

# SPP Revision Request Process

Erin Cathey  
[ecathey@spp.org](mailto:ecathey@spp.org)



# Revision Request Process

- Key component of SPP's stakeholder processes
- Allows stakeholder input in decision making
- Defines how Revision Requests (RRs) are submitted, reviewed and approved by SPP stakeholders
- Governing documents within scope of the RR process:
  - Tariff, Market Protocols, Business Practices, Criteria

# Revision Request Tools and Resources

- **Revision Request Page – SPP.org**
- **Forms**
  - **Submission**
  - **Comment Form**
  - **Recommendation Report**
  - **Impact Analysis**
- **Request Management System**
- **Routing Criteria**
- **Master List**

# Revision Request Process

## Submit Revision Request

- Qualified Entity Submits
- Standard Form
- Request Management System

## Review and Approval

- Posted Publicly with notification
- SPP Website, Revision Request Page, Process, Training Materials, RR Information
- RR Routing Criteria
- RR Master List
- RR Comments
- Working Group Review and Action, Primary and Secondary Working Groups
- MOPC and, where appropriate, BOD Review and Action

## Implementation

- MOPC Approval Required for Implementation on all Revision Requests
- BOD Approval Required for Implementation of all RRs with Criteria Impacts
- BOD and FERC Approval Required for implementation of all RRs with Tariff Impacts
- System Change implementation coordinated by SPP staff with Members and Vendors

# Revision Request Submission

- **RRs may be submitted by a Qualified Entity**
- **Qualified Entity:**
  - Market Participant
  - Transmission Customer
  - SPP member or staff
  - SPP MMU
  - SPP Working Group/Committee
  - Any staff of governmental authority with jurisdiction over SPP or member company
- **RRs submitted to RMS**
  - Standard RR Form

# Revision Request Review and Approval

- **Submitted RRs reviewed by SPP staff**
  - Routing Criteria referenced
- **Complete RRs publically posted**
  - Revision Request Website
  - RR Master List
  - RR Open and Closed folders
- **Notification of posting sent via email**
- **Interested parties submit comments during comment period and throughout the stakeholder process**
  - Submitted to RMS
  - Standard Comment Form

# Revision Request Review, Approval & Implementation

- **Primary and Secondary Working Groups review/approve RRs**
  - **Standard RR Recommendation Report records review group actions and Interested Party comments**
- **Primary Working Group recommends action to MOPC**
- **MOPC approves RRs prior to implementation**
  - **If Primary Working Group rejects an RR, submitter may appeal to MOPC**
  - **If MOPC rejects an RR, submitter may appeal to BOD**
- **MOPC recommends action to BOD**
- **BOD approves RRs with Tariff and Criteria changes prior to implementation**
- **FERC Approves RRs with Tariff changes**
- **SPP documents are updated when MOPC, SPP BOD and FERC approves**
- **SPP/Member system and/or process changes coordinated by SPP staff with vendors and stakeholders**

# Primary Working Group Role – A Closer Look

- **Primary Working Group Selection**
  - Based on MOPC approved charter and responsibility for certain governing documents
- **Performs initial reviews of changes to respective governing document**
- **Coordinates Secondary Working Group review schedule**
- **Approves Secondary Working Group modifications**
- **Maintains overall responsibility for changes to its respective document throughout stakeholder process**
- **Primary Working Group recommends action to MOPC**

## Primary Working Groups

Market Working Group – Market Protocols

Regional Tariff Working Group – Tariff

Operating Reliability Working Group – Criteria

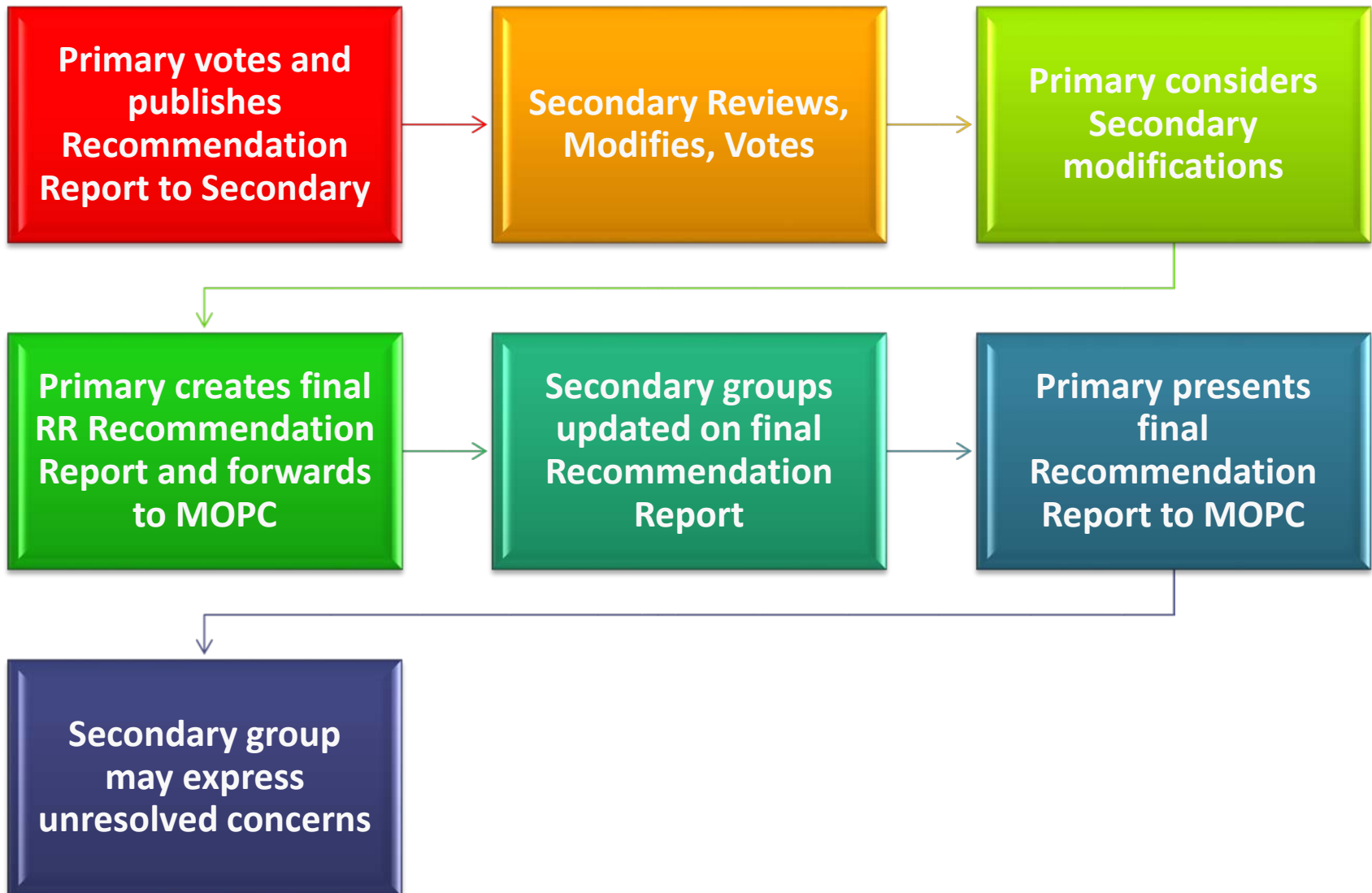
Business Practices Working Group – Business Practices



# Secondary Working Group Role – A Closer Look

- **Secondary Working Group selection**
  - Based on MOPC approved charter
  - Reviews changes that may impact or create conflict with a Secondary Group’s chartered responsibility
- **Provides timely review/approval of proposed language consistent with their chartered responsibilities**
- **Provides justification of any proposed modifications to Primary**
  - Use RR Comment Form
- **Provides input, as appropriate, to the MOPC when there are unresolved issues**

# Primary - Secondary Hand-off and Collaboration





Helping our members work together  
to keep the lights on... today  
and in the future