

FERC or State Jurisdiction: FERC

AD14-14 Price Formation in Energy and Ancillary Services Markets Operated by Regional Transmission Organizations ("RTOs") and Independent System Operators ("ISOs")

On November 20, 2015, FERC issued an order directing each regional transmission organization and independent system operator to publicly provide information regarding five price formation issues: (1) pricing of fast-start resources; (2) commitments to manage multiple contingencies; (3) look-ahead modeling; (4) uplift allocation; and (5) transparency. FERC directed each RTO/ISO to file a report that provides an update on its current practices in the identified topic areas, that provides the status of its efforts (if any) to address each of the five issues, and that fully responds to the questions contained in this order.

The reports are due on February 3, 2016.

EF15-8 Western Area Power Administration ("Western") Filing of Pick-Sloan Missouri Basin Program - Eastern Division Transmission and Ancillary Services Rates (Order No. WAPA-170)

On November 19, 2015, FERC issued an Order Confirming and Approving Rate Schedules on a Final Basis.

EL11-34 Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP

On November 2, 2015, Commission Trial Staff filed Comments in Support of Settlement Agreement.

On November 2, 2015, the MISO Transmission Owners filed Comments in Support of Settlement.

On November 12, 2015, SPP filed Reply Comments in response to the comments of Eric S. Morris concerning the Offer of Settlement and Settlement Agreement.

SPP stated that the \$16 million initial payment under the Settlement Agreement simply represents an agreed payment from MISO to SPP and the Joint Parties that applies to the period before February 1, 2016. The Settlement Agreement resolves all disputes presented in these consolidated proceedings, but in no way characterizes this payment as compensation for "unreserved use" of those adjoining systems.

On November 30, 2015, Judge Cintron issued a Settlement Judge Report, recommending that settlement judge procedures remain in place.

EL14-21 SPP Complaint for an Order Finding the Midcontinent Independent System Operator, Inc. ("MISO") is Violating the Joint Operating Agreement ("JOA") between SPP and MISO and the SPP Tariff and Requiring MISO to Compensate SPP for Use of SPP's Transmission System

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On November 2, 2015, the MISO Transmission Owners filed Comments in Support of

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Settlement.

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On November 30, 2015, Judge Cintron issued a Settlement Judge Report, recommending that settlement judge procedures remain in place.

EL14-30

Midcontinent Independent System Operator, Inc. ("MISO") Complaint Regarding Transmission Service Invoices from SPP

On November 2, 2015, Commission Trial Staff filed Comments in Support of Settlement Agreement.

On November 2, 2015, the MISO Transmission Owners filed Comments in Support of Settlement.

On November 12, 2015, SPP filed Reply Comments in response to the comments of Eric S. Morris concerning the Offer of Settlement and Settlement Agreement.

SPP stated that the \$16 million initial payment under the Settlement Agreement simply represents an agreed payment from MISO to SPP and the Joint Parties that applies to the period before February 1, 2016. The Settlement Agreement resolves all disputes presented in these consolidated proceedings, but in no way characterizes this payment as compensation for "unreserved use" of those adjoining systems.

On November 30, 2015, Judge Cintron issued a Settlement Judge Report, recommending that settlement judge procedures remain in place.

ER12-1179

Submission of Tariff Revisions to Implement SPP Integrated Marketplace

On November 19, 2015, FERC issued an order accepting SPP's compliance filing submitted on January 20, 2015, as amended on February 6, 2015.

An effective date of March 1, 2014 was granted.

This order constitutes final agency action.

ER14-67

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner

On November 16, 2015, Western Farmers Electric Cooperative and American Electric Power Service Corporation filed an Offer of Settlement resolving all issues set for hearing in this proceeding.

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ER14-1174

On November 17, 2015, Judge Coffman issued a Report of Settlement Judge, recommending that the settlement proceeding continue.

Unexecuted Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Midcontinent Independent System Operator, Inc. ("MISO") as Transmission Customer

On November 2, 2015, Commission Trial Staff filed Comments in Support of Settlement Agreement.

On November 2, 2015, the MISO Transmission Owners filed Comments in Support of Settlement.

On November 12, 2015, SPP filed Reply Comments in response to the comments of Eric S. Morris concerning the Offer of Settlement and Settlement Agreement.

SPP stated that the \$16 million initial payment under the Settlement Agreement simply represents an agreed payment from MISO to SPP and the Joint Parties that applies to the period before February 1, 2016. The Settlement Agreement resolves all disputes presented in these consolidated proceedings, but in no way characterizes this payment as compensation for "unreserved use" of those adjoining systems.

On November 30, 2015, Judge Cintron issued a Settlement Judge Report, recommending that settlement judge procedures remain in place.

ER14-2850

Submission of Tariff Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")

On November 5, 2015, Judge Young issued an Order Cancelling Settlement Conference that was to be held on November 10, 2015.

On November 5, 2015, Commission Trial Staff filed Initial Comments on Partial Offer of Settlement Agreement filed by SPP on October 16, 2015 on behalf of SPP and the Montana Consumer Counsel.

On November 6, 2015, Commission Trial Staff filed Initial Comments on Joint Offer of Partial Settlement filed by SPP on October 16, 2015 on behalf of SPP, Western Area Power Administration - Upper Great Plains Region, and the Municipal Energy Agency of Nebraska.

On November 16, 2015, Commission Trial Staff filed Initial Comments on Joint Offer of Partial Settlement filed by SPP on October 26, 2016 on behalf of SPP, the Midcontinent Independent System Operator, Inc., Otter Tail Power Company, Central Power Electric Cooperative, Inc., Western Area Power Administration-Upper Great Plains Region, Basin Electric Power Cooperative, and Heartland Consumers Power District.

On November 25, 2015, Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. Judge Young stated he anticipates certifying three partial offers of settlement to the Commission in the near future, and that two additional partial offers of settlement are currently being crafted. The composite effect of the five partial offers of settlement would be the consensual resolution of all issues set for hearing in these proceedings.

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ER14-2851

Submission of Bylaws and Membership Agreement ("Governing Documents") Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")

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On November 5, 2015, Judge Young issued an Order Cancelling Settlement Conference that was to be held on November 10, 2015.

On November 6, 2015, Commission Trial Staff filed Initial Comments on Joint Offer of Partial Settlement filed by SPP on October 16, 2015 on behalf of SPP, Western Area Power Administration - Upper Great Plains Region, and the Municipal Energy Agency of Nebraska.

On November 25, 2015, Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. Judge Young stated he anticipates certifying three partial offers of settlement to the Commission in the near future, and that two additional partial offers of settlement are currently being crafted. The composite effect of the five partial offers of settlement would be the consensual resolution of all issues set for hearing in these proceedings.

ER15-1499

Submission of Tariff Revisions to Incorporate City of Independence, Missouri as a Transmission Owner and to Implement a Stated Annual Transmission Revenue Requirement ("ATRR")

On November 23, 2015, Kansas City Power & Light Company submitted an errata to Exhibit No. K-61.

ER15-1737

Compliance Filing Revising Westar Energy, Inc.'s ("Westar") Formula Rate Protocols

On November 4, 2015, FERC issued an order conditionally accepting Westar Energy, Inc.'s and SPP's compliance filings to revise Westar's protocols, effective March 1, 2015 as requested, subject to further compliance filings.

Westar was directed to submit a compliance filing to include the clarification that Interested Parties shall have 75 days following the Annual True-Up Meeting and 60 days following the annual Projection Publication Date to serve reasonable information and document requests on Westar related to the Annual True-Up and the Annual Projection. Westar was also directed to revise Section I.12 in order to not limit the coordination in the joint meetings to only the transmission owners that are members of SPP.

The Commission found that SPP appropriately incorporated protocols include in Westar's compliance filing. SPP was directed to submit a compliance filing within 60 days of this order, which reflects any changes made by Westar as a result of the directive to Westar.

Westar's and SPP's compliance filings are due on January 4, 2016.

ER15-1738

Compliance Filing Revising KCP&L Greater Missouri Operations Company's ("GMO") Formula Rate Protocols

On November 5, 2015, FERC issued an order conditionally accepting Kansas City Power & Light Company's, KCP&L Greater Missouri Operations Company's, and SPP's compliance filings to revise KCPL's and GMO's protocols, effective March 1, 2015, subject to further compliance filings.

The Commission found that the formula rate protocols fail to explicitly require remote access to be made available for participation at True-Up Adjustment meetings. The Commission directed KCPL, GMO, and SPP to replace "Annual Update Customer Meeting" with "True-Up Customer Meeting" in section II.2 of the formula rate protocols, which is otherwise specific to the True-Up Adjustment meeting. The Commission also directed KCPL and GMO to revise section V in order to not limit the coordination in the joint meetings to only the transmission owners that are members of SPP.

The Commission directed KCPL, GMO, and SPP to revise section II.6 of the formula rate protocols such that the deadline for informal challenges to the True-Up Adjustment is the later of 150 days after June 1 or 30 days after KCPL's/GMO's last response to reasonable information requests, and the deadline for informal challenges to the Annual Update is the later of November 24 or 30 days after KCPL's/GMO's last response to reasonable information requests. As to section VI.2 of the protocols, the clean-up edits incorrectly refer to sections VI.1 and VI.2 sections V.1 and V.2, respectively and should be corrected in the compliance filings.

KCPL's, GMO's and SPP's compliance filings are due on January 4, 2016.

ER15-1739

Compliance Filing Revising Kansas City Power & Light Company's ("KCPL") Formula Rate Protocols

On November 5, 2015, FERC issued an order conditionally accepting Kansas City Power & Light Company's, KCP&L Greater Missouri Operations Company's, and SPP's compliance filings to revise KCPL's and GMO's protocols, effective March 1, 2015, subject to further compliance filings.

The Commission found that the formula rate protocols fail to explicitly require remote access to be made available for participation at True-Up Adjustment meetings. The Commission directed KCPL, GMO, and SPP to replace "Annual Update Customer Meeting" with "True-Up Customer Meeting" in section II.2 of the formula rate protocols, which is otherwise specific to the True-Up Adjustment meeting. The Commission also directed KCPL and GMO to revise section V in order to not limit the coordination in the joint meetings to only the transmission owners that are members of SPP.

The Commission directed KCPL, GMO, and SPP to revise section II.6 of the formula rate protocols such that the deadline for informal challenges to the True-Up Adjustment is the later of 150 days after June 1 or 30 days after KCPL's/GMO's last response to reasonable information requests, and the deadline for informal challenges to the Annual Update is the later of November 24 or 30 days after KCPL's/GMO's last response to reasonable information requests. As to section VI.2 of the protocols, the clean-up edits incorrectly refer to sections VI.1 and VI.2 sections V.1 and V.2, respectively and should be corrected in the compliance filings.

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KCPL's, GMO's and SPP's compliance filings are due on January 4, 2016.

ER15-1775 **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Basin Electric Power Cooperative ("Basin Electric")**

On November 24, 2015, Judge Haubner issued a Report of Settlement Judge, recommending that settlement procedures be continued.

ER15-1777 **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Heartland Consumers Power District ("Heartland")**

On November 5, 2015, SPP and Heartland Consumers Power District filed a Joint Motion for Adoption of Protective Order.

On November 9, 2015, Judge Wagner issued an Order of Chief Judge Adopting Protective Order and Waiving Answer Period.

On November 24, 2015, Judge Haubner issued a Report of Settlement Judge, recommending that settlement procedures be continued.

ER15-1943 **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Missouri River Energy Services ("MRES")**

On November 4, 2015, Judge Wagner issued an Order of Chief Judge Adopting Protective Order and Waiving Period for Answers.

On November 20, 2015, Central Power Electric Cooperative, Inc. filed a Motion for Leave to Intervene Out-of-Time.

ER15-1976 **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for East River Electric Power Cooperative, Inc. ("East River")**

On November 5, 2015, Judge Haubner issued a Report of Settlement Judge, recommending that settlement procedures be continued.

On November 10, 2015, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

ER15-2028 **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Corn Belt Power Cooperative ("Corn Belt")**

On November 5, 2015, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

ER15-2069 **NorthWestern Corporation d/b/a NorthWestern Energy's Filing of Formula Rate Template and Formula Rate Protocols to be included in the SPP Tariff**

On November 4, 2015, NorthWestern Corporation filed a Motion for Adoption of Protective Order and Request for Expedited Consideration.

On November 9, 2015, Judge Wagner issued an order granting Heartland Consumers Power District's Motion to Intervene Out-of-Time.

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- ER15-2075** **Submission of Tariff Revisions to Incorporate NorthWestern Energy's ("NorthWestern") Formula Rate**
- On November 4, 2015, NorthWestern Corporation filed a Motion for Adoption of Protective Order and Request for Expedited Consideration.
- On November 5, 2015, Judge Wagner issued an Order of Chief Judge Adopting Protective Order and Waiving Answer Period.
- On November 9, 2015, Judge Wagner issued an order granting Heartland Consumers Power District's Motion to Intervene Out-of-Time.
- ER15-2115** **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Northwest Iowa Power Cooperative ("NIPCO")**
- On November 5, 2015, Judge Wagner issued an Order of Chief Judge Adopting Protective Order and Waiving Answer Period.
- On November 5, 2015, Judge Coffman issued an Order Scheduling Settlement Conference to be held on December 22, 2015.
- ER15-2237** **Kanstar Transmission, LLC ("Kanstar") Filing of a Formula Rate Template and Implementation Protocols to Recover Costs Associated with its Investment in Transmission Facilities Located within Southwest Power Pool, Inc.**
- On November 16, 2015, FERC issued an Order Granting Rehearing for Further Consideration of the order issued on September 17, 2015.
- On November 16, 2015, Judge Cintron issued an Order Cancelling and Rescheduling Settlement Conference. The settlement conference scheduled for November 17, 2015 was canceled and rescheduled for December 7, 2015.
- ER15-2265** **Submission of Tariff Revisions to Modify Procedures for Establishing Trading Hubs and Resource Hubs**
- On November 12, 2015, the TDU Intervenors filed a Limited Protest in response to SPP's compliance filing.
- The parties stated that the language "where the Resource Hub was created by the seller associated with the Bilateral Settlement Schedule" is ambiguous, unduly restrictive, and not in compliance with the Commission's directive in the order issued on September 22, 2015.
- ER15-2295** **Petition of Southwest Power Pool, Inc. for Tariff Waiver Regarding One-Year Billing Adjustment Limitation in Section 7.1**
- On November 5, 2015, Xcel Energy Services Inc. filed a Motion to Intervene Out-of-Time.
- On November 19, 2015, FERC issued an order granting SPP's request for waiver of the one-year billing adjustment limitation in Section 7.1 of SPP's Tariff to facilitate SPP's resettlement of past invoices necessitated by computer software issues.
- ER15-2324** **Submission of Revenue Requirements, Formula Rate Templates and Formula Rate Protocols for the Following Members of Missouri River Energy Services ("MRES"): Moorhead, Minnesota; Orange City, Iowa; Pierre, South Dakota; Sioux Center, Iowa; and Watertown, South Dakota**

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On November 10, 2015, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

ER15-2347

Contract Services Agreement Between Southwest Power Pool, Inc. and Western Area Power Administration

On November 10, 2015, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

ER15-2351

Unexecuted Market Participant Agreement between SPP as Transmission Provider and Alliant Energy Corporate Services, Inc. ("Alliant") as Customer and an Unexecuted Agreement Establishing a Pseudo-Tie Electrical Interconnection Point between SPP, Midcontinent Independent System Operator, Inc. ("MISO") as the External Balancing Authority, and Alliant as the Market Participant

On November 3, 2015, Missouri River Energy Services filed a Late Motion to Intervene.

On November 5, 2015, Judge Coffman issued a Report of Settlement Judge, recommending that the settlement proceeding continue.

On November 10, 2015, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On November 17, 2015, Judge Coffman issued an Order Scheduling Settlement Conference to be held on December 18, 2015.

On November 19, 2015, Judge Wagner issued an order granting the Late Motion to Intervene filed by Missouri River Energy Services.

On November 20, 2015, SPP filed an answer in response to the Request for Rehearing filed by Alliant Energy Corporate Services, Inc. on October 30, 2015.

On November 27, 2015, FERC issued an Order Granting Rehearing for Further Consideration of the order issued on September 30, 2015.

ER15-2356

Unexecuted Market Participant Service Agreement between SPP as Transmission Provider and MidAmerican Energy Company ("MidAmerican") as Customer

On November 5, 2015, Judge Coffman issued a Report of Settlement Judge, recommending that the settlement proceeding continue.

On November 10, 2015, Judge Wagner issued an Order of Chief Judge Continuing Settlement Judge Procedures.

On November 17, 2015, Judge Coffman issued an Order Scheduling Settlement Conference to be held on December 21, 2015.

On November 18, 2015, Judge Wagner issued an order granting Missouri River Energy Services' Late Motion to Intervene.

ER15-2594

South Central MCN LLC's ("SCMCN") Application for Acceptance of a Transmission Rate Formula to Establish an Annual Transmission Revenue Requirement ("ATTR") for Transmission Service Over Facilities that SCMCN will Own in the Southwest Power Pool, Inc. Region

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On November 5, 2015, Judge Wagner issued an Order of Chief Judge Appointing Settlement Judge and Scheduling Settlement Conference to be held on December 1, 2015.

On November 12, 2015, FERC issued an Order Setting Settlement Conference and Procedure.

On November 27, 2015, Xcel Energy Services Inc. filed a Request for Clarification, or in the Alternative Rehearing of the order issued on October 29, 2015.

On November 30, 2015, City Utilities of Springfield, Missouri filed a Request for Rehearing of the order issued on October 29, 2015.

On November 30, 2015, South Central MCN LLC filed a Request for Rehearing of the order issued on October 29, 2015.

On November 30, 2015, South Central MCN LLC submitted its compliance filing in response to the order issued on October 29, 2015.

ER15-2636

Submission of Tariff Revisions to Specify Acceptance Policies for Audited Financials

On November 4, 2015, FERC issued an order accepting the revisions to the Credit Policy contained in Attachment X of the Tariff to explicitly state that SPP will accept either the United States Generally Accepted Accounting Principles or the International Financial Reporting Standards to meet the minimum eligibility requirements for participation in the Integrated Marketplace.

An effective date of November 9, 2015 was granted.

This order constitutes final agency action.

ER15-2646

Notice of Cancellation of the Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owner

On November 3, 2015, FERC issued a letter order accepting the Notice of Cancellation, effective July 1, 2015 as requested.

This order constitutes final agency action.

ER15-2652

Generator Interconnection Agreement ("GIA") between Cottonwood Wind Project, LLC ("Cottonwood") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner

On November 10, 2015, FERC issued a letter order accepting the agreement, effective September 3, 2015 as requested.

This order constitutes final agency action.

ER15-2669

Notice of Cancellation of the Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and City of Independence, Missouri ("Independence") as Transmission Customer

On November 10, 2015, FERC issued a letter order accepting the Notice of Cancellation, effective June 1, 2015 as requested.

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This order constitutes final agency action.

ER15-2690

Submission of Tariff Revisions to the Day-Ahead Reliability Unit Commitment Assessment under Attachment AE

On November 18, 2015, FERC issued an order accepting the tariff revisions to implement a design enhancement for the Day-Ahead Market under Attachment AE to modify the assessment timeframe for the Day-Ahead Reliability Unit Commitment to provide enhanced visibility into available Resources during the transition from the current to the next Operating Day.

An effective date of November 21, 2015 was granted.

This order constitutes final agency action.

ER15-2705

Submission of Tariff Revisions to Implement Approval and Cost Allocation for a Seams Transmission Project

On November 30, 2015, FERC issued an order rejecting SPP's tariff revisions to include terms and conditions to allow for the study, approval, and cost allocation of transmission facilities identified pursuant to coordinated transmission planning between SPP and external entities that are outside the scope of, or do not qualify for, Order No. 1000 interregional coordination and cost allocation.

ER15-2753

Amended Seams Operating Agreement Between the Midcontinent Independent System Operator, Inc. ("MISO") and Manitoba Hydro

On November 12, 2015, FERC issued an order accepting the amended Seams Operating Agreement Between Midcontinent Independent System Operator, Inc. and Manitoba Hydro.

An effective date of October 1, 2015 was granted.

This order constitutes final agency action.

ER16-3

Informational Filing to Notify the Commission of Implementation of Year-Three Reallocation of Revenue Requirements Pursuant to Attachments J and O for the Balanced Portfolio

On November 23, 2015, FERC issued an order accepting SPP's informational filing to notify the Commission of SPP's implementation of the year-four reallocation of revenue requirements in accordance with the Balanced Portfolio process described in Attachments J and O of the Tariff.

ER16-6

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreements ("NOAs") between SPP, Western Farmers Electric Cooperative ("WFEC") as Network Customer and Host Transmission Owner, and American Electric Power ("AEP") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners

On November 20, 2015, FERC issued a letter order accepting the agreement, effective September 1, 2015 as requested.

This order constitutes final agency action.

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- ER16-7** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners**
- On November 20, 2015, FERC issued a letter order accepting the agreement, effective September 1, 2015 as requested.
- This order constitutes final agency action.
- ER16-13** **Submission of Tariff Revisions Regarding Annual Auction Revenue Right ("ARR") Allocation**
- On November 9, 2015, Xcel Energy Services Inc. filed an answer in response to the SPP Market Monitoring Unit's Comments filed on October 19, 2015.
- On November 23, 2015, FERC issued a letter stating SPP's submittal is deficient and that additional information is necessary to process the filing.
- SPP's response is due on December 23, 2015.
- ER16-25** **Ministerial Filing to Incorporate Previously Approved Order No. 760 Requirements into Integrated Marketplace Tariff Provisions**
- On November 20, 2015, FERC issued an order accepting SPP's tariff revisions to incorporate previously approved Order No. 760 requirements into the Integrated Marketplace tariff provisions.
- An effective date of March 1, 2014 was granted.
- This order constitutes final agency action.
- ER16-37** **Submission of Tariff Revisions Regarding Make Whole Payment ("MWP") Formulas for Real-Time Regulation-Up and Regulation-Down Mileage**
- On November 20, 2015, FERC issued an order accepting SPP's tariff revisions to correct formulas used in the calculation of the Real-Time Regulation-Up and Regulation-Down Service Make Whole Payments.
- An effective date of October 9, 2015 was granted.
- This order constitutes final agency action.
- ER16-56** **Midcontinent Independent System Operator, Inc.'s ("MISO") Submission of Tariff Revisions to Remove Hurdle Rate Mechanism**
- On November 2, 2015, the MISO Transmission Owners filed a Motion to Intervene and Comments in support of MISO's October 13, 2015 filing.
- On November 3, 2015, the Council of the City of New Orleans filed Comments requesting that the Commission require the Midcontinent Independent System Operator, Inc. to honor its commitment in the Hurdle Rate Filing to ensure alignment of the charges incurred by the Market Participants through the operation of the Hurdle Rate with the cost responsibility for

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charges allocated by the cost recovery mechanism ultimately adopted in Docket No. ER14-1736. The Council also asked that, consistent with the Hurdle Rate Order, the Commission direct MISO to make an informational filing to demonstrate the impact of the Hurdle Rate on Market Participants during the period of its effectiveness.

On November 3, 2015, Wisconsin TDUs filed a Motion to Intervene and Comments.

On November 17, 2015, the Council of the City of New Orleans filed an answer in response to the comments filed by the MISO Transmission Owners on November 2, 2015.

On November 18, 2015, the Midcontinent Independent System Operator, Inc. filed an answer in response to comments filed by the MISO Transmission Owners, Wisconsin TDUs and the Council of the City of New Orleans.

On November 18, 2015, the Wisconsin TDUs filed an answer in response to comments filed by the Council of the City of New Orleans.

On November 23, 2015, Public Utility Commission of Texas filed a Motion to Intervene Out-of-Time.

ER16-126

Petition for Waiver of Tariff Provisions to Enable SPP's Oversight Committee to Create an Industry Expert Panel ("IEP") to Review and Evaluate Request for Proposals ("RFPs") Previously Issued for the Walkemeyer-North Liberal 115 kV Project

On November 13, 2015, FERC issued an order granting SPP's Petition for Waiver of Tariff Provisions requesting that the Commission waive applicable provisions of SPP's Tariff to enable SPP's Oversight Committee to create an Industry Expert Panel to review and evaluate proposals submitted in response to Request for Proposals that SPP previously issued for the Walkemeyer-North Liberal 115 kV project.

ER16-130

Submission of Tariff Revisions to Carryforward Language into the Tariff Dated October 1, 2015

On November 12, 2015, Basin Electric Power Cooperative and Heartland Consumers Power District filed Motions to Intervene.

On November 12, 2015, Western Area Power Administration filed a Motion to Intervene.

On November 24, 2015, FERC issued an order accepting SPP's tariff revisions to make corrections to certain sections of the Tariff in order to carryforward Tariff language from various proceedings into the Tariff dated October 1, 2015.

This order constitutes final agency action.

ER16-139

Submission of Tariff Revisions to Update Index of Grandfathered Agreements in Attachment W

On November 10, 2015, Southwestern Power Administration filed a Motion to Intervene with Supporting Comments Subject to Correction of Line 221 in Attachment W.

On November 12, 2015, MidAmerican Energy Company filed a Motion to Intervene and Comment regarding several minor corrections that should be made to Attachment W.

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ER16-151 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and Southwestern Public Service Company ("SPS") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners**

On November 12, 2015, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene.

ER16-158 **Submission of Tariff Revisions Regarding Pricing in the Context of Ramp Constraints**

On November 17, 2015, Westar Energy, Inc. filed a Motion to Intervene.

On November 18, 2015, Westar Energy, Inc. filed comments in response to SPP's filing.

Westar discussed:

- 1) Westar's support for alignment of SPP settlement and Market Clearing Engine (MCE) with the SPP Tariff;
- 2) need for Ramp Scarcity Pricing in SPP MCE; and
- 3) FERC Docket No. RM15-24 proposes initial price formation fixes for organized markets and recognizes the need for the clarity sought by Westar.

ER16-163 **Submission of Tariff Revisions to Update Definitions for the Transmission Provider and Tariff**

On November 18, 2015, East Texas Electric Cooperative, Inc. filed a Motion to Intervene.

On November 19, 2015, Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation filed Motions to Intervene.

ER16-165 **Submission of Tariff Amendments to Clarify Treatment of Point-To-Point Transmission Service ("PTP") Revenues**

On November 2, 2015, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On November 3, 2015, South Central MCN, LLC filed a Motion to Intervene.

On November 16, 2015, Arkansas Electric Cooperative Corporation filed a Motion to Intervene.

On November 18, 2015, East Texas Electric Cooperative, Inc. filed a Motion to Intervene.

On November 19, 2015, Mid-Kansas Electric Company, LLC, Sunflower Electric Power Corporation, and Xcel Energy Services Inc. filed Motions to Intervene.

On November 19, 2015, the City of Independence, Missouri filed a Motion to Intervene and Limited Protest.

Independence stated that its sole concern regarding the Tariff revisions is that they create the possibility of revenue shortfall for any SPP Transmission Owner that uses a stated Annual Transmission Revenue Requirement that includes a set assumed level of Point-To-Point Revenues.

ER16-196 **Notice of Cancellation of the Meter Agent Services Agreement between Basin Electric Power Cooperative ("Basin") as Market Participant and Western Area Power Administration - UGPR ("WAPA") as Meter Agent**

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On November 20, 2015, Basin Electric Power Cooperative and Western Area Power Administration filed Motions to Intervene.

ER16-198

Meter Agent Services Agreement between Northern States Power Company ("NSP") as Market Participant and Basin Electric Power Cooperative ("Basin") as Meter Agent

On November 20, 2015, Basin Electric Power Cooperative filed a Motion to Intervene.

ER16-204

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Tri-State Generation and Transmission Association, Inc. ("Tri-State")

On November 2, 2015, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On November 3, 2015, South Central MCN, LLC filed a Motion to Intervene.

On November 17, 2015, City of Independence, Missouri filed a Motion to Intervene.

On November 17, 2015, Municipal Energy Agency of Nebraska filed a Motion to Intervene.

On November 20, 2015, the City of Grand Island, Nebraska, Corn Belt Power Cooperative, Inc., East River Electric Power Cooperative, Inc., Mid-Kansas Electric Company, LLC, Sunflower Electric Power Corporation, and Xcel Energy Services Inc. filed Motions to Intervene.

On November 20, 2015, Tri-State Generation and Transmission Association, Inc. filed a Motion to Intervene and Comments in support of SPP's filing.

On November 20, 2015, Western Area Power Administration filed a Motion to Intervene and Comments in Support of SPP's filing.

On November 20, 2015, Northwest Iowa Power Cooperative filed a Motion to Intervene and Comments in support of SPP's filing.

On November 20, 2015, Nebraska Public Power District filed a Motion to Intervene, Protest and Motion for Summary Disposition or, in the Alternative, Hearing.

NPPD stated that it fully supports the inclusion of Tri-State as a new Transmission Owner in SPP. However, NPPD opposes the placement of Tri-State in NPPD's Zone 17 because it would shift more than half of Tri-State's as-filed \$8.1 million Annual Transmission Revenue Requirement to NPPD Zone 17 and thereby require transmission customers in Zone 17 to subsidize the costs of facilities constructed to serve Tri-State load.

On November 20, 2015, Basin Electric Power Cooperative filed a Motion to Intervene and Comments in support of SPP's filing.

ER16-209

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Central Power Electric Cooperative, Inc.

On November 3, 2015, South Central MCN, LLC filed a Motion to Intervene.

On November 4, 2015, Missouri Public Service Commission filed a Motion to Intervene.

On November 12, 2015, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On November 20, 2015, Basin Electric Power Cooperative filed a Motion to Intervene and

Regulatory Status Report

Comments in support of SPP's filing.

On November 20, 2015, Missouri River Energy Services filed a Motion to Intervene and Comment.

MRES stated that the filing of a proposed formula rate fails to include key data, information, and explanations which are necessary for any formula rate to be approved.

On November 20, 2015, the Minnesota Public Utilities Commission filed a Notice of Intervention.

On November 20, 2015, Arkansas Electric Cooperative Corporation filed a Motion to Intervene and Comments.

AECC requested that the Commission order the Midcontinent Independent System Operator, Inc. and SPP to eliminate rate pancaking for transactions that cross the seam between the two RTOs. AECC also requested that the Commission order MISO and SPP to modify their joint planning process to specifically address the needs of entities that must serve load on both sides of the MISO-SPP seam.

On November 20, 2015, Central Power Electric Cooperative, Inc. filed a Motion to Intervene and Comments in support of SPP's filing.

On November 20, 2015, Western Area Power Administration filed a Motion to Intervene with Request for Clarification.

Western requested that the Commission require clarification of the Central Power facilities qualifying (including components with substations), along with the basis of those facilities qualifying for inclusion in the Annual Transmission Revenue Requirement.

On November 20, 2015, Northwest Iowa Power Cooperative filed a Motion to Intervene and Comments in support of SPP's filing.

On November 20, 2015, Otter Tail Power Company filed a Motion to Intervene and Protest.

Otter Tail stated:

- 1) SPP has failed to demonstrate that its proposed rates are just and reasonable;
- 2) Central Power's decision will result in an inappropriate RTO configuration; and
- 3) the Commission should order SPP to allow Otter Tail to amend its Network Integration Transmission Service Agreement to reflect the outcome of this proceeding.

On November 20, 2015, East River Electric Power Cooperative, Inc. filed a Motion to Intervene.

On November 25, 2015, the Midcontinent Independent System Operator, Inc. filed a Motion to Intervene and Comments.

MISO stated it agrees with several of the concerns expressed in the Protest filed by Otter Tail Power Company and believes that the Commission should fashion a remedy that will leave the owners, Central Power and Otter Tail, in no worse position than that in which they had been operating before the Commission set about encouraging RTO participation.

ER16-228

Agreement Establishing a Pseudo-Tie Electric Interconnection Point among SPP, Basin Electric Power Cooperative ("Basin") as the Market Participant, and Montana-Dakota Utilities Co. ("MDU") as the External Local Balancing Authority

Regulatory Status Report

On November 2, 2015, SPP submitted an executed Agreement Establishing a Pseudo-Tie Electric Interconnection Point among SPP, Basin Electric Power Cooperative as the Market Participant, and Montana-Dakota Utilities Co. as the External Local Balancing Authority. SPP Service Agreement No. 3113.

An effective date of October 1, 2015 was requested.

On November 23, 2015, Missouri River Energy Services filed a Motion to Intervene and Comment regarding key issues and information which need to be investigated before the Commission approves the agreement.

ER16-229

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner

On November 2, 2015, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Sunflower Electric Power Corporation as Host Transmission Owner. SPP Service Agreement No. First Revised 2646.

An effective date of October 1, 2015 was requested.

On November 23, 2015, Sunflower Electric Power Corporation and Western Area Power Administration filed Motions to Intervene.

ER16-230

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, East Texas Electric Cooperative, Inc. ("ETEC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner

On November 2, 2015, SPP submitted an executed NITSA between SPP as Transmission Provider, East Texas Electric Cooperative, Inc. as Network Customer, as well as a NOA with American Electric Power Service Corporation as Host Transmission Owner. SPP Service Agreement No. Fifth Revised 1067.

SPP also submitted two Notices of Cancellation:

- 1) NITSA and NOA between SPP, Tex-La Electric Cooperative of Texas, Inc. as Network Customer, and AEP as Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1065.
- 2) NITSA and NOA between SPP, Northeast Texas Electric Cooperative, Inc. as Network Customer, and AEP as Host Transmission Owner. SPP Service Agreement No. Seventh Revised 1066.

An effective date of October 1, 2015 was requested.

On November 13, 2015, East Texas Electric Cooperative, Inc., et al. filed a Motion to Intervene.

On November 23, 2015, Western Area Power Administration filed a Motion to Intervene.

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- ER16-231** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Minnkota Power Cooperative, Inc. ("Minnkota") as Network Customer, and Western Area Power Administration ("WAPA") as Host Transmission Owner**
- On November 2, 2015, SPP submitted an executed NITSA between SPP as Transmission Provider, Minnkota Power Cooperative, Inc. as Network Customer, as well as a NOA with Western Area Power Administration as Host Transmission Owner. SPP Service Agreement No. 3082.
- An effective date of October 1, 2015 was requested.
- On November 23, 2015, Minnkota Power Cooperative, Inc. and Western Area Power Administration filed Motions to Intervene.
- On November 23, 2015, Missouri River Energy Services filed a Motion to Intervene and Comment regarding issues that need to be examined further before the Commission can approve the agreement.
- ER16-232** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Basin Electric Power Cooperative ("Basin") as Network Customer, and Corn Belt Power Cooperative ("Corn Belt") as Host Transmission Owner**
- On November 2, 2015, SPP submitted an executed NITSA between SPP as Transmission Provider, Basin Electric Power Cooperative as Network Customer, as well as a NOA with Corn Belt Power Cooperative as Host Transmission Owner. SPP Service Agreement No. 3124
- An effective date of October 1, 2015 was requested.
- On November 23, 2015, Western Area Power Administration filed a Motion to Intervene.
- On November 23, 2015, Missouri River Energy Services filed a Motion to Intervene and Comment regarding several issues that need to be thoroughly examined before the Commission can approve the agreement.
- On November 25, 2015, Basin Electric Power Cooperative filed a Motion for Leave to Intervene Out-of-Time.
- ER16-234** **Meter Agent Services Agreement between Western Area Power Administration - UGP Marketing ("WAPA-UGP") as both Market Participant and Meter Agent**
- On November 2, 2015, SPP submitted an executed Meter Agent Services Agreement with Western Area Power Administration - UGP Marketing as both the Market Participant and Meter Agent. SPP Service Agreement No. 3112.
- An effective date of October 1, 2015 was requested.
- ER16-241** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Basin Electric Power Cooperative ("Basin") as Network Customer, and Basin, Corn Belt Power Cooperative, East River Electric Power Cooperative, Inc., Northwest Iowa Power Cooperative, Nebraska Public Power District, NorthWestern Corporation, and Western Area Power Administration as Host Transmission Owners**

Regulatory Status Report

On November 2, 2015, SPP submitted an executed NITSA between SPP as Transmission Provider, Basin Electric Power Cooperative as Network Customer, as well as a partially executed NOA with Basin, Corn Belt Power Cooperative, East River Electric Power Cooperative, Inc., Northwest Iowa Power Cooperative, Nebraska Public Power District, NorthWestern Corporation, and Western Area Power Administration as Host Transmission Owners. SPP Service Agreement No. 3125.

An effective date of October 1, 2015 was requested.

On November 23, 2015, Western Area Power Administration filed a Motion to Intervene.

On November 23, 2015, Missouri River Energy Services filed a Motion to Intervene and Comment regarding several issues that need to be thoroughly examined before the Commission can approve the agreement.

ER16-242

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Heartland Consumers Power District ("Heartland") as Network Customer, and East River Electric Power Cooperative, Inc., NorthWestern Corporation, Northern States Power Company, and Western Area Power Administration as Host Transmission Owners

On November 2, 2015, SPP submitted a partially executed NITSA between SPP as Transmission Provider, Heartland Consumers Power District as Network Customer, as well as a partially executed NOA with East River Electric Power Cooperative, Inc., NorthWestern Corporation, Northern States Power Company, and Western Area Power Administration as Host Transmission Owners. SPP Service Agreement No. 3101.

An effective date of October 1, 2015 was requested.

On November 23, 2015, Western Area Power Administration filed a Motion to Intervene.

On November 23, 2015, Missouri River Energy Services filed a Motion to Intervene and Comment. MRES stated it did not execute the agreement in part because of the ambiguous treatment of Grandfathered Agreement # 496. MRES also stated that additional issues need to be examined further before the Commission can approve the agreement.

On November 23, 2015, Heartland Consumers Power District filed a Motion to Intervene and Conditional Protest.

Heartland stated it requested that SPP add Grand Island as point of receipt for the Laramie River Station and SPP rejected this request, stating that inclusion of Grand Island as a point of receipt would memorialize grandfathered service that is not included in the Service Agreement. Heartland stated that accepting SPP's rejection of Grand Island could affect its settlement position in Docket No. ER14-2850.

ER16-244

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Missouri River Energy Services ("MRES") as Network Customer, and MRES, Basin Electric Power Cooperative, East River Electric Power Cooperative, Inc., Northwest Iowa Power Cooperative, and Western Area Power Administration as Host Transmission Owners

On November 2, 2015, SPP submitted a partially executed NITSA between SPP as Transmission Provider, Missouri River Energy Services as Network Customer, as well as a

Regulatory Status Report

partially executed NOA with MRES, Basin Electric Power Cooperative, East River Electric Power Cooperative, Inc., Northwest Iowa Power Cooperative, and Western Area Power Administration as Host Transmission Owners. SPP Service Agreement No. 3095.

An effective date of October 1, 2015 was requested.

On November 23, 2015, Western Area Power Administration filed a Motion to Intervene.

On November 23, 2015, Missouri River Energy Services filed a Motion to Intervene, Conditional Protest and Protest.

MRES stated:

- 1) the dispute over whether to identify Grand Island as a Point of Receipt concerns the broader issue of how grandfathered service should be reflected in the NITSA; and
- 2) it protests SPP's refusal to include multiple alternate emergency ties and backup feeds in the Point of Delivery listing.

ER16-245

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Western Area Power Administration ("WAPA") as Network Customer, and WAPA, Basin Electric Power Cooperative, Corn Belt Power Cooperative, East River Electric Power Cooperative, Inc., Northwest Iowa Power Cooperative, Nebraska Public Power District, NorthWestern Corporation, Lincoln Electric System, Omaha Public Power District, and Missouri River Energy Services as Host Transmission Owners

On November 2, 2015, SPP submitted an executed NITSA between SPP as Transmission Provider, Western Area Power Administration as Network Customer, as well as a NOA with WAPA, Basin Electric Power Cooperative, Corn Belt Power Cooperative, East River Electric Power Cooperative, Inc., Northwest Iowa Power Cooperative, Nebraska Public Power District, NorthWestern Corporation, Lincoln Electric System, Omaha Public Power District, and Missouri River Energy Services as Host Transmission Owners. SPP Service Agreement No. 3126.

An effective date of October 1, 2015 was requested.

On November 23, 2015, Western Area Power Administration filed a Motion to Intervene and Comments in Support of SPP's filing.

On November 23, 2015, Missouri River Energy Services filed a Motion to Intervene and Comment regarding issues that need to be examined further before the Commission can approve the agreement.

ER16-247

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Montana-Dakota Utilities Co. ("MDU") as Network Customer, and Western Area Power Administration and Basin Electric Power Cooperative as Host Transmission Owners

On November 2, 2015, SPP submitted an executed NITSA between SPP as Transmission Provider, Montana-Dakota Utilities Co. as Network Customer, as well as an unexecuted NOA with Western Area Power Administration and Basin Electric Power Cooperative as Host Transmission Owners. SPP Service Agreement No. 3127.

An effective date of October 1, 2015 was requested.

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On November 23, 2015, Montana-Dakota Utilities Co. filed a Motion to Intervene and Comments requested that the agreement be accepted subject to the outcome of the proceedings in Docket Nos. ER14-2850 and ER14-2851.

On November 23, 2015, Western Area Power Administration filed a Motion to Intervene.

On November 23, 2015, Missouri River Energy Services filed a Motion to Intervene and Comment regarding issues that need to be examined further before the Commission can approve the agreement.

ER16-254

Ministerial Filing of Non-Substantive Membership Agreement Revisions

On November 3, 2015, SPP submitted ministerial revisions to remove from its Membership Agreement signature pages currently on file with the Commission in eTariff.

An effective date of October 1, 2015 was requested.

On November 12, 2015, Western Area Power Administration filed a Motion to Intervene.

ER16-279

Ministerial Filing of Non-Substantive Tariff Revisions to Correct the Table of Contents for Attachment AE

On November 6, 2015, SPP submitted ministerial revisions to its Tariff to update the Table of Contents for Attachment AE to properly reflect the titles of the sections of Attachment AE accepted by the Commission in various proceedings.

Multiple effective dates were requested.

ER16-297

Generator Interconnection Agreement ("GIA") between Waverly Wind Farm, LLC ("Waverly Wind") as Interconnection Customer and Westar Energy, Inc. ("Westar") as Transmission Owner

On November 10, 2015, SPP submitted an executed GIA between SPP as Transmission Provider, Waverly Wind Farm, LLC as Interconnection Customer, and Westar Energy, Inc. as Transmission Owner. SPP Service Agreement No. Third Revised 2652.

An effective date of October 19, 2015 was requested.

ER16-345

Notice of Cancellation of the Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Oklahoma Municipal Power Authority ("OMPA") as Transmission Customer

On November 18, 2015, SPP submitted a Notice of Cancellation of the service agreement for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and Oklahoma Municipal Power Authority as Transmission Customer. SPP Service Agreement No. 2050.

An effective date of September 1, 2015 was requested.

ER16-420

Transmission Interconnection Agreement between Plains and Eastern Clean Line Oklahoma LLC ("Clean Line") and Southwestern Public Service Company ("SPS"), with SPP as Signatory

On November 30, 2015, SPP submitted an executed Transmission Interconnection Agreement

Regulatory Status Report

between Plains and Eastern Clean Line Oklahoma LLC and Southwestern Public Service Company, with SPP as signatory. SPP Service Agreement No. 3103.

An effective date of November 6, 2015 was requested.

ER16-430

Revisions to Section 9.7 of the Bylaws to Expand the Regional Entity Trustees and Modify Eligibility to Participate in Regional Entity Trustee Elections, Removals, and Compensation Approvals

On November 30, 2015, SPP filed revisions to Section 9.7 of the Bylaws to expand the Regional Entity Trustees by up to one additional seat, revise the corresponding administrative, quorum, and voting requirements and ensure that only the impacted Members, those that are Registered Entities in the SPP Regional Entity footprint, are eligible to participate in the SPP Regional Entity Trustee elections, removals, and compensation approvals.

An effective date of January 29, 2016 was requested.

RM11-12

Availability of E-Tag Information to Commission Staff (Order No. 771)

On November 19, 2015, FERC issued Order No. 771-B, Order on Rehearing and Clarification.

The Commission denied the rehearing of Order No. 771 with respect to access to e-Tag data for the Commission, and for Regional Transmission Organizations, Independent System Operators, and Market Monitoring Units. The Commission granted clarification regarding certain issues.

RM14-8

Protection System Maintenance Reliability Standard

On November 13, 2015, the North American Electric Reliability Corporation filed a Motion to Defer Implementation and Request for Shortened Response Period and Expedited Action. NERC requested that the Commission defer the implementation of Reliability Standards PRC-005-3, PRC-005-3(i), and PRC-005-4 from January 1, 2016 until after the Commission issues a final order on proposed Reliability Standard PRC-005-6, which is being filed concurrently.

On November 20, 2015, Edison Electric Institute, et al. filed comments in support of the Motion to Defer Implementation and Request for Shortened Response Period and Expedited Action filed by the North American Electric Reliability Corporation.

RM14-14

Refinements to Policies and Procedures for Market-Based Rates for Wholesale Sales of Electric Energy, Capacity and Ancillary Services by Public Utilities

On November 13, 2015, Invenergy Thermal Development LLC and Invenergy Wind Development LLC filed a Request for Rehearing, or in the Alternative Request for Rehearing of Order No. 816 issued on October 16, 2015.

Several parties filed Requests for Clarification or Rehearing of Order No. 816 issued on October 16, 2015.

RM15-2

Order No. 819 - Third-Party Provision of Primary Frequency Response Service

On November 20, 2015, FERC issued Order No. 819, Final Rule revising the Commission's regulations to foster competition in the sale of primary frequency response service. The Commission amended its regulations governing market-based rates for public utilities pursuant

Regulatory Status Report

to the Federal Power Act to permit the sale of primary frequency response service at market-based rates by sellers with market-based rate authority for sales of energy and capacity.

This rule becomes effective on February 25, 2016.

RM15-7

Revisions to Emergency Operations Reliability Standards

On November 19, 2015, FERC issued Order No. 818, Final Rule approving Reliability Standards and definitions of terms submitted in three related petitions by the North American Electric Reliability Corporation.

The Commission approved Reliability Standards EOP-011-1 (Emergency Operations) and PRC-010-1 (Undervoltage Load Shedding). The proposed Reliability Standards consolidate, streamline and clarify the existing requirements of certain currently-effective Emergency Preparedness and Operations (EOP) and Protection and Control (PRC) standards. The Commission also approved NERC's revised definition of the term Remedial Action Scheme as set forth in the NERC Glossary of Terms Used in Reliability Standards, and modifications of specified Reliability Standards to incorporate the revised definition. Further, the Commission approved the implementation plans, and the retirement of certain currently-effective Reliability Standards.

This rule becomes effective on January 25, 2016.

RM15-8

Relay Performance During Stable Power Swings Reliability Standard

Parties filed comments in response to the Notice of Proposed Rulemaking issued on September 17, 2015.

RM15-9

Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance Reliability Standard

On November 13, 2015, the North American Electric Reliability Corporation filed a Motion to Defer Implementation and Request for Shortened Response Period and Expedited Action. NERC requested that the Commission defer the implementation of Reliability Standards PRC-005-3, PRC-005-3(i), and PRC-005-4 from January 1, 2016 until after the Commission issues a final order on proposed Reliability Standard PRC-005-6, which is being filed concurrently.

On November 20, 2015, Edison Electric Institute, et al. filed comments in support of the Motion to Defer Implementation and Request for Shortened Response Period and Expedited Action filed by the North American Electric Reliability Corporation.

RM15-12

Revisions to Undervoltage Load Shedding Reliability Standards

On November 19, 2015, FERC issued Order No. 818, Final Rule approving Reliability Standards and definitions of terms submitted in three related petitions by the North American Electric Reliability Corporation.

The Commission approved Reliability Standards EOP-011-1 (Emergency Operations) and PRC-010-1 (Undervoltage Load Shedding). The proposed Reliability Standards consolidate, streamline and clarify the existing requirements of certain currently-effective Emergency Preparedness and Operations (EOP) and Protection and Control (PRC) standards. The

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Commission also approved NERC's revised definition of the term Remedial Action Scheme as set forth in the NERC Glossary of Terms Used in Reliability Standards, and modifications of specified Reliability Standards to incorporate the revised definition. Further, the Commission approved the implementation plans, and the retirement of certain currently-effective Reliability Standards.

This rule becomes effective on January 25, 2016.

RM15-13

Revisions to the Definition of Remedial Action Scheme and Related Reliability Standards

On November 19, 2015, FERC issued Order No. 818, Final Rule approving Reliability Standards and definitions of terms submitted in three related petitions by the North American Electric Reliability Corporation.

The Commission approved Reliability Standards EOP-011-1 (Emergency Operations) and PRC-010-1 (Undervoltage Load Shedding). The proposed Reliability Standards consolidate, streamline and clarify the existing requirements of certain currently-effective Emergency Preparedness and Operations (EOP) and Protection and Control (PRC) standards. The Commission also approved NERC's revised definition of the term Remedial Action Scheme as set forth in the NERC Glossary of Terms Used in Reliability Standards, and modifications of specified Reliability Standards to incorporate the revised definition. Further, the Commission approved the implementation plans, and the retirement of certain currently-effective Reliability Standards.

This rule becomes effective on January 25, 2016.

RM15-16

Transmission Operations Reliability Standards and Interconnection Reliability Operations and Coordination Reliability Standards

On November 19, 2015, FERC issued Order No. 817, Final Rule approving revisions to the Transmission Operations and Interconnection Reliability Operations and Coordination Reliability Standards. The Commission directed the North American Electric Reliability Corporation to make three modifications to the standards within 18 months of the effective date of the final rule.

This rule becomes effective on January 26, 2016.

RM15-23

Collection of Connected Entity Data from Regional Transmission Organizations and Independent System Operators

On November 4, 2015, the Independent Generation Owners & Representatives filed Comments in Support of Motion for Technical Conference and Request to Postpone Comment Deadline.

On November 5, 2015, International Energy Credit Association filed an Answer in Support of Motion for Technical Conference and Request to Postpone Comment Deadline.

On November 10, 2015, FERC issued an Order Granting Motion for Technical Conference and Request to Postpone Comment Deadline.

FERC directed staff to convene a technical conference on December 8, 2015 and postponed the due date for comments on the Notice of Proposed Rulemaking until January 22, 2016.

Regulatory Status Report

On November 13, 2015, FERC issued a Notice of Technical Conference to be held on December 8, 2015.

On November 30, 2015, FERC issued a Supplemental Notice of Technical Conference, attaching the agenda for the Technical Conference to be held on December 8, 2015.

RM15-24

Settlement Intervals and Shortage Pricing in Markets Operated by Regional Transmission Organizations and Independent System Operators

On November 30, 2015, SPP and PJM Interconnection, L.L.C. filed Joint Comments in response to the Notice of Proposed Rulemaking issued on September 17, 2015.

On November 30, 2015, the ISO/RTO Council filed Comments in response to the Notice of Proposed Rulemaking issued on September 17, 2015.

On November 30, 2015, the SPP Market Monitoring Unit filed Comments in response to the Notice of Proposed Rulemaking issued on September 17, 2015.

Numerous parties filed Comments in response to the Notice of Proposed Rulemaking issued on September 17, 2015.

RM15-25

Availability of Certain North American Electric Reliability Corporation Databases to the Commission

On November 20, 2015, Edison Electric Institute filed a Request to Extend Comment Deadline to December 15, 2015.

On November 24, 2015, FERC issued a Notice of Extension of Time until December 15, 2015 to file comments in response to the Notice of Proposed Rulemaking.

Parties filed comments in response to the Notice of Proposed Rulemaking issued on September 17, 2015.

RM16-1

Reactive Power Requirements for Non-Synchronous Generation

On November 19, 2015, FERC issued a proposal to eliminate the exemptions for wind generators from the requirement to provide reactive power. As a result, all newly interconnecting generators, including both synchronous and non-synchronous generators, would be required to provide reactive power. To implement this requirement, FERC proposes to revise the pro forma Large Generator Interconnection Agreement (LGIA), Appendix G to the pro forma LGIA, and the pro forma Small Generator Interconnection Agreement (SGIA) in accordance with the Commission's regulations.

Comments are due on January 25, 2016.

RR15-2

North American Electric Reliability Corporation's ("NERC") Implementation of the Reliability Assurance Initiative ("RAI")

On November 4, 2015, FERC issued an order conditionally accepting NERC's compliance filings submitted on May 20, 2015 and July 6, 2015, subject to a further compliance filing to modify NERC's Rules of Procedure and providing additional information in its annual report on the Reliability Assurance Initiative.

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RR15-12

Petition of the North American Electric Reliability Corporation for Approval of the Revised Pro Forma Regional Delegation Agreement and the Revised Regional Delegation Agreements with the Eight Regional Entities

On November 2, 2015, FERC issued an order conditionally approving the revisions to the pro forma Delegation Agreement to become effective January 1, 2016, subject to a compliance filing.

NERC's compliance filing is due on March 1, 2016.

RR15-19

North American Electric Reliability Corporation's ("NERC") Petition for Approval of Amendments to Exhibit B to the Delegation Agreement with SPP to Include the Changes filed by SPP in Docket No. ER15-1924

On November 20, 2015, FERC issued an order accepting NERC's petition for approval to amendments to Exhibit B to the Delegation Agreement with SPP. This includes changes to SPP's Bylaws filed by SPP in Docket No. ER15-1924.

RR16-1

Petition of the North American Electric Reliability Corporation for Approval of Amendments to Exhibit B to the Delegation Agreement with Southwest Power Pool, Inc. - Amendments to Section 9.7 of SPP's Bylaws

On November 30, 2015, the North American Electric Reliability Corporation submitted its Petition for Approval of Amendments to Exhibit B to the Delegation Agreement with Southwest Power Pool, Inc. - Amendments to Southwest Power Pool, Inc.'s Bylaws. This includes revisions to section 9.7 of SPP's Bylaws filed by SPP in Docket No. ER16-430.

Regulatory Status Report

FERC or State Jurisdiction: United States Court of Appeals

- 14-1281** **Oklahoma Gas and Electric Company ("OG&E") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket Nos. ER13-366 and ER13-367 Regarding SPP's Order No. 1000 Regional Compliance Filings**
- On November 5, 2015, Oklahoma Gas and Electric Company and Intervenors Supporting Petitioner filed a Joint Reply Brief.
- 15-1157** **LSP Transmission Holdings, LLC and LS Power Transmission, LLC ("collectively "LSP Transmission") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket Nos. ER13-366 and ER13-367 Regarding SPP's Order No. 1000 Regional Compliance Filings**
- On November 5, 2015, FERC filed the Certified Index to the Record.
- On November 10, 2015, the court issued an order establishing the briefing schedule.
- On November 13, 2015, the parties filed a Joint Motion to Alter Briefing Schedule.
- On November 16, 2015, the court issued an order revising the briefing schedule as follows:
January 15, 2016 - Petitioners' Brief;
March 15, 2016 - Respondent's Brief;
April 5, 2016 - Intervenor for Respondent Brief;
April 26, 2016 - Petitioners' Reply Brief;
May 10, 2016 - Deferred Appendix;
May 17, 2016 - Final Briefs.
- 15-1213** **Xcel Energy Services Inc. ("Xcel") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket Nos. EL12-59 and EL13-78 Regarding Return on Common Equity Input Values in Southwestern Public Service Company's ("SPS") Formula Rates**
- On November 30, 2015, Xcel Energy Services Inc. filed a Voluntary Withdrawal of Petition for Review or, in the Alternative, Motion to Withdraw Petition.