SPP Revision Request Process

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Revision Request Process

• Key component of SPP’s stakeholder processes
• Allows stakeholder input in decision making
• Defines how Revision Requests (RRs) are submitted, reviewed and approved by SPP stakeholders
• Governing documents within scope of the RR process:
Revision Request Tools and Resources

• Revision Request Page – SPP.org
• Forms
  – Submission
  – Comment Form
  – Recommendation Report
  – Impact Analysis
• Request Management System
• Routing Criteria
• Master List
Revision Request Process

Submit Revision Request
- Qualified Entity Submits
- Standard Form
- Request Management System

Review and Approval
- Posted Publicly with notification
- SPP Website, Revision Request Page, Process, Training Materials, RR Information
- RR Routing Criteria
- RR Master List
- RR Comments
- Working Group Review and Action, Primary and Secondary Working Groups
- MOPC and, where appropriate, BOD Review and Action

Implementation
- MOPC Approval Required for Implementation on all Revision Requests
- BOD Approval Required for Implementation of all RRs with Criteria Impacts
- BOD and FERC Approval Required for implementation of all RRs with Tariff Impacts
- System Change implementation coordinated by SPP staff with Members and Vendors
Revision Request Submission

• RRs may be submitted by a Qualified Entity

• Qualified Entity:
  – Market Participant
  – Transmission Customer
  – SPP member or staff
  – SPP MMU
  – SPP Working Group/Committee
  – Any staff of governmental authority with jurisdiction over SPP or member company

• RRs submitted to RMS
  – Standard RR Form
Revision Request Review and Approval

• Submitted RRs reviewed by SPP staff
  – Routing Criteria referenced

• Complete RRs publically posted
  – Revision Request Website
  – RR Master List
  – RR Open and Closed folders

• Notification of posting sent via email

• Interested parties submit comments during comment period and throughout the stakeholder process
  – Submitted to RMS
  – Standard Comment Form
Revision Request Review, Approval & Implementation

- Primary and Secondary Working Groups review/approve RRs
  - Standard RR Recommendation Report records review group actions and Interested Party comments

- Primary Working Group recommends action to MOPC

- MOPC approves RRs prior to implementation
  - If Primary Working Group rejects an RR, submitter may appeal to MOPC
  - If MOPC rejects an RR, submitter may appeal to BOD

- MOPC recommends action to BOD

- BOD approves RRs with Tariff and Criteria changes prior to implementation

- FERC Approves RRs with Tariff changes

- SPP documents are updated when MOPC, SPP BOD and FERC approves

- SPP/Member system and/or process changes coordinated by SPP staff with vendors and stakeholders
Primary Working Group Role – A Closer Look

• Primary Working Group Selection
  – Based on MOPC approved charter and responsibility for certain governing documents
• Performs initial reviews of changes to respective governing document
• Coordinates Secondary Working Group review schedule
• Approves Secondary Working Group modifications
• Maintains overall responsibility for changes to its respective document throughout stakeholder process
• Primary Working Group recommends action to MOPC

Primary Working Groups
Market Working Group – Market Protocols
Regional Tariff Working Group – Tariff
Operating Reliability Working Group – Criteria
Secondary Working Group Role – A Closer Look

• **Secondary Working Group selection**
  – Based on MOPC approved charter
  – Reviews changes that may impact or create conflict with a Secondary Group’s chartered responsibility

• **Provides timely review/approval of proposed language consistent with their chartered responsibilities**

• **Provides justification of any proposed modifications to Primary**
  – Use RR Comment Form

• **Provides input, as appropriate, to the MOPC when there are unresolved issues**
Primary - Secondary Hand-off and Collaboration

1. Primary votes and publishes Recommendation Report to Secondary

2. Secondary Reviews, Modifies, Votes

3. Primary considers Secondary modifications

4. Primary creates final RR Recommendation Report and forwards to MOPC

5. Secondary groups updated on final Recommendation Report

6. Primary presents final Recommendation Report to MOPC

7. Secondary group may express unresolved concerns
SPP Southwest Power Pool

Helping our members work together to keep the lights on... today and in the future