

## Regulatory Update - Activity in Significant Dockets Second Quarter 2015

<b>SPP Tariff/Governing Document Revisions</b>		
<b>Docket Number</b>	<b>Short Description</b>	<b>Summary</b>
<b>ER12-1179</b>	Submission of Tariff Revisions to Implement SPP Integrated Marketplace	<p>On June 1, 2015, SPP submitted its 15 Month Informational Report on the Integrated Marketplace.</p> <p>On June 1, 2015, SPP submitted its compliance filing regarding the bid limit for the Transmission Congestion Right auction.</p> <p>On June 30, 2015, FERC issued an order accepting SPP's compliance filing submitted on June 1, 2015, regarding the bid limit for the Transmission Congestion Right auction.</p>
<b>ER13-366</b>  <b>and</b> <b>ER13-367</b>	Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements	<p>On April 16, 2015, FERC issued an Order on Rehearing and Compliance Filing. FERC denied LS Power Transmission, LLC and LSP Transmission Holdings, LLC request for rehearing of the order issued on October 16, 2014. The Commission conditionally accepted SPP's December 15, 2014 Compliance Filing, effective March 30, 2014, subject to a further compliance filing. The revisions removing provisions to incorporate Service Upgrades into SPP's competitive bidding process were accepted, effective January 1, 2015.</p> <p>On May 18, 2015, SPP submitted its compliance filing in response to the order issued on April 16, 2015.</p>
<b>14-1281</b> <b>(U.S. Court of Appeals)</b>	Oklahoma Gas and Electric Company ("OG&E") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket Nos. ER13-366 and ER13-367 Regarding SPP's Order No. 1000 Regional Compliance Filings	<p>On May 22, 2015, the U.S. Court of Appeals issued an order setting the briefing format and schedule in Case No. 14-1281.</p> <p>On June 1, 2015, LS Power Transmission, LLC and LSP Transmission Holdings, LLC filed a Petition for Review before the U.S. Court of Appeals in Case No. 15-1157 concerning the orders issued on July 18, 2013, October 16, 2014, and April 16, 2015 in FERC Docket Nos. ER13-366 and ER13-367.</p> <p>On June 8, 2015, LS Power Transmission, LLC and LSP Transmission Holdings, LLC filed a Protest in response to SPP's May 18, 2015 compliance filing. The parties stated that SPP's proposal is overly broad and vague and should be rejected.</p>
<b>15-1157</b> <b>(U.S. Court of Appeals)</b>	LSP Transmission Holdings, LLC and LS Power Transmission, LLC v. Federal Energy Regulatory Commission ("FERC")	<p>On June 25, 2015, SPP filed an answer in response to the protest filed by LS Power Transmission, LLC and LSP Transmission Holdings, LLC filed on June 8, 2015. SPP stated: 1) its proposed tariff revisions comply with the Third Compliance Order and Order No. 1000; and 2) the protest constitutes a collateral attack on Order No. 1000 and the SPP Compliance Orders.</p>
<b>ER13-1939</b>	Submission of Tariff Revisions to Comply with Order No. 1000 Interregional Coordination and Cost Allocation Requirements	<p>On May 18, 2015, SPP submitted revisions to its Tariff to comply with the order issued on March 19, 2015 regarding SPP's seam with the Southeastern Regional Transmission Planning ("SERTP") region. An effective date of January 1, 2015 was requested.</p>



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	and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")	
<b>ER15-492</b>	Petition for Waiver of Tariff Provisions in Attachment O to enable SPP to Modify the Study Schedule for the Integrated Transmission Planning ("ITP") Process	<p>On April 20, 2015, FERC issued an order granting SPP a limited waiver of the provisions in Attachment O of the Tariff to enable SPP to modify the study schedule for its ITP process. SPP requested that, for the three year planning cycle commencing in January 2015, the Commission waive the requirement to perform the ITP-20 and the timing requirements for the ITP-10.</p> <p>An effective date of January 1, 2015 was granted.</p>
<b>ER15-509</b>	Submission of Tariff Revisions to Refine Processes Related to SPP's Order No. 1000 Competitive Transmission Owner Selection Process	<p>On June 11, 2015, FERC issued an Order on Rehearing and Compliance.</p> <p>The Commission denied SPP's request for clarification that an affiliate of a Designated Transmission Owner that wishes to be assigned a Notification to Construct does not need to follow the timeframes for the annual qualification and re-certification process outlined in the Tariff. The Commission also denied SPP's alternative request for rehearing of the requirement that an affiliate of a Designated Transmission Owner must become qualified using the same qualification process and criteria that any other potential bidder must follow to become qualified.</p> <p>The Commission clarified that the January 23, 2015 Order does not find that all novations are prohibited by the Tariff. Rather, the Commission's findings in the January 23 Order address only the proposal that was before it, which was the proposal to provide a Designated Transmission Owner the ability to assign a Notification to Construct to a qualified affiliate.</p> <p>The Commission accepted SPP's February 23, 2015 Compliance Filing, effective January 26, 2015.</p>
<b>ER15-763</b>	Submission of Tariff Revisions to Modify Allocation of Over-Collected Losses	<p>On April 29, 2015, SPP submitted a compliance filing to include the explanation of the formulae in Sections 8.6.16.2(a) and 8.6.16.2(b) of Attachment AE of the Tariff.</p> <p>On June 29, 2015, FERC issued an order accepting SPP's April 29, 2015 Compliance Filing.</p> <p>This order constitutes final agency action.</p>

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<b>ER15-788</b>	Submission of Tariff Revisions Regarding Thresholds for Uneconomic Production Investigation	<p>On April 13, 2015, SPP submitted its response to the Commission's request for additional information issued on February 27, 2015.</p> <p>On June 12, 2015, FERC issued an order rejecting the tariff revisions necessary to modify the process by which the SPP Market Monitoring Unit identifies market power abuse involving uneconomic production from a generator.</p>
<b>ER15-990</b>	Petition for Wavier of Tariff Provisions Regarding the Establishment of Certain Resource Hubs in SPP's Integrated Marketplace	On May 14, 2015, FERC issued an order granting SPP's waiver request that the Commission waive Section 3.1.1 of Attachment AE of SPP's Tariff to allow SPP to recognize the existing hubs described to permit implementation of the new Resource Hub proposed by Xcel Energy Services Inc.
<b>ER15-1139</b>	Submission of Tariff Revisions to Section III.D of Attachment J to Add Potential Remedies that SPP Could Recommend as Part of the Regional Cost Allocation Review ("RCAR") Process	On April 30, 2015, FERC issued an order rejecting SPP's tariff revisions to add potential remedies to Section III.D of Attachment J that SPP could recommend as part of its RCAR process.
<b>ER15-1152</b>	Submission of Tariff Revisions Regarding a Transitional Process for Allocation of Auction Revenue Rights ("ARRs")	<p>On May 1, 2015, FERC issued an order conditionally accepting SPP's tariff revisions to implement a transitional process for allocation of ARR to Transmission Owners in the process of joining SPP as Market Participants. The Commission directed SPP to submit a compliance filing revising the Tariff to allow an entity who joins SPP as a Transmission Owner at such a time that is prevented from participating in the annual ARR allocation, to request a Transitional ARR Allocation, regardless of whether the entity is a new or existing Market Participant. An effective date of May 1, 2015 was granted.</p> <p>On June 1, 2015, SPP submitted its compliance filing in response to the order issued on May 1, 2015.</p> <p>On July 1, 2015, FERC issued an order accepting SPP's June 1, 2015 filing revising the Tariff in compliance with the order issued on May 1, 2015. An effective date of May 1, 2015 was granted.</p> <p>This order constitutes final agency action.</p>
<b>ER15-1293</b>	Submission of Tariff Revisions Regarding Multi-Day Reliability Commitment in Conservative Operations (Revising Attachment AE Sections 4.5.2 and 4.5.3)	<p>On May 14, 2015, FERC issued a letter requesting additional information in order to process the filing.</p> <p>On June 15, 2015, SPP submitted its response to the Commission's request for additional information issued on May 14, 2015.</p>

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<b>ER15-1340</b>	Submission of Tariff Revisions to Clarify Certification as a Regulation Qualified Resource in the Integrated Marketplace	<p>On May 6, 2015, FERC issued an order accepting the tariff revisions to include terms and conditions in Attachment AE in order to provide the means for a generation resource that is not currently registered in the Integrated Marketplace, as in the process of registration, to either self-certify as a Regulation Qualified Resource, Regulation-Up Qualified Resource, or Regulation-Down Qualified Resource approved for dispatch within the Integrated Marketplace or request SPP to administer testing as an alternative to self-testing. An effective date of May 19, 2015 was granted.</p> <p>This order constitutes final agency action.</p>
<b>ER15-1414</b>	Submission of Tariff Revisions to Modify the Aggregate Transmission Service Study ("ATSS") Process	<p>On May 19, 2015, FERC issued an order accepting SPP's tariff revisions to modify the ATSS Process. An effective date of June 1, 2015 was granted.</p> <p>This order constitutes final agency action.</p>
<b>ER15-1666</b>	Order No. 1000 Interregional Compliance Filing with Regards to the Mid-Continent Area Power Pool ("MAPP") Planning Region and its Sole Public Utility, NorthWestern Corporation ("NorthWestern")	<p>On May 4, 2015, SPP submitted its compliance filing specifying SPP's proposal to comply with the interregional planning and cost allocation requirements of Order No. 1000 with regards to the MAPP planning region and its sole public utility, NorthWestern Corporation. An effective date of October 1, 2015 was requested.</p>
<b>ER15-1918</b>	Submission of Tariff Revisions to Clarify and Modify Transmission Owner Selection Process Provisions	<p>On June 15, 2015, SPP filed tariff revisions to modify the demonstration of financial strength that participants in its Transmission Owner Selection Process must show, resolve ambiguity regarding the calculation and recovery of Transmission Owner Selection Process costs, and clarify what information the Industry Expert Panel may rely upon in scoring and ranking Request for Proposals. An effective date of August 15, 2015 was requested.</p> <p>On July 6, 2015, Ameren Services Company filed a Motion to Intervene and Comments. Ameren stated it supports the tariff revisions proposed by SPP that provide clarity to the Transmission Owner Selection Process and to the implementation of the Transmission Owner Selection Process. However, Ameren stated that SPP's request to charge Request for Proposal respondents \$2000 for each bid submitted in accordance with the Transmission Owner Selection Process to recover undefined general costs not directly traceable to a specific Competitive Upgrade solicitation should be rejected.</p>
<b>ER15-1924</b>	Revisions to Bylaws to Expand the Board of Directors	<p>On June 16, 2015, SPP submitted revisions to the SPP Bylaws to expand its Board of Directors by up to three additional seats and to incorporate corresponding modifications to certain quorum and voting requirements. An effective date of August 15, 2015 was requested.</p>

<b>Other Filings of Interest</b>		
Docket Number	Short Description	Summary
<b>EL11-34</b>	Midcontinent Independent System Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP	Settlement proceedings are ongoing.
<b>12-1158</b> (U.S. Court of Appeals)	Southwest Power Pool, Inc. v. Federal Energy Regulatory Commission ("FERC")	
<b>EL14-21</b>	SPP Complaint for an Order Finding the Midcontinent Independent System Operator, Inc. ("MISO") is Violating the Joint Operating Agreement ("JOA") between SPP and MISO and the SPP Tariff and Requiring MISO to Compensate SPP for Use of SPP's Transmission System ("SPP Complaint")	
<b>ER14-1174</b>	Unexecuted Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Midcontinent Independent System Operator, Inc. ("MISO") as Transmission Customer ("Service Agreement Filing")	
<b>EL14-30</b>	Midcontinent Independent System Operator, Inc. ("MISO") Complaint Regarding Transmission Service Invoices	

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<b>Other Filings of Interest</b>		
Docket Number	Short Description	Summary
	from SPP ("MISO Complaint")	
<b>ER13-1864</b>	Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Include Market-to-Market ("M2M") Terms and Conditions (SPP Rate Schedule FERC No. 9)	<p>On April 9, 2015, FERC issued an order accepting the compliance filing revising SPP's JOA with MISO pursuant to the January 22, 2015 order on M2M coordination. An effective date of March 1, 2015 was granted.</p> <p>On April 24, 2015, SPP submitted revisions to the JOA between SPP and MISO to revise Attachment 2, Section 8.1.2 in compliance with the order issued on January 22, 2015. An effective date of March 1, 2015 was requested.</p> <p>On May 14, 2015, MISO filed Comments in response to SPP's compliance filing submitted on April 24, 2015. MISO stated it generally supports the revisions and agrees that the proposed language reduces the ambiguity issues with current Section 8.1.2 of Attachment 2 of the SPP-MISO JOA and more clearly defines the parties' obligations for M2M resettlement. MISO stated that while it supports the revisions to Section 8.1.2, the Commission must be aware that the potential still exists for future disputes over uneconomic production.</p>
<b>ER13-1937</b>	Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Comply with Interregional Requirements of Order No. 1000 (SPP Rate Schedule FERC No. 9)	On April 17, 2015, FERC issued an order granting a 120-day extension of time to and including August 18, 2015, for SPP and MISO to comply with the February 19, 2015 Order.

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<b>State Cases</b>		
Docket Number	Short Description	Summary
<b>Arkansas</b> <b>13-041-U</b>	In the Matter of the Application of Southwestern Electric Power Company ("SWEPCO") for a Certificate of Environmental Compatibility and Public Need ("CECPN") for the Construction, Ownership, Operation and Maintenance of the Proposed 345 kV Transmission Line Between the Shipe Road Station and the Proposed Kings River Station and Associated Facilities to be Located in Benton, Carroll and/or Madison and Washington Counties, Arkansas	<p>On April 24, 2015, SWEPCO filed a Motion for Limited Rehearing of Order No. 37.</p> <p>On April 24, 2015, Save the Ozarks filed a Petition for Rehearing of Order No. 37.</p> <p>On May 4, 2015, SWEPCO filed its Response to Save the Ozarks' Petition for Rehearing.</p> <p>On May 4, 2015, SPP filed its Response to SWEPCO's Motion for Limited Rehearing and to Save the Ozarks' Petition for Rehearing of Commission Order 37.</p> <p>On May 20, 2015, the APSC issued Order No. 38, denying Save the Ozarks' Petition for Rehearing, granting SWEPCO's Motion filed on April 24, 2015, and granting SPP's requested relief in its Response filed on May 4, 2015. Save the Ozarks' Surreply filed on March 17, 2015 was stricken from the record.</p>
<b>Kansas</b> <b>15-WSEE-365-MIS</b>	In the Matter of the Application of Westar Energy, Inc. ("Westar") for a Siting Permit for the Construction of a 345 kV Transmission Line in Riley and Pottawatomie Counties, Kansas	<p>On April 7, 2015, SPP filed the Direct Testimony of Antoine Lucas.</p> <p>On April 10, 2015, Andy Fry and Leo Haynos filed Direct Testimony on behalf of the Kansas Corporation Commission ("KCC").</p> <p>On April 24, 2015, Kelly Harrison filed Supplemental Testimony on behalf of Westar.</p> <p>On May 4, 2015, KCC Staff, Westar, and SPP filed a Joint Motion to Approve Stipulation and Agreement and Modify the Procedural Schedule. The parties requested that the KCC waive the currently scheduled Simultaneous Post-Hearing Briefs and Simultaneous Post-Hearing Reply Briefs due on May 13 and May 19, 2015.</p> <p>A hearing was held on May 6, 2015.</p> <p>On June 11, 2015, the KCC issued an Order Approving Stipulation and Agreement.</p>