

Regulatory Outlook

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| FERC | Effective date of Order No. 835, Final Rule approving Reliability Standard BAL-002-2 (Disturbance Control Standard - Contingency Reserve for Recovery from a Balancing Contingency Event) (Order No. 835 issued on January 19, 2017) | 04/03/2017 |
| RM16-7 | | |
| FERC | File Informational Report on SPP Aggregate Study (Safe Harbor Report) (April 22, 2005 Order) | 04/04/2017 |
| ER05-652 | | |
| FERC | Technical Conference begins at 10 AM Eastern Time | 04/06/2017 |
| ER17-428 | | |
| FERC | Technical Conference begins at 10 AM Eastern Time | 04/06/2017 |
| ER17-426 | | |
| FERC | Comments due in response to the Notice of Proposed Rulemaking proposing to revise the Commission's regulations to require that each regional transmission organization and independent system operator that currently allocates the costs of real-time uplift due to deviations should allocate such real-time uplift costs only to those market participants whose transactions are reasonably expected to have caused the real-time uplift costs (Notice of Proposed Rulemaking issued on January 19, 2017) | 04/10/2017 |
| RM17-2 | | |
| FERC | Comments due in response to the Notice of Proposed Rulemaking proposing to approve Reliability Standard PRC-012-2 (Remedial Action Schemes) submitted by the North American Electric Reliability Corporation (Notice of Proposed Rulemaking issued on January 19, 2017) | 04/10/2017 |
| RM16-20 | | |
| FERC | Comments due in response to Notice of Proposed Rulemaking proposing to revise the Commission's regulations and the pro forma Large Generator Interconnection Procedures and pro forma Large Generator Interconnection Agreement (Notice of Proposed Rulemaking issued on December 15, 2016; Notice of Extension of Time issued on February 23, 2017) | 04/13/2017 |
| RM17-8 | | |
| FERC | Settlement Conference begins at 1 PM Eastern Time (Order Scheduling Settlement Conference issued on February 22, 2017) | 04/13/2017 |
| ER15-2028 | | |

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| FERC ER15-2115 | Settlement Conference begins at 1 PM Eastern Time (Order Scheduling Settlement Conference issued on February 22, 2017) | 04/13/2017 |
| State of Texas 46042 | Initial Briefs are due (SOAH Order No. 8 Regarding Briefing Schedule, Post-Hearing Evidence, and Record Close issued on March 10, 2017) | 04/14/2017 |
| FERC OA08-5 | Annual Compliance Report Regarding Penalties for Unreserved Use is due | 04/18/2017 |
| State of Kansas 17-SPPE-117-GIE | Initial Comments are due in response to questions in the Order Opening General Investigation (Order Granting Extension of Time to File Comments issued on February 28, 2017) | 04/21/2017 |
| State of Texas 46901 | Parties to submit an agreed statement of facts/record (Order No. 4 issued on March 20, 2017) | 04/21/2017 |
| FERC 10-1178 | FERC Reporting Requirement No. 582 is due | 04/28/2017 |
| State of Texas 46042 | Reply Briefs are due (SOAH Order No. 8 Regarding Briefing Schedule, Post-Hearing Evidence, and Record Close issued on March 10, 2017) | 04/28/2017 |
| United States Court of Appeals 15-1157 | Oral Argument to be held (Order issued on October 4, 2016; Order issued on January 12, 2017; Order issued on February 9, 2017) | 05/05/2017 |
| FERC RM16-5 | Order No. 831 Compliance Filing is due (Order No. 831 issued on November 17, 2016) | 05/08/2017 |
| State of Texas 46901 | Initial Briefs are due (Order No. 4 issued on March 20, 2017) | 05/12/2017 |

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| FERC ER17-426 | Settlement Conference begins at 10 AM Eastern Time (Order Scheduling Settlement Conference issued on February 23, 2017) | 05/17/2017 |
| FERC ER17-428 | Settlement Conference begins at 10 AM Eastern Time (Order Scheduling Settlement Conference issued on February 23, 2017) | 05/17/2017 |
| State of Kansas 17-SPPE-117-GIE | First round of Reply Comments are due in response to Initial Comments on questions in the Order Opening General Investigation (Order Granting Extension of Time to File Comments issued on February 28, 2017) | 05/22/2017 |
| State of Texas 46901 | Reply Briefs are due (Order No. 4 issued on March 20, 2017) | 05/26/2017 |
| State of Kansas 17-SPPE-117-GIE | Second round of Reply Comments are due in response to Initial Comments and Reply Comments on questions in the Order Opening General Investigation (Order Granting Extension of Time to File Comments issued on February 28, 2017) | 06/21/2017 |
| FERC AD17-8 | Technical Conference to be held to discuss policy issues related to the reliability of the Bulk-Power System (Notice of Technical Conference issued on February 10, 2017) | 06/22/2017 |
| FERC AD10-12 | Technical Conference to be held in order to discuss opportunities for increasing real-time and day-ahead market efficiency through improved software (Notice of Technical Conference issued on March 9, 2017) | 06/26/2017 |
| State of Arkansas 16-002-U | Arkansas Electric Cooperative Corporation's annual report is due (Arkansas Public Service Commission Order No. 4 issued on May 17, 2016) | 07/01/2017 |
| FERC | SPP's Fifth Informational Report due detailing SPP's and MISO's progress on resolving issues related to their implementation methodologies for Interface Bus Pricing, and analyzing whether the benefits of implementation of a day-ahead firm flow entitlement exchange process outweigh its costs, until such issues are resolved (Order Conditionally Accepting in Part and Rejecting in Part Revisions to Joint Operating Agreement issued on January 22, 2015) | 07/21/2017 |

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| ER13-1864 | | |
| FERC | SPP' MMU's Annual State of the Market Report due at FERC (ZZ17-4) | 08/25/2017 |
| ZZ16-4 | | |
| FERC | Informational Report due to explain SPP's ongoing efforts to further improve gas-electric coordination, including efforts to improve solve times. The report should also identify whether any natural gas fired generators within SPP experienced any operational challenges related to gas-electric coordination issues, and identify what actions SPP undertook to mitigate such events (Order Accepting Compliance Filing issued on December 17, 2015) | 12/15/2017 |
| ER15-2377 | | |
| FERC | SPP to file its Annual Budget in FERC Docket Nos. ER04-48, ER08-1338, RT04-1 | 12/15/2017 |
| ER08-1338 | | |
| FERC | SPP's authorization for issuance of securities expires (Order Authorizing Issuances of Securities issued on September 28, 2016) | 09/30/2018 |
| ES16-50 | | |
| State of Missouri | Kansas City Power & Light Company's Interim Period Ends (participation in SPP RTO) (May 16, 2013 Stipulation and Agreement approved by MoPSC on June 19, 2013) | 10/01/2018 |
| EO-2012-0135 | | |
| State of Missouri | KCP&L Greater Missouri Operations Company's Interim Period Ends (participation in SPP RTO) (May 16, 2013 Stipulation and Agreement approved by MoPSC on June 19, 2013) | 10/01/2018 |
| EO-2012-0136 | | |
| FERC | Informational Report due to explain SPP's ongoing efforts to further improve gas-electric coordination, including efforts to improve solve times. The report should also identify whether any natural gas fired generators within SPP experienced any operational challenges related to gas-electric coordination issues, and identify what actions SPP undertook to mitigate such events (Order Accepting Compliance Filing issued on December 17, 2015) | 12/17/2018 |
| ER15-2377 | | |
| State of Missouri | The Empire District Electric Company to file its Interim Report (regarding participation in the SPP RTO) (August 28, 2013 Stipulation and Agreement approved by MoPSC on September 11, 2013; Order Modifying Stipulation and Agreement issued on March 1, 2017) | 04/30/2021 |
| EO-2012-0269 | | |



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| Department of Energy EA-434 | SPP's authorization to export energy to Canada expires (Order Authorizing Electricity Exports to Canada issued on February 7, 2017) | 02/07/2022 |
| State of Missouri EO-2012-0269 | The Empire District Electric Company's Interim Period Ends (participation in SPP RTO) (August 28, 2013 Stipulation and Agreement approved by the MoPSC on September 11, 2013; Order Modifying Stipulation and Agreement issued on March 1, 2017) | 08/01/2022 |
| State of New Mexico 13-00031-UT | Southwestern Public Service Company's Extended Interim Period Report is due (Section 3 of Unopposed Stipulation) | 07/01/2028 |
| State of New Mexico 13-00031-UT | Southwestern Public Service Company's Extended Interim Period Ends (Section 2 of Unopposed Stipulation) | 12/31/2029 |
