



Regulatory Status Report

Jurisdiction:
Department of
Energy

EA-434

Application of Southwest Power Pool, Inc. for Authorization to Export Electric Energy to Canada

On November 14, 2016, SPP filed its Application for Authorization to Export Electric Energy to Canada before the U.S. Department of Energy.



Jurisdiction:
FERC

Regulatory Status Report

- AD16-16** **Implementation Issues Under the Public Utility Regulatory Policies Act of 1978**
Parties filed post-technical conference comments.
- AD16-20** **Electric Storage Participation in Regions with Organized Wholesale Electric Markets**
On November 17, 2016, FERC issued a Notice of Proposed Rulemaking proposing to amend its regulations to remove barriers to the participation of electric storage resources and distributed energy resource aggregations in the capacity, energy, and ancillary service markets operated by regional transmission organizations and independent system operators. The Commission proposes to require each RTO and ISO to revise its tariff to (1) establish a participation model consisting of market rules that, recognizing the physical and operational characteristics of electric storage resources, accommodates their participation in the organized wholesale electric markets and (2) define distributed energy resource aggregators as a type of market participant that can participate in the organized wholesale electric markets under the participation model that best accommodates the physical and operational characteristics of its distributed energy resource aggregation.

Comments are due on January 30, 2017.
- AD16-25** **Utilization in the Organized Markets of Electric Storage Resources as Transmission Assets Compensated Through Transmission Rates, for Grid Support Services Compensated in Other Ways, and for Multiple Services**
On November 1, 2016, FERC issued a Supplemental Notice of Technical Conference, attaching the Agenda.
On November 7, 2016, FERC issued a Further Supplemental Notice of Technical Conference.
A technical conference was held on November 9, 2016.
On November 14, 2016, FERC issued a Notice Inviting Post-Technical Conference Comments. Comments are due on December 14, 2016.
- EL13-88** **Northern Indiana Public Service Company's ("NIPSCO") Complaint Against the Midcontinent Independent System Operator, Inc. ("MISO") and PJM Interconnection, L.L.C. ("PJM") to Remedy Flaws in the Interregional Planning Process of the MISO-PJM Joint Operating Agreement ("JOA")**
On November 15, 2016, Northern Indiana Public Service Company filed Comments in response to the supplement to the third informational filing submitted on October 25, 2016.
On November 23, 2016, the MISO Transmission Owners filed an answer in response to the pleading filed by the Generator Group and the comments filed by Northern Indiana Public Service Company in response to the supplement to the third informational report submitted on October 25, 2016.
On November 30, 2016, ITC Companies filed an answer in support of the comments filed by Northern Indiana Public Service Company on November 15, 2016.



Regulatory Status Report

Jurisdiction: EL16-110
FERC

Section 206 Proceeding to Consider whether to Require SPP to Limit the Eligibility for Auction Revenue Rights ("ARRs") and Long-Term Congestion Rights ("LTCRs") for Network Service Subject to Redispatch so that Such Service is Treated Comparably with Point-To-Point Transmission Service Subject to Redispatch with Respect to ARR and LTCR Eligibility

On November 10, 2016, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene Out-of-Time.

On November 14, 2016, SPP filed an answer in response to Southern Companies' Request for Clarification or in the Alternative for Rehearing of the order issued on September 23, 2016.

On November 14, 2016, Lincoln Electric System filed a Motion to Intervene Out-of-Time.

On November 21, 2016, FERC issued an Order Granting Rehearing for Further Consideration of the order issued on September 23, 2016.

On November 22, 2016, Westar Energy, Inc. filed a Motion to Intervene Out-of-Time.

On November 30, 2016, Enel Green Power North America, Inc. filed its Initial Brief.

On November 30, 2016, SPP filed its Initial Brief.

On November 30, 2016, Xcel Energy Services Inc. filed its Initial Brief.

EL16-112

Coalition of MISO Transmission Customers ("CMTC") Complaint Against the Midcontinent Independent System Operator, Inc. ("MISO") Regarding the 2016-2017 Planning Resource Auction

On November 7, 2016, the NRG Companies filed an answer in response to the answer submitted by the Midcontinent Independent System Operator, Inc. on October 21, 2016.

EL16-91

Section 206 Proceeding to Examine SPP's Open Access Transmission Tariff and Absence of Refund Commitment for Non-Public Utility Transmission Owners to Refund Revenues that they May Receive Associated with Service Provided due to their Status as Transmission-Owning RTO Members in the Same Manner Public Utility Transmission Owners Could be Required to Provide Refunds of Such Revenues

On November 18, 2016, Nebraska Public Power District filed its Reply Brief.

NPPD stated:

- 1) the Commission should defer any action in this proceeding until the SPP stakeholder process has concluded; and
- 2) changing SPP's Tariff or Membership Agreement to impose a broad refund requirement upon all non-public utilities is unnecessary and could create substantial conflict with state law for NPPD and other public power entities.

On November 18, 2016, the National Rural Electric Cooperative Association filed its Reply Brief.

NRECA stated:

- 1) the Commission must reject any notion that it has authority to order refunds by non-public utilities; and
- 2) if the Commission pursues a refund commitment by non-public utility transmission owners, it should allow SPP to develop those provisions.



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Jurisdiction: EL16-91
FERC

On November 18, 2016, Midwest Energy, Inc. filed its Reply Brief.

Midwest Energy stated:

- 1) the unanimous consensus among parties is that the stakeholder process is the most appropriate means to resolve the issues in this proceeding;
- 2) the issues in this proceeding cannot be resolved with a simple or one size fits all solution;
- 3) the issue of refund obligations for non-jurisdictional transmission owners does not require an immediate solution;
- 4) the Commission should clarify areas of uncertainty in its proposal; and
- 5) the scope of revenues subject to any refund commitment should be limited to revenues associated with transmission service.

EL17-11

Complaint of Southern Company Services, Inc., as Agent for Alabama Power Company, Alleging SPP has Violated the Tariff by Treating Partial Interim Service Subject to Redispatch Obligations Held by Network Customers the Same as Unconditional Firm Transmission Service for Purposes of Granting Candidate Auction Revenue Rights under Attachment AE of the Tariff

On November 2, 2016, Enel Green Power North America, Inc. filed a Motion to Intervene.

On November 8, 2016, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene.

On November 14, 2016, SPP filed an answer in response to the Complaint.

SPP stated:

- 1) basic rules of Tariff interpretation demonstrate that SPP's existing practice lawfully followed the Tariff;
- 2) the September 23, 2016 order issued in Docket Nos. ER16-1286 and EL16-110 did not find or imply that SPP violated its Tariff;
- 3) Alabama Power misconstrues documents from SPP's stakeholder process; and
- 4) even if the Commission were to accept Alabama Power's unsupported assertion of a Tariff violation, Alabama Power's remedy proposal is unworkable and unsupported.

On November 14, 2016, Sunflower Electric Power Corporation filed Comments.

On November 14, 2016, TDU Intervenors filed a Motion to Intervene and Comments.

On November 14, 2016, Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation filed Motions to Intervene.

On November 14, 2016, Western Farmers Electric Cooperative filed a Motion to Intervene.

On November 16, 2016, the Missouri Public Service Commission filed a Motion to Intervene Out-of-Time.

On November 30, 2016, Southern Company Services, Inc. filed an answer in response to the answer filed by SPP on November 14, 2016.



Regulatory Status Report

Jurisdiction: EL17-21
FERC

Kansas Electric Power Cooperative, Inc.'s ("KEPCo") Complaint Against SPP Concerning Direct Cost Assignment in Connection with the Attachment Z2 Revenue Crediting Process

On November 21, 2016, Kansas Electric Power Cooperative, Inc. filed a complaint against SPP requesting that FERC find that SPP's direct cost assignment of approximately \$6.2 million to KEPCo in connection with the Attachment Z2 revenue crediting process violates SPP's Open Access Transmission Tariff, violates the filed rate doctrine, and is otherwise unjust, unreasonable and proscribed by the Federal Power Act.

On November 23, 2016, Arkansas Electric Cooperative Corporation filed a Motion to Intervene and Comments.

AECC requested that the Commission find SPP's actions unlawful and order SPP to fully refund KEPCo and other affected utilities with interest all amounts paid that are associated with historically incurred directly assigned costs.

Several parties filed Motions to Intervene.

ER02-2001 Revised Public Utility Filing Requirements for Electric Quarterly Reports

On November 7, 2016, FERC issued an order extending the deadline to comply with the changes to the Electric Quarterly Report outlined in the order issued on June 16, 2016. The deadline to comply with the updated EQR Data Dictionary was extended to the first quarter of 2017.

ER14-2850 Submission of Tariff Revisions to Facilitate the Integration of Western Area Power Administration - Upper Great Plains Region ("Western-UGP"), Basin Electric Power Cooperative ("Basin Electric"), and Heartland Consumers Power District ("Heartland") (collectively the "IS Parties"), which Jointly Own and Operate the Integrated System, into the SPP Regional Transmission Organization ("RTO")

On November 3, 2016, FERC issued an order accepting SPP's compliance filing containing proposed revisions to the Tariff to implement the provisions of the Settlement Agreement approved by the Commission on May 19, 2016 in Docket Nos. ER14-2850-006 and ER14-2851-006, which resolved all issues raised by Montana-Dakota Utilities Co. in this proceeding.

An effective date of January 1, 2016 was granted.

This order constitutes final agency action.

ER15-1775 Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Basin Electric Power Cooperative ("Basin Electric")

On November 1, 2016, Commission Trial Staff filed Comments Supporting Joint Offer of Settlement and Settlement Agreement.

On November 14, 2016, Basin Electric Power Cooperative filed an answer in response to the comments filed by Missouri River Energy Services on October 31, 2016.

On November 14, 2016, Judge Haubner issued a Final Report of Settlement Judge.

On November 16, 2016, Judge Haubner issued a Certification of Uncontested Settlement.

On November 22, 2016, Judge Cintron issued an Order of Chief Judge Terminating Settlement Judge Procedures.



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Jurisdiction:
FERC

ER15-1775

On November 29, 2016, FERC issued an order accepting the Joint Offer of Settlement and Settlement Agreement.

SPP was directed to file revised tariff records by December 29, 2016 to reflect the terms of the settlement.

ER15-1777

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Heartland Consumers Power District ("Heartland")

On November 1, 2016, SPP submitted its compliance filing to update the formula rate template and implementation protocols for SPP Member Heartland Consumers Power District to reflect the terms of the Joint Offer of Settlement accepted by the Commission in the order issued on October 4, 2016.

An effective date of October 1, 2015 was requested.

ER15-1976

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for East River Electric Power Cooperative, Inc. ("East River")

On November 3, 2016, SPP submitted its compliance filing to update the formula rate template and implementation protocols for SPP Member East River Electric Power Cooperative, Inc. to reflect the terms of the Joint Offer of Settlement and Settlement Agreement accepted by the Commission in the order issued on October 4, 2016.

An effective date of October 1, 2015 was requested.

ER15-2028

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Corn Belt Power Cooperative ("Corn Belt")

On November 22, 2016, Judge Coffman issued a Report of Settlement Judge, recommending that the settlement proceeding continue.

ER15-2075

Submission of Tariff Revisions to Incorporate NorthWestern Energy's ("NorthWestern") Formula Rate

On November 2, 2016, SPP submitted its compliance filing to update the formula rate template and implementation protocols for SPP Member NorthWestern Corporation d/b/a NorthWestern Energy to reflect the terms of the Offer of Settlement accepted by the Commission in the order issued on October 4, 2016.

An effective date of October 1, 2015 was requested.

ER15-2351

Unexecuted Market Participant Agreement between SPP as Transmission Provider and Alliant Energy Corporate Services, Inc. ("Alliant") as Customer and an Unexecuted Agreement Establishing a Pseudo-Tie Electrical Interconnection Point between SPP, Midcontinent Independent System Operator, Inc. ("MISO") as the External Balancing Authority, and Alliant as the Market Participant

On November 1, 2016, Judge Coffman issued a Certification of Uncontested Settlement.

On November 8, 2016, Judge Cintron issued an Order of Chief Judge Terminating Settlement Judge Procedures.



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- ER15-2356 Unexecuted Market Participant Service Agreement between SPP as Transmission Provider and MidAmerican Energy Company ("MidAmerican") as Customer**
On November 1, 2016, Judge Cintron issued an Order of Chief Judge Terminating Settlement Judge Procedures.
- ER15-2594 South Central MCN LLC's ("SCMCN") Application for Acceptance of a Transmission Rate Formula to Establish an Annual Transmission Revenue Requirement ("ATRR") for Transmission Service Over Facilities that SCMCN will Own in the Southwest Power Pool, Inc. Region**
On November 1, 2016, Commission Trial Staff filed Initial Comments Supporting Joint Settlement Agreement.
On November 1, 2016, Tri-County Electric Cooperative, Inc. filed Initial Comments Supporting Joint Offer of Settlement.
On November 29, 2016, Judge Baten issued a Certification of Uncontested Offer of Settlement.
- ER16-1286 Submission of Tariff Revisions to Clarify Redispatch Provisions**
On November 14, 2016, SPP filed an answer in response to Southern Companies' Request for Clarification or in the Alternative for Rehearing of the order issued on September 23, 2016.
On November 21, 2016, FERC issued an Order Granting Rehearing for Further Consideration of the order issued on September 23, 2016.
- ER16-1546 Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Arkansas Electric Cooperative Corporation**
On November 3, 2016, Judge Dring issued a Report of Settlement Judge, recommending that settlement procedures be continued.
On November 10, 2016, Judge Cintron issued an Order of Chief Judge Continuing Settlement Judge Procedures.
On November 18, 2016, Judge Long issued an Order Scheduling Settlement Conference to be held on January 10, 2017.
- ER16-1774 Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Western Farmers Electric Cooperative ("WFEC")**
On November 23, 2016, Judge McBarnette issued an Order Scheduling Settlement Conference to be held on February 8, 2017.
- ER16-1912 Submission of Tariff Revisions to Clarify Language Regarding Out-of-Merit Energy ("OOME")**
On November 4, 2016, FERC issued an order accepting SPP's tariff revisions, subject to SPP submitting a compliance filing to include the changes proposed in its Response to Deficiency Notice filed on September 6, 2016.

An effective date of August 10, 2016 was granted.

SPP's compliance filing is due on December 5, 2016.
On November 9, 2016, SPP submitted its compliance filing in response to the order issued on November 4, 2016.

An effective date of August 10, 2016 was requested.



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Jurisdiction:
FERC

ER16-204

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Tri-State Generation and Transmission Association, Inc. ("Tri-State")

On November 1, 2016, the parties filed the Joint Witness List, Order of Witnesses, and Estimated Cross-Examination Time.

On November 3, 2016, Commission Trial Staff filed revised testimony.

On November 3, 2016, Tri-State Generation and Transmission Association, Inc. filed revised testimony.

On November 4, 2016, Nebraska Public Power District filed revised testimony.

On November 4, 2016, the parties filed the Joint Statement of Stipulated Facts and Contested Facts.

A hearing was held the week of November 7, 2016.

On November 16, 2016, Nebraska Public Power District filed a Motion for Admission into the Record of Pre-Filed Testimony and Exhibits.

On November 17, 2016, Judge Dring issued an Order Granting Motion for Admission Into the Record of Pre-Filed Testimony and Exhibits filed by Nebraska Public Power District.

ER16-209

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Central Power Electric Cooperative, Inc. ("Central Power")

On November 29, 2016, Judge McBarnette issued an Order Scheduling Conference to be held on January 9, 2017.

ER16-2296

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Montana-Dakota Utilities Co. ("MDU") as Network Customer, and Western Area Power Administration and Basin Electric Power Cooperative as Host Transmission Owners

On November 3, 2016, FERC issued a letter order accepting the agreement, effective October 1, 2015 as requested.

This order constitutes final agency action.

ER16-2595

Transmission Interconnection Agreement between Western Area Power Administration ("WAPA") and the City of Flandreau, South Dakota ("Flandreau"), with SPP as Signatory

On November 3, 2016, FERC issued a letter order accepting the agreement, effective August 31, 2016 as requested.

This order constitutes final agency action.

ER16-2596

Affected Systems' Facilities Construction Agreement between Osage Wind, LLC ("Osage Wind") as Interconnection Customer, Grand River Dam Authority ("GRDA") as Transmission Owner, and SPP as Transmission Provider

On November 9, 2016, FERC issued a letter order accepting the agreement, effective August 25, 2016 as requested.

This order constitutes final agency action.



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Jurisdiction:
FERC

ER16-2634 **Affected Systems' Facilities Construction Agreement among AEM Wind LLC ("AEM") as Interconnection Customer, Southwestern Public Service Company ("SPS") as Transmission Owner, and SPP as Transmission Provider**

On November 16, 2016, FERC issued a letter order accepting the agreement, effective September 12, 2016 as requested.

This order constitutes final agency action.

ER16-2677 **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, People's Electric Cooperative ("People's Electric") as Network Customer, and Oklahoma Gas and Electric Company ("OG&E") and Western Farmers Electric Cooperative ("WFEC") as Host Transmission Owners**

On November 16, 2016, FERC issued a letter order accepting the agreement, effective August 1, 2016 as requested.

This order constitutes final agency action.

ER16-2681 **Submission of Tariff Revisions to Remove an Interim Transmission Congestion Rights ("TCR") Process Developed for the Initial Transition to the Integrated Marketplace**

On November 16, 2016, FERC issued an order accepting SPP's tariff revisions to Attachment AE to remove references to an obsolete interim Transmission Congestion Rights process that was developed and documented in the Tariff to allow for the initial transition into the Integrated Marketplace.

An effective date of November 28, 2016 was granted.

This order constitutes final agency action.

ER16-2720 **NextEra Energy Transmission Southwest, LLC's ("NEET Southwest") Submission of a Formula Rate Template and Implementation Protocols to Determine and Recover the Costs of Investment in Transmission Facilities located in the SPP Region**

On November 14, 2016, Nextera Energy Transmission Southwest, LLC filed an answer in response to the Kansas Corporation Commission's Motion to Intervene Out-of-Time, Protest and Request for Evidentiary Hearing and Settlement Judge Procedures.

On November 23, 2016, NextEra Energy Transmission Southwest, LLC and the Kansas Corporation Commission filed a Joint Unopposed Motion (1) to Conditionally Accept Proposed Base Return on Equity, Subject to Refund, Pending the Kansas Corporation Commission's Vote on a Settlement; (2) to Defer Hearing and Settlement Judge Procedures, Pending Filing of Settlement or Status Report; and (3) for Shortened Comment Period.

On November 29, 2016, FERC issued a notice that the filing submitted on September 30, 2016 is deficient and requesting additional information to process the filing.

ER16-791 **Submission of Tariff Revisions for Allocation of Revenues Resulting from the Settlement Agreement in Commission Docket No. EL11-34-002, et al.**

On November 28, 2016, Judge Sterner issued a Status Report, recommending that settlement proceedings continue.

On November 30, 2016, the parties filed a Settlement Agreement and Offer of Settlement intended to resolve all issues in this proceeding.



Regulatory Status Report

Jurisdiction:
FERC

ER16-866

Montana-Dakota Utilities Co.'s Request for Waiver of Schedule 12 of SPP's Open Access Transmission Tariff

On November 14, 2016, FERC issued an Order Denying Rehearing of the order issued on April 21, 2016.

ER17-1

Notice of Cancellation of the Affected Systems' Facilities Construction Agreement ("FCA") Between SPP as Transmission Provider, Pleasant Hill Wind Energy, LLC ("Pleasant Hill") as Interconnection Customer, and Southwestern Public Service Company ("SPS") as Transmission Owner

On November 18, 2016, FERC issued a letter order accepting the Notice of Cancellation.

ER17-10

Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Western Area Power Administration-Upper Great Plains Region ("WAPA-UGP") as the Market Participant, and Northern States Power Company ("NSP") as External Local Balancing Authority

On November 28, 2016, FERC issued a letter order accepting the agreement, effective September 1, 2016 as requested.

This order constitutes final agency action.

ER17-107

Order Nos. 827 and 828 Compliance Filing Revising the Generator Interconnection Agreement ("GIA") Proforma in SPP's Tariff

On November 4, 2016, Enel Green Power North America, Inc. and E.ON Climate & Renewables North America, LLC filed a Protest.

The parties requested that FERC reject SPP's claimed independent entity variation to Order No. 827 and direct SPP to submit Tariff revisions that require non-synchronous generation to comply with reactive power requirements measured at the high-side of the generator substation.

On November 4, 2016, the American Wind Energy Association and the Wind Coalition filed a Protest.

The parties requested that the Commission reject SPP's independent entity variation to the Order No. 827 provision that the reactive power requirement for non-synchronous generation be measured at the high side of the generator substation.

On November 4, 2016, EDF Renewable Energy, Inc. filed a Motion to Intervene and Protest.

EDF requested that FERC deny the revisions to the SPP proforma GIA that would measure reactive power provided by non-synchronous generators at the Point of Interconnection because it conflicts with Order No. 827 and does not constitute an appropriate independent entity variation.

Several parties filed Motions to Intervene.

On November 21, 2016, SPP filed an answer in response to the protests in this proceeding.



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Jurisdiction:
FERC

ER17-107

On November 21, 2016, Sunflower Electric Power Corporation, Mid-Kansas Electric Company, LLC, and Western Farmers Electric Cooperative filed an answer in response to the protests in this proceeding.

The parties stated the Commission should reject as beyond the scope of this proceeding arguments seeking changes to SPP's reactive power methodology and should grant SPP's request for an independent entity variation and approve SPP's proposal to measure reactive power at the Point of Interconnection.

ER17-158

Petition of Western Farmers Electric Cooperative ("WFEC") for Tariff Waiver of the Provisions of Attachment Y Section IV of SPP's Tariff Requiring a Transmission Owner to Provide a Written Commitment to Construct to SPP within 90 days of the Issuance of a Notification to Construct ("NTC")

On November 14, 2016, SPP filed a Motion to Intervene and Comments in support of Western Farmers Electric Cooperative's Petition for Tariff Waiver.

On November 14, 2016, Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation filed Motions to Intervene.

ER17-253

Submission of Revisions to the Formula Rate for Omaha Public Power District ("OPPD")

On November 15, 2016, SPP supplemented its October 31, 2016 filing to include Exhibit No. SPP-3, which contains the populated formula rate template for Omaha Public Power District.

On November 18, 2016, Omaha Public Power District filed a Motion to Intervene and Comments in Support.

On November 18, 2016, Missouri Public Service Commission filed a Motion to Intervene.

ER17-264

Submission of Tariff Revisions to Revise American Electric Power Service Corporation's ("AEP") Formula Rate

On November 1, 2016, SPP, on behalf of American Electric Power Service Corporation, submitted limited revisions to AEP's transmission formula rate template to adjust the Post-Employment Benefits other than Pension ("PBOP"), as requested by certain AEP customers.

An effective date of July 1, 2017 was requested.

On November 2, 2016, Arkansas Electric Cooperative Corporation filed a Motion to Intervene.

ER17-296

Transmission Interconnection Agreement between Great Belt Express Clean Line LLC ("Clean Line") and ITC Great Plains, LLC ("ITCGP"), with SPP as Signatory

On November 3, 2016, SPP submitted an executed Transmission Interconnection Agreement between Great Belt Express Clean Line LLC and ITC Great Plains, LLC, with SPP as signatory. SPP Service Agreement No. 3221.

An effective date of October 17, 2016 was requested.



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Jurisdiction: ER17-300
FERC

Petition for Waiver of Tariff Provisions in Attachment O to Enable SPP to Modify the Study Schedule for the Integrated Transmission Planning ("ITP") Process

On November 2, 2016, SPP filed a Petition for Waiver of Tariff Provisions in Attachment O to enable SPP to modify the study schedule for the Integrated Transmission Planning process. Specifically, SPP requested waiver of Attachment O, Sections I, III.3(a) and III.4(a) for the planning cycle commencing in January 2017 in order to forego the Integrated Transmission Planning 20-Year Assessment in order to focus on preparing and implementing the modified planning process SPP plans to file with the Commission in May 2017.

On November 14, 2016, Mid-Kansas Electric Company, LLC and Sunflower Electric Power Corporation filed Motions to Intervene.

On November 18, 2016, Missouri Public Service Commission filed a Motion to Intervene.

On November 18, 2016, Missouri Public Service Commission filed a Motion to Intervene.

On November 22, 2016, EDF Renewable Energy, Inc. filed a Motion to Intervene.

On November 23, 2016, the American Wind Energy Association and the Wind Coalition filed Comments requesting that the Commission deny SPP's waiver request.

On November 23, 2016, American Wind Energy Association filed a Motion to Intervene.

ER17-31

Submissions of Tariff Revisions to Update Index of Grandfathered Agreements for East River Electric Power Cooperative, Inc. ("East River")

On November 28, 2016, FERC issued an order accepting SPP's tariff revisions to update the Index of Grandfathered Agreements contained in Attachment W of the Tariff for East River Electric Power Cooperative, Inc.

An effective date of December 5, 2016 was granted.

This order constitutes final agency action.

ER17-310

Submission of Tariff Revisions to Clarify that Bids to Buy Transmission Congestion Rights ("TCR") from Any Prohibited Electrically Equivalent Settlement Location ("EESL") Pairs Will Not Be Included in the Auction/Allocation

On November 4, 2016, SPP submitted tariff revisions to Attachment AE to enhance the efficiency and effectiveness of the rules related to restrictions on the submission of Electrically Equivalent Settlement Location bids in Transmission Congestion Right auctions/allocations by explicitly stating that such bids will not be included in the auctions/allocations.

An effective date of January 5, 2017 was requested.

On November 18, 2016, DC Energy, LLC filed a Motion to Intervene and Comment in support of SPP's filing submitted on November 4, 2016.

On November 23, 2016, TDU Intervenors filed a Motion to Intervene.

On November 23, 2016, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.



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- ER17-310** On November 28, 2016, Sunflower Electric Power Corporation and Mid-Kansas Electric Company, LLC filed Motions to Intervene Out-of-Time.
On November 29, 2016, Western Farmers Electric Cooperative filed a Motion to Intervene Out-of-Time.
- ER17-342** **Transmission Interconnection Agreement between Mountrail-Williams Electric Cooperative ("MWEC") and Otter Tail Power Company ("OTP"), with SPP as Signatory**
On November 7, 2016, SPP submitted an executed Transmission Interconnection Agreement between Mountrail-Williams Electric Cooperative and Otter Tail Power Company, with SPP as signatory. SPP Service Agreement No. 3281.

An effective date of November 7, 2016 was requested.
- ER17-343** **Generator Interconnection Agreement ("GIA") between Cottonwood Wind Project, LLC ("Cottonwood") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner**
On November 14, 2016, SPP submitted an executed GIA between SPP as Transmission Provider, Cottonwood Wind Project, LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. Fifth Revised 2252.

An effective date of October 31, 2016 was requested.
On November 16, 2016, NextEra Energy Resources, LLC filed a Motion to Intervene.
- ER17-353** **Submission of Revisions to Bylaws to Expand the Strategic Planning Committee and Oversight Committee**
On November 15, 2016, SPP submitted revisions to Section 6.2 of the SPP Bylaws to expand its Strategic Planning Committee by one seat to allow up to four, but no less than three, representatives from the SPP Board of Directors and Section 6.4 of the Bylaws to expand the Oversight Committee by two seats.

An effective date of January 14, 2017 was requested.
- ER17-358** **Submission of Tariff Amendments Associated with Enhanced Combined Cycle Market Design Changes**
On November 16, 2016, SPP submitted Tariff revisions to modify the procedures by which SPP models, commits, and settles combined cycle Resources that elect to register as Multi-Configuration Resources (MCRs) within SPP's Integrated Marketplace.

An effective date of March 1, 2017 was requested.
Several parties filed Motions to Intervene.



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Jurisdiction:
FERC

ER17-366

Submission of Tariff Revisions Proposing Expansion of Current Rules to Prospectively Require All Variable Energy Resources ("VERs") to Register as Dispatchable Variable Energy Resources ("DVERs")

On November 16, 2016, SPP submitted revisions to Attachments AE and V of its Tariff to expand the scope of the current SPP registration rules and require all Variable Energy Resources, with the exception of Resources in three specified circumstances noted in this filing, to register as Dispatchable Variable Energy Resources.

An effective date of January 15, 2017 was requested.

On November 17, 2016, NextEra Energy Resources, LLC filed a Motion to Intervene.

On November 22, 2016, Westar Energy, Inc. filed a Motion to Intervene.

On November 23, 2016, Kansas City Power & Light Company and KCP&L Greater Missouri Operations Company filed a Motion to Intervene.

On November 29, 2016, SPP amended its November 16, 2016 filing in order to correct an error in Section 2.2(10) of Attachment AE.

On November 29, 2016, Western Farmers Electric Cooperative filed a Motion to Intervene.

ER17-368

Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Resale Power Group of Iowa ("Resale Power") as Network Customer, and Corn Belt Power Cooperative ("Corn Belt") as Host Transmission Owner

On November 17, 2016, SPP submitted an executed NITSA between SPP as Transmission Provider, Resale Power Group of Iowa as Network Customer, as well as a NOA with Corn Belt Power Cooperative as Host Transmission Owner. SPP Service Agreement No.

An effective date of November 1, 2016 was requested.

ER17-426

Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Denison Municipal Utilities ("DMU")

On November 29, 2016, SPP submitted tariff revisions to add an Annual Transmission Revenue Requirement and to implement a formula rate template and implementation protocols for the municipal utility of Denison Municipal Utilities, a member of Missouri River Energy Services and owned by the town of Denison, Iowa.

An effective date of February 1, 2017 was requested.

On November 30, 2016, SPP submitted, on behalf of Missouri River Energy Services and Denison Municipal Utilities, an Offer of Settlement intended to resolve all issues which could potentially be set for hearing and settlement procedures by the Commission.



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FERC

- ER17-427** **Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Kansas City Power & Light Company ("KCPL") as Host Transmission Owner**
- On November 30, 2016, SPP submitted an executed NITSA between SPP as Transmission Provider, Kansas Municipal Energy Agency as Network Customer, as well as a NOA with Kansas City Power & Light Company as Host Transmission Owner. SPP Service Agreement No. Fourth Revised 1266.
- An effective date of February 1, 2017 was requested.
- ER17-428** **Submission of Revenue Requirement, Formula Rate Template and Formula Rate Protocols for Vermillion Light & Power ("VLP")**
- On November 30, 2016, SPP submitted tariff revisions to add an Annual Transmission Revenue Requirement and to implement a formula rate template and implementation protocols for the municipal utility of Vermillion Light & Power, a member of Missouri River Energy Services and owned by the city of Vermillion, South Dakota.
- An effective date of February 1, 2017 was requested.
- ER17-7** **Agreement Establishing a Pseudo-Tie Electrical Interconnection Point among SPP, Basin Electric Power Cooperative ("Basin") as the Market Participant, and Northern States Power Company ("NSP") as External Local Balancing Authority**
- On November 28, 2016, FERC issued a letter order accepting the agreement, effective September 1, 2016 as requested.
- This order constitutes final agency action.
- ER17-82** **Submission of Tariff Revisions to Attachment O to Clarify the Integrated Transmission Planning ("ITP") Process**
- On November 4, 2016, Mid-Kansas Electric Company, LLC filed a Motion to Intervene.
- ES16-50** **Application of Southwest Power Pool, Inc. Under Section 204 of the Federal Power Act for an Order Authorizing the Issuance of Securities of up to \$30,000,000**
- On November 29, 2016, SPP filed its Report of Securities Issued.
- RD16-6** **Reliability Standards IRO-018-1 (Reliability Coordinator Real-time Reliability Monitoring and Analysis Capabilities) and TOP-010-1 (Real-time Reliability Monitoring and Analysis Capabilities)**
- On November 7, 2016, NERC submitted proposed revisions to Violation Risk Factors for Requirement R1 of Reliability Standard IRO-018-1 (Reliability Coordinator Real-time Reliability Monitoring and Analysis Capabilities) and Requirements R1 and R2 of Reliability Standard TOP-010-1 (Real-time Reliability Monitoring and Analysis Capabilities) in response to the order issued on September 22, 2016.
- RM01-8** **Public Utility Filing Requirements for Electric Quarterly Reports ("EQR")**
- On November 7, 2016, FERC issued an order extending the deadline to comply with the changes to the Electric Quarterly Report outlined in the order issued on June 16, 2016. The deadline to comply with the updated EQR Data Dictionary was extended to the first quarter of 2017.



Regulatory Status Report

Jurisdiction:
FERC

- RM10-12 Electricity Market Transparency Provisions of Section 220 of the Federal Power Act (Order No. 768)**
On November 7, 2016, FERC issued an order extending the deadline to comply with the changes to the Electric Quarterly Report outlined in the order issued on June 16, 2016. The deadline to comply with the updated EQR Data Dictionary was extended to the first quarter of 2017.
- RM12-3 Revisions to Electric Quarterly Report ("EQR") Filing Process (Order No. 770)**
On November 7, 2016, FERC issued an order extending the deadline to comply with the changes to the Electric Quarterly Report outlined in the order issued on June 16, 2016. The deadline to comply with the updated EQR Data Dictionary was extended to the first quarter of 2017.
- RM15-11 Reliability Standard for Transmission System Planned Performance for Geomagnetic Disturbance Events**
On November 21, 2016, FERC issued an Order Granting Rehearing for Further Consideration of Order No. 830 issued on September 22, 2016.
- RM15-25 Availability of Certain North American Electric Reliability Corporation Databases to the Commission**
On November 17, 2016, FERC issued Order No. 833, Final Rule amending the Commission's regulations to implement provisions of the Fixing America's Surface Transportation Act that pertain to the designation, protection and sharing of Critical Electric Infrastructure Information. The Commission also amending its regulations addressing Critical Energy Infrastructure Information. The Commission determined that a single CEII process for Critical Energy/Electric Infrastructure Information is the most efficient way to fulfill the statutory mandate of the FAST Act and to avoid any confusion that would result from different processes for different types of critical infrastructure information.

This rule becomes effective 60 days after publication in the Federal Register.
- RM16-13 Balancing Authority Control, Inadvertent Interchange, and Facility Interconnection Reliability Standards**
Parties filed comments in response to the Notice of Proposed Rulemaking issued on September 22, 2016.
- RM16-15 Regulations Implementing FAST Act Section 61003 - Critical Electric Infrastructure Security and Amending Critical Energy Infrastructure Information ("CEII")**
On November 17, 2016, FERC issued Order No. 833, Final Rule amending the Commission's regulations to implement provisions of the Fixing America's Surface Transportation Act that pertain to the designation, protection and sharing of Critical Electric Infrastructure Information. The Commission also amending its regulations addressing Critical Energy Infrastructure Information. The Commission determined that a single CEII process for Critical Energy/Electric Infrastructure Information is the most efficient way to fulfill the statutory mandate of the FAST Act and to avoid any confusion that would result from different processes for different types of critical infrastructure information.

This rule becomes effective 60 days after publication in the Federal Register.



Regulatory Status Report

Jurisdiction:
FERC

RM16-17

Data Collection for Analytics and Surveillance and Market-Based Rate Purposes

On November 2, 2016, FERC issued a Notice of the Second Technical Workshop on the Data Collection for Analytics and Surveillance and Market-Based Rate Purposes Notice of Proposed Rulemaking to be held on December 7, 2016.

RM16-21

Modifications to Commission Requirements for Review of Transactions under Section 203 of the Federal Power Act and Market-Based Rate Applications under Section 205 of the Federal Power Act

Parties filed comments in response to the Notice of Inquiry issued on September 22, 2016.

RM16-23

Electric Storage Participation in Markets Operated by Regional Transmission Organizations and Independent System Operators

On November 17, 2016, FERC issued a Notice of Proposed Rulemaking proposing to amend its regulations to remove barriers to the participation of electric storage resources and distributed energy resource aggregations in the capacity, energy, and ancillary service markets operated by regional transmission organizations and independent system operators. The Commission proposes to require each RTO and ISO to revise its tariff to (1) establish a participation model consisting of market rules that, recognizing the physical and operational characteristics of electric storage resources, accommodates their participation in the organized wholesale electric markets and (2) define distributed energy resource aggregators as a type of market participant that can participate in the organized wholesale electric markets under the participation model that best accommodates the physical and operational characteristics of its distributed energy resource aggregation.

Comments are due on January 30, 2017.

RM16-5

Offer Caps in Markets Operated by Regional Transmission Organizations and Independent System Operators

On November 17, 2016, FERC issued Order No. 831, Final Rule revising the Commission's regulations to address incremental energy offer caps. The Commission will require that each regional transmission organization and independent system operator (1) cap each resource's incremental energy offer at the higher of \$1,000/megawatt-hour (MWh) or that resource's verified cost-based incremental energy offer; and (2) cap verified cost-based incremental energy offers at \$2,000/MWh when calculating locational marginal prices (LMP). Further, the Commission clarified that the verification process for cost-based incremental offers above \$1,000/MWh should ensure that a resource's cost-based incremental energy offer reasonably reflects that resource's actual or expected costs.

This rule becomes effective on February 21, 2017.

Compliance filings are due no later than May 8, 2017.



Regulatory Status Report

Jurisdiction: RM16-6
FERC

Essential Reliability Services and the Evolving Bulk-Power System- Primary Frequency Response

On November 17, 2016, FERC issued a Notice of Proposed Rulemaking proposing to revise its regulations to require all newly interconnection large and small generating facilities, both synchronous and non-synchronous, to install and enable primary frequency response capability as a condition of interconnection. The Commission proposed to revise the pro forma Large Generator Interconnection Agreement and pro forma Small Generator Interconnection Agreement. The proposed changes are designed to address the increasing impact of the evolving generation resource mix and to ensure that the relevant provisions of the pro forma LGIA and SGIA are just, reasonable, and not unduly discriminatory or preferential. The Commission also seeks comment on whether its proposal is sufficient at this time to ensure adequate levels of primary frequency response, or whether additional reforms are needed.

Comments are due on January 24, 2017.



Regulatory Status Report

Jurisdiction:
State of Kansas

17-WSEE-063-STG In the Matter of the Application of Westar Energy, Inc. for a Siting Permit for the Construction of a 345 kV Transmission Line in Leavenworth County, Kansas

On November 2, 2016, the Parties filed an Uncontested Joint Motion to Cancel Evidentiary Hearing.

On November 3, 2016, the KCC issued an Order Cancelling Evidentiary Hearing.

On November 14, 2016, Westar Energy, Inc. and KCC Staff filed a Joint Notice of Proposed Order.



Regulatory Status Report

Jurisdiction:
State of Texas

46042

Application of Southwestern Public Service Company ("SPS") to Amend a Certificate of Convenience and Necessity for a 345-kV Transmission Line within Hale, Hockley, Lubbock, Terry and Yoakum Counties

On November 7, 2016, Southwestern Public Service Company filed a Notice of Technical/Settlement Conference to be held on November 15, 2016.