

### **FERC or State Jurisdiction: FERC**

**AD14-14 Price Formation in Energy and Ancillary Services Markets Operated by Regional Transmission Organizations ("RTOs") and Independent System Operators ("ISOs")**

On January 15, 2016, the ISO/RTO Council filed a Motion for Extension of Time to respond to the Order Directing Reports issued on November 20, 2015.

On January 27, 2016, FERC issued a Notice of Extension of Time to submit responses to the Order Directing Reports issued on November 20, 2015. Responses are now due on March 4, 2016.

**EC16-64 Southwestern Public Service Company ("SPS") and Xcel Energy Southwest Transmission Company, LLC ("XEST") Joint Application for Authorization for Disposition of Jurisdictional Transmission Facilities (Hitchland-Lamar Transmission Assets)**

On January 28, 2016, Southwestern Public Service Company and Xcel Energy Southwest Transmission Company, LLC submitted a Joint Application for Authorization for Disposition of Jurisdictional Transmission Facilities. Applicants seek authorization for a transaction by which the ownership of certain 345 kv transmission lines and associated substation facilities located in the states of Kansas and Oklahoma (the Hitchland-Lamar Transmission Assets) will be transferred from SPS to XEST.

**EL11-34 Midwest Independent Transmission Operator, Inc. ("MISO") Petition for Declaratory Order Seeking Commission Confirmation Regarding Section 5.2 of the Joint Operating Agreement ("JOA") between MISO and SPP**

On January 5, 2016, Judge Cintron issued a Certification of Uncontested Offer of Settlement.

On January 8, 2016, Judge Cintron issued an Order of Chief Judge Terminating Settlement Judge Procedures.

On January 21, 2016, FERC issued an order approving the Offer of Settlement filed on October 13, 2015 which resolves all issues between and among the Parties.

On January 21, 2016, FERC issued an Order Dismissing Requests for Rehearing as Moot.

**EL14-21 SPP Complaint for an Order Finding the Midcontinent Independent System Operator, Inc. ("MISO") is Violating the Joint Operating Agreement ("JOA") between SPP and MISO and the SPP Tariff and Requiring MISO to Compensate SPP for Use of SPP's Transmission System**

On January 5, 2016, Judge Cintron issued a Certification of Uncontested Offer of Settlement.

On January 8, 2016, Judge Cintron issued an Order of Chief Judge Terminating Settlement Judge Procedures.

On January 21, 2016, FERC issued an order approving the Offer of Settlement filed on October 13, 2015 which resolves all issues between and among the Parties.

On January 21, 2016, FERC issued an Order Dismissing Requests for Rehearing as Moot.

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- EL14-30**                    **Midcontinent Independent System Operator, Inc. ("MISO") Complaint Regarding Transmission Service Invoices from SPP**
- On January 5, 2016, Judge Cintron issued a Certification of Uncontested Offer of Settlement.
- On January 8, 2016, Judge Cintron issued an Order of Chief Judge Terminating Settlement Judge Procedures.
- On January 21, 2016, FERC issued an order approving the Offer of Settlement filed on October 13, 2015 which resolves all issues between and among the Parties.
- On January 21, 2016, FERC issued an Order Dismissing Requests for Rehearing as Moot.
- ER08-1338**                    **SPP Submission of Revised Tariff Sheets Amending Schedule 1-A of OATT in Order to Increase the Rate Cap for its Tariff Administration Service Charge**
- On January 13, 2016, SPP submitted an informational filing containing its annual operating budget. This is submitted pursuant to the Commission's October 1, 2004 Order in Docket No. RT04-1, and commitments made by SPP in Docket No. ER08-1338.
- ER13-366**                    **Submission of Tariff Revisions to Comply with Order No. 1000 Regional Planning and Cost Allocation Requirements**
- On January 28, 2016, SPP submitted its informational filing of the 2015 designations of Short-Term Reliability Projects pursuant to Section I.3.d of Attachment Y of the Tariff.
- ER13-1864**                    **Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Include Market-to-Market ("M2M") Terms and Conditions (SPP Rate Schedule FERC No. 9)**
- On January 22, 2016, SPP filed its second informational report regarding Interface Bus Pricing and a Day-Ahead Firm Flow Entitlement exchange process.
- ER14-67**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP and American Electric Power Service Corporation ("AEP") as Network Customer and Host Transmission Owner, and a unexecuted NOA between SPP, AEP as Network Customer, and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owner**
- On January 11, 2016, Judge Coffman issued a Certification of Uncontested Settlement.
- ER14-1174**                    **Unexecuted Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Midcontinent Independent System Operator, Inc. ("MISO") as Transmission Customer**
- On January 5, 2016, Judge Cintron issued a Certification of Uncontested Offer of Settlement.
- On January 8, 2016, Judge Cintron issued an Order of Chief Judge Terminating Settlement Judge Procedures.
- On January 21, 2016, FERC issued an order approving the Offer of Settlement filed on October 13, 2015 which resolves all issues between and among the Parties.
- On January 21, 2016, FERC issued an Order Dismissing Requests for Rehearing as Moot.

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ER14-2850

**Submission of Tariff Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")**

On January 21, 2016, Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. Judge Young expects to certify the fifth partial offer of settlement before the next report is due.

On January 21, 2016, Judge Young issued a Settlement Judge Certification of Uncontested Partial Offer of Settlement resolving all issues related to Montana Dakota Utilities Co. set for hearing and settlement judge procedures.

On January 28, 2016, FERC issued an order approving the Joint Offer of Partial Settlement resolving all of Otter Tail Power Company's issues set for hearing in this proceeding.

On January 29, 2016, Judge Young issued an Order Scheduling Settlement Conference to be held on February 10, 2016.

On January 29, 2016, FERC issued an order approving the Partial Settlement Agreement resolving all of the Montana Consumer Counsel's issues set for hearing in this proceeding.

ER14-2851

**Submission of Bylaws and Membership Agreement ("Governing Documents") Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")**

On January 21, 2016, Judge Young issued a Settlement Judge Report to the Commission and Chief Judge. Judge Young expects to certify the fifth partial offer of settlement before the next report is due.

On January 21, 2016, Judge Young issued a Settlement Judge Certification of Uncontested Partial Offer of Settlement resolving all issues related to Montana Dakota Utilities Co. set for hearing and settlement judge procedures.

On January 28, 2016, FERC issued an order approving the Joint Offer of Partial Settlement resolving all of Otter Tail Power Company's issues set for hearing in this proceeding.

On January 29, 2016, Judge Young issued an Order Scheduling Settlement Conference to be held on February 10, 2016.

On January 29, 2016, FERC issued an order approving the Partial Settlement Agreement resolving all of the Montana Consumer Counsel's issues set for hearing in this proceeding.

ER15-1499

**Submission of Tariff Revisions to Incorporate City of Independence, Missouri as a Transmission Owner and to Implement a Stated Annual Transmission Revenue Requirement ("ATRR")**

On January 13, 2016, Commission Trial Staff filed a Motion to Substitute Witness.

## Regulatory Status Report

- ER15-1775**                    **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Basin Electric Power Cooperative ("Basin Electric")**
- On January 27, 2016, Judge Haubner issued a Report of Settlement Judge, recommending that settlement judge procedures be continued.
- On January 29, 2016, Judge Hauber issued a Notice Scheduling Settlement Conference to be held on February 25, 2016.
- ER15-1777**                    **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Heartland Consumers Power District ("Heartland")**
- On January 21, 2016, Judge Haubner issued a Notice Scheduling Settlement Conference to be held on February 22, 2016.
- On January 27, 2016, Judge Haubner issued a Report of Settlement Judge, recommending that settlement procedures be continued.
- ER15-1943**                    **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Missouri River Energy Services ("MRES")**
- On January 21, 2016, Judge Cianci issued a Settlement Status Report, recommending that settlement judge procedures be continued.
- ER15-1976**                    **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for East River Electric Power Cooperative, Inc. ("East River")**
- On January 4, 2016, Judge Haubner issued a Report of Settlement Judge, recommending that settlement procedures be continued.
- On January 28, 2016, Judge Haubner issued a Notice Scheduling Settlement Conference to be held on February 24, 2016.
- ER15-2028**                    **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Corn Belt Power Cooperative ("Corn Belt")**
- On January 27, 2016, Judge Cianci issued a Settlement Status Report, recommending that settlement judge procedures be continued.
- ER15-2237**                    **Kanstar Transmission, LLC ("Kanstar") Filing of a Formula Rate Template and Implementation Protocols to Recover Costs Associated with its Investment in Transmission Facilities Located within Southwest Power Pool, Inc.**
- On January 8, 2016, Kanstar Transmission, LLC filed an Offer of Settlement and Settlement Agreement between Kanstar and the Kansas Corporation Commission.
- On January 28, 2016, Commission Trial Staff filed Comments Supporting Settlement Agreement.
- ER15-2324**                    **Submission of Revenue Requirements, Formula Rate Templates and Formula Rate Protocols for the Following Members of Missouri River Energy Services ("MRES"): Moorhead, Minnesota; Orange City, Iowa; Pierre, South Dakota; Sioux Center, Iowa; and Watertown, South Dakota**
- On January 21, 2016, Judge Cianci issued a Settlement Status Report, recommending that

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settlement judge procedures be continued.

ER15-2347

**Contract Services Agreement Between Southwest Power Pool, Inc. and Western Area Power Administration**

On January 15, 2016, SPP and NorthWestern Corporation filed a Joint Motion for Adoption of Protective Order and Request for Expedited Consideration.

On January 19, 2016, Judge Cintron issued an Order of Chief Judge Adopting Protective Order and Waiving Period for Answers.

ER15-2351

**Unexecuted Market Participant Agreement between SPP as Transmission Provider and Alliant Energy Corporate Services, Inc. ("Alliant") as Customer and an Unexecuted Agreement Establishing a Pseudo-Tie Electrical Interconnection Point between SPP, Midcontinent Independent System Operator, Inc. ("MISO") as the External Balancing Authority, and Alliant as the Market Participant**

On January 4, 2016, Judge Coffman issued a Report of Settlement Judge, recommending that the settlement proceeding continue.

ER15-2356

**Unexecuted Market Participant Service Agreement between SPP as Transmission Provider and MidAmerican Energy Company ("MidAmerican") as Customer**

On January 4, 2016, Judge Coffman issued a Report of Settlement Judge, recommending that the settlement proceeding continue.

ER15-2594

**South Central MCN LLC's ("SCMCN") Application for Acceptance of a Transmission Rate Formula to Establish an Annual Transmission Revenue Requirement ("ATRR") for Transmission Service Over Facilities that SCMCN will Own in the Southwest Power Pool, Inc. Region**

On January 6, 2016, the Kansas Corporation Commission filed a Motion for Leave to Intervene Out-of-Time.

On January 15, 2016, Judge Cintron issued an Order of Chief Judge Granting Motion to Intervene Out of Time of the Kansas Corporation Commission.

On January 19, 2016, Judge Baten issued an order scheduling the third settlement conference to be held on March 3, 2016.

ER16-13

**Submission of Tariff Revisions Regarding Annual Auction Revenue Right ("ARR") Allocation**

On January 13, 2016, the SPP Market Monitoring Unit filed Comments in response to SPP's December 23, 2015 filing. The MMU asked that the Commission condition approval of the proposal upon SPP using 60 percent Transmission System capability ARR allocation for October through May, as originally approved by the SPP Market Working Group during the stakeholder process.

ER16-56

**Midcontinent Independent System Operator, Inc.'s ("MISO") Submission of Tariff Revisions to Remove Hurdle Rate Mechanism**

On January 21, 2016, FERC issued an order accepting, subject to condition, the Midcontinent Independent System Operator, Inc.'s tariff revisions to remove the "hurdle rate" mechanism previously accepted in Docket No. ER14-2445 to mitigate MISO's exposure to certain charges

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under the non-conforming, unexecuted Service Agreement for Non-Firm Point-to-Point Transmission Service between SPP and MISO.

MISO was directed to submit a compliance filing to remove certain references to the SPP Service Agreement and the Operations Reliability Coordination Agreement that remain in its tariff. The compliance filing is due on February 22, 2016.

An effective date of February 1, 2016 was granted.

**ER16-139**      **Submission of Tariff Revisions to Update Index of Grandfathered Agreements in Attachment W**

On January 19, 2016, SPP submitted its response to the letter issued by the Commission on December 3, 2015, requesting additional information in order to process the filing.

**ER16-165**      **Submission of Tariff Amendments to Clarify Treatment of Point-To-Point Transmission Service ("PTP") Revenues**

On January 20, 2016, SPP submitted revisions to Part III, Section 34.1 and Schedule 11 of the Tariff in compliance with the Commission's order issued on December 30, 2015.

An effective date of January 1, 2016 was requested.

**ER16-204**      **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Tri-State Generation and Transmission Association, Inc. ("Tri-State")**

On January 11, 2016, Judge Cintron issued an Order of Chief Judge Designating Settlement Judge.

On January 13, 2016, Judge Young issued an Order Scheduling Settlement Conference to be held on February 10, 2016.

**ER16-209**      **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Central Power Electric Cooperative, Inc. ("Central Power")**

On January 11, 2016, Judge Cintron issued an Order of Chief Judge Designating Settlement Judge and Scheduling Settlement Conference to be held on January 21, 2016.

On January 14, 2016, Judge Johnson issued a Notice Rescheduling Settlement Conference to be held on February 11, 2016.

On January 28, 2016, the Joint State Commissions filed a Request for Clarification and Rehearing of the order issued on December 30, 2015.

On January 29, 2016, Otter Tail Power Company filed a Motion for Clarification and Request for Rehearing of the order issued on December 30, 2015.

**ER16-228**      **Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Basin Electric Power Cooperative ("Basin") as the Market Participant, and Montana-Dakota Utilities Co. ("MDU") as the External Local Balancing Authority**

On January 27, 2016, SPP submitted its compliance filing in response to the order issued on December 29, 2016.

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- ER16-244**                    **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Missouri River Energy Services ("MRES") as Network Customer, and MRES, Basin Electric Power Cooperative, East River Electric Power Cooperative, Inc., Northwest Iowa Power Cooperative, and Western Area Power Administration as Host Transmission Owners**
- On January 27, 2016, SPP submitted its compliance filing in response to the order issued on December 29, 2015.
- ER16-345**                    **Notice of Cancellation of the Firm Point-To-Point Transmission Service Agreement between SPP as Transmission Provider and Oklahoma Municipal Power Authority ("OMPA") as Transmission Customer**
- On January 12, 2016, FERC issued a letter order accepting the Notice of Cancellation, effective September 1, 2015 as requested.
- This order constitutes final agency action.
- ER16-420**                    **Transmission Interconnection Agreement between Plains and Eastern Clean Line Oklahoma LLC ("Clean Line") and Southwestern Public Service Company ("SPS"), with SPP as Signatory**
- On January 27, 2016, FERC issued a letter order accepting the agreement, effective November 6, 2015 as requested.
- This order constitutes final agency action.
- ER16-430**                    **Revisions to Section 9.7 of the Bylaws to Expand the Regional Entity Trustees and Modify Eligibility to Participate in Regional Entity Trustee Elections, Removals, and Compensation Approvals**
- On January 7, 2016, FERC issued an order accepting SPP's revisions to Section 9.7 of the Bylaws to expand the Regional Entity Trustees by up to one additional seat, revise the corresponding administrative, quorum, and voting requirements and ensure that only the impacted Members, those that are Registered Entities in the SPP Regional Entity footprint, are eligible to participate in the SPP Regional Entity Trustee elections, removals, and compensation approvals.
- An effective date of January 29, 2016 was granted.
- This order constitutes final agency action.
- ER16-460**                    **Generator Interconnection Agreement ("GIA") between Prairie Breeze Wind Energy III LLC ("Prairie Breeze") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**
- On January 29, 2016, FERC issued a letter order accepting the agreement, effective November 5, 2015 as requested.
- This order constitutes final agency action.
- ER16-461**                    **Submission of Revisions to Attachment AD of the Tariff to Extend the 2014 Tariff Administration Agreement with Southwestern Power Administration ("Southwestern")**

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On January 8, 2016, Southwestern Power Resources Association filed a Motion to Intervene Out of Time.

On January 29, 2016, FERC issued an order accepting SPP's revisions to Attachment AD of the Tariff to revise and extend the Tariff Administration Agreement governing SPP's relationship between Southwestern Power Administration through February 29, 2016.

An effective date of December 1, 2015 was granted.

This order constitutes final agency action.

ER16-483

### **Submission of Revisions to Bylaws Section 6.2 to Expand the Strategic Planning Committee**

On January 29, 2016, FERC issued an order accepting SPP's revisions to Section 6.2 of the Bylaws to expand the Strategic Planning Committee by two seats.

An effective date of February 7, 2016 was granted.

This order constitutes final agency action.

ER16-539

### **Submission of Amendments to SPP Membership Agreement for Central Power Electric Cooperative, Inc. ("Central Power") and Mountrail-Williams Electric Cooperative ("Mountrail-Williams")**

On January 6, 2016, Central Power Electric Cooperative, Inc. and Mountrail-Williams Electric Cooperative filed Motions to Intervene.

On January 29, 2016, Western Area Power Administration filed a Motion to Intervene Out-of-Time.

ER16-626

### **Agreement Establishing a Pseudo-Tie Electrical Interconnection Point between SPP, KCP&L Greater Missouri Operations Company ("KCPL-GMO") as the Market Participant, and Entergy Services, Inc. ("ESI"), on behalf of Entergy Louisiana, LLC ("Entergy Louisiana"), as the External Local Balancing Authority**

On January 13, 2016, Mississippi Delta Energy Agency, the Clarksdale Public Utilities Commission of the City of Clarksdale, MS, and the Public Service Commission of Yazoo City of the City of Yazoo City, MS filed a Motion to Intervene.

ER16-636

### **Petition for Tariff Waiver regarding the 365-day Limitation Period for Modifications to Settlement Statements as Set Forth in Section 10.1(3) of Attachment AE**

On January 11, 2016, Missouri Joint Municipal Electric Utility Commission filed a Motion to Intervene.

ER16-703

### **Submission of Tariff Revisions to Address Adjustments to the SPP Criteria**

On January 8, 2016, SPP submitted tariff revisions to include a defined term for the SPP Criteria and to generally clean-up existing references to the SPP Criteria and its appendices or attachments which are obsolete and no longer in use.

An effective date of March 8, 2016 was requested.



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On January 11, 2016, South Central MCN LLC filed a Motion to Intervene.

ER16-704

**Submission of Tariff Revisions to Clarify the Point of Sale for Market Transactions between the United States and Canada**

On January 8, 2016, SPP submitted tariff revisions to identify the border between the United States of America and Canada as the point of sale for market transactions that SPP may administer that involves a Canadian transmission service provider.

An effective date of March 8, 2016 was requested.

On January 29, 2016, Western Area Power Administration filed a Motion to Intervene.

On January 29, 2016, Manitoba Hydro filed a Motion to Intervene and Comments in response to SPP's January 8, 2016 filing.

Manitoba Hydro stated it agrees with the revisions proposed by SPP, with the exception of the word "Resource" being used instead of "source" in proposed subsections 4.2.2 (2) and 4.4.(3).

Manitoba Hydro stated the use of the word "Resource" is inconsistent with the existing Tariff provisions governing imports and will unnecessarily restrict the application of the point of sale provisions by excluding certain types of imports that are currently permitted by the Tariff.

ER16-709

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Westar Energy, Inc. ("Westar") as Network Customer, and Oklahoma Gas and Electric Company ("OGE") and Westar as Host Transmission Owners**

On January 11, 2016, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider, Westar Energy, Inc. as both Network Customer and Host Transmission Owner, and Oklahoma Gas and Electric Company as Host Transmission Owner. SPP Service Agreement No. Twenty-Six Revised 607.

An effective date of January 1, 2016 was requested.

ER16-737

**Submission of Tariff Modifications to Reconcile the Tariff with Previous Commission Actions (Attachment H, Attachment L Section III, and Section 34.8 Clean-Up Filing)**

On January 15, 2016, SPP submitted non-substantive tariff revisions to effectuate a general clean-up filing to comport the Tariff with previous Commission actions. SPP also submitted revisions to Attachment H of the Tariff to incorporate references to certain Transmission Owners inadvertently excluded from a recently approved filing, as well as ministerial changes to Table 3 of Attachment H. SPP also requested to update a reference in Part III, Section 34.8 of the Tariff that incorrectly identifies the schedule for determination of SPP's administrative fee as "Schedule 1" rather than the correct "Schedule 1-A".

An effective date of January 1, 2016 was requested.

ER16-740

**Compliance Filing Revising ITC Great Plains, LLC's Formula Rate Template Pursuant to the December 18, 2015 Order Issued in Docket Nos. ER09-548-002 and EC11-108-001**

On January 19, 2016, SPP submitted tariff revisions to update the formula rate template for SPP Member ITC Great Plains, LLC in compliance with the order issued on December 18, 2015 in Docket Nos. ER09-548-002 and EC11-108-001.

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An effective date of January 1, 2016 was requested.

ER16-780

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Oklahoma Municipal Power Authority ("OMPA") as Network Customer, and American Electric Power Service Corporation ("AEP"), Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners**

On January 27, 2016, SPP submitted an executed NITSA between SPP as Transmission Provider, Oklahoma Municipal Power Authority as Network Customer, as well as a NOA with American Electric Power Service Corporation, Oklahoma Gas and Electric Company, and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Twenty-Seventh Revised 1166.

An effective date of January 1, 2016 was requested.

ER16-791

**Submission of Tariff Revisions for Allocation of Revenues Resulting from the Settlement Agreement in Commission Docket No. EL11-34-002, et al.**

On January 27, 2016, SPP submitted tariff revisions in order to implement procedures governing the distribution of revenues received by SPP from the Midcontinent Independent System Operator, Inc. and NRG Energy, Inc. pursuant to the Offer of Settlement and Settlement Agreement accepted by the Federal Energy Regulatory Commission in Docket Nos. EL11-34-002, et al.

An effective date of February 1, 2016 was requested.

ER16-801

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Midwest Energy, Inc. ("Midwest"), Westar Energy, Inc. ("Westar"), and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners**

On January 28, 2016, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Midwest Energy, Inc., Westar Energy, Inc., and Western Farmers Electric Cooperative as Host Transmission Owners. SPP Service Agreement No. Fifth Revised 2900.

An effective date of January 1, 2016 was requested.

ER16-802

**Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Golden Spread Electric Cooperative, Inc. ("GSEC") as Network Customer, and Southwestern Public Service Company ("SPS") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners**

On January 28, 2016, SPP submitted an executed NITSA between SPP as Transmission Provider, Golden Spread Electric Cooperative, Inc. as Network Customer, as well as a NOA with Southwestern Public Service Company and Oklahoma Gas and Electric Company as Host Transmission Owners. SPP Service Agreement No. Seventh Revised 2236.

An effective date of January 1, 2016 was requested.

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ER16-829

### **Submission of Revisions to Bylaws Section 8.4 Monthly Assessments**

On January 29, 2016, SPP filed revisions to Section 8.4 of the Bylaws to clarify the intent to collect the monthly assessment from each Member for the portion of their Member load eligible for service but not currently taking Network Integration Transmission Service or Point-To-Point Transmission Service under the Tariff.

An effective date of March 1, 2016 was requested.

RM10-17

### **Demand Response Compensation in Organized Wholesale Energy Markets**

On January 25, 2016, the Supreme Court of the United States issued an opinion finding that FERC had jurisdiction to issue and did not act arbitrarily and capriciously in issuing Order No. 745. The opinion overturns the D.C. Circuit's opinion in Electric Power Supply Association v. FERC, in which a three-judge panel voted to vacate and remand Order No. 745.

RM14-8

### **Protection System Maintenance Reliability Standard**

On January 22, 2016, the Trade Associations submitted a Notice of Withdrawal of its Request for Clarification filed on December 17, 2015.

RM15-9

### **Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance Reliability Standard**

On January 22, 2016, the Trade Associations submitted a Notice of Withdrawal of its Request for Clarification filed on December 17, 2015.

RM15-14

### **Revised Critical Infrastructure Protection Reliability Standards**

On January 21, 2016, FERC issued a Final Rule approving seven critical infrastructure protection (CIP) Reliability Standards: CIP-003-6 (Security Management Controls), CIP-004-6 (Personnel and Training), CIP-006-6 (Physical Security of BES Cyber Systems), CIP-007-6 (Systems Security Management), CIP-009-6 (Recovery Plans for BES Cyber Systems), CIP-010-2 (Configuration Change Management and Vulnerability Assessments), and CIP-011-2 (Information Protection). The Reliability Standards address the cyber security of the bulk electric system and improve upon the current Commission-approved CIP Reliability Standards. The Commission directed NERC to develop certain modifications to improve the CIP Reliability Standards.

The Final Rule does not address supply chain risk management issues. Rather the Commission will determine the appropriate action on this issue after the scheduled technical conference on January 28, 2016.

This rule becomes effective on March 31, 2016.

A technical conference was held on January 28, 2016 to discuss the Critical Infrastructure Protection Supply Chain Risk Management issues identified in the Notice of Proposed Rulemaking.

RM15-23

### **Collection of Connected Entity Data from Regional Transmission Organizations and Independent System Operators**

On January 4, 2016, the National Rural Electric Cooperative Association and the American Public Power Association filed an answer in support of the Industry Group's Motion filed on

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December 30, 2015.

On January 7, 2016, Public Citizen, Inc. filed partial opposition to the Expedited Motion to Withdraw the Proposed Rule and Issue a New/Revised/Supplemental Notice of Proposed Rulemaking.

On January 13, 2016, FERC issued an Order Denying Motion to Withdraw the Proposed Rule and Issue a New/Revised/Supplemental Notice of Proposed Rulemaking.

Parties filed comments in response to the Notice of Proposed Rulemaking issued on September 17, 2015.

On January 22, 2016, the SPP Market Monitoring Unit submitted comments in response to the Notice of Proposed Rulemaking issued on September 17, 2015.

On January 22, 2016, the ISO/RTO Council submitted comments in response to the Notice of Proposed Rulemaking issued on September 17, 2015.

**RM16-1**

### **Reactive Power Requirements for Non-Synchronous Generation**

Parties filed comments in response to the Notice of Proposed Rulemaking issued on November 19, 2015.

On January 27, 2016, the ISO/RTO Council filed comments in response to the Notice of Proposed Rulemaking issued on November 19, 2015.

**RM16-5**

### **Offer Caps in Markets Operated by Regional Transmission Organizations and Independent System Operators**

On January 21, 2016, FERC issued a Notice of Proposed Rulemaking proposing to revise its regulations to require that each regional transmission organization and independent system operator cap each resource's incremental energy offer to the higher of \$1000/MWh or that resource's verified cost-based incremental energy offer.

Comments are due 60 days after publication in the Federal Register.

**RR16-1**

### **Petition of the North American Electric Reliability Corporation for Approval of Amendments to Exhibit B to the Delegation Agreement with Southwest Power Pool, Inc. - Amendments to Section 9.7 of SPP's Bylaws**

On January 13, 2016, FERC issued an order accepting NERC's Petition for Approval of Amendments to Exhibit B to the Delegation Agreement with Southwest Power Pool, Inc. - Amendments to Southwest Power Pool, Inc.'s Bylaws.

**FERC or State Jurisdiction: State of Arkansas**

**16-002-U**

**In the Matter of Arkansas Electric Cooperative Corporation's ("AECC") Application for Approval to Transfer Control of Electric Transmission Facilities to the Southwest Power Pool, Inc. Regional Transmission Organization**

On January 13, 2016, Arkansas Electric Cooperative Corporation filed an Application for Approval to Transfer Control of Electric Transmission Facilities to the Southwest Power Pool, Inc. Regional Transmission Organization.

On January 13, 2016, Andrew Lachowsky filed Direct Testimony on behalf of Arkansas Electric Cooperative Corporation.

On January 19, 2016, the APSC issued Order No. 1, establishing the following procedural schedule:

February 22, 2016 at Noon - Petitions to Intervene and the Attorney General's Notice of Intent;  
March 25, 2016 at Noon - Staff and Intervenor Direct Testimony;  
April 8, 2016 at Noon - AECC Rebuttal Testimony;  
April 18, 2016 at Noon - Settlement Deadline; and  
April 28, 2016 at 9:30 a.m. - Evidentiary Hearing.

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**FERC or State Jurisdiction: State of Kansas**

**16-GIME-242-GIE**

**In the Matter of the General Investigation regarding the U.S. Environmental Protection Agency's Final Rule on Carbon Pollution Emission Guidelines for Existing Stationary Sources: Electric Utility Generating Units (Clean Power Plan)**

An educational session was held on January 12, 2016.

Numerous parties filed Petitions to Intervene.

On January 21, 2016, SPP filed a Petition to Intervene.

On January 28, 2016, the KCC issued an Order Setting Educational Session to be held on February 8, 2016.

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**FERC or State Jurisdiction: State of Louisiana**

**R-33253**

**Rulemaking Docket Regarding the United States Environmental Protection Agency's ("EPA") Proposed Rule on Carbon Dioxide Emissions from Existing Fossil-Fuel Fired Electric Generating Units under Section 111(d) of the Clean Air Act**

On January 26, 2016, LPSC Staff issued a Notice of Stakeholder Process and Request for First Round of Written Comments.

The Clean Power Plan stakeholder process will be comprised of a series of written comments and technical workshops. Parties were invited to provide written comments on all, or part, of the questions in Attachment 1 to this Notice. Comments are due on February 23, 2016.

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***FERC or State Jurisdiction: State of Missouri***

**EW-2012-0065**

**In the Matter of an Investigation of the Cost to Missouri's Electric Utilities Resulting from Compliance with Federal Environmental Regulations (Environmental Protection Agency or "EPA")**

On January 4, 2016, the MoPSC Staff issued a list of questions and scenarios. Written responses are due on February 1, 2016.

On January 25, 2016, MoPSC staff filed an agenda for the workshop to be held on February 4, 2016.



## Regulatory Status Report

***FERC or State Jurisdiction: State of New Mexico***

**10-00143-UT**

**In the Matter of the Petition of Lea County Electric Cooperative, Inc. ("LCEC") For Approval to Transfer Certain Transmission Assets Under the Southwest Power Pool, Inc. Open Access Transmission Tariff**

On January 13, 2016, SPP filed a draft of the 2016 SPP Transmission Expansion Plan Report in accordance with Section 14 of the Uncontested Stipulation.

**13-00031-UT**

**In the Matter of Southwestern Public Service Company's ("SPS") Request for Permanent Approval to Participate in the Southwest Power Pool Regional Transmission Organization ("RTO")**

On January 13, 2016, SPP filed a draft of the 2016 SPP Transmission Expansion Plan Report in accordance with Section 12 of the Uncontested Stipulation.

## Regulatory Status Report

***FERC or State Jurisdiction: U.S. Environmental Protection Agency***

**EPA-HQ-OAR-2015-0199    Federal Plan Requirements for Greenhouse Gas Emissions from Electric Utility Generating Units Constructed on or before January 8, 2014; Model Trading Rules; Amendments to Framework Regulations**

On January 21, 2016, SPP submitted comments in response to the proposed rule.

On January 21, 2016, California Independent System Operator, Midcontinent Independent System Operator, Inc., PJM Interconnection, L.L.C., and SPP submitted Joint Comments in response to the proposed rule.

**FERC or State Jurisdiction: United States Court of Appeals**

**14-1282** Xcel Energy Services Inc. ("Xcel") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket No. ER12-959 Regarding Tri-County Electric Cooperative, Inc.'s Formula Rate

An oral argument was held on January 15, 2016.

**15-1157** LSP Transmission Holdings, LLC and LS Power Transmission, LLC ("collectively "LSP Transmission") v. Federal Energy Regulatory Commission ("FERC") Concerning Orders Issued in Docket Nos. ER13-366 and ER13-367 Regarding SPP's Order No. 1000 Regional Compliance Filings

On January 15, 2016, LSP Transmission Holdings, LLC and LS Power Transmission, LLC filed their Petitioner Brief.

**15-1447** State Corporation Commission of the State of Kansas v. Federal Energy Regulatory Commission: Petition for Review of Orders Issued in Docket Nos. ER14-2850 and ER14-2851 Concerning Integration of the Integrated System into the SPP Regional Transmission Organization

On January 15, 2016, the Kansas Corporation Commission filed its Preliminary Statement of Issues.

On January 15, 2016, FERC filed an Unopposed Motion for a Schedule Establishing a Sixty-Day Briefing Period for Respondents.

On January 29, 2016, FERC filed an Unopposed Motion for an Extension of Time to File Certified Index to the Record.