

Regulatory Status Report

FERC or State Jurisdiction: FERC

AD14-15

RTO/ISO Performance Metrics

On October 30, 2015, the six ISOs/RTOs filed their joint 2015 ISO/RTO Metrics Report.

EL11-34

Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP

On October 2, 2015, Judge Cintron issued a Settlement Judge Report, recommending that settlement judge procedures remain in place.

On October 13, 2015, SPP, together with the Midcontinent Independent System Operator, Inc., Associated Electric Cooperative, Inc., Southern Company Services, the Tennessee Valley Authority, Louisville Gas and Electric Company and Kentucky Utilities Company, PowerSouth Energy Cooperative, and NRG Energy, Inc., submitted an Offer of Settlement to resolve all issues between and among the Parties.

On October 13, 2015, SPP and the other parties filed a Joint Motion for Interim Relief and Request for Expedited Action. The Settling Parties requested authorization to implement the compensation and transfer limit provisions of Articles II, III, and VII of the Settlement Agreement commencing on February 1, 2016, pending the Commission's consideration and acceptance of the Settlement Agreement.

On October 14, 2015, Judge Wagner issued an order shortening time to answer to October 19, 2015, in response to the Joint Motion for Interim Relief and Request for Expedited Action.

On October 20, 2015, Judge Wagner issued an order granting the Joint Motion for Interim Relief and Request for Expedited Action filed on October 13, 2015. The compensation and transfer limit provisions of Articles II, III, VII of the Settlement Agreement were accepted and the parties were authorized to institute interim rates, effective February 1, 2016, and shall remain in effect pending Commission approval of the Settlement Agreement.

On October 23, 2015, Eric Morris filed comments in response to the Offer of Settlement.

EL12-59

Complaint of Golden Spread Electric Cooperative, Inc. ("Golden Spread") Against Southwestern Public Service Company ("SPS") Regarding Rate of Return on Common Equity ("ROE") Input Value for the Formula Rate Applicable to the Replacement Power Sales Agreement ("RPSA")

On October 29, 2015, FERC issued an Approving Uncontested Settlement filed on August 28, 2015.

EL14-21

SPP Complaint for an Order Finding the Midcontinent Independent System Operator, Inc. ("MISO") is Violating the Joint Operating Agreement ("JOA") between SPP and MISO and the SPP Tariff and Requiring MISO to Compensate SPP for Use of SPP's Transmission System

On October 2, 2015, Judge Cintron issued a Settlement Judge Report, recommending that settlement judge procedures remain in place.

On October 13, 2015, SPP, together with the Midcontinent Independent System Operator, Inc., Associated Electric Cooperative, Inc., Southern Company Services, the Tennessee

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Valley Authority, Louisville Gas and Electric Company and Kentucky Utilities Company, PowerSouth Energy Cooperative, and NRG Energy, Inc., submitted an Offer of Settlement to resolve all issues between and among the Parties.

On October 13, 2015, SPP and the other parties filed a Joint Motion for Interim Relief and Request for Expedited Action. The Settling Parties requested authorization to implement the compensation and transfer limit provisions of Articles II, III, and VII of the Settlement Agreement commencing on February 1, 2016, pending the Commission's consideration and acceptance of the Settlement Agreement.

On October 14, 2015, Judge Wagner issued an order shortening time to answer to October 19, 2015, in response to the Joint Motion for Interim Relief and Request for Expedited Action.

On October 20, 2015, Judge Wagner issued an order granting the Joint Motion for Interim Relief and Request for Expedited Action filed on October 13, 2015. The compensation and transfer limit provisions of Articles II, III, VII of the Settlement Agreement were accepted and the parties were authorized to institute interim rates, effective February 1, 2016, and shall remain in effect pending Commission approval of the Settlement Agreement.

On October 23, 2015, Eric Morris filed comments in response to the Offer of Settlement.

EL14-30

Midcontinent Independent System Operator, Inc. ("MISO") Complaint Regarding Transmission Service Invoices from SPP

On October 2, 2015, Judge Cintron issued a Settlement Judge Report, recommending that settlement judge procedures remain in place.

On October 13, 2015, SPP, together with the Midcontinent Independent System Operator, Inc., Associated Electric Cooperative, Inc., Southern Company Services, the Tennessee Valley Authority, Louisville Gas and Electric Company and Kentucky Utilities Company, PowerSouth Energy Cooperative, and NRG Energy, Inc., submitted an Offer of Settlement to resolve all issues between and among the Parties.

On October 13, 2015, SPP and the other parties filed a Joint Motion for Interim Relief and Request for Expedited Action. The Settling Parties requested authorization to implement the compensation and transfer limit provisions of Articles II, III, and VII of the Settlement Agreement commencing on February 1, 2016, pending the Commission's consideration and acceptance of the Settlement Agreement.

On October 14, 2015, Judge Wagner issued an order shortening time to answer to October 19, 2015, in response to the Joint Motion for Interim Relief and Request for Expedited Action.

On October 20, 2015, Judge Wagner issued an order granting the Joint Motion for Interim Relief and Request for Expedited Action filed on October 13, 2015. The compensation and transfer limit provisions of Articles II, III, VII of the Settlement Agreement were accepted and the parties were authorized to institute interim rates, effective February 1, 2016, and shall remain in effect pending Commission approval of the Settlement Agreement.

On October 23, 2015, Eric Morris filed comments in response to the Offer of Settlement.

EL15-8

Golden Spread Electric Cooperative, Inc. ("Golden Spread"), Central Valley Electric Cooperative, Inc. ("Central Valley"), Farmers' Electric Cooperative, Inc. ("Farmers"), Lea County Electric Cooperative, Inc. ("Lea County"), Roosevelt County Electric Cooperative, Inc. ("Roosevelt") and the West Texas Municipal Power Agency

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("WTMPA") (collectively the "Complainants") Complaint Against Southwestern Public Service Company ("SPS") Involving the Input Value for Rate of Return on Common Equity ("ROE") in the Formula Rate Applicable to the Replacement Power Sales Agreements Pursuant to which SPS Sells Capacity and Energy to the Complainants and the Formula Rate Applicable to Determination of the SPS Annual Transmission Revenue Requirement ("ATRR")

On October 29, 2015, FERC issued an Approving Uncontested Settlement filed on August 28, 2015.

EL15-86

ITC Grid Development, LLC's ("ITC") Petition for Declaratory Order Regarding Rates for Competitively Selected Transmission Projects

On October 13, 2015, the Transmission Access Policy Study Group filed a Limited Response in response to the answer filed by ITC Grid Development, LLC on September 25, 2015.

ER13-1928

Duke Energy Carolinas, LLC's and Duke Energy Progress, Inc.'s Order 1000 Interregional Filing

On October 9, 2015, FERC issued an order accepting Duke Energy's compliance filing submitted on September 14, 2015.

ER13-1937

Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Comply with Interregional Requirements of Order No. 1000 (SPP Rate Schedule FERC No. 9)

On October 8, 2015, the ITC Companies filed an answer in response to the answer filed by SPP and the Midcontinent Independent System Operator, Inc. on September 23, 2015.

ITC stated that the Commission should reject SPP's and MISO's Answer and the Answer attempts to improperly deny ITC its right to protest issues raised in the compliance filings submitted on August 18, 2015.

On October 9, 2015, the American Wind Energy Association and the Wind Coalition filed an answer in response to the answer filed by SPP on September 23, 2015.

The parties stated should SPP's proposal for the make-up of the Interregional Planning Stakeholder Advisory Committee be approved, it will give too much authority to incumbent Transmission Owners, be unduly discriminatory to other stakeholder groups, and likely serve to exclude key energy providers from representation.

ER13-1938

Midcontinent Independent System Operator, Inc. ("MISO") Submission of Joint Operating Agreement ("JOA") between SPP and MISO to Comply with Interregional Requirements of Order No. 1000

On October 8, 2015, the ITC Companies filed an answer in response to the answer filed by SPP and the Midcontinent Independent System Operator, Inc. on September 23, 2015.

ITC stated that the Commission should reject SPP's and MISO's Answer and the Answer attempts to improperly deny ITC its right to protest issues raised in the compliance filings submitted on August 18, 2015.

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- ER14-67** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner**
- On October 30, 2015, Western Farmers Electric Cooperative and American Electric Power Service Corporation filed a status report regarding their progress in drafting a settlement agreement.
- The Parties stated they intend to file a Settlement Agreement no later than November 13, 2015, or submit a written status report.
- ER14-781** **Submission of Tariff Revisions to Modify the Generator Interconnection Procedures**
- On October 23, 2015, FERC issued an order accepting SPP's compliance filing submitted on July 20, 2015 in response to the order issued on June 18, 2015.
- ER14-1174** **Unexecuted Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Midcontinent Independent System Operator, Inc. ("MISO") as Transmission Customer**
- On October 2, 2015, Judge Cintron issued a Settlement Judge Report, recommending that settlement judge procedures remain in place.
- On October 13, 2015, SPP, together with the Midcontinent Independent System Operator, Inc., Associated Electric Cooperative, Inc., Southern Company Services, the Tennessee Valley Authority, Louisville Gas and Electric Company and Kentucky Utilities Company, PowerSouth Energy Cooperative, and NRG Energy, Inc., submitted an Offer of Settlement to resolve all issues between and among the Parties.
- On October 13, 2015, SPP and the other parties filed a Joint Motion for Interim Relief and Request for Expedited Action. The Settling Parties requested authorization to implement the compensation and transfer limit provisions of Articles II, III, and VII of the Settlement Agreement commencing on February 1, 2016, pending the Commission's consideration and acceptance of the Settlement Agreement.
- On October 14, 2015, Judge Wagner issued an order shortening time to answer to October 19, 2015, in response to the Joint Motion for Interim Relief and Request for Expedited Action.
- On October 20, 2015, Judge Wagner issued an order granting the Joint Motion for Interim Relief and Request for Expedited Action filed on October 13, 2015. The compensation and transfer limit provisions of Articles II, III, VII of the Settlement Agreement were accepted and the parties were authorized to institute interim rates, effective February 1, 2016, and shall remain in effect pending Commission approval of the Settlement Agreement.
- On October 23, 2015, Eric Morris filed comments in response to the Offer of Settlement.
- ER14-2022** **Midcontinent Independent System Operator, Inc. ("MISO") Request for Waiver of Certain Provisions of the Open Access Transmission, Energy and Operating Reserve Markets Tariff ("Tariff") Relating to Long-Term Firm Transmission Service Requests ("TSRs")**
- On October 15, 2015, FERC issued an order granting the Midcontinent Independent System

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Operator, Inc.'s Request for Extension of Waiver, effective April 1, 2015 through April 1, 2016, or the resolution of the dispute between MISO and SPP in Docket Nos. ER14-1174-000, et al.

ER14-2363

Southwestern Public Service Company's ("SPS") Request for Waiver of the Provisions in Schedules 1A and 11 of SPP's Open Access Transmission Tariff ("Tariff")

On October 8, 2015, FERC issued an order denying rehearing of the order issued on February 23, 2015.

ER14-2553

Order No. 681 Compliance Filing to Implement Long-Term Congestion Rights ("LTCRs")

On October 30, 2015, SPP submitted tariff revisions in compliance with the order issued on July 16, 2015.

An effective date of February 1, 2015 was requested.

ER14-2850

Submission of Tariff Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")

On October 15, 2015, FERC issued an Order on Rehearing and Clarification. The Commission denied the requests for rehearing and granted in part and denied in part the requests for clarification of the order issued on November 10, 2014.

The Commission denied the Kansas Corporation Commission's request for rehearing concerning the Federal Service Exemption.

The Commission denied the rehearing requests with regard to the appropriate treatment of Basin Electric's Schedule 2 projects. The Commission clarified that when it relied upon SPP's Regional Cost Allocation Review process to correct inconsistencies in regional cost sharing, it made these statements in reference to SPP's current Tariff procedures specifying review of the Highway/Byway methodology. The Commission stated it expected SPP to include the Basin Electric Schedule 2 projects - like any other base plan upgrade - in future reviews of whether inequities exist in SPP's cost allocation methodology, consistent with the Tariff, which may inform future changes to cost allocations.

The Commission denied SPP's request for clarification or rehearing of the determination regarding transmission facilities service credits of Section 30.9 of the Tariff.

The Commission denied Otter Tail's requests for rehearing as to rate pancaking. However, to the extent that Otter Tail has facilities that are highly integrated with facilities in the expanded SPP transmission system as a result of joint planning and ownership, and is concerned that the integration of the Integrated System Parties into SPP will introduce duplicative or pancaked rates that did not previously exist for use of such jointly planned and owned facilities, the Commission clarified that Otter Tail may address in the hearing and settlement judge procedures whether any provision is needed in its service agreement with SPP to mitigate such impacts in order to ensure just and reasonable rates.

The Commission granted Otter Tail's request for clarification concerning other seams issues that may occur regardless of whether Central Power joins SPP. In the November 2014 Order, the Commission included those seams issues in the hearing and settlement judge procedures

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to the extent that they are not related to the transfer of facilities of Corn Belt and Central Power to SPP.

The Commission clarified that it set all issues not summarily decided in the November 2014 Order for hearing and settlement judge procedures. The issues summarily decided were: the Federal Service Exemption, co-supply arrangement, base plan upgrades, the FERC assessment, generator interconnection procedures, and the Bylaws and Membership Agreement revisions.

On October 15, 2015, SPP filed a Motion to Reschedule Settlement Conference from October 23, 2015 to November 12, 2015.

On October 16, 2015, SPP filed a a Joint Offer of Partial Settlement resolving all of the Municipal Energy Agency of Nebraska's issues set for hearing in this proceeding.

On October 16, 2015. SPP filed a Partial Settlement Agreement resolving all of the Montana Consumer Counsel's issues set for hearing in this proceeding.

On October 20, 2015, Judge Young issued an order re-scheduling settlement conference to be held on November 10, 2015.

On October 26, 2015, SPP filed a a Joint Offer of Partial Settlement resolving all of Otter Tail Power Company's issues set for hearing in this proceeding.

ER14-2851

Submission of Bylaws and Membership Agreement ("Governing Documents") Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")

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The Commission denied the rehearing requests with regard to the appropriate treatment of Basin Electric's Schedule 2 projects. The Commission clarified that when it relied upon SPP's Regional Cost Allocation Review process to correct inconsistencies in regional cost sharing, it made these statements in reference to SPP's current Tariff procedures specifying review of the Highway/Byway methodology. The Commission stated it expected SPP to include the Basin Electric Schedule 2 projects - like any other base plan upgrade - in future reviews of whether inequities exist in SPP's cost allocation methodology, consistent with the Tariff, which may inform future changes to cost allocations.

The Commission denied SPP's request for clarification or rehearing of the determination regarding transmission facilities service credits of Section 30.9 of the Tariff.

The Commission denied Otter Tail's requests for rehearing as to rate pancaking. However, to the extent that Otter Tail has facilities that are highly integrated with facilities in the expanded SPP transmission system as a result of joint planning and ownership, and is concerned that

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the integration of the Integrated System Parties into SPP will introduce duplicative or pancaked rates that did not previously exist for use of such jointly planned and owned facilities, the Commission clarified that Otter Tail may address in the hearing and settlement judge procedures whether any provision is needed in its service agreement with SPP to mitigate such impacts in order to ensure just and reasonable rates.

The Commission granted Otter Tail's request for clarification concerning other seams issues that may occur regardless of whether Central Power joins SPP. In the November 2014 Order, the Commission included those seams issues in the hearing and settlement judge procedures to the extent that they are not related to the transfer of facilities of Corn Belt and Central Power to SPP.

The Commission clarified that it set all issues not summarily decided in the November 2014 Order for hearing and settlement judge procedures. The issues summarily decided were: the Federal Service Exemption, co-supply arrangement, base plan upgrades, the FERC assessment, generator interconnection procedures, and the Bylaws and Membership Agreement revisions.

On October 16, 2015, SPP filed a Partial Settlement Agreement resolving all of the Montana Consumer Counsel's issues set for hearing in this proceeding.

On October 16, 2015, SPP filed a a Joint Offer of Partial Settlement resolving all of the Municipal Energy Agency of Nebraska's issues set for hearing in this proceeding.

On October 20, 2015, Judge Young issued an order re-scheduling settlement conference to be held on November 10, 2015.

On October 26, 2015, SPP filed a a Joint Offer of Partial Settlement resolving all of Otter Tail Power Company's issues set for hearing in this proceeding.

ER14-2882

The Empire District Electric Company's ("Empire") Compliance Filing Revising Formula Rate Protocols

On October 30, 2015, FERC issued an order conditionally accepting The Empire District Electric Company's and SPP's compliance filings to revise Empire's protocols, effective April 1, 2015 as requested, subject to further compliance filings.

The Commission found that Empire's proposed section 2.2 of the protocols does not comply with the Commission directives addressing joint meetings on regional transmission projects. Empire was directed to revise section 2.2 in order to not limit the coordination in the joint meetings to only the transmission owners that are members of SPP.

The Commission found that SPP appropriately incorporated protocols include in Empire's compliance filing. SPP was directed to submit a compliance filing within 60 days of this order, which reflects any changes made by Empire as a result of the directive to Empire.

Empire's and SPP's compliance filings are due on December 29, 2015.

ER15-1293

Submission of Tariff Revisions Regarding Multi-Day Reliability Commitment in Conservative Operations (Revising Attachment AE Sections 4.5.2 and 4.5.3)

On October 21, 2015, FERC issued an order accepting SPP's compliance filing submitted on September 11, 2015.

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This order constitutes final agency action.

ER15-1499

Submission of Tariff Revisions to Incorporate City of Independence, Missouri as a Transmission Owner and to Implement a Stated Annual Transmission Revenue Requirement ("ATRR")

On October 22, 2015, Kansas City Power & Light Company filed Prepared Answering Testimonies and Exhibits of Harold G. Wyble, Darrin Ives, and Dennis W. Bethel.

ER15-1733

Compliance Filing Revising The Empire District Electric Company's Formula Rate Protocols

On October 30, 2015, FERC issued an order conditionally accepting The Empire District Electric Company's and SPP's compliance filings to revise Empire's protocols, effective April 1, 2015 as requested, subject to further compliance filings.

The Commission found that Empire's proposed section 2.2 of the protocols does not comply with the Commission directives addressing joint meetings on regional transmission projects. Empire was directed to revise section 2.2 in order to not limit the coordination in the joint meetings to only the transmission owners that are members of SPP.

The Commission found that SPP appropriately incorporated protocols include in Empire's compliance filing. SPP was directed to submit a compliance filing within 60 days of this order, which reflects any changes made by Empire as a result of the directive to Empire.

Empire's and SPP's compliance filings are due on December 29, 2015.

ER15-1775

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Basin Electric Power Cooperative ("Basin Electric")

On October 1, 2015, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On October 6, 2015, the Kansas Corporation Commission filed an answer in response to the Request for Clarification filed by Basin Electric Power Cooperative on September 21, 2015.

On October 21, 2015, FERC issued an Order Granting Rehearing for Further Consideration of the order issued on August 21, 2015.

ER15-1777

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Heartland Consumers Power District ("Heartland")

On October 1, 2015, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On October 5, 2015, Judge Haubner issued a Notice Scheduling Settlement Conference to be held on December 1, 2015.

On October 14, 2015, Judge Haubner issued a Notice Rescheduling Settlement Conference to be held on December 10, 2015.

ER15-1918

Submission of Tariff Revisions to Clarify and Modify Transmission Owner Selection Process Provisions

On October 8, 2015, FERC issued an order accepting SPP's compliance filing filed on

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September 11, 2015.

An effective date of August 15, 2015 was granted.

This order constitutes final agency action.

ER15-1943

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Missouri River Energy Services ("MRES")

On October 6, 2015, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on October 14, 2015.

On October 6, 2015, Judge Cianci issued a Scheduling Notice. A short meet and greet settlement conference will be held on October 14, 2015 at 10 AM Eastern Time.

On October 15, 2015, Judge Cianci filed a Settlement Status Report recommending that settlement judge procedures be continued.

On October 30, 2015, SPP and Missouri River Energy Services filed a Joint Motion for Adoption of Protective Order.

ER15-1976

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for East River Electric Power Cooperative, Inc. ("East River")

On October 6, 2015, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on October 20, 2015.

On October 8, 2015, Judge Haubner issued an Order Setting Settlement Conference Procedure.

On October 21, 2015, Judge Haubner issued a Notice Scheduling Settlement Conference to be held on December 9, 2015.

On October 26, 2015, SPP and East River Electric Power Cooperative, Inc. filed a Joint Motion for Adoption of Protective Order.

On October 28, 2015, Judge Wagner issued an Order of Chief Judge Adopting Protective Order and Waiving Answer Period.

ER15-2028

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Corn Belt Power Cooperative ("Corn Belt")

On October 6, 2015, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on October 14, 2015.

On October 6, 2015, Judge Cianci issued a Scheduling Notice. A short meet and greet settlement conference will be held on October 14, 2015.

On October 15, 2015, Judge Cianci filed a Settlement Status Report recommending that settlement judge procedures be continued.

On October 26, 2015, SPP and Corn Belt Power Cooperative filed a Joint Motion for Adoption of Protective Order.

On October 28, 2015, Judge Wagner issued an Order of Chief Judge Adopting Protective Order and Waiving Answer Period.

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ER15-2069

NorthWestern Corporation d/b/a NorthWestern Energy's Filing of Formula Rate Template and Formula Rate Protocols to be included in the SPP Tariff

On October 9, 2015, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on October 27, 2015.

On October 21, 2015, Heartland Consumers Power District filed a Motion to Intervene Out of Time.

On October 29, 2015, Judge Johnson issued a Settlement Status Report, recommending that settlement judge procedures be continued.

ER15-2075

Submission of Tariff Revisions to Incorporate NorthWestern Energy's ("NorthWestern") Formula Rate

On October 9, 2015, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on October 27, 2015.

On October 21, 2015, Heartland Consumers Power District filed a Motion to Intervene Out of Time.

On October 29, 2015, Judge Johnson issued a Settlement Status Report, recommending that settlement judge procedures be continued.

ER15-2115

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Northwest Iowa Power Cooperative ("NIPCO")

On October 6, 2015, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on October 22, 2015.

On October 26, 2015, SPP and Northwest Iowa Power Cooperative filed a Joint Motion for Adoption of Protective Order.

ER15-2237

Kanstar Transmission, LLC ("Kanstar") Filing of a Formula Rate Template and Implementation Protocols to Recover Costs Associated with its Investment in Transmission Facilities Located within Southwest Power Pool, Inc.

On October 2, 2015, Judge Cintron issued an Order Scheduling Settlement Conference to be held on November 17, 2015.

On October 19, 2015, Kanstar Transmission, LLC submitted its compliance filing in response to the order issued on September 17, 2015.

On October 19, 2015, the Kansas Corporation Commission filed a Request for Rehearing of the order issued on September 17, 2015.

On October 26, 2015, Judge Cintron issued a Settlement Judge Report to the Commission and Chief Judge, recommending that settlement judge procedures remain in place.

ER15-2265

Submission of Tariff Revisions to Modify Procedures for Establishing Trading Hubs and Resource Hubs

On October 22, 2015, SPP submitted its compliance filing to clarify certain procedures for the establishment, modification, and termination of Trading Hubs and Resource Hubs in SPP's Integrated Marketplace.

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An effective date of September 23, 2015 was requested.

ER15-2324

Submission of Revenue Requirements, Formula Rate Templates and Formula Rate Protocols for the Following Members of Missouri River Energy Services ("MRES"): Moorhead, Minnesota; Orange City, Iowa; Pierre, South Dakota; Sioux Center, Iowa; and Watertown, South Dakota

On October 6, 2015, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on October 15, 2015.

On October 6, 2015, Judge Cianci issued a Scheduling Notice. A short meet and greet settlement conference will be held on October 15, 2015.

On October 16, 2015, Judge Cianci filed a Settlement Status Report, recommending that settlement judge procedures be continued.

ER15-2347

Contract Services Agreement Between Southwest Power Pool, Inc. and Western Area Power Administration

On October 6, 2015, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on October 15, 2015.

On October 6, 2015, Judge Cianci issued a Scheduling Notice. A short meet and greet settlement conference will be held on October 15, 2015.

On October 16, 2015, Judge Cianci filed a Settlement Status Report, recommending that settlement judge procedures be continued.

ER15-2351

Unexecuted Market Participant Agreement between SPP as Transmission Provider and Alliant Energy Corporate Services, Inc. ("Alliant") as Customer and an Unexecuted Agreement Establishing a Pseudo-Tie Electrical Interconnection Point between SPP, Midcontinent Independent System Operator, Inc. ("MISO") as the External Balancing Authority, and Alliant as the Market Participant

On October 6, 2015, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on October 27, 2015.

On October 23, 2015, Judge Coffman issued an Order Scheduling Settlement Conference to be held on November 16, 2015.

On October 30, 2015, Alliant Energy Corporate Services, Inc. filed a Request for Rehearing of the order issued on September 30, 2015.

ER15-2356

Unexecuted Market Participant Service Agreement between SPP as Transmission Provider and MidAmerican Energy Company ("MidAmerican") as Customer

On October 6, 2015, Judge Wagner issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on October 29, 2015.

On October 23, 2015, Judge Coffman issued an Order Scheduling Settlement Conference to be held on November 16, 2015.

On October 29, 2015, Missouri River Energy Services filed a Late Motion to Intervene.

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ER15-2377

Gas-Electric Coordination Compliance Filing (Order No. 809)

On October 16, 2015, SPP filed an answer in response to comments filed in this proceeding.

SPP stated:

- 1) it should not be required to post the results of the Day-Ahead Market in advance of the start of the Timely Nomination Cycle; and
- 2) the Commission should reject calls for SPP to adopt additional reforms that are beyond the scope of the order issued on March 20, 2014 in Docket Nos. EL14-27-000, et al.

ER15-2412

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 7, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2414

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 7, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2416

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 7, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2420

Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between EDF Renewable Development, Inc. ("EDF Renewable") as Interconnection Customer and Mid-Kansas Electric Company, LLC ("MKEC") as Transmission Owner

On October 7, 2015, FERC issued a letter order accepting the Notice of Cancellation, effective July 15, 2015 as requested.

This order constitutes final agency action.

ER15-2421

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 7, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

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- ER15-2423** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On October 8, 2015, FERC issued a letter order accepting the agreement, effective October 12, 2015. FERC denied waiver of the 60 day notice requirement since the agreement contained a rate increase. SPP's refund report is due on December 7, 2015.
- This order constitutes final agency action.
- ER15-2424** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On October 7, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.
- This order constitutes final agency action.
- ER15-2432** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On October 8, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.
- This order constitutes final agency action.
- ER15-2433** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On October 8, 2015, FERC issued a letter order accepting the agreement, effective October 13, 2015. FERC denied waiver of the 60 day notice requirement since the agreement contained a rate increase. SPP's refund report is due on December 7, 2015.
- This order constitutes final agency action.
- ER15-2434** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On October 8, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.
- This order constitutes final agency action.
- ER15-2439** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner**
- On October 8, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

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This order constitutes final agency action.

ER15-2459

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 8, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2460

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 9, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2461

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 9, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2462

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 9, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2494

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 9, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2496

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 9, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

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This order constitutes final agency action.

ER15-2497

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 9, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2498

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as both Network Customer and Host Transmission Owner

On October 8, 2015, FERC issued a letter order accepting the agreement, effective October 20, 2015. FERC denied waiver of the 60 day notice requirement since the agreement contained a rate increase. SPP's refund report is due on December 7, 2015.

This order constitutes final agency action.

ER15-2506

Notice of Extension of Joint Operating Agreement Between SPP and Western Area Power Administration (FERC Rate Schedule No. 13)

On October 8, 2015, FERC issued an order accepting the notice of extension of the Joint Operating Agreement Among and Between Southwest Power Pool, Inc. and Western Area Power Administration.

An effective date of June 21, 2015 was granted.

This order constitutes final agency action.

ER15-2507

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kaw Valley Electric Cooperative ("Kaw Valley") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 13, 2015, FERC issued a letter order accepting the agreement, effective October 20, 2015. FERC denied waiver of the 60 day notice requirement since the agreement contained a rate increase. SPP's refund report is due on December 11, 2015.

This order constitutes final agency action.

ER15-2508

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Westar Energy, Inc. ("Westar") as Network Customer and Host Transmission Owner

On October 13, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

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- ER15-2512** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On October 13, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.
- This order constitutes final agency action.
- ER15-2513** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On October 13, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.
- This order constitutes final agency action.
- ER15-2514** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Midwest Energy, Inc. ("Midwest") and Westar Energy, Inc. ("Westar") as Host Transmission Owners**
- On October 13, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.
- This order constitutes final agency action.
- ER15-2519** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Nemaha-Marshall Electric Cooperative ("Nemaha-Marshall") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On October 13, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.
- This order constitutes final agency action.
- ER15-2520** **Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Kansas City Board of Public Utilities ("KCBPU") as Transmission Customer**
- On October 13, 2015, FERC issued a letter order accepting the agreement, effective October 23, 2015. FERC denied waiver of the 60 day notice requirement since the agreement contained a rate increase. SPP's refund report is due on December 11, 2015.
- This order constitutes final agency action.
- ER15-2521** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Chanute, KS ("Chanute") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner**
- On October 13, 2015, FERC issued a letter order accepting the agreement, effective August 1,

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2015 as requested.

This order constitutes final agency action.

ER15-2531

Notice of Cancellation of Designee Qualification and Novation Agreement between SPP, ITC Great Plains, LLC ("ITC"), and Sunflower Electric Power Corporation ("Sunflower")

On October 20, 2015, FERC issued a letter order accepting the Notice of Cancellation, effective August 10, 2015 as requested.

This order constitutes final agency action.

ER15-2532

Notice of Cancellation of Designee Qualification and Novation Agreement between SPP, ITC Great Plains, LLC ("ITC"), and Mid-Kansas Electric Company, LLC ("MKEC")

On October 20, 2015, FERC issued a letter order accepting the Notice of Cancellation, effective August 10, 2015 as requested.

This order constitutes final agency action.

ER15-2540

Transmission Interconnection Agreement Between Kansas City Power & Light Company ("KCPL") and Associated Electric Cooperative, Inc. ("AECI"), with SPP as Signatory

On October 20, 2015, FERC issued a letter order accepting the agreement, effective August 10, 2015 as requested.

This order constitutes final agency action.

ER15-2542

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and Kansas City Power & Light Company ("KCPL") as Network Customer and KCPL, KCP&L Greater Missouri Operations Company ("GMO"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

On October 20, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2543

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Westar Energy, Inc. ("Westar") as Host Transmission Owner

On October 20, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2560

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Power Pool ("KPP") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Mid-Kansas Electric Company, LLC ("MKEC"), and Westar Energy, Inc. ("Westar") as Host Transmission Owners

Regulatory Status Report

On October 20, 2015, FERC issued a letter order accepting the agreement, effective August 1, 2015 as requested.

This order constitutes final agency action.

ER15-2594

South Central MCN LLC's ("SCMCN") Application for Acceptance of a Transmission Rate Formula to Establish an Annual Transmission Revenue Requirement ("ATRR") for Transmission Service Over Facilities that SCMCN will Own in the Southwest Power Pool, Inc. Region

On October 6, 2015, South Central MCN LLC filed an answer in response to comments and protests filed in this proceeding.

On October 19, 2015, South Central MCN LLC filed a Motion for Commission Action Prior to Requested Effective Date.

On October 21, 2015, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed an answer in response to South Central MCN LLC's answer filed on October 6, 2015.

On October 21, 2015, City Utilities of Springfield, Missouri filed an answer in response to the answer filed by South Central MCN LLC on October 6, 2015, as well as the motion filed on October 19, 2015.

On October 23, 2015, Tri-County Electric Cooperative, Inc. and Oklahoma Municipal Power Authority filed a Joint Answer in Support of South Central MCN LLC's Motion for Commission Action Prior to Requested Effective Date.

On October 29, 2015, FERC issued an order accepting the formula rate, to be effective once the template and protocols are filed with the Commission to become part of SPP's Tariff, consistent with the effective date established in that future proceeding, subject to a further compliance filing. South Central's proposed base return on equity was accepted for filing, suspended for a nominal period, to be effective November 2, 2015, subject to refund, and set for hearing and settlement judge procedures.

ER15-2629

Interconnection and Interchange Agreement between The Empire District Electric Company ("Empire") and Kansas Gas and Electric Company ("KG&E"), with SPP as Signatory

On October 23, 2015, FERC issued a letter order accepting the agreement, effective October 23, 2015 as requested.

This order constitutes final agency action.

ER15-2690

Submission of Tariff Revisions to the Day-Ahead Reliability Unit Commitment Assessment under Attachment AE

On October 6, 2015, Exelon Corporation filed a Motion to Intervene.

ER15-2705

Submission of Tariff Revisions to Implement Approval and Cost Allocation for a Seams Transmission Project

On October 2, 2015, Exelon Corporation filed a Motion to Intervene.

On October 2, 2015, SPP re-submitted the transmittal letter that was submitted on September

Regulatory Status Report

24, 2015 in Docket No. ER15-2705-000.

On October 6, 2015, ITC Great Plains, LLC filed a Motion to Intervene.

On October 15, 2015, Xcel Energy Services Inc. filed a Motion to Intervene.

On October 20, 2015, Tri-County Electric Cooperative Inc. filed a Motion to Intervene Out-of-Time.

On October 21, 2015, City Utilities of Springfield, Missouri filed a Motion to Intervene Out-of-Time.

On October 22, 2015, South Central MCN, LLC filed an Out of Time Motion to Intervene and Comments in Support of SPP's filing.

On October 22, 2015, Xcel Energy Services Inc. filed Comments in response to SPP's filing.

Xcel stated that because SPP's proposal to provide full regional (Highway) cost allocation for Seams Transmission Projects is very similar to some of the remedies in the rejected Regional Cost Allocation Review proposal, Xcel requested that the Commission direct SPP not to implement the Seams Transmission Project processes simply as a means to resolve perceived imbalances in cost allocation.

On October 23, 2015, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed Motions to Intervene Out-of-Time.

On October 23, 2015, The Empire District Electric Company filed a Motion to Intervene.

On October 28, 2015, Westar Energy, Inc. filed a Motion to Intervene Out-of-Time.

ER15-2753

Amended Seams Operating Agreement Between the Midcontinent Independent System Operator, Inc. ("MISO") and Manitoba Hydro

On October 7, 2015, SPP filed a doc-less Motion to Intervene.

On October 21, 2015, Manitoba Hydro filed a Motion to Intervene and Comments in support of the filing submitted on September 30, 2015.

ER16-3

Informational Filing to Notify the Commission of Implementation of Year-Three Reallocation of Revenue Requirements Pursuant to Attachments J and O for the Balanced Portfolio

On October 1, 2015, SPP filed an informational filing to notify the Commission of SPP's implementation of the year-four reallocation of revenue requirements in accordance with the Balanced Portfolio process described in Attachments J and O of the Tariff. SPP implemented the year-four reallocation of revenue requirements on October 1, 2015, which is consistent with the Commission's order in Docket No. ER12-2387, wherein the Commission approved an October 1st effective date for the initial reallocation of revenue requirements and for all subsequent reallocations for years two through five.

ER16-6

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOAs") between SPP, Western Farmers Electric Cooperative ("WFEC") as Network Customer and Host Transmission Owner, and American Electric Power ("AEP") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners

Regulatory Status Report

On October 1, 2015, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Western Farmers Electric Cooperative as Network Customer and Host Transmission Owner, and American Electric Power and Oklahoma Gas and Electric Company as Host Transmission Owners. SPP Service Agreement No. Seventh Revised 1628.

An effective date of September 1, 2015 was requested.

On October 5, 2015, Western Farmers Electric Cooperative filed a Motion to Intervene.

ER16-7

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners

On October 1, 2015, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Network Customer, as well as a NOA with American Electric Power Service Corporation, Oklahoma Gas and Electric Company, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Twenty-Sixth Revised 1166.

An effective date of September 1, 2015 was requested.

On October 5, 2015, Western Farmers Electric Cooperative filed a Motion to Intervene.

ER16-13

Submission of Tariff Revisions Regarding Annual Auction Revenue Right ("ARR") Allocation

On October 2, 2015, SPP submitted tariff revisions to reduce the number of Auction Revenue Rights made available in the Annual ARR Allocation by placing limits on the percentage of Transmission System capability used to determine the ARR's simultaneous feasibility.

An effective date of January 28, 2016 was requested.

On October 6, 2015, DC Energy, LLC filed a Motion to Intervene.

On October 14, 2015, Exelon Corporation filed a Motion to Intervene.

On October 19, 2015, the SPP Market Monitoring Unit filed a Motion to Intervene.

On October 19, 2015, American Electric Power Service Corporation filed a Motion to Intervene.

On October 23, 2015, TDU Interveneors and Xcel Energy Services Inc. filed Motions to Intervene.

On October 23, 2015, the SPP Market Monitoring Unit filed Comments.

The MMU stated it does not support SPP's proposal to se the Auction Revenue Right allocation Transmission System capability percentage at 80 percent for October through May, rather than matching the 60 percent used to determine Transmission Congestion Right feasibility during the same time period as initially recommended by SPP staff to the Market Working Group and supported by the MMU.

Regulatory Status Report

- ER16-25** **Ministerial Filing to Incorporate Previously Approved Order No. 760 Requirements into Integrated Marketplace Tariff Provisions**
- On October 5, 2015, SPP submitted tariff revisions to incorporate previously approved Order No. 760 requirements into the Integrated Marketplace tariff provisions.
- An effective date of March 1, 2014 was requested.
- ER16-37** **Submission of Tariff Revisions Regarding Make Whole Payment ("MWP") Formulas for Real-Time Regulation-Up and Regulation-Down Mileage**
- On October 8, 2015, SPP submitted tariff revisions to correct formulas used in the calculation of the Real-Time Regulation-Up and Regulation-Down Service Make Whole Payments.
- An effective date of October 9, 2015 was requested.
- On October 19, 2015, American Electric Power Service Corporation filed a Motion to Intervene.
- ER16-56** **Midcontinent Independent System Operator, Inc.'s ("MISO") Submission of Tariff Revisions to Remove Hurdle Rate Mechanism**
- On October 13, 2015, the Midcontinent Independent System Operator, Inc. submitted tariff revisions to remove the "hurdle rate" mechanism previously accepted in Docket No. ER14-2445 to mitigate MISO's exposure to certain charges under the non-conforming, unexecuted Service Agreement for Non-Firm Point-to-Point Transmission Service between SPP and MISO. The Hurdle Rate is being removed pursuant to the Offer of Settlement filed in Docket Nos. EL14-21, et al. on October 13, 2015.
- ER16-126** **Petition for Waiver of Tariff Provisions to Enable SPP's Oversight Committee to Create an Industry Expert Panel ("IEP") to Review and Evaluate Request for Proposals ("RFPs") Previously Issued for the Walkemeyer-North Liberal 115 kV Project**
- On October 20, 2015, SPP filed a Petition for Waiver of Tariff Provisions requesting that the Commission waive applicable provisions of SPP's Tariff to enable SPP's Oversight Committee to create an Industry Expert Panel to review and evaluate proposals submitted in response to Request for Proposals that SPP previously issued for the Walkemeyer-North Liberal 115 kV project. The requested waiver is necessary to address the potential that an approved IEP candidate may not be able to serve on the IEP that will review the project.
- SPP also requested that the Commission establish a shortened, 10-day comment period for this waiver request and issue an order by November 2, 2015.
- On October 28, 2015, Ameren Services Company filed a Motion to Intervene.
- On October 30, 2015, Exelon Corporation filed a Motion to Intervene.
- On October 30, 2015, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed Motions to Intervene.
- ER16-130** **Submission of Tariff Revisions to Carryforward Language into the Tariff Dated October 1, 2015**
- On October 21, 2015, SPP submitted tariff revisions to make corrections to certain sections of the Tariff in order to carryforward Tariff language from various proceedings into the Tariff dated

Regulatory Status Report

October 1, 2015.

An effective date of October 1, 2015 was requested.

ER16-135

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative, Inc. ("KEPCO") as Network Customer, and Midwest Energy, Inc. ("Midwest") as Host Transmission Owner

On October 22, 2015, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Electric Power Cooperative, Inc. as Network Customer, as well as a NOA with Midwest Energy, Inc. as Host Transmission Owner. SPP Service Agreement No. Second Revised 1637.

An effective date of October 1, 2015 was requested.

ER16-139

Submission of Tariff Revisions to Update Index of Grandfathered Agreements in Attachment W

On October 23, 2015, SPP submitted tariff revisions to update the Index of Grandfathered Agreements contained in Attachment W of the Tariff.

An effective date of January 1, 2016 was requested.

ER16-151

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and Southwestern Public Service Company ("SPS") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners

On October 28, 2015, SPP submitted an executed NITSA between SPP as Transmission Provider, Golden Spread Electric Cooperative, Inc. as Network Customer, as well as a NOA with Southwestern Public Service Company and Oklahoma Gas and Electric Company as Host Transmission Owners. SPP Service Agreement No. Sixth Revised 2236.

An effective date of October 1, 2015 was requested.

ER16-152

Submission of Tariff Revisions to Include Western Area Power Administration - Rocky Mountain Region under Section 39.3

On October 28, 2015, SPP submitted tariff revisions to incorporate language necessary to allow for the continued participation by the Western Area Power Administration - Rocky Mountain Region in SPP's energy markets.

An effective date of October 1, 2015 was requested.

On October 28, 2015, Western Area Power Administration filed a Motion to Intervene.

ER16-156

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners

On October 28, 2015, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Westar Energy, Inc. as both Network Customer and Host

Regulatory Status Report

Transmission Owner, and Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Twenty-Fifth Revised 607.

An effective date of October 1, 2015 was requested.

ER16-158

Submission of Tariff Revisions Regarding Pricing in the Context of Ramp Constraints

On October 28, 2015, SPP submitted tariff revisions to Attachment AE in order to more accurately reflect the actual design and function of the Market Clearing Engine with respect to pricing in the context of ramp constraints.

An effective date of December 27, 2015 was requested.

ER16-162

Petition for Tariff Waiver of Sections 8.3.3(3) and (4) of Attachment AE which Address the Impact of Violation Relaxation Limits on Locational Marginal Prices Related to Ramp Constraint Conditions

On October 28, 2015, SPP filed a request that the Commission waive Sections 8.3.3(3) and (4) of Attachment AE of SPP's Tariff which address the impact of Violation Relaxation Limits on Locational Marginal Prices related to ramp constraint conditions. The waiver would permit settlements determined by the Market Clearing Engine software system that are consistent with the intent of SPP and its stakeholders, but inconsistent with the language of the Tariff.

ER16-163

Submission of Tariff Revisions to Update Definitions for the Transmission Provider and Tariff

On October 29, 2015, SPP submitted tariff revisions to update the definition of "Transmission Provider" to reflect SPP's role as administrator of Tariff services; and also include a new definition for "Tariff" which is currently undefined in the document.

An effective date of January 1, 2016 was requested.

ER16-165

Submission of Tariff Amendments to Clarify Treatment of Point-To-Point Transmission Service ("PTP") Revenues

On October 29, 2015, SPP submitted tariff revisions to resolve ambiguity regarding the treatment of Point-To-Point Transmission Service revenues in the calculation of a Transmission Owner's Zonal Annual Transmission Revenue Requirement for purposes of determining Monthly Demand Charges and Base Plan Zonal and Region-wide Charges for Network Integration Transmission Service.

An effective date of January 1, 2016 was requested.

ER16-196

Notice of Cancellation of the Meter Agent Services Agreement between Basin Electric Power Cooperative ("Basin") as Market Participant and Western Area Power Administration - UGPR ("WAPA") as Meter Agent

On October 30, 2015, SPP submitted a Notice of Cancellation of the Meter Agent Services Agreement between Basin Electric Power Cooperative as the Market Participant and Western Area Power Administration - UGPR as the Meter Agent. SPP Service Agreement No. 2804.

An effective date of October 1, 2015 was requested.

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ER16-198 **Meter Agent Services Agreement between Northern States Power Company ("NSP") as Market Participant and Basin Electric Power Cooperative ("Basin") as Meter Agent**

On October 30, 2015, SPP submitted an executed Meter Agent Services Agreement between Northern States Power Company as the Market Participant and Basin Electric Power Cooperative as the Meter Agent. SPP Service Agreement No. 3118.

An effective date of October 1, 2015 was requested.

ER16-204 **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Tri-State Generation and Transmission Association, Inc.**

On October 30, 2015, SPP submitted tariff revisions on behalf of and at the request of Tri-State Generation and Transmission Association, Inc. to add an Annual Transmission Revenue Requirement and to implement a formula rate template and implementation protocols for transmission service using the facilities of Tri-State when Tri-State transfers functional control of a portion of its transmission facilities to SPP on January 1, 2016.

An effective date of January 1, 2016 was requested.

ER16-209 **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Central Power Electric Cooperative, Inc.**

On October 30, 2015, SPP submitted tariff revisions on behalf of and at the request of Central Power Electric Cooperative, Inc. to add an Annual Transmission Revenue Requirement and to implement a formula rate template and implementation protocols for transmission service using the facilities of Central Power when Central Power transfers functional control of a portion of its transmission facilities to SPP on January 1, 2016.

An effective date of January 1, 2016 was requested.

RM14-2 **Coordination of the Scheduling Processes of Interstate Natural Gas Pipelines and Public Utilities (Order No. 809)**

On October 15, 2015, FERC issued an Order Establishing Default Interpretations for Capacity Release Contracts.

On October 16, 2015, FERC issued Order No. 587-W, Final Rule amending the Commission's regulations to incorporate by reference, with certain enumerated exceptions, the latest version (Version 3.0) of business practice standards adopted by the Wholesale Gas Quadrant of the North American Energy Standards Board (NAESB) applicable to interstate natural gas pipelines. These updated business practice standards contain and supplement the revisions to the NAESB scheduling standards accepted by the Commission in Order No. 809 as part of the Commission's efforts to harmonize gas-electric scheduling coordination, and are required to be implemented on April 1, 2016, the same date as the regulations adopted in Order No. 809.

This rule becomes effective on December 2, 2015.

RM14-11 **Open Access and Priority Rights on Interconnection Customer's Interconnection Facilities**

On October 15, 2015, FERC issued an Order Denying Rehearing and Granting Clarification.

Regulatory Status Report

The Commission clarified that it intended that, if an Interconnection Customer's Interconnection Facilities ("ICIF") owner no longer qualifies for the blanket waiver because it ceases to satisfy the qualifications stated in section 35.28(d)(2) of the Commission's regulations, the waiver would be automatically revoked with no Commission action being required.

The Commission also clarified that there are situations where a determination that the waiver could be revoked could occur through Commission action, for example, in a situation where the Commission determines that it is in the public interest to revoke the waiver in a proceeding under sections 210 and 211 of the Federal Power Act. In a situation where Commission action would be necessary, the ICIF owner and stakeholders will have notice of the revocation and would be provided full due process rights to respond.

RM14-14 Refinements to Policies and Procedures for Market-Based Rates for Wholesale Sales of Electric Energy, Capacity and Ancillary Services by Public Utilities

On October 16, 2015, FERC issued Order No. 816, Final Rule modifying, clarifying and streamlining certain aspects of the Commission's market-based rate program. The Commission is amending its regulations to revise Subpart H to Part 35 of Title 18 of the Code of Federal Regulations, which governs market-based rate authorizations for wholesale sales of electric energy, capacity, and ancillary services by public utilities.

This rule becomes effective on January 28, 2016.

RM15-14 Revised Critical Infrastructure Protection Reliability Standards

On October 28, 2015, FERC issued a Notice of Technical Conference to be held on January 28, 2016, in order to discuss the Critical Infrastructure Protection Supply Chain Risk Management issues identified in the Notice of Proposed Rulemaking.

RM15-23 Collection of Connected Entity Data from Regional Transmission Organizations and Independent System Operators

On October 28, 2015, Macquarie Energy LLC and TrailStone NA Logistics, LLC filed a Motion for Technical Conference and Request to Postpone Comment Deadline until January 29, 2016.

On October 29, 2015, the Commercial Energy Working Group filed comments in support of the Motion for Technical Conference and Request to Postpone Comment deadline filed on October 28, 2015.

On October 30, 2015, the American Gas Association filed comments in support of the Motion for Technical Conference and Request to Postpone Comment Deadline filed on October 28, 2015.

On October 30, 2015, the Trade Groups filed an answer in support of the Motion for Technical Conference and Request to Postpone Comment Deadline filed on October 28, 2015.

RR15-4 North American Electric Reliability Corporation's ("NERC") Petition for Approval of Proposed Revisions to the NERC Rules of Procedure to Implement NERC's Risk-Based Registration ("RBR") Initiative

On October 15, 2015, FERC issued an order accepting NERC's compliance filing to remove the load-serving entity as a functional registration category, and directing NERC to submit an informational filing on the actual effects of this change after it is implemented.

Regulatory Status Report

FERC or State Jurisdiction: State of Arkansas

08-136-U

In the Matter of an Inquiry into Electric Transmission Issues within the Areas Served by the Southwest Power Pool Regional Transmission Organization and the Entergy Corporation as such Issues Affect Electric Service within Arkansas

On October 6, 2015, SPP filed the Summer 2015 State of the Market Report pursuant to Order Nos. 2 and 25.

Regulatory Status Report

FERC or State Jurisdiction: State of Missouri

EO-2011-0128

In the Matter of the Application of Union Electric Company for Authority to Continue the Transfer of Functional Control of Its Transmission System to Midwest Independent Transmission System Operator, Inc.

On October 30, 2015, Union Electric Company d/b/a Ameren Missouri filed its First Progress Report in response to Ordered Paragraph 3 of the December 22, 2014 Order.

FERC or State Jurisdiction: United States Court of Appeals

14-1281 **Oklahoma Gas and Electric Company ("OG&E") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket Nos. ER13-366 and ER13-367 Regarding SPP's Order No. 1000 Regional Compliance Filings**

On October 6, 2015, Intervenors Supporting Respondent filed their Brief.

14-1282 **Xcel Energy Services Inc. ("Xcel") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket No. ER12-959 Regarding Tri-County Electric Cooperative, Inc.'s Formula Rate**

On October 2, 2015, SPP and Tri-County Electric Cooperative, Inc. filed a corrected Joint Brief.

15-1157 **LSP Transmission Holdings, LLC and LS Power Transmission, LLC ("collectively "LSP Transmission") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket Nos. ER13-366 and ER13-367 Regarding SPP's Order No. 1000 Regional Compliance Filings**

On October 6, 2015, the court issued an order dismissing the motion to hold in abeyance as moot. The compliance proceedings, which were the basis for the motion to hold in abeyance, have concluded.