Motion to Intervene and Protest.

NPPD stated it supports the inclusion of the IS Parties as new Transmission Owner-Members of SPP, and that its Protest is limited to SPP's failure to provide Western-UGP's Statutory Load Obligation located in SPP's existing zones the same "Federal Service Exemption" available to Statutory Load Obligations located in the new Zone 19 and points external to the SPP system. NPPD also stated it protests SPP's proposal to limit access to the "Co-Supplier" tariff revisions to co-suppliers of preference customers located in the new Zone 19 and to co-suppliers at points external to the SPP system. NPPD further stated that it fully supports the approval of the Federal Service Exemption and Co-Supplier provisions and requests the Commission to make clear such provisions will be made available in NPPD Zone 17.

On October 8, 2014, Heartland Consumers Power District filed a Motion to Intervene and Comments in support of SPP's September 11, 2014 Filing.

On October 9, 2014, Montana-Dakota Utilities Co. filed a Motion to Intervene and Comments. MDU requested that the Commission confirm that Western UGP's and Basin Electric's use of MDU's transmission system would allow MDU to receive credits under Section 30.9 of SPP's Tariff, without the imposition of any new requirements or conditions not supported by the existing SPP Tariff or which are otherwise intended to compel MDU to effectively become a transmission owning member of SPP for all or part of its system.

On October 9, 2014, Montana Consumer Counsel filed a Motion to Intervene and Protest. MCC requested that the Commission require SPP and the IS Parties to analyze, quantify and demonstrate remediation of any adverse impact of their proposed migration from the Midcontinent Independent System Operator, Inc. to SPP on wholesale and transmission costs affecting the retail costs of Montana retail electric customers.

On October 9, 2014, Western Area Power Administration filed a Motion to Intervene and Comments in Support of SPP's September 11, 2014 Filing.

On October 9, 2014, South Dakota Public Utilities Commission filed a Motion to Intervene and Comments.

The SDPUC stated that it supports Montana-Dakota Utilities Co.'s proposal that Western-UGP's and Basin Electric's use of MDU's transmission system should be appropriately accounted for either through credits for Network Customer owned transmission facilities pursuant to Section 30.9 of SPP's Tariff or some similar crediting mechanism or exemption/exception.

The SDPUC stated it also supports the comments of Otter Tail Power Company requesting

Regulatory Status Report

protections to Otter Tail's customers from the assessment charges under SPP's Tariff.

On October 9, 2014, the State Corporation Commission of the State of Kansas filed a Motion to Intervene and to Consolidate Proceedings and Protest.

The KCC stated that the proposed Tariff changes are unjust, unreasonable, and unduly discriminatory, in part, because SPP has not demonstrated that these Tariff changes will result in just and reasonable rates, particularly for Kansas ratepayers for the following reasons:

- 1) SPP has not submitted sufficient worksheets and supporting explanations to justify that the proposed Tariff language and cost allocation methodologies in the Filings are just and reasonable;
- 2) the Filings propose unduly discriminatory Tariff provisions which would result in unjust, unreasonably, and unduly discriminatory transmission rates for the IS Members, as compared with all other SPP members;
- 3) the Filings propose unduly discriminatory Federal Service Exemption Tariff provisions for Western-UGP which would unjustly and unreasonably: (a) exempt Western-UGP from cost allocation for third party transmission facilities; and (b) grant Western-UGP unjust, unreasonable, and unduly preferential rights to use the entire SPP transmission system; and (c) establish Western-UGP as an inequitable "free rider"; and
- 4) the proposed Tariff changes would establish inequitable precedent that entities desiring to join an RTO can negotiate "sweetheart deals" with an RTO in exchange for reducing the RTO's administrative rates.

On October 9, 2014, the Municipal Energy Agency of Nebraska filed a Motion to Intervene and Comments.

MEAN stated it supports the integration of the IS into SPP, but seeks certain clarifications and minor modifications with respect to SPP's filing:

- 1) use of the Federal Service Exemption Transfer Point by preference customers within SPP but outside of the Upper Missouri Zone should be clarified;
- 2) SPP must similarly facilitate arrangements for preference customers located outside of SPP;
- 3) MEAN's deliveries to Rockford, Iowa should be accommodated; and
- 4) SPP should be required to clarify when it will start processing transmission service requests that would utilize the IS facilities.

On October 9, 2014, the North Dakota Public Service Commission filed a Notice of Intervene and Comments. NDPSC stated that it supports the comments filed by Montana-Dakota Utilities Co. and Otter Tail Power Company because of the significant impact the planned integration could have on the utility customers of MDU and Otter Tail located in North Dakota and whose retail rates are under the jurisdiction of the NDPSC.

On October 9, 2014, the Public Utility Commission of Texas filed Comments.

The PUCT stated that SPP has not provided sufficient evidence to support its claim that the proposal to facilitate the membership of the IS is supported by all stakeholders or that the proposal is in the public interest. The PUCT requested that the Commission order SPP to revise the tariff language so that the membership of the IS does not result in inequitable impacts to existing members of SPP and ratepayers within the SPP footprint, including Texas. Should the Commission approve the proposed filings, the PUCT recommended that such action be taken with particular note that the process used for integrating the IS and the changes to SPP's governing documents, including the tariffs, are specific to these facts and

Regulatory Status Report

should not be viewed as precedential for future membership proposals.

On October 9, 2014, Missouri River Energy Services filed a Motion for Leave to Intervene, Provisional Request for Technical Conference, and Protest.

MRES stated:

- 1) the proposal will significantly disrupt long standing transmission and power supply arrangements and require appropriate accommodations;
- 2) grandfathered agreement 496 should be converted from an exempt to a carved-out transaction;
- 3) the Co-Supply arrangement must be amended to accommodate the possibility of two Co-Suppliers at a delivery point;
- 4) the IS Parties' integration into SPP will cause differential rate impacts on IS customers and will cause a timing dilemma for potential requests for SPP Network Integration Transmission Service ("NITS");
- 5) the Upper Missouri Zone requires additional detail;
- 6) the IS integration perpetuates pancaked rates for MRES and its members;
- 7) MRES must be permitted to use its maximum SPP NITS load for purposes of meeting its Midcontinent Independent System Operator, Inc.'s must offer requirement if MRES retains MISO load as part of its SPP NITS; and
- 8) the Commission should affirmatively condition any approval of SPP's revisions to its Governing Documents on the changes proposed herein.

On October 9, 2014, Otter Tail Power Company filed a Motion to Intervene and Comments.

Otter Tail requested that the Commission condition its approval of SPP's proposed tariff revisions on a requirement that SPP will: (i) not assess Otter Tail any new charges for serving Otter Tail's load within the expanded SPP footprint as it concerns the Integrated Transmission System jointly developed and owned by Otter Tail and Central Power Electric Cooperative; and (ii) provide for service to Otter Tail's native load customers within the Western Balancing Authority without exposure to discriminatory SPP pricing.

On October 9, 2014, Basin Electric Power Cooperative filed a Motion to Intervene and Comments in support of SPP's September 11, 2014 Filing.

On October 9, 2014, Midcontinent Independent System Operator, Inc. filed a Motion to Intervene and Limited Protest.

MISO stated:

- 1) the proposed regional cost allocation for Basin Electric's projects is unjust and unreasonable because it is inconsistent with SPP's cost allocation methodology;
- 2) the proposed inclusion of Basin Electric's Schedule 2 projects in regional cost allocation is contrary to the Commission's cost causation precedent; and
- 3) to the extent the Commission decides to accept SPP's proposal, it should clarify such acceptance would not prejudice the issue of whether any of Basin Electric's upgrade costs can be passed through to MISO under the SPP Service Agreement.

On October 22, 2014, Entergy Services, Inc. filed a Motion to File Limited Protest Out of Time and Limited Protest.

Entergy stated it fully supports and adopts the arguments raised in the Protest filed by the Midcontinent Independent System Operator, Inc.

Regulatory Status Report

Entergy also stated it protests the proposed use of a flexible new type of Network Integration Transmission Service to allow Basin Electric and Heartland to serve Western-UGP's load. Entergy stated that SPP does not offer to MISO, on comparable terms and conditions, the flexibility proposed for Basin Electric and Heartland to designate and undesignate loads on a daily basis and thereby minimize MISO's transmission charges.

On October 24, 2014, Western Area Power Administration filed an answer in response to comments and protests.

Western stated it supports SPP's Answer.

Western addressed several issues that were protested which are critical to enabling Western-UGP to join SPP. Namely, Western-UGP's authority, the Federal Service Exemption, Schedule 11 Region-wide charges, Congestion and Loss charges, Co-Supply, Operating withing the Upper Missouri Zone, Comparable Benefit, and the SPP Stakeholder Process.

On October 24, 2014, Basin Electric Power Cooperative filed an answer in response to comments and protests.

Basin Electric stated:

- 1) the Commission should accept SPP's answer and accept SPP's filings as initially submitted;
- 2) the Commission should dismiss the issues raised in Otter Tail Power Company's Comments; and
- 3) Montana-Dakota's concerns relating to the interconnection and common use agreement are valid.

On October 24, 2014, Heartland Consumers Power District filed an answer in response to comments and protests.

Heartland stated it has reviewed all the comments and protests submitted and continues to maintain that all SPP's proposed revisions to the Tariff and Governing Documents are just and reasonable. Heartland requested that the Commission accept SPP's filings to allow the IS Parties sufficient time to transition into SPP.

Heartland also stated its full support for the arguments and requests for relief in SPP's Answer and urges the Commission to adopt them.

On October 24, 2014, SPP filed an answer in response to comments and protests filed in this proceeding.

SPP stated:

- 1) criticisms of SPP or the stakeholder process in the development of the proposal are unfounded and not based on factual evidence;
- 2) the Commission should reject Midcontinent Independent System Operator, Inc.'s ("MISO") and Entergy Services, Inc.'s ("Entergy") attempts to inject issues that are beyond the scope of this proceeding;
- 3) SPP's proposed treatment of certain Basin Electric transmission projects is consistent with SPP's current and past practices and is just and reasonable;
- 4) contrary to MISO and Entergy, SPP's proposed clarification of the Basin Upgrades as Base

Regulatory Status Report

Plan Upgrades is consistent with the Commission's cost causation precedent;
5) non-SPP Market Participants are not entitled to be held harmless or to a seams mitigation procedure;
6) the Co-Supply arrangement is narrowly construed to preserve the status quo within the IS Parties' footprint;
7) the Federal Service Exemption is constructed to allow Western-UGP to meet its Statutory Load Obligations within the RTO construct and design of the Integrated Marketplace;
8) rate pancaking is outside the scope of this proceeding;
9) Missouri River Energy Services' request for carve out status for grandfathered agreement #496 should be rejected as already settled in a previous docket;
10) the North and South Dakota Commission's comments will be resolved by future action on Montana-Dakota Utilities Co.'s requests;
11) concerns about details of IS Parties' transmission service or speculative issues need not be addressed in the September 11 Filing.

SPP also addressed Montana-Dakota Utilities Co.'s concerns regarding receipt of SPP Network Integration Transmission Service, SPP Membership and Section 30.9 credits.

On October 24, 2014, Nebraska Public Power District filed an answer in response to the protests filed by the Kansas Corporation Commission and Missouri River Energy Services.

NPPD stated:

- 1) the Federal Service Exemption is not unduly discriminatory but should be extended to all SPP Zones; and
- 2) the Co-Supplier Tariff provision should be extended to all SPP Zones.

On October 29, 2014, Minnesota Public Utilities Commission filed a Motion to File Comments Out of Time and Comments in support of Otter Tail Power Company's comments filed on October 9, 2014.

On November 4, 2014, Montana-Dakota Utilities Co. filed an answer in response to the answers filed by SPP, Western-UGP, and Basin Electric on October 24, 2014.

MDU requested that the Commission 1) confirm its eligibility for Section 30.9 credits for qualifying facilities under the SPP Tariff consistent with the discussion set forth in its October 9 Comments; and 2) request that SPP provide periodic updates regarding the resolution of issues related to Section 30.9 credits.

On November 4, 2014, the Organization of MISO States filed Comments and Motion for Intervention Out-of-Time.

The OMS stated that it supports the request by the Midcontinent Independent System Operator, Inc. asking that the Commission confirm that its acceptance of the proposed integration terms in the instant dockets will not prejudice the decision whether MISO should be subject to Schedule 11 costs from SPP under these or any other circumstances.

On November 5, 2014, Missouri River Energy Services filed an answer in response to SPP's October 24, 2014 Answer.

MRES stated:

- 1) SPP fails to acknowledge changed circumstances that require carved-out treatment for GFA # 496;

Regulatory Status Report

- 2) given confirmation from SPP that MRES will be permitted to reduce the amount of SPP Network Integration Transmission Service ("NITS") before the integration date, MRES will withdraw the request for a 2016 date to reduce its SPP NITS amount after evaluating the Upper Missouri Zone Annual Transmission Revenue Requirement; and
- 3) SPP must clarify the Co-Supply arrangement does not obligate Co-Suppliers to purchase SPP NITS for Co-Supplied load off of the SPP network for MRES member distribution loads in the Midcontinent Independent System Operator, Inc.

On November 7, 2014, Western Farmers Electric Cooperative filed an answer in response to SPP's September 11, 2014 Filing and October 24, 2014 Answer.

WFEC stated that it supports Nebraska Public Power District's Protest to extend the applicability of the proposed Federal Service Exemption and Co-Supply Arrangement to all preference customers located in SPP's existing zones.

On November 7, 2014, Otter Tail Power Company filed an answer in response to the answers filed by SPP, Basin Electric and Western-UGP.

Otter Tail stated:

- 1) the claims made in the answers need to be supported by clear Tariff language or agreements;
- 2) the answers rely on incorrect assumptions about the composition and operation of the Integrated Transmission System ("ITS") belonging to Otter Tail and Central Power Electric Cooperative, Inc.; and
- 3) the risks to Otter Tail's customers arise from the integrated nature of the ITS, not from contractual arrangements.

On November 10, 2014, Municipal Energy Agency of Nebraska filed an answer in response to SPP's October 24, 2014 Answer.

MEAN stated:

- 1) the Commission should require SPP to confirm that a) MEAN will be able to utilize the Federal Service Exemption ("FSE") Transfer Point as the source settlement location for purposes of Auction Revenue Rights/Transmission Congestion Rights with respect to deliveries of Western-UGP preference energy, and will not be exposed to additional congestion risk by being required to use individual resource settlement locations within the Upper Missouri Zone; b) the FSE Transfer Point will consist of the Western-UGP hydro generation that produces the preference energy, and only those generating resources; and c) the FSE Transfer Point will be electrically equivalent to the existing WAUE interface point; and
- 2) the Commission should require SPP to work with MEAN to resolve the other matters raised in MEAN's comments.

On November 10, 2014, the Kansas Corporation Commission filed an answer in response to the October 24, 2014 Answers filed by SPP and Western-UGP.

The KCC stated:

- 1) the proposed discriminatory provisions would establish dangerous precedent;
- 2) the Answers attempt to obfuscate the extent of discrimination;
- 3) SPP attempts to conceal the extent of discrimination by obfuscating the true issues in the filings;
- 4) labeling a subsidy as a "sunk cost" does not change the fact that the Federal Service Exemption and the exemption of costs for highway transmission facilities that have been

Regulatory Status Report

constructed prior to October 1, 2015 will result in undue discrimination against SPP's existing Members; and

5) SPP unsuccessfully attempts to justify the filings' proposed discrimination by addressing cost recovery for existing transmission facilities.

On November 10, 2014, FERC issued an order conditionally accepting in part, rejecting in part, and accepting and suspending in part for a nominal period, to become effective as requested, subject to refund, SPP's proposed revisions to the Tariff, Bylaws and Membership Agreement, and establishing hearing and settlement judge procedures. Docket Nos. ER14-2850 and ER14-2851 were consolidated for purposes of settlement, hearing and decision.

An effective date of November 10, 2014, subject to refund, was granted for the changes to the Bylaws and Membership Agreement. An effective date of October 1, 2015, subject to refund, was granted for the changes to the Tariff.

The Commission accepted the proposed Federal Service Exemption for the delivery of energy from Western-UGP resources to its Statutory Load Obligations.

The Commission found that the proposed Co-Supply Arrangement is just and reasonable.

The Commission accepted SPP's base plan upgrade and regional cost sharing proposal and found it to be just, reasonable, and not unduly discriminatory.

The Commission rejected SPP's proposal to revise Schedule 12 of the Tariff to specify that SPP will not assess Schedule 12 charges to transmission service provided to Western-UGP for its Statutory Load Obligations. Because all of the transmission service provided to Western-UGP under the Tariff is reflected in 18 C.F.R. § 382.201(a), when Western-UGP is billed directly by FERC for power sales under 18 C.F.R. § 382.201(d), it is possible that a double assessment of FERC costs may occur for these transactions. The Commission stated that Western-UGP could request a waiver of 18 C.F.R. § 382.201(d), instead of 18 C.F.R. § 382.201(a), for any Western-UGP transactions over SPP's system.

The Commission found that certain seams issues raised by Missouri River Energy Services, Montana-Dakota Utilities Co., Montana Consumer Counsel, Municipal Energy Agency of Nebraska, Otter Tail Power Company, and the North Dakota and South Dakota Commissions cannot be resolved based on the record before the Commission and are more appropriately addressed through hearing and settlement judge procedures. The Commission found that the perpetuation of pancaked transmission rates between the IS System and the Midcontinent Independent System Operator, Inc., and more generally between SPP and MISO, are beyond the scope of this proceeding. The Commission also stated it will not include in the hearing and settlement judge procedures the issues raised by Otter Tail and MEAN concerning certain facilities since those facilities have not yet transferred to SPP.

The Commission conditionally accepted SPP's proposed revisions to the Generator Interconnection Procedures in Attachment V of the Tariff, subject to a compliance filing due in 30 days. SPP is to correct the incorrect reference to the Federal Tort Claims Act within Article 18.1 in both the Western-UGP Generator Interconnection Agreement ("GIA") and Interim GIA. In addition, Article 11.8.3 in the Western-UGP GIA and Interim GIA specifies that, if an advance payment exceeds actual costs, the transmission provider will provide refund without interest. Similarly, Section 8.6.1 of Attachment V specifies that if the costs incurred for an environmental review study are less than the estimated costs, Western-UGP will refund the difference, without interest, to the Interconnection Customer. SPP is to include in its

Regulatory Status Report

compliance filing 1) confirmation that the lack of interest payment is due to Federal limitations associated with the Antideficiency Act and modify Article 12.4 in the Western-UGP GIA and Interim GIA to specify that Western-UGP will not pay interest on funds associated with billing disputes; or 2) a revision to Article 11.8.3 in the Western-UGP GIA and Interim GIA, as well as Section 8.6.1 of Attachment V, to provide for accrued interest, consistent with Article 12.4 in the Western-UGP GIA and Interim GIA. The acceptance of the revisions to Attachment V were further conditioned on SPP providing more information on the merger of Western-UGP's interconnection study queue with SPP's interconnection queue in SPP's compliance filing.

The Commission directed SPP to provide more information on the merger of the IS Parties and SPP long-term transmission service study and generator interconnection study queues in the compliance filing due in 30 days. SPP is to provide 1) information detailing the transition process for both study queues; 2) a timeline for the transitions, including estimated dates for completion of various studies; and 3) Tariff revisions to implement the transition processes, as needed.

The Commission accepted the revisions to the Bylaws and Membership Agreement that included revisions to the Members Committee, Corporate Governance Committee, and withdrawal obligations. However, the Commission directed SPP to incorporate the Membership Agreement Amendments for Western-UGP, Basin Electric and Heartland as part of the Membership Agreement in SPP's compliance filing.

SPP's compliance filing is due on December 10, 2014.

On November 19, 2014, Judge Wagner issued an order designating Deputy Chief Judge Bobbie J. McCartney as the settlement judge and scheduling a settlement conference for December 2, 2014.

On December 3, 2014, SPP filed an Unopposed Motion for Adoption of Protective Order, Request for Waiver of Answer Period, and Request for Expedited Consideration.

On December 4, 2014, Judge Wagner issued an Order of Chief Judge Adopting Protective Order and Waiving Answer Period.

On December 8, 2014, Judge McCartney filed a Status Report recommending that the settlement judge procedures continue. The next settlement conference is scheduled for January 20, 2015.

On December 8, 2014, Judge McCartney issued a Notice Scheduling Settlement Conference to be held on January 20, 2015.

On December 10, 2014, SPP filed a Request for Clarification and Rehearing of the November 10, 2014 Order.

SPP requested clarification on the following:

- 1) the issues set for hearing and settlement are the specific seams issues discussed in the November 10 Order;
- 2) SPP's application of unrevised Tariff Section 30.9 is not included in the scope of issues set for settlement and/or hearing; and
- 3) the September 11 Filing requested two additional seats for investor-owned utilities to be added to the Members Committee.

If the Commission does not grant rehearing, in the alternative, SPP requested rehearing on the

Regulatory Status Report

following issues:

- 1) protestors' concerns regarding Section 30.9 transmission facilities credits are premature and outside the scope of this proceeding; and
- 2) SPP requested two additional seats on the Members Committee, not one as stated by the Commission.

In its request for rehearing, SPP stated that hold harmless provisions and seams mitigation for non-SPP market participants should have been specifically excluded from the scope of issues set for hearing and settlement.

SPP requested expedited consideration of the request for clarification and rehearing to help the parties narrow the scope of their ongoing settlement negotiations and achieve a timely settlement.

On December 10, 2014, the Kansas Corporation Commission filed a Request for Rehearing of the November 10, 2014 Order.

The KCC stated:

- 1) the November 10 Order is supported by neither substantial evidence nor reasoned decision-making insofar as it concludes that SPP's regional cost sharing proposal is just, reasonable, and not unduly discriminatory; and
- 2) the Federal Service Exemption approved by the November 10 Order is unjust and unreasonable and should, at a minimum, have been set for an evidentiary hearing.

On December 10, 2014, Otter Tail Power Company filed a Motion for Clarification and Request for Rehearing of the November 10, 2014 Order.

Otter Tail stated:

- 1) the Commission should clarify that Otter Tail's concerns that are not exclusive to Central Power Electric Cooperative membership are not excluded from the hearing and settlement judge procedures;
- 2) the Commission should clarify that elimination of "rate pancaking" does not preclude demonstrations of lack of just and reasonable rates that arise from new seams;
- 3) the Commission erred by accepting SPP's proposed Network Upgrades without giving due consideration or responding in a meaningful way to the facts and circumstances presented; and
- 4) the Commission erred by dismissing concerns over duplicative rates that will be a direct result of the integration proposed in this proceeding.

On December 10, 2014, the Midcontinent Independent System Operator, Inc. filed a Request for Rehearing of the November 10, 2014 Order.

MISO stated:

- 1) permitting SPP to include Basin Electric's Schedule 2 Projects in regional cost allocation violates the Commission's cost causation precedent, is unduly discriminatory and is not supported by substantial evidence;
- 2) proposed regional cost allocation for Basin Electric's Projects is unjust and unreasonable because it is inconsistent with SPP's cost allocation methodology; and
- 3) the Commission erred by not setting for evidentiary hearing the issue of inclusion in SPP's regional cost allocation of Basin Electric's Schedule 2 Projects.

On December 10, 2014, SPP submitted its compliance filing in response to the November 10,

Regulatory Status Report

2014 Order.

Effective dates of October 1, 2015 and November 10, 2014 were requested.

On December 16, 2014, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On December 22, 2014, Montana-Dakota Utilities Co. filed an answer in response to SPP's Request for Clarification and Rehearing filed on December 10, 2014.

MDU stated:

- 1) SPP's application of its Tariff to address seams issues was appropriately set for Settlement and Hearing Procedures; and
- 2) the seams issues raised by MDU and set for Settlement and Hearing are broader than the Tariff Section 30.9 credit issue.

On December 24, 2014, Western Area Power Administration filed an answer in response to the Kansas Corporation Commission's Request for Rehearing filed on December 10, 2014.

Western stated that the KCC's argument on rehearing refuses to acknowledge and accept the Congressional mandate paving the way for Western-UGP to join an RTO and the requirements to join consistent with statutory authorities, obligations and limitations, and existing contracts; and that the Federal Service Exemption is narrowly defined.

On December 29, 2014, SPP filed an answer in response to the requests for rehearing filed on December 10, 2014 by the Kansas Corporation Commission, the Midcontinent Independent System Operator, Inc., and Otter Tail Power Company.

SPP stated:

- 1) the issues identified by MISO and Otter Tail are under consideration in separate, ongoing proceedings and are not appropriately addressed here;
- 2) the Commission correctly concluded that SPP's proposed cost allocation and "need-by-date" approach are just and reasonable;
- 3) the Commission should reject Otter Tail's request for rehearing regarding the payment of transmission service to separate RTOs; and
- 4) contrary to the KCC's rehearing request, the Commission's approval of the Federal Service Exemption was appropriate under relevant law and precedent.

On December 31, 2014, Basin Electric Power Cooperative filed an answer in response to the requests for rehearing filed by the Midcontinent Independent System Operator, Inc. and Otter Tail Power Company on December 10, 2014.

Basin Electric stated:

- 1) the November 10 Order is based on substantial evidence; and
- 2) the Commission determined that SPP's proposal is consistent with Commission cost causation precedent.

ER14-2852

Westar Energy, Inc.'s ("Westar") Compliance Filing Revising Formula Rate Protocols

On October 2, 2014, Kansas Power Pool and Missouri Joint Municipal Electric Utility Commission filed Motions to Intervene.

On October 2, 2014, Kansas Electric Power Cooperative, Inc. filed a Protest. KEPCO

Regulatory Status Report

requested that the Commission (i) reject the Westar Compliance Filing to the extent that it seeks to make changes to the protocols that diminish the rights of affected parties, including those changes noted in the body of this pleading, as such filing both violates the FERC Show Cause Order and Federal Power Act Section 205; (ii) cure the unduly discriminatory and preferential treatment of affected parties (in the current and filed protocols) by providing that affected parties and Westar must be afforded equal time to detect errors and have them corrected; and (iii) make such other changes as may be warranted by the facts and circumstances noted herein.

On October 2, 2014, the State Corporation Commission of the State of Kansas filed a Motion to Intervene and Protest.

The KCC stated that Westar has not provided sufficient documentary evidence in its filing to justify all of the proposed changes.

On October 2, 2014, FERC issued a Notice Granting Extension of Time to file comments. Comments are now due on October 22, 2014.

On October 17, 2014, Westar Energy, Inc. filed an answer in response to the Protests filed by the State Corporation Commission of the State of Kansas and Kansas Electric Power Cooperative, Inc.

Westar stated:

- 1) Westar's Compliance Filing is squarely within the scope of the Commission's Order;
- 2) KEPCO's reliance on the terms of a previous settlement agreement is misplaced;
- 3) many of the arguments made by KEPCO and the KCC are directly contrary to Commission precedent;
- 4) KEPCO's and the KCC's suggestion that Westar is curtailing customers' rights is unfounded; and
- 5) there is no need for the Commission to set this proceeding for hearing.

On October 21, 2014, the Missouri Public Service Commission filed a Notice of Intervention and Comments. The MoPSC requested that the Commission require Westar to make changes proposed in these Comments.

On October 30, 2014, Westar Energy, Inc. filed an answer in response to the protest filed by Kansas Electric Power Cooperative, Inc. filed on October 2, 2014.

ER14-2859

Notice of Cancellation of Nine Network Integration Transmission Service Agreements ("NITSAs") and Network Operating Agreements ("NOAs") between SPP as Transmission Provider and Kansas Municipal Energy Agency ("KMEA") as Network Customer

On November 3, 2014, FERC issued a letter order accepting the Notices of Cancellation, effective May 1, 2014 as requested.

This order constitutes final agency action.

ER14-2870

Ministerial Filing to Update Attachment AD with Exhibit 1 to the Tariff Administration Agreement between SPP and Southwestern Power Administration ("Southwestern")

On November 3, 2014, FERC issued an order accepting the ministerial filing to include Exhibit 1 to Attachment AD of the Tariff, which contains the Tariff Administration Agreement between SPP and Southwestern Power Administration.

Regulatory Status Report

An effective date of March 1, 2014 was granted.

This order constitutes final agency action.

ER14-2882

The Empire District Electric Company's ("Empire") Compliance Filing Revising Formula Rate Protocols

On October 3, 2014, FERC issued a Notice Granting Extension of Time to file comments. Comments are now due on October 27, 2014.

On October 9, 2014, the Empire Cities filed a Limited Protest.

The Cities stated that the Commission should require Empire to restore the protocols provision enabling the appointment of a Discovery Master to resolve discovery disputes in the course of an annual update proceeding. The Cities also stated that the Commission should clarify that no provision in the revised protocols limits the ability of an Interested Party to bring an action under the Federal Power Act to "challenge the inputs to, or the implementation of, the formula at whatever time they discover errors in the inputs or the implementation of the formula."

On October 24, 2014, The Empire District Electric Company filed an answer in response to the Empire Cities' Limited Protest.

On October 27, 2014, the Kansas Corporation Commission filed a Motion to Intervene and Protest.

KCC stated that Empire has not provided sufficient documentary evidence to justify the changes. The KCC is concerned that the three areas of concern identified by the Commission in its July 17, 2014 Order issued in EL14-73 have not all been adequately resolved. In particular, the KCC believes that Empire has unjustly and unreasonably reduced the procedural rights of interested parties, including the KCC, to investigate and to effectively participate in transmission formula rate reviews.

On October 27, 2014, the Missouri Public Service Commission filed a Notice of Intervention and Comments.

The MoPSC stated that the Commission should not take a "one size fits all" approach to evaluation of the SPP Transmission Owner's Tariffs. The MoPSC also stated that it objects to Empire's changes that go beyond what is necessary for compliance with the Commission's July 17, 2014 Order issued in EL14-73. The MoPSC suggested several proposed changes to the protocols.

On November 12, 2014, The Empire District Electric Company filed an answer in response to the pleadings filed by the Missouri Public Service Commission and Kansas Corporation Commission on October 27, 2014.

ER14-2884

Kansas City Power & Light Company's ("KCP&L") and KCP&L Greater Missouri Operations Company ("GMO") Compliance Filing Revising Formula Rate Protocols

On October 3, 2014, City of Independence, Missouri and Missouri Joint Municipal Electric Utility Commission filed Motions to Intervene.

On October 3, 2014, FERC issued a Notice Granting Extension of Time to file comments. Comments are now due on October 27, 2014.

Regulatory Status Report

On October 27, 2014, the Kansas Corporation Commission filed a Motion to Intervene and Protest.

KCC stated that KCP&L has not provided sufficient documentary evidence to justify the changes. The KCC is concerned that the three areas of concern identified by the Commission in its July 17, 2014 Order issued in EL14-74 have not all been adequately resolved. In particular, the KCC believes that KCP&L has unjustly and unreasonably reduced the procedural rights of interested parties, including the KCC, to investigate and to effectively participate in transmission formula rate reviews.

On October 27, 2014, the Missouri Public Service Commission filed a Notice of Intervention and Comments.

The MoPSC stated that the Commission should not take a "one size fits all" approach to evaluation of the SPP Transmission Owner's Tariffs. The MoPSC suggested several proposed changes to the protocols.

On November 10, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed an answer in response to the pleadings filed by the Kansas Corporation Commission and Missouri Public Service Commission on October 27, 2014.

ER14-2887

Submission of Tariff Revisions to Modify Section 2.2 and Attachment F to Clarify Reservation Priority for Existing Firm Service Customers

On October 6, 2014, TDU Intervenors filed a Motion to Intervene.

On November 18, 2014, FERC issued an order accepting the tariff revisions to modify Section 2.2 and Attachment F to clarify reservation priority for existing firm service customers.

An effective date of December 1, 2014 was granted.

This order constitutes final agency action.

ER14-2891

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner

On November 5, 2014, FERC issued a letter order accepting the agreement, effective June 20, 2014 as requested.

This order constitutes final agency action.

ER14-2910

Notice of Cancellation of the Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Calpine Energy Services, L.P. ("Calpine") as Transmission Customer

On November 10, 2014, FERC issued a letter order accepting the Notice of Cancellation, effective August 1, 2014 as requested.

This order constitutes final agency action.

ER14-2927

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, American Electric Power Service

Regulatory Status Report

Corporation ("AEP") as Network Customer and Host Transmission Owner, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner

On November 13, 2014, FERC issued a letter order accepting the agreement, effective August 1, 2014 as requested.

This order constitutes final agency action.

ER15-10

Submission of Tariff Amendments to Revise Interest Due on Certain Refunds and Other Payments

On October 1, 2014, SPP submitted Tariff revisions to replace the requirement to pay interest at the rate specified in the Commission's Regulations with a requirement to pay interest based on the actual interest earned in Tariff sections where the requirement to pay the Commission's specified interest rate could impose a financial burden on SPP, its members, and customers.

An effective date of December 1, 2014 was requested.

On October 7, 2014, American Electric Power Service Corporation filed a Motion to Intervene.

On October 8, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On October 22, 2014, the American Wind Energy Association and the Wind Coalition filed a Protest of SPP's October 1, 2014 Filing. The parties requested that the Commission reject SPP's proposed Tariff revisions, or in the alternative, accept and suspend the proposed revisions for the maximum period allowed of five months, subject to hearing and refund.

On October 22, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene and Comments in support of SPP's October 1, 2014 Filing.

On November 10, 2014, SPP filed an answer in response to the Protest filed by the American Wind Energy Association and the Wind Coalition on October 22, 2014.

SPP stated:

- 1) SPP's proposal is appropriate, consistent with Commission precedent, and fully supported;
- 2) providing Interconnection Customers with actual earned interest is consistent with Commission policy regarding the time-value of money;
- 3) the AWEA/TWC's benefit arguments are unavailing;
- 4) SPP's proposed effective date and applicability of the new interest rules are appropriate and reasonable; and
- 5) the Commission should reject AWEA/TWC's request that the Commission set this matter for hearing and AWEA/TWC's attempts to re-litigate matters pending in other proceedings.

On November 26, 2014, FERC issued an order accepting the Tariff revisions to replace the requirement to pay interest at the rate specified in the Commission's Regulations with a requirement to pay interest based on the actual interest earned in Tariff sections where the requirement to pay the Commission's specified interest rate could impose a financial burden on SPP, its members, and customers.

An effective date of December 1, 2014 was granted.

Regulatory Status Report

ER15-20

Submission of Tariff Revisions to Correct the Notice Requirement for Proposed Market Repricing Incidents

On October 2, 2014, SPP submitted revisions to Attachment AE Section 8.4 of the Tariff to correct the notice requirement for proposed market repricing incidents.

An effective date of March 1, 2014 was requested.

On October 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On October 7, 2014, American Electric Power Service Corporation filed a Motion to Intervene.

On October 8, 2014, South Central Municipal-Cooperative Network, LLC filed a Motion to Intervene.

On November 25, 2014, FERC issued an order accepting the revisions to Attachment AE Section 8.4 of the Tariff to correct the notice requirement for proposed market repricing incidents.

An effective date of March 1, 2014 was granted.

ER15-21

Submission of Tariff Revisions Regarding Review for and Reporting of Physical Withholding

On October 2, 2014, SPP submitted revisions to Attachment AG Section 4 of the Tariff necessary to focus and thereby improve SPP's process for monitoring and reporting Physical Withholding of Resource Capacity.

An effective date of March 1, 2014 was requested.

On October 3, 2014, Exelon Corporation filed a Motion to Intervene.

On October 7, 2014, American Electric Power Service Corporation filed a Motion to Intervene.

On October 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On October 23, 2014, Flat Ridge 2 Wind Energy LLC filed a Motion to Intervene.

On October 23, 2014, the TDU Intervenors filed a Motion to Intervene and Protest.

The parties stated:

- 1) applying SPP's market impact test to physical withholding has not been shown to be just and reasonable; and
- 2) SPP should be required to continue reporting all potential instances of physical withholding to the Commission.

On November 19, 2014, the SPP Market Monitoring Unit filed a Motion to Intervene-Out-of-Time and Comments. The MMU requested that the Commission reject the Protest filed by the TDU Intervenors.

On December 1, 2014, FERC issued an Order Conditionally Accepting Tariff Revisions modifying Attachment AG Section 4 of the Tariff necessary to focus and thereby improve SPP's process for monitoring and reporting Physical Withholding of Resource Capacity.

Regulatory Status Report

An effective date of March 1, 2014 was granted.

SPP was directed to remove the Market Impact Test from the list of thresholds that the Market Monitoring Unit uses to identify potential physical withholding.

SPP's compliance filing is due on December 31, 2014.

On December 22, 2014, the SPP Market Monitoring Unit filed a Request for Rehearing of the December 1, 2014 Order.

On December 23, 2014, SPP submitted its compliance filing in response to the December 1, 2014 Order.

An effective date of March 1, 2014 was requested.

ER15-42

Informational Filing to Notify the Commission of Implementation of Year-Three Reallocation of Revenue Requirements Pursuant to Attachments J and O for the Balanced Portfolio

On October 3, 2014, SPP filed an informational filing to notify the Commission of SPP's implementation of the year-three reallocation of revenue requirements in accordance with the Balanced Portfolio process described in Attachments J and O of the Tariff. SPP implemented the year-three reallocation of revenue requirements on October 1, 2014, which is consistent with the Commission's order in Docket No. ER12-2387, wherein the Commission approved an October 1st effective date for the initial reallocation of revenue requirements and for all subsequent reallocations for years two through five.

On October 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On October 7, 2014, American Electric Power Service Corporation filed a Motion to Intervene.

On October 9, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene.

On October 24, 2014, Golden Spread Electric Cooperative, Inc. and Midwest Energy, Inc. filed Motions to Intervene.

On October 24, 2014, Mid-Kansas Electric Company, LLC, Midwest Energy, Inc., Sunflower Electric Power Corporation, and Western Farmers Electric Cooperative filed Comments.

The parties stated while they are not protesting SPP's continued implementation of the Balanced Portfolio process in this docket, they do wish to be on record that they continue to have concerns, not yet addressed by SPP, with implementation of the Balanced Portfolio process, the resulting adverse impacts to transmission-owning utilities in the SPP region and any "unintended consequences" review that may have occurred since SPP implemented the Balanced Portfolio.

ER15-45

Submission of Tariff Revisions to Revise Day-Ahead and Reliability Unit Commitment Make-Whole Payment ("MWP") Amounts

On October 6, 2014, SPP submitted tariff revisions to revise Day-Ahead and Reliability Unit Commitment make-whole payment amounts.

Regulatory Status Report

An effective date of December 5, 2014 was requested.

On October 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On October 7, 2014, American Electric Power Service Corporation filed a Motion to Intervene.

On October 8, 2014, South Central Municipal-Cooperative Network, LLC filed a Motion to Intervene.

On November 14, 2014, SPP filed a Motion for Deferral of Commission Action in order to allow SPP further time to develop and implement the software enhancements to SPP's settlement systems that are necessary to effectuate the Tariff revisions contained in the October 6, 2014 Filing.

ER15-47

Submission of Tariff Revisions to Specify the Minimum Operating Limits of a Non-Dispatchable Variable Energy Resource ("VER")

On October 6, 2014, SPP submitted tariff revisions to specify the minimum operating limits that a Non-Dispatchable Variable Energy Resource must include when submitting Resource Offers into the Day-Ahead Market solution.

An effective date of December 5, 2014 was requested.

On October 7, 2014, American Electric Power Service Corporation filed a Motion to Intervene.

On October 7, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On December 2, 2014, FERC issued an order accepting the tariff revisions to specify the minimum operating limits that a Non-Dispatchable Variable Energy Resource must include when submitting Resource Offers into the Day-Ahead Market solution.

An effective date of December 5, 2014 was granted.

This order constitutes final agency action.

ER15-72

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kaw Valley Electric Cooperative ("Kaw Valley") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 10, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kaw Valley Electric Cooperative, as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Third Revised 1976.

An effective date of August 1, 2014 was requested.

On November 18, 2014, SPP submitted an amendment to its October 10, 2014 Filing.

An effective date of August 1, 2014 was requested.

Regulatory Status Report

ER15-77 Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Kansas City Board of Public Utilities ("KCBPU") as Transmission Customer

On October 14, 2014, SPP submitted an executed service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Kansas City Board of Public Utilities as Transmission Customer. SPP Service Agreement No. Third Revised 2041.

An effective date of August 1, 2014 was requested.

On November 18, 2014, SPP submitted an amendment to its October 14, 2014 Filing.

An effective date of August 1, 2014 was requested.

ER15-158 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Network Customer and Host Transmission Owner, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 22, 2014, SPP submitted 1) an executed NITSA between SPP as Transmission Provider and KCP&L Greater Missouri Operations Company as Network Customer; and 2) an executed NOA between SPP as Transmission Provider, KCPL-GMO as both Network Customer and Host Transmission Owner, and Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Eleventh Revised 1765.

An effective date of August 1, 2014 was requested.

On November 17, 2014, SPP submitted an amendment to its October 22, 2014 Filing.

An effective date of August 1, 2014 was requested.

ER15-162 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Chanute, KS ("Chanute") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 22, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, City of Chanute, KS as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. First Revised 2881.

An effective date of August 1, 2014 was requested.

On November 24, 2014, SPP submitted an amendment to its October 22, 2014 filing.

An effective date of August 1, 2014 was requested.

ER15-164 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 23, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Thirteenth Revised 1636.

Regulatory Status Report

An effective date of August 1, 2014 was requested.

On November 18, 2014, SPP submitted an amendment to its October 23, 2014 Filing.

An effective date of August 1, 2014 was requested.

ER15-205

Transmission Interconnection Agreement Between Sunflower Electric Power Corporation ("Sunflower") and ITC Great Plains, LLC ("ITC"), with SPP as Signatory

On October 27, 2014, SPP filed a Transmission Interconnection Agreement between Sunflower Electric Power Corporation and ITC Great Plains, LLC, with SPP as signatory. SPP Service Agreement No. First Revised 2403.

An effective date of the date of acceptance by the Commission was requested.

On October 31, 2014, ITC Great Plains, LLC filed a Motion to Intervene.

On November 14, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On December 2, 2014, FERC issued a letter order accepting the agreement, effective December 2, 2014 as requested.

This order constitutes final agency action.

ER15-206

Generator Interconnection Agreement ("GIA") between Cottonwood Wind Project, LLC ("Cottonwood") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On October 28, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Cottonwood Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Third Revised 2252.

An effective date of October 14, 2014 was requested.

On October 29, 2014, NextEra Energy Resources, LLC filed a Motion to Intervene.

On December 17, 2014, FERC issued a letter order accepting the agreement, effective October 14, 2014 as requested.

This order constitutes final agency action.

ER15-211

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Nemaha-Marshall Electric Cooperative ("Nemaha-Marshall") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 29, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Nemaha-Marshall Electric Cooperative as Network Customer, as well as a NOA with Westar Energy, Inc as Host Transmission Owner. SPP Service Agreement No. Fifth Revised 1977.

An effective date of August 1, 2014 was requested.

Regulatory Status Report

On December 23, 2014, FERC issued a letter order accepting the agreement, effective December 29, 2014, which differs from the date requested of August 1, 2014.

This order constitutes final agency action.

ER15-212

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Midwest Energy, Inc. ("Midwest") and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On October 29, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Midwest Energy, Inc. and Westar Energy, Inc. as Host Transmission Owners. SPP Service Agreement No. First Revised 2900.

An effective date of August 1, 2014 was requested.

On November 24, 2014, SPP submitted an amendment to its October 29, 2014 Filing.

An effective date of August 1, 2014 was requested.

ER15-228

Generator Interconnection Agreement ("GIA") between Rattlesnake Creek Wind Project, LLC ("Rattlesnake") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On October 29, 2014, SPP submitted an executed GIA between SPP as Transmission Provider, Rattlesnake Creek Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Substitute Original 2880.

An effective date of April 9, 2014 was requested.

On December 17, 2014, FERC issued a letter order accepting the agreement, effective April 9, 2014 subject to the outcome of Docket No. ER14-781, as requested.

This order constitutes final agency action.

ER15-232

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 29, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Third Revised 2415.

An effective date of August 1, 2014 was requested.

On November 24, 2014, SPP submitted an amendment to its October 29, 2014 Filing.

An effective date of August 1, 2014 was requested.

Regulatory Status Report

ER15-279

Submission of Tariff Revisions to Implement a Stated Rate to Accommodate Recovery of the Annual Transmission Revenue Requirements ("ATRR") for The Central Nebraska Public Power & Irrigation District ("Central")

On October 31, 2014, SPP submitted tariff revisions to implement a stated rate to accommodate the recovery of the Annual Transmission Revenue Requirements for SPP Member The Central Nebraska Public Power and Irrigation District to be included in the Nebraska Public Power District Zone 17 pricing under the Tariff. Central is a Transmission Owner and Transmission Using Member of SPP and has transferred functional control of only certain of its transmission facilities to SPP for purposes of rate recovery under the Tariff.

An effective date of January 1, 2015 was requested.

On November 5, 2014, Central Nebraska Public Power & Irrigation District filed a Motion to Intervene.

On November 18, 2014, Western Area Power Administration filed a Motion to Intervene.

On November 21, 2014, the Nebraska Public Power District filed a Motion to Intervene and Protest.

NPPD stated that it protests Central's proposed ATRR because Central has failed to satisfy its burden of demonstrating that the proposed ATRR is just and reasonable and not unduly discriminatory.

On December 5, 2014, Central Nebraska Public Power & Irrigation District filed an answer in response to Nebraska Public Power District's November 21, 2014 Protest.

Central stated that NPPD's Protest lacks merit and should be rejected.

On December 17, 2014, Nebraska Public Power District filed an answer in response to Central's December 5, 2014 Answer.

On December 31, 2014, FERC issued an Order Accepting Tariff Revisions and Establishing Hearing and Settlement Judge Procedures.

The Commission accepted SPP's tariff revisions to implement a stated rate to accommodate the recovery of the Annual Transmission Revenue Requirements for SPP Member The Central Nebraska Public Power and Irrigation District, effective January 1, 2015, as requested, subject to refund with interest.

ER15-307

Submission of Tariff Revisions to Stipulate that Base Plan Upgrades Approved for Construction After June 19, 2010 Shall be Considered in the Three-Year Review of the Highway/Byway Cost Allocation Methodology Pursuant to Section III.D of Attachment J

On October 31, 2014, SPP submitted tariff revisions to stipulate that Base Plan Upgrades approved for construction after June 19, 2010 shall be considered in the three-year review of Highway/Byway cost allocation methodology pursuant to Section III.D of Attachment J. Such clarification is necessary to allow SPP's review of the Highway/Byway cost allocation methodology to remain consistent with SPP's intent in light of SPP's implementation of Order No. 1000 processes for regional planning.

An effective date of January 1, 2015 was requested.

Regulatory Status Report

On November 18, 2014, South Central MCN, LLC filed a Motion to Intervene.

On November 18, 2014, American Electric Power Service Corporation filed a Motion to Intervene.

On November 21, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On December 22, 2014, FERC issued an order accepting the tariff revisions to stipulate that Base Plan Upgrades approved for construction after June 19, 2010 shall be considered in the three-year review of Highway/Byway cost allocation methodology pursuant to Section III.D of Attachment J.

An effective date of January 1, 2015 was granted.

This order constitutes final agency action.

ER15-350

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On November 6, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1883.

An effective date of August 1, 2014 was requested.

On November 17, 2014, SPP submitted an amendment to its November 6, 2014 Filing.

An effective date of August 1, 2014 was requested.

ER15-360

Unexecuted Generator Interconnection Agreement ("GIA") between Hale Community Energy, LLC ("Hale") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner

On November 7, 2014, SPP submitted an unexecuted GIA between SPP as Transmission Provider, Hale Community Energy, LLC as Interconnection Customer, and Southwestern Public Service Company as Transmission Owner. SPP Service Agreement No. Substitute Original 2896.

The GIA was updated to include language in Docket No. ER14-781, which revised SPP's Generator Interconnection Procedures. Hale declined to execute the GIA because it does not agree that it is required to comply with the revised Generator Interconnection Procedures.

An effective date of May 29, 2014 was requested.

On November 28, 2014, Hale Community Energy, LLC filed a Motion to Intervene and Protest.

Hale stated:

- 1) the Commission should reject the unexecuted GIA submitted by SPP and accept the executed GIA tendered pursuant to the then-effective Tariff;
- 2) the Commission should reject the unexecuted GIA because it is unjust and unreasonable as applied to Hale; and

Regulatory Status Report

3) it would be inequitable for the Commission to require Hale to comply with the unexecuted, pro forma GIA because Hale has been performing under the GIA executed on May 13, 2014.

On December 19, 2014, SPP filed an answer in response to Hale's Protest filed on November 28, 2014.

SPP stated:

- 1) the Commission should reject Hale's Protest as an Out-of-Time Request for Rehearing of the June 13, 2014 Order in Docket No. ER14-781; and
- 2) the Substitute Original Hale GIA is just and reasonable, and Hale has not provided any reason why the Commission should reject it.

On December 23, 2014, Hale Community Energy, LLC filed an answer in response to SPP's December 19, 2014 Answer.

ER15-362

Large Generator Interconnection Agreement ("LGIA") between Westar Energy, Inc. ("Westar") as Interconnection Customer and ITC Great Plains, LLC ("ITC-GP") as Transmission Owner

On November 7, 2014, SPP submitted an executed LGIA between SPP as Transmission Provider, Westar Energy, Inc. as Interconnection Customer, and ITC Great Plains, LLC as Transmission Owner. SPP Service Agreement No. Third Revised 1693.

An effective date of October 31, 2014 was requested.

On December 23, 2014, FERC issued a letter order accepting the agreement, effective October 31, 2014 as requested.

This order constitutes final agency action.

ER15-377

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and Southwestern Public Service Company ("SPS") as Host Transmission Owner

On November 14, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Golden Spread Electric Cooperative, Inc. as Network Customer, as well as a NOA with Southwestern Public Service Company as Host Transmission Owner. SPP Service Agreement No. Fourth Revised 2236.

An effective date of September 1, 2014 was requested.

On December 22, 2014, FERC issued a letter order accepting the agreement, effective September 1, 2014 as requested.

This order constitutes final agency action.

ER15-415

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners

On November 17, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Westar Energy, Inc. as both Network Customer and Host

Regulatory Status Report

Transmission Owner, and Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Twenty-Third Revised 607.

An effective date of October 1, 2014 was requested.

ER15-471

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On November 25, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1884.

An effective date of August 1, 2014 was requested.

ER15-472

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On November 25, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1885.

An effective date of August 1, 2014 was requested.

ER15-473

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On November 25, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1886.

An effective date of August 1, 2014 was requested.

ER15-477

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On November 25, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1888.

An effective date of August 1, 2014 was requested.

ER15-479

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On November 25, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1890.

An effective date of August 1, 2014 was requested.

Regulatory Status Report

ER15-484 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On November 25, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1891.

An effective date of August 1, 2014 was requested.

ER15-490 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On November 26, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1892.

An effective date of August 1, 2014 was requested.

ER15-491 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

On November 26, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1893.

An effective date of August 1, 2014 was requested.

ER15-492 **Petition for Waiver of Tariff Provisions in Attachment O to enable SPP to Modify the Study Schedule for the Integrated Transmission Planning ("ITP") Process**

On November 26, 2014, SPP filed a Petition for Waiver of Tariff Provisions requesting a limited waiver of the provisions in Attachment O of the Tariff to enable SPP to modify the study schedule for its Integrated Transmission Planning process. SPP requested that, for the three year planning cycle commencing in January 2015, the Commission waive the requirement to perform the ITP-20 and the timing requirements for the ITP-10.

On December 2, 2014, Exelon Corporation filed a Motion to Intervene.

On December 9, 2014, ITC Great Plains, LLC filed a Motion to Intervene.

On December 11, 2014, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On December 15, 2014, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed a Motion to Intervene.

On December 15, 2014, South Central MCN, LLC filed a Motion to Intervene.

On December 17, 2014, Western Farmers Electric Cooperative filed a Motion to Intervene.

On December 23, 2014, Western Area Power Administration filed a Out-of-Time Motion to Intervene.

Regulatory Status Report

ER15-500

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On November 26, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1889.

An effective date of August 1, 2014 was requested.

ER15-508

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On November 26, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1887.

An effective date of August 1, 2014 was requested.

ER15-509

Submission of Tariff Revisions to Refine Processes Related to SPP's Order No. 1000 Competitive Transmission Owner Selection Process

On November 26, 2014, SPP submitted revisions to its Tariff to refine processes related to SPP's Order No. 1000 competitive Transmission Owner Selection Process.

Effective dates of January 1, 2015 and January 26, 2015 were requested.

On December 12, 2014, South Central MCN, LLC filed a Motion to Intervene and Comments in support of SPP's November 26, 2014 Filing.

Various parties filed motions to intervene.

On December 17, 2014, ITC Great Plains, LLC and ITC South Central Development LLC filed a Motion to Intervene and Limited Protest.

ITC stated:

- 1) SPP's proposal to permit assignment of Competitive Upgrades is inconsistent with Order No. 1000; and
- 2) modifying the Transmission Owner Selection Process in the middle of planning cycle unduly discriminates against affiliates that have already obtained Qualified RFP Participant status.

On December 17, 2014, Xcel Energy Services Inc. filed a Motion to Intervene and Comments in Support of SPP's November 26, 2014 Filing.

ER15-532

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On December 1, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1897.

An effective date of August 1, 2014 was requested.

Regulatory Status Report

- ER15-551** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On December 2, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 2390.
- An effective date of August 1, 2014 was requested.
- ER15-552** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On December 2, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1894.
- An effective date of August 1, 2014 was requested.
- ER15-568** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On December 3, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 2045.
- An effective date of August 1, 2014 was requested.
- ER15-569** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On December 3, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1895.
- An effective date of August 1, 2014 was requested.
- ER15-570** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On December 3, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 1978.
- An effective date of August 1, 2014 was requested.
- ER15-571** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**

Regulatory Status Report

On December 4, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 2066.

An effective date of August 1, 2014 was requested.

ER15-576

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On December 4, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Third Revised 2166.

An effective date of August 1, 2014 was requested.

ER15-579

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as both Network Customer and Host Transmission Owner

On December 4, 2014, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and Westar Energy, Inc. as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Second Revised 2491.

An effective date of August 1, 2014 was requested.

ER15-599

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On December 8, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a partially executed NOA with Westar Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 2562.

An effective date of August 1, 2014 was requested.

ER15-603

Meter Agent Services Agreement between Kansas Power Pool ("KPP") as Market Participant and Westar Energy, Inc. ("Westar") as Meter Agent

On December 10, 2014, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the Market Participant and Westar Energy, Inc. as the Meter Agent. SPP Service Agreement No. Second Revised 2827.

An effective date of December 1, 2014 was requested.

ER15-617

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner

On December 12, 2014, SPP filed an executed NITSA between SPP as Transmission Provider

Regulatory Status Report

and Arkansas Electric Cooperative Corporation as Network Customer, as well as a NOA with American Electric Power Service Corporation, as agent for Southwestern Electric Power Company, as Host Transmission Owner. SPP Service Agreement No. Eighth Revised 1518.

An effective date of December 1, 2014 was requested.

On December 18, 2014, Arkansas Electric Cooperative Corporation filed a Motion to Intervene.

ER15-630

Submission of Tariff Revisions to Attachment AE Section 4.1 to Clarify Certain Criteria Applicable to the Submission of Resource Offers for Supplemental Reserve into the Day-Ahead Market and Real-Time Balancing Market

On December 15, 2014, SPP submitted tariff revisions to Attachment AE Section 4.1 to clarify certain criteria applicable to submission of Resource Offers for Supplemental Reserve into the Day-Ahead Market and Real-Time Balancing Market.

An effective date of February 13, 2015 was requested.

On December 30, 2014, South Central MCN, LLC filed a Motion to Intervene.

ER15-633

Submission of Tariff Revisions to Include Violation Relaxation Limits for Market-to-Market Coordination with the Midcontinent Independent System Operator, Inc. ("MISO")

On December 15, 2014, SPP submitted tariff revisions modifying Addendum 1 to Attachment AE to add clarifying language that allows the implementation of Market-to-Market Coordination with the Midcontinent Independent System Operator, Inc., and the resulting operating constraints to be recognized by SPP's Real-Time Balancing Market.

An effective date of March 1, 2015 was requested.

On December 19, 2014, Westar Energy, Inc. filed a Motion to Intervene.

On December 30, 2014, South Central MCN, LLC filed a Motion to Intervene.

On December 31, 2014, Xcel Energy Services Inc. filed a Motion to Intervene.

ER15-673

Submission of Tariff Revisions to Modify Certain Market Power Mitigation Measures Applicable to Manual Resource Commitments

On December 19, 2014, SPP submitted tariff revisions to modify certain market power mitigation measures applicable to manual resource commitments. The proposed revisions are designed to address an unintended consequence of current Tariff language that subjects all manual commitments to stricter mitigation thresholds than the Commission required in its orders accepting SPP's Integrated Marketplace.

An effective date of February 17, 2015 was requested.

On December 30, 2014, South Central MCN, LLC filed a Motion to Intervene.

ER15-695

Submission of Tariff Revisions Regarding Updates to Invoice Dispute Information Requirements in Attachment AE, Section 10.3

On December 22, 2014, SPP submitted tariff revisions necessary to update the Invoice Dispute provisions set forth in Attachment AE, Section 10.3.

Regulatory Status Report

An effective date of March 1, 2014 was requested.

On December 30, 2014, South Central MCN, LLC filed a Motion to Intervene.

ER15-714

Submission of Tariff Revisions Regarding Adjustments to Mitigated Offers

On December 23, 2014, SPP submitted tariff revisions necessary to make explicit and thus clarify for Market Participants the circumstances under which mitigated offers may be adjusted during the course of the operating day.

An effective date of February 21, 2015 was requested.

On December 30, 2014, South Central MCN, LLC filed a Motion to Intervene.

ER15-759

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Associated Electric Cooperative, Inc. ("AECI") as Network Customer, and KCP&L Greater Missouri Operations Company ("KCPL-GMO") as Host Transmission Owner

On December 30, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Associated Electric Cooperative, Inc. as Network Customer, as well as a NOA with KCP&L Greater Missouri Operations Company as Host Transmission Owner. SPP Service Agreement No. 2969.

An effective date of December 1, 2014 was requested.

On December 31, 2014, KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

ER15-763

Submission of Tariff Revisions to Modify Allocation of Over-Collected Losses ("OCL")

On December 30, 2014, SPP submitted tariff revisions to implement a design change for the allocation of over-collected losses in SPP's Integrated Marketplace.

An effective date of April 1, 2015 was requested.

ER15-774

Submission of Tariff Revisions to Modify Provisions Addressing Regulation Compensation and Mitigation of Regulation Offers

On December 30, 2014, SPP submitted tariff revisions to modify certain aspects of SPP's regulating reserve compensation methodology and mitigation of regulating reserve offers.

An effective date of March 1, 2015 was requested.

ER15-787

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner

On December 31, 2014, SPP submitted a partially executed NITSA and partially executed NOA between SPP as Transmission Provider, American Electric Power Service Corporation as both Network Customer and Host Transmission Owner, and Western Farmers Electric Cooperative as Host Transmission Owner. SPP Service Agreement No. Twentieth Revised 1148.

An effective date of December 1, 2014 was requested.

ER15-788

Submission of Tariff Revisions Regarding Thresholds for Uneconomic Production Investigation

On December 31, 2014, SPP submitted tariff revisions necessary to modify the process by which the SPP Market Monitoring Unit identifies market power abuse involving uneconomic production from a generator.

An effective date of March 1, 2014 was requested.

ER15-789

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and Southwestern Public Service Company ("SPS") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners

On December 31, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Golden Spread Electric Cooperative, Inc. as Network Customer, as well as a NOA with Southwestern Public Service Company and Oklahoma Gas and Electric Company as Host Transmission Owners. SPP Service Agreement No. Fifth Revised 2236.

An effective date of December 1, 2014 was requested.

ER15-815

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On December 31, 2014, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Network Customer, as well as a NOA with American Electric Power Service Corporation, Oklahoma Gas and Electric Company, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Twenty-Second Revised 1166.

An effective date of December 1, 2014 was requested.

RM05-5

Standards for Business Practices and Communication Protocols for Public Utilities

On October 3, 2014, FERC issued an Errata Notice correcting text of the incorporated standards listed in Order No. 676-H.

On October 20, 2014, the New York Independent System Operator, Inc. filed a Request for Rehearing of Order No. 676-H. NYISO asked that the Commission reverse its unexplained rejection of the ISO/RTO Council's request that Transmission Providers be given 24 months to come into compliance with the new Public Key Infrastructure standards in WEQ-012 of the newly accepted WEQ Version 003 standards.

On October 20, 2014, the Edison Electric Institute filed a Request for Clarification or, in the Alternative, Request for Rehearing of certain aspects of Order No. 676-H.

On November 17, 2014, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 676-H issued on September 18, 2014.

Regulatory Status Report

On November 21, 2014, Open Access Technology International, Inc. filed comments in response to Order No. 676-H issued on September 18, 2014. OATI requested that FERC delay the implementation date for non-Network Integration Transmission Service items until May 15, 2015.

On December 19, 2014, the North American Energy Standards Board submitted a report regarding the development of NAESB Wholesale Electric Quadrant Business Practice Standards that will support and coordinate with the North American Electric Reliability Corporation's Modeling, Data, and Analysis Reliability Standards currently before the Commission for consideration in Docket No. RM14-7.

On December 19, 2014, the North American Energy Standards Board submitted an informational report in response to Order No. 676-H issued on September 18, 2014. The report is intended to respond to specific Commission direction regarding modifications to WEQ-001-9.5, WEQ-001-10.5 and related standards and servers to provide the Commission with an update concerning the standards development effort given priority status by the Commission.

RM10-17

Demand Response Compensation in Organized Wholesale Energy Markets

On October 20, 2014, the U.S. Court of Appeals issued an order granting FERC's motion to stay issuance of mandate. The Clerk was directed to withhold the mandate through December 16, 2014.

On October 22, 2014, the NRG Companies filed a Motion on Remand of EPSCA v. FERC and Comments in Opposition on Complaint. The NRG Companies stated that they urge the Commission to promptly respond to the Electric Power Supply Association v. FERC, Case No. 11-1486 decision, and the related Complaint filed by FirstEnergy Services Company against PJM Interconnection, L.L.C., on May 23, 2014, and amended September 9, 2014.

RM12-1

Transmission Planning Reliability Standards

On November 26, 2014, FERC issued an order accepting the revisions to Reliability Standards submitted by NERC on August 29, 2014.

This order constitutes final agency action.

RM13-7

Protection System Maintenance Reliability Standard

On December 19, 2014, the North American Electric Reliability Corporation submitted an Informational Filing Regarding Commissioning Testing of Protection Systems.

RM13-9

Petition of the North American Electric Reliability Corporation ("NERC") for Approval of Modified Transmission Planning Reliability Standards in the Case of System Performance Following Loss of a Single Bulk Electric System Element

On November 26, 2014, FERC issued an order accepting the revisions to Reliability Standards submitted by NERC on August 29, 2014.

This order constitutes final agency action.

RM13-11

Frequency Response and Frequency Bias Setting Reliability Standard

On November 26, 2014, FERC issued an order accepting the revisions to Reliability Standards

Regulatory Status Report

submitted by NERC on August 29, 2014.

This order constitutes final agency action.

RM13-16

Generator Verification Reliability Standards

On November 26, 2014, FERC issued an order accepting the revisions to Reliability Standards submitted by NERC on August 29, 2014.

This order constitutes final agency action.

RM14-1

Reliability Standard for Geomagnetic Disturbance Operations (Order No. 797)

On October 16, 2014, FERC issued Order No. 797-A, Order Denying Rehearing of Order No. 797 issued on June 19, 2014.

RM14-2

Coordination of the Scheduling Processes of Interstate Natural Gas Pipelines and Public Utilities

On October 15, 2014, FERC issued a Notice of Filing. On September 29, 2014, the North American Electric Standards Board filed a report with the Commission describing modifications to the NAESB Wholesale Gas Quadrant (WEQ) Business Practice Standards that were adopted in response to the NOPR issued on March 20, 2014 to support the coordination of gas and electric industry scheduling processes. Comments are due by November 28, 2014.

On November 25, 2014, the ISO/RTO Council filed comments in response to the Notice of Proposed Rulemaking issued on March 20, 2014.

On November 26, 2014, SPP filed comments in response to the Notice of Proposed Rulemaking issued on March 20, 2014.

SPP stated:

- 1) it believes the 1:00 pm Central Clock Time beginning of the Timely Nomination Cycle specified in the NAESB revisions should be extended to 2:00 pm CT; and
- 2) it continues to support the 4:00 am CT start to the gas day as proposed in the NOPR.

Parties filed comments in response to the Notice of Proposed Rulemaking issued on March 20, 2014.

On December 12, 2014, FERC issued a data request to SPP. SPP's response is due by January 12, 2015. Comments on SPP's response are due by January 22, 2015.

On December 12, 2014, FERC also issued data requests to California ISO, ISO New England, the Midcontinent Independent System Operator, Inc., New York Independent System Operator, and PJM Interconnection, L.L.C. Responses are due on January 12, 2015. Comments on the responses are due on January 22, 2015.

RM14-7

Modeling, Data, and Analysis Reliability Standards

On December 19, 2014, the North American Energy Standards Board submitted a report regarding the development of NAESB Wholesale Electric Quadrant Business Practice Standards that will support and coordinate with the North American Electric Reliability Corporation's Modeling, Data, and Analysis Reliability Standards currently before the Commission for consideration in Docket No. RM14-7.

Regulatory Status Report

RM14-10 Real Power Balancing Control Performance Reliability Standard

On November 20, 2014, FERC issued a Notice of Proposed Rulemaking proposing to approve Reliability Standard BAL-001-2 (Real Power Balancing Control Performance) and proposed new definitions submitted by the North American Electric Reliability Corporation. The proposed Reliability Standard is designed to ensure that applicable entities maintain system frequency within narrow bounds around a scheduled value. In addition, the Commission proposes that NERC submit an informational filing that would address the impact of the proposed Reliability Standard on inadvertent interchange and unscheduled power flows.

Comments are due by January 26, 2015.

RM14-12 Notice of Proposed Rulemaking Proposing to Approve Reliability Standard MOD-031-1 - Demand and Energy Data Developed by the North American Electric Reliability Corporation ("NERC")

Parties filed comments in response to the Notice of Proposed Rulemaking issued on September 18, 2014.

RM14-13 Notice of Proposed Rulemaking Proposing to Approve Reliability Standards COM-001-2 (Communications) and COM-002-4 (Operating Personnel Communications Protocols) Developed by the North American Electric Reliability Corporation ("NERC")

Parties filed comments in response to the Notice of Proposed Rulemaking issued on September 18, 2014.

On December 1, 2014, the ISO/RTO Council filed Comments in response to the Notice of Proposed Rulemaking issued on September 18, 2014.

RM14-15 Physical Security Reliability Standard

On November 20, 2014, FERC issued Order No. 802, Final Rule approving Reliability Standard CIP-014-1 (Physical Security). The purpose of Reliability Standard CIP-014-1 is to enhance physical security measures for the most critical Bulk-Power System facilities and thereby lessen the overall vulnerability of the Bulk-Power System against physical attacks. In addition, the Commission directed the North American Electric Reliability Corporation to develop one modification to Reliability Standard CIP-014-1 and submit an informational filing.

This rule becomes effective on January 26, 2015.

On December 22, 2014, the Foundation for Resilient Societies filed a Request for Rehearing of Order No. 802 issued on November 20, 2014.

RM15-3 Revisions to Part 46 Filing Requirements (FERC-566 - Annual Report of a Utility's 20 Largest Customers)

On December 18, 2014, FERC issued a Notice of Proposed Rulemaking proposing to revise its regulations to eliminate the requirement to submit FERC-566 (Annual Report of a Utility's 20 Largest Customers) for regional transmission organizations, independent system operators, and exempt wholesale generators. The Commission is also proposing to revise its regulations to eliminate the requirement to submit FERC-566 for public utilities that have not made any reportable sales under FERC-566 in any of the three preceding years. The Commission further proposes to eliminate the requirement for public utilities submitting FERC-566 to identify individual residential customers by name and address.

Regulatory Status Report

Comments are due on March 2, 2015.

Regulatory Status Report

FERC or State Jurisdiction: State of Arkansas

08-136-U **In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas**

On November 3, 2014, SPP filed the Summer 2014 State of the Market Report pursuant to Order Nos. 2 and 25.

On December 23, 2014, SPP filed the Fall 2014 State of the Market Report pursuant to Order Nos. 2 and 25.

10-011-U **In the Matter of a Show Cause Order Directed to Entergy Arkansas, Inc. ("EAI") Regarding Its Continued Membership in the Current Entergy System Agreement ("ESA"), or Any Successor Agreement Thereto, and Regarding the Future Operation and Control of Its Transmission Assets**

On November 3, 2014, Todd Hillman filed Compliance Testimony on behalf of Midcontinent Independent System Operator, Inc.

On December 1, 2014, Todd Hillman filed Compliance Testimony on behalf of Midcontinent Independent System Operator, Inc.

12-008-U **In the Matter of Southwestern Electric Power Company's Petition for a Declaratory Order Finding that Installation of Environmental Controls at the Flint Creek Power Plant is in the Public Interest**

SWEPSCO continues to file the Monthly Report of Independent Monitor concerning the Flint Creek Power Plant environmental retrofit project pursuant to the requirements of Order No. 14.

On November 12, 2014, Sierra Club filed a Motion for an Order Directing that the Independent Monitor Evaluate U.S. EPA's Carbon Pollution Emissions Guidelines.

On November 21, 2014, Southwestern Electric Power Company and Arkansas Electric Cooperative Corporation filed a Joint Response requesting that the Commission deny Sierra Club's November 12, 2014 Motion.

On November 24, 2014, APSC General Staff filed its response to Sierra Club's Motion for an Order Directing that the Independent Monitor Evaluate U.S. EPA's Carbon Pollution Emissions Guidelines.

Staff stated that the referenced regulations in the Motion were proposed in June 2014 and are not scheduled to be final until January 1, 2015 at the earliest. Therefore, any such evaluation would be speculative at this time.

13-041-U **In the Matter of the Application of Southwestern Electric Power Company ("SWEPSCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas**

On December 30, 2014, Southwestern Electric Power Company filed a Notice of Withdrawal of Application for Certificate of Environmental Compatibility and Public Need.

FERC or State Jurisdiction: State of Kansas

14-SPPE-563-SHO

In the Matter of the General Investigation of Southwest Power Pool, Inc. to Show Cause Why the Costs Associated With the Proposed Membership of Western Area Power Administration - Upper Great Plains Region, Basin Electric Power Cooperative, and Heartland Consumers Power District ("Integrated System") are in the Public Interest of Kansas Electric Retail Customers

On October 6, 2014, Staff filed its Report and Recommendation.

Staff recommended that the Commission:

- 1) close this proceeding and defer any determinations regarding the public interest of Kansas electric retail customers related to Integrated System membership in SPP until after orders are issued in FERC Docket Nos. ER14-2850 and ER14-2851;
- 2) file interventions and protests in the FERC dockets;
- 3) consider initiating a future docket investigating the potential value of requiring annual reports by SPP addressing benefits to Kansas utilities afforded by continued SPP membership; and
- 4) consider initiating a future docket investigating the potential value of requiring annual reports by regulated Kansas SPP member Transmission Owners addressing utility specific benefits to each utility's retail ratepayers afforded by continued SPP membership.

On October 7, 2014, the KCC issued an Order Closing Docket.

The Commission concluded that a general investigation docket shall be opened for the limited purpose of investigating whether annual or periodic reporting by the Commission and Kansas utilities that participate in SPP is in the public interest.

The Commission closed this docket and deferred the determination regarding the public interest of Kansas electric retail customers related to the Integrated System membership in SPP until after orders are issued by FERC in Docket Nos. ER14-2850 and ER14-2851.

Regulatory Status Report

FERC or State Jurisdiction: State of LA - New Orleans

UD-11-01

Initiating Investigation of the Potential Costs and Benefits of Entergy New Orleans, Inc. and Entergy Louisiana, LLC Joining a Regional Transmission Organization Versus the Continuation of the Entergy Independent Coordinator of Transmission with Enhancements

On October 1, 2014, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On November 3, 2014, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

On December 1, 2014, Charles Rice, Jr. submitted Supplemental Direct Testimony on behalf of Entergy New Orleans, Inc.

FERC or State Jurisdiction: State of Louisiana

R-33253

Rulemaking Docket Regarding the United States Environmental Protection Agency's ("EPA") Proposed Rule on Carbon Dioxide Emissions from Existing Fossil-Fuel Fired Electric Generating Units under Section 111(d) of the Clean Air Act

On October 2, 2014, the Midcontinent Independent System Operator, Inc. submitted a copy of its analysis on the Clean Power Plan.

Certain parties filed Reply Comments in response to Comments filed on August 25, 2014.

On October 14, 2014, SPP submitted Comments attaching its comments filed at the EPA, as well as a copy of SPP's reliability impact assessment of the proposed rule.

On motion of Commissioner Skrmetta, seconded by Commissioner Holloway, and unanimously adopted, the Commission voted on November 17, 2014, to accept Staff's recommendation that the Commission file comments with the EPA on December 1, 2014 that do the following: 1) challenge the EPA's legal authority to implement CO2 regulations through Section 111(d) of the Clean Air Act; 2) challenge the EPA's legal authority to regulate sources outside the fence; 3) dispute the ability of the EPA to enforce a mandate with regard to power dispatch, renewable energy, or energy efficiency; 4) point out errors and inconsistencies in EPA's modeling assumptions which form the technical basis for the rule, including heat rate assumptions, capacity calculations, transmission constraints, at-risk nuclear generation, technical and feasible potential for renewable energy and energy efficiency in Louisiana, and stranded costs; 5) urge that the timeline for implementation of the rule is overly burdensome; and 6) provide an alternative emissions target that is appropriate for the State of Louisiana.

On December 2, 2014, LPSC Staff filed a copy of the comments submitted to the EPA on December 1, 2014.

U-32675

Joint Application of Entergy Louisiana, LLC and Entergy Gulf States Louisiana, L.L.C. (Collectively "Entergy") Regarding Transfer of Functional Control of Certain Transmission Assets to the Midwest Independent Transmission System Operator, Inc. ("MISO") Regional Transmission Organization, for an Accounting Order Deferring Related Implementation Costs and Request for Timely Treatment

On October 6, 2014, LPSC Staff filed a Report of July 29, 2014 Technical Conference Regarding MISO.

On October 16, 2014, the LPSC issued a Notice of Technical Conference to be held on November 14, 2014 to discuss issues related to integration by Entergy, Cleco Power LLC and other entities that have opted to participate in the Midcontinent Independent System Operator, Inc.

FERC or State Jurisdiction: State of Missouri

EO-2011-0128

In the Matter of the Application of Union Electric Company for Authority to Continue the Transfer of Functional Control of Its Transmission System to Midwest Independent Transmission System Operator, Inc.

On November 24, 2014, Ameren Missouri, MPSC Staff, the Office of Public Counsel and the Missouri Industrial Energy Consumers filed a Joint Motion to Modify April 19, 2012 Report and Order.

On November 25, 2014, the MPSC issued an Order Establishing Time to Respond to Motion to Modify Report and Order. Responses are due on December 4, 2014.

On November 26, 2014, Ameren Missouri, MPSC Staff, the Office of Public Counsel and the Missouri Industrial Energy Consumers filed an Amendment to Joint Motion to Modify April 19, 2012 Report and Order.

On December 2, 2014, the Midcontinent Independent System Operator, Inc. filed its Response to the Joint Motion of Ameren Missouri, et al.

On December 22, 2014, the MPSC issued an Order Modifying 2012 Report and Order.

EW-2012-0065

In the Matter of an Investigation of the Cost to Missouri's Electric Utilities Resulting from Compliance with Federal Environmental Regulations (Environmental Protection Agency or "EPA")

On October 13, 2014, SPP filed Supplemental Responsive Comments attaching its comments filed at the EPA, as well as a copy of SPP's reliability impact assessment of the proposed rule.

On November 11, 2014, Dogwood Energy LLC filed Supplemental Comments Regarding SPP Responsive Comments. Dogwood stated that it generally supports SPP's four recommendations set forth in its Responsive Comments filed on October 13, 2014.

Regulatory Status Report

FERC or State Jurisdiction: State of New Mexico

10-00143-UT

In the Matter of the Petition of Lea County Electric Cooperative, Inc. ("LCEC") For Approval to Transfer Certain Transmission Assets Under the Southwest Power Pool, Inc. Open Access Transmission Tariff

On December 11, 2014, Lea County Electric Cooperative, Inc. filed its Compliance Report regarding its participation in the SPP Regional Transmission Organization.

FERC or State Jurisdiction: State of Texas

42636 Commission Comments on Proposed EPA Rule on Greenhouse Gas Emissions for Existing Generating Units

On October 13, 2014, the Midcontinent Independent System Operator, Inc. filed comments concerning its role in connection with EPA's proposed rule.

On October 14, 2014, SPP submitted a copy of the comments filed at the EPA on October 9, 2014, as well as a copy of SPP's reliability impact assessment of the proposed rule.

On December 2, 2014, Commission Staff filed notice of the joint comments submitted to the EPA by the PUCT, the Texas Commission on Environmental Quality, and the Texas Railroad Commission.

On December 19, 2014, the Electric Reliability Council of Texas filed a copy of its Study on Impacts of Environmental Regulations in the ERCOT Region.

Regulatory Status Report

FERC or State Jurisdiction: U.S. Environmental Protection Agency

EPA-HQ-OAR-2013-0602 Environmental Protection Agency's ("EPA") Proposed Rule Carbon Pollution Emission Guidelines for Existing Stationary Sources: Electric Utility Generating Units ("Clean Power Plan" or "CPP")

On October 9, 2014, SPP submitted comments on the proposed rule.

On November 24, 2014, the SPP Regional State Committee submitted comments on the proposed rule.

On December 1, 2014, the ISO/RTO Council submitted comments on the proposed rule.

On December 1, 2014, SPP submitted supplemental comments on the proposed rule.

FERC or State Jurisdiction: United States Court of Appeals

12-1232 **South Carolina Public Service Authority v. FERC, Petition for Review of Order Nos. 1000 and 1000-A (Consolidated with 12-1233, 12-1250, 12-1276, 12-1279, 12-1280, 12-1285, 12-1290, 12-1292, 12-1293, 12-1294, 12-1296, 12-1299, 12-1300, 12-1304)**

On October 17, 2014, the U.S. Court of Appeals issued an Order denying the Large Public Power Council's Petition for Rehearing En Banc.

14-1053 **Southwest Power Pool, Inc. v. Federal Energy Regulatory Commission - Petition for Review of the June 20, 2013 and February 20, 2014 Orders issued in Docket Nos. ER12-2681, et al.**

On November 3, 2014, the parties filed a Status Report. The parties stated the settlement process initiated in the June 20, 2013 Order issued in FERC Docket Nos. ER12-2681 and ER13-948 continues.

14-1281 **Oklahoma Gas and Electric Company ("OG&E") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket Nos. ER13-366 and ER13-367 Regarding SPP's Order No. 1000 Regional Compliance Filings**

On December 15, 2014, Oklahoma Gas and Electric Company filed a Petition for Review before the U.S. Court of Appeals in Case No. 14-1281. OG&E requested review of the July 18, 2013 and October 16, 2014 Orders issued in Docket Nos. ER13-366 and ER13-367.

On December 16, 2014, the U.S. Court of Appeals issued an Order setting deadlines for submission of documents in Case No. 14-1281.

14-1282 **Xcel Energy Services Inc. ("Xcel") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket No. ER12-959 Regarding Tri-County Electric Cooperative, Inc.'s Formula Rate**

On December 15, 2014, Xcel Energy Services Inc. filed a Petition for Review before the United States Court of Appeals in Case No. 14-1282. Xcel seeks review of the March 30, 2012, February 21, 2013, and October 16, 2014 Orders issued in Docket No. ER12-959.

On December 19, 2014, the U.S. Court of Appeals issued an Order setting the deadlines for submission of documents in Case No. 14-1282.